

1 Article

2 The Fiber-Optic Rotational Seismograph – Laboratory 3 Tests and Field Application

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13 **Abstract:** The paper presents construction, laboratory tests as well as the first field application of
14 a new fiber-optic rotational seismograph. The system based on fiber-optic gyroscope (FOG) with
15 determined Angle Random Walk of the order of 10^{-8} rad/Sqrt(s) and a few rad/s maximum
16 detectable amplitude of rotation in the frequency range from DC to 328.12 Hz. It has been designed
17 for rotational seismology area of interest. This work also presents exemplary relevant
18 measurements which were conducted using a set of two devices installed in the geophysical
19 observatory in Książ, Poland.

20 **Keywords:** rotational seismograph, fiber optic sensor, rotational events, seismology, rotational
21 seismology
22

23 1. Introduction

24 Paper deals with an innovative sensor suitable for rotational seismology which falls within
25 rotational ground movements from earthquakes, explosions, and ambient vibrations [1]. These
26 motions are interesting for several reasons and can also provide additional constraints on the seismic
27 source [2, 3]. From above reasons, it is interesting to a wide range of geophysical disciplines,
28 including broadband seismology, strong-motion seismology, earthquake engineering, seismic
29 hazards, earthquake physics, seismic instrumentation, seismotectonics, geodesy, as well as to
30 physicists connected with LIGO project. The practical aspect of the first three from the above
31 disciplines might also have some effect on the rocking and torsion even accidental torsion of
32 engineering construction as well as on the distortion of high or long structures [4].

33 In spite of growing popularity of the rotational seismology, there is still lack of appropriate
34 rotational sensors for its field application also in the form of seismograph which contains rotational
35 sensor, data acquisition system with precise sensor localization and precision time monitoring. One
36 can distinguish several technologies of rotational sensors, starting from mechanical systems basing
37 on pendulum seismometers [5] or geophones [6, 7] through MEMS gyro [8] up to ring laser [9].
38 Nevertheless, rotational sensors used in field application should meet some technical requirements
39 forced by the rotational seismology which one can find in the paper [10]. Additionally, the issue of
40 data reliability is also significant. To gather reliable data, one must apply at least two systems
41 designed according to the same technology, like for instance in the well-known paper [11].

42 From the above reasons in this extended paper, regards manuscript showed on 7th
43 International Symposium on Sensor Science (I3S2019) – Napoli [12], we describe the construction,
44 laboratory test as well as results of field application of FOSREM® - the innovative Fiber-Optic
45 Rotational Seismograph. During the field test conducted in the geophysical observatory in Książ,

46 Poland, the torsion and tilt effects resulting from mining seismic quakes induced by copper mining
47 operations have been recorded with high accuracy.

48 2. Construction and Laboratory Investigation of the Fiber-Optic Rotational Seismograph - 49 FOSREM®

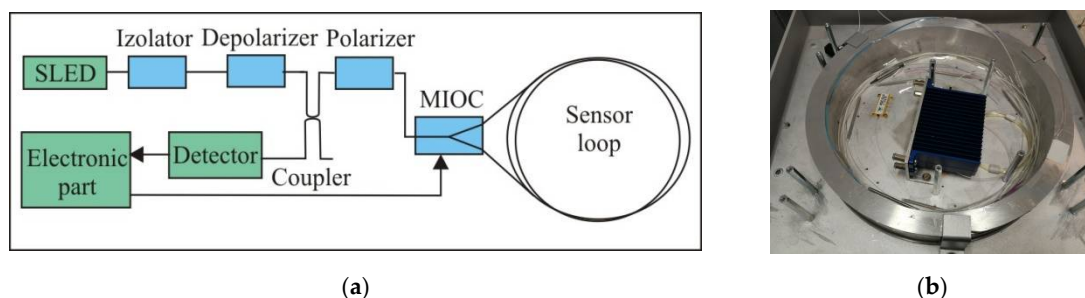
50 2.1. FOSREM® Construction

51 FOSREM® contains two main part: a rotational sensor and data center – a special WEB
52 FOSREM (Telemetric Server) used for the data storage, monitoring the sensors' work, as well as for
53 the remote control of their parameters. Because in the rotational seismology the rotational event
54 exists as sudden changes, each sensor has been constructed by applying a minimum open-loop FOG
55 configuration with a multi-integrated optical circuit (MIOC) where the Sagnac effect [13] produces a
56 phase shift ($\Delta\phi$) between two counter-propagating light beams proportional to a measured rotation
57 rate (Ω) [14]:

$$\Omega = S_0 \Delta\phi = (\lambda c / 4\pi RL) \Delta\phi, \quad (1)$$

58 where S_0 - the optical constant of the system, λ - the wavelength of the used light source, c - the
59 velocity of light in the vacuum, L - the length of fiber in the sensor loop, R - the sensor loop radius.

