

1 *Review*

2 **Granular biofilms: formation, function, application,**  
3 **and new trends as model microbial communities**

4 **Anna Christine Trego, Simon Mills and Gavin Collins\***

5 Microbial Communities Laboratory, School of Natural Sciences, National University of Ireland  
6 Galway, University Road, Galway, H91 TK33, Ireland.

7 \*Corresponding author:

8 Tel: 00353 (0) 91 49 31 63

9 e-mail: gavin.collins@nuigalway.ie

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11 **KEYWORDS:** Anammox; Biofilms; Granulation; Methanogens; Microbial ecology; Sludge  
12 granules; Wastewater

13

14 **LIST OF ABBREVIATIONS**

15 AD – Anaerobic digestion

16 AHL – N-acetyl-homoserine-lactone

17 Anammox – Anaerobic ammonium oxidation

18 AOB – Ammonia oxidising bacteria

19 cDNA – Complementary DNA

20 COD – Chemical oxygen demand

21 EGSB – Expanded granular sludge bed

22 EPS – Extracellular polymeric substances

23 FISH – Fluorescence *in situ* hybridization

24 HRT – Hydraulic retention time

25 HTS – High-throughput sequencing

1	mRNA – Messenger RNA
2	MS – Mass spectrometry
3	NMR – Nuclear magnetic resonance
4	NOB – Nitrite-oxidising bacteria
5	OLR – Organic loading rate
6	OTU – Operational taxonomic unit
7	PAO – Polyphosphate-accumulating organism(s)
8	qPCR – Quantitative PCR
9	rRNA – Ribosomal RNA
10	SBR – Sequencing batch reactor
11	SIP – Stable isotope probing
12	SRT – Solids retention time
13	TCA – Tricarboxylic acid
14	T-RFLP – Terminal restriction fragment length polymorphism
15	UASB – Upflow anaerobic sludge bed
16	VFA – Volatile fatty acids
17	VSS – Volatile suspended solids
18	$V_{up}$ – Upflow velocity
19	WWTP(s) – Wastewater treatment plant(s)

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## 1 **Abstract**

2 As the global demand for water increases, so does the quantity of wastewater requiring  
3 treatment. Due to a relatively low carbon footprint, compared with conventional wastewater  
4 treatment technologies, anaerobic digestion (AD) was identified in the 1970s as a forerunner in  
5 the push for sustainability, when interest in sustainable technologies and renewable energy  
6 sources was first sparked. AD technology development ultimately resulted in the discovery of the  
7 ‘anaerobic granule’. It is a spontaneously-forming bio-aggregate of microbial cells capable of  
8 digesting pollutants and producing methane-rich biogas as a renewable source of bioenergy. The  
9 high settling velocity of such granules meant that AD systems could be operated as high-rate  
10 treatment processes, because the active, relatively-slow-growing, pollutant-removing biomass  
11 would be retained inside, and not washed out of, even bioreactors operated at extremely high  
12 volumetric loading rates. In the intervening years the emergence of the anaerobic ammonium  
13 oxidising (anammox) granule, aerobic granule, hydrogenic granule, oxygenic photogranule, and  
14 many other functionally-specialised granules, has opened new opportunities in wastewater  
15 treatment biotechnology. Whilst environmental engineering based around wastewater treatment  
16 is still a growing field of research and implementation, the granule (in all forms) is starting to  
17 catch the attention of microbial ecologists. It is a self-immobilised biofilm, with many of the  
18 properties of ‘conventional’ biofilms formed in Nature. However, as a single entity, a granule  
19 represents an entire community of microorganisms, competing or functioning cooperatively or  
20 in syntrophy. Together, inside a bioreactor, granules perform side-by-side arguably representing a  
21 meta-organism. Granules are gaining traction as the perfect samples for high-throughput studies  
22 on fundamental ecological concepts. Granular biofilms can be used to test hypotheses around  
23 drivers of diversity, community assembly, biofilm formation and maturation, community  
24 expansion and succession, community stress response, among others. This review outlines the  
25 history of three of the most influential types of granules: the anaerobic (methanogenic), aerobic  
26 and anammox granule. The main biochemical processes found in each type; their primary

1 characteristics; and the typical makeup of the microbial community underpinning the processes  
2 are compared. Finally, the adoption of granules as the perfect ‘playground’ for experiments in  
3 microbial ecology is reviewed.  
4

## 1 1. Introduction

2

3 Increasing environmental awareness since the 1970s, along with the implementation of  
4 legislation promoting sustainable and renewable technologies, has resulted in growing interest in  
5 AD as a sustainable means of wastewater treatment. Compared to conventional activated sludge  
6 technologies, which are widespread throughout the industrialised world, AD systems are low-  
7 cost, energy-efficient, relatively low-technology and can meet essentially all criteria for water  
8 protection (McCarty, 1964; McKeown et al., 2012). AD is considered a renewable energy  
9 technology, producing a combustible biogas, composed primarily of methane, as an end-product.  
10 Furthermore, recent research has centred around manipulating the AD process to produce, and  
11 recover, value-added intermediates, such as VFA, including caproic acid (Kleerebezem et al.,  
12 2015; Nzeteu et al., 2018). Renewed interest, across the past 40 years, in AD for sustainable  
13 wastewater treatment resulted in the remarkable discovery of aerobic granules – the first  
14 documented self-immobilised, granular biofilms (van Lier et al., 2015).

15

16 The application of granular biofilms in engineered systems completely revolutionised AD, and  
17 wastewater treatment and valorisation, in the intervening decades. AD was transformed from a  
18 low-rate, low-profile process into a high-rate treatment technology suitable for high-strength,  
19 low-strength, psychrophilic, thermophilic, high-salinity, and many other types of waste  
20 treatment, and conversion, applications (Gagliano et al., 2017; Kato, 1994; Lettinga et al., 1980;  
21 McHugh et al., 2003; McKeown et al., 2009a). The tendency of granules to settle to the bottom  
22 of bioreactors, even under conditions of high-upflow-volumetric loading, meant that the active  
23 biomass supporting the treatment process was retained in, and did not get washed out of the,  
24 digester systems, thus supporting higher rates of hydraulic loading and faster operation.  
25 Additionally, the structure of sludge granules facilitated efficient mass transport of substrates  
26 between various microbial trophic groups making up the biofilms, and provided protection for

1 more-sensitive species from micro-climatic changes (Hulshoff Pol et al., 2004). Over time,  
2 specialised granules were developed for various other processes, namely aerobic treatment,  
3 anaerobic ammonium oxidation (Anammox), and hydrogenic (hydrogen-producing) granules  
4 (Milferstedt et al., 2017a). The success of these technologies, centring around the granule,  
5 contributes to status of wastewater treatment as the most widely applied biotechnology in the  
6 world.

7  
8 Whilst the past several decades of research and development in applying granule-based  
9 technologies has been exciting for biotechnology and environmental engineering, the discovery  
10 of the granule has also sparked the interest of microbial ecologists. In no other form in Nature  
11 are biofilms observed with such a definite microbial community boundary, having a clear  
12 beginning and end. Moreover, granules are complex, often compact, aggregates of microbial cells  
13 imparting architectural ingenuity and functional specialisation. In short, they provide the perfect  
14 playground for high-throughput studies on microbiome structure, community assembly, biofilm  
15 growth and disintegration, community stress response, and a myriad other compelling concepts  
16 in Microbial Ecology. The use of granules for more than just wastewater treatment is just  
17 gathering momentum, with landmark studies providing key insights into the mechanisms of  
18 biofilm formation (Tan et al., 2014) and drivers of diversity in complex microbial communities  
19 (Leventhal et al., 2018).

20  
21 The aim of this paper is to provide a review for, on one hand, biotechnologists interested in the  
22 impact and applications of granular-based wastewater treatment processes, and, on the other,  
23 microbial ecologists interested in the potential of the granule as a useful tool to answer pressing  
24 questions on the nature of microbial communities.

25

26 **2. History of, and primary biochemical conversions in, granular biofilms**

1

2 *2.1 Conventional wastewater treatment processes*

3

4 'Modern' wastewater treatment systems in the developed world are almost entirely reliant on  
5 technologies developed at the turn of the 20<sup>th</sup> century, and first implemented in any organised  
6 way in England. The (at that time) revolutionary technology, relies on a combination of physical  
7 and biological treatment to remove solids and nutrients from wastewater (Henze et al., 2008).

