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## 2 **Current evidence about Neem extracts, the molecules**

# and physiological mechanisms their nutritional

## 4 components interact with.

## Jose Francisco Islas<sup>1</sup>, Ezeiza Acosta<sup>2</sup>, Bruno A. Escalante-Acosta<sup>3</sup>, María Guadalupe Moreno Treviño<sup>3</sup> and Jorge E. Moreno-Cuevas<sup>3\*</sup>

- 7 <sup>1.</sup> Universidad Autónoma de Nuevo León, Facultad de Medicina, Departamento de Bioquímica y Medicina
   8 Molecular, San Nicolás de los Garza, Ave. Francisco I. Madero y Dr. Aguirre Pequeño Col. Mitras Centro,
- 9 Nuevo León, 64460 México. +52 (81) 832-94173
- 10 <sup>2.</sup> Tecnológico de Monterrey, Escuela de Medicina y Ciencias de la Salud, Ave. Morones Prieto 3000,
- 11 Monterrey, Nuevo León 64710, México. +52 (81) 8888-2143
- Universidad de Monterrey, Ciencias de la Salud, Ave. Ignacio Morones Prieto 4500 Pte., San Pedro Garza
   García, Nuevo León 66238, México. +52 (81) 8215-1000
- 14 \* Correspondence: jorgee.moreno@udem.mx
- 15 Received: date; Accepted: date; Published: date

16 Abstract: Plant-based alternative medicine is normally grounded on empiric cultural perception, as 17 the main objective, these practices intent to either maintain good health or to provide a route to turn-18 the-tide on a specific disease or ailment. Amongst the thousands of plants that have been used and 19 studied, Neem (Azadirachta indica) seems to have a very interesting tale to tell, since its properties to 20 ward-off certain diseases have overtime, and in a rigorous way, been proven. The preceding concise 21 review is a collection of some of the most relevant studies today, not only focusing on the health 22 benefits obtained by its use, but digging into the molecular mechanisms of how the properties come 23 about. In particular, we take a look over antioxidant properties and how these mediate and mitigate 24 important molecules such as IL-6 and TNF- , leading the way in reducing systematic damage by 25 oxidative stress. Further, we relate this oxidative reduction to other systemic diseases such as cancer 26 and diabetes, as these are currently becoming the most rampant killers. As of yet not all is known 27 about the different ways of extracting or the total composition of an extract, as these may be from 28 different parts of the plant. Therefore, we also allude to an important cautionary view where 29 toxicological effects and conflicting outcomes arise. Overall, presented results show a great potential 30 for the different extracts of Neem as their antioxidant activity can be taken advantage off, and 31 potentially used in modern medicine.

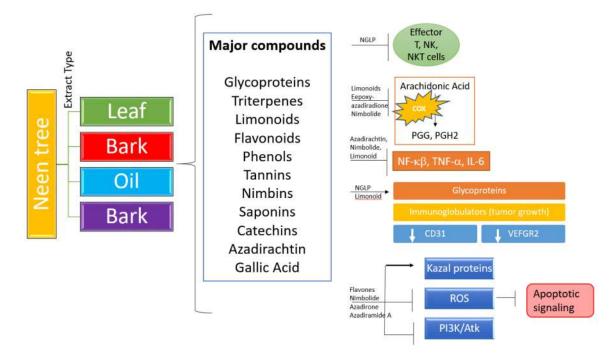
## 32 Keywords: Neem extracts; Nutritional components; Systemic diseases

## 33 1. Introduction

34 The World Health Organization refers to "Good- Health" as a state of physical and mental well-35 being not altered by any disease or ailment [1]. Historically, ancient Sanskrit had a particular 36 expression for this state, known as "Nimba" [2]. Over time the term Neem, derived from Nimba, was 37 used to reference the Azadirachta indica (Neem) tree, as its extracts where used to bring "good health" 38 [1,3,4]. The Neem tree, primarily cultivated in southern regions of Asia, has been used for many ages 39 in medical folklore to treat diseases [1,3,4]. In a global scale, the use of various forms of alternative 40 medicine as primary care is estimated to be around 80% in developing countries [5]. 41 Meanwhile in developed (or industrialized) countries, the use of alternative medicine has gained 42 popularity as a complementary way of care. An effect mostly attributed to migration; as people move 43 towards more developed countries they bring not only their skills, but their traditions and way of life 44 [6]. In today's modern world, places like India, Pakistan, and other eastern developing countries [7,8],

the use of Neem extracts to ward-off certain diseases continues to persist. Tradition has shown, through different generations the beneficial properties of this plant [9–11]. Therefore, it is unsurprising that several parts of the Neem tree have been taken to produce extracts. Out of all the noticeable parts, the oil appears to be the most widely used portion [4,6]. Nonetheless, the use of oil brings forth a few major drawbacks, in particular since the lack of information regarding toxicity levels and full characterization have not yet been fully derived. This plays into the cautionary tale of why so much controversy can and has arisen over the past decades on their use [6,12–14].

