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**Some new light on the study of fluid flow in closed conduits.**  
**An experimental protocol to identify the value of a misconstrued constant**10  
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**Highlights**10  
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- The value of 268 has been uniquely validated as the constant in the Kozeny/Carman flow model.
- Conventional wisdom is challenged and shown to be demonstrably false.
- An experimental protocol is outlined for its validation.
- The protocol is applied to worked examples to demonstrate instances of flawed science, erroneous nomenclature and lack of full disclosure, all relative to UHPLC.
- Groundwork is laid for new and novel universal theory of fluid flow in closed conduits.

46

## Abstract

47

48 Conventional wisdom dictates that the relationship between pressure gradient and fluid flow  
49 rate in porous media has embedded within it one or more “constants” depending on the fluid  
50 flow regime under study. Since the typical flow regime involved in HPLC is that of laminar flow  
51 in which viscous forces are known to dominate, the value of the embedded constant relevant to  
52 the laminar component of fluid flow in empirical chromatographic equations, is critical to a  
53 comprehensive understanding of permeability in packed chromatographic columns. The two  
54 classical models used to describe flow in chromatographic columns, the Kozeny/Carman for  
55 laminar flow and the Ergun for all other forms of flow, identify the value of a so-called constant  
56 as 180 or 150, respectively, for the laminar component. In more recent chromatographic  
57 publications, however, a consensus seems to be developing that the value of this constant can  
58 vary over a very broad range, including values which have never been validated in the context  
59 of a controlled fluid dynamic experiment. Moreover, since the commercialization of the so-  
60 called sub 2 micron particles, these supposedly fluctuating values of the constant in the  
61 Kozeny/Carman equation, the most popular of the empirical HPLC permeability equations in  
62 use today, has been used as a tool to manipulate and falsely justify chromatographic  
63 performance characteristics claims. This recent trend is demonstrably incorrect. In this paper,  
64 we provide empirical data generated in several carefully controlled, repeatable and  
65 reproducible fluid dynamic experiments which identify the *singularity* of 268 as the value for  
66 this constant. In addition, we outline an experimental protocol which allows any practitioner to  
67 validate this value for the constant for him/herself.

68

69 Furthermore, in this paper, which is the first of two sister papers, the experimental protocol  
70 which we disclose is designed to identify the values for both the *constant* in the Kozeny/Carman  
71 model, which relates to the *linear* component of permeability, and the *variable* kinetic  
72 coefficient in the newly minted Q- modified Ergun model, which relates to the *non-linear*  
73 components of permeability, without involving any new theoretical development. Moreover,  
74 kinetic contributions to measured pressure gradient, which are not accounted for in some  
75 currently accepted empirical fluid flow equations, such as Poiseuille’s for flow in empty  
76 conduits and Kozeny/Carman for flow in packed conduits, but which nevertheless contribute to  
77 measured pressure drop and thus hamper the identification of the value of the constant  
78 relative to the laminar component, are captured and lumped together into a single variable  
79 kinetic parameter-the kinetic coefficient. In a second sister paper, Part 2, we will offer a novel  
80 theory of fluid flow in closed conduits, which will not only explain *why* the value of the constant  
81 in the laminar component of both the Poiseuille’s and Kozeny/Carman models is 268, but also,  
82 that it represents a composite of contributions rather than just viscous contributions only. In  
83 addition, it will also detail all the relevant contributions to the pressure gradient which are  
84 generated by non-linear forces and which constitute the lumped kinetic parameter.

85

86 Keywords: Bed Permeability: Kozeny/Carman: Ergun: Friction Factor: Porosity: UHPLC.

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90 **1. Introduction**

91  
92 Beginning with the work of Darcy in packed conduits circa 1856 and continuing to this very day,  
93 extraordinary amounts of energy has been expended by authors of scientific publications in an  
94 attempt to shed light on an understanding of underlying contributions to permeability, not only  
95 in packed conduits, but also in empty conduits [1].

96  
97 Azevedo et al focused their attention on turbulent flow of water in corrugated pipes [2]. Baker  
98 et al studied the flow of air through packed conduits containing spherical particles [3]. Erdim et  
99 al studied the pressure drop-flow rate correlation of spherical powdered metal particles in  
100 packed conduits [4]. Dukhan et al, studied pressure drop in porous media with an eye to  
101 reconciliation with classical empirical equations [5]. Anspach et al reported results relating to  
102 very high pressure drops in very narrow id HPLC columns using small fully porous particles [6].  
103 Zhong et al. studied air flow through sintered metal particles in the context of the Ergun flow  
104 model [7]. Tian et al reported experimental results with sintered ore particles in packed  
105 conduits [8]. Mayerhofer et al studied the permeability of irregularly shaped wood particles [9].  
106 Petic et al studied the effect of temperature on permeability of packed conduits containing  
107 spherical particles [10]. Abidzaid et al discusses water flow through packed beds in light of  
108 some modified equations [11]. Mirmanto et al studied friction factor of water in micro channels  
109 [12]. Capinlioglu et al focused his work on simplified correlations of packed bed pressure drops  
110 [13]. Yang et al made comparisons of superficially porous particles in packed HPLC columns  
111 [14]. Lundstrom et al used sophisticated analysis techniques to evaluate transitional and  
112 turbulent flow in packed beds [15]. Sletfjording et al reported on flow experiments with high  
113 pressure natural gas in empty pipes [16]. Langeiansvik et al studied pipeline permeability and  
114 capacity [17]. De Stephano et al studied the performance characteristics of small particles in  
115 packed conduits for fast HPLC analysis [18]. Pereira reported on expected pressure drops in  
116 commercial HPLC columns [19]. Van Lopik et al studied grain size on nonlinear flow behavior  
117 [20]. Li et al discussed particle diameter effects in sand columns [21].

118 In our appreciation for the historical record regarding the work of renowned contributors in the  
119 field of permeability as applied to flow in closed conduits, we have given equal consideration to  
120 all classical works in both packed and empty conduits. Because the field of general engineering  
121 in empty conduits is so vast, it is beyond the scope of this paper. Nevertheless, it is part of the  
122 same fundamental science and any serious fluid dynamic assessment must include it in its  
123 repertoire, especially when challenging conventional wisdom, as we are doing here.

124 Accordingly, as part of our foundation in challenging conventional wisdom with regard to  
125 permeability in packed conduits, and particularly in HPLC columns, and even more particularly,  
126 in the recent vintage so-called sub 2 micron high throughput analytical columns, we will briefly  
127 mention it in passing as part of our supporting material. As part of our research on this topic  
128 reported elsewhere, we have reviewed the classic work of Nikuradze (circa 1930) pertaining to  
129 flow through smooth [22] and roughened pipes [23] as well as the much more recent work  
130 which we will refer to here as the Princeton study (circa 1995) [24]. Since these classical works  
131 in empty conduits are directly supportive of our thesis herein concerning permeability in

132 packed conduits, we include as part of our assessments herein the teaching of Poiseuille's  
 133 which is broadly accepted as the governing equation underlying permeability in empty conduits  
 134 in the laminar flow regime, which is a specific target of this paper.

135  
 136 We would be remiss herein however, if we did not single out for special mention the works of  
 137 two popular authors whose work in packed chromatographic columns we consider legendary.  
 138 Those authors are Sabri Ergun [25,26] and Georges Guiochon [27]. Firstly, we believe that, with  
 139 respect to the values of his equation "constants", Ergun got it completely wrong for a variety of  
 140 reasons which we go into in great detail in another publication [28]. Suffice it to say in this  
 141 writing that, although we acknowledge that Ergun made a unique, significant and lasting  
 142 contribution to the underpinnings of fluid dynamics, by virtue of his putting together two  
 143 distinct elements of viscous and kinetic expressions for energy dissipation in packed conduits,  
 144 his work has been memorialized by many for the wrong reasons-his erroneous assignment of  
 145 the now famous values of 150 and 1.75 for the "constants" of his now equally famous Ergun  
 146 equation. Guiochon, on the other hand, although he published a prestigious amount of  
 147 experimental data, is famous for taking one step forward and two steps backward in his  
 148 continuous flip-flop assertions concerning the value of the constant in the Kozeny/Carman  
 149 equation [29]. His work will be remembered for his contention that the value of the constant  
 150 could be anything from 120 to 300 and, despite the fact that, occasionally, he would assign a  
 151 very specific value depending on the results of a particular experiment in hand, he would often  
 152 times, either revert backwards to the safety of Darcyism or further seek shelter in the vague  
 153 proclamation that the value of the constant was a complete mishmash of undetermined  
 154 variables [30].

155  
 156 In order to facilitate a comprehensive understanding of fluid flow in closed conduits, therefore,  
 157 one must develop a common language which crosses the chasm between *empty* and *packed*  
 158 conduits, on the one hand, and *laminar* and *turbulent* flow regimes, on the other. Let us begin  
 159 with the language of a typical chromatographer who invariably invokes the permeability  
 160 parameter  $K_0$ , a dimensionless mathematical construct.

161  
 162 Conduit permeability may be expressed, as follows;

163  
 164 
$$\frac{\Delta P}{L} = \frac{\mu_s \eta}{K_0} \quad (1)$$
  
 165

166  
 167 Where,  $\Delta P$  is the pressure differential between the inlet and outlet of the conduit;  $L$  is the  
 168 length of the conduit;  $\mu_s$  is the superficial fluid velocity;  $\eta$  is the fluid absolute viscosity and  $K_0$ ,  
 169 is conduit permeability based upon the use of superficial fluid flow velocity,  $\mu_s$ , and where  
 170 superficial velocity,  $\mu_s$ , in turn, is defined as:

171

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172  $\mu_s = \frac{\pi D^2 q}{4}$  (2)  
 173  
 174

175 Where, D = conduit diameter and q = fluid volumetric flow rate.

177 Let us define the term “friction factor”, f, which is widely used jargon relating to flow in  
 178 conduits, as a dimensionless mathematical construct which normalizes pressure drop in a  
 179 conduit for the various individual contributions to that pressure drop value and is the reciprocal  
 180 of  $K_0$ . In the case of an empty conduit and when the flow regime is confined to that of laminar  
 181 flow, it is defined as;

182  
 183  $f_p = \frac{\Delta P}{\mu_s \eta L}$  (3)  
 184  
 185

186  $= \frac{1}{K_0}$  (4)  
 187  
 188

189 Where,  $f_p$  is the Poiseuille’s type friction factor.

190  
 191 **1.1 The Poiseuille’s and Kozeny/Carman Models**

192  
 193 Readers familiar with fluid dynamics will recognize that when it comes to laminar flow,  
 194 Poiseuille’s equation is generally considered the governing permeability equation in an empty  
 195 conduit and the Kozeny/Carman equation is generally considered the governing permeability  
 196 equation in a packed conduit. Let us further examine these two relationships.

197  
 198 Poiseuille’s equation can be written as;

199  
 200  $\frac{\Delta P}{L} = \frac{32 \mu_s \eta}{D^2}$  (5)  
 201  
 202

203 Rearranging gives:

204  
 205  $\frac{\Delta P D^2}{\mu_s \eta L} = 32$  (6)  
 206  
 207

208 Substituting  $K_0$  in equation (1) into equation (6) gives:

210 
$$\frac{D^2}{K_0} = 32 \quad (7)$$
  
 211  
 212

213 
$$= K_p \quad (8)$$
  
 214

215 Where,  $K_p$ , is defined as Poiseuille's constant for laminar flow.

216  
 217 Similarly, the Kozeny/Carman equation can be written as:

218  
 219 
$$\frac{\Delta P}{L} = \frac{K_c \Psi_v \mu_s \eta}{d_p^2} \quad (9)$$
  
 220  
 221

222 Where,  $K_c$  = Kozeny/Carman constant,  $d_p$  = the average spherical particle diameter equivalent  
 223 and  $\Psi_v$  = the viscous porosity dependence term.

224  
 225 And where, the porosity dependence term,  $\Psi_v$ , in turn, is refined as:

226  
 227 
$$\Psi_v = \frac{(1 - \varepsilon_0)^2}{\varepsilon_0^3} \quad (10)$$
  
 228  
 229

230 Where,  $\varepsilon_0$  = the external porosity of the packed conduit, also defined as;

231  
 232 
$$\varepsilon_0 = \frac{V_e}{V_{ec}} \quad (11)$$
  
 233  
 234

235 Where,  $V_e$  = the volume external to the particle fraction and  $V_{ec}$  = the empty volume of the  
 236 conduit in the packed column.

237  
 238 Similarly, as in the case of the Poiseuille model, the Kozeny/Carman model maybe expressed as  
 239 a dimensionless friction factor. This is accomplished by normalizing the pressure drop term in  
 240 equation (9), on the left hand side of the equality sign, for the individual contribution terms, on  
 241 the right hand side of the equality sign, as follows:

242  
 243 
$$\frac{\Delta P d_p^2}{\Psi_v \mu_s \eta L} = f_k \quad (12)$$
  
 244  
 245

246 Where,  $f_k$  is the Kozeny/Carman type friction factor.

248 Isolating the term  $K_c$ , as a dimensionless mathematical construct, by rearranging equating (9)  
 249 gives:

250

251 
$$K_c = \frac{\Delta P d_p^2}{\Psi_v \mu_s \eta L} \quad (13)$$

252

253

254 Substituting  $K_0$  into equation (13) gives:

255

256 
$$K_c = \frac{d_p^2}{K_0 \Psi_v} \quad (14)$$

257

258

259 Note that there is an embedded numerical coefficient, 32, in the Poiseuille model which we  
 260 have written as equation (7) and in equation (8) assigned the symbol  $K_p$  and the label  
 261 Poiseuille's constant. However, in equation (13) for the Kozeny/Carman model, although we  
 262 have the term  $K_c$  which we label the Kozeny/Carman constant, there is no numerical value  
 263 assigned to it. Since both equations purport to represent permeability in a closed conduit when  
 264 the fluid flow is laminar, let us assume that they both represent the same functional concept in  
 265 each equation and that they are, therefore, related.

266

267 Accordingly, let us functionally equate the formulae embedded in the Poiseuille model and in  
 268 the Kozeny/Carman model as follows:

269

270 
$$\frac{K_c}{K_p} = \frac{d_p^2}{D^2 \Psi_v} \quad (15)$$

271

272

273 Substituting for  $K_p$  into equation (15) and rearranging gives;

274

275 
$$K_c = \frac{32 d_p^2}{D^2 \Psi_v} \quad (16)$$

276

277

278 Where, functional equivalency between the two fluid flow models is dictated by two internally  
 279 consistent boundary conditions as follows:

280

281 The term  $d_p$  in the Kozeny/Carman model = the term  $D$  in the Poiseuille model, and  
 282 the term  $\Psi_v$  in the Kozeny/Carman model has the constant numerical value of 0.125 (1/8) in the  
 283 Poiseuille model.

284

285 We can now derive a more specific version of both the Poiseuille and the Kozeny/Carman  
 286 models by, on the one hand, importing the concept of porosity from the Kozeny/Carman model

287 into the Poiseuille model, and, on the other hand, importing the numerical value of the  
 288 constant from the Poiseuille model into the Kozeny/Carman model. Thus, we can represent our  
 289 equalizing and reciprocating boundary conditions as:

290

$$291 \quad d_p = D; \quad \Psi_v = 1/8 \quad (17)$$

292

293 Incorporating this assumption into equation (16) gives:

294

$$295 \quad K_c = \underline{K_p} = \underline{32} = 256 \quad (18)$$

296

297

298 Equation (18) would appear to suggest, however, what appears to be a contradiction in terms,  
 299 i.e. the value of the constant in the Poiseuille model,  $K_p$ , has two confliction values, i.e. 32 and  
 300 256. To demonstrate that these two numerical values do *not* represent a contradictory  
 301 interpretation of the Poiseuille model, let us further articulate the meaning of what our  
 302 equivalency proposition actually represents. We do this by recasting the Poiseuille model in  
 303 both of its now *dual* dimensionless friction factor formats. To accomplish this, we initially  
 304 express the Poiseuille model in terms of the Poiseuille type friction factor as follows:

305

$$306 \quad f_p = \frac{\Delta P D^2}{\mu_s \eta L} = 32 \quad (19)$$

307

308

309 Note that in this format, the characteristic dimension of the conduit is expressed in terms of its  
 310 diameter  $D$ .

311

312 Similarly, we may now express the Poiseuille model in terms of a Kozeny/Carman type friction  
 313 factor by incorporating our equalization assumptions, as follows:

314

$$315 \quad f_p = \frac{\Delta P D^2}{\Psi_v \mu_s \eta L} = 256 \quad (20)$$

316

317

318 How can we justify that equations (19) and (20) are two equivalent renditions of the same  
 319 entity? The answer lies in the Conservation Laws of Nature sometimes referred to as the Laws  
 320 of Continuity when they involve moving entities. In any conduit packed with particles, the total  
 321 free space contained within the conduit is proportioned between the volume fraction taken up  
 322 by the particles and the volume fraction taken up by the fluid. Accordingly, the characteristic  
 323 dimension of the particles contained in a conduit and the *resultant* conduit porosity are *not*  
 324 *independent* variables, meaning *the one depends upon the value of the other*.

