1 Type of paper: Article

2 Reduced expression of Sprouty1 contributes to the

3 aberrant proliferation and impaired apoptosis of

4 acute myeloid leukemia cells

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- 17 **Abstract:** In most of acute myeloid leukemia patients there is an aberrant tyrosine kinases activity.
- 18 The Sprouty family proteins were originally identified in *Drosophila melanogaster* as antagonists of
- 19 Breathless, the mammalian ortholog of fibroblast growth factor receptor. This family proteins are
- 20 inhibitors of RAS signaling induced by tyrosine kinases receptors and they are implicated in
- 21 negative feedback processes regulating several intracellular pathways.
- 22 The present study aims to investigate the role of a member of the Sprouty family, Sprouty1, as
- regulator of cell proliferation and growth in patients affected by acute myeloid leukemia. Sprouty1
- 24 mRNA and protein were both significantly down-regulated in acute myeloid leukemia cells
- 25 compared to the normal counterpart, but they were restored when remission is achieved after
- 26 chemotherapy. Ectopic expression of Sprouty1 revealed that it plays a key role in the proliferation
- and apoptotic defect that represent a landmark of the leukemic cells. Our study identified Sprouty1
- as negative regulator involved in the aberrant signals of acute myeloid leukemia. Furthermore, we
- found a correlation between Sprouty1 and FoxO3a delocalization in AML at diagnosis, suggesting a
- 30 multistep regulation of RAF–MEK–ERK signaling in human cancers.
- 31 **Keywords:** Sprouty1, AML, FoxO3a
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1. Introduction

- 35 Acute myeloid leukemia (AML) develops from malignant transformation of immature
- 36 hematopoietic cells through a complex multistep process that requires cooperation of different
- 37 genetic alterations [1]. In most of the AML patients there is an aberrant tyrosine kinase (TK) activity,
- 38 which results into an impaired differentiation, altered cell growth and apoptosis defect. Sprouty
- 39 proteins inhibit the RAF-MEK-ERK pathway, frequently constitutively active in many human
- 40 tumors, contributing robustly to cells aggressiveness and invasion [2-5].
- 41 The Sprouty family proteins were initially identified in Drosophila melanogaster as antagonists of
- 42 receptor tyrosine kinase (RTK) signaling during different morphogenetic processes, including the
- development of the trachea, the eye, the wing and other tissues [6-11].
- The biological functions of the Sprouty proteins have been attributed to its conserved motifs: all
- 45 Sprouty proteins share a characteristic Cys-rich C-terminus domain (SPRY domain), which is
- believed to be indispensable for their function [12,13].
- 47 Sprouty proteins have been implicated in the regulation of the biological processes responsible of
- 48 tumor growth, development and metastasis, including cell proliferation, migration, invasion and
- 49 survival. Down regulation of Sprouty1 has been found in carcinomas of the breast, prostate cancer,
- 50 leukemia [14] and renal cell carcinoma [3].
- 51 Experimental evidences showed that Sprouty can paradoxically act either as negative or positive
- regulator of the tumor progression [15-18]. The presence of mutations on RAS cascade has also been
- shown to be an important determinant of the Sprouty's deregulated action [19]. Different studies
- described an interaction between FoxO3a and Sprouty family proteins [20-22].
- 55 FoxO3a belong to the family of forkhead transcription factors, which are characterized by the
- presence of a DNA binding region highly conserved called "forkhead box" [23]. Human forkhead
- 57 proteins are represented by 4 members: FoxO1, FoxO3a, FoxO4 and FoxO6 and are normally
- 58 present in an active form in the nucleus. The FoxO proteins have partially overlapping functions:
- 59 their target genes are involved in processes such as cell cycle arrest [24-26], DNA repair [25,27], cell
- differentiation [28], apoptosis [29-31] and homeostasis of the hematopoietic system, through the
- 61 regulation of HSC compartment [32]. FoxO family operates under the negative control of Akt: in
- 62 response to the binding of growth factors (e.g. insulin) to their membrane receptors, the PI3K is
- activated. The activated Akt in turn then phosphorylates FoxO proteins, resulting in the inactivation
- of these transcription factors and in their translocation from the nucleus to the cytosol. Moreover, in
- breast cancer the cytoplasmic localization of FoxO3a is correlated with poor survival [33]. Similarly,
- breast carrier are cytophasine rocalization of rocours correlated with poor survival [50]. Similarly,
- 66 in leukemia patients FoxO3a phospho-status correlates with some clinical features and overall
- 67 survival [34], suggesting a pivotal role of FoxO proteins in cancer cells.
- In this study we investigated the role of Sprouty1 as regulator of cell proliferation and growth in
- patients affected by acute myeloid leukemia and we studied the correlation between low Sprouty1
- 70 expression and FoxO3a delocalization in AML at diagnosis, suggesting a multistep regulation of
- 71 RAF-MEK-ERK signaling in human cancers.