52 As is the case for many other traditional extracts, in those derived from Neem, antioxidants seem 53 to be at the forefront as the main providers of medical properties [10,15,16]. In the present review, we 54 will dive into important molecular mechanisms that also define additional properties, such as anti-55 inflammatory, anti-proliferative (cancer), and antidiabetic. It is of the upmost importance to state that 56 a cautionary view should be taken, with this as well as with other non-fully characterized natural-57 occurring compounds in extracts, as being of natural origin does not exclude them from exerting toxic 58 effects. In Figure 1 we will summarize the major compounds found in Neem, as well as overview 59 major processes that these compounds can potentially mediate. In addition, a summary of the results 60 from the major recent studies using diverse types of extracts are presented in Table 1.



61

Figure 1. Neem Tree (Right to left), Types of extracts. major compounds found in extracts; examplesof regulation compounds produce over naturally occurring processes in the human body.

64

Table 1. Summary of results and activities demonstrated both in vivo and in vitro for various types of Neen extracts. These results are best classified in accordance to the activity they present and are separated by various parts of the plants were the extracts were obtained.

Extract (Bark, seeds, leaves, root)	Activity of the extract or compound isolated	In vitro	In vivo	Ref.
	ANTI-INFLAMMATORY			
	Inhibits the proliferative phase of inflammatory response and reduces the			
Undefined	growth of fibrovascular tissue. At high doses 120 mg / kg there is effect on		Х	[35]
	the pain receptors, activates endogenous opioid pathways.			
Seeds	Seed oil. A dose of 2 ml / kg body weight extract showed 53.12% inhibition		Х	[18]
Secus	of edema.		Λ	[10]
	Aqueous extract Immunomodulator, growth promoter. Greater weight gain,			
	breast in the 50 ml infusion group. The cost of feeding was significantly			
Leaves	higher in the control group than in the Neem group. Greater mortality was		Х	[20]
	observed in the control group. Higher titers of anti-bodies against infectious			
	bursal disease were observed in the group with 50 ml of Neem infusion.			
Leaves	Semisolid extract with methanol. Increase in glutathione levels, better		Х	[3]
Leaves	activity of the enzyme G-6-PD.		Λ	[0]
	Powdered bark (20 g). Showed ethanolic extract has the highest content of			
Bark	flavonoids and phenols. These compounds have the highest antioxidant	Х		[73]
	activity.			
	ONCOLOGICAL			
	Cytotoxic. Activity against breast cancer was shown in the MDA-MB231 cell			
Seeds	line. 28-deoxo-2,3-dihydronimbolide inhibited the growth activity of the	Х		[40]
beeus	Hela cell line (cervical cancer), A375 melanoma and promyelocytic leukemia	~		[10]
	HL-60.			
Seeds	Azadiramide inhibits the growth of breast cancer cell line MDA-MB 231.	Х		[39]
	Raw ethanolic extract. Significantly reduced the incidence of mammary			
	tumors. Neem leaf fraction 10 mg / kg of body weight was effective in the			
Leaves	chemoprevention and in the modulation of the enzymatic activities of phase		Х	[74]
	I and II and the oxidant-antioxidant state, inhibiting cell proliferation and			
	inducing apoptosis.			
Leaves	Extract with ethanol. Inhibits the progression of mammary tumorigenesis		Х	[1]
	induced by chemical carcinogens in rat models. Highly effective in reducing			r-1