325

326 In the case of a conduit packed with particles, since the particle diameter,  $d_p$ , may vary  
 327 independently of the conduit diameter,  $D$ , the ratio of the conduit diameter to the particle  
 328 diameter,  $D/d_p$ , may vary over a very wide range of values, and accordingly, the value of the  
 329 packed column external porosity,  $\varepsilon_0$ , also may vary over a very broad range of values. The first  
 330 functional boundary conditions which we imposed upon the Poiseuille model - which applies  
 331 only to an empty conduit- simply demonstrates that resultant porosity, in the case of an empty  
 332 conduit, is *always* a constant because we defined the ratio of conduit diameter to particle  
 333 diameter to be a constant, i.e.  $D/d_p = 1$  (unity). Therefore, the permeability of an empty conduit  
 334 is represented in terms of (a) its diameter in conjunction with a numerical coefficient in which  
 335 the constant value of its porosity is embedded where  $K_p = 32$  or (b) its diameter in conjunction  
 336 with a numerical coefficient which does not contain the constant value of porosity embedded  
 337 but, instead, the constant value of the porosity is expressed in the separate term  $\Psi_v$  where  $K_p =$   
 338 256. In the case where the conduit porosity is expressed in the separate term  $\Psi_v$  whose value =  
 339 1/8, the value of 256 is greater because the external porosity,  $\varepsilon_0$ , in an empty conduit is not  
 340 only constant but it is also *greater* than unity. In fact, the value of the porosity dependence  
 341 term  $\Psi_v$  in an empty conduit (1/8) is the correlation coefficient between these two numerical  
 342 values representing the constant in the respective dimensionless formats for an empty conduit.  
 343

344 **1.2 The Ergun Model**

345  
 346 Having established a frame of reference for hydrodynamics between an empty and a packed  
 347 conduit in the regime of *laminar* flow, where permeability is a *linear* function of fluid flow  
 348 velocity, we shall now proceed to widen our frame of reference to accommodate the  
 349 *turbulent* flow regime in which the relationship between permeability and fluid velocity is  
 350 *nonlinear*. Accordingly, we look now to the Ergun equation for a model which includes a term  
 351 purporting to describe the pressure drop/fluid flow relationship when the fluid flow regime is  
 352 other than laminar [31].  
 353

354 The Ergun equation may be written as:  
 355

$$\frac{\Delta P}{L} = \frac{A \Psi_v \mu_s \eta}{d_p^2} + \frac{B \Psi_k \mu_s^2 \rho_f}{d_p} \quad (21)$$

356 The first term on the right hand side of equation (21) is identical to the Kozeny/Carman model  
 357 for laminar flow and where,  $A$  is the same constant as the Kozeny/Carman constant ( $K_c$ ), and  
 358 the second term on the right hand side of equation (21) is an expression for kinetic flow, but  $B$   
 359 is merely a coefficient valid for a given experiment. Where,  $\rho_f$  = the fluid density and  $\Psi_k$  is the  
 360 kinetic porosity dependence term, defined as;  
 361

$$\Psi_k = \frac{(1-\varepsilon_0)}{\varepsilon_0^3} \quad (22)$$

367 Employing the friction factor methodology which we used above by normalizing the pressure  
 368 drop, first on the left hand side of the equation (22), for the individual contributions contained  
 369 in the first term, on the right hand side of the equation, gives:  
 370

$$371 \quad \frac{\Delta P d_p^2}{\Psi_v \mu_s \eta L} = A + \frac{B \Psi_k \mu_s^2 \rho_f d_p^2}{\Psi_v \mu_s \eta d_p} \quad (23)$$

372  
 373 Substituting,  $f_v$ , a normalized dimensionless Ergun *viscous* type friction factor for the term on  
 374 the left hand side of equation (23) and simplifying the second term on the right hand side of  
 375 the equation gives:  
 376

$$377 \quad f_v = A + \frac{B \mu_s d_p \rho_f}{(1-\varepsilon_0) \eta} \quad (24)$$

$$380 \quad = A + B R_{em} \quad (25)$$

382 Where,  $R_{em}$  represents the modified Reynolds number, defined as;  
 383

$$385 \quad R_{em} = \frac{\mu_s d_p \rho_f}{(1-\varepsilon_0) \eta} \quad (26)$$

387 Let us now establish a universal frame of reference by connecting the concept of a friction  
 388 factor with that of the flow "constants" referred to above by stating that, in the limit, as the  
 389 flow rate through any conduit tends to zero (fluid at rest); the Ergun viscous type friction  
 390 factor ( $f_v$ ) becomes equivalent to what we have defined herein as the Kozeny/Carman  
 391 constant ( $K_c$ ), which also happens to represent the Kozeny/Carman type friction factor  $f_k$ .  
 392

393 We can write this relationship algebraically as:  
 394

$$396 \quad f_v = (A + B R_{em}) = A = K_c \quad (27)$$

397 (Lim  $q \rightarrow 0$ ) (Lim  $q \rightarrow 0$ )

398 (when  $q \rightarrow 0$ ,  $B R_{em} \rightarrow 0$ )

### 401 1.3 The Hydrodynamic Equivalency Assumption

402 We now backtrack somewhat to clarify that our assumption stated above concerning the  
 403 hydrodynamic equivalency between an empty and a packed conduit requires some  
 404 modification. We now suggest that the classical Poiseuille equation for flow in an empty

406 conduit is not totally accurate. As we have previously stated, the equation is valid only for  
 407 laminar flow and, thus, it should reflect only linear contributions to measured pressure drop.  
 408 We postulate, however, that the empirical procedure by which the value for its constant was  
 409 identified, was contaminated by kinetic contributions which the equation did not isolate. This  
 410 resulted in the value of 32 being a *little* too low to properly correlate measured pressure drop  
 411 when only *linear* contributions are considered. Since *kinetic* contributions, however small, are  
 412 a function of the second power of the fluid velocity, which makes the relationship *quadratic*  
 413 rather than *linear*, the effect of small contributions can be significant.

414

415 As reflected hereinafter, we assert that the true value for the Kozeny/Carman constant is  
 416 approximately 268, which is also the value for A in our Q-modified Ergun model. This value is  
 417 approximately 5% larger than the value of 256, which we derived above as the Kozeny/Carman  
 418 type friction factor. Accordingly, the corresponding corrected value for the Poiseuille constant  
 419 in an empty conduit, when expressed as a Poiseuille type friction factor, is approximately 5%  
 420 greater than the accepted value of 32, i.e. 33.5. We further represent that we have  
 421 *independently* validated this value using third party published data and refer the reader to our  
 422 web site for a description of this validation process [32].

423

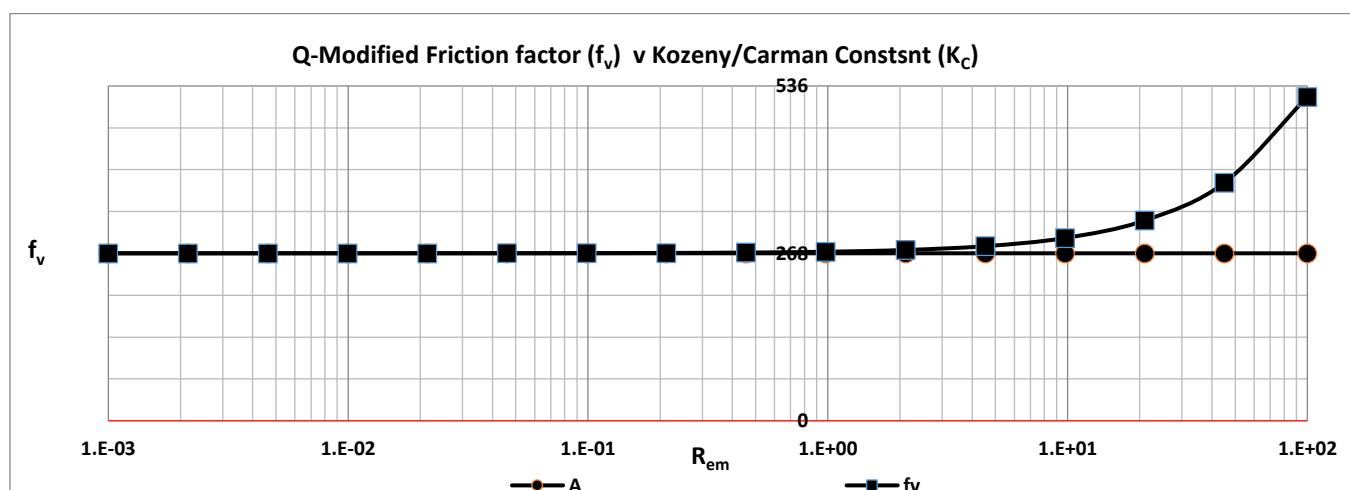
424 Finally, we note that a discrepancy of circa 5 % in the value of the Poiseuille constants above is  
 425 within the measurement error of many experimental protocols and especially in the case of  
 426 historical measurements before the advent of accurate pressure measuring devices, such as  
 427 modern day pressure transducers, for instance. Thus, one could argue that the genesis of this  
 428 discrepancy resides in the lack of accurate measurement techniques especially in experimental  
 429 results which are now dated.

430

431 We call the relationship described by equation (25) the “Q-modified Ergun equation” where the  
 432 value of A is *always* 268 approx.

433

434



435

436

437 Fig.1  $f_v$  is our Q-modified Ergun type friction factor. A is the constant in our Q-modified Ergun type friction factor.  $R_{em}$  is the modified Reynolds  
 438 number.

439

440 As shown in Fig. 1, the numerical value of  $f_v$  and  $A$  are virtually identical (268) at values of the  
 441 modified Reynolds number close to zero and deviate increasingly as the value of  $f_v$  increases  
 442 continuously with the value of the modified Reynolds number, above the value of unity.

443

444 **1.4 Giddings' Empirical Validation of the Value of 268 for  $K_c$**

445

446 We focus our attention now on arguably the most important work relating to fluid flow in  
 447 packed chromatographic columns, which is the now famous first text book of J.C Giddings  
 448 published in 1965 [33]. At page 198 of the text book, in a footnote, he teaches; "It is impossible  
 449 to make an absolute distinction between inter-particle and intra-particle free space in  
 450 connection with flow. All inter-particle space is not engaged in flow because the velocity  
 451 approaches zero at all solid surfaces and at certain stagnation points. Conversely, all intra-  
 452 particle space is not totally impassive to flow". Further on in the text, at page 208, when  
 453 discussing packed bed permeability in the context of the Kozeny-Carman equation, Giddings  
 454 further opines in relation to the precise value of the constant in that equation; "If it is assumed  
 455 that for  $f_0 = 0.4$ , this equation yields  $\phi' = 202$ . The empirical value, as mentioned earlier, is closer  
 456 to 300. *The same magnitude of discrepancy has been noted by Bohemen and Purnell and by dal*  
 457 *Nogare and Juvet for gas chromatographic supports.* Hence the factor 300 would appear to be  
 458 quite reasonable for most chromatographic materials with  $f_0 \sim 0.4$ " (emphasis added). We note  
 459 that Giddings' nomenclature for  $f_0$  corresponds to our nomenclature of  $\varepsilon_0$ , which represents the  
 460 external porosity of a packed column. Accordingly, Giddings identifies (in 1965) a basic  
 461 boundary condition of permeability in packed columns by defining the value of his  $\phi'$  parameter  
 462 to be 300 when the external porosity of the chromatographic column under study,  $\varepsilon_0$ , is 0.4

463

464 By announcing the revised value of 300 for his  $\phi'$  parameter, Giddings was clearly rejecting the  
 465 previously accepted *lower* value of 202 corresponding to the value of 180 for  $K_c$ , the constant in  
 466 the Kozeny/Carman equation [34], an assertion which he says was clearly supported by *four*  
 467 other authors in the field of gas chromatography as far back as 1965. This adjustment in the  
 468 value of his  $\phi'$  parameter amounts to an increase of a factor of 1.5 (300/202 = 1.5) which when  
 469 applied to Carman's identified value of 180 in Giddings' equation (5.3-10), corresponds to the  
 470 new value of 267 (180x1.5 = 267). Accordingly, since this Giddings modified value for the  
 471 Kozeny-Carman constant was first disclosed in 1965, it is of a more recent vintage than either  
 472 Carman's value of 180, derived in 1937, or the even more recent value of 150 derived by Ergun  
 473 in 1952. For an in depth analysis of the basis upon which we believe that Giddings got it right  
 474 and that this adjustment is justified, see the paper by H.M. Quinn [35].

475

476 In order to comprehend fully the ramifications of Giddings' teaching for his  $\phi'$  parameter and to  
 477 demonstrate that his experimental results validate our value of 268 for  $K_c$ , we must take a  
 478 closer look at how Giddings' nomenclature for terms and experimental protocols lines up with  
 479 ours. In order to connect the dots, therefore, between his methodology and ours, we include

480 herein in our Fig. 2 an elaboration of Giddings' Table 5.3-1 on page 209 of his 1965 textbook  
 481 which contains his reported experimental results.

482

483 Giddings eliminated the uncertainty of the measurement of external porosity,  $\varepsilon_0$ , in columns  
 484 packed with porous particles by employing the chromatographic technique of injecting small  
 485 unretained solutes into his packed columns under study. This measurement technique resulted  
 486 in an accurate value for  $\varepsilon_t$ , the total porosity of a column packed with *porous* particles, but it  
 487 also provided an accurate value for the external porosity,  $\varepsilon_0$ , when the particles in the column  
 488 were *nonporous*.

489

490 The term  $\varepsilon_t$ , in our nomenclature, is defined as;

491

$$492 \quad \varepsilon_t = \varepsilon_0 + \varepsilon_i \quad (28)$$

493

494 Where  $\varepsilon_t$  = the conduit *total* porosity and,  $\varepsilon_i$  is defined, in turn, as;

495

$$496 \quad \varepsilon_i = \frac{V_i}{V_{ec}} \quad (29)$$

497

498 Where  $\varepsilon_i$  = the conduit *internal* porosity and  $V_i$  = the cumulative pore volume of all the particles.

499

500 Let us define the term  $\varepsilon_0$ , *alternatively*, in the context of Giddings' experimental permeability  
 501 methodology:

502

$$504 \quad \varepsilon_0 = 1 - \rho_{pack}(S_{pv} + 1/\rho_{sk}) \quad (30)$$

505

$$506 \quad \rho_{pack} = \frac{M_p}{V_{ec}} \quad (31)$$

507

508 Where,  $\rho_{pack}$  = the column packing density;  $M_p$  = mass of particles in a given column;  $S_{pv}$  = the  
 509 specific pore volume of the particles,  $\rho_{sk}$  = the skeletal density of the particles.

510

511 Let us now derive the definition for particle porosity, as follows:

512

$$514 \quad \varepsilon_p = S_{pv}\rho_{part} \quad (32)$$

515

516 Where,  $\varepsilon_p$  = the particle porosity;  $\rho_{part}$  = the apparent particle density;

---

517  
 518 In order to identify the value of  $\varepsilon_0$  in columns packed with porous particles, Giddings did not  
 519 rely *directly* on chromatographic measurements of *column* external porosity. Rather he used  
 520 the *independently* determined value of the *particle* porosity,  $\varepsilon_p$ , and supplemented his  
 521 measured value for  $\varepsilon_t$  with gravimetric measurements of the amount of particles packed into  
 522 each column. This experimental technique allowed him to identify the value of his  $\Phi$   
 523 parameter, defined as the ratio of both porosity parameters, i.e.  $\Phi = \varepsilon_0/\varepsilon_t$ . Moreover, he  
 524 eliminated the uncertainty of measuring the particle diameter of porous particles,  $d_p$ , by using  
 525 well-defined particle sizes (smooth spherical glass beads) of nonporous particles, which he used  
 526 in combination with his accurately determined values of  $\varepsilon_t$  (equivalent to  $\varepsilon_0$  in columns packed  
 527 with nonporous particles) and by the technique of cross- correlating the pressure drops  
 528 measured in these columns with pressure drops measured in columns containing porous  
 529 particles with identical particle diameter values, he grounded his permeability conclusions  
 530 relative to particle size and column external porosity in the bedrock of measurements made  
 531 with nonporous spherical particles. Thus Giddings' methodology is based upon the dependent  
 532 relationship between particle size,  $d_p$  and column external porosity,  $\varepsilon_0$ , through the correlation  
 533 factor,  $n_p$ , which is the actual number of particles packed into any given column based upon its  
 534 value of  $d_p$  and measured mass of particles,  $M_p$ .

535  
 536 We can express this relationship algebraically, as follows;

537  
 538 
$$\frac{n_p \pi d_p^3}{6} = V_{ec}(1-\varepsilon_0) \quad (33)$$

540  
 541 Where,  $n_p$  = the number of particles packed into any given column.

