Physicochemical properties of yttria-stabilized-zirconia in-flight particles during supersonic atmospheric plasma spray

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\textbf{Abstract:} In order to achieve better knowledge of the thermal barrier coatings (TBCs) by supersonic atmospheric plasma spraying (SAPS) process, an experimental study was carried out to elaborate physicochemical properties of particles in-flight during the SAPS process. One type of commercially available agglomerated and sintered yttria-stabilized-zirconia (YSZ) powders were injected into the SAPS plasma jet and collected by shock chilling method. The YSZ particles in-flight physicochemical properties of the melting state, morphology, microstructure, particle size distribution,
element composition changes and phase transformation during the SAPS process have been systematically analyzed. The melting state, morphology and microstructure of the collected particles were determined by scanning electron microscopy (SEM). The particle size distribution was measured by a laser particle size analyzer (LPSA). Element compositions were quantitatively analysed by an electron probe X-ray microanalyzer (EPMA). Additionally, the X-ray diffraction (XRD) method was used to analyse the phase transformation. The results showed that the original YSZ powders injected into the SAPS plasma jet were quickly heated and melted from the outer layer companied with breakup and collision-coalescence. The outer layer of the collected particles containing roughly hexagonal shaped grains exhibited a surface texture with high sphericity and the inside was dense with hollow structure. The median particle size was decreased from 45.65 μm to 42.04 μm. Besides, phase transformation took place and the content of zirconium (Zr) and yttrium (Y) element was decreased with the evaporation of ZrO₂ and Y₂O₃.

**Keywords:** plasma spray; in-flight particles; molten status; YSZ

### 1. Introduction

Thermal barrier coatings (TBCs), which can provide thermal insulation to the engine hot components and protect them from corrosion and oxidation at high temperature as well, play an important role in advanced gas-turbine and diesel engines [1-3]. A typical TBCs system consists of two layers over the super alloy substrate: a metallic bond-coat and a ceramic top-coat, where yttria-stabilized-zirconia (Y₂O₃ stabilized ZrO₂, YSZ) is a widely used material as the top coat owing to its low
thermal conductivity, high thermal expansion coefficient and good mechanical properties [4-5]. To date, electron beam physical vapor deposition (EB-PVD) and atmospheric plasma spraying (APS) are two main processes to prepare YSZ coatings.

In particular, APS is a commercial, high-efficiency process and easy to operate, in which original powders are injected into plasma jet with carrier gas and quickly heated and accelerated. Successive particles in-flight impinge onto substrate followed by spreading and rapid solidification to form single splats. The as-sprayed coating develops with the stacking of these flattened splats [6-7].

At present, empirically tuning 3-6 main controllable parameters is the main method to find the relationship between the spray parameters and the coating [8-9]. However, APS is a complicated process in which more than 35 main parameters both controllable parameters (such as the flow rate of gas, power, etc.) and some uncontrollable parameters like the erosion of the electrode and fluctuations in the particles injection geometry are identified to influence the resulting microstructure and correspondingly coating properties [10]. Therefore, it’s a great challenge to produce reproducible and reliable plasma sprayed coatings. In the recent years, particles in-flight properties have been studied theoretically, numerically and experimentally and suggested no matter how complicated the influence parameter is, physicochemical properties of particles in-flight before impinging onto substrate are fundamental parameters influencing the quality of the as-sprayed coatings [11-16]. Liu et al. [11] used Box-Behnken Design experimental method to analyze the effect of spray parameters on average velocity and surface temperature of La$_2$Ce$_2$O$_7$ particles
in-flight and its influence on the microstructure and mechanical properties. Bai et al. [12] adopted one-factor-at-a-time method to investigated the effect of four spray parameters on average velocity and temperature of nanostructured YSZ particles in-flight and theoretically quantify the influence of in-flight particles melting state on the microstructure of as-sprayed coatings. Choudhury et al. [13-14] utilized Artificial Neural Network (ANN) to quantify the relationship between processing parameters and Al₂O₃-TiO₂ particles in-flight characteristics and to establish process controls by predicting the particle in-flight characteristics of the APS process. Wei et al. [15] analyzed the melting state and refining behavior of particles flight during spraying using numerical method. Tekmen et al. [16] investigated oxidation behavior of Al particles in-flight and the effects of velocity and temperature particles in-flight on the oxidation mechanism.