	the burden of the breast tumor and in suppressing breast tumor progression,		
	even after cessation of treatment. $\uparrow$ p53; $\uparrow$ Bax; $\uparrow$ Bad; $\uparrow$ caspases; $\uparrow$		
	PTEN; $\uparrow$ JNK; $\downarrow$ Bcl-2; $\downarrow$ cyclin; D1; $\downarrow$ Cdk2; $\downarrow$ Cdk4; $\downarrow$ MAPK1.		
	Leaf glycoprotein. Reduction of tumor volume. Temperature is a crucial		
Leaves	factor in maintaining the active conformation of the protein, evidence	Х	[22]
	suggests that 56 ° C preserves the structure. Regarding pH, the restriction was effective when the solution was between 6 to 7.		
	Leaf glycoprotein. Restriction of tumor growth, as well as normalization of		
	angiogenesis. The pretreatment facilitates the deep infiltration of CD8 T cells		
Leaves	into the tumor parenchyma, which subsequently regulates the VEGF-	Х	[21]
	VEGFR2 signaling in CD31 + vascular endothelial cells to prevent aberrant		
	neovascularization. The following markers were found $\downarrow$ CD31; $\downarrow$ VEGF; $\downarrow$ VEGFR2.		
	Immunomodulator aqueous extract. Reduces immunotoxic effect (apoptosis		
Leaves		Х	[75]
Leaves	of blood cells) of chemotherapy. It does not stimulate tumor growth or	Λ	[75]
	angiogenesis and activates the immune system to restrict tumor growth. Suppressed the incidence of DMBA-induced carcinomas in hamsters and		
	reduced preneoplastic lesions. Compared with crude extract, fractions of		
	neem leaves showed a greater inhibitory effect on carcinogenesis at an		
Leaves	average dose of 10 mg / kg of body weight. The neem leaf fractions function	х	[53]
Leaves	as "double acting agents" by suppressing the activation enzymes of the	Λ	[55]
	phase I carcinogen and improving the phase II detoxification enzymes.		
	PCNA; $\downarrow$ Bcl-2; $\uparrow$ caspase-3; $\uparrow$ PARP; $\downarrow$ VEGF.		
	The inhibition of carcinogenesis induced by DMBA by azadirachtin and		
	nimbolide is based on the reduced incidence of preneoplastic and neoplastic		
	lesions; as well as the modulation of xenobiotic metabolizing enzymes, the		
Undefined	antioxidant status, 8-hydroxy 2-deoxyguanosine and the markers of invasion	Х	[41]
	and angiogenesis. $\uparrow$ GST; QR; $\uparrow$ SOD; $\uparrow$ CAT; $\uparrow$ GSH; $\uparrow$ GPX; $\uparrow$ GGT; $\uparrow$		
	$GR; \downarrow MMP-2; \downarrow MMP-9; \downarrow HIF-1; \downarrow VEGF.$		
	Aqueous extract. Decrease tumor incidence in colorectal cancer. $\downarrow$ Sialic		
Leaves	acid.	Х	[76]
	Aqueous extract. There was a reduction in the incidence of tumors by 41.7%.		
Leaves	The administration of the extract significantly reduced the levels of bcl-2 and	х	[77]
LCaves	promoted the expression of bax, caspase 3 and caspase 9.	А	[,,]
	promoted the expression of bax, caspase 5 and caspase 7.		

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Ethanolic and aqueous extracts of Neem leaf	Induces apoptosis in colon cancer cells and leukemia, after destabilization of the mitochondrial membrane.		х	[78]
	Cytotoxic Extract extracted through ultrasonication increased effect on the			
Seeds	induction of apoptosis in drug-resistant and resistant osteosarcoma cells.	Х		[47]
	The cytotoxicity is attributed to these.			
	Ethanolic extract. Radiotherapy induced binding activity of NF-kB with a			
-	relative activation after fractional radiation. Neem leaf extracts significantly			
Leaves	inhibited both constitutive and radiotherapy-induced NF-kB. In addition,	Х		[79]
	neem leaf inhibited genes induced by fractionated radiotherapy.			
_	Antiangiogenic potential of extract showed control over cell proliferation,			
Leaves	attenuation of VEGF and anti-angiogenic effects.	Х		[80]
	Suppressed the androgen receptor induced by dihydrotestosterone and			
	prostate-specific antigen levels. The extract inhibited $\beta$ 1 integrin, calreticulin			
Ŧ	and activated focal adhesion kinase in prostate cancer cells. Oral	N	N	[51]
Leaves	administration significantly reduced tumor growth of xenograft in mice with	Х	Х	
	formation of hyalinized fibrous tumor tissue and a reduction of prostate-			
	specific antigen and increase in AKR1C2 levels.			
Leaves	Ethyl acetate extraction confirms the highest antiproliferative potential.	Х		[81]
	Azadirachtin A, Azadirachtin B, Azadirone (in vitro) produce increased			
	proliferation, differentiation and mineralization in osteoblasts. Azadirachtin			
Undefined	A (in vivo) is osteogenic. Stimulating expression of ALP, PunX-2 and CLOL-	Х	Х	[82]
	1 genes at 1 and 5 mg per kg. Accelerates the rate of mineral apposition and			
	bone formation in calvaria cells.			
Leaves	Showed the genetic expression for which they can code for fibroblasts and	Х		[44]
Leaves	keratinocytes, before exposure to neem extract.	Λ		[44]
	ANTIDIABETIC			
Leaves	Showed decreased baseline of glucose levels by 36.91%, and decreased		Х	[12]
Leaves	serum glucose by 32.18%.		Л	[13]
	Nimbidin a major active ingredient of Neem seed oil. The root contains both			
Root	nimbidin and nimbin. Prophylactic agent in diabetes and adjuvant to		Х	[83]
	treatment.			
Leaves	Chloroform extract showed gradual decrease in postprandial glucose over a		Х	[7]
Leaves	period of 21 days (antihyperglycemic); controls postprandial hyperglycemia		~	[,]