542  
 543 In addition, in his studies relating to column permeability, Giddings used the concept of the  
 544 flow resistance parameter  $\phi = \Delta P_m d_p^2 / (\mu_t \eta L)$ , rather than the permeability parameter  $K_0$ . This is  
 545 significant because his  $\phi$  parameter identifies *separately* the value of the particle diameter,  $d_p$ ,  
 546 which in contrast, the permeability parameter,  $K_0$ , does not. The symbol  $\Delta P_m$  represents his  
 547 *measured* values of the pressure drop as opposed to the theoretically *calculated* value.  
 548 Accordingly, it is obvious that use of the permeability parameter,  $K_0$ , would leave the value of  
 549 the particle diameter,  $d_p$ , embedded in the measured value of  $\Delta P_m$  and, in the absence of  
 550 measuring the mass of particles packed into a given column under study, would not provide the  
 551 additional degree of intelligence of identifying, *simultaneously*, the *measured* values of particle  
 552 diameter,  $d_p$  and column external porosity,  $\varepsilon_0$ , which is a *prerequisite* to validate the value of  $K_c$   
 553 from experimental measurements of pressure gradient.

554  
 555 Thus, Giddings was ahead of his peers in using a fundamentally superior technique for defining  
 556 the components of permeability and, accordingly, he was able to identify the *correct* value of  
 557 the embedded constant,  $K_c$ , which was something that eluded his peers. For instance, Istvan

558 Halasz, one of Giddings' most well respected peers, took a decidedly different approach to  
 559 identifying the fundamentals of permeability. Because of the difficulty of measuring precisely  
 560 the particle size of irregular silica particles, Halasz made the startling proclamation that the  
 561 particle size is defined by the permeability [36]. In so doing, unlike Giddings, he essentially  
 562 buried his head in the sand relative to particle size and adapted the teaching that one ought to  
 563 start with an *assumption* relative to the value of  $K_c$  and use the Kozeny/Blake equation to back-  
 564 calculate for the value of the particle size, using Carman's value of 180 for its constant. The  
 565 problem with this approach, unfortunately, is that Carman's value of 180 was erroneously  
 566 derived in the first instance [37] and, accordingly, Halasz is responsible for "putting the rabbit in  
 567 the hat" relative to the value of  $K_c$ , which is a practice that his disciples have continued to this  
 568 very day [44] p. 85.

569  
 570 By using his resistance parameter methodology in his permeability studies of packed columns,  
 571 however, Giddings had to content with the reality that his measurement of column total  
 572 porosity,  $\varepsilon_t$ , resulted in his identification of the *mobile phase velocity*,  $\mu_t$ , which in the case of  
 573 columns packed with porous particles was a major complicating factor relative to third party  
 574 empirical permeability equations, such as Poiseuille's for flow in an empty conduit and  
 575 Kozeny/Carman for flow in a packed column, in as much as it contains a contribution from  
 576 molecular diffusion within the stagnant pores of the particles, which is not driven by pressure  
 577 differential. Accordingly, since the aforementioned third party equations were both defined  
 578 based upon the use of *superficial fluid velocity*,  $\mu_s$ , with a corresponding flow resistance  
 579 parameter  $\phi_0 = \Delta P_m d_p^2 / (\mu_s \eta L)$ , he was forced to come up with a frame of reference which  
 580 would connect his methodology to theirs. Moreover, there was the additional complicating  
 581 factor that the *actual* velocity that exists in a packed column is neither the mobile phase nor  
 582 the superficial but rather the *interstitial fluid velocity*,  $\mu_i$ , with yet another corresponding flow  
 583 resistance parameter  $\phi_i = \Delta P_m d_p^2 / (\mu_i \eta L)$ . This means that he had to invent a methodology  
 584 which would enable an apples-to-apples comparison between permeability in *all* flow  
 585 embodiments at a comparable velocity frame, i.e. interstitial velocity,  $\mu_i$ , which is the only  
 586 velocity frame that actually exists in packed conduits when pressure drops are recorded.

587  
 588 Therefore, Giddings devised a *specifically tailored* definition of his dimensionless flow  
 589 resistance parameter, to which he gave the symbol  $\phi'$ , and which would render an approximate  
 590 constant value no matter what combination of fluid velocity, ( $\mu_s$ ,  $\mu_i$ ,  $\mu_t$ ), particle porosity type  
 591 (porous, nonporous) or conduit type (packed or empty) a practitioner wanted to employ.

592  
 593 Accordingly, his  $\phi'$  parameter represents the dimensionless "constant" in Giddings' equation  
 594 which can be applied to a wide variety of different experimental protocols and can include any  
 595 one of the three distinctly different types of fluid linear velocity encountered in the study of  
 596 packed conduits containing either porous or nonporous particles, on the one hand, and empty  
 597 conduits, which contain no *solid* particles at all, on the other hand. Although its value varies  
 598 somewhat between 250 and 350 for the packed columns reported in his Table 5.3-1, it does  
 599 represent a meaningful benchmark within the context of permeability in packed

600 chromatographic columns, to the extent that it incorporates a great variety of particle types,  
 601 both nonporous and porous, of various particle porosities.

602  
 603

Particle/Column Description	$\varepsilon_t$	$\Phi$	$\frac{\Phi}{\varepsilon_t}$	$\frac{\Phi}{\Delta P_{\text{nd}} d_p^2}$	$\frac{\Phi}{\mu \eta L}$	$\frac{\Phi_0}{\Delta P_{\text{nd}} d_p^2}$	$\frac{\Phi_0}{\mu \eta L}$	$\frac{\Phi'}{\Delta P_{\text{nd}} d_p^2}$	$\frac{\Phi'}{\mu \eta L}$	$\Psi_t$	$\frac{\Psi_t}{(1-\varepsilon_t\Phi)^2}$	$K_c$
Units	none	none	none	none	none	none	none	none	none	$(\varepsilon_t\Phi)^3$	$24$	
<b>Nonporous Particles</b>												
Giddings' traditional nonporous column	0.4000	1.00	601	601	1,502	300	5625	267	267			
<b>Giddings' Table 5.3-1</b>												
50/60 mesh glass beads	0.4222	1.00	500	500	1,184	250	4436	267	267			
50/60 mesh glass beads	0.4085	1.00	560	560	1,371	280	5133	267	267			
<b>Porous Particles</b>												
Giddings' traditional porous column	0.6000	0.67	900	600	1,500	300	5625	267	267			
<b>Giddings' Table 5.3-1</b>												
30/40 mesh alumina	0.8031	0.50	1,204	600	1,499	300	5616	267	267			
50/60 mesh alumina	0.8373	0.50	1,043	520	1,246	260	4665	267	267			
60/80 mesh chromasorb W (5% DNP)	0.7659	0.50	1,404	700	1,833	350	6867	267	267			
60/80 mesh chromasorb W (20% DNP)	0.7850	0.50	1,333	660	1,698	330	6358	267	267			
Giddings' empty conduit equivalent	1.0000	2.00	33	67	33	33	0.125	267	267			

604  
 605  
 606

Fig. 2 This Table represents an elaboration of Giddings' Table 5.3-1 published in his 1965 text book.

607

608 As can be seen from our Fig.2 herein, our elaboration of Giddings Table 5.3-1 contains our  
 609 supplemental definitions for Giddings' terms, which ties together his measured results with his  
 610 reported values for his  $\phi'$  parameter for his nonporous glass beads as well as his porous  
 611 particles of Alumina and Chromasorb.

612

613 Note in particular, that we have included at the bottom of the Table a line item labeled  
 614 "Giddings' empty conduit equivalent" which has a  $\phi'$  value of 33. This clarifies the meaning of  
 615 his  $\phi'$  parameter with respect to an empty conduit, inasmuch as it identifies it as our Poiseuille's  
 616 type friction factor and confirms that, just as we have independently concluded herein,  
 617 Giddings had also concluded in 1965 that the numerical value of 32 contained in Poiseuille's  
 618 equation is just a little too low to correlate accurately empirical data. This line item in the Table  
 619 also identifies the correlation coefficient for an empty conduit,  $\Psi_v = 0.125$ , which relates a  
 620 Poiseuille's type friction factor and a Kozeny/Carman type friction factor. Therefore, Giddings'  
 621 use of his  $\phi'$  parameter normalized all fluid velocities in an apples-to-apples comparison to that  
 622 in an empty conduit in which the value of  $\phi_0 = \phi' = K_p$ , i.e. the "constant" in Poiseuille's fluid flow  
 623 model.

624

625 Note also, as shown in Fig. 2, that Giddings' methodology of using his  $\phi'$  parameter to identify  
 626 the value of  $K_c$ , does *not* require the identification of the value of  $\varepsilon_0$  by itself, but includes it in  
 627 the ratio, which is his  $\Phi$  parameter. When the particles are nonporous, on the one hand, this  
 628 ratio is unity and so measuring  $\varepsilon_t$  by itself is sufficient to define the value of  $\Phi$ . When the  
 629 particles are porous, on the other hand, one simply back-calculates for the value of  $\varepsilon_0$  by using  
 630 his  $\phi'$  parameter, in order to correlate the measured data, and, thus, establish the value of  $\varepsilon_0$   
 631 embedded in the value of  $\Phi$ . Therefore, Giddings' methodology, in the case of porous particles,  
 632 is in conformance with the Laws of Continuity to the extent that he uses the value of,  $d_p$ , which  
 633 has been measured independently via the *INDEPENDENT* measurement of both particle  
 634 porosity,  $\varepsilon_p$ , and the mass of the particles,  $M_p$ , packed into any given conduit, as his  
 635 *independent column* variable and the value of,  $\varepsilon_0$ , as his *dependent column* variable.

636 Accordingly, by the use of his  $\phi'$  parameter, Giddings' *also* found a way to "engineer" around  
637 the difficulty of measuring accurately the value of external porosity,  $\varepsilon_0$ , in columns packed with  
638 porous particles, *without putting a rabbit in the hat with respect to the value of  $K_c$* , as was the  
639 method chosen by Halasz to solve *his* unique dilemma, a direct consequence of choosing to  
640 work with irregularly shaped particles, in the first instance.

641

642 Finally, as is also apparent in the Table, the value of 267 for  $K_c$  which represents our Q-  
643 modified Ergun viscous type friction factor (also the modified Kozeny/Carman type friction  
644 factor) compares favorably to our independently asserted value of 268.

645

## 646 2. Experimental

647

648 The major objectives of the experimental protocol outlined in this paper are to:

- 649 a. Design a fluid flow experiment which meets the standards of a properly configured  
650 fluid dynamics experiment, i.e. all contribution to energy dissipation is captured.
- 651 b. Minimize/eliminate any and all *uncertainty* related to the experimental variables of  
652 particle diameter,  $d_p$ , and packed bed external porosity,  $\varepsilon_0$ .
- 653 c. Validate empirically the value of the Kozeny/Carman constant, i.e. the remainder in  
654 this empirical equation after all measurable entities have been accounted for.

655

656 Since a major source of the uncertainty in the value of  $K_c$  relative to modern day HPLC packed  
657 columns has to do with the accurate measurement of diameter of *fully porous* particles,  $d_p$ , and  
658 a determination of the column external porosity,  $\varepsilon_0$ , two critical parameters involved in the  
659 determination of packed column permeability, we use empty conduits (capillaries) in our  
660 experiments to eliminate this particular issue. In this way, we replace the difficult-to-measure  
661 diameter of fully porous particles, typically less than 2 micron in modern day UHPLC columns,  
662 with that of the diameter of a capillary which is several orders of magnitude greater in  
663 characteristic dimension. In addition, we use capillaries of different lengths in conjunction with  
664 various fluids of varying viscosity to further insure the integrity of our measured values. By  
665 invoking the well-known/established Poiseuille's flow model for empty conduits, which does  
666 not possess a porosity term on its face, (porosity being embedded in the "constant" value of  
667 33), we "engineer" a way around the uncertainty associated with the measurement of porosity  
668 in packed columns. Once we establish the value of the residual constant in empty conduits in  
669 which we have minimized the uncertainty associated with the measurements of characteristic  
670 dimension and conduit porosity, we use it as a "given" when we turn our attention to packed  
671 conduits wherein we avoid the use of small, fully porous particles in favor of large, nonporous  
672 particles which will, once again, minimize the uncertainty associated with the measurement of  
673 particle diameter and packed column external porosity.

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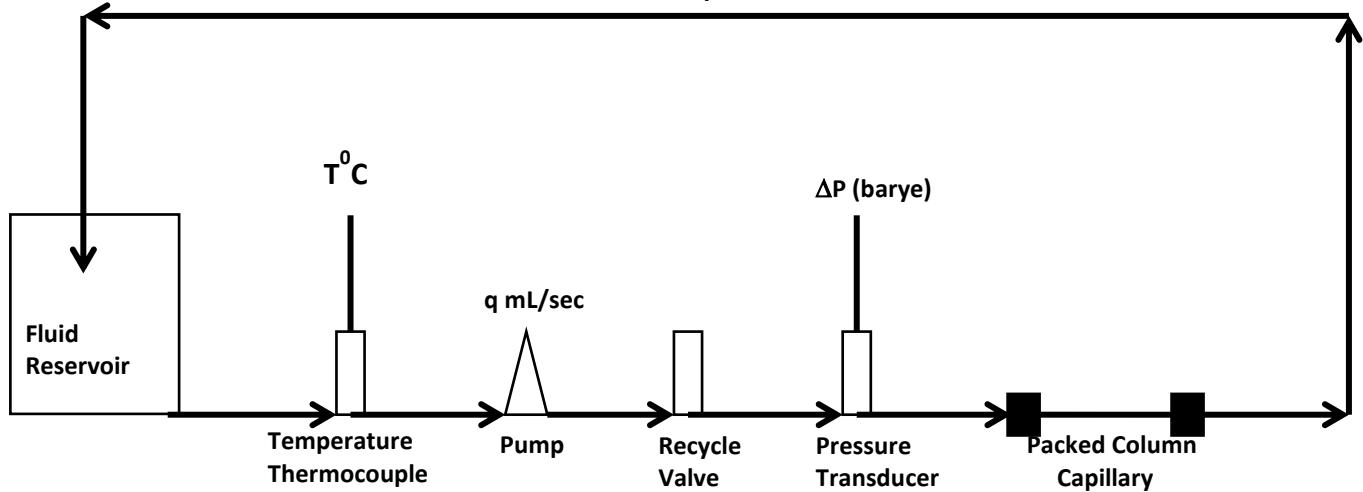
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## Pressure/Flow Loop

## Fluid recycle line



**Fig. 2A** Pressure/Flow loop used in our experiments to determine the permeability of empty and packed conduits

698 In Fig. 2A we show a schematic block diagram of the experimental apparatus that we used to  
699 measure the permeability in both empty and packed conduits. In every experiment, we  
700 measured the temperature, flow rate and pressure drop at as many flow rates as was  
701 reasonably possible given the constraints of the pump, i.e. maximum pressure, minimum flow  
702 rate and pump power. The pressure drop was recorded by means of a calibrated pressure  
703 transducer purchased from Omega, Model # PX409-250DWU5V. It had a pressure range of 0-  
704 250 psi and run under a 24V DC power supply. The flow rate was measured for each recorded  
705 pressure drop by means of a stop watch and graduated cylinder. The time interval over which  
706 the measurement was taken varied with the flow rate-larger for low flow rates and smaller for  
707 high flow rates. The temperature of the fluid was recorded by means of a thermocouple  
708 purchased from Omega, Model # TCK-NPT-72.

710 The liquid pump was manufactured by Fluid-o-Tech (Italy), Model # FG204XDO(P.T)T1000. It is  
711 an external gear pump, 0-5V, 300-5,000 rpm delivering *pulseless* flow rate under a constant  
712 pressure. The flow rate of the pump was controlled by means of a lap top computer running  
713 under a software control package manufactured by National Instruments. The pump had a flow  
714 rate range of 100-1600 mL/min and a pressure maximum rating of circa 200 psi. This range of  
715 flow rates was further enhanced at lower flow rate values by the use of our recycle valve, which  
716 was used to shunt the flow between the devise under study and the recycle line.

718 The Air pump was a 3L Calibrated Syringe type pump manufactured by Hans Rudolf Inc.,  
719 Shawnee, KS, USA., and Model # 5630, serial # 553.