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74 2. Materials and Methods

- 75 2.1. Patients and cell lines
- 76 After written informed consent (number of approval 201/2014), 82 bone marrow (BM) and 8
- peripheral blood (PB) specimens from AML patients at diagnosis, 15 PB from AML patients after
- 78 therapy and 16 BM and 18 PB from healthy subjects were collected. All the patients have been
- 79 previously characterized at the cytogenetic level by conventional karyotyping and screened by
- 80 reverse transcriptase-PCR for the presence of the most frequent fusion transcripts. Mutations or
- 81 internal tandem duplication of both FLT3 and of NPM1 genes were also characterized. Acute
- 82 promyelocytic leukemia samples were excluded from the study.
- 83 The human Kasumi-1 cell line was purchased from ATCC and cultured in RPMI-1640 supplemented
- 84 with 20% fetal bovine serum (FBS), 500 U/ml penicillin and 0.5 mg/ml streptomycin. Cells were
- cultured at 37°C in a humidified atmosphere flushed with 5% CO₂.
- 86 2.2. RNA Extraction and quantitative real-time PCR (qRT-PCR)
- 87 Total RNA was extracted using TRIzol Reagent (Ambion, Thermo Fisher Scientific), according to the
- 88 manufacturer's instructions. 1 µg of total RNA was reverse transcribed using random hexamers as
- 89 primers in a final volume of 25 μ L. For Sprouty1 mRNA quantification, specific assays (assay ID for
- 90 ABL Hs00245445_m1, and Hs00544790_m1 for Sprouty1 Applied Biosystems, Thermo Fisher
- 91 Scientific, Massachusetts, USA) were used according to the manufacturer's instructions. The analysis
- 92 was performed in triplicate. The Sprouty1 Cts obtained by qRT-PCR were normalized with respect
- 93 to the Ct of ABL and expressed as 2-AACt. Universal human references RNA (Stratagene, San Diego)
- 94 was used to calibrate the assay.
- 95 2.3. Cells lysis
- 96 For total cell extracts, cells were washed with ice-cold phosphate-buffered saline (PBS) and lysed
- 97 with RIPA buffer on ice (10% glycerol; 1% Triton X-100; 20mM Hepes pH 7.4; 5mM EDTA pH7.2;
- 98 150mM NaCl) supplied with protease and phosphatase inhibitors (1mM Na3VO4, 1mM PMSF,
- 99 2μg/ml leupeptin, 2μg/ml aprotinin, 2μg/ml pepstatin). For nuclear and cytoplasmatic extracts, cells
- were washed with ice-cold PBS and incubated on ice in 600µl of cytosolic lysis buffer (10mM Hepes
- 101 Ph7.9; 10mM KCl; 0.1mM EDTA; 0.5% NP40; 1µg/ml leupeptin, 1µg/ml aprotinin, 1µg/ml pepstatin;
- 102 1mM Na3VO4, 100 μg/ml PMSF). After 30 min, nuclei were separated by centrifugation at 3000xg for
- 103 10 min and the supernatants collected (cytoplasmic fraction). Nuclei pellets were resuspended in
- 104 100µl of nuclear lysis buffer (20mM Hepes pH 7.9; 400mM KCl; 1mM EDTA; 1mM EGTA; 1mM
- DTT; 10% glycerol; 1µg/ml leupeptin, 1µg/ml aprotinin, 1µg/ml pepstatin; 100 µg/ml PMSF) and
- incubated on ice for 20 min with vigorous mixing. The nuclear lysates were further clarified by
- high-speed centrifugation.
- 108 2.4. Western blot analysis
- 109 Seventy µg of total proteins were loaded and run onto 10% SDS-PAGE and transferred to PVDF
- 110 (Bio-Rad) membranes. Membranes were blocked in TBS (Tris-HCl pH7.4, 150mM NaCl) plus 5%
- BSA for 1 hr at room temperature (RT) and then decorated with appropriate antibodies (Sprouty1
- sc-365520 and Tubulin sc-23948, Sanza Cruz Biotecnology; TATA Binding protein (TBP) MA1-189