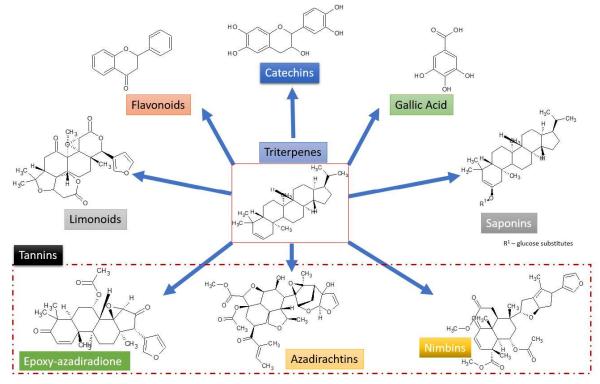
	(50% reduction). Increase in G6PD activity. Increased pancreatic islet			
	function to secrete insulin. Increased glycogen level in muscle and liver.			
Leaves and bark	Extracts decrease basal plasma glucose, Hb1Ac.	Х		[15]
	Combined treatment with vanadate and aqueous extract is effective in			
Leaves	normalizing altered antioxidant enzymes. Treatment indicates partially		Х	[58]
	corrected hyperglycemia and improved enzyme levels.			
T annua	Reduces glucose, cholesterol, triglyceride and free radicals in tissue.		v	[05]
Leaves	Demonstrated increase in angiogenesis.		Λ	[25]

## 1 2. Bioactive compounds present in *Azadirachta indica*

Overtime, research has shown that triterpenes lead the way as the key compounds [17,18], with
other important-derived metabolites like: flavonoids, limonoids, saponins, tannins (Azadirachtin and
nimbins), catechins and gallic acid [17,18], as seen on Figure 2. In addition, the leaf of the Neem tree

5 appears to have developed a particular set of glycoproteins: such as neem leaf glycoprotein (NLGP)

- 6 that when tested on mammalian subjects, show important immune-modulators, providing the
- 7 potential to restrict tumor growth by modulating local and systemic immunity [19–22]. Further, in-
- 8 depth phytochemical analysis of the oil, has confirmed triterpenes, flavonoids and saponins as the
- 9 primary compounds found, while other components such as: catechins and nimbins, seem to be
- 10 present in lower amounts [17,18].



11

Figure 2. Mayor metabolites found in Neem. Triterpenes are known to be the primary structure on
which the major metabolites as founded on. Deriving from these compounds, the Neem tree produces:
Flavonoids, Limonoids, Tannins in particular Epoxy-azadiradione, Azadirachtin, and Nimbins, also
found in Neem are Saponins, Gallic Acid, and Catechins.

## 16 3. Antioxidant effect

17 Free radicals or reactive oxygen species (ROS) are known to be a major source of inflammation, 18 as they act upon many biological molecules, exerting damage by taking out electrons as a way of 19 entering a stable state, thereby unleashing in the cell a state of oxidative stress [23,24]. Clearly, the 20 need for providing adequate compounds to stabilize or neutralize these radicals is paramount as a 21 step in preventing or blocking an exacerbation of diseases; as these molecules will add in a positive 22 way to the body's natural antioxidant defenses: superoxide dismutase (SOD), catalase (CAT), 23 glutathione peroxidase (GPX), glutathione (GSH), nitric oxide dioxygenase (NOD) [15,25]. A simple 24 way to provide such compounds is to supplement them in the diet, and as such, natural extracts like 25 those derived from Neem seem to be a cost-effective way to introduce them [11,23,26–28].

Overtime a diverse set of studies on Neem have been aimed to test antioxidant effects and/or
boost the natural defenses of the body. One such study uses leaves and methanol to extract potential
compounds from Neem. This extract was then tested on rats, as a pre-treatment for a period of 7 days
at 100 - 200 mg/kg, comparing either extract or vitamin C before intestinal ischemic-reperfusion

30 injury (IIRI). Untreated or control rats reduced expression of extracellular regulated kinase (ERK1/2), 31 whereas in both treated cases levels were maintained. Additionally, other markers of inflammation, 32 such as myeloperoxidase in the serum, were reduced by more than half in the extract group when 33 compared to the IIRI only. In a similar fashion, nitric oxide levels were maintained (control 0.036 34 mole/l, extract 0.034 mole/l and vit c 0.042 mole/l), but diminished for the IIRI (0.025 mole/l). 35 Furthermore, levels of GSH were increased resulting in high recovery of the glucose-6-phosphate 36 dehydrogenase (G6PD), therefore boosting the body's natural defenses [3]. Furthermore, studies by 37 Ghatule et al., used acetic acid to induce colitis in rats. They demonstrated a reduction in colonic 38 mucosal tissue damage and inflammation at both a macroscopic and microscopic level, upon a 14-39 day use of Neem extract (50% ethanolic). SOD, CAT and GSH were also measured. Colitis model 40 showed a decrease of 85%, 61%, and 46% respectively. Whereas after treatment, levels of SOD and 41 CAT where verily undistinguishable from the control, while GSH had an astonishing 85% recovery. 42 In an interesting development, rats with no extract treatment began to take on body weight (most 43 likely from inflammatory processes leading to liquid retainment), yet there was no difference in water 44 or food consumption observed when compared to control and extract treated groups, suggesting 45 benefits in overall health steaming from the consumption of the extract [29,30].