Lately, an advanced high efficiency and low energy consumption (lower than 80 kW) supersonic atmospheric plasma spraying (SAPS) process has been successfully developed by Academy of Armored Forces Engineering (Beijing, China) [17-18]. The main components of this novel system are plasma gun, powder feeder, gas-supply system, cooling water system and power supply system and control system. Fig. 1 shows the schematic diagram of the supersonic plasma spraying system. The key of this system is a novel SAPS gun with a Laval nozzle and internal feedstock injection mode which makes the feedstock particles can be heated and accelerated adequately and has no limitation to the melting temperature of the sprayed materials and has more advantage in fabricating high performance ceramic, cermet or metallic coatings.
than APS. However, physicochemical properties of YSZ particles in-flight during the SAPS process have not yet been systematically studied.

Motivated by the aforementioned considerations, this study is dedicated to understand the physicochemical properties of YSZ particles in-flight by experimental methods to achieve better knowledge of TBCs by the SAPS process. Whereas, it is difficult to directly observe the physicochemical properties of YSZ particles in-flight during the SAPS process for its high temperature and velocity. In this paper, a shock chilling method was employed to trap the YSZ particles in-flight after passing a usual spraying distance during the SAPS process. The YSZ particles in-flight physicochemical properties of the melting state, morphology, microstructure, particle size distribution, element composition changes and phase transformation were investigated in detail during the SAPS process.

2. Experimental material and methods

Experiments were carried out on a SAPS system (HEPJ-II, China) and the plasma gun was mounted on a 6-axis robot (MOTOMAN-2010, Ysakawa, Japan). Details of the spraying parameters are listed in Table 1, in which argon and hydrogen were used as the primary and assistant gas, respectively. One type of commercially available agglomerated and sintered (AS) 6-8 wt. % $\text{Y}_2\text{O}_3$-$\text{ZrO}_2$ (YSZ) particles was used as feedstock. To collect particles in-flight before impinging onto substrate, an experimental apparatus was developed (as illustrated in Fig. 2). During the SAPS process, YSZ particles in-flight were rapidly solidified and collected in a collection apparatus containing liquid nitrogen in which the cooling rate of the spraying particles
exceeds $10^6$ K/s that enables a rapid cooling rate [19]. Furthermore, liquid nitrogen, an inert cooling medium, was used to prevent chemical reaction from occurring between the YSZ particles in-flight and the cooling medium. Thus, this shock chilling method could be used to characterize the physicochemical properties of YSZ particles in-flight. The collection apparatus was positioned vertically to the SAPS gun to enable the YSZ particles in-flight flying into liquid nitrogen. The trapped particles were extracted from the resulting suspension by a membrane filter with pore diameter of 0.1 μm and then dried in a vacuum drying box. The external and internal morphology of the original AS YSZ powders (referred as O-AS) and collected YSZ particles (referred as C-AS) were determined by a scanning electron microscope (SEM, ZEISS, Germany). The particle size distribution of the O-AS powders and C-AS particles was measured by a laser particle size analyzer (LPSA, Horiba, Japan). Element compositions of the O-AS powders and C-AS particles were quantitatively analysed by using wavelength dispersive X-ray spectroscopy (WDS) in an electron probe X-ray microanalyzer (EPMA, EMAX-1770, Horiba, Japan) with the resolution of 110 ppm, operating voltage at 20 kV. In order to determine the element compositions of the original O-AS powders and C-AS particles accurately, five measurement points were randomly obtained in one image during analysis. The final value was calculated using 15 images selected randomly. The phase transformation of O-AS powders was characterized by X-ray diffraction (XRD, Philips X’Pert Pro, Holland) under room temperature with Cu $K\alpha$ radiation of wavelength 1.5418 Å. The scanning 2θ angle ranged from 10° to 90° with a step scanning rate of 0.02 °/min,
while the voltage and electric current were held at 40 kV and 30 mA, respectively. The samples were determined three times, respectively. The obtained XRD patterns were analyzed by Jade software version 6.0 (Material's Data Inc., America).