### 7213 3. Results and discussion

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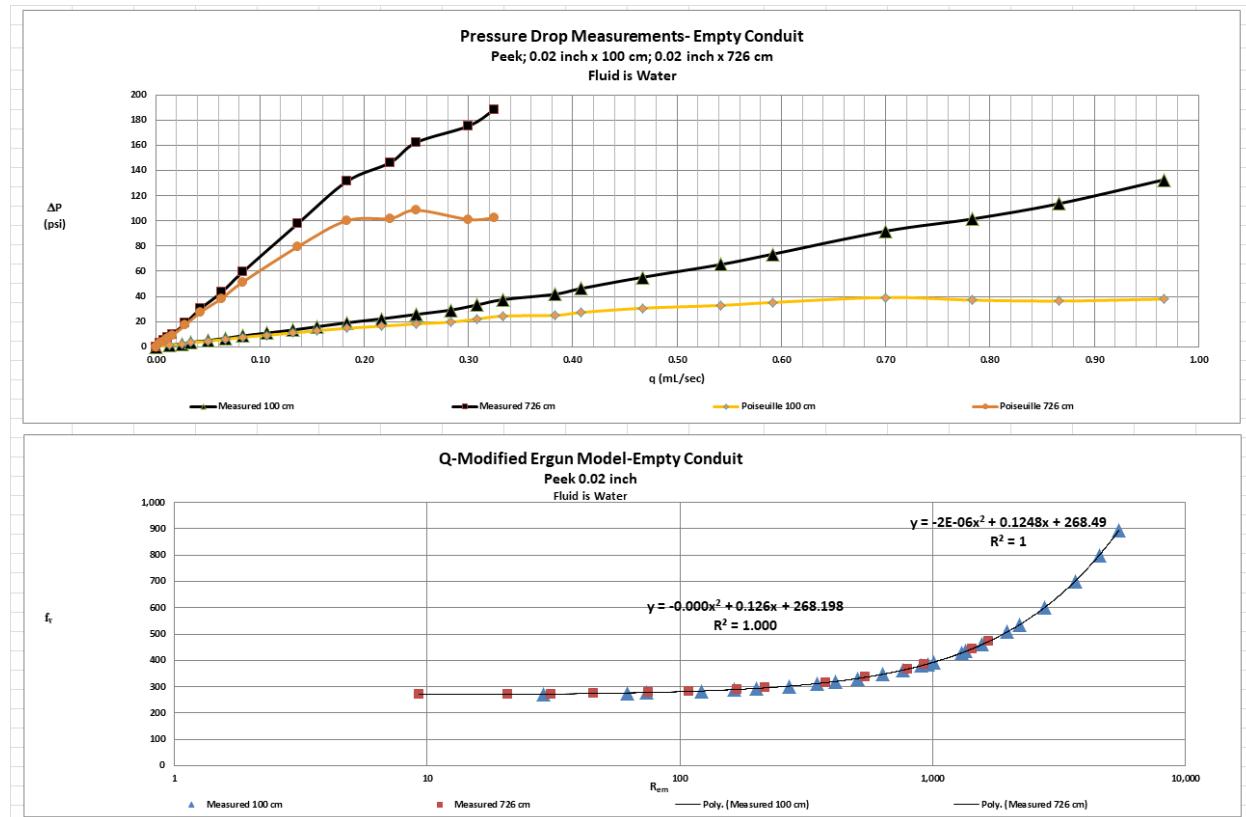
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725 **Experiment # 1**

726

727 In our experiment # 1, we chose to evaluate the permeability of a commercially available empty  
 728 capillary made of Peek plastic, an article of commerce in the HPLC industry, which had a  
 729 nominal diameter of 0.02 inches. We chose to evaluate two different lengths, 100 cm and 726  
 730 cm, in order to be able to exploit different modified Reynolds number ranges of the fluid flow  
 731 regime and we have captured our results in Fig.3.

732



733

734

735 **Fig. 3** The measured results for flow capillary with dimensions 0.02 inches in diameter and 100 and 726 cm in length. The upper plot is the  
 736 results in dimensional format plotted as flow rate versus pressure drop. The lower plot is the Q-modified Ergun type friction factor plotted as  
 737 modified Reynolds number versus friction factor.

738

739 As can be seen from Fig.3 in the dimensional plot, Poiseuille's equation, as expected, deviates  
 740 increasingly from the measured results as the flow rate increases. In the dimensionless plot in  
 741 Fig. 3, we show a plot of  $f_v$  on the y axis and  $R_{em}$  on the x axis. Using a logarithmic scale on the  
 742 x-axis and a quadratic equation of the line for the measured data, we demonstrate that the  
 743 intercept on the y-axis for the measured data is 268 (approx.) for both capillaries. Finally, as  
 744 also shown on the dimensionless plot, the Poiseuille's equation does not correlate the  
 745 measured data at the higher Reynolds number values and is slightly too low, even at the  
 746 lowest values of the modified Reynolds number.

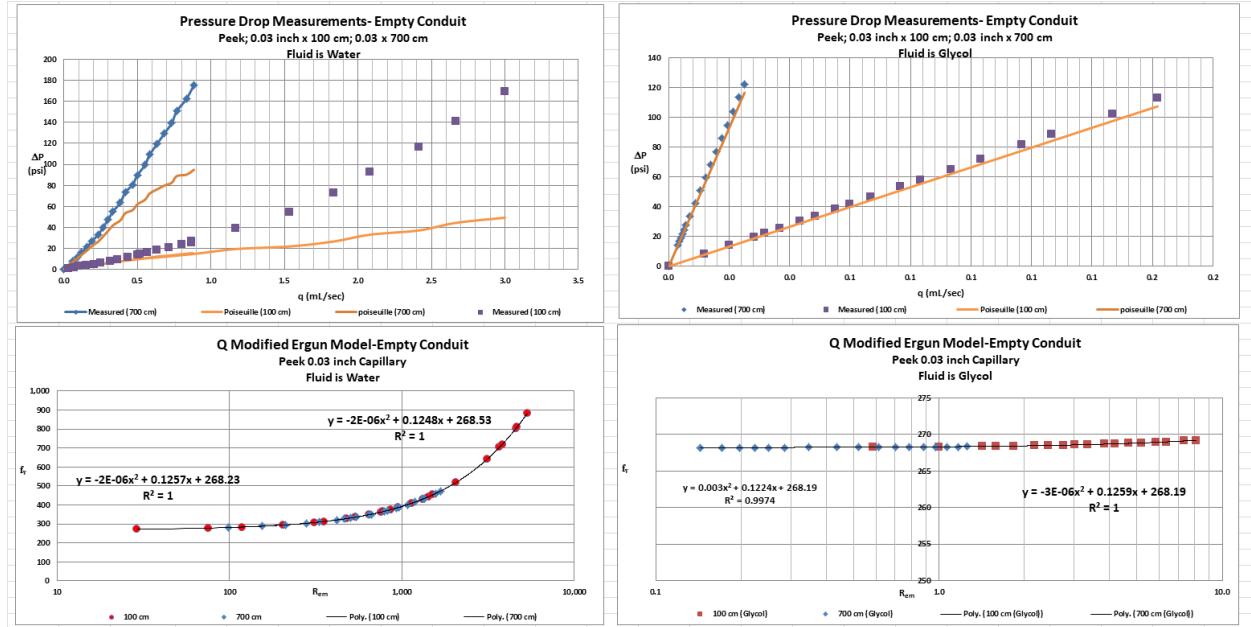
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**Experiment # 2.**

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752  
753  
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755

In our experiment # 2, we chose a Peek capillary of nominal diameter 0.03 inches and lengths of 100 and 700 cm. In this experiment we also included in our measurements two different fluids, water and Glycol, and captured the measured results in Fig. 4. The viscosity of the water was 0.01 poise and the density was 1.0 g/mL. The viscosity for the Glycol solution was 0.38 poise and the density was 1.14 g/mL.



756

757

**Fig. 4** The measured results for flow capillary with dimensions 0.03 inches in diameter and 100 and 700 cm in length. The upper plot is the results in dimensional format plotted as flow rate versus pressure drop. The lower plot is the Q-modified Ergun type friction factor plotted as modified Reynolds number versus friction factor.

761

762 As can be seen from Fig.4, by including the measurements in the higher viscosity fluid, Glycol,  
763 we are able to focus on the deviations of the Poiseuille's model at lower modified Reynolds  
764 number values. This experiment again identifies the universal value of the residual constant as  
765 268 under all measurement conditions.

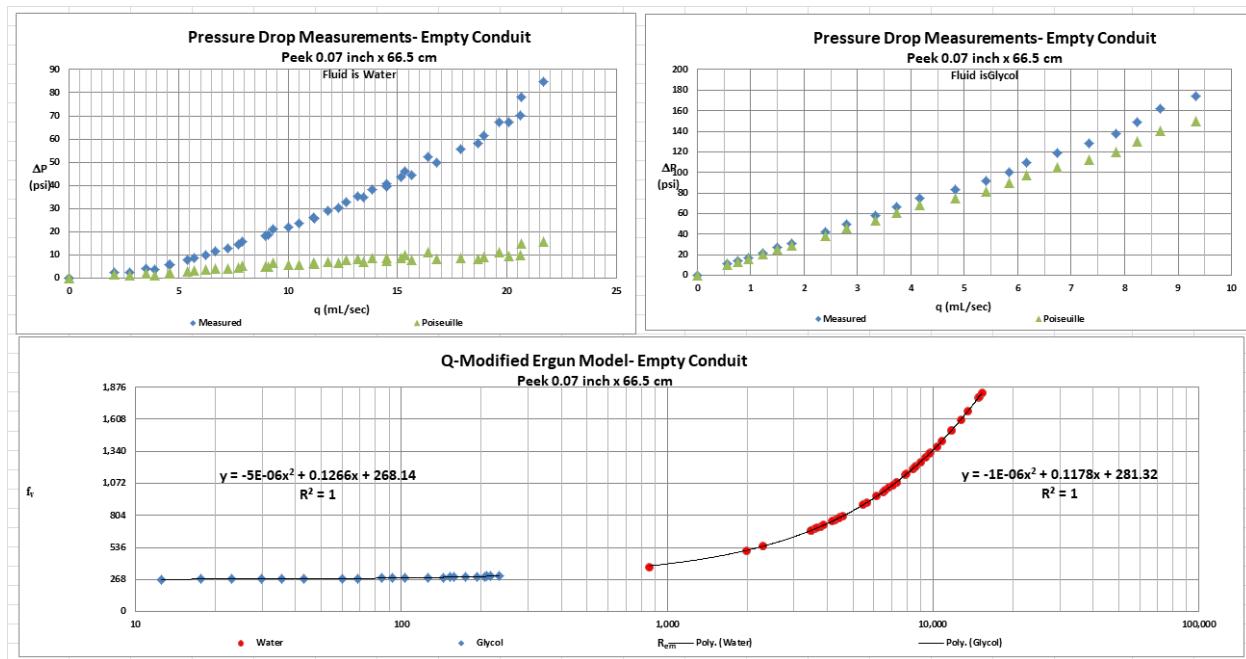
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767

### Experiment #3.

768

769 In our experiment # 3, we chose a stainless steel capillary of nominal diameter 0.07 inches x  
770 66.5 cm in length and captured our results in Fig. 5.

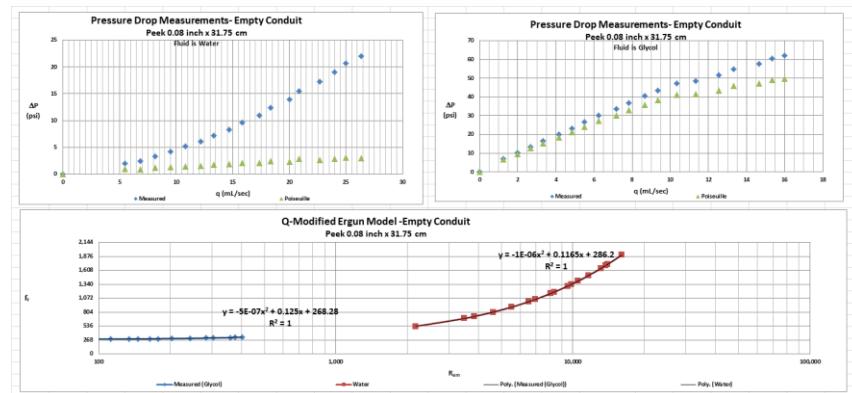


771  
 772  
 773 **Fig. 5** The measured results for flow capillary with dimensions 0.07 inches in diameter and 66.5 cm in length. The upper plot is the results in  
 774 dimensions format plotted as flow rate versus pressure drop. The lower plot is the Q-modified Ergun type friction factor plotted as modified  
 775 Reynolds number versus friction factor.

776 As shown in Fig. 5, the results for this simple one length capillary shows that a practitioner  
 777 may use it in conjunction with Glycol as the fluid to easily demonstrate the universal value of  
 778 268 for the residual constant. This experiment also teaches the practitioner that the intercept  
 779 is sensitive to the range of Reynolds number covered in the measurements- as shown in the  
 780 plot, an intercept value of 281 represents a higher range of Reynolds numbers.  
 781

#### 782 **Experiment #4.**

783 In our experiment # 4, we chose a stainless steel capillary of nominal diameter 0.08 inches x  
 784 31.75 cm in length and captured our results in Fig. 6.  
 785



790      **Fig. 6** The measured results for flow capillary with dimensions 0.08 inches in diameter and 31.75 cm in length. The upper plot is the results in  
 791      dimensional format plotted as flow rate versus pressure drop. The lower plot is the Q-modified Ergun type friction factor plotted as modified  
 792      Reynolds number versus friction factor.  
 793

794      As shown in Fig. 6, the results for this simple one length capillary shows that a practitioner  
 795      may use it in conjunction with Glycol and water as the fluid to easily demonstrate the  
 796      universal value of 268 for the residual constant.  
 797

### 798      **3.2 Packed Conduits**

799  
 800      In our experiments with packed conduits, we wanted to eliminate issues related to the accuracy  
 801      of measuring particle size and packed column external porosity. We accomplished this by using  
 802      very large electro-polished (smooth) stainless steel non porous ball bearings. In addition, by  
 803      counting the number of particles in each packed column (76 in one case and 45 in the other)  
 804      and by knowing the exact volume of each particle, we were able to eliminate any uncertainty  
 805      relating to external column porosity. This particular choice of experimental variables means  
 806      that our packed columns had extraordinarily *high* values of external porosities and  
 807      correspondingly *low* values for column to particle diameter ratios, from a chromatographic  
 808      column utility point of view. However, although such packed columns may not be of great  
 809      utility in solving modern day separation problems, there is nothing unusual about these packed  
 810      columns from a hydrodynamic point of view and, accordingly, they easily overcome our  
 811      experimentally challenging permeability objectives from an accuracy of measurement point of  
 812      view. Another consequence of this set of experimental variable choices, however, is that our  
 813      measurements have to be made at relatively high values of the modified Reynolds number,  
 814      where kinetic contributions play a dominant role in the overall contributions to measured  
 815      pressure drop. Accordingly, in order to experimentally identify the value of A in this flow  
 816      regime, we must normalize our measured pressure drops for kinetic contributions which dictate  
 817      that we must first identify the value of B in our dimensionless manifestation of the Q-modified  
 818      Ergun viscous type friction factor.  
 819

820      We begin by repeating our equation (25) which represents the friction factor in the Q-modified  
 821      Ergun viscous type friction factor;  
 822

$$823 \quad f_v = A + B R_{em} \quad (25)$$

824  
 825      We now make use of our determination of the value of 268 for A above, by substitution this  
 826      numerical value into equation (25). Thus we may write:  
 827

$$828 \quad f_v = 268 + B R_{em} \quad (34)$$

829  
 830      Rearranging equation (34) to isolate the value of B gives:

---

831

832

$$\frac{f_v - 268}{R_{em}} = B \quad (35)$$

833

834

835 Since we have experimentally measured every variable on the left hand side of equation (35)  
 836 for each data point in our study, we can calculate the value of B corresponding to *each recorded*  
 837 *pressure drop* by using equation (35). Accordingly, the value of B represents a lumped  
 838 parameter which, when combined with the value of the modified Reynolds number, contains *all*  
 839 the individual kinetic contributions, whatever they may be. We can now further exploit the  
 840 relationship in equation (25) to determine the value of A in any experimental packed column  
 841 under study. To accomplish this objective we make a plot of  $f_v$  on the y axis and  $BR_{em}$  on the x  
 842 axis and using a *linear* equation as a fit to the measured data in the experimental column, we  
 843 can identify the value of A as the intercept on the y axis. This procedure normalizes for kinetic  
 844 contributions by setting the slope of the straight line in this plot equal to unity.

845

846 In reality, therefore, in the case of a *packed* conduit, our methodology to identify the value of A  
 847 normalizes the *flow term* for *kinetic* contributions in the pressure flow relationship. This is in  
 848 contrast to our methodology to identify the value of A in an *empty* conduit, which normalizes  
 849 the *pressure drop term* for *viscous* contributions in the pressure flow relationship. Accordingly,  
 850 our methodology is *orthogonal* with respect to its identification of the value of A in empty and  
 851 packed conduits, respectively.