46 Yogurt is known to be a healthy alternative food source, in part due to its easy digestibility, as 47 well as its high bioavailability of many nutrients [31]. Preparations can and are normally enrich from 48 a wide array of nutritional sources. Neem based extract yogurt, has been recently studied and 49 comparative analysis has proven that not only Neem-enriched yogurt vs plain yogurt maintains a 50 better pH, but it can increased 2,2-diphenyl-1-picrylhydrazyl (DPPH) scavenging (considered the 51 gold standard when testing for antioxidant activity) [24,32–34]. Initial studies from Shori et al., 52 demonstrated that Neem-enrich yogurt have a higher total phenolic content (20%) when compared 53 to traditional yogurt. This high capacity of enrichment proved valuable as the inhibition of DPPH 54 was 53.1 gGAE/ml (day 28) vs 35.9 gGAE/ml as seen on plain yogurt. In addition, the Neem 55 variety was tested for maximum inhibition to key molecules in diabetes and hypertension: 56 -glucoside (15.2%), and angiotensin converting enzyme (48.4%). Hence amylase (47.5%), 57 demonstrating to be a good adjuvant to regular medical treatment [16]. As we continue through the 58 importance of extracts, we will be revealed specific compounds and their effects on diseases such as 59 cancer and diabetes underlining the important molecules they can regulate.

## 60 4. Anti-inflammatory effect

61 Standing out as the paramount property found in Neem extracts, is their ability to work as anti-62 inflammatory agents [5,35]. Inflammation is a pathophysiological condition involved in a plethora of 63 diseases like cancer and diabetes, as well as in other states such as alcohol consumption and food 64 digestion [36]. A main bioactive compound found in Neem is limonoid. Limonoid is a furanolactone, 65 known for its inhibitory properties in the production of inflammatory mediators. It is known as a 66 pain anesthetizer, as it stimulates the activation of endogenous opioid pathways [17,18,35]. Soares et 67 al., showed that, limonoid extracted from Neem, can inhibit edema and fibrovascular tissue growth 68 when tested on damage rat paws. Further, they concluded that the extract administered at 120mg/kg 69 had an inhibitory effect over major inflammatory molecules such as tumor necrosis factor alpha 70 (TNFand interleukins [35]. Over time, several studies have corroborated and investigated in 71 more detail the mechanism of the anti-inflammatory activity of limonoids [37–40]. To note, much of 72 the conducted research reveals an interesting relation of the anti-inflammatory effects and the 73 downstream result as anti-cancerous agents, as described elsewhere in this review. In many instances 74 this effect is by inhibition on effects of reactive oxygen species (ROS)[40]. Another interesting 75 compound, epoxy-azadiradione (Figure 2) seems to show a great cytotoxic potential in various 76 pathologies by serving as a modulator of the macrophage migration inhibitory factor, by inhibiting 77 its tautomeric activity and the ability of NFto translocate, thereby preventing the release of 78 proinflammatory cytokines such as IL-1 $\alpha$ , IL-1 $\beta$ , IL-6, and TNF- $\alpha$  [41–43].

79 In the body, inflation lead to the activation of the cyclooxygenase pathway, and the inhibition of80 cyclooxygenases 1 and 2 (COX1, COX2) by Neem has been a widely studied topic [44]. We previously

81 mentioned, in an in-depth phytochemical analysis of the Neem oil, confirmed the presence of 82 triterpenes as the most important chemical compound found (anti-inflammatory effects) [17,18]. We 83 can now relate these compounds to the modulation of inflammation by relating to the eicosanoid 84 metabolism (prostaglandin and thromboxane production). A crucial step is converting arachidonic 85 acid to PGH<sub>2</sub> and further to PGE<sub>2</sub> [45]. This conversion is mediated by COX2, an enzyme that is 86 stimulated by IL-1 and by platelet activating factor. A factor expressed in macrophages and 87 monocytes in response to inflammation [19,42]. As mentioned before, there is evidence of the anti-88 inflammatory properties of epoxy-azadiradione and the level of transcription of the NF-, as this 89 factor mediates the production of many inflammatory cytokines, such as IL-1, IL-6 and TNF- $\alpha$  [42]. 90 Recent studies by Shilpa et al., demonstrated that extracts of Neem could interfere in the IL-1 – COX2 91 stimulation and producing an antipyretic effect [43]. In addition, NF-'s nuclear translocation 92 seems to also be inhibited, thereby reducing inflammation's overall response. This result is significant 93 as it can serve as a mediator in cancer signaling as it reduces activation of cytokines and TNF-