- and Vinculin MA5-11690, Sigma-Aldrich; Foxo3a #2497, Cell Signaling) in PBS-Tween 0.2% over
- 114 night at 4°C. Membranes were than washed with PBS-Tween 0.2% three times for 15 min each,
- incubated with appropriate peroxidase-linked secondary antibody (Sanza Cruz Biotecnology) for 1
- 116 hr at RT and washed again in PBS-Tween 0.2%. Specific binding was detected using an enhanced
- 117 chemiluminescence system (Clarity Western ECL Substrate #170-5061, Bio-Rad).
- 118 2.5. *Immunofluorescence assay*
- 119 Cytospins were prepared using BM cells from AML patients at diagnosis or in remission phase and
- 120 Kasumi-1 cell line. Cells were fixed with 4% PFA, permeabilized and blocked for 45 min. Then, cells
- were incubated for 2 hr at RT with polyclonal anti-Sprouty1 or polyclonal anti-FoxO3a antibodies.
- 122 Detection of proteins was obtained by incubation for 30 min with a secondary antibodies. Cells were
- then incubated for 5 min with propidium iodide for nuclear staining and analyzed with confocal
- scanning microscope (LSM 5110; Carl Zeiss MicroImaging Inc.). Images were captured using 63X
- objective. Fluorescent signal was measured by image processing (LSM800) and analyzed in Java
- 126 (Image J) program https://imagej.nih.gov/ij/download.html.
- 127 2.6. Plasmid construction and trasfection
- 128 pCGN-Sprouty1 and pECE-FoxO3a (kindly donated by Prof. PP Pandolfi) vectors were used for
- transient transfection of Kasumi-1 cells by FuGENE-6 (Roche Applied Science), according to the
- manufacturer's instructions.
- 131 The simultaneous transfection with pEGFP-C2 vector alone allowed to check the trasfection
- efficiency after 48 hr.
- 133 2.7. Proliferation and apoptosis assays
- 134 Cell growth was evaluated by MTT assay (Cell Proliferation Kit I (MTT), Sigma-Aldrich), according
- to the manufacturer's instructions. Experiments were performed in triplicate. Apoptosis was
- evaluated by flow cytometry measuring annexin staining. Briefly, cells were washed once with PBS
- 137 1X and incubated for 15 minutes with fluorescein isothiocyanate (FITC)-conjugated annexin V and
- propidium iodide (Annexin V-FITC Apoptosis Detection Kit, Immunostep). After incubation, cells
- were analyzed by flow cytometry. For all samples, at least 100000 events were acquired. BD
- 140 CellQuest software (BD Biosciences) was used for data analysis.
- 141 2.8. Colony growth assay
- 142 Kasumi-1 cells, transfected with pCGN-Sprouty1 and pCGN empty vector, were plated in
- RPMI-Soft Agar to test their clonogenic ability. Appropriate control samples were plated for each
- experiment. After 2 weeks, cells were stained with Crystal Violet, visualized and counted by Infinity
- 145 Analyze 3 camera and processed by Lumenera software (Windows).
- 147 2.9. Statistical analysis

