

## **A General Theory of Autoimmune Disease Causation: Integrating Innate and Adaptive Immunity, Altered Antigen Processing, Sex and Genetic Predispositions, and Microbiome Effects.**

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### **Abstract**

Current theories of autoimmunity are diverse, sometimes contradictory, and suffer from incompleteness. Although substantial evidence exists that adaptive and innate immunity, sex, genetic predisposition, and the microbiome all play essential roles in autoimmune disease etiologies and pathogenesis, and that antigen processing is altered during disease induction, no existing theory integrates all of these factors through a single, coherent mechanism. In an attempt to focus the field on the need to elucidate such an integrative mechanism, I propose one possibility here that, if nothing else, helps to identify the nature of the problems that need to be addressed. My theory is that autoimmune diseases are induced by normal immunological responses to unique pairs of complementary antigens, at least one of which is a molecular mimic of a host target. Each antigen in the complementary pair induces a complementary immune response (T or B cell); although each immune response is idiotypic in origin, the antigenic complementarity results in what appears to be an idio-type-anti-idio-type relationship between the responses. Additionally, because of the antigenic complementarity, each immune response mimics one of antigens, abrogating the distinction between self and non-self. If at least one of the antigens mimics a host antigen, then the resulting immunological civil war spreads to a host tissue. Complementary antigens also alter antigen processing so that antigens that would normally be proteolytically digested are presented by the major histocompatibility complex (MHC) to T and B cell receptors inducing a cross-reactive immune response. The resulting civil war is supported by the innate immune system due to the complementarity of the initiating antigens. Complementary antigens stimulate synergistic toll-like receptors (TLR) and/or nucleotide-binding oligomerization receptors (NOD) resulting in up-regulation of cytokine production and further stimulation of the adaptive immune response. Because the immune responses (e.g., antibodies) mimic the initiating antigens, this synergistic activation of innate immunity becomes chronic. Additionally, TLR and NOD function are highly sensitive to sex hormones, some becoming up-regulated and some down-regulated in the presence of either testosterone or estrogens. This sensitivity explains how sex modifies susceptibility to autoimmune diseases. Genetic mutations in TLR, NOD and MHC further alter antigen presentation and the degree to which antigens stimulate an immune response explaining how genetics also modifies susceptibility. Finally, sex hormones also alter the host microbiome, which in turn modulates autoimmune disease risk by shaping the immunological nature of self and by mediating susceptibility to microbial infection. Moreover, it appears that the microbiome camouflages itself from the immune system by mimicking the host antigenic repertoire; the mimicry between the antigens of the microbiome and the host results in selective attacks on microbiome constituents concomitant with any autoimmune attack on host tissues. This antigenic complementarity theory thereby integrates all major elements known to affect, or be affected by, autoimmune diseases and provides a set of testable implications.

**Keywords:** autoimmunity; toll-like receptors; TLR; nucleotide-binding oligomerization domain; NOD1; major histocompatibility complex; MHC; human leukocyte antigens; HLA; proteasome; innate immunity; adaptive immunity; T cells; B cells; antibodies; microbiome; tolerance; self; non-self; antigen processing

## Introduction

Theories play multiple roles in science. One is to integrate disparate observations within an internally coherent explanation that is consistent with already accepted scientific principles. A second is to use *incomplete data* to generate novel predictions about phenomena that may be overlooked within current research paradigms. A third purpose is to examine, and even to challenge, the assumptions underlying existing explanations of phenomena to make them explicitly amenable to testing. A fourth purpose is to address unresolved problems, anomalies, and contradictions within existing explanatory frameworks (Kuhn, 1959). These four roles – explanation, challenge, prediction and anomaly-accounting – provide tests for the relative merits of competing theories. The value of a theory is not only in whether there are data to support it, but whether it leaves significant anomalies or bodies of data unexplained, and what testable predictions it makes *that other theories do not* (Root-Bernstein, 1989). Finally, as one of my mentors in college, Art Pardee, maintained, “It is more important that a theory be interesting than that it be correct.” Incorrect theories can still be very “interesting” if they lead us to perform experiments we would otherwise not consider and yield unexpected phenomena we would otherwise not explore. Many important discoveries have resulted from *disproving* “interesting” theories (Root-Bernstein, 1989). It is in this light that I proffer the following theory of the role of infections as triggers of autoimmune diseases.

My goal is to integrate a broad set of phenomena that have been experimentally characterized but not yet explained coherently and integrally within any existing theory of autoimmune disease (AD). As anyone who has studied AD knows, there are at least a dozen competing theories currently circulating and there is evidence to support each. However, as Fairweather and I have recently demonstrated with regard to autoimmune myocarditis, every type of evidence favoring one theory can be explained by at least one other theory and no existing theory explains all of the available evidence (Root-Bernstein and Fairweather, 2015). Strikingly, no existing theory integrally accounts for why the disease agents associated with most AD are very common, yet particular AD are usually quite rare; how some of these disease agents can be associated with the induction of more than one AD; provides a mechanism by which most, but not all, AD susceptibility is skewed towards women; incorporates a role for innate immunity in supporting AD pathogenesis; provides a mechanism for the formation of circulating immune complexes (CIC) and perivascular cuffs in AD; explains why so-called “adjuvants” are required to induce AD in virtually all animal models of disease; or provides mechanisms by which AD can influence the host microbiome and vice-versa. These outstanding problems represent the kinds of anomalous findings that Kuhn (1959) would argue calls for “paradigm shift” in explaining AD. I propose a theory that explains these currently disparate phenomena within a single explanatory framework.

Among the assumptions that I have adopted in devising this new theory is one very fundamental one, which is that autoimmunity is not a mistake or error. I reject out of hand AD theories such as the escaped clone, aberrant signaling, T-cell-B-cell discordance, and dendritic malfunction theories because they rely on chance mistakes or errors. From a purely theoretical perspective, chance or random error is not experimentally testable and useless in furthering research or making clinically relevant progress. Additionally, from an experimental perspective, chance or random error cannot explain the facility with which virtually every animal in a test group can be given an AD under controlled conditions. Thus, I have assumed instead that AD result from the immune system properly doing the job it evolved to do, but under conditions that result in unusual and unexpected consequences. In short, I argue that autoimmunity is a *normal* response to rare circumstances rather than that AD result from aberrations in immune function.

### **Background: What Function Did the Immune System Evolve to Serve?**

Before it is possible to understand how autoimmune diseases disrupt normal organismal functioning, it is necessary to understand the molecular bases governing this functioning and what roles the immune system plays within this context. Particularly important are two principles that dominate molecular interactions both within and between hosts and their microbial communities: molecular complementarity and molecular mimicry.

All living systems are characterized by being built from a limited set of highly interactive components sometimes described as an “interactome”. Molecules aggregate into highly ordered, functional units at varying levels of complexity ranging from macromolecular complexes and organelles to cells, from cells to tissues and organs, and finally to the organism itself. The organizing principle at every level of organization is molecular complementarity – the intrinsic property of some molecules to take on structures and bonding patterns that permit them to interact reversibly with a select group of other molecules, macromolecules, or supra-molecular aggregates or cells (Root-Bernstein and Dillon, 1997; Hunding, et al., 2006; Norris and Root-Bernstein, 2009). Living organisms are, in short, comprised of highly-integrated, molecularly-complementary networks (Root-Bernstein, 2012; Csermely, 2006).

Molecular complementarity also determines and regulates microbial infectivity (Pizarro-Cerdá and Cossart, 2006; Ribeta and Cossart, 2015). The mechanistic basis upon which any two organisms form a commensal, symbiotic, parasitic or pathogenic relationship is the ability of the molecular mechanisms of each organism to mesh functionally with the other. Molecules produced by a microbe must be functional in the context of its host’s interactome. The identification of host cells by microbes generally relies on molecular complementarity between specific proteins on the cell surface of the microbe and receptors or transporters on the cell surface of host cells (e.g., Rosenshine & Finley, 1993; Cossart & Sansonetti, 2004; Thorley, et al., 2010; Kalia & Jameel, 2011). Since host molecules already interact functionally with these receptors or transporters, there is a reasonable likelihood that the microbial molecules that interact with the receptors or transporters will mimic the host ligands. Indeed, it has recently been “discovered” that many commensal microbes produce mimics of receptor ligands (Cohen, et al., 2017), although it has been known since the 1980s that the cell-wall breakdown product muramyl dipeptide mimics both the binding activity and some of the functions of serotonin (Root-Bernstein &

Westall, 1990; Takeuchi, et al., 1990; Krueger, et al., 1984). Thus, through evolutionary processes of variation and selection, molecular complementarity can lead to molecular mimicry of microbes for their hosts.