94 [17,43]. By extension, Neem extracts can inhibit the inhibitory factor of macrophage migration,
95 responsible for the development of proinflammatory reactions in various diseases such as sepsis,
96 diabetes mellitus, glomerulonephritis, psoriasis, rheumatoid arthritis, lupus, atherosclerosis,
97 inflammatory bowel disease, gastric ulcer, among others [42]. This factor is found to affect the cells
98 that produce IL-1, IL-2, IL-6, IL-8, IL-12. IL-18, and TNF-*α* and is expressed in different types of cells

99 that are related to diseases with autoimmune or inflammatory processes, such as monocytes,100 neutrophils, eosinophils, basophils, blood dendritic cells, B cells and mast cells [42,45].

#### 101 5. Anti-cancerous effect

Over the past several decades, an endless count of medicinal plants and phytochemicals
(typically present in the diet) have been studied to determine their anti-cancerous activity [1,4,46–51].
The major aspect normally looked upon is their ability to interfere with multiple pathways that
control either growth and/or apoptosis [52].

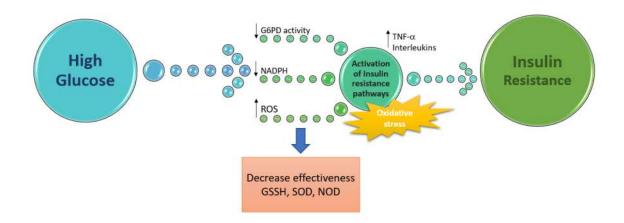
106 For the particular case of the Neem, Pramanik et al., evaluated the chemo protective effect of 107 azadirachtin, nimbolide and limonoid enrich extracts, over models of buccal carcinogenesis in 108 hamsters. They demonstrated positive effects after using these extracts, due to the overall 109 suppression of the NF-κ pathway. Expression profile of proliferating cell nuclear antigen (PCNA), 110 p21, cyclin D1, glutathione S-transferase pi (GST-P), NF- $\kappa$ , inhibitor of  $\kappa$  (I $\kappa$ ), p53, Fas, Bcl-2, 111 Bax, Bid, Apaf-1, cytochrome C, survivin, caspases-3, -6, -8 and -9 where all evaluated and shown to 112 be reduced [53]. In addition, other researchers have shown prominent anti-cancerous activities from 113 limonoid-derived compounds. Amongst these, it is noteworthy to mention that both 1-O-114 deacetylohchinolide B and 15-O-deacetylnimbolindin B have been demonstrated to hinder cell 115 growth in human cervical adenocarcinoma [38–40], by achieving suppression of the NF- $\kappa$ , the Wnt 116  $/\beta$ -catenin and the JAK / STAT pathways [46]. Along these lines, two more cytotoxic compounds 117 have been widely studied: nimbolide and azadirone [39,49]. Both of these, act to induce ROS 118 mediated apoptosis by inhibiting PI3K/Akt signaling, and upregulating reversion-inducing cysteine-119 rich proteins with Kazal motifs. These compounds are novel tumor suppressors, determined to 120 present broad inhibitory effects on cancer cell growth [39,54]. Furthermore, a fairly new discovered 121 alkaloid-derived limonoid, azadiramide A, primarily found in Neem leaf ethanolic extracts, has been 122 shown to stop cell growth and induce apoptosis in both the estrogen independent MDAMB-231 and 123 estrogen dependent MCF-7 cell lines of breast cancer in humans [39,40,55]. Caspase-3 activity seems 124 to lead the overall apoptotic effect, pro-apoptotic signaling molecules such as Bcl-2 associated X 125 protein (Bax), Bcl-2-associated death promoter (Bad), cytochrome c, poly (ADP-ribose) polymerase 126 (PARP) were deemed elevated, while anti-apoptotic protein B-cell lymphoma 2 (Bcl-2), Fas ligand 127 (FasL), Fas associated death domain receptor (FADDR), B-cell lymphoma-extra-large (Bcl-XL) and 128 tumor necrosis factor-related apoptosis-inducing ligand (TRAIL), were down-regulated when using 129 azadiramide A [1,55,56]. Further, Neem leaf ethanolic extracts have also been proven to have 130 apoptosis-inducing activity. A conclusion observed, as they seem to decrease cellular proliferation 131 through the inhibition of IGF signaling molecules [55,56].