8 The systems are usually referred to as conventional activated sludge treatment, and have changed  
9 very little over the past 100 years. The process is expensive and highly energy-intensive, requiring  
10 massive energy inputs for mixing, pumping and aerating. Such WWTPs require large areas for  
11 multiple 'process units' and, in essence, represent sloppy 'carbonomics' – in other words, the  
12 approach is linear and wasteful rather than circular and virtuous, and is not sustainable.

13

14 Conventional activated sludge treatment relies on aerobic respiration, and O<sub>2</sub> reduction by  
15 chemoheterotrophic bacteria during a (usually) three-stage treatment process. In the first stage –  
16 primary treatment – solid material is physically separated from bulk liquid, usually in  
17 sedimentation tanks (Tillman, 2017). The liquid phase, or primary effluent, is moved to  
18 secondary treatment: an aerobic, biological treatment phase, where microorganisms oxidise  
19 residual organic material, including suspended solids and soluble chemical oxygen demand  
20 (COD), primarily to carbon dioxide and water (Riffat, 2012). Secondary effluent, with a greatly  
21 reduced COD (up to 95%) may proceed to tertiary treatment: the final and most complete stage.  
22 There, various physical, biological or chemical techniques may be used to remove contaminating  
23 nutrients, including nitrogen and phosphorus. Tertiary biological treatment variously implies (1)  
24 nitrification – incorporating ammonium oxidation (nitritation) to nitrite by AOB, and nitrite  
25 oxidation (nitrataion) to nitrate by NOB – with oxygen used as terminal electron acceptor, and  
26 (2) denitrification processes, either as separate unit processes or integrated into the secondary

1 biological stage. Heterotrophic denitrifiers convert nitrate to  $N_2$  under anoxic conditions (Kuai  
2 and Verstraete, 1998), but require organic substrates for carbon and reducing power, and, since  
3 secondary treatment removes most of the carbon it may be necessary for WWTP operators to  
4 provide fresh organic carbon, such as in the form of methanol, to fuel denitrification (delivering  
5 a curiosity and yet further inefficiency). More recently, applications based on autotrophic  
6 denitrification, in which the reducing power for denitrifying organisms, such as *Thiobacillus* sp.,  
7 was supplied from pyrite (e.g. Yang et al., 2017) or biogenic sulfur (e.g. Kostyrsia et al., 2018)  
8 have been presented.

9

## 10 2.2 Discovery of anaerobic granules

11

12 The discovery of anaerobic granules marked a defining point in wastewater engineering.  
13 Sometimes referred to as methanogenic granules, they were first observed in the Dorr Oliver  
14 Clarifiers installed in South Africa in the 1950s, but were not reported until 1979 when  
15 detected in samples taken from these up-flow digesters (Lettinga, 2014). Indeed, these anaerobic  
16 granules are most frequently found in such up-flow digesters, where hydrodynamic conditions  
17 promote aggregation of microbial cells (Lettinga et al., 1980). Granules opened the door in AD  
18 engineering to a new generation of high-rate treatment systems, including expanding AD into  
19 new areas of wastewater treatment combined with other processes, such as sulphur cycling,  
20 micro-aerobic, anoxic, and conventional aerobic processes. Such was the promise of the  
21 technology to valorise wastes, Lettinga (2001) later described the field as his “faith, vision, hope  
22 and expectation” – the upending of old norms in sanitary engineering in favour of joined-up,  
23 closed-loop, sustainable cycles harnessing the full extent of the natural Carbon cycle.

24

25 High-rate treatment relies upon decoupling solids retention time (SRT) from hydraulic retention  
26 time (HRT). The settleability of anaerobic granules facilitates this, allowing retention of active



1 biomass in 'retained-biomass' digesters, and allowing for larger volumes of high- or low-strength  
2 wastewater to be treated. Each granule comprises of a complex community of anaerobic  
3 microorganisms embedded in a matrix of EPS. The community spans several trophic groups  
4 including hydrolysers, fermenters, acetogens, sulfate reducers, syntrophic bacteria, and  
5 methanogens, among others (Vanwonterghem et al., 2014). The AD process is generally  
6 considered to consist of four stages: (i) hydrolysis; (ii) acidogenesis/fermentation; (iii)  
7 acetogenesis; and (iv) methanogenesis, with each stage facilitated by a different trophic group,  
8 and with substrates passed between successive groups. The collective allows for complete  
9 mineralisation of complex organic substances to methane along the AD pathway (Fig 1). In the  
10 40 years following the discovery of anaerobic granules, the technology has transitioned from  
11 focused, laboratory-scale experiments to successful, full-scale implementation, treating a wide  
12 variety of wastewater types (van Lier et al., 2015).

13

### 14 *2.3 Aerobic granules*

15

16 While anaerobic granules were the first to be described, this was soon followed in the 1990s by  
17 the development, and application, of aerobic granules (Heijnen and van Loosdrecht, 1998),  
18 which marked the first revolutionary advancement in aerobic treatment since the first formal  
19 applications over 75 years earlier. As with the anaerobic granule, aerobic counterparts also  
20 comprise of a densely-packed, complex, microbial community and exhibit excellent settleability  
21 inside digester systems, thus facilitating extended SRTs. The most notable difference is that,  
22 whilst anaerobic granules are cultivated under strictly anaerobic conditions, aerobic granules are  
23 most often cultivated in sequencing batch reactors (SBRs) operated with an aeration phase as the  
24 primary mixing mechanism (Adav et al., 2008). Consequently, the microbial community contains  
25 aerobic bacteria in the outermost layers, but often with an anaerobic core (Lv et al., 2014a; Tay et

1 al., 2002). Indeed, there are some disagreements among experts whether aerobic granules are  
2 truly 'aerobic' at all.  
3  
4 Respiratory rates of aerobic microorganisms exceed those of anaerobic communities. The  
5 pathway for microbially-mediated aerobic degradation of organic matter begins with an initial,  
6 intracellular, oxidative, enzymatic attack facilitated by oxygenases and peroxidases. Following  
7 this, glycolysis and the tricarboxylic acid (TCA) cycle degrade organic matter into a series of  
8 intermediates that can be further respired into carbon dioxide and water, or be used by the cell  
9 for biosynthesis (Fritsche and Hofrichter, 2000). In the AD pathway, the various trophic groups  
10 are each responsible for one step in the degradation pathway, passing substrates along the  
11 trophic chain, until methane is produced in the final step: the process is reliant upon an inclusive,  
12 comprehensive community. During aerobic respiration, a single aerobic cell is capable of the  
13 entire process, containing all the necessary enzymes. At WWTPs, aerobic metabolisms result in  
14 the removal of organic contaminants – measured as COD – and nutrients – mainly nitrogen and  
15 phosphorus. Reactors containing aerobic granules usually are operated to stimulate heterotrophic  
16 removal of organic pollutants and simultaneous nitrification-denitrification and/or enhanced  
17 biological phosphorus removal (He et al., 2017; Winkler et al., 2012).

18

#### 19 *2.4 Anammox granules*

20

21 Whilst both anaerobic and aerobic granules support organic matter conversions along the carbon  
22 cycle, the third type of innovative granule harnesses natural conversion processes from the  
23 nitrogen cycle. Fixed nitrogen, in the form of ammonium and nitrate has been conventionally  
24 converted *via* nitrification and denitrification, both of which are energy-intensive processes  
25 requiring aeration and additional carbon supplementation, respectively. Anaerobic ammonium  
26 oxidation (anammox) bacteria, however, are able to take a short-cut right through the nitrogen

1 cycle (Fig 1), and convert ammonium and nitrite directly to molecular nitrogen in one step  
2 (Kartal et al., 2010). In fact, it is suggested that anammox bacteria are responsible for as much as  
3 50% of nitrogen transformations in marine environments (Kuenen, 2008). The anammox  
4 process was observed as early as the 1920s, but not fully understood until the mid-1990s (Mulder  
5 et al., 1995). It had been accepted that ammonium was chemically inert and required oxygen, and  
6 a mixed-function oxygenase, to be oxidized – hence the conventional focus on nitrification and  
7 denitrification processes – but it was not until simultaneous reductions of ammonium and nitrate  
8 were correlated with the production of nitrogen gas that the anammox process, and the  
9 implicated bacteria, were discovered and harnessed for wastewater treatment (Kuenen, 2008).