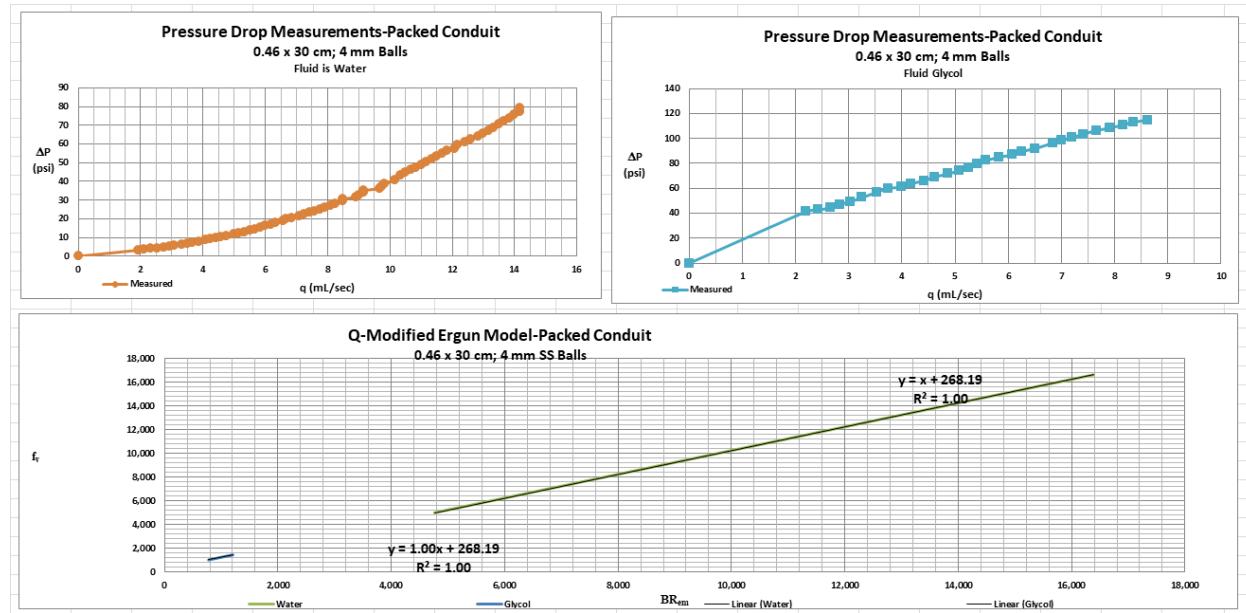
852

### 853 **Experiment # 5.**

854

855 In our experiment number 5, we placed 76, nominal 4 mm stainless steel perfectly spherical ball  
 856 bearings into a 0.46 x 30 cm peek column. The particles were touching each other at a single  
 857 point in the packed column array. The column end-fittings were custom-drilled to  
 858 accommodate large diameter end fittings. We used both water and Glycol as the fluid and  
 859 captured our measured results in Fig. 7.

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**Fig. 7** The measured results for the packed conduit with dimensions 0.46 cm diameter and 30 cm in length. The upper plot is the results in dimensional format plotted as flow rate versus pressure drop. The lower plot is the Q-modified Ergun type friction factor plotted as normalized modified Reynolds number versus friction factor.

867 The measured external porosity of the column,  $\varepsilon_0$ , was 0.499 and the value of the particle  
868 diameter,  $d_p$ , was 3.975 mm. As can be seen in the dimensionless plot in Fig. 7, the data points  
869 in both lines representing the measured data fall on a straight line of slope unity and intercept  
870 268, thus validating the value of A.

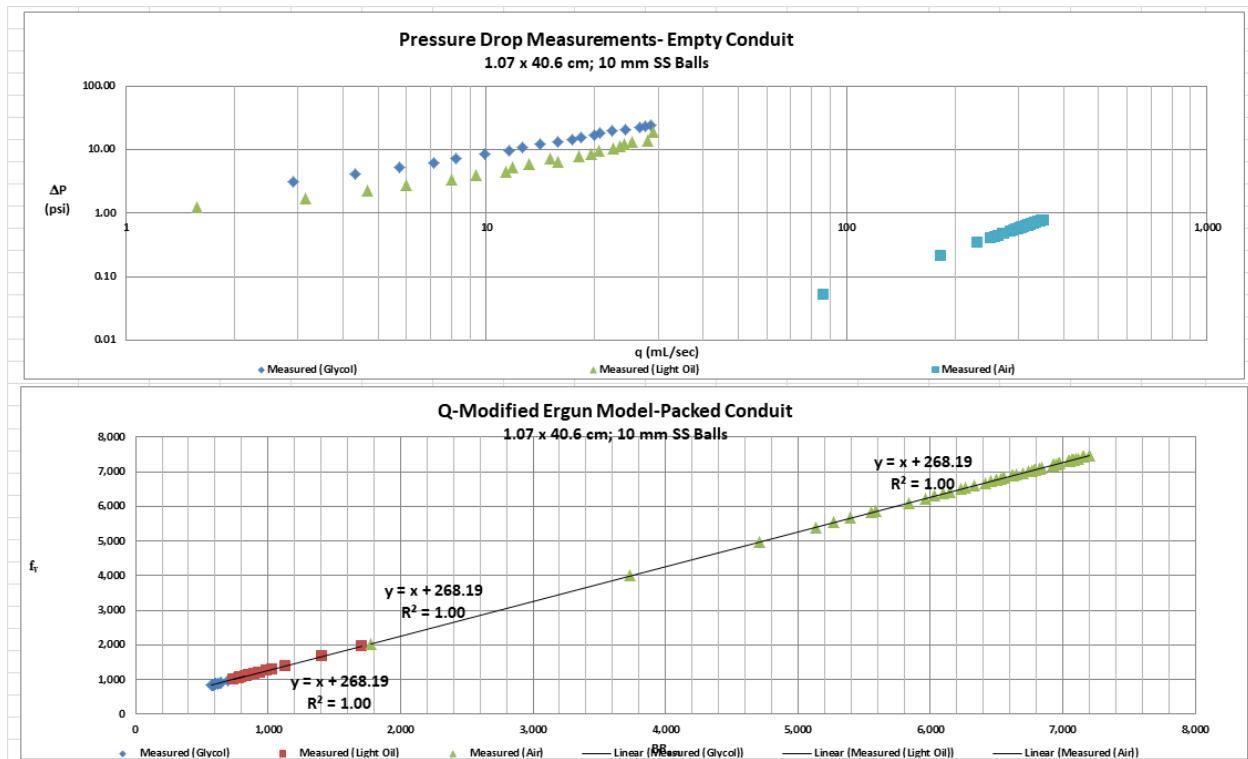
871

## 872 **Experiment # 6.**

873

874 In our experiment number 6, we used two different values of external porosity in the  
875 experiment. The column that we used with air as the fluid had 41 particles and the other  
876 column which we used with both light oil and glycol had 45 particles. These particles were  
877 nominal 10 mm stainless steel perfectly spherical ball bearings in a 1.07 x 40.6 cm stainless  
878 steel column. The particles were touching each other at a single point in the packed column  
879 array. The column end-fittings were custom-drilled to accommodate large diameter end  
880 fittings. We used both light oil and Glycol as the fluid in one column and air as the fluid in the  
881 other and we captured our measured results in Fig. 8. In the experiments with the light oil, we  
882 used the value of 0.153 poise, for the absolute viscosity of the fluid, and a value of 0.80 g/mL for  
883 fluid density.

884



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887 **Fig. 8** The measured results for the packed conduit with dimensions 1.07 cm diameter and 40.6 cm in length. The upper plot is the results in  
888 dimensional format plotted as flow rate versus pressure drop. The lower plot is the Q-modified Ergun type friction factor plotted as  
889 normalized modified Reynolds number versus friction factor.  
890

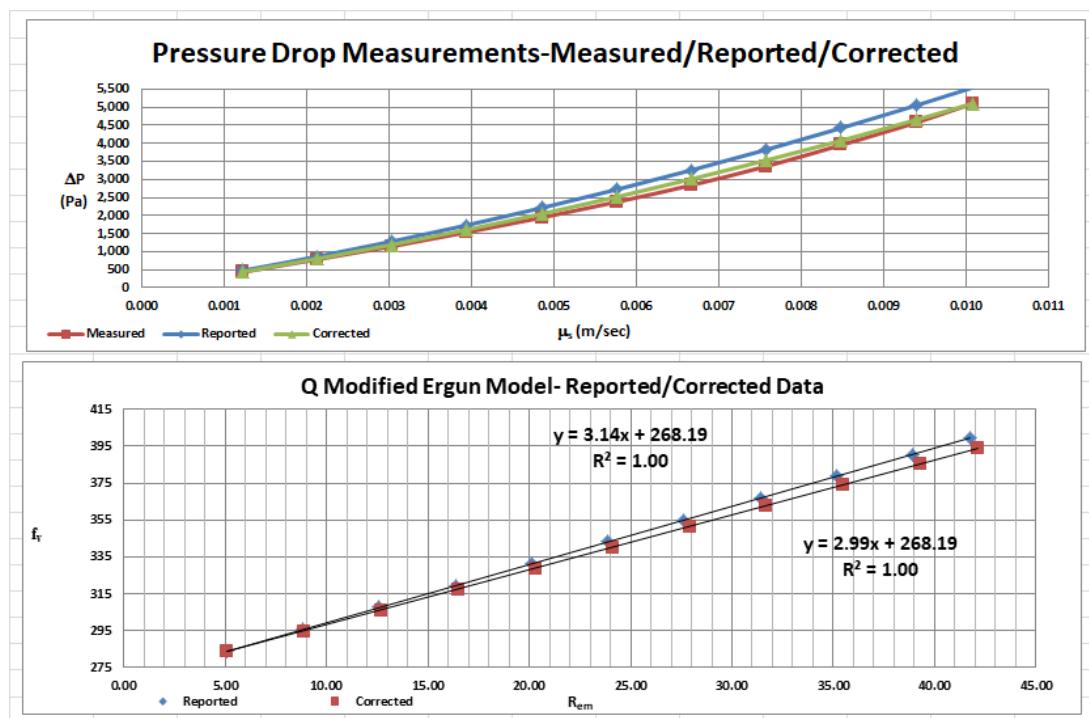
891 The measured external porosity of this larger volume column,  $\varepsilon_0$ , was 0.44 corresponding to  
892 the column with 45 particles, and 0.49 corresponding to the column which contained the 41  
893 particles. The value of the particle diameter,  $d_p$ , was 9.525 mm. As can be seen in Fig. 8 the  
894 data points in all three lines representing the measured data fall on a straight line of slope  
895 unity and intercept 268, thus validating the value of A.  
896

### 897 **3.3 Third Party Independent Validation of experimental Protocol**

898 Whenever one seeks to challenge conventional wisdom, as we are doing in this paper, one  
900 must be vigilant to guard against criticism of all different kinds. In order to defend our  
901 methodology against those who may suggest that it is based solely upon measurements made  
902 in our own laboratory, which is true, and consequently may not be repeatable or reproducible,  
903 which is *not* true, we look to validate using independent means. To this end we include in this  
904 section the experiment of Sobieski and Tryhozha published relatively recently (2014)[38].  
905

906 In their experiment, they used non porous smooth spherical glass beads of diameter 1.95 mm.  
907 Their column was 90 cm in length and 8 cm in diameter. Accordingly, the empty column volume  
908 was about 4.5 L, all of which translates into very manageable measurements from an accuracy  
909 point of view. They used water as the fluid and were careful to measure the temperature of the  
910 fluid when recording the pressure drops. They reported the results of their experiments in

911 Table 1 and 2 in the paper as well as providing a plot of pressure drop against fluid velocity in  
 912 Fig.8. We have captured their results in our Fig. 8A.  
 913



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 915  
 916 Fig. 8A Experimental results of Sobieski et al. Upper plot is pressure drop against velocity. Lower plot is dimensionless plot of  $f_v$  against  $R_{em}$ .  
 917

918 We point out initially that the experimental design parameters in this experiment represent a  
 919 “special case” of our teaching protocol herein, to the extent that the measurements were all  
 920 taken over a range of modified Reynolds numbers in which the value of B is virtually constant.  
 921 Accordingly, we may use a linear regression analysis in our plot of  $f_v$  against  $R_{em}$  to validate *both*  
 922 components of our methodology, i.e. validate the value of A and identify the correct value of  
 923 the kinetic coefficient, B. As is shown in Fig 8A, in the dimensional plot, the measured pressure  
 924 drop values do not line up exactly with the calculated pressures based upon the reported  
 925 underlying variables. In the dimensionless plot, the reported underlying variables validate the  
 926 value of 268 for A and a value of 3.14 for B. This value of B is not accurate, however, because it  
 927 does not correlate the data perfectly, especially at the higher values of the modified Reynolds  
 928 number. We have adjusted the value of  $\varepsilon_0$ , reported as 0.37, to the value of 0.376 in order to  
 929 correlate the measured data. This represents an increase of 1.7% in the value of  $\varepsilon_0$ . The  
 930 corrected data in the dimensionless plot, which correlates the measured values perfectly,  
 931 generates a value of 2.99 for B which is a decrease of 4.8%.

932  
 933 Accordingly, our protocol outlined in this paper, when applied to the experiment of Sobieski et  
 934 al, validates the value of 268 for A and a value of 2.99 for B, with an uncertainty of less than 2%  
 935 in the value of the external porosity,  $\varepsilon_0$ , and less than 5% in the value of B.  
 936

937 **4. Some Worked Examples.**

938

939 Now that we have disclosed a methodology to enable a practitioner to identify the value of A  
940 in a packed column, let us demonstrate the utility of the teaching from the perspective of a  
941 potential researcher who wants to use it to evaluate the credibility, or lack thereof, of third  
942 party published permeability experiments.

943

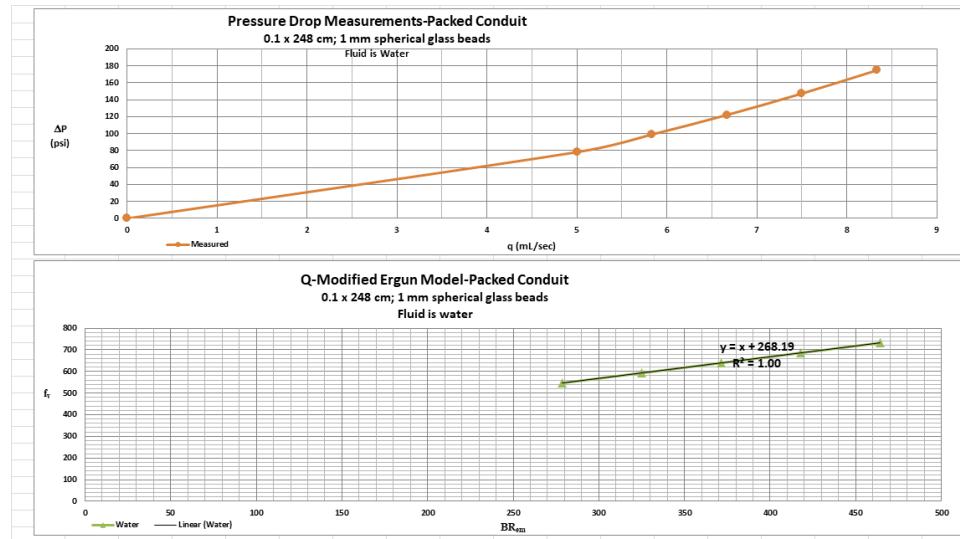
944 **Example 1.**

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946 In this example, we evaluate our own measured permeability results for column number  
947 HMQ-2 which was manufactured circa the year 2000, approximately 18 years ago, in the  
948 author's laboratory in Franklin, Ma. This column consisted of a stainless steel column 248 cm  
949 (8 ft.) in length and 1.002 cm in diameter. The column was manufactured by placing the  
950 empty conduit upright in a holding devise and this author, by means of a step ladder, placed 1  
951 mm diameter spherical glass beads into the column by pouring the dried beads into the  
952 column slowly, while at the same time, vibrating the column with a hand-held mechanical  
953 vibrator, a typical dry-packing technique well-known in conventional HPLC circles. After the  
954 column was filled with the glass beads, water was poured into the column slowly until it  
955 overflowed. The amount of water in took to fill the column (76 ml) represents the volume of  
956 fluid external to the particles in the packed column and, when divided by the empty column  
957 volume of 196 mL, results in an external porosity value,  $\varepsilon_0$ , for this nonporous particle column,  
958 of 0.39. The choice of this large internal volume column in combination with nonporous glass  
959 beads of 1 mm nominal diameter, was driven by the design objective to, once again, minimize  
960 the measurement uncertainty in the measured values of particle diameter,  $d_p$ , and column  
961 external porosity,  $\varepsilon_0$ . We used a preparative HPLC pump, manufactured by Ranin Corp., to  
962 flow water through the column and the pressure drops were measured by means of a  
963 calibrated pressure transducer over a flow rate range of 300 to 500 mL, approx. We have  
964 plotted our measured results in Fig. 9, herein.

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**Fig. 9** The measured results for column HMQ-2. The upper plot is the results in dimensional format plotted as flow rate versus pressure drop. The lower plot is the Q-modified Ergun type friction factor plotted as normalized modified Reynolds number versus friction factor.