Mimicry of microbial molecules for host molecules has important immunological consequences. Damian (Damian, 1965; Damian, 1967; Damian, 1989) was the first to argue that parasites evolved to use such mimicry to hide from the immune system of the host. The more the parasitic molecules “look” like host molecules, the less likely the host immune system is able to recognize them as antigens. Subsequent studies have expanded Damian’s concept to viruses, bacteria and other microbes (Fujinami, et al., 1983; Davies, 1997; Rose, 2017). All commensal and symbiotic microbes display significant antigen similarity to their hosts, while pathogens exhibit lower levels of mimicry (He, et al., 2015; Root-Bernstein, 2016).

One role of the immune system is to prevent microbial interference with the host microbiome by regulating, obviating or eliminating infections. Because microbes are constantly evolving to avoid immune detection by selection to mimic host antigens, the immune system has evolved to make ever finer distinctions between “self” and “non-self”. Highly auto-reactive lymphocyte clones are eliminated during development, as are completely non-reactive clones (Kosmrlj, et al., 2008; Morris & Allen, 2012). The consequence of such selection is something that has not been fully appreciated: *After clonal selection, what remains, by default, are lymphocytes that express T cell or B cell receptors that mimic the range of host “self” antigens accessible to non-self molecules.* In other words, the immune system creates a simplified molecular “body double” of the host that can decoy potential pathogens and toxins away from host cells and then neutralize or destroy them. As a consequence of forming this “body double” microbes evolve not only to mimic host antigens, but TCR and BCR as well (Root-Bernstein, 2016; Root-Bernstein, 2017; He, et al., 2015; Moise, et al., 2016). Microbial mimicry of the immune system itself is a particularly subtle and effective way to avoid immunological detection and processing (He, et al., 2015; Root-Bernstein, 2017).

Molecular mimicry and molecular complementarity already play prominent roles in some AD theories, of course. The molecular mimicry theory (Oldstone and Fujinami, 1985) is based on the proposition that many microbial antigens mimic host antigens so that an active immune response to the microbe may cross-react with the host causing disease. The anti-idiotypic theory (Plotz, 1983; Bradley, 1984) is based on the observation that anti-idiotypic antibodies (or anti-idiotypic T cells) are ubiquitous in AD. Most microbes use some cellular receptor or transporter to target their infection; activation of an idiotypic immune response to the microbial antigen will therefore prompt clonal expansion of BCR or TCR that mimic the host receptor or transporter; an anti-idiotypic will mimic the microbe itself; thus, production of an active anti-idiotypic response to a microbe could lead to AD against the cell type or tissue targeted by the microbe. However, it should be noted that the role of anti-idiotypes in autoimmunity has been debated since their discovery: Zanetti (1983) and others (Adelman, et al., 2007; Tzioufas & Routsias, 2010) properly pointed out that anti-idiotypes may increase or decrease pathogenicity depending on the state of the immune system more generally.

I propose here that elements of both the molecular mimicry and anti-idiotypic theories (as well as several others, such as the bystander, hidden antigen and epitope drift theories) are at play simultaneously in AD and that by combining them in the context of the immune-system-as-body-double, one generates a powerful, coherent, and consistent theory. This integrated theory explains all of the experimental data and clinical observations currently known about AD and all of the anomalous findings mentioned above concerning rarity of AD compared with infection, multiple AD tied to single microbes, sex-related risks, microbiome roles in AD, etc.

### Antigenic Complementarity Theory (ACT)

Working from the observation that virtually all animal models of AD require two components, an “antigen” and an “adjuvant” (e.g., Cohen & Miller, 1994) – exceptions will be discussed below – I assume that induction of every AD requires two components. I will, for simplicity, both call both “antigens” for reasons that will become obvious as I proceed. I assume further that these antigens are molecularly complementary. This assumption will also be justified below. Finally, I assume that the two antigens mimic host molecules (FIGURE 1).

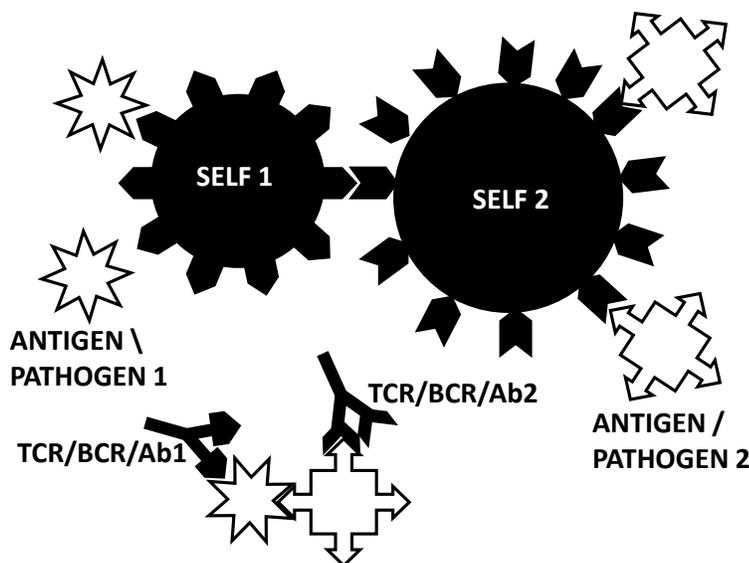


FIGURE 1: Organisms are comprised of interactomes based on molecularly complementary interactions between cells (Self 1 and Self 2). Microbes (Antigen/Pathogen 1 and Antigen/Pathogen 2) evolve to mimic host cellular receptors and transporters in order to recognize, enter and subvert the molecular machinery of specific cell types. The host responds by producing immune system molecules such as T cell receptors, B cell receptors and antibodies (TCR/BCR/Ab) that are molecularly complementary to the Antigen/Pathogens and capable of decoying and neutralizing the infectious potential of the Antigen/Pathogens.

A series of implications follow logically from these three assumptions, which I will abbreviate under the name “antigenic complementarity theory” or ACT, and these are illustrated in TABLE 1 and FIGURE 2.

TABLE 1: Key Epidemiological and Etiological Implications of ACT

*Individual agents will rarely correlate well with induction of any particular AD*

*Conversely, multiple agents will each correlate weakly with AD etiology*

*Specific combinations of agents will correlate far better than individual ones with AD etiology*

*Different combinations of agents will be associated with different AD*

*Any given agent may be associated with multiple AD by means of combinations with different second agents.*

*Antibody or T cells might replace the complementary antigen in repeated infections with a single agent (the combination antigen-antibody or antigen-TCR representing a novel antigenic pair).*

*The rarity of onset of AD in individuals is due to the rarity of particular combinations of agents co-occurring in any given individual.*

TABLE 1 lists some epidemiological and clinical implications of ACT. The most important is that complementary antigens/pathogens contributed from independent sources such as a pair of concurrent or overlapping infections will induce a series of immunological responses that are not induced by single antigens/pathogens. An immune system faced with the necessity to address such complementary antigens will appear to malfunction in the sense of losing its ability to discriminate between “self” and “nonself”, despite carrying out each of its normal functions properly. Figure 2 illustrates the series of conundrums that cause the appearance of malfunctioning and the induction of AD.