10

11 Early anammox applications were slow, two-phased (separate nitrification and anammox  
12 reactors), and experienced problems with biomass retention. However, the innovative cultivation  
13 of anammox granules, containing a rich community of both nitrifying and anammox bacteria,  
14 allowed the application of one-phase nitrogen removal processes with excellent biomass  
15 retention (Abma et al., 2010, 2007). Additionally, because anammox bacteria are autotrophs,  
16 carbon supplementation for denitrification was no longer required. The popular granular  
17 anammox systems have revolutionised an energy intensive, high-carbon-footprint process.  
18 Applications are usually operated as partial nitrification/anammox processes, where nitrification  
19 (facilitated by AOB and NOB) is halted following nitrite production of nitrite, which can be  
20 accumulated and used by anammox bacteria (Zhao et al., 2018). Therefore, NOB, and  
21 nitrification, must be inhibited so as to avoid competition with anammox activity.

22

### 23 *2.5 Granular oddities*

24

25 Anaerobic, aerobic, and anammox granules are the most widely utilised in built ecosystems, but  
26 the phenomenon of microbial aggregation into granular-type structures is not confined to such

1 cases. Granular aggregation has been observed by the ‘pink berry’ communities involved in  
2 syntrophic sulfur cycling in salt marshes (Wilbanks et al., 2014), the large ‘lake ball’ communities  
3 formed by the rare green alga *Aegagropila linnaei* (Togashi et al., 2014), the colonies of  
4 cyanobacteria known as ‘waterwarts’ observed in warm lakes (Garcia-Pichel et al., 2002), and,  
5 more recently, novel photogranules formed under both static and mixed conditions (Milferstedt  
6 et al., 2017b). Equally, in engineered ecosystems, hydrogenic granules are used for the  
7 production of biohydrogen (Fang et al., 2002). Granulation is, thus, a relatively widespread, and  
8 very successful, survival strategy employed by a wide range of prokaryotic and eukaryotic  
9 organisms alike, and in a variety of natural and built ecosystems. It is a means for efficient  
10 transfer of electrons and substrates, whilst offering some degree of community protection from  
11 the challenges of microclimatic variances.

12

### 13 **3. Granular sludge bioreactor designs**

14

#### 15 *3.1 High-rate, upflow bioreactors*

16

17 The most widespread examples of granular-based bioreactor technology are the UASB and  
18 EGSB bioreactor configurations. UASB bioreactors were developed in the late 1970s and  
19 originally used to treat sugar beet wastewater (Lettinga et al., 1980). Since then, UASB systems  
20 have been successful at demonstration-, pilot- and full-scale for denitrification and treatment of  
21 organic pollutants at high hydraulic, and organic, loading rates (Lettinga, 1995). Additionally,  
22 they have since been shown to adequately treat not only sugar beet wastes, but also raw sludge,  
23 and slaughterhouse and potato starch wastewaters (Koster and Lettinga, 1988; Lettinga et al.,  
24 1983, 1980; Sayed et al., 1988) among many others. The UASB design relies on an active sludge  
25 mass (sludge bed), which settles to the bottom of the bioreactor and is a collective of anaerobic  
26 granules (Lettinga and Pol, 1986). In these systems, wastewater enters the bioreactor through the

1 bottom and is pumped up through the granular sludge bed. During this time the microorganisms  
2 in the granules encounter, and mineralise, organic pollutants in the wastewater. By the time the  
3 water has been pumped to the top, where it leaves the system, a large portion of the COD has  
4 been removed. The UASB design often includes a phase separator, which collects biogas  
5 evolving from the liquid phase (Van Haandel and Lettinga, 1994).

6  
7 Developed in the 1990s, the EGSB design has gradually surpassed the popularity of the UASB  
8 due to its ability to accommodate organic loading rates 6-7 times higher than a typical UASB  
9 (Frankin, 2001; Riffat, 2012). EGSB configurations are similar to the UASB, but further include  
10 intense recycling of reactor liquor to effect mixing of the sludge bed, which contributes to higher  
11 conversion rates (Kato et al., 1998, 1997, 1994). EGSB bioreactors can be: started up (to full  
12 capacity) rapidly; applied to treat a broad scope of wastes; and operated successfully even under  
13 extreme environmental conditions (Lettinga, 1996). Over the years, many modifications have  
14 been made to the classical UASB and EGSB designs. Notably, membrane-coupled EGSB  
15 bioreactors have been reported with increased removal of COD (Chu et al., 2005). More recently  
16 the addition of a pumice stone filter to the EGSB has been documented (Keating et al., 2018).  
17 EGSB systems have been extensively tested under psychrophilic conditions, and biofilm  
18 robustness, and community and process stability, comparable with mesophilic treatment, have  
19 been extensively documented (Collins et al., 2005a, 2005b; Connaughton et al., 2006; Madden et  
20 al., 2014; McKeown et al., 2012, 2009a, 2009b, 2008). While the UASB and EGSB were  
21 originally designed and operated for anaerobic treatment, they are now used for anammox,  
22 biohydrogen production and several other applications (Mu and Yu, 2006; Tang et al., 2011). A  
23 key feature, however, of UASB and EGSB operation, is that the wastewater influent is typically  
24 supplied continuously.

25

26 *3.2 Sequencing batch bioreactors*

1  
2 The SBR is a commonly used adaptation of discontinuous treatment systems, to which influent  
3 is not supplied constantly, but pulse-wise. Aerobic granules are often formed in such systems  
4 (Morgenroth et al., 1997). Mixing is accomplished by periodically aerating the tank. Specific  
5 durations of aeration vary between cases, but in general, operation of an SBR with aerobic  
6 granules involves (i) the addition of wastewater to the tank, (ii) an aeration/treatment period, (iii)  
7 a settling period when the granules settle quickly to the bottom of the bioreactor, and (iv)  
8 removal of the treated effluent before (v) another batch of wastewater is added to the system  
9 and the cycle is repeated (Beun et al., 1999). The settling time can be used to select for fast-  
10 settling granules within the system, effectively removing any flocs (Beun et al., 2002). The  
11 discontinuous nature of operation can, in many cases, be advantageous if, for instance, a  
12 continuous supply of wastewater is unavailable.

13

#### 14 **4. Mechanisms of granule formation**

15

##### 16 *4.1 General understanding of granulation*

17

18 Granulation is the process by which a granule is formed. A seminal review on anaerobic  
19 granulation was published in 2004 (Hulshoff Pol et al., 2004) outlining numerous hypothesised  
20 granulation mechanisms and providing a conclusive summary of many granulation concepts. In  
21 the intervening period, research on the actual mechanisms of anaerobic granulation has declined.  
22 Several comprehensive reviews on aerobic, and anammox, granulation have also been published  
23 recently (Franca et al., 2018; Manonmani and Joseph, 2018; Nancharaiah and Kiran Kumar  
24 Reddy, 2018; Wilén et al., 2018), although predominantly in isolation rather than in conjunction  
25 with other types of granular sludge. Whilst no single model of granulation can yet be applied to  
26 all forms of granular sludge, several unifying concepts are common. These include: selection

1 pressure; importance of EPS; bridging by filamentous microorganisms; structural organisation of  
2 microorganisms; influence of inoculum; cell surface hydrophobicity; electrostatic charge; and the  
3 influence of shear force.

#### 4 5 *4.2 Influence of selection pressure & shear force*

6  
7 The selection pressure theory states that dense, well-settling granules are continually selected for  
8 by liquid and gas upflow inside a bioreactor, while lighter granules are washed out (Hulshoff Pol  
9 et al., 1983; Liu et al., 2005). In anaerobic systems, selection pressure is a function of HRT and  
10 liquid upflow velocity ( $V_{up}$ ). Increasing  $V_{up}$  and shortening HRT (i.e. increasing selection  
11 pressure) has led to improved granulation (Arcand et al., 1994; Noyola and Moreno, 1994; Wang  
12 et al., 2018; Xu et al., 2018) as well as the retention of well-settling granules (Hulshoff Pol et al.,  
13 1988). Increased selection pressure has also been shown to correlate with increased granule size,  
14 and stability, in anammox granules (Ma et al., 2013; Reino and Carrera, 2017).