- 148 Statistical analyses were performed using the two-tailed Student's t-test. All the analysis with
- 149 confidence level major of 95% are indicated like significant and marked as followed: * p≤0,05; **
- 150 p≤0,01; *** p≤0,001.

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3. Results

153 3.1. Sprouty1 mRNA and protein are both down regulated in AML patients at diagnosis

We initially analyzed the Sprouty1 gene expression by quantitative Real Time PCR (qRT-PCR) in BM and PB samples collected from 90 AML patients at diagnosis and 34 healthy subjects. Sprouty1 transcript is significantly decreased in both BM and PB of AML patients when compared to healthy subjects (Figure 1A). The median value of transcript expressed as 2-ΔΔCt is 0.3 in BM from AML patients compared to 0.5 in BM from healthy subjects (p≤0.01) and 0.18 in PB from AML patients compared to 1.15 in PB from healthy subjects (p≤0.001). There is no significant difference in Sprouty1 gene expression according to the FAB subtypes or according to different chromosomal translocations or FLT3 mutations (data not shown). Subsequently, we investigated Sprouty1 protein amount and localization in primary leukemic cells derived from AML patients by Western blot and immunofluorescence assay. Western blot of four representative patients and one control showed the presence of the 35kDa immunoreactive protein Sprouty1 in the sample derived from healthy donor.

By contrast the protein was barely detectable in leukemic cells (Figure 1B).

In line with these results, immunofluorescence assay showed that cytoplasm of normal controls were stained brightly by the anti-Sprouty1 antibody, while in AML patients the protein is completely absent (Figure 1C). To further confirm that Sprouty1 down regulatation is a specific feature of AML, we analyzed the same patients at the time of complete remission after chemotherapy. Immunofluorescence showed that the intensity and localization of Sprouty1 is completely restored as in control cells (Figure 1C).