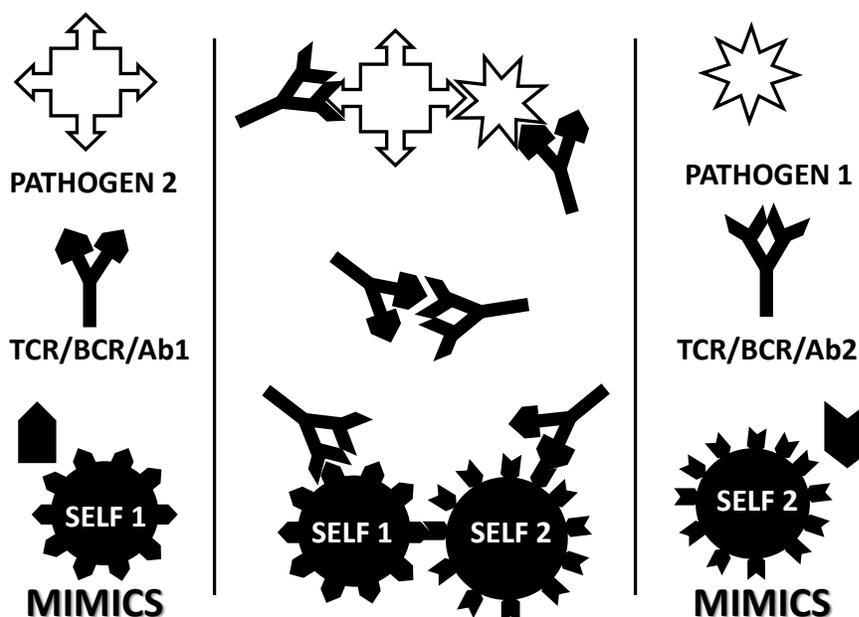


FIGURE 2: Imagine a host immunologically responding to a concurrent pair of pathogens expressing complementary antigens (Pathogen 1 and Pathogen 2). Imagine further that each of these pathogen antigens mimics a host antigen (Self 1 and Self 2). The antigens will each induce complementary T cell receptor, B cell receptor and/or antibody (TCR/BCR/Ab) responses but because the pathogen/antigens mimic Self 1 and Self 2, the complementary TCR/BCR/Ab responses will also recognize one of the Self antigens as a target. Each TCR/BCR/Ab response also mimics one of the Pathogen antigens so that each TCR/BCR/Ab recognizes the other as an antigenic target. The result is a civil war within the immune system itself that, because of Pathogen mimicry of Self spills over to host tissues. This model incorporates both the molecular mimicry theory of AD as well as the anti-idiotypic theory, but with a double twist involving two sets of molecular mimicry and the production of a pair of idiotypic responses that act like (but are not produced as) anti-idiotypic responses. All of these immunologic responses are “normal” and the results do not require the assumption of some error that “permits” tolerance to be abrogated in the induction of AD. What makes AD rare, according to this model, is the necessity for the host to encounter concomitant or overlapping, antigenically complementary pathogens.

Most importantly, the necessity of processing a pair of coincidental “self”-mimicking complementary antigens will force the immune system to break “self” tolerance. Each antigen induces a complementary immune response (whether T- or B-cell mediated). Because the antigens are themselves molecularly complementary (“antigenic complementarity”), the resulting immune responses will also be molecularly complementary *to each other*. In addition, each of the immune responses will now mimic one of the antigens so that the difference between “self” and “non-self” will be abrogated. The result will be the appearance of both cross-reactivity of the immune system to “self” antigens as in molecular mimicry theory and the concurrent production of what appears to be (but is not) an anti-idiotypic

response. These concurrent responses begin a “civil war” within the immune system itself that extends through molecular mimicry to attack the host as well. All of this process will occur by means of normal immune responses without any “mistakes” or failures of the tolerance system.

The “civil war” posited by ACT begins with normal immune responses and proceeds to produce five unique outcomes. First, as has been known since Ehrlich’s foundational experiments at the end of the 19<sup>th</sup> century, that each antigen induces a complementary T cell- and/or B-cell mediated response. In the case of the complementary infections posited here, each antigen mimics a host molecule, so each immune response will recognize a host molecule as a potential target. Everything that is known about molecular mimicry in AD therefore follows, but ACT proposes that there is more than one set of molecular mimics occurring in every AD. Thus, from the perspective of ACT, all data supporting molecular mimicry theory (MMT) are valid, but MMT has focused on only half of the AD story. ACT requires a second, complementary antigen that induces its own complementary immune response. This second antigen may also mimic one or more host antigens.

Next, because the antigens are complementary, the pair of immune responses (whether T cell- or B-cell mediated) will also be complementary to each other. In consequence, every AD will be characterized by the formation of what appear to be “anti-idiotypic” antibodies or T cell receptors (or both), but these “anti-idiotypes” will, in actuality, each be generated as primary, idiotypic responses. Thus, all evidence supporting a role for “anti-idiotypes” can be explained by ACT. ACT reinterprets these “anti-idiotypes” as *dual idiotypic* responses. When so-called “anti-idiotypes” arise matters: if they arise at the same time as the so-called idio type arises, then this is evidence for ACT; if the anti-idiotypic arises after the idio type concentration peaks, then it likely to be a true anti-idiotypic. Both scenarios may occur and the difference in timing might explain why it is sometimes observed that “anti-idiotypes” enhance pathogenicity the immune response in AD while sometimes moderating it (Zanetti, 1983; Adelman, et al., 2007; Tzioufas &, Routsias, 2010). I predict that complementary idio types cause pathogenicity, while true anti-idiotypes are generated to moderate AD.

Third, the presence of pairs of idiotypic, complementary immune responses will lead to the formation of either circulating immune complexes (CIC) (if the components are antibodies) or perivascular cuffs (if the components are lymphocytes) or both. This prediction of ACT uniquely differentiates it from all other AD theories, none of which can explain the nearly ubiquitous presence of high levels of CIC and/or of perivascular cuffing in AD. Moreover, in addition to the usual types of antibody-antigen CIC, complementary (supposedly idio type-anti-idio type) antibodies occur in a wide range of AD (Morgan, et al., 1979; Rose, et al., 1982; Simpson, et al., 1983; Zanetti, 1986; Lebrun-Grandie, et al., 1987; Bergonzi, et al., 1987; Jackson, 1988; Nordstrom, et al., 2000; Tincani, et al., 2006). If ACT is correct, CIC should contain two complementary antigens and their complementary antibodies, a prediction that has been satisfied for systemic lupus erythematosus (SLE), in which CIC have been found to contain not only DNA antigen and anti-DNA antibodies but also HMBG1, a DNA-binding protein involved in transcription regulation, and antibody to HMBG1 (Pisetsky, 2007; Tian, et al., 2007). A similar situation exists in type 1 diabetes mellitus (T1DM), in which antibodies to both insulin and its receptor (which are obviously complementary to each other) as well as to glucagon (which is complementary to insulin) are present, and the complementary pairs of antibodies precipitate each other (Root-Bernstein

& Dobbstein, 2001). Moreover, TCR in T1DM also express complementary sequences that mimic insulin, its receptor and glucagon; these TCR sequences bind to each other; and the TCR are targets for T1DM autoantibodies (Root-Bernstein & Podufaly, 2012). Such anti-idiotypic T cells are known to exist, but have not, apparently, yet been documented in AD perivascular cuffs. Their presence is a unique, testable prediction made by ACT.

Fourth, perhaps the most unusual prediction stemming uniquely from ACT is that the sequences of the T cell receptors or antibodies generated in an AD can identify the antigens or pathogens that initiated the disease. Because each antigen or pathogen induces a complementary immune response, and the antigens are complementary, the immune responses will also be complementary. In consequence, the immune response to one antigen will mimic the second antigen and the immune response to the second antigen will mimic the first (see FIGURE 2). As noted in the previous paragraph, such relationships between immune responses and complementary antigens have already been identified in SLE and T1DM. It should therefore be possible to use the sequences of TCR or antibodies up-regulated during AD to identify, through proteomic similarity searching, the initiating antigens (Root-Bernstein, 2016; Root-Bernstein, 2017). Since TCR sequences may, themselves, be used as therapeutic vaccines for AD (Vandenbark, et al., 1989), such information may be of direct practical application in the design of new AD treatments. Again, this prediction is unique to ACT and does not follow from any other theory of AD.

Fifth, ACT provides a specific mechanism, based on normal immunologic function, for breaking tolerance during the induction of AD. Presentation of a pair of complementary antigens to the immune system requires it to respond with complementary antibody or T cell responses. Each of these responses mimics the complementary antigen (see FIGURE 2). Thus, each immune response also becomes an antigen for the other, acting like idiotypic-anti-idiotypic pairs, even though each response is actually idiotypic. Moreover, since each antigen mimics a host molecule, each idiotypic immune response will potentially recognize a host molecule. Thus, complementary antigens that mimic host molecules will drive the production of host-cross-reactive, complementary idiotypic-anti-idiotypic immune responses that not only induce a civil war within the immune system, but one that engages host tissues as well. Additionally, as I will discuss below, because the microbiome evolves to mimic host antigens (including the host's TCR repertoire), the civil war can expand to (or from!) the microbiome.

In sum, ACT provides novel, testable explanations of how normal adaptive immune responses that break tolerance and trigger pathological consequences. However, the adaptive responses are only a small part of the unusual consequences that complementary antigens initiate.

### **ACT, Altered Antigen Processing and the Abrogation of "Self-Tolerance"**

Antigenic complementarity not only induces complementary immune responses that will fool the immune system into attacking itself and its host, it also provides a mechanism for altering antigen processing and thereby bypassing natural tolerance mechanisms. It is well known that molecules to which the immune system is tolerant can be made antigenic through chemical or physical alteration and that non-antigens can be made antigenic by chemically coupling them to an antigen or hapten (e.g.,

Landsteiner and van der Scheer, 1936; Eisen, et al., 1952). The formation of complementary antigen complexes can have the same effects (reviewed in Root-Bernstein, 2015).