15  
16 High selection pressure, and organic loading rate (OLR), were shown by Liu and Tay (2015) to  
17 be key factors in achieving fast aerobic granulation, who also proposed a granulation mechanism  
18 whereby poorly settling flocs are washed out of the reactor quickly due to the high hydraulic  
19 selection pressure. The remaining, well-settling, aerobic granules then become overstressed by  
20 high OLR leading to increased EPS production and changes in cell surface hydrophobicity,  
21 thereby promoting granulation. Newly formed granules then grow quickly due to the high OLR.  
22 In contrast, Szabó et al. (2017) found that OLR had little effect on granulation. Liu and Tay  
23 (2002) emphasized the importance of shear force in granular sludge formation, proposing that  
24 hydrodynamic shear force not only influences the structural integrity of granules but also affects  
25 metabolic and kinetic aspects of bioreactor performance.

26

#### 1 4.3 Microbial-based theories, including filamentous bridging

2

3 Other granulation theories are based on the morphological characteristics of key  
4 microorganisms. The EPS matrix, common to all granule types, provides a web of polymers to  
5 which new cells can adsorb. One widely accepted theory of anaerobic (methanogenic)  
6 granulation, referred to as ‘spaghetti theory,’ centres around the importance of *Methanoseta*,  
7 which are thought to provide a branched growth network, due to their filamentous morphology,  
8 within which other cells can embed (Wiegant et al., 1988). Subsequent growth of the aggregate  
9 then occurs *via* cell multiplication whilst shear forces act to shape the aggregate into a dense  
10 sphere (Hulshoff Pol et al., 2004; Liu et al., 2003).

11

12 Beun et al. (1999) suggested the involvement of fungi in the initial stages of aerobic granulation.

13 The authors observed initial formation of large, fungal mycelial pellets, which subsequently  
14 fragmented. The fragmented pellets acted as an immobilisation matrix for bacterial populations,

15 which proliferated, eventually forming dense well-settling granules. However, other, non-

16 filamentous bacteria have been shown to be important: i) *Zoogloea* sp. were shown to be

17 important for the formation of dense, well settling granules, whereas ii) *Burkholderiales* sp. were

18 more predominant in “fluffy”, slow-settling granules (Weissbrodt et al., 2012), and, finally, iii)

19 *Candidatus Accumulibacter* and *Competibacter* were also identified as being important players

20 during the process (Weissbrodt et al., 2013). Barr et al. (2010) proposed a mechanism whereby

21 two separate types of aerobic granules form simultaneously. They found that one ‘type’ was

22 dominated by *Candidatus Accumulibacter* while the second ‘type’ was made up of diverse micro-

23 colonies, and proposed that these two types separately break apart and the broken ‘bits’ re-

24 aggregate into new granules containing remnants of both original granule types. Whilst the

25 specific mechanisms remain undecided, central to each of these theories is the presence of a

26 crucial organism at the outset of granulation.



1

2 *4.4 Role of EPS in granulation*

3

4 Almost all proposed mechanisms of sludge granulation broadly agree on the importance of EPS  
5 in initial stages of granule formation. In anaerobic systems, EPS production is generally thought  
6 to increase cell surface hydrophobicity, providing a 'sticky' matrix for additional cells/flocs to  
7 adsorb (Ding et al., 2015; Sheng et al., 2010). Xu et al. (2018) proposed that granulation relied on  
8 a continually decreasing increasing OLR and  $V_{up}$ , which initially stimulated EPS production,  
9 particularly proteins, resulting in greater cell surface hydrophobicity and, therefore, aggregation  
10 into granules. Similar observations were made by other studies (Torres et al., 2018; Wang et al.,  
11 2018) as well as for aerobic granulation (Gao et al., 2011; Kent et al., 2018). Cui et al. (2014)  
12 proposed an aerobic granulation mechanism based on simultaneous growth of autotrophic and  
13 heterotrophic microorganisms, in which the heterotrophs grew quickly and established an EPS  
14 matrix as the basis for granule formation.

15

16 Anammox granulation has been proposed in three steps. The first step involves initial cell-to-cell  
17 contact of anammox bacteria and aggregation within a thin layer of EPS. Growth occurs in the  
18 second step, and, finally, these aggregates fuse together along with other (heterotrophic) bacteria,  
19 forming an anammox granule. As with anaerobic and aerobic granules, proteins were thought to  
20 play an important structural role (Lin and Wang, 2017). Divalent cationic bridging was also  
21 identified as an important aspect of EPS bridging in anammox granular sludge, leading to dense,  
22 stable granules (Lin and Wang, 2017). Indeed, multiple studies have implicated the importance of  
23 cations, such as  $Ca^{2+}$  or  $Mg^{2+}$ , interacting with EPS (Caudan et al., 2014; Kończak et al., 2014).

24 Whatever the precise mechanism, one unifying concept is common among all EPS-related  
25 granulation theories: EPS production by single cells or small aggregations of cells forms an initial  
26 matrix for the attachment of other cells, and protein content of EPS is beneficial for granulation.

1

2 *4.5 Granulation based upon a layered structure model*

3

4 Many studies have described anaerobic, aerobic, and anammox granules as being made up of  
5 concentric layers. A layered structure for methanogenic granules was first suggested by MacLeod  
6 et al. (1990), and later by Ahn (2000), whereby aggregations of *Methanosaeta* formed a central  
7 core. Other functional groups, such as fermentative bacteria, acidogens and acetogens, are  
8 thought to then form concentric layers around the archaeal core (Hulshoff Pol et al., 2004;  
9 McHugh et al., 2003) – thus building a ‘methanogenic’ consortium capable of achieving the  
10 entire AD process. This theory is corroborated by studies using 16S rRNA-targeted fluorescence  
11 *in situ* hybridization (FISH) (Raskin et al., 1994; Collins et al., 2005; Sekiguchi et al., 1999; Tagawa  
12 et al., 2000). In aerobic granules, layers typically comprise an anaerobic core with aerobic  
13 organisms on the surface (Franca et al., 2018; Tay et al., 2002). Lv et al. (2014b) proposed that  
14 granules assemble according to a deterministic mechanism rather than a stochastic,  
15 aggregation/disintegration model. And, it was hypothesised that in anammox granules,  
16 aggregation of single cells leads to the formation of flocs, which grow and develop an outer rim  
17 of aerobic ammonium oxidisers leading to an anoxic core of anammox bacteria. A novel,  
18 ‘budding’ mechanism has also been proposed, whereby growth of anammox bacteria at the core  
19 of the granule causes protrusions at the outer surface, which are broken off by shear forces,  
20 forming the basis for new granules (Vlaeminck et al., 2010).

21

22 *4.6 Formation around inorganic nuclei*

23

24 Inert particulate matter has been proposed to act as an inorganic nucleus for growth during  
25 initial stages of anaerobic sludge granulation, even influencing the size distribution of granules in  
26 reactors (Pereboom, 1994). Some studies have also observed the beneficial effects for

1 granulation of adding nucleating agents, such as activated carbon and zeolite (Fernández et al.,  
2 2008; Montalvo et al., 2014; Zhang et al., 2015; Zhou et al., 2015). Verawaty et al. (2011) used a  
3 fluorescent labeling approach to study the effect of adding crushed aerobic granular biomass to  
4 floccular biomass, finding considerable improvements in granulation and hypothesising that  
5 crushed granules acted as nuclei for the attachment of flocs and in preventing biomass washout.  
6 This is an idea that extends to both aerobic and anammox granules (Long et al., 2014; Ni et al.,  
7 2010).

8

## 9 **5. Physico-chemical characterisation of granular sludge**

10

11 Size-distribution, density, settleability, EPS composition, porosity, volatile solids concentrations  
12 (measured as VSS), and shear strength are common physico-chemical parameters measured for  
13 all types of granules. These parameters are inter-related and have strong implications for granule,  
14 and bioreactor, function.