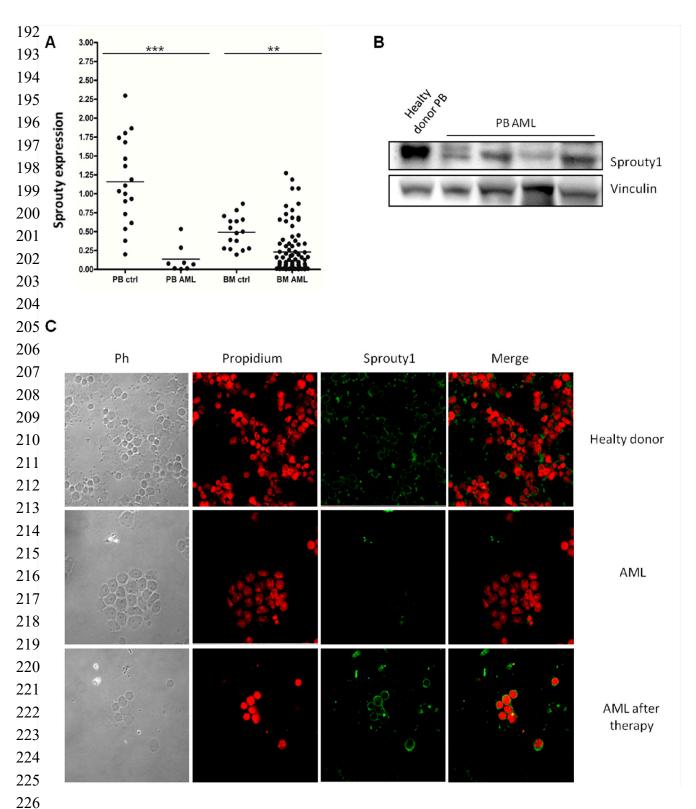


Figure 1: A) *Sprouty1* gene expression was assayed by qRT-PCR in BM and PB derived from both AML patients and normal subjects. The quantity is expressed as 2-△AACt after normalization with *Abl* housekeeping gene (** p≤0.01 and *** p≤0.001). **B)** Western blot performed with anti Sprouty1 antibody on total protein derived from PB of four representative AML cells and one PB of healthy donor. Vinculin is used as normalizer. **C)** Immunofluorescence staining assay performed on cytospun BM cells of AML or control samples. Green signal corresponds to Sprouty1 while red propidium is used to detect nuclei.

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3.2. Over expression of Sprouty1 induces apoptosis, inhibits proliferation and colonies growth in Kasumi-1 cell line To investigate the negative role of Sprouty1 in sustaining the leukemic proliferation and favoring apoptosis defect, we transiently over expressed the Sprouty1 in Kasumi-1 cell line. After confirming the increased level of Sprouty1 protein in transfected cells (Figure 2A), we conducted proliferation and apoptosis assays. We examined the proliferation activity of transfected Kasumi-1 cells by MTT assay and we observed a significant inhibition of proliferation in cells transfected with pCGN-Sprouty1, with a 30% of reduction compared to Kasumi-1 cells transfected with control vector ($p \le 0.01$) (Figure 2B). Sprouty1 over expression increased significantly the number of apoptotic cells when compared to control cells, represented by cells transfected with the empty vector (mean values 18% compared to 10% respectively, $p \le 0.05$) (Figure 2C). Finally, we evaluated the effect of Sprouty1 on clonal growth in Kasumi-1 cells. Following transfection, cells were seeded in RPMI-Soft Agar for colony assays. Colonies growth was strongly inhibited, and size dramatically reduced compared to control cells transfected with empty vector (p≤0.01), further demonstrating the role of Sprouty1 in leukemia cell growth (Figure 2D).

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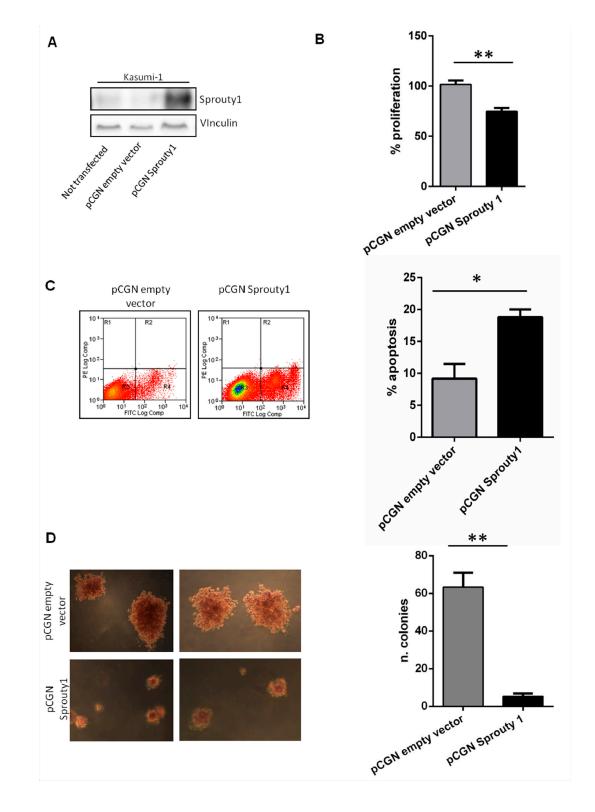


Figure 2: A) Western blot analysis and quantification performed on Kasumi-1 cell lines transfected respectively with pCGN empty vector and pCGN-Sprouty1 vector. **B)** Proliferation assay performed in Kasumi-1 cells transfected with empty or Sprouty1 vector. **C)** Apoptosis evaluated by flow cytometry after FITC Annexin-V assay on Kasumi-1 cells transfected with pCGN-Sprouty1. **D)** RPMI-Soft Agar colony assay on Kasumi-1 transfected cells. Representative colonies pictures were captured by Infinity Analyze 3 camera and processed by Lumenera software. All experiments were performed in triplicate.