Antigen processing begins with the uptake of potential antigens by macrophages, dendritic cells and other monocytes through various endocytotic and translation mechanisms that bring material into the cytosol where the material is enzymatically degraded. The degraded compounds are then sampled by major histocompatibility (called, in *Homo sapiens*, human leukocyte antigen) proteins (MHC/HLA). Degraded material that is recognized by MHC/HLA is then presented on the cell surface where the MHC/HLA-antigen complex can be recognized by an appropriate T cell receptor, resulting in the activation of an immune response (Blum, et al., 2013). Unfortunately, many of the details of antigen processing and presentation remain unclear, including the mechanisms governing which degraded material needs to be presented on the cell surface and the role of Toll-like receptor activation in regulating the process (Vyas, et al., 2008). Despite the uncertainties in the details of the process, it seems likely that in AD, altered antigen processing may present to the immune system self-mimicking antigen fragments that are not normally “seen” as antigenic. Complexation can alter the processing and presentation of molecules that would normally be treated as non-antigens. Two examples are illustrative.

One example involves insulin and glucagon. Neither compound is normally processed as an antigen but become so when presented to the immune system together. Insulin and glucagon are molecularly complementary, binding to each other with about 0.9 micromolar affinity (Root-Bernstein and Dobbstein, 2000; Dillon, et al., 2006). Since the active concentrations of these hormones are normally in the nanomolar range, they do not normally form a complex *in vivo* and neither hormone is processed as an antigen under normal physiological conditions. Starting in 1928, however, it was recognized that diabetics receiving insulin injections, the concentrations of which approach 1 mM, often developed anti-insulin antibodies. While some of the antigenicity involved amino acid variants present in the bovine and porcine insulins used at that time, the main antigenic determinant was the presence of 1-2% contamination by other proteins. Chance, et al. (1976) fractionated these antigenic insulin preparations, demonstrating that the antigenicity of the insulin was due to glucagon contamination. Adding an equal amount of glucagon to insulin drops the dose required to induce insulin antibody by more than a 1000-fold (Root-Bernstein & Dobbstein, 2000). Notably, the insulin-glucagon complex significantly retards proteolytic digestion of the components and modifies the resulting fragmentation (Foa, 1962).

The second example involves the use of antigen-antibody complexes to enhance antigenicity and modify what epitopes are targeted. The earliest use of this strategy involved the production of a partially neutralized complex composed of diphtheria toxin and its antitoxin for use as a vaccine against diphtheria infections (Rhoads, 1928). Diphtheria toxin is not antigenic in human beings at any dose that they can tolerate (Pappenheimer and Uchida, 1972), so to protect patients, the toxin needed to be partially neutralized. Antitoxin (antibody produced in horses or goats) provided the neutralization, simultaneously increasing antigenicity. An unfortunate side-effect of this toxin-antitoxin vaccine was to induce a Guillain-Barré-like syndrome in some recipients (e.g., Delp, et al., 1946; Jamieson, 1947). This phenomenon was then harnessed by immunologists to create one of the first autoimmune disease

models for peripheral neuropathies (Muller, 1954; Waksman, et al., 1957). The strategy of combining antigens with antibodies has been used ever since as a method of specifically inducing enhanced antigenicity and to modify or alter which epitopes of a complex antigen are preferentially presented to the immune system (e.g., Manca, et al., 1988; Randall and Young, 1988; Bouige, et al., 1996; Oli, et al., 2004; Isoda, et al., 2007; Hioe, et al., 2009). AD models induced by covalently tethering an antigen to its complementary MHC or TCR involve similar molecular complementarity and fit the altered antigen processing model just described.

Altered antigen processing of complementary antigens can explain six phenomena that are otherwise left puzzling by other theories of AD causation. These are: the correlation of individual infectious agents with multiple AD; the question of how multiple injections of alloantigens can sometimes induce AD in animal models; why adjuvants are required to induce most animal models of AD; why one adjuvant can only rarely be substituted for another in animal models of AD; and how complex adjuvants, such as Freund's complete adjuvant, can aid in the induction of multiple AD.

Altered antigen processing for specific pairs of infectious triggers addresses the problem of how individual microbes might produce different AD. For example, coxsackieviruses are associated with at least four AD: type 1 diabetes mellitus (Drescher, et al., 2015), autoimmune myocarditis (Rose, 2008), Sjogren's syndrome (Triantafyllopoulou, et al., 2004) and polymyositis (Strongwater, et al., 1984). Human cytomegalovirus (CMV) is associated with onset of systemic lupus erythematosus (SLE), systemic sclerosis (SSc), diabetes mellitus type 1, and rheumatoid arthritis (RA) (Halenius & Hengel, 2014). Similarly, type A streptococcal infections are associated with rheumatic heart disease and Pediatric Autoimmune Neuropsychiatric Disorders Associated with Streptococcal infections (PANDAS) (Cunningham, 2016), Sydenham's chorea (Cutforth, et al., 2016), reactive arthritis and glomerulonephritis (Ivory & Folzenlogen, 2009). No current theory explains how one disease agent can produce a range of diverse autoimmune sequelae. ACT proposes that different combinations of infectious agents will produce different sequelae by means of the complementarity between different epitopes and the differential antigen processing of their resulting antigenic complexes.

Because complex antigens such as microbial pathogens have multiple epitopes, they will also have multiple antigenic complements. Different pairings of antigens should lead to different AD by altering antigen processing in different ways, thereby leading to the display of different epitopes on different MHC to different TCR or BCR (FIGURE 3). Thus, for example, a complex between coxsackievirus might, when paired with a streptococcal infection lead to myocarditis, while coxsackievirus complexed with Clostridium to type 1 diabetes mellitus, and with some other bacterium or virus to myositis or Sjogren's syndrome (Root-Bernstein, 2016).

Indeed, something like this is observed with Freund's complete adjuvant (FCA), which, by itself, can induce adjuvant arthritis (AA), but in combination with other antigens, induces a range of quite disparate experimental autoimmune diseases. The same dose of FCA that can induce AA when combined with myelin basic protein, induces EAE without any AA pathology (Kies, et al., 1975); with thyroglobulin, experimental autoimmune thyroiditis, without any AA pathology (Sakata, et al., 1985); with acetylcholine receptor, experimental autoimmune myasthenia gravis (EAMG) without any AA pathology (Robinet, et al., 2017); with streptococcal M protein or cardiac myosin, experimental autoimmune myocarditis (EAM) without any AA pathology (Gorton, et al., 2010). Moreover, the arthritis-inducing component can be "masked" in the presence of measles virus, or by a single

decapeptide derived from measles virus, so that no AA results from an FCA injection (Root-Bernstein, 2009). Each of these models demonstrates the proposition that the same complex antigen can play different roles in different AD, a phenomenon that would seem to be inexplicable unless adding various peptides and proteins alters antigen presentation and processing.

Indeed, studies of FCA components demonstrate that different ones are involved in different AD. AA in rodents turns out to be due to two specific, synergistic components, a water-soluble arthritogenic and immunogenic component (WAC) and a lipophilic component, waxD, which are effective in combination but not separately. The lipopolysaccharide (LPS) and purified protein derivative (PPD) components of CFA cannot replace the waxD component, nor can pertussis vaccine replace FCA (Koga, et al., 1976). In EAE, the active component of CFA is the NOD2 activator, muramyl dipeptide (Audibert, et al., 1977); in EAMG, the active component of CFA is the TLR4 activator, lipopolysaccharide (LPS) (Robinet, et al., 2017); LPS is also the active component in the induction of thyroglobulin-induced EAT (Ciháková, et al., 2004). In none of these cases can incomplete Freund's adjuvant (lacking the Mycobacterial component) support induction of the AD.