Table 1 Spray parameters of YSZ by SAPS

<table>
<thead>
<tr>
<th>Current (A)</th>
<th>Voltage (V)</th>
<th>Primary gas (slpm)</th>
<th>Assistant gas (slpm)</th>
<th>Carrier gas (slpm)</th>
<th>Feeding rate (g/min)</th>
<th>Spray distance (mm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>430</td>
<td>150</td>
<td>120</td>
<td>24.2</td>
<td>7</td>
<td>35</td>
<td>100</td>
</tr>
</tbody>
</table>

slpm: standard liter per minute

3. Results and discussion

3.1 Characterization of the O-AS powders and C-AS particles

Characteristics of the O-AS powders are shown in Fig. 3. The overall surface morphology of the O-AS powders and the high magnification SEM micrograph of a single powder are shown in Fig. 3 (a) and Fig. 3 (b), respectively. It can be seen that the O-AS powders are composed of agglomerated primary granules with 1-2 μm diameter. The O-AS powders with rough surface are near spherical morphology which can ensure good flow ability. Fig. 3 (c) and Fig. 3 (d) reveal the cross-sectional morphology of the O-AS powders, which indicates that the inside of particles has a relatively loose and porous microstructure. Fig. 4 and Fig. 5 show the surface and cross-sectional SEM micrographs of the C-AS particles passed through the SAPS plasma jet. Compared with the O-AS powders (Fig. 3), the C-AS particles after the SAPS plasma jet exhibit a smoother surface with high sphericity (Fig. 4 (a)) due to the
surface of the O-AS powders was immediately melted by high temperature of the SAPS plasma jet when injected into the SAPS plasma jet and then surface of the melted particles became spherical under the effect of surface tension [20]. The surface texture of the C-AS particles can be clearly seen when the C-AS particles were more closely examined (see Fig. 4 (b)) which may be possibly due to the molten primary granules with 1-2 μm diameter were rapidly cooled and some roughly hexagonal shaped grains were formed. Fig. 4 (c) and Fig. 4 (d) display cross-sectional morphology of the C-AS particles, which indicate that the C-AS particles with a hollow structure are denser than the O-AS powders with a relatively loose and porous microstructure. This is because that the formation of a liquid outer layer prevented further escape of gas from the O-AS powders and the inner of the particles in-flight continued to melt with the increase of the flight distance [21]. As can be from Fig. 4, there are very smaller and exploded C-AS particles due to the trapped gas expanded when heated and the result of explosion and breakup was attributed to the effect of surface tension, shear force and pressure inside the particles in-flight. Besides, There are some big particles which may attribute to the collision and coalescence of the particles in-flight during the SAPS process (see Fig. 5(a)) [22]. Fig. 5 (b) shows the molten surface microstructure of the particles in-flight at the initial SAPS process stage.

3.2 Particle size distribution of the O-AS powders and C-AS particles

The particle size distribution of the O-AS powders and C-AS particles is given in Fig.5. The median particle sizes ($D_{50}$) of the original AS YSZ particles and collected
YSZ particles were 45.65 μm and 42.04 μm, respectively. Values of $D_{10}$, $D_{50}$ and $D_{90}$ were summarized in Table 2. As can be seen from the result, the particle size of the O-AS powders which were injected into the plasma jet obviously decreased during the SAPS process. The possible causes of particles in-flight refining were analysed as follows: 1) as the particles in-flight melt during the SAPS process, the particles in-flight become shrinking and denser; 2) The molten particles in-flight may breakup during the SAPS process that further results in the decrease of particle size. The smaller particle in-flight is able to form coatings with more complex maze structure which is beneficial for thermal insulation and anti-oxidation [23].

**Table 2** Particle size distribution of the O-AS powders and C-AS particles

<table>
<thead>
<tr>
<th>State of the YSZ particles</th>
<th>particle size (μm)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$D_{10}$</td>
</tr>
<tr>
<td>O-AS powders</td>
<td>32.22</td>
</tr>
<tr>
<td>C-AS particles</td>
<td>30.16</td>
</tr>
</tbody>
</table>

3.3 **Element composition changes of the O-AS powders**

Element compositions of the O-AS powders and C-AS particles measured by EPMA are shown in Fig. 7. It can be seen from Fig. 7 that the element compositions of the O-AS powders and C-AS particles were the same. However, the content of zirconium (Zr, ~65.17 wt %) and yttrium (Y, ~7.07 wt %) in the O-AS powders particles was higher than the C-AS particles (Zr, ~63.58 wt %; Y, ~4.29 wt %), while the content of oxygen element in the O-AS powders was lower. This was probably related to the partial evaporation of ZrO$_2$ and Y$_2$O$_3$ from the original AS YSZ particles.
during the SAPS process. When the O-AS powders were injected into the plasma jet, in which the temperature was about $10^4$ K and much higher than the boiling point of ZrO$_2$ (~$4300$ K) and Y$_2$O$_3$ (~$4300$ K), the evaporation of ZrO$_2$ and Y$_2$O$_3$ occurred [24]. As a result, the content of Zr and Y element was decreased. Furthermore, the decrease of Y (~2.78 wt %) was higher than Zr (~1.59 wt %), which was ascribed to a higher vapor pressure of Y$_2$O$_3$ than ZrO$_2$ at the elevated temperature (see Fig. 8) leading to a faster loss of Y$_2$O$_3$ than ZrO$_2$ during the SAPS process [25].