15

### 16 *5.1 Common characteristics of anaerobic granules*

17

18 Size distribution of granules has long been an important characteristic. Coupled with the density  
19 of the aggregate, this determines the settleability, and retention, in AD systems (Bellouti et al.,  
20 1997; Jeison and Chamy, 1998). Many studies describe the effect of hydrodynamics on granule  
21 size (Arcand et al., 1994; Chang and Lin, 2004), but others (e.g., Batstone and Keller, 2001)  
22 found feed (wastewater) to be a stronger influence. Generally, the diameter of anaerobic granules  
23 ranges from 0.1 mm to 5 mm (Ahn, 2000; Shin et al., 2019; Trego et al., 2018). Batstone and  
24 Keller (2001) found that though the size distribution varied across several sludge sources, the  
25 diameter of most granules was between 0.5 and 2 mm. Methanogenic granules are usually dark in  
26 colour, spherical, and have settling velocities around 60 m/h (Hulshoff Pol et al., 2004;

1 Milferstedt et al., 2017a). They are naturally porous, but porosity was found to increase with  
2 granule size, likely having strong effects on mass transfer, biogas diffusion and activity (Afridi et  
3 al., 2017; Jiang et al., 2016; Wu et al., 2016).

4

5 Indeed, size has also been found to have implications for granule activity. Bhunia and  
6 Ghangrekar (2007) found that as granule size increased, methanogenic activity also increased,  
7 though they propose that at an undetermined threshold size, activity would begin to decrease  
8 again. They argue, based on previous findings (Alphenaar et al., 1993; Batstone and Keller, 2001;  
9 Fukuzaki et al., 1995; Henze and Harremoës, 1983), that as size increases substrate diffusion  
10 decreases, and additionally, that as size increases additional layers of EPS inhibit substrate  
11 diffusion – thus decreasing overall activity. A study by Díaz et al. (2006) separated granules based  
12 on colour: black, grey, or brown. They noted differing structure in granules of different colour,  
13 where black granules were small and compact, grey granules were more layered, and brown  
14 granules were less compact and more porous. They suggested that the larger, brown granules  
15 corresponded to older aggregates, and, further, that the different colour ‘types’ were at different  
16 development stages – but this hypothesis was never further developed or tested.

17

## 18 *5.2 Common characteristics of aerobic granules*

19

20 Generally, aerobic granules are smaller than anaerobic granules, ranging from 0.2–2.0 mm in  
21 diameter, sometimes reaching 3 mm (Cyzdik-Kwiatkowska et al., 2016; Jang et al., 2003; Tay et  
22 al., 2001; Zheng and Yu, 2007). The smaller size, compared to their anaerobic counterparts, has  
23 been attributed to the more rigorous mixing strategies used in aerated digesters (Dangcong et al.,  
24 1999). Aerobic granule size has been shown to vary with OLR with higher organic loading  
25 leading to smaller granules (López-Palau et al., 2009). Tay et al. (2001) concluded, however, that  
26 substrate type determined granule size, comparing granulation under glucose and acetate feeds,

1 with glucose producing bigger granules. When one sludge sample was separated into a range of  
2 size fractions, it was found granule size varied from <math>0.5\text{--}4\text{ mm}</math>, with a majority between 1 and 3  
3 mm in diameter (Toh et al., 2003). The aggregates are usually described as round or spherical  
4 (Dangcong et al., 1999; Tay et al., 2001), but irregular shapes have been reported (Jang et al.,  
5 2003). In one case, uneven surface morphology was described and attributed to the easily-  
6 degradable nature of the wastewater and the high specific loading rate applied (Morgenroth et al.,  
7 1997), both of which can result in lower-density biofilms (van Loosdrecht et al., 1995).

8

9 The density of aerobic granules varies with depth and the surface (the outermost  $600\text{ }\mu\text{m}</math>) has  
10 been shown to contain the densest layers of the biofilm (Toh et al., 2003). Nonetheless, settling  
11 velocities between 25 and 35 m/h are repeatedly reported (Jang et al., 2003; Tay et al., 2002,  
12 2001), and there is general agreement around the settling characteristics of aerobic granules.  
13 When Toh et al. (2003) separated granules according to size, they found settling velocities  
14 increased with size, until granule diameters reached 2-3 mm when the settling velocity decreased.  
15 The larger granules were found to be less solid, contain more cavities, and have lower biofilm  
16 strength, than their smaller counterparts. Furthermore, they observed the interior of larger  
17 granules had deteriorated, likely due to limited substrate transfer. Subsequently, high EPS  
18 concentrations were associated with increased settleability (Deng et al., 2016). EPS in aerobic  
19 granules is predominantly composed of protein (Adav et al., 2008; McSwain et al., 2005),  
20 although polysaccharides were relatively more abundant in outer layers (McSwain et al., 2005).$

21

### 22 *5.3 Common characteristics of anammox granules*

23

24 Anammox granules are usually defined by a reddish, carmine colour, due to high concentrations  
25 of hemachrome (Tang et al., 2011), which is directly related to anammox activity (Song et al.,  
26 2017). The surface morphology is said to vary depending on bioreactor operating conditions,

1 sometimes described as globular and rigid, planar and flexible, or cauliflower-shaped, with  
2 irregular and uneven characteristics (Lu et al., 2012; Song et al., 2017; Vlaeminck et al., 2010).  
3 Settling velocities are wide-ranging (35–160 m/h) but granule densities are close to  $1.02 \text{ g/cm}^3$   
4 (Lu et al., 2012; Song et al., 2017; Tang et al., 2011). Anammox granules are generally larger than  
5 aerobic granules, sometimes spanning over 6 mm in diameter (Lu et al., 2012; Tang et al., 2011).  
6 Mean diameters are generally 2.5–3 mm (Lu et al., 2012; Ni et al., 2011; Song et al., 2017; Tang et  
7 al., 2011), but can be  $<1.5 \text{ mm}$  (Ma et al., 2013; Ni et al., 2015; Okabe et al., 2011). It was  
8 proposed that extra-large anammox granules form after smaller granules collide and then attach  
9 together (Song et al., 2017).