In keeping with ACT, I propose that so-called "adjuvants" are complementary to the antigens they potentiate. This complementarity can be demonstrated by the formation of stable complexes (i.e., through physicochemical binding studies). Such complexes either directly, or indirectly, alter antigen processing. The necessity for forming such stable complexes may explain why stable emulsions of "adjuvant"-antigen mixtures are required for the induction of most experimental AD. Such binding has only been demonstrated directly in handful of cases. In one, muramyl dipeptide ("adjuvant peptide") forms a complex with the encephalitogenic region of myelin basic protein (MBP) both in water and in oil-water emulsions (Root-Bernstein and Westall, 1983; Root-Bernstein and Westall, 1990). While the combination of adjuvant peptide with MBP induces experimental allergic encephalomyelitis in rats if antigen and adjuvant are inoculated in a stable emulsion together, separating the inoculation of antigen and adjuvant in either space or time results in decreased EAE induction (Waksman, 1980) and sufficient separation in time results in failure to produce disease (e.g., Weigle, 1980; Sakata, et al., 1985; Heeger, et al., 2000), a phenomenon that is generally observed in many models of AD such as experimental autoimmune thyroiditis (Kong, et al., 1982; Lewis, et al., 1987) and experimental systemic lupus erythematosus (Isenberg, et al., 1991). I would also argue that glucagon is playing the role of an "adjuvant" for insulin, and antitoxin for pertussis toxin, in the examples described above, and in both cases direct binding of the antigen pairs has been demonstrated.

The altered-antigen-processing mechanism permits some exceptions to complementary-antigen induction of autoimmunity for cases of repeat inoculations and chemically- or physically-altered antigens. Chemical or physical alteration of antigens will alter their processing and presentation to the immune system and non-antigens can be made antigenic by chemically coupling them to another antigen or hapten (e.g., Landsteiner and van der Scheer, 1936; Eisen, et al., 1952). More significantly in the present context, antibody-antigen complexes may also explain how some animal models of AD can be induced by multiply-inoculating an animal with the same antigen repeatedly over a period of weeks or months (Eaton & Almquist, 1977; Törmäkangas, et al., 2005; Shigemoto, 2007). I propose that following sufficient tissue damage associated with repeated inoculations of an antigen, sufficient antibody is produced to create an antibody-antigen complex, which is the actual inducer of the autoimmune disease process.

This conjecture regarding specific binding of adjuvant to antigen may offend purists who will point out that an adjuvant is, by definition, a “non-specific immune potentiator”, emphasis on “non-specific”. Surely, one might object, an adjuvant cannot be non-specific and yet display specific complementarity to a particular antigen. However, in the induction of AD, adjuvants rarely, if ever, play a non-specific role. For example, induction of rheumatic heart disease often involves a combination of Streptococcal M protein (or its mimic, cardiac myosin) with Freund’s complete adjuvant (FCA), a mixture of killed mycobacterial antigens in mineral oils. Gorton, et al. (2010) attempted to replace FCA with either Emulsigen<sup>®</sup> or Montanide ISA50V without success. In EAE, Lederer and Chedid isolated muramyl dipeptide (“adjuvant peptide”) as the minimal structure that can replace FCA when combined with myelin basic protein (MBP) for guinea pigs. The lipopolysaccharide (LPS), purified protein derivative (PPD) and waxD components of CFA could not replace adjuvant peptide, nor could pertussis vaccine. Subsequent experiments demonstrated that the adjuvant peptide itself could not be modified without losing its ability to support the encephalitogenicity of MBP (Audibert, et al., 1977). Similarly, in the production of experimental systemic lupus erythematosus, the bacterial superantigens (SAGs) Staphylococcal Enterotoxin B (SEB), Toxic Shock Syndrome Toxin-1 (TSST-1) and Mycoplasma Arthritis Mitogen (MAM) were unable to replace FCA (Baharav, et al., 1996). Similar results were subsequently found for experimental autoimmune thyroiditis (EAT), although in this instance adjuvant peptide and its modified versions were not active (Kong, et al., 1985). EAT is caused by a combination of thyroglobulin with either FCA or LPS (Ciháková, et al., 2004). Chemical modification of LPS also destroys its EAT-enabling activity (Kong, et al., 1985). In another set of experiments, LPS could be substituted with SGP (a synthetic copolymer of starch, acrylamide, and sodium acrylate) but not with the adjuvant Quil A (a plant saponin) (Williams, et al., 1987). In sum, I propose that “adjuvants” are never “non-specific” in experimental AD, but support altered antigen presentation and processing through complexation with the so-called “antigen”.

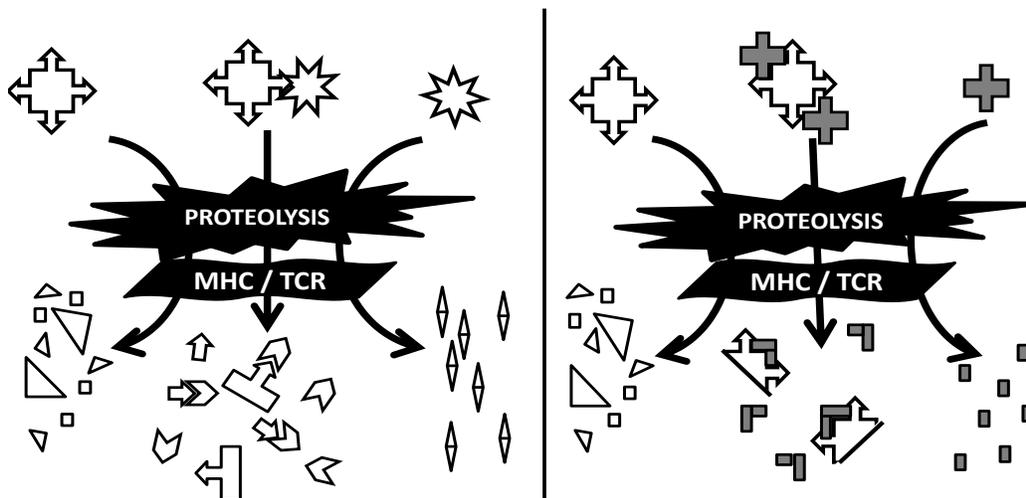


FIGURE 3: Summary of how complementary antigens might alter antigen processing and presentation. Imagine that two microbes are independently processed by antigen-presenting cells (left). Each microbe will be proteolytically digested and some subset of the fragments presented by appropriate MHC/HLA on the cell surface (bottom left). If, however, both antigens are processed in tandem, then the proteolytic digestion will be modified and a different set of antigenic fragments expressed by MHC/HLA on the cell surface. Because microbes are molecularly complex, the same microbe might pair with a different set of complementary molecules on a microbe different than the one considered first (right). In consequence, proteolytic digestion of the microbial antigens will be altered and a different set expressed on the cell surface by MHC/HLA. In this way, one microbe might be epidemiologically and etiologically associated with more than one AD, yet affect very different tissues or organs.

My hypothesis is testable. I propose that complementary antigens form complexes that alter antigen presentation and processing in ways that reveal otherwise hidden or destroyed epitopes. Complex antigens, such as bacteria or viruses, have a sufficient diversity of epitopes to support a variety of complementarities with other microbes so that any given microbe might induce more than one AD depending on the identity of the complementary infection and the sets of molecular mimics they express with their host.

### **ACT and Genetic Predisposition to Autoimmune Diseases**

The altered antigen processing mechanism is consistent with, but adds no new insight to, what is known about genetic predispositions to various AD. The vast majority of genetic mutations associated with increased risk of particular AD involves antigen processing and display and most particularly the MHC/HLA system (e.g., Hotta-Iwamura, et al., 2016; Fernando, et al, 2008; Tochimoto, et al., 2015; Yarwood, et al., 2016; Huber, et al., 2008). Mutations in MHC/HLA would be likely to alter processing and presentation of antigens so that they induce host-cross-reactive immune responses. Similarly, genetic variants of immune regulatory proteins such as CD40, CD25, CD69, CTLA4, CCR3 and CCR5 are also known to increase susceptibility to various AD presumably by interfering with normal tolerance mechanisms (Tomer, 2010; Zagoriti, et al., 2013; Parkkola, et al., 2017). Genetic mutations in the innate immune system can also modify how sensitive the system is to any particular type of microbial antigen (Delgado-Vega, et al., 2010).