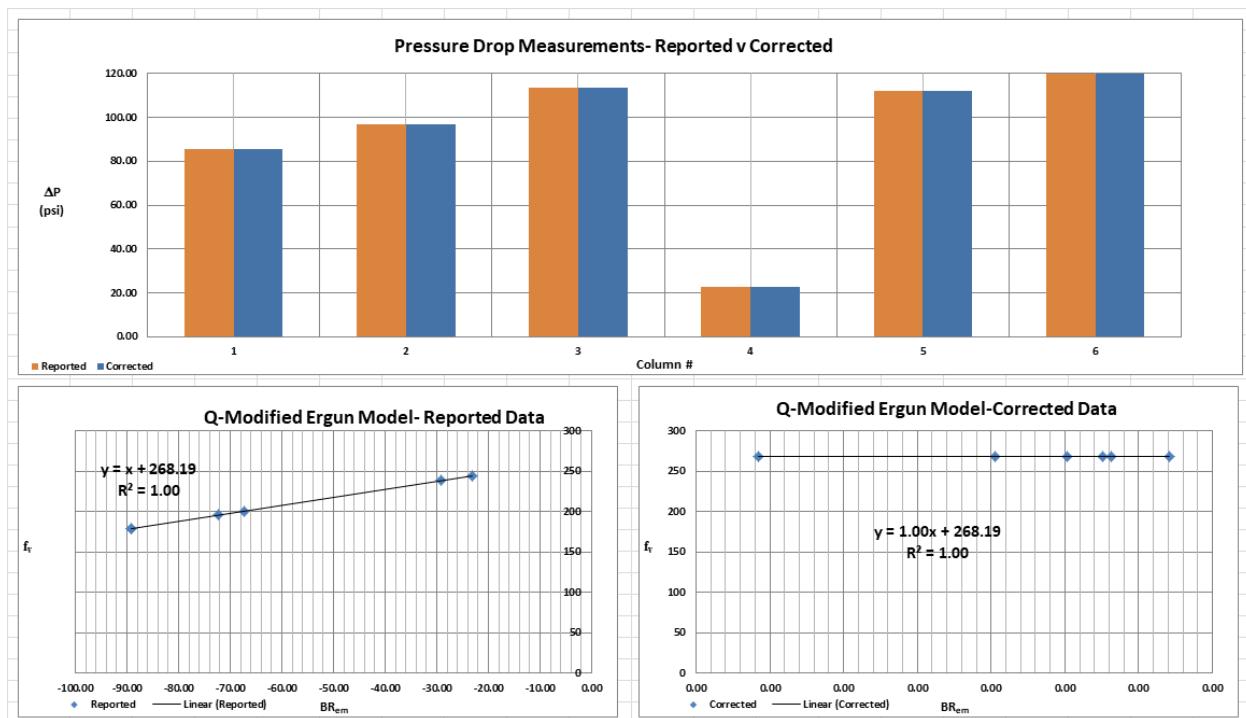
972 As can be seen from Fig.9 the measured data points on the dimensionless plot all fall on a  
 973 straight line of slope unity and intercept 268 which validate the value of A.

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## Example 2.

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In this example, we examine a published scientific article in the Journal of Chromatography by Cabooter et al (2008) [39]. This publication represents one example of what we have referred to above regarding the value of the Kozeny/Carman constant,  $K_C$ , being used as a tool to justify false separation performance claims pertaining to the modern UHPLC columns containing the so-called sub 2 micron particles. In this paper, the authors report 6 different values for  $K_C$  supposedly based upon their experimental assessment of 6 different commercially available UHPLC columns. We will use our methodology disclosed herein, however, to demonstrate that, not only did the authors not experimentally validate their erroneous values for  $K_C$  by using credible scientific principles, but also, the values of their underlying combinations for the parameters of  $d_p$  and  $\varepsilon_0$ , are demonstrably false. In our Fig. 10 herein, we have captured the authors' reported results and made our own corrections to demonstrate that, not only is our teaching herein effective in identifying substandard scientific publications, but also, it can be used effectively to correct the reported data and present a true picture of what the experimental results really identify as the underlying values for the various equation variables.



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994 **Fig. 10** The measured results for the Cabooter et al paper. The upper plot is the results in dimensional format plotted as flow rate versus  
995 pressure drop. The lower plot is the Q-modified Ergun type friction factor plotted as normalized modified Reynolds number versus friction  
996 factor.

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998 As can be seen in the dimensionless plot in Fig. 10 representing the *reported* results, the  
999 values of  $f_v$  on the y axis are identical to the values of  $K_C$  reported by the authors for each of  
1000 the 6 columns, but when their reported modified Reynolds numbers values are normalized for  
1001 kinetic contributions on the x axis, the intercept of the straight line has a value of 268, thus  
1002 validating the *true* value of  $K_C$ . However, *all* the plotted values on the x axis are negative (less  
1003 than zero). On the other hand, as can also be seen in the dimensionless plot in Fig. 10  
1004 representing the *corrected* results, all 6 values of  $f_v$  on the y axis have the same value of 268  
1005 and all the corresponding modified Reynolds number values when normalized for kinetic  
1006 contributions on the x axis, are positive (greater than zero). We have also included in Fig. 10, a  
1007 dimensional plot of the measured pressure drop versus fluid flow rate for both the reported  
1008 results as well as our corrected results to demonstrate that our correction methodology does  
1009 not alter any of the measured values which are not subject to measurement uncertainty.

1010  
1011 The only scientifically valid explanation for the negative values of  $BR_{em}$  on the x axis for the  
1012 reported results is that the fluid in the column was moving backwards *against* the pressure  
1013 gradient when the pressure drops were recorded within the column, a phenomenon which all  
1014 knowledgeable scientists will agree is physically impossible. Accordingly, we know that the  
1015 values of the modified Reynolds numbers derived based upon the reported results are in  
1016 error. Since the modified Reynolds number parameter is comprised only of 5 discrete

1017 variables,  $\mu_s$ ,  $d_p$ ,  $\rho_f$ ,  $\varepsilon_0$ , and  $\eta$ , all of which values we do not question except,  $d_p$  and  $\varepsilon_0$ , we  
1018 conclude that the combination of these two variables reported by the authors for each of the  
1019 6 columns was in error.

1020

1021 This conclusion is also supported by the erroneously reported values for the particle porosity,  
1022  $\varepsilon_p$ , for each of the 6 columns. The authors erroneously determined the value of  $\varepsilon_p$ , an  
1023 independent *column* variable, by computing it (erroneously) with their equation (13) which  
1024 contains all *column* measured variables,  $\varepsilon_p = (\varepsilon_t - \varepsilon_0) / (1 - \varepsilon_0)$ . Their reported values for  $\varepsilon_p$  for the  
1025 6 columns were, 0.402, 0.366, 0.286, 0.245, 0.408, and 0.371 for columns numbered 1  
1026 through 6, respectively. The correct values for  $\varepsilon_p$ , on the other hand, which *must* be  
1027 determined *independently* of the column measured parameters and which are typically  
1028 available from the manufacturers of the particles, are 0.623, 0.623, 0.623, 0.623, 0.579, and  
1029 0.579, respectively.

1030

1031 In a given fixed volume of free space, the internal volume of a given empty column, for  
1032 instance, the Laws of Continuity dictate that for a given mass of particles packed into that  
1033 column, there is but one *unique* combination of the values of  $\varepsilon_p$ ,  $d_p$ ,  $\varepsilon_0$ ,  $\Delta P$  and  $q$ , all other  
1034 variables being held constant, that establishes a valid correlation between calculated and  
1035 measured permeability. Since the authors of this paper did not measure or report the mass of  
1036 the particles packed into each of the columns under study, reporting measured values of  
1037 underlying equation variables, such as  $d_p$  and  $\varepsilon_0$ , which is what these authors did, does *not* by  
1038 itself, constitute a validation process for *any* value of  $K_C$ . Moreover, since the authors got the  
1039 value of  $\varepsilon_p$  wrong for each column in the study, by virtue of their use of an invalid procedure  
1040 using their equation (13) in the paper, we know *for certain* that, their values reported for  $d_p$   
1041 and  $\varepsilon_0$  are entirely arbitrary.

1042

1043 Our corrected values, on the other hand, are based simply upon the independently derived  
1044 correct value of  $\varepsilon_p$  for each of the columns, which we obtained from the manufacturers of the  
1045 particles. By identifying a specific mass of particles packed into each column corresponding to  
1046 the specific particle porosity in that particular column, we are able to deduce a *valid*  
1047 *combination* of  $d_p$  and  $\varepsilon_0$  (not necessarily the *correct* combination because the authors never  
1048 measured/reported the mass of particles in the actual columns under study) underlying the  
1049 reported permeability results for each column. Since these two values are *dependent*  
1050 variables, in the absence of other specific knowledge, we used the reported value for  $d_p$  as the  
1051 independent variable and the value of  $\varepsilon_0$  as the dependent variable, in our correction  
1052 methodology. Our resultant corrected values for  $\varepsilon_0$  were 0.376, 0.379, 0.413, 0.415, 0.394,  
1053 and 0.384 for columns numbered 1 through 6, respectively. These corrected values for  
1054 external porosity are all larger than those reported in the paper and range from an increase of  
1055 2% in the lowest case to 10% in the case of the largest, which are columns 5 and 6  
1056 manufactured by Waters Corp. These are significant discrepancies in the context of

1057 permeability since the relationship between pressure drop and external porosity is close to  
1058 the power of 4 for packed conduits. Curiously, a fictitiously low value for external porosity in a  
1059 UHPLC column can easily explain *all* of the so- called enhanced separation efficiency claims  
1060 made for these products, both related to reduced plate height, on the one hand (inaccurate  
1061 value for  $d_p$ ), and velocity shift of the minimum of the Van Deemter plot, on the other hand  
1062 (inaccurate value for  $\varepsilon_0$ ).

1063

1064 Thus, we conclude that the authors of this paper erroneously derived their values for  $K_C$   
1065 reported in the paper. This invalid result was based upon flawed science in combination with  
1066 inferior experimental protocol/technique which can be cataloged as;

1067

- 1068 1. By reporting their permeability results in the form of  $K$ , the permeability parameter,  
1069 rather than the flow resistance parameter  $\phi$ , a direct consequence of the teaching of  
1070 Halasz, they left wiggle room for the values of  $d_p$  and  $\varepsilon_0$ , to accommodate their  
1071 objectives with respect to unverified efficiency in the form of reduced plate height  
1072 claims. As pointed out above, with respect to the permeability parameter,  $K$ , there are  
1073 an *infinite number of combinations* of values for  $d_p$  and  $\varepsilon_0$ , which will satisfy the same  
1074 value for  $K$ .
- 1075 2. The authors practice of reporting their permeability parameter  $K$ , however, turns out to  
1076 be an example of the “engineer being hoist by his own petard” to the extent that their  
1077 ulterior motives were exposed by their erroneously determined values of  $\varepsilon_p$ , which they  
1078 did not determine independently.
- 1079 3. Finally, they ignored the Laws of Continuity.

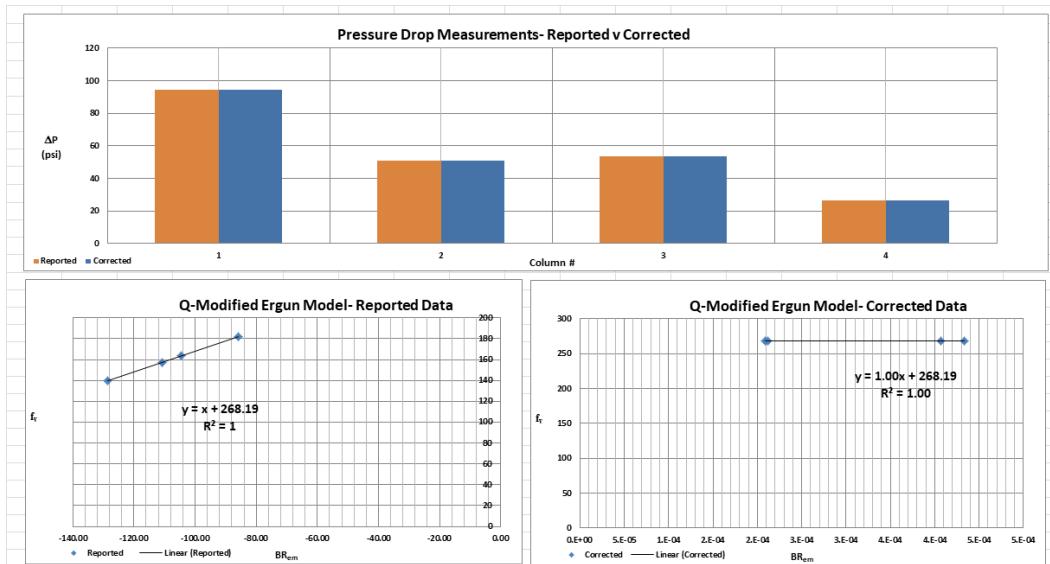
1080

### 1081 **Example 3.**

1082

1083 In this example, we examine another published scientific article, again, in the Journal of  
1084 Chromatography by Gritti et al (2014) [40]. This publication represents a second example of  
1085 what we have referred to above regarding false chromatographic performance claims. In this  
1086 paper, the authors report 4 different values for  $K_C$  supposedly based upon their experimental  
1087 assessment of 4 different commercially available UHPLC columns. Similarly, as in example 2  
1088 above, we demonstrate that, although the values reported for  $K_C$  in this paper are different  
1089 from the values reported in the Cabooter paper, they are equally invalid and for the same  
1090 underlying reasons of poor science in combination with inappropriate experimental  
1091 protocol/technique. In our Fig. 11 herein, we have captured the authors’ reported results and,  
1092 once again, made our own corrections to the reported data.

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1096 **Fig. 11** The measured results for the Gritti et al paper. The upper plot is the results in dimensional format plotted as flow rate versus pressure  
1097 drop. The lower plot is the Q-modified Ergun type friction factor plotted as modified Reynolds number versus friction factor.

1098

1099 As can be seen in the dimensionless plot in Fig. 11 representing the *reported* results, the  
1100 values of  $f_v$  on the y axis are identical to the values of  $K_C$  reported by the authors for each of  
1101 the 4 columns, but when their reported modified Reynolds numbers values are normalized for  
1102 kinetic contributions on the x axis, the intercept of the straight line has a value of 268, thus  
1103 validating the *true* value of  $K_C$ , and again *all* the plotted values on the x axis are negative (less  
1104 than zero). On the other hand, as can also be seen in the dimensionless plot in Fig. 11  
1105 representing the *corrected* results, all 4 values of  $f_v$  on the y axis have the same value of 268  
1106 and all the corresponding modified Reynolds number values when normalized for kinetic  
1107 contributions on the x axis, are positive (greater than zero). We have also included in Fig. 11, a  
1108 dimensional plot of the measured pressure drop versus fluid flow rate for both the reported  
1109 results as well as our corrected results to demonstrate that our correction methodology does  
1110 not alter any of the measured values which are not subject to measurement uncertainty.

1111

1112 The authors in this paper followed the identical erroneous procedure as in the Cabooter paper  
1113 to determine the value of  $\varepsilon_p$ , which were reported as 0.379, 0.348, .375, and 0.367 for  
1114 columns numbered 1 through 4, respectively. The correct value for  $\varepsilon_p$  for all 4 columns has the  
1115 unique value of 0.626 since all 4 columns were packed with particles from two different  
1116 manufacturing batches of the *same* particle type. Using the same correction procedure as we  
1117 used in the case of the Cabooter paper, our corrected values for  $\varepsilon_0$  were 0.440, 0.431, 0.428,  
1118 and 0.428 for columns numbered 1 through 4, respectively. These corrected values for  
1119 external porosity compare to the reported values of 0.390, 0.385, 0.368 and 0.392,  
1120 respectively, and are all larger by approximately 9-13 % which represents an even greater  
1121 discrepancy than in the Cabooter paper.

1122

1123 Thus, we conclude that similarly to the Cabooter paper, the authors of this paper erroneously  
 1124 derived their values for  $K_c$  based upon the same flawed methodology.

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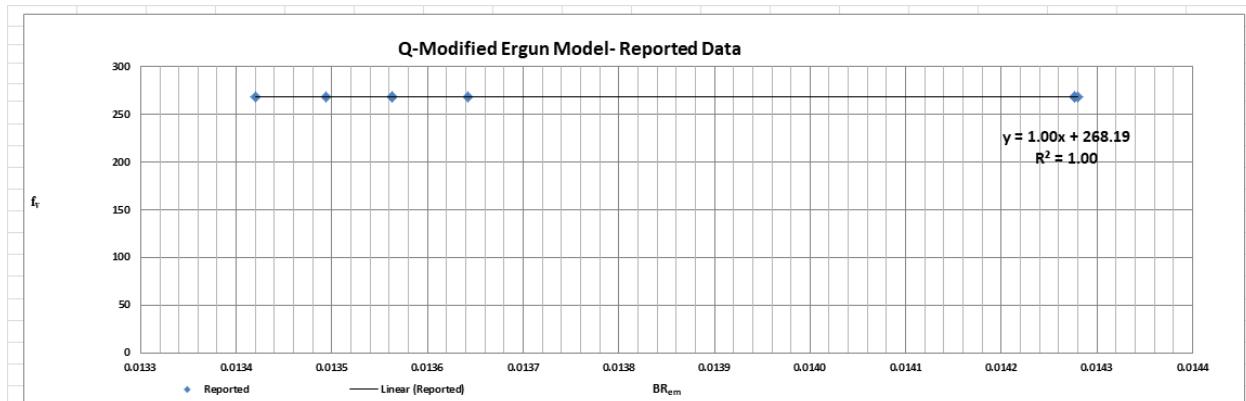
1126 **Example 4.**

1127

1128 In this example, we examine another published scientific article, again curiously, in the Journal  
 1129 of Chromatography, by K.K. Unger (2008) [41]. This publication is in stark contrast to both the  
 1130 Cabooter and Gritti papers, in as much as the author, a world renowned expert in the  
 1131 synthesis and characterization of porous particles used for chromatographic analysis for more  
 1132 than 50 years, and who is also, interestingly, a contemporary of J.C Giddings, *expertly*  
 1133 discloses a teaching concerning chromatographic HPLC columns which is comprehensive in  
 1134 nature and specifically applies to the modern day category of HPLC columns known as UHPLC.  
 1135 Unlike the teaching in the Cabooter and Gritti papers, however, Unger includes in his teaching  
 1136 the independently derived values for the particle porosity,  $\varepsilon_p$ , dictated by his expressed value  
 1137 for silica skeletal density, which when combined with his expressed values for the mass of  
 1138 silica packed into each individual column specified in his Table 4, defines *uniquely* the value of  
 1139 the external porosity,  $\varepsilon_0$ , for each column, which happens to be almost exactly 0.4  
 1140 representing, as it does, the typical column packing density in a well-packed column [33]. We  
 1141 have captured his teaching in Table 4 of the paper in our Fig 12.