3.4 Phase transformation of the O-AS powders

Fig. 9 shows the XRD patterns of the O-AS powders and C-AS particles. According to XRD patterns shown in Fig. 9 (a), Y$_{0.15}$Zr$_{0.85}$O$_{1.93}$, monoclinic zirconia (m-ZrO$_2$) and Cubic Zirconia (c-ZrO$_2$) were the main phases of the O-AS powders. It can be seen from Fig. 9 (b) that the C-AS particles were mainly composed of Y$_{0.15}$Zr$_{0.85}$O$_{1.93}$ and c-ZrO$_2$ phase. The disappearance of m-ZrO$_2$ phase in the O-AS powders during the SAPS process was attributed to phase transformation. As the O-AS powders were passed through the high temperature plasma jet, m-ZrO$_2$ in the O-AS powders changed into t-ZrO$_2$ over 1443 K, and then t-ZrO$_2$ transformed into c-ZrO$_2$ above 2643 K [26]. The phase transformation of pure ZrO$_2$ is reversible. However, with the addition of Y$_2$O$_3$ stabilizing oxides in the crystalline of ZrO$_2$ combined with relatively high cooling rates, the amount of phase transformation crystalline ZrO$_2$ can undergo is limited during the SAPS process. Pure ZrO$_2$ experiences the following phase transformation:

\[
m\text{- ZrO}_2 \xrightarrow{1443 \text{ K}} t\text{- ZrO}_2 \xrightarrow{2643 \text{ K}} c\text{- ZrO}_2 \xrightarrow{2953 \text{ K}} \text{ liq- ZrO}_2
\]
A schematic diagram representing the development of physicochemical properties of YSZ particles in-flight during supersonic atmospheric plasma spray is shown in Fig. 10. The physicochemical properties of YSZ particles in-flight before impinging onto substrate can significantly influence the microstructure and phase composition of the as-sprayed coatings. The relationship between physicochemical properties of particles in-flight and the properties of high efficiency supersonic atmospheric plasma-sprayed YSZ coatings are still needed to be investigated.

4. Conclusion

In this study, the YSZ particles in-flight were successfully collected by a shock chilling method using a collection apparatus containing liquid nitrogen and its physicochemical properties of the melting state, morphology, microstructure, particle size, chemical composition changes and phase transformation during the SAPS process have been systematically investigated and the following conclusions can be drawn.

(1) The O-AS powders injected into the SAPS plasma jet were quickly heated and melted from the outer layer companied with breakup and collision-coalescence. The outer layer of the C-AS particles containing roughly hexagonal shaped grains exhibited a surface texture with high sphericity and the inside was dense with hollow structure.

(2) The molten YSZ particles in-flight become shrinking and denser and may
breakup during the SAPS process. As a result, there is a refining phenomenon of particles in-flight during the SAPS process. The median particle sizes decreased from 45.65 μm to 42.04 μm.

(3) The element compositions of the O-AS powders and C-AS particles are the same. However, the content of Zr and Y (wt %) in the O-AS powders particles was higher than the C-AS particles. The content of Zr and Y (wt %) was decreased with the evaporation of ZrO₂ and Y₂O₃ during the SAPS process, respectively. Furthermore, the decrease of Y (~2.78 wt %) was higher than Zr (~1.59 wt %).

(4) Phase transformations took place during the SAPS process. The O-AS powders were mainly composed of \( \text{Y}_{0.15}\text{Zr}_{0.85}\text{O}_{1.93} \), m-ZrO₂, and c-ZrO₂ phases; the corresponding C-AS particles were mainly composed of \( \text{Y}_{0.15}\text{Zr}_{0.85}\text{O}_{1.93} \) and c-ZrO₂ phases. The m-ZrO₂ phase vanished during the SAPS process.

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