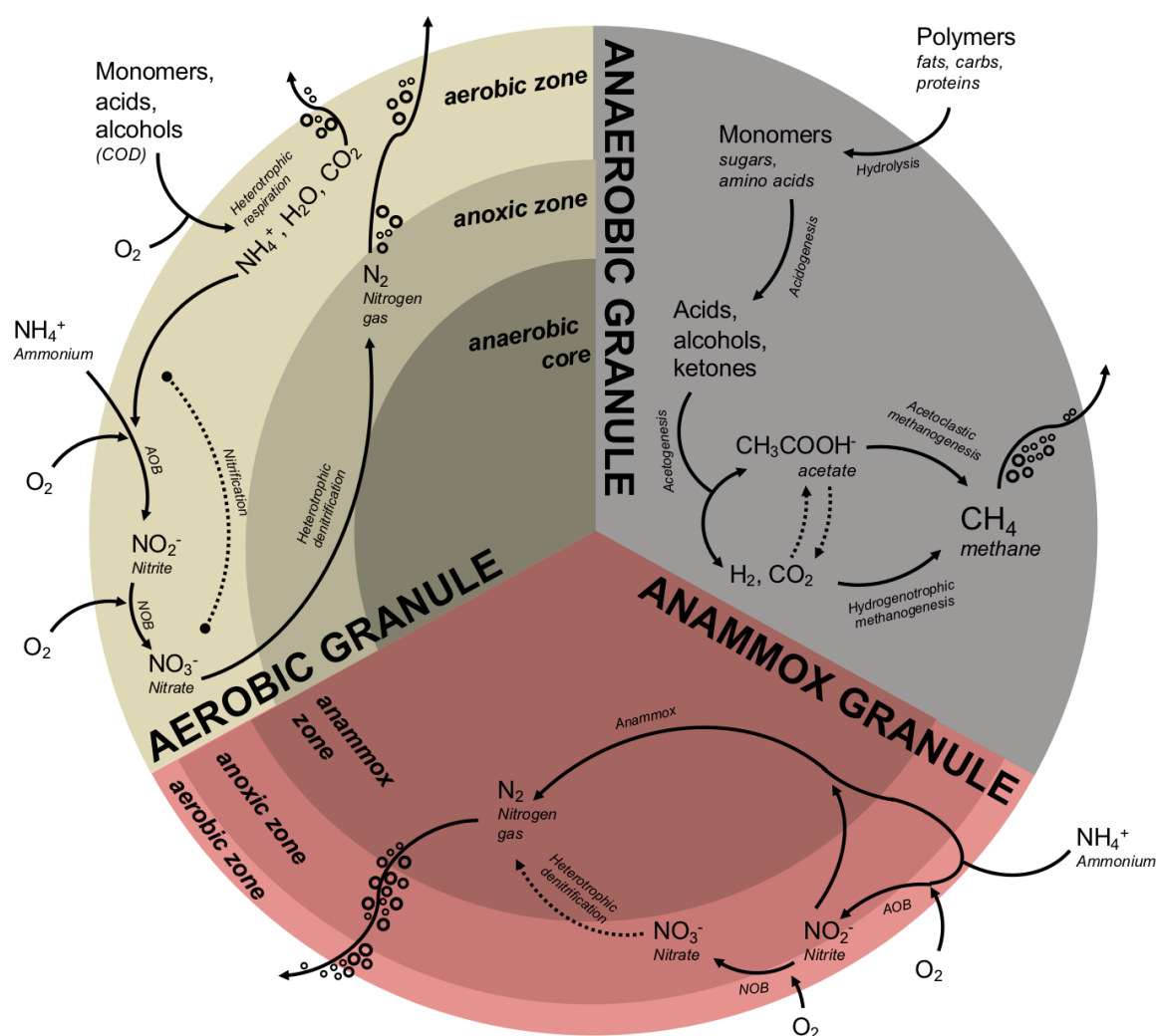
10

11 Granule size determines surface-to-volume ratio, which has important implications for mass  
12 transport, and, consequently, for both reactor performance and modelling (Volcke et al., 2012).  
13 Indeed, it was determined that larger anammox granules achieved higher conversion rates due to  
14 higher ammonium surface loads and lower oxygen penetration depths, making large granules  
15 more anoxic and conferring a competitive advantage on the anammox bacteria (Vlaeminck et al.,  
16 2010; Volcke et al., 2010). However, the bigger the granule gets, the more prone seems to  
17 floatation and washout. Gas pockets were observed in larger granules where EPS had obstructed  
18 interstitial channels used for gas diffusion. When the pockets fill with trapped gas the granule  
19 tends to float. Since this phenomenon was linked to larger granules, Lu et al. (2012) suggested  
20 controlling the size by limiting granular diameter to 2.20 mm to avoid washout.

21

22 In fact, anammox bacteria have been found to produce significantly more EPS in aggregates  
23 than has been observed in anaerobic or aerobic granules. For example, 111–127 mgVSS/g total  
24 EPS was found in anammox granules compared to about 60 mg/g in aerobic and anaerobic  
25 granules (Ni et al., 2015). Most studies found protein is the most common EPS component but  
26 that concentrations fluctuate with operating, or enrichment, conditions. However, the spatial

1 distribution of EPS components in anammox granules appears organised, and potentially  
 2 stratified. Humic-like substances were predominant in loosely-bound EPS, whilst proteins  
 3 dominated tightly-bound EPS (Jia et al., 2017). Moreover,  $\alpha$ -polysaccharides and proteins were  
 4 found at the centre of granules, and considered to be the structural backbone of the granule,  
 5 whilst  $\beta$ -polysaccharides were concentrated in the outermost layers beneath which the anammox  
 6 bacteria proliferated (Lin and Wang, 2017). This type of organized layering of EPS not only  
 7 influences structural properties, but may suggest spatial organisation of the microbial  
 8 community.



9  
 10 **Figure 1.** Combined schematic illustrating zones of activity, and biochemical conversions,  
 11 characteristic of anaerobic, aerobic and anammox granules.

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## 6. Granular sludge microbiomes

Just as with many other similar types of microbial communities from across the built environment, granular biofilms have been widely studied using the tools of microbial ecology. The tools used include those based on targeted rRNA genes, but have evolved to include ‘omics strategies focused on systems biology of whole microbial communities. Equally, however, granules represent unique forms of microbial communities, easily available from a range of environmental biotechnologies, and with no obvious or widely available equivalents in Nature, and so offer interesting model structures – tools themselves – for high-throughput studies in microbial ecology.

### *6.1 Evolution of techniques and practices in microbial ecology*

Microbial ecology concerns diversity within microbial communities, and their interactions with each other and their environment. It has the goal to not only identify specific microorganisms in defined environments, but to also discover their functions and, thereby, assess their role(s) in the environment (Zoetendal et al., 2004). The concept of microbial diversity is at the heart of such studies and has been defined as the complexity and variation at different levels of taxonomic organisation (Torsvik and Øvreås, 2002). Traditionally, studies of microbial diversity were culture-dependent – largely based on the assumption that cultivation on an artificial medium would yield most of the organisms present in a sample – while, in fact, fewer than 1% of all microbial cells in a typical environmental sample will be culturable under laboratory conditions, a challenge known as ‘The Great Plate-Count Anomaly’ (Amann et al., 1995; Keller and Zengler, 2004; Staley and Konopka, 1985). The true microbial diversity of the environment cannot be determined using antiquated approaches that rely on cultivation alone. Indeed, prior to the



1 development of modern, molecular microbial ecology, it was impossible to distinguish the  
2 evolutionary relationships that connect all of life (Pace, 1997). Moreover, prior to the use of  
3 comparative analyses of DNA sequences, there was no classification of the evolutionarily-  
4 distinct *Archaea* – key contributors to the AD process.

5

6 As such, ‘molecular methods’ have become the cornerstone of microbial ecology, underpinning  
7 the assessment of spatial and temporal fluctuations in microbial diversity (O’Flaherty et al.,  
8 2006). The molecular toolbox was founded on the identification of the 5S, 16S, and 18S  
9 ribosomal RNA (rRNA) marker gene sequences (Lane et al., 1985; Woese, 1987). Nearly 30 years  
10 since the taxonomic level of ‘domain’ was proposed by Woese et al. (1990), the 16S rRNA gene  
11 is central to prokaryotic species identification. It is highly conserved in all prokaryotic species,  
12 part of the ribosome and required by all organisms for the synthesis of proteins (Head et al.,  
13 1998). Several mainstay techniques in microbial ecology rely upon the 16S rRNA gene, including:  
14 (i) Fluorescence *in situ* hybridisation (FISH) – uses specifically designed, fluorescent rRNA  
15 probes that hybridise to phylogenetic markers and to detect, and determine the location, of  
16 target organisms. Historically used for genome mapping, FISH can be applied to study the  
17 spatial distribution of species in biofilms (O’Connor, 2008); (ii) terminal restriction fragment  
18 length polymorphism (T-RFLP) – assesses the diversity, and structure, of complex microbial  
19 communities for comparison across ecosystems by detecting small variations in [typically] the  
20 16S rRNA gene (Moyer et al., 1994). More recently, and despite now numbering amongst “old-  
21 school” techniques, T-RFLP has been re-evaluated as an important tool to quickly screen AD  
22 communities (De Vrieze et al., 2018); (iii) stable isotope probing (SIP) – can be used to trace  
23 incorporation of substrates enriched in rare, stable isotopes, such as <sup>13</sup>C-carbon, into DNA,  
24 rRNA, or mRNA and implicate microbial species involved in specific metabolic processes  
25 similar to those found *in situ* (Radajewski et al., 2000); (iv) quantitative polymerase chain reaction  
26 (qPCR) – considered a high-throughput method for quantification of target genes in a sample,

1 indicating species abundance (Higuchi et al., 1992); and (v) high-throughput sequencing (HTS) –  
2 can be used to sequence PCR amplicons of 16S rRNA genes from community nucleic acids,  
3 providing deep and resolute taxa identification and community characterisation.