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1146 **Fig. 12** The measured results for the Unger paper. The upper plot is the results in dimensional format plotted as flow rate versus pressure  
 1147 drop. The lower plot is the Q-modified Ergun type friction factor plotted as modified Reynolds number versus friction factor.

1148

1149 As can be seen in Fig. 12, we have used Unger's teaching contained in Table 4 of his paper as a  
 1150 basis upon which to apply our methodology to identify the value of  $K_c$  endemic to his teaching  
 1151 for all 8 columns specified in his Table of data. Clearly his teaching validates the value of 268  
 1152 (approx.) for  $K_c$ .

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1154

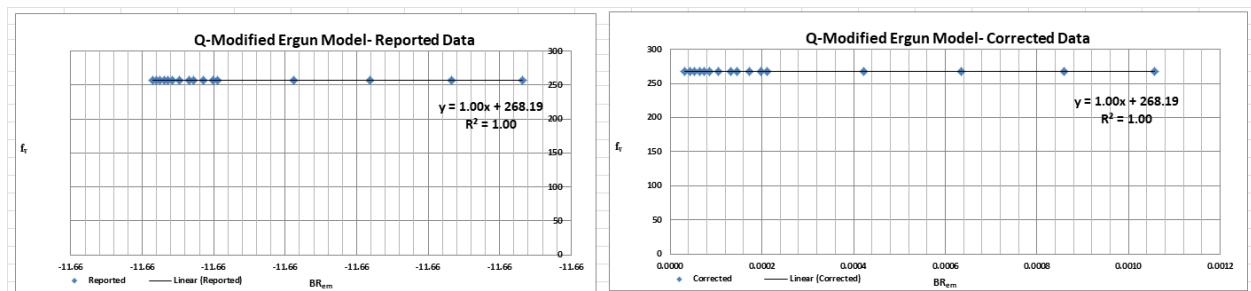
1155

1156 **Example 5.**

1157

1158 In this example, we examine another published scientific article, also in the Journal of  
 1159 Chromatography by Farkas et al (1999) [42]. This paper was co-authored with Georges  
 1160 Guiochon whose extensive publications on this topic we have commented on above. We  
 1161 consider this paper to be one of the most credible publications in the entire literature on  
 1162 permeability in closed conduits. We assign it this lofty importance because the degree of  
 1163 difficulty that the authors went to in making pressure drop measurements at such low values  
 1164 of the modified Reynolds number is most impressive. We have selected the data from Fig 2 in  
 1165 the paper which represents permeability measurements taken on an HPLC column packed  
 1166 with nominal 10 micron silica C18 particles using Glycol as the fluid and extremely low flow  
 1167 rates. In addition, the pressure drops recorded were in the range of 100 to 2,000 psi which  
 1168 increases the accuracy of the overall pressure/flow relationship. We have captured the  
 1169 reported data in Fig 2 of the paper in our Fig 13.

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1173 **Fig. 13** The measured results for the Farkas et al paper. The upper plot is the results in dimensional format plotted as flow rate versus  
 1174 pressure drop. The lower plot is the Q-modified Ergun type friction factor plotted as modified Reynolds number versus friction factor.

1175

1176 As can be seen from Fig.13, the reported data had values for  $K_c$  of 258 (approx.) which is a bit  
 1177 on the low side and is responsible for the slightly negative value of -11.6 on the x axis of the  
 1178 dimensionless plot for the reported data. The discrepancy between the reported value for the  
 1179 external porosity of 0.399 and our corrected value of 0.401 represents a discrepancy of 0.5%  
 1180 which is within the measurement error of any well-designed experimental set up. Accordingly,  
 1181 we conclude that the Farkas paper *independently* validates our value of 268 for  $K_c$ .

1182

1183 **Example 6.**

1184

1185 In this worked example, we review a published article by Neue et al published in Analytical  
 1186 Chemistry in 2005 [43]. We have selected this paper for review because it fits into this  
 1187 permeability-driven expose and because it discloses critical information concerning the  
 1188 measured value underlying the particle porosity of Acquity BEH particles from Waters Corp.,  
 1189 which is referenced above in relation to the Cabooter paper and, in addition, it allows us to  
 1190 address two very important issues associated with, (a) the Handbook teaching of Uwe Neue

1191 concerning the value of the Kozeny/Carman constant (185), and (2) the fictitiously low values  
1192 for external column porosity advertised by Waters Corporation for their so-called sub 2  
1193 micron particle columns. The publication contains 4 experiments relating to a comparison  
1194 between the so-called sub 2 micron Acquity BEH particles and the more conventional format  
1195 of a nominal particle diameter of 5 micron. For ease of description we designate them based  
1196 upon their column dimension, and numbered 1 through 4 as follows;

1197

1198 1. Acquity BEH C18 particles 1.7 micron; 0.21 x 5 cm column

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2. Acquity BEH C18 particles 4.8 micron; 0.21 x 5 cm column

1200

3. Acquity BEH C18 particles 1.7 micron; 0.21 x 3 cm column

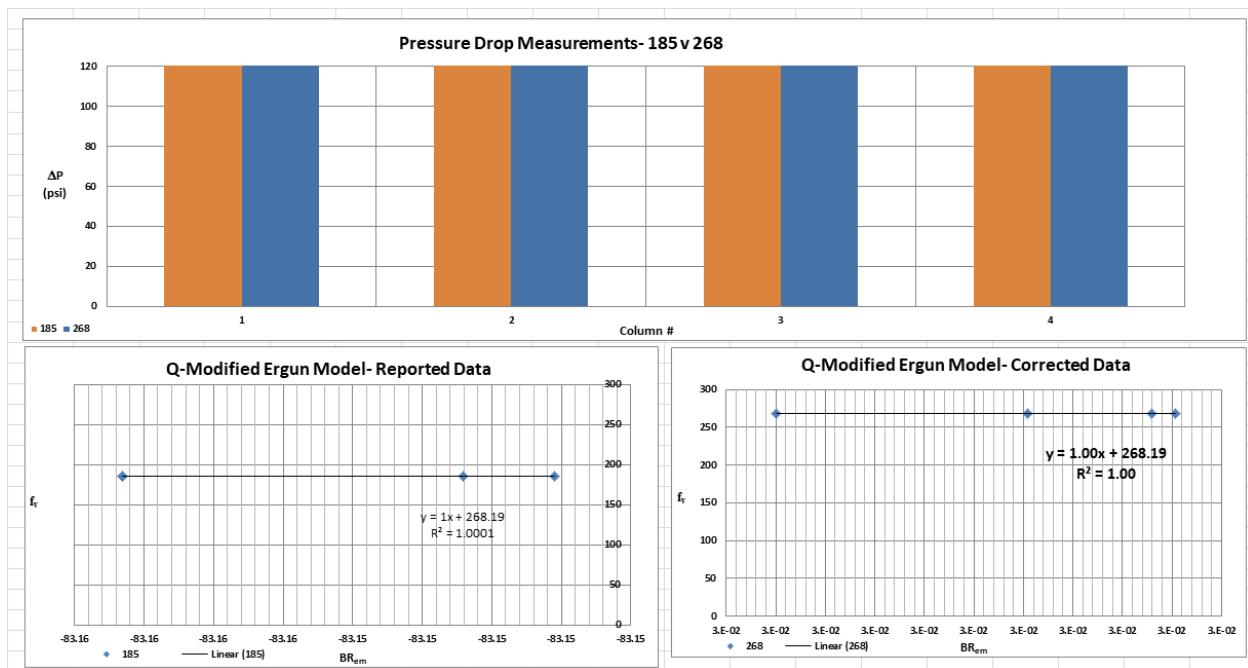
1201

4. Acquity BEH C18 particles 4.8 micron; 0.21 x 10 cm column

1202

Firstly, we focus on page 465 of the paper in which the authors disclose the independently measured characteristics of the particles;  $S_{pv} = 0.68 \text{ mL/g}$ ,  $\rho_p = 0.85 \text{ g/mL}$ , the product of which represents the value of the particle porosity,  $\epsilon_p$ , ( $0.68 \times 0.85 = 0.579$ ). Secondly, we focus on Neue's Handbook at page 30 in which he discloses a value of 185 for the constant in the Kozeny/Carman equation [44]. The authors did not report any measured values for partial column porosities in this paper including the value of external porosity,  $\epsilon_0$ , nor did they disclose any value for  $K_c$ , but did report the values of their measured pressure drops. In Fig. 14 herein, we show a comparison of the reported results for measured permeability in this paper and our *calculated* values for  $f_v$  assuming Neue's Handbook value of 185 for  $K_c$ , on the one hand, and our validated value of 268, on the other hand, to facilitate an analysis of the impact on the discrepancies in Waters advertising for particle size value and external porosity value of their so-called sub 2 micron UPLC columns.

1214



1215  
 1216  
 1217 **Fig. 14** The measured results for the Neue et al paper. The upper plot is the results in dimensional format plotted as flow rate versus pressure  
 1218 drop. The lower plot is the Q-modified Ergun type friction factor plotted as modified Reynolds number versus friction factor.  
 1219

1220 As can be seen in Fig 14, the negative values on the x axis dictate that our assumption of the  
 1221 value of 185 for the value of  $K_C$  is invalid. Moreover, it is critically important for us to  
 1222 emphasize that the value of 185 in Neues's Handbook for the Kozeny/Carman constant,  $K_C$ , is  
 1223 based upon an *unsupported* assertion in the book since no reference to any corroborating  
 1224 evidence is provided for its genesis.

1225  
 1226 Accordingly, since commercially advertised high-throughput low internal volume columns  
 1227 (UHPLC), such as column numbered 1 and 3 in this paper manufactured by Waters Corp., are  
 1228 not suitable for making *direct* meaningful chromatographic partial porosity measurements,  
 1229 we conclude that the *fictitiously low values* for column external porosity,  $\varepsilon_0$ , advertised by  
 1230 Waters Corp. for their UPLC columns containing these so-called sub 2 micron particles, are  
 1231 based upon the unsupported incorrect value of 185 for the constant in the Kozeny/Carman  
 1232 equation referenced on page 30 in Neue's Handbook, a direct consequence of the teaching of  
 1233 Halasz, and that, therefore, the chromatographic separations claims for these columns are  
 1234 correspondingly inaccurate.

1235  
 1236 **Example 7.**

1237  
 1238 We now focus on a very recent example, which is based upon a series of papers published in  
 1239 the Journal of Chromatography between 2016 and 2017 by Reising et al, [45, 46, 47, 48]. In  
 1240 this series of papers, the authors detail packing methodologies using fused silica capillaries  
 1241 packed with C18 BEH particles manufactured by Waters Corp. In addition, Waters Corp. are

1242 given credit, in all 4 papers, for participating in the study and providing both the BEH particles  
1243 and, in some cases, the packed columns under study. The major finding disclosed in these  
1244 papers from a permeability point of view is that the packed capillaries had much larger  
1245 external porosities than that taught by Giddings in 1965 for well-packed columns [33] in which  
1246 he states “From these results it is safe to conclude that  $f_0$  will only occasionally vary by more  
1247 than 0.03 from a normal value of 0.40 for well-packed granular materials in chromatography”  
1248 (page 209). The authors of these referenced papers, however, expressed the sentiment that  
1249 the high external porosity values were *unexpected* and went on to give their explanations as  
1250 to why the packed bed structures, *apparently surprisingly*, produced such high values for  
1251 porosity.

1252

1253 This proclamation is a startling revelation to this author since this exact feature of slurry  
1254 packed columns was disclosed in US patent no. 5,772,874 to Quinn et al, June 30 1998, in  
1255 which the first independent claim states[49]; “Chromatography apparatus comprising, in  
1256 combination, a chromatographic body formed as a substantially uniformly distributed  
1257 multiplicity of rigid, solid, porous particles with chromatographically active surfaces, said  
1258 particles having average diameters of greater than about 30  $\mu\text{m}$ , the interstitial volume  
1259 between said particles being *not less than about 45%* of the total volume of said column;  
1260 and means for loading said surfaces with at least one solute that is reactive with said surfaces,  
1261 by flowing a liquid mixture containing said solute through said body at a velocity sufficient to  
1262 induce flow of said mixture within at least a substantial portion of said interstitial volume at a  
1263 *reduced velocity greater than about 5,000.*”

1264

1265 Indeed, the same 1998 disclosure contained in its specifications the revolutionary concept of a  
1266 reduced plate height less than unity, a concept that the authors in this current series of papers  
1267 seem to suggest is novel, some 20 years down the road. Astonishingly, since Waters Corp are  
1268 fully aware of the 1998 disclosure concerning the high external porosity in the commercial  
1269 columns sold on the basis of that disclosure, which columns are currently marketed by  
1270 ThermoFisher, it is perplexing why the authors in this paper, presumed to be independent  
1271 academicians working in transparent collaboration with Waters Corp representatives, did not  
1272 see fit to acknowledge the 1998 disclosure which predates their “novel” discovery by some 20  
1273 years approximately.[50]

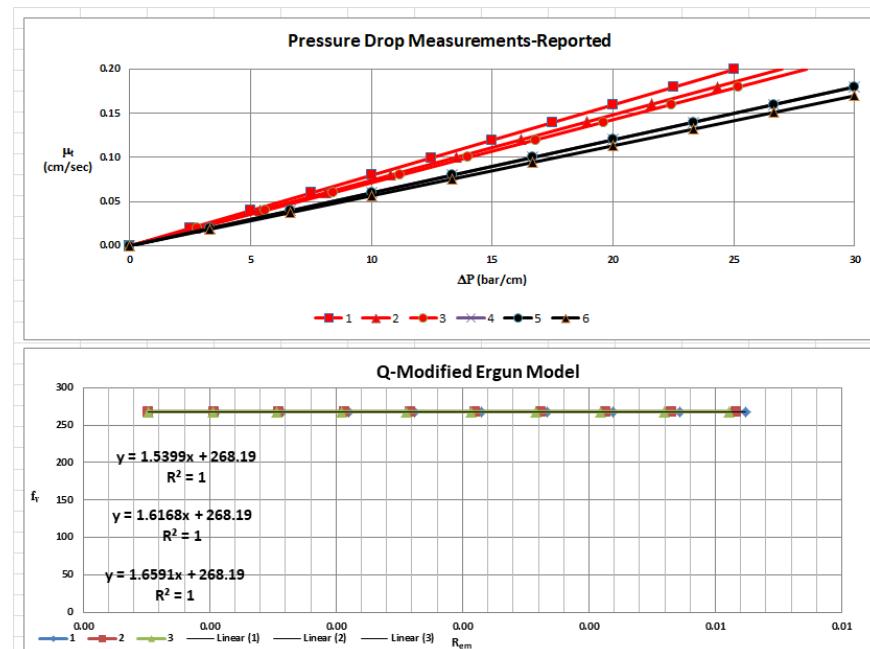
1274

1275 Furthermore, it is even more surprising still, in light of the high throughput feature of the 1998  
1276 disclosure regarding separations being run at reduced velocities in excess of 5,000, that these  
1277 authors are, apparently, unaware of the genesis of high throughput analytical  
1278 chromatography. Clearly it was the 1998 disclosure that ushered in the modern concept of  
1279 rapid, high-throughput analytical chromatography in the first place, and represents the  
1280 *fundamental discovery* that spawned the utility of the so-called sub 2 micron particles, which  
1281 is referred to in modern day jargon as “UPLC” and “UHPLC” columns. Indeed, those of skill in  
1282 the art of HPLC will agree that in 1998 nowhere on this planet could one purchase a

1283 chromatography column, either empty or packed with particles, that had the high-through-  
 1284 put dimensions of 1 x 50 mm, a feature that was introduced to the market place  
 1285 concomitantly with the 1998 disclosure and, since then, has now become an article of  
 1286 commerce throughout the HPLC world, not to mention that it is a popular dimension for  
 1287 UHPLC columns. Evidently, it has now been forgotten, conveniently perhaps in some quarters  
 1288 of the HPLC industry worldwide, that prior to this disclosure in 1998, most high-end HPLC  
 1289 chromatographic systems, such as that sold by HP (now Agilent) and Waters Associates (now  
 1290 Waters Corp.), had an override which prevented the pumps from running above a set  
 1291 *maximum* flow rate, typically at a value of 2 mL/min, which underscores the importance of the  
 1292 physical dimensions of the then typical analytical columns, and which conventional wisdom at  
 1293 the time dictated represented an upper limit of fluid linear velocity for meaningful  
 1294 separations, as enunciated by the then understood concept of the Van Deemter relationship.  
 1295

1296 In one of these papers [44], the authors published measured pressure drops for 6 capillary  
 1297 columns packed with BEH particles of circa 2.0 micron, Fig. 3 in the paper. We have captured  
 1298 the reported data in our Fig. 15.