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3.3. FoxO3a protein is delocalized in AML patients at diagnosis In order to investigate the mechanisms leading to down regulation of Sprouty1 in AML patients, we analyzed the transcription factor FoxO3a that is known to be one regulator of Sprouty family gene expression [35]. Immunofluorescence assay performed on primary AML cells showed that FoxO3a is exclusively localized within the cytoplasm and it is absent in the nucleus thus suggesting its complete loss of the transcription activity. By contrast, FoxO3a is localized in both cytoplasm and nucleus of control cells (Figure 3A). This result was confirmed in AML cells by Western blot performed on cytosolic and nuclear lysates respectively. As shown in Figure 3B, a thick band is observed only in the columns corresponding to cytoplasmic lysates. To further assess the role of FoxO3a in down regulation of Sprouty1, we ectopically expressed FoxO3a in Kasumi-1 cells. After confirming the increased protein in transfected cells (Figure 3C), we evaluate if FoxO3a could positively regulate Sprouty1 by analyzing its mRNA and protein levels. As shown in Figure 3C and D, both Sprouty1 protein and mRNA were significantly increased in FoxO3a transfected cells, suggesting a direct cross-talk between this proteins (Figure 3E).

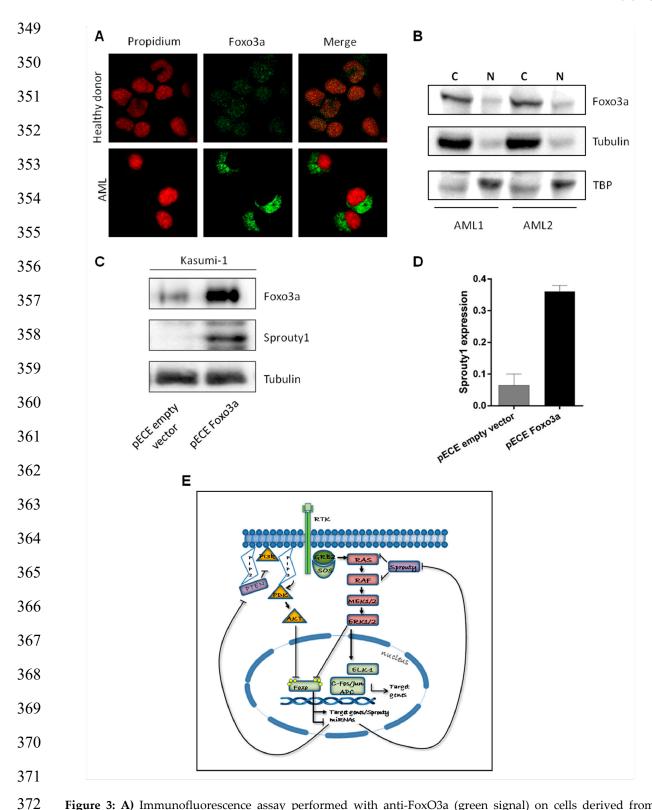


Figure 3: A) Immunofluorescence assay performed with anti-FoxO3a (green signal) on cells derived from control subjects and AML patients at diagnosis. **B)** Western blot performed with antibody against FoxO3a on lyses derived from the cytosol and nuclei of AML patients. **C)** Western blot of FoxO3a and Sprouty1 in Kasumi-1 cells transfected with FoxO3a or empty plasmids. **D)** *Sprouty1* gene expression analysis on Kasumi-1 cells transfected with FoxO3a or empty plasmids. The quantity is expressed as 2-ΔΔCt after normalization with *Abl* housekeeping gene. **E)** Schematic representation of RAS/PI3K pathways and their negative regulation on FoxO3a.