4  
5 Combinations of these (and other) tools have helped uncover new insights to microbial diversity  
6 and function in the environment (Hall, 2007). HTS, in particular, is used beyond targeted rRNA  
7 studies and to support comprehensive analyses of whole genomes and transcriptomes (Shendure  
8 and Ji, 2008). HTS has contributed to achieving a whole-system approach in microbial ecology –  
9 whereby not only whole genomes and transcriptomes, but metagenomes and metatranscriptomes  
10 may be sequenced, representing entire communities (Hemme et al., 2010; Mason et al., 2012;  
11 Riesenfeld et al., 2004; Taş et al., 2014). The DNA sequence of a genome of a particular species  
12 will reveal all of the genes that can potentially be expressed by that organism – in essence, it  
13 uncovers the genomic potential – but when studying entire microbial communities, a  
14 metagenomic approach involves the random sequencing of the collective DNA of the whole  
15 community (Hugenholtz and Tyson, 2008). Metagenomics has transformed microbial ecology  
16 but DNA from dormant and dead cells will persist and can be picked up with the analysis  
17 (Bakken and Frostegård, 2006), so to further elucidate which genes are being expressed the  
18 metatranscriptome is sequenced (Sorek and Cossart, 2010). Using RNA – a single stranded  
19 complement of encoded genomic material – is much more sensitive to environmental conditions,  
20 allowing analysis of the active genes in the environment. Metatranscriptomics involves reverse  
21 transcribing the extracted RNA to cDNA, a replicate of the gene that was expressed (Frias-  
22 Lopez et al., 2008).

23  
24 The functional expression of microbiomes cannot be entirely captured by RNA-based studies as  
25 not all of the mRNA will be translated into proteins, and RNA-based studies are unable to  
26 capture processes such as proteolysis/protein turnover. To reveal finer detail on gene

1 expression, metaproteomic studies can be designed (Hettich et al., 2013) to identify proteins  
2 extracted from environmental samples (Wilmes and Bond, 2006). Proteins are the final product  
3 of functional gene expression, and the basic molecular machinery – the molecules that carry out  
4 cellular metabolism (Hettich et al., 2013). Proteomics can provide insight to metabolic pathways  
5 (Abram et al., 2011) and identify specifically which proteins are associated with environmental  
6 stresses. The workflow generally comprises of extraction, separation and identification (usually  
7 using mass spectrometry) of the proteins from a sample (Tanca et al., 2014). Genome-centric  
8 studies combining metagenomics, metatranscriptomics and metaproteomics can be used to  
9 assess the contribution of microbial communities to entire ecosystem function (Maron et al.,  
10 2007) – one of the primary aims of microbial ecology.

11  
12 However, to get beyond analyses of just metabolic potential, and to uncover community  
13 function under defined conditions, metabolomics may also be used. This is a developing field  
14 centring around the analysis of the metabolites produced by, and present in, biosystems  
15 (Smolinska et al., 2012). The aim is to characterise precise interactions between organisms and  
16 their environment on the molecular level, including the functional state and health of organisms  
17 or their responses to environmental stresses. Metabolites are analysed by a variety of procedures  
18 (Goodacre et al., 2004) but generally include nuclear magnetic resonance (NMR) or mass  
19 spectrometry (MS) as part of the main analytical platforms (Smolinska et al., 2012). Combining  
20 ‘omics-derived datasets affords comprehensive insights to the genomic, and metabolic, potential  
21 and activity of whole ecosystems, allowing for improved management of engineered, and natural,  
22 environments based on interspecies interactions and key functional capacities (Vanwonterghem  
23 et al., 2014), but it also provides a framework for fundamental study of microbial biofilms.

24

## 25 *6.2 Microbiome of anaerobic granules*

26

1 As yet, there is a striking absence of studies making use of metagenomics or metatranscriptomics  
2 to elucidate the whole-community structure of anaerobic granules. Other AD systems have been  
3 studied in detail, but not the communities which make up methanogenic granular aggregates.  
4 What we know about the community structure of anaerobic granules is instead limited to 16S  
5 rRNA-based studies, which are often used to determine how communities respond to shifts in  
6 environmental conditions. In particular, granular microbiomes are found to shift dramatically in  
7 response to temperature and substrate (Cerrillo et al., 2016; Keating et al., 2018; McKeown et al.,  
8 2009a; Na et al., 2016; Zhu et al., 2017). Keating et al. (2018) found the active community of  
9 low-temperature-adapted granules consisted primarily of *Proteobacteria*, *Synergistetes*, *Bacteroidetes*,  
10 *Chloroflexi* (particularly the genus *Anaerolinea*) and the methanogenic archaea *Methanosaeta*. High  
11 relative abundances of *Synergistetes* – usually rare in such systems – were thought important for  
12 low-temperature AD, whilst *Methanosaeta* and *Anaerolinea* – which are filamentous – were  
13 considered potentially important for structural integrity of the biofilms, especially during  
14 granulation. Other studies found *Methanosaeta* are indeed critical during granulation, even under  
15 high salinity conditions (Gagliano et al., 2017). In fact, under various conditions, *Methanosaeta*  
16 were reported to be abundant under, or to clearly response to, environmental stress (Cho et al.,  
17 2016; Connelly et al., 2017; Na et al., 2016; Zhu et al., 2017). *Proteobacteria*, many of which are  
18 found in syntrophy with a methanogenic partner, and *Chloroflexi* were abundant under various  
19 conditions (Bovio et al., 2019).

20

21 Datasets on methanogenic granules have not yet been published, but many studies have applied  
22 metagenomics to better understanding the AD process in samples of digestate, often from  
23 municipal wastewater treatment plants or biogas digesters. In such samples the community was  
24 comprised of 85-95% bacteria and 3-6% archaea, with bacterial populations dominated by  
25 *Proteobacteria*, *Firmicutes*, and *Bacteroidetes* – regardless of operating conditions, and in agreement  
26 with many of the rRNA-focused studies (Guo et al., 2015; St-Pierre and Wright, 2014; Treu et

1 al., 2016; Yang et al., 2014). Archaeal communities sampled comprised primarily of *Methanosaeta*  
2 and *Methanosarcina* (Yang et al., 2014), with functional enzyme-encoding genes, such as acetate  
3 kinase (*AckA*), phosphate acetyltransferase (*PTA*) and acetyl-CoA synthetase (*ACSS*), indicating  
4 acetoclastic methanogenesis as the dominant methane-generating pathway (Guo et al., 2015).  
5 Meanwhile, in biogas digesters treating cattle manure, the archaeal community was found to be  
6 less diverse and dominated by *Methanomicrobia* and *Methanobacteria*, suggesting a hydrogenotrophic  
7 pathway for methanogenesis (Treu et al., 2016).

8  
9 Metagenomics has also been used to study the organisation of AD consortia, and has uncovered  
10 previously unknown lineages. In one case the entirety of the AD community could be grouped  
11 into two categories (Campanaro et al., 2016): i) organisms specialised in one primary function,  
12 such as central carbohydrate metabolism, or utilisation of amino-sugars; and ii) multi-functional  
13 organisms with the genetic capacity for multiple metabolic functions, such as sugar fermentation  
14 and methane production (Vanwonterghem et al., 2016). Campanaro et al. (2016) used this  
15 concept and the metagenomic data to propose a funnel-type model to describe organisation of  
16 the AD community, whereby each of the four stages of AD is catalysed by a more specialised  
17 trophic group of microorganisms, culminating in methanogenesis by a group of highly  
18 specialised archaea with a minimal range of substrates. Landmark studies providing metagenomic  
19 and/or metatranscriptomic analyses of anaerobic granules may well yield valuable insights to  
20 biofilm organisation, microbial stress responses, community assembly, and mass transport of  
21 substrates between trophic groups.