1299



1300  
 1301

1302 Fig. 15 This represents the reported results in the Reising et al 2016 paper. The upper plot is the reported permeability data in Fig. 3 of the  
 1303 paper for all 6 columns and the lower plot is our protocol to identify the values of A and B in the Q-modified Ergun model using just the 3  
 1304 columns in which sonication was used in the slurry preparation.

1305

1306 As can be seen from our Fig. 15 herein, the permeability of the 3 columns in which sonication  
 1307 was used in the slurry preparation, numbered 1 through 3 in our plot, demonstrates a value of  
 1308 268 for A, for all 3 columns, and a value for B which is slightly different for each of the  
 1309 columns. Accordingly, our protocol disclosed herein may also be used to identify the external

1310 porosity of a given column when its permeability has been measured carefully. We have  
1311 determined that the external porosity,  $\varepsilon_0$ , for the three columns shown in our dimensionless  
1312 plot was 0.469, 0.462 and 0.458, respectively. These values would appear to be consistent  
1313 with the experimental results reported by the authors in all 4 referenced papers, using their  
1314 *highly sophisticated imaging technology* to measure *directly* external porosity,  $\varepsilon_0$ , in low  
1315 volume columns, a technique not available at the time of the 1998 disclosure. Accordingly,  
1316 what is novel in this collection of papers is the *imaging technology* used to measure external  
1317 porosity, *not* that UHPLC columns have *high external porosities*, which is precisely what  
1318 constitutes the 1998 disclosure.

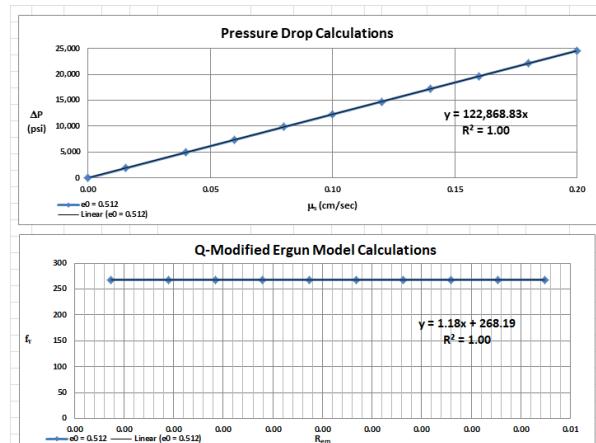
1319  
1320 In yet another one of the papers referred to herein [43], the authors reported their result of a  
1321 value for external porosity which in our nomenclature,  $\varepsilon_0$ , corresponds to a value of 0.512, in  
1322 Table 2 of that paper. The authors, however, reported the value as  $\varepsilon_{\text{ext}} = 0.488$ . This is because  
1323 these authors are practicing the use of an *archaic* nomenclature which has been the source of  
1324 enormous confusion down the years in published literature on bed permeability. As is evident  
1325 from equations (4) through (8) in the paper, their nomenclature for terms is, at best,  
1326 extremely confusing. For instance, they define in their equation (8) their term for external  
1327 porosity as  $\varepsilon_{\text{ext}} = 0.49$  and refer to it as the “external porosity of the packing”. This terminology  
1328 is inappropriate at best and is, in fact, technically incorrect. This definition represents the  
1329 particle volume fraction in the packed column and corresponds to our term  $(1-\varepsilon_0)$  which is  
1330 actually not a “porosity” term at all. Giddings, in his exemplary text at page 197 defines  
1331 porosity as follows; “Porosity  $f$  is defined as the fraction of free (nonsolid) space within a  
1332 certain volume element of porous material. It is a measure of the room available for the  
1333 mobile phase. This parameter is basic to most studies of porous materials”[33]. Accordingly,  
1334 the space occupied by the particles in a packed conduit, *excludes* all mobile phase when the  
1335 particles are nonporous, and also excludes, partially, the mobile phase when the particles are  
1336 porous. Therefore, the particle fraction in a packed column represented by the term  $(1-\varepsilon_0)$   
1337 does not represent *any* kind of porosity, either *external* or *internal*. In addition, their use of  
1338 the word “external” has the connotation of porosity *external* to the particles, which in the  
1339 context of their definition, constitutes a contradiction in terms.

1340  
1341 The author’s equation (7), on the other hand, to which they give the symbol,  $\varepsilon_{\text{intra}}$ , is in fact the  
1342 porosity of the *particles* which is an independent *column* parameter. This creates the *illusion*,  
1343 based upon the symbol used, that it represents the internal porosity of the *column*, i.e. a  
1344 *column* porosity term, which in our nomenclature is,  $\varepsilon_i$ , and which unfortunately and counter  
1345 intuitively, it is *not*. Accordingly, the author’s nomenclature can only be described as  
1346 “organized confusion” because their equation (6) for  $\varepsilon_t$ , represents the total porosity of the  
1347 column, i.e. a *column* porosity term; their equation (7), for  $\varepsilon_{\text{intra}}$ , represents the particle  
1348 porosity, i.e. a *particle* porosity term; and their equation (8), for  $\varepsilon_{\text{ext}}$ , represents the volume

1349 fraction taken up by the particles which is *not* even a porosity term at all in any reasonable  
 1350 interpretation of the meaning of porosity.

1351  
 1352 Although the external porosity value of  $\epsilon_0 = 0.512$  reported in Table 2, is an extraordinarily  
 1353 high value for a chromatographic column, the authors, curiously, did not report their  
 1354 permeability measurements for this column in the paper. Accordingly, we cannot apply our  
 1355 methodology *directly* in this case to validate the value of A. However, in the interests of full  
 1356 disclosure, we can actually apply our methodology *in reverse* and identify our *calculated*  
 1357 values for permeability for this column, which we show in our Fig. 16.

1358



1359

1360

1361 Fig. 16 This plot represents our calculations for permeability underlying the column reported in Table 2. The upper plot is our calculated  
 1362 pressure drop versus velocity and the lower plot is our calculated values for the Q-modified Ergun model.

1363

1364 As shown in Fig. 16, our calculated values for pressure drop, in units of psi, and superficial  
 1365 linear velocity, in units of cm/sec., indicate a linear relationship with a slope of 122,868. We  
 1366 used as our fluid in this exercise the same mobile phase of Water/ Acetonitrile, 50/50, which  
 1367 was used by the authors to run their standard separation mix. In addition, our Q-modified  
 1368 Ergun model identifies the calculated values of 268 for A and 1.18 for the kinetic coefficient B.

1369

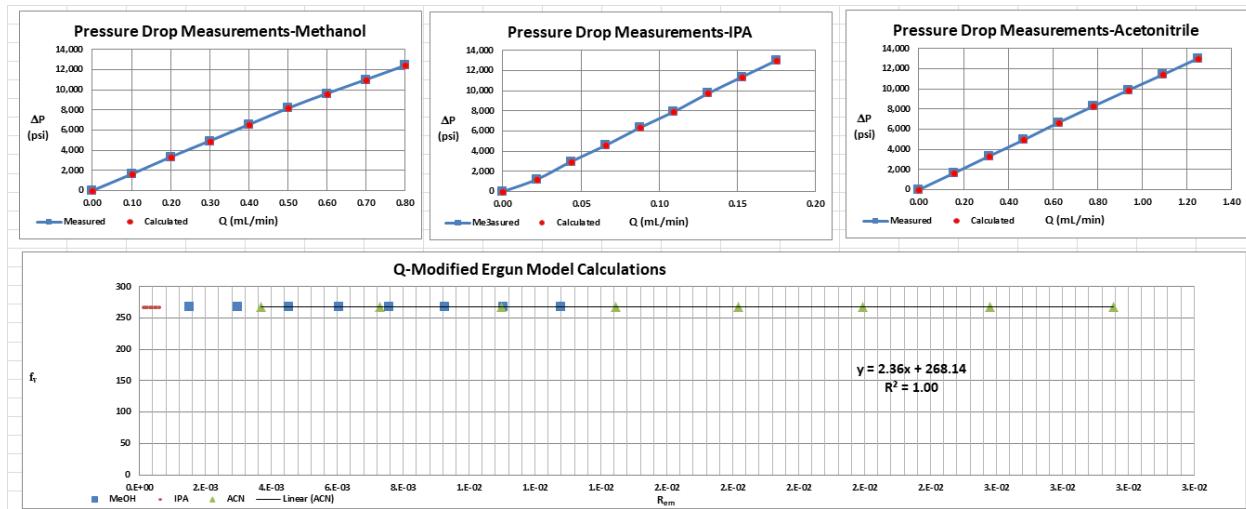
### 1370 Example 8.

1371

1372 Finally we include our last example, which was published simultaneously with the writing of  
 1373 this paper in 2018 [51]. The authors of this paper studied the heat generated in a UHPLC  
 1374 column when three different fluids are pumped through it using an imaging technique  
 1375 involving infrared cameras. Their experiments were carried out on a Kinetex 1.3  $\mu\text{m}$  C18 100A<sup>0</sup>  
 1376 LC column 50 x 2.1 mm purchased from Phenomenex in Australia. The three fluids were all  
 1377 HPLC grade and included Methanol, Isopropyl Alcohol and Acetonitrile. For each fluid the  
 1378 authors took eight flow rate measurements and they recorded the pressure drops for each  
 1379 flow rate in conjunction with their imaging measurements for temperature gradient. They  
 1380 reported their permeability results in Table 1 in the paper as flow rate in units of mL/min and

1381 pressure drop in units of psi. The particles in this example were fully porous silica based, in  
 1382 contrast to the BEH particles manufactured by Waters Corp., which were a hybrid of inorganic  
 1383 silica and organic polymer. We have captured the authors permeability results in our Fig. 17  
 1384 herein.

1385



1386

1387

1388 Fig. 17 This plot represents the reported results in the 2018 paper. The upper plot is the reported permeability data in Fig. 1 of the paper for  
 1389 all 3 fluids used in the study and the lower plot is our protocol to identify the values of A and B in the Q-modified Ergun model.  
 1390

1391 As can be seen in our Fig. 17 herein, in the upper dimensional plot, there is an excellent  
 1392 agreement between the measured values and our calculated values. In fact, we made them  
 1393 identical by adjusting the viscosity of the fluid in our calculations to account for the  
 1394 temperature changes due to increased resistance at higher flow rates. Our viscosity values  
 1395 were as follows; Methanol had an average value of 0.0054 (poise) with a standard deviation of  
 1396 2.4% for all eight measurements; IPA had an average value of 0.0234 (poise) with a standard  
 1397 deviation of 9% for all eight measurements; Acetonitrile had an average value of 0.0035  
 1398 (poise) with a standard deviation of 0.8% for all eight measurements. Incidentally, we believe  
 1399 that the value of 0.021 (poise) reported by the authors for IPA, is in error.

1400

1401 As can also be seen in Fig. 17 in the lower dimensionless plot, our protocol validates the value  
 1402 of A at 268 and the value of B at 2.36 for all three fluids.

1403

1404 However, the dimensionless plot also reveals an error made by the authors in arriving at their  
 1405 conclusions. As is obvious from the plot, the authors did not take their temperature  
 1406 measurements at comparable values of the modified Reynolds number. Accordingly, the data  
 1407 for Acetonitrile has the lowest standard deviation of viscosity value, 0.8%, because the  
 1408 measurements were taken at much higher values of the modified Reynolds numbers, where  
 1409 trans-column mixing is significantly better and, as a consequence, heat transfer to the external  
 1410 environment is much better. This results in a much more constant temperature within the  
 1411 column, which is reflected in the permeability results. Methanol showed the next best

1412 performance with a standard deviation value for viscosity of 2.4% because its' modified  
1413 Reynolds numbers were lower than those of Acetonitrile but higher than those for IPA. Lastly,  
1414 the IPA standard deviation value for viscosity was the worst amongst the three fluids at a  
1415 value of 9% because it had the lowest modified Reynolds number values.

1416

1417 Finally, we point out that our protocol identifies the value of 0.404 for,  $\varepsilon_0$ , the external  
1418 porosity in this column, which represents that of a well-packed column according to the  
1419 teaching of Giddings referred to above.

1420

1421 We conclude from this example that our protocol is also valuable for evaluating the mass  
1422 transfer characteristics of UHPLC columns and, more specifically, in the case of heat transfer,  
1423 would appear to be even a superior technique to infrared cameras, which is what the authors  
1424 used in this paper.

1425

## 1426 5. Conclusions

1427

1428 The Laws of Nature dictate a particular relationship between the flow rate of a fluid and the  
1429 pressure generated by that fluid as it percolates through a closed conduit whether that conduit  
1430 is empty or is filled with solid obstacles. Many of the variables involved in this relationship are  
1431 identified in conventionally accepted empirical equations, but some are not. In these empirical  
1432 equations, when all the known variables are accounted for, there remains a residual fixed  
1433 "constant" whose value does not change depending upon the relative value of certain of the  
1434 known variables. The value of this "residual" constant is not self-evident and unfortunately, its  
1435 value has been sometimes used to justify self-serving conclusions regarding the value of  
1436 difficult-to-measure variables, as part of a plan to project favorable performance characteristics  
1437 colored to favor the originator, such as packed column particle diameter, particle porosity,  
1438 column porosity and column separation efficiency and productivity. Such proclamations have  
1439 been made by some manufacturers involved in the production of the so-called sub 2 micron  
1440 UHPLC columns as well as other interested parties involved in the periphery of the HPLC  
1441 industry worldwide.

1442

1443 In fact, the nomenclature of "sub 2 micron" is an unusual novel nomenclature to represent  
1444 particle size, *never used in the HPLC world heretofore*, and is a contrived label designed to  
1445 obscure the true values of the related column permeability parameters of particle size and  
1446 column external porosity, and which, in turn, enables false claims of separation productivity in  
1447 UPLC and UHPLC columns. The Laws of Nature do not lend themselves to manipulation by man  
1448 and, just because it is extremely difficult to differentiate between the free space *between* the  
1449 particles and the free space *within* the particles, in chromatography columns packed with  
1450 porous particles, manufacturers of these particles do *not* have the right to *knowingly*  
1451 misrepresent the reality existing within UPLC columns in which the particle diameters maybe  
1452 substantially less than 2 micron in combination with external porosity values greater than

1453 about 0.45, in which case, they represent the *high pressure* manifestation of the discovery of  
1454 high-throughput analytical chromatography, first disclosed on June 30 1998.

1455  
1456 The teaching in this paper underscores the fundamental errors made by chromatographers and  
1457 engineers alike, which have been compounded down the years, pertaining to the role of the  
1458 kinetic term in the pressure flow relationship. Since not all kinetic contributions are captured in  
1459 the value of the conventionally defined Reynolds number, assumptions concerning the lack of  
1460 relative importance of kinetic contributions at low values of the Reynolds number, a concept  
1461 steeped in conventional folklore, are *not* valid. To remedy this stunning lack of understanding of  
1462 fluid dynamics in closed conduits, we have demonstrated an experimental protocol, which  
1463 unambiguously validates the value of 268 approx. for the constant in the Kozeny/Carman  
1464 equation, as well as isolating the value of the kinetic coefficient, B, which when combined with  
1465 the modified Reynolds number, completely defines bed permeability in packed conduits over  
1466 the entire fluid flow regime including laminar, transitional and turbulent.

1467  
1468 The experimental protocol and associated teaching herein, sets the groundwork for a novel  
1469 new theory of fluid dynamics in closed conduits, which will be the subject of our next paper. In  
1470 it we will define from first principles *all* the variables contained in the pressure flow relationship  
1471 including those not identified in some conventionally accepted empirical equations and  
1472 including, in particular, those variables which we have chosen, in the interests of simplification  
1473 in this paper, to combine in our lumped parameter, B. Furthermore since this new disclosure  
1474 will include all regimes of fluid flow in closed conduits including laminar, transitional and  
1475 turbulent, it is projected that it will shed some much needed light on the well-known Navier-  
1476 Stokes equation, which as of this writing, stands without an analytical solution, at least one that  
1477 can be validated in the real world.

1478

### 1479 **Acknowledgement**

1480  
1481 We hereby acknowledge the contribution of Triona Grimes, a chemist/pharmacist, for much  
1482 needed discussion in the early days of our focus on packed column permeability useful for  
1483 separations in the pharmaceutical and drug discovery segments of the worldwide market for  
1484 analytical chromatography columns. We also acknowledge the expertise of Hugh Quinn, Jr. for  
1485 providing the engineering knowhow to design and control the measurement apparatus used to  
1486 generate our measured values underlying our validation protocol.

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1488

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