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379 4. Discussion

380 The present study is focused on Sprouty1, an evolutionary conserved negative regulator of 381 proliferation and cell growth mediated by RAS/MAPK signaling, in patients affected by acute 382 myeloid leukemia. AML is a genetically heterogeneous clonal hematopoietic stem cell malignancy 383 characterized by chromosomal abnormalities, recurrently mutated genes, epigenetic modifications 384 affecting chromatin structure, and microRNAs deregulations [36]. RAS pathway is commonly 385 mutated or deregulated in AML and represents a marker of leukemia progression. In this scenario, 386 Sprouty proteins deregulation may be responsible of tumor growth, invasion and metastasis [13,37]. 387 Germ line loss of function mutation was reported to predispose to AML [38], especially M4/M5 388 which have RAS pathway mostly deregulated [39,40]. Sprouty orchestrates a complex, multilayered 389 regulatory system and mediates a crosstalk with number of effectors, mediators, and regulators of 390 ERK pathway. Its ability to control well-characterized oncogene products suggested the expression 391 levels of the Sprouty genes may be relevant in human carcinogenesis [2]. Down regulation of 392 Sprouty1 has been found in carcinomas of the breast, prostate cancer, renal cell carcinoma and 393 pediatric AML [3,19].

We demonstrated that down regulation of Sprouty1 plays a central role in sustaining the leukemic clone. Sprouty1 mRNA and protein was significantly decreased in our group of AML when compared to normal samples. Strikingly, the transcription level is restored when remission is achieved after chemotherapy. Enforcing the role of Sprouty1 in leukemia cell growth, we found that the over expression induces apoptosis, strong inhibition of proliferation and colonies growth in Kasumi-1 cell line, restoring typical defect of leukemic cells.

Kasumi-1 cell line, restoring typical defect of leukemic cells. 400 To investigate the mechanism of Sprouty1 down regulation, we decided to analyze FoxO3a, a 401 regulator of Sprouty family [41,42]. In AML cells we identified a strong delocalization of FoxO3a in 402 the cytoplasm, inactivation of its transcription activity and accumulation of the inactive 403 phosphorylated form, as consequent of AKT phosphorylation. Furthermore, activation of ERK has 404 been shown to phosphorylate FoxO proteins, resulting in subsequent MDM2-dependent 405 ubiquitination and protein degradation [43]. In our samples tools, the inactivation of FoxO3a both 406 via RAS/ERK pathway and by AKT pathway could be responsible of Sprouty1 reduction. Otherwise, 407 post transcriptional events FoxO3a related could sustain the low Sprouty1 expression in AML, and 408 in turn this negative feedback could imply an increase in RAS activity. In AML patients RAS and 409 PI3K pathways are frequently deregulated or constitutively activated [4,5]. In this scenario, 410 combined therapies using MEK and PI3K inhibitors could have synergistic effects on FOXO3a 411 reactivation and could restore the Sprouty1 levels. An interesting approach based on the 412 GSK2141795 has been suggested in solid tumors [44,45]. In AML a phase II trial is ongoing 413 exploring the efficacy of this approach. (ClinicalTrials.gov Identifier: NCT01907815).

- 414 **Author Contributions:** VR, CP, JP designed the study, performed the experiments and wrote the manuscript.
- 415 SC performed qRT-PCR experiments. MD, GA, CV provided and analyzed clinical data. GS provided final
- approval of the manuscript. EB and DC supervised the experiments and wrote the manuscript.
- 417 * VR and CP contributed equally to this manuscript
- \$ EB and DC contributed equally to this manuscript
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420 **Conflicts of Interest:** The authors declare no conflict of interest.

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