### **ACT Explains How Innate Activation Is Required for AD Initiation and Persistence**

All AD are characterized by increased innate activation and hyperinflammation throughout the disease process (Papadimitraki, et al., 2007; Waldner, 2009; Li, et al., 2009; Mohammad Hosseini, et al., 2015) although the role of innate immunity in supporting AD development is far from clear (Duffy & O'Reilly, 2016). This innate activation is initiated by foreign antigens, but maintained by host antigens once autoimmunity has been induced (Papadimitraki, et al., 2007; Waldner, 2009; Li, et al., 2009; Mohammad Hosseini, et al., 2015; Tomer, 2010). The innate system is basically comprised of pattern recognition receptor (PRR)-bearing cells that recognize pathogen-associated molecular patterns (PAMP) on microbes by means of a set of Toll-like receptors (TLR) and nucleotide-binding oligomerization

domain-containing proteins (NOD) (Moreira & Zamboni, 2012). Each TLR or NOD recognizes a particular class of viral or bacterial PAMP to up-regulate production of various inflammatory cytokines by means of either the TRIF (and/or TIRAP) or MyD88 pathways (FIGURE 4). TRIF stands for “Toll/IL-1 receptor-domain-containing adaptor protein inducing INF- $\alpha$  activators” and, as its name says, the TRIF pathway results in the production of interferons (INF). TIRAP is the Toll/IL-1 receptor-domain-containing adaptor protein and activates a pathway releasing interleukins. MyD88 is the myeloid differentiation primary response protein 88, which activates the release of NF- $\kappa$ B (nuclear factor kappa-light-chain-enhancer of activated B cells). TLRs 3 and 6 activate TRIF and therefore cellular (Th1) immunity; TLRs 1,2,4,5,7,8,9 and 11 activate MyD88 and therefore antibody-mediated (Th2) immunity (Mohammad Hosseini, et al., 2015). The essential role of innate immunity in the development and ongoing support of AD pathogenesis is further demonstrated by the effectiveness of anti-TLR ligands as AD treatments (Barrat & Coffman, 2008; Peón, et al., 2017; Dixit, et al., 2017).

Ongoing innate activation exposes serious lacunae in existing theories of AD for two distinct reasons. Although innate activation is known to be essential for AD development, no current AD theory, other than the bystander theory, incorporates innate activation explicitly into its explanation of disease induction. The bystander theory is based on the observation that activated T cells rapidly die after the triggering antigens are removed, whereas an unrelated infection can provide ongoing stimulation via cytokine production that maintains their viability (Serreze, et al., 2000; Mitchell, et al., 1999; von Herrath, et al., 2003). Thus, initiation of an AD by one infectious agent could be supported by an unrelated infection.

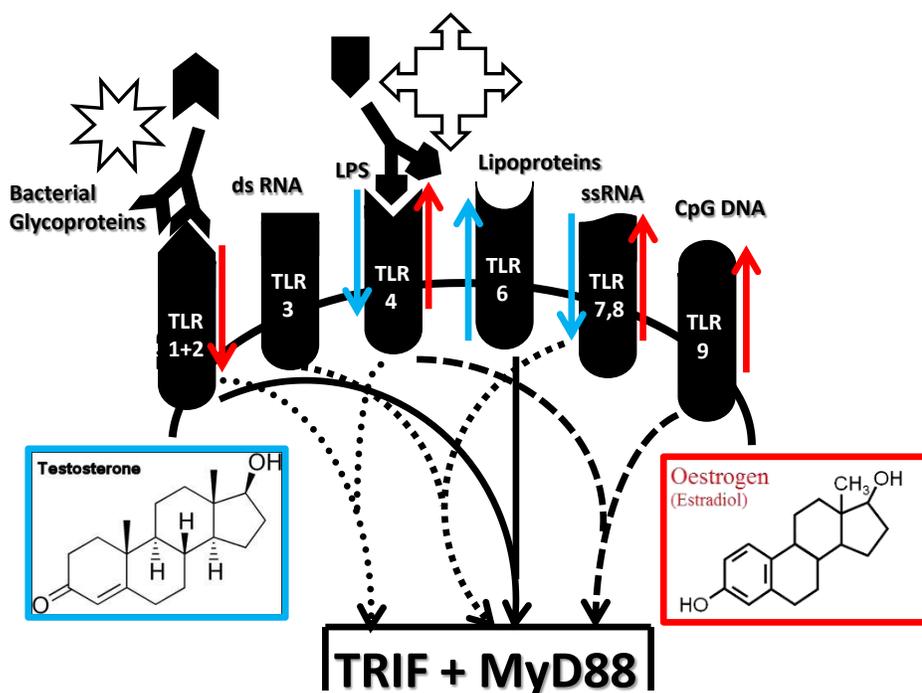
However, no theory, including the bystander theory, explains how innate activation is maintained throughout the disease process. After all, once the primary infection and its bystander infection are both resolved, then one would expect immune activation should return to normal and tolerance mechanisms to come into play once again.

ACT incorporates the bystander theory by positing synergistic activation of innate immunity by complementary host antigens and CIC. ACT also resolves the ongoing-immune-activation problem by proposing that CIC as well as host antigens continue to stimulate synergistic innate responses. Some of these synergisms result in hyperactivation of either the TRIF or MyD88 pathway, while others activate both the TRIF and MyD88 pathways simultaneously, resulting in synergistic production of interleukins, interferons and NF- $\kappa$ B. In some animal models of AD, this dual activation is required to induce disease (e.g., Wang, et al., 2002). Because complementary self-antigens and CIC are continuously present, bystander activation of both innate and adaptive immunity are continuous. Thus, ACT proposes that complementary antigens are necessary not only to initiate autoimmunity in the adaptive immune system, but to support both the initial activation and continuing inflammatory processes for the duration of the AD.

As in the adaptive immune system, complementary antigens are crucial altering the normal function of the innate immune system in AD. ACT proposes that complementary antigens stimulate complementary, synergistic innate mechanisms. Synergisms are well-documented within the innate immune system and include TLR2 with TLR3; TLR2 with TLR4; TLR2 with TLR6; TLR 3 with TLRs 7 and 8;

TLR 4 with TLR 8 and 9 (Sato, et al., 2000; Napolitani, et al., 2005; Ghosh, et al., 2007; Krumbiegel, et al., 2007; Vanhoutte, et al., 2008; Mäkelä, et al., 2009; Lee, et al., 2016; Acharya, et al., 2016; Fischetti, et al., 2017; Nouri-Shirazi, et al., 2017). For example, TLR2 and 4 synergize in rheumatoid arthritis-derived synoviocytes (Jung, et al., 2007; Liu, et al., 2014), sarcoidosis (Wikén, et al., 2009), systemic lupus erythematosus, systemic sclerosis, Sjogren's syndrome, psoriasis, multiple sclerosis, and autoimmune diabetes (Liu, et al., 2014). In addition, TLR3, TLR4 and TLR9 also synergize with NOD1 and NOD2 in dendritic cells to induce gamma-IFN and IL-12 (Fritz, et al., 2005; Tada, et al, 2005; Takada & Uehara, 2006; Farzi, et al., 2015).

Few TLR antagonisms have so far been reported: TLR 2 agonists block *subsequent* activation of TLR3 and TLR4, presumably through a down-regulation mechanism (Re and Strominger, 2004); TLR 7 and 8 agonists similarly antagonize TLR 9 agonists (Ghosh, et al., 2007). These results suggest that timing of TLR activation is important: *co-stimulation* with TLR2-3 and TLR2-4 is synergistic (see previous paragraph), yet TLR2 *followed by* TLR3 or TLR4 is antagonistic. A negative feedback loop between TLR means that the effects of combined infections will differ according to when they stimulate innate immunity. Concomitant exposure is likely to synergize; sufficiently time-separated exposure is likely to antagonize.



Complementary antigen-induced TLR synergism (or TLR-NOD synergism) explains many aspects of AD pathogenesis that are otherwise problematic or simply ignored by other theories of AD. These include why specific “adjuvants” are required to initiate animal models of AD; how hyperactivation of the innate immune system is initiated; how hyperactivation of innate immunity is maintained throughout an AD; and why such hyperactivation is always associated with the activity or more than one set of TLR and/or NOD.

First, to return to the issue of why one “adjuvant” is rarely substitutable by another in the induction of animal models of AD (see ACT and Altered Antigen Processing section above), ACT proposes that AD only follow the activation of synergistic pairs or sets of TLR or NOD. Different adjuvants often activate different TLR or NOD. Only those substitutions preserving complementarity activation of correct sets of innate mechanisms will support any given AD. This mechanism explains why one of the “holy grails” of the molecular mimicry theory has never been achieved. This “holy grail” is to initiate an AD using a purified molecular mimic of a host antigen. For example, the Salk lab spent years attempting to induce EAE with purified myelin basic proteins inoculated in many different dosages, repetitions and routes, without success (Salk, et al., 1980). Adjuvants of some type were required. In the forty years since, no one has yet succeeded in inducing any other experimental AD model with a pure molecular mimic either, and I would predict, based on ACT, that no one ever will. Purified molecular mimics *require* so-called “adjuvants” to induce the proper synergistic stimulation of innate immunity (e.g., Tsuji, et al., 2012). Because only certain TLR and NOD synergies exist (TLR2-TLR4, TLR3-TLR7, etc.), “adjuvants” must be tailored to their “antigens” by molecular complementarity and the resulting antigenic complementarity is mirrored in terms of TLR-NOD complementarity.