22

### 23 *6.3 Microbiome of aerobic granules*

24

25 Aerobic treatment relies on heterotrophic removal of organics, as well as of nitrogen and  
26 phosphorus by simultaneous nitrification-denitrification and biological phosphorus removal

1 processes. Aerobic granules thus typically comprise of communities enriched with bacteria  
2 involved in nitrification, denitrification and phosphorus removal, such as the AOB, NOB,  
3 bacterial denitrifiers and polyphosphate-accumulating organisms (PAO) (Oehmen et al., 2010;  
4 Winkler et al., 2012). Many studies have analysed the microbiome of aerobic granules during  
5 granulation and under fluctuating conditions. Li et al. (2008) monitored the microbial  
6 community during granulation under different substrate loading rates, finding that loading  
7 resulted in reduced species diversity, whilst reduced loading rates produced granules with higher  
8 diversity. No trend was apparent in the key species dominating during granulation they suggested  
9 no one particular species is required for aerobic granulation. While that may be the case, the  
10 dominant microorganisms in aerobic granules across most studies are from the Proteobacteria,  
11 specifically Beta- and Gamma-proteobacteria (Adav et al., 2010; Aqeel et al., 2016; Chen et al.,  
12 2016; He et al., 2017; Li et al., 2008; Liu et al., 2017; Sun et al., 2017; Tan et al., 2014; Zhang et  
13 al., 2018).

14  
15 When anaerobic granules were transitioned to aerobic granules, the relative abundance of  
16 Proteobacteria increased from 18% to 39% and included *Comamonadaceae*, *Xanthomonadaceae* and  
17 *Rhodocyclaceae* (Sun et al., 2017). *Comamonadaceae* is a large family belonging to the order  
18 Burkholderiales and phylum Betaproteobacteria. They are usually found in nitrifying/denitrifying  
19 sludge (Li et al., 2008) and comprise over 100 species with a wide variety of metabolic  
20 capabilities, including aerobic organotrophy, anaerobic denitrification and  $\text{Fe}^{3+}$  reduction  
21 (Willems, 2014). *Rhodocyclaceae* is a family of facultatively anaerobic Gammaproteobacteria  
22 detected in the core of aerobic granules (Lv et al., 2014a), capable of complete denitrification,  
23 observed during aerobic granulation, associated with increased protein in EPS (Aqeel et al.,  
24 2016), and possibly important for aggregation.

25

1 Although used in engineered systems for wastewater treatment, aerobic granules have recently  
2 been used by microbial ecologists in several landmark studies to test fundamental ecological  
3 concepts. In aerobic granules enriched for enhanced phosphorus removal the abundance of  
4 *Candidatus Accumulibacter*, a known PAO from the Betaproteobacteria, increased (Henriet et al.,  
5 2016). Leventhal et al. (2018) used shotgun metagenomics of single aerobic granules from an  
6 enhanced biological phosphorus removal (EBPR) reactor to investigate strain-level diversity in  
7 biofilms. They found single granules were highly replicated at the genus level and could not be  
8 grouped into community types. However, community types for *Ca. Accumulibacter* – the most  
9 abundant organism – emerged at strain-level. They proposed strain-level diversity drives  
10 community assembly and overall biofilm diversity (Leventhal et al., 2018). Tan et al. (2014) used  
11 aerobic granules and cDNA-based analysis to assess the role of quorum sensing in community  
12 assembly. They found strong correlations between the N-acetyl-homoserine-lactone (AHL)-  
13 based quorum sensing system (a highly studied quorum sensing system) and granule formation.  
14 They further observed that biofilm disintegration was correlated with reduced AHL, and they  
15 proposed quorum sensing may be a key component of biofilm formation and the maintenance  
16 of structural integrity (Tan et al., 2014). Granules provide perfectly compact, yet complex,  
17 communities for ecological studies into community assembly, and expansion and succession of  
18 the microbiome.

19

#### 20 *6.4 Microbiome of anammox granules*

21

22 Anammox bacteria are uniquely able to combine ammonium oxidation with reduction of either  
23 nitrite or nitrate to dinitrogen gas. The anammox bacteria are currently classified across six  
24 candidate genera within the phylum Planctomycetes and order Brocadiales (Guo et al., 2016;  
25 Jetten et al., 2010; Khramenkov et al., 2013; Kuenen, 2008). Many studies report *Candidatus*  
26 Brocadiacea as the most abundant anammox bacterium in anammox granules (Bagchi et al.,

1 2016; Gonzalez-Gil et al., 2015; Lawson et al., 2017; Speth et al., 2016), although *Candidatus*  
2 *Kuenenia* (Guo et al., 2016) and *Candidatus* Jettenia (Hu et al., 2012) were reported as dominant  
3 too. What appears consistent is that anammox granules usually consist of one or two dominant  
4 anammox bacteria – for example, Gonzalez-Gil et al. (2015) found *Ca. Brocadiaceae* made up  
5 99% of the total anammox community in their samples. In 2006, the first anammox genome – of  
6 *Ca. Kuenenia* – was released, revealing the metabolic pathway underpinning the anammox  
7 process. Initially, nitrite is reduced to nitric oxide (NO) by  $cd_1$  nitrite reductase (NirS). Further  
8 condensation of ammonium and NO by hydrazine synthase (HZS) produces the highly toxic  
9 intermediate, hydrazine. The oxidation of hydrazine by a hydroxylamine oxireductase (HAO)-  
10 like hydrazine dehydrogenase (HDH) produces the final end-product,  $N_2$  (Strous et al., 2006).  
11 The entire catabolic process takes place inside an intracytoplasmic compartment, the  
12 anammoxosome (Jetten et al., 2009). It is speculated that this architectural feature evolved in  
13 anammox bacteria to protect the rest of the cellular organelles from hydrazine toxicity (van  
14 Niftrik et al., 2004).

15

16 While the process is autotrophic, some anammox bacteria are speculated to carry out  
17 heterotrophic, or potentially mixotrophic, ammonium oxidation, simultaneously removing  
18 carbon and nitrogen (Shu et al., 2015). What is universally recognised is that anammox granules  
19 support multiple reactions resulting in the proliferation of a complex, multi-trophic, cooperative  
20 (and sometimes competitive) microbial community aiding in nitrogen cycling. Anammox bacteria  
21 can co-occur with NOB and AOB, which can also be involved in anammox-based nitrogen  
22 removal. For example, in aerobic (outermost) regions of anammox granules AOB, such as  
23 *Nitrosomonas*, provide nitrite for NOB which compete with anammox bacteria for substrate (Guo  
24 et al., 2016; Speth et al., 2016). The granule community commonly includes members of the  
25 Proteobacteria, Chlorobi, Bacteroidetes, and Chloroflexi phyla (Chu et al., 2015; Guo et al., 2016;  
26 Lawson et al., 2017; Shu et al., 2015; Speth et al., 2016). Multiple studies have even detected



1 methanogens – speculated to be using residual hydrogen gas to produce methane – as well as  
2 methanotrophs – capable of methanotrophic denitrification (Gonzalez-Gil et al., 2015; Hu et al.,  
3 2012). Whilst the microbiome of anammox granules supports multiple cooperative reactions,  
4 Gonzalez-Gil et al. (2015) found that they contained significantly fewer (about 350) operational  
5 taxonomic units than usually reported for anaerobic granules (888-1130). Reduced community  
6 richness may result in (i) functional specialisation of the anammox microbiome, (ii) selection by  
7 the environment, or operating process, for competitive microorganisms, or (iii) utilisation by  
8 anammox communities of a narrower substrate range than a typical anaerobic granule.

9

## 10 **7. Outlook: granules as tools beyond waste conversion technologies**

11

12 Granular biofilms have completely revolutionised sustainable wastewater treatment. The  
13 extraordinary discovery of the anaerobic granule in the 1970s launched an entire field of research  
14 into granular-based biotechnologies resulting in many other specialised types of granules.  
15 Notably, anammox and aerobic granule-based technologies have been successfully demonstrated  
16 at laboratory-, pilot-, and full-scale. Recently, however, and with the help of step-change  
17 advances in microbial ecology, including metagenomics, metatranscriptomics, metaproteomics  
18 and metabolomics, the granule is emerging as a perfect, high-throughput playground to test  
19 fundamental ecological concepts. Using holistic approaches, microbial ecologists piece together  
20 the complex interactions between key microorganisms and the mechanisms involved in  
21 community assembly, stress response, and the operation of biofilm life-cycles. A deeper  
22 understanding of those processes applies not only to granular biofilms, but to biofilm structures  
23 and microbial communities widespread elsewhere in the built environment and in Nature.

24

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