Finally, other compounds such NLGP, seem to further regulate the activation of NK, NKT and effector T cells. Additionally, they seem to act upon suppression of the regulatory T cells, and continue the modulation of macrophages and antigen-presenting cells through maturation of dendritic cells [21]. Furthermore, they also seem to normalize the immune microenvironment of a tumor, by regulating the balance of cytokines-chemokines (reducing CD31 and VEGFR2) to prevent depletion of effector T cells.[21]

## 138 6. Anti-diabetic effect

Diabetes or the lack of control over glucose concentration in the blood is rapidly arising as one the major chronic degenerative disorders [7,16,57,58]. Conservatively, by 2030 diabetes is expected to be the 11<sup>th</sup> leading cause of death worldwide [59]. As the disease progresses, it becomes a lifelong burden (physical and economical) over the patient, therefore lower cost treatments become necessary. Among the various methods and pharmacotherapies currently being developed, the use of Neem extracts has steadily grown in interest [7,10,59].

145 Briefly, there exist two main types of diabetes. On both types of diabetes, Neem extracts have 146 been studied for their effects, with controversial results. Type I diabetes in known to have an early 147 onset, due to the lack stemming from the capacity of pancreatic -cell to produce insulin [60]. While 148 a combination of a sedentary life-style and an excessive caloric intake in genetically susceptive 149 individual, leads to the appearance of diabetes type II, in which insulin resistance is the principal 150 culprit of glucose intake by fat and muscle cells. Under this scenario a reduction of the glucose-6-151 phosphate dehydrogenase (G6PD), spearheads a decrease in the production of NAPDH. The 152 intracellular deduction of NAPDH overtime causes a decline in the effectiveness of the antioxidant 153 system and a rampant production of ROS [15,30,61]. The overall process disruption introduces a state 154 of oxidative stress, which in turn induces proinflammatory signaling molecules such as TNF and 155 IL-6 [17,42]. The conclusion of said mechanism, is the activation of the insulin resistance pathways, 156 leading to a final diabetic state [25,56,58] (Figure 3).



157

Figure 3. Insulin resistance progression. Over time as high glucose concentration in present, ROS induced damage is exacerbated and G6PD activity is reduced, thereby reducing the amount of NADPH available. Further oxidative stress is aggravated by overall decrease in the effectiveness of the antioxidant system (GSSH, SOD, NOD) and the induction of pro-inflammatory molecules TNF-a and other cytokines. In addition, the global sum of activities induces in a first instance the activation of the insulin resistance pathways, progressing to a full insulin resistance state.

Several studies carried out in induced-diabetic rat models have revealed rescue of the G6PD when treated with Neem extracts. Specifically, *Basir et al.*, demonstrated retardation in both liver and kidney damage, as well as recovery in the antioxidative system [15,58]. They were able to

167 demonstrate that both leaf and bark extract had similar glucose homeostasis as compared to standard 168 use of insulin or control. In addition, they showed reestablishment of the SOD, NOD and GSSH 169 function after treatment. Hence, these extracts display an enormous potential as alternative 170 pharmacotherapy [15]. Further, epoxy-azadiradione enrich extracts purified from the seed of Neem 171 demonstrated an unprecedented effect on glucose levels in diabetic rat models; dropping nearly 37% 172 in a matter of hours [8]. A long-term study devised by Patil et al., on demonstrated the effects over a 173 period of 15d, where they were able to conclude that Neem extracts at 800mg/kg could modulate the 174 levels of sugar in the blood. Their tested models, had glucose levels over 300 mg/dl and could be 175 reduce and maintained by over 50% during this period [9]. Comparatively, other researchers had 176 similar results when using chloroform-based extracts. Additionally, these chloroform-based 177 experiments also tested for the recovery effect of G6PD and established increase in pancreatic islet 178 function (insulin secretion), resulting in increased levels of glycogen in the muscle and liver [7,30].

179 Streptozotocin (STZ) is a potent compound known for its preferential toxicity to -cells due to 180 the overwhelming induction of methylation and ROS production, in addition to a gradual decrease 181 in GLUT2 expression [62]. Due to these effects, STZ is a common chemical-inducer of diabetes type 1 182 in small animals [58,63]. Thus far, using Neem extracts on this model have shown some very 183 interesting, yet divisive results. In particular, Gardner el al., conducted experiments evaluating 184 glucose and insulin levels, as well as islet cell morphology. Their results showed that, after treatment, 185 insulin levels were comparable to those of the control group. Furthermore, a striking significance was 186 found on the cells themselves as regeneration set in. This was observed as initial treatments of STZ 187 had obliterated much of the cells, while others had entered a state of reduce and altered morphology 188 and perhaps apoptosis (or necrosis). Nonetheless after treatment there was an overall increase in total 189 cell migration and granular appearance, but also hypertrophy. Interestingly enough, glucose levels 190 did not seem to be restored. This phenomenon seems to go in contrast with what authors mention as 191 previously described antidiabetic effects on -cells [63]. Yet, other researchers have confirmed 192 restorative effects on -cells when using Neem extract [64]. This controversial state of the art, 193 warrens more detailed and longer-term studies to best overall confirm such properties.