Complementary-antigen induced innate immunity can explain how hyperactivation of the immune system is maintained after the initiating antigens are eliminated. As noted above, hyperactivation of innate immunity is present at least periodically, if not continuously, throughout the course of AD. While the bystander theory can explain how such innate hyperactivation is initiated by the presence of a bystander infection, it leaves mysterious the means by which continuing innate activation is achieved once the bystander infection is eliminated. ACT proposes that ongoing hyperactivation of innate immunity results from three sources. One are CIC, which are known to activate TLRs 7,8 and 9 (see above). A second is the release of pairs of complementary host antigens from cells, organs or tissues that are attacked during the process of the AD. These pairs of host antigens each mimic one of the initiating microbial antigens and would therefore be expected to synergize in the same way. In addition, ACT proposes that because each adaptive immune response mimics one of the microbial antigens that triggered the AD, each immune response will also be able to stimulate innate immunity as well.

Complementary pairs of adaptive immune responses will therefore drive synergistic innate immune responses. A normally functioning immune system that must respond to a pair of complementary antigens that mimic the host will therefore be forced to induce complementary adaptive immune responses that not only cross-react with host antigens, but also drive ongoing innate hyperactivation. Innate hyperactivation, in turn, drives increased adaptive immune responses as a result

of the cytokines and lymphokines produced. A vicious cycle results, consisting of a positive feedback system that maintains hyperinflammation of both innate and adaptive immunity.

If TLR (and/or NOD) synergism is required to initiate and support ongoing AD development, then many aspects of experimental and clinical research need to be refocused since most efforts are currently on identifying individual TLR that predispose to any given AD. For example, Assmann, et al. (2015) and McCall, et al. (2015) have argued strongly that TLR3 underlies development of T1DM, while Devaraj, et al. (2008) and Itoh and Ridgeway (2017) have argued that TLRs 2 and 4 are essential. Evidence also implicates TLRs 1, 7 and 9 (Tai, et al., 2016; Lein and Zipris, 2009). In light of the known TLR synergisms summarized above, combinations of TLRs 2 and 4, 3 and 7, and/or 4 and 9 would each result in hyperactivation of innate immunity and TLR3 activation would be necessary to induce cell-mediated immunity. The activation of multiple synergistic pathways would obviously be even more inflammatory. Thus, synergistic pathways need to be explored more fully as required enablers of AD.

### **ACT Explains How Sex Alters AD Risk and Why Some AD are Male Dominant**

Current theories of AD such as molecular mimicry theory, bystander effect theory, aberrant clonal deletion theory, etc., are universally incomplete in being unable to explain, within the theory itself, how sex alters AD risk. By integrating innate immunity effects into its explanation of AD causation, ACT is able to shed new light on this phenomenon.

Sex affects the probability of developing any particular AD both in human patients and animal models. Taking all AD into account, women are about three times more likely to be affected than men (Fairweather and Cihakova, 2009; McCombe, et al., 2009; Nussinovitch and Shoenfeld, 2012), and in some cases, such as SLE, Sjogren's syndrome and autoimmune thyroiditis, women may develop the disease as much as ten times more often than men. However, there are exceptions: men develop ankylosing spondylitis, Goodpasture's syndrome, autoimmune myocarditis, alopecia areata, and type 1 diabetes mellitus more often than women (Fairweather, et al., 2008; Ngo, et al., 2014), while vitiligo, ulcerative colitis, and Crohn's disease occur about equally in the two sexes (Ngo, et al., 2014; McCombe, et al., 2009). Sex hormones can moderate AD progression through a number of possible mechanisms including epigenetic ones, the modulation of T and B cell numbers, stimulation of myeloid precursor development (Kovats, 2015) and direct influences on innate immune responses (Fairweather and Cihakova, 2009; Rubtsova, et al., 2015; McCombe, et al., 2009; Nussinovitch and Shoenfeld, 2012). I will focus on the latter here because sex hormones can affect innate immune responses in ways that have not been appreciated fully in the context of the TLR and NOD synergisms reviewed in the previous section.

In suggesting that AD result from the synergistic actions of complementary infections, ACT sheds a novel light on the ways in which sex may alter the modulation of innate immunity. Oestrogens preferentially up-regulate the expression and activity of TLRs 4, 8, and 9 (Svenson, et al., 2014; Laskari and Anumba, 2017; Young, et al., 2014; Young, et al., 2017) and down-regulate the function of TLR 2 (Dasgupta and Eudaly, 2012; Li, et al., 2016; S Lashkari and Anumba, 2017). In consequence, the synergisms of TLRs 2 and 4, 4 and 8, and 4 and 9 described above (and illustrated in FIGURE X) will all be enhanced in the presence of oestrogens. Testosterone, in contrast, preferentially up-regulates TLR 6 and down-regulates the function of TLRs 4 and 8 (Al-Quraishy, et al., 2014). The synergisms of TLRs 2 and 4, 4 and 7, 4 and 8, and 4 and 9 will all be blunted. Thus, women will be more likely than men to develop AD under most circumstances because women will experience sex hormone-amplified support of their

hyperinflammatory state. Thus, ACT predicts that in female-dominant AD, the triggering antigens will activate various combinations of TLRs 2, 4, 7, 8 and 9.

In male-dominant AD, ACT predicts that different sets of TLR will be activated that involve testosterone stimulation of their activity. Testosterone specifically enhances TLR 6 expression and function (Park and Choi, 2017; Al-Quraishy, et al., 2014). Thus, synergisms involving TLR6 (e.g., TLR 6 with 2) (see above and FIGURE X) should produce hyperinflammatory conditions that could support AD preferentially in males.

Notable, some combinations of TLR activation are not (yet, at least) known to be enhanced or blunted by sex hormones (e.g., TLRs 1, 3 and 5), so that AD triggered by antigens that stimulate these innate responses would be expected to occur at equal rates among men and women.

The specific sets of TLR activated by infectious triggers in each AD should provide a test of whether the predictions made by ACT are correct concerning the mechanism by which sex hormones modify AD risk. A further test concerns what type of adaptive immunity is supported by differential hyperactivation of innate immunity. AS Fairweather, et al. (2008) have pointed out, male-dominant AD are characterized by Th1 (cell-mediated) immunity, whereas female-dominant AD are characterized by Th2 (antibody-mediated) immunity. Thus, a second testable aspect of the TLR-enhancement mechanism proposed here is that the specific sets of TLR (and NOD) that are activated in tandem should induce cytokine responses that preferentially activate either Th1 or Th2 immunity. Most TLR-TLR and TLR-NOD synergisms would be expected to enhance antibody-mediated immunity via enhancement of the MyD88 pathway. Exceptions would be combinations involving TLR3 and TLR6, which stimulate cellular (Th1) immunity (Mohammad Hosseini, et al., 2015); notably, TLR6 is also up-regulated by testosterone. Thus, antigen combinations that involve TLRs 3 and 6 should be more likely in male-dominant AD. Notably, T1DM, which is a male-dominant and cell-mediated AD is thought to be supported by a combination of (synergistic) TLR3-TLR7 or TLR3-TLR9 activation (Tai, et al., 2016; Assmann, et al., 2015; McCall, et al., 2015; Zipris, 2010) and so is male-dominant, cell-mediated autoimmune myocarditis (Abston, et al., 2012; Pagni, et al., 2010). In addition, TLR3-TLR9 are implicated in EAE, which is also cell-mediated (Evangelista, et al., 2016). Additionally, peroxisome-proliferator activated receptor (PPAR) expression and activity in effector T cells, particularly in AD, is up-regulated by testosterone and down-regulated by oestrogens (Park and Choi, 2017), which is also consistent with the mechanism proposed here.

### **ACT Provides a Mechanism for Understanding Microbiome Roles in AD**

Another aspect of AD for which current theories do not account is the changes in specific microbiome components associated with each disease. Recent research has documented AD-specific decreases in microbiome diversity in almost every AD that has been studied in this regard (e.g., Sánchez, et al., 2015; Coit and Sawalha, 2016; Scher, et al., 2016; Knip and Siljander, 2016; Vamanu, et al., 2016; Talotta, et al., 2017; Bibbò, et al., 2017). The reasons for the selective elimination of some subsets of microbes from the microbiome during the development of AD are not clear (Tlaskalová-Hogenová, et al., 2004).

ACT makes very specific predictions about how AD will affect the microbiome (and vice-versa) based on a combination of complementary antigens and antigen-host mimicry. As Damian first pointed out, parasites evade immune surveillance by mimicking host antigens (Damian, 1965; Damian, 1967;

Damian, 1989). Many commensal organisms express antigens that mimic host antigens (e.g., Coyne, et al., 2004; Szymula, et al., 2014) so that tolerance to the microbiome must be balanced with host protection against potentially pathogenic microbes (Stowell, et al., 2014; Sathyabama, et al. 2014). I suggest that the degree to which microbial components of the microbiome are tolerated by the host is, in fact, directly related to the degree to which they express host-like antigens (Root-Bernstein, 2016; Root-Bernstein, 2017).

Above I argued that the immune system has evolved to create a “body double” of the host, the array of TCR and BCR thus produced thus mimicking the overall set of host antigens. This prediction appears to be correct, as I have been able to demonstrate that every TCR that I have investigated has a high degree of similarity to at least one and usually many host proteins (Root-Bernstein, 2016; Root-Bernstein, 2017). It follows logically that microbial components of the host microbiome will be tolerated to the extent that they, too, mimic the TCR and BCR forming the host “body double”. My own research and that of DeGroot’s group verify this prediction, demonstrating a much higher degree of TCR mimicry for commensal microbes than for pathogenic ones (Root-Bernstein, 2016; Root-Bernstein, 2017; He, et al., 2015; Moise, et al., 2016).

Because of shared host-microbiome-TCR similarities, if an autoimmune disease is induced against the host, any microbiome components expressing antigens similar to those targeted by the AD will also be targeted. In consequence, each AD will target not only some set of host cells, tissues or organs, but those specific commensal microbes that share similar antigenic molecules.

There appear to be few studies that have thus far investigated the antigenic relationships between those constituents of the microbiome that are preferentially eliminated during AD and whether the antigens expressed by those constituents mimic the host targets of the AD. This prediction therefore provides a good test of ACT. One notable exception is a study by Chen, et al. (2017) that demonstrated the presence of molecular mimicry in the microbiome associated with rheumatoid arthritis host antigens.

What *has* been investigated is the possibility that disruption of the microbiome could set the stage for, or even be the direct trigger of, particular AD. This literature is too vast to summarize here, so I will simply direct readers to the following references as a place to begin: (Dreyfus, 2017; Bogdonos and Sakkas, 2017; Tai, et al. 2016). The outstanding issue here is whether disruption of the microbiome directly leads to invasiveness of combinations of usually non-pathogenic microbiome constituents bearing high degrees of both molecular mimicry for their host and antigenic complementarity; or whether such disruption permits specific pathogen infections to take hold that are normally held in check. Either or both mechanisms could contribute to AD induction and pathogenesis and, in both cases, specific components of the microbiome would be targets of the AD.

Another logical consequence of thinking of the immune system as a “body double” for the host, and of the selection of the microbiome to mimic that “body double”, is that microbiome antigens as a whole will resemble the range of TCR and BCR idiotypes displayed by the immune system. Thus, the microbiome may function as a “second immune system” (Root-Bernstein, 2016). It is well-established

that a healthy microbiome resists pathogens and while some of this resistance is certainly due to the production of various antimicrobial molecules, it is also possible that the molecular diversity displayed by the microbiome is itself a secondary “immune system” that interacts with potential pathogens to neutralize them. This hypothesis is consistent with Davenport, et al.’s (2017) proposed mechanism for host-microbiome resistance to disease and is also consistent with observations that treatment of microbiome dysbiosis using probiotic replacement therapies can be efficacious for AD, perhaps by re-instituting tolerance to the target antigens (He, et al., 2015; de Oliveira, et al., 2017; Goyal, et al., 2018)

In light of the various connections between the adaptive, innate and microbiome systems, it is not surprising that the sex bias in AD is also affected by the microbiome and *vice versa*. The microbiome differs in individuals by sex. Female mice colonized with male microbiomes developed significant gut inflammation and active immune responses against selected organisms over-represented in the male microbiome (Fransen, et al., 2017). Microbiome tolerance is mediated at least partly by TLR (Lai, et al., 2009; Mempel, et al., 2003) as is at least some of the sex-bias present in microbiome selection (Gomez, et al., 2015). Thus, as one would expect from a system in which AD are influenced by sex hormones and necessarily involve the microbiome, the gender bias of individual AD is influenced by the microbiome and vice-versa. For example, female nonobese diabetic (NOD) mice with normal microbiomes are several times more likely to develop type 1 diabetes (T1D) than are male NOD mice with normal microbiomes. This difference disappears when female and male *germ-free* NOD mice are compared. A protective microbiome was supported by the presence of testosterone (Yurkovetskiy, et al., 2013). Conversely, a protective microbiome also increased testosterone production in male mice, (Markle, et al., 2013; Markle, et al., 2014). As one would expect from the ACT mechanism proposed above, microbiome-testosterone interactions are mediated through TLR (Burrows, et al., 2015; Wen, et al., 2008). Thus, there is feedback between the microbiome, TLR and sex hormones at play in AD susceptibility (Fairweather and Cihakova, 2009), and these are incorporated in ACT, but in no other current AD theory.

### Summary and Testable Predictions

Antigen complementarity theory (ACT) provides a novel explanation of how microbial infections can trigger AD. The fundamental assumption is that AD can only be triggered by a pair of independent, molecularly complementary antigens. The complementarity of the antigens confuses “self” - “non-self” distinction through mimicry of the immune responses for the microbial antigens resulting in a civil war that spills over to host tissues or organs due to molecular mimicry of the microbial antigens for host antigens. ACT, because it requires a pair of complementary infections, explains why AD are associated with many common infections but are nonetheless themselves rare. The antigenic complementarity provides a proven mechanism for altering antigen processing and presentation and incorporates known genetic risks for AD by means of this mechanism. ACT also provides a mechanism for the production of CIC and perivascular cuffing by reinterpreting anti-idiotypic antibody and T-cell production as being the result of a pair of complementary idiotypic responses to complementary microbial antigens. ACT also provides a mechanism for synergistic stimulation of the innate immune system through complementary activation of specific sets of TLR and/or NOD. This synergistic innate mechanism is highly sensitive to further synergistic activation or deactivation by sex hormones in ways that explain sex-determined

susceptibility to different AD. ACT further provides a mechanism for explaining how specific elements of the host microbiome are affected by, or may affect, AD progression, and furthermore how sex hormones modulate the host microbiome by through synergistic actions on innate immunity. ACT explains why individual microbes are generally unable to initiate AD due to their inability to break tolerance or provide continuous stimulation of innate immunity through synergistic pathways. Most importantly, ACT provides these explanations without resorting to any abnormal immune functions or chance events.

There may, of course, be other explanations for all of the phenomena integrated by ACT, but if nothing else ACT has the current advantage of bringing every major phenomenon associated with AD into a single coherent framework. The challenge for other theories of AD causation is to do the same. In the meantime, ACT can be tested further by means of a series of experimentally, clinically and epidemiologically testable predictions that, at least at present, differentiate it from all existing theories. The key testable predictions are summarized in TABLE 3. In addition to these testable predictions, ACT can also explain all known phenomena concerning the prevention or suppression of animal models of AD, as well as why certain approaches to microbiome manipulation can be useful as therapies for AD, but since these phenomena involve a vast and complicated literature, I shall leave those explanations for another paper.

It is possible that ACT is not the ultimate explanation of AD causation, but at a minimum, the data gathered here to test its explanatory power strongly suggest that any better theory will have to move away from single-agent causation (Root-Bernstein, 1989; Root-Bernstein, 1990; Root-Bernstein, 1991; Root-Bernstein, 2007; Root-Bernstein, 2009). Meanwhile, perhaps ACT is “interesting” enough to yield unexpected results that will surprise the field and open up new directions of research and therapy.

### **TABLE 3: Experimentally and Clinically Testable Predictions**

Complementary antigens, at least one of which mimics “self”, will induce AD (e.g., diphtheria + its antitoxin).

TCR and Ab hypervariable regions mimic inducing antigens and therefore identify causative agents.

AD can be treated by blocking the synergistic interactions of TLR/NOD in innate immune system.

Adjuvants are not interchangeable in AD induction unless they satisfy three criteria: 1) molecularly complementary to the antigen; 2) stimulate complementary innate response to antigen; 3) alter antigen processing in identical ways.

Male-dominant AD will stimulate different TLR synergies than female-dominant AD.

Microbiome alterations in each AD will be molecular mimics of the particular “self” targets and initiating infectious agents associated with that AD.

AD can start as autoimmunity against the microbiome and spread to the host.

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