### 194 7. A cautionary tale

195 Traditional medical folklore gives rise to the use of many plants and their extracts, as they 196 provide good health to those who use them [1]. Yet this statement hides those cases of lethality, 197 intoxication and concerning side effects that can occur due to the lack of precision in characterizing 198 all compounds found using a specific procedure [65,66]. However, toxicity studies using high 199 precision methods, have help determine the lethal dose of certain extracts [6]. In particular, clinical-200 based studies have revealed that a dosage of Neem oil, should be less than 1600 mg/kg/day and 201 should not be administered for a period longer than 90 days [6]. WebMD, known to contain a 202 summary of medical information, warns directly of a few concerning side effects when ingesting 203 Neem extracts. In-brief, due to lack of more research it considers these extracts as potentially harmful 204 to the liver and kidney. Complementing to how extracts seems to help the immune system activity, 205 a fair warning is issued to its use when known auto-immune diseases are present. Further, it is 206 suggested to monitor medications in particular in blood, as certain medications might interact with 207 compounds present [67]. Hemolytic anemia with jaundice and dizziness has been reported after high 208 dosages of herbal intake (Tea) in patient with type-2 diabetes. Although in this particular case a total 209 discontinuation of other medications where also found, the most likely culprit was the excessive 210 intake of the extract[28]. Early animal based studies using IM injections of sodium nimbidate at 211 250mg have been used for congestive heart failure, yet intravenous Neem extracts have proven to 212 produce cardiac arrythmias cautioning their use [68,69]. In humans severe poising has been reported 213 in infants. Extracts from oil ranging from 5ml upwards to 30ml demonstrated toxicological effects 214 such as: acidosis, drowsiness, seizures, hepatoencephalopathy, and death [70,71]. Finally, at an 215 epigenetic level, although almost at a trivial level infertile males treated with Neem have shown a 216 reduction in methylation pattern of deoxycytidine[72].

#### 217 8. Conclusion

218 Systemic diseases such as cardiovascular-related diseases, cancer and diabetes seem to be 219 rapidly rising as the most likely cause of death worldwide. At the frontline of these diseases, 220 recognizable effects of ROS are present. Much of the effects by ROS can be observed primarily on 221 damaging DNA, proteins and also other biological compounds. Therefore, not only inducing but 222 exacerbating these upward mentioned diseases; the mechanisms to overcome them become 223 overwhelmed and battered by the addition of inflammation. As we have seen over the course of this 224 concise review, inflammation, in particular activity lead by TNFand NFsignaling, once 225 hyperactivated leads into a prolonged and cycling state. Consumption of phytochemical antioxidants 226 found in plants, seems to be a simple and effective way to help boost the body's way to overcome 227 inflammatory effects. This is due to a dual effect of easy absorption of these molecules and a boost of 228 their scavenging properties. Azadirachta indica colloquially known as Neem, has been since ancient 229 times and in various cultures, a source of these antioxidant molecules. Overtime researchers have 230 begun to characterize the compounds found in the various Neem extracts, as ways to best understand 231 their clinical potential; and as we have seen, in a wide variety of cases, compelling experimental 232 evidence suggests that both the myriad (full extracts) and the isolated compounds have a wide range 233 of effects, e.g., limonoids as pain anesthetizers, as well as modulators in adenocarcinomas, 234 glycoproteins as immunomodulators, nimbolides as anticarcinogenic and proapoptotic.

Unfortunately, the global information found for Neem extracts continues today to be insufficient, as toxicity and side effects are still not extensively worked out. It is consequently sensible that these compounds not be used in a liberal non-restrictive way. Century of traditions cannot be overlooked, thus a righteous balance needed to be attained in order to fully potentiate the beneficial effects occurring from these natural products and minimizing the possible negative connotations.

- 240 These extracts should continue to be explored and set for clinical-based trials, in particular, as an
- 241 effective, low-cost method to help the overall state of the patient.
- 242 Declarations: The authors declare no competing financial interests
- 243 Funding: Department of Health Sciences at the Universidad de Monterrey
- Authors' contributions: Research and Writing: E.E.A.-F., J.F.I.; Analysis and Edition: J.E.M.-C., B.A.E.-A;
   Supervision: J.F.I., J.E.M.-C., B.A.E.-A., M.G.M.-T.
- 246 Acknowledgments: We thank the Department of Health Sciences at the Universidad de Monterrey, as well as
- 247 the Department of Biochemistry and Molecular Medicine for the Universidad Autónoma de Nuevo León for
- their insights in the development of this article.

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