60 The main advantage of such solution is its practical insensitivity to linear motions and direct
61 measurement of a rotational rate. Physically each sensor can be divided into two basic parts: optical
62 and electronic. The optical part according to the schema in Figure 1 assures the Sagnac effect
63 reversibility. To obtain FOSREM® sensitivity of the order of 10^{-8} rad/s/ $\sqrt{\text{Hz}}$ and simultaneously to
64 minimize the sensor dimension (up to 0.1075 m loop radius), length of the fiber sensor loop was
65 optimized to 5000 m. The standard single mode fiber SMF-28e+ (Corning, USA) with attenuation
66 0.322 dB/km was wound as sensor loop using a special kind of winding named a double-quadrupole
67 mode regards to minimize thermal Shupe effect [15]. Used broadband source SLED (Exalos,
68 Switzerland) with a bandwidth of 37.9 nm, a central wavelength of 1313.1 nm and optical power of 10
69 mW, lead to depolarization of beams propagating in the loop. This approach ensures the elimination
70 of the polarization effect of interacting beams on the output signal [16].



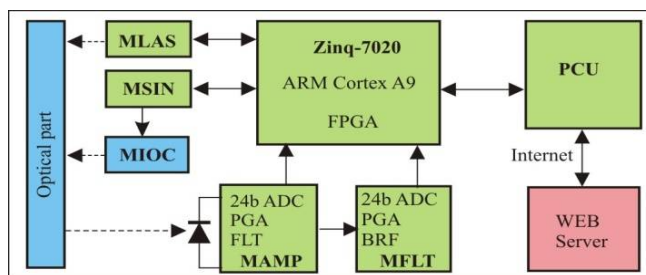
71 **Figure 1.** The optical part of sensor according to a minimum gyro configuration: (a) block diagram,
72 (b) technical realization for FOSREM-1.

73 Calculation of the detected rotation is based on the following equation [17]:

$$\Omega = S_0 \arctan[S_e u(t)] = S_0 \arctan[S_e (A_{1\omega}/A_{2\omega})], \quad (2)$$

74 where: $A_{1\omega}$, $A_{2\omega}$ is the first and second amplitude of the harmonic output signal $u(t)$, S_e - the electrical
75 constant related with parameters of applied components. Above calculation is realized by the
76 sensor's electronic part which realizes synchronous detection in a digital form with a special
77 procedure of selecting and amplification of the first and second amplitude of harmonic output signal
78 due to a large difference (4-5 orders of magnitude) of their amplitudes [17]. As one can see in Figure
79 2, the hardware of this part contains digital units with sophisticated software for system control and
80 real-time data computation. The main element is the Z-turn Board (XC7Z020-1CLG400C, MYIR)
81 based on the Xilinx Zynq-7000 all programmable SoC. It integrates a dual-core ARM Cortex-A9
82 based processing system and 28 nm Xilinx programmable logic in a single device. The Z-turn board

83 allows communication by 10/100/1000 Ethernet and USB. All electronic modules (described below
 84 MAMP – amplifier, MFLT – filter, MSIN - MIOC signal generator, MLAS - SLED controller) are
 85 connected to IP core running on the FPGA using a hardware interface. The MAMP used for
 86 amplification of the detected signal is connected with a photodiode and contains a trans-impedance
 87 amplifier, low pass filter (FLT), PGA and 24-bits 1.5Msps analog-to-digital converter (ADC). The
 88 MFLT protects filtration of the first and second harmonic output signal and contains special digitally
 89 control band rejected filter (BRF), low pass filter, PGA and above described ADC. The analog signals
 90 in each channel are simultaneously sampled by each ADC connected to the FPGA chip. Applied
 91 analog pats define high accurate acquisition with almost 1Msps sampling and over 100 dB dynamic
 92 range. The MSIN protects suitable modulation analog signal for the MIOC, which 16-bit DAC
 93 connected to the FPGA chip works simultaneously with ADCs. Finally, the MLAS services the
 94 control and state signal of the light source SLED. Dedicated IP core collects raw data from ADCs
 95 transfers it to ARM Cortex-A9 processor which performs all computation including phase
 96 (continuously phase counting above 2π). It also realizes communication transfer to the
 97 communication unit or the Internet. The connection provides data transmission and power supply
 98 over a single STP cable within a distance of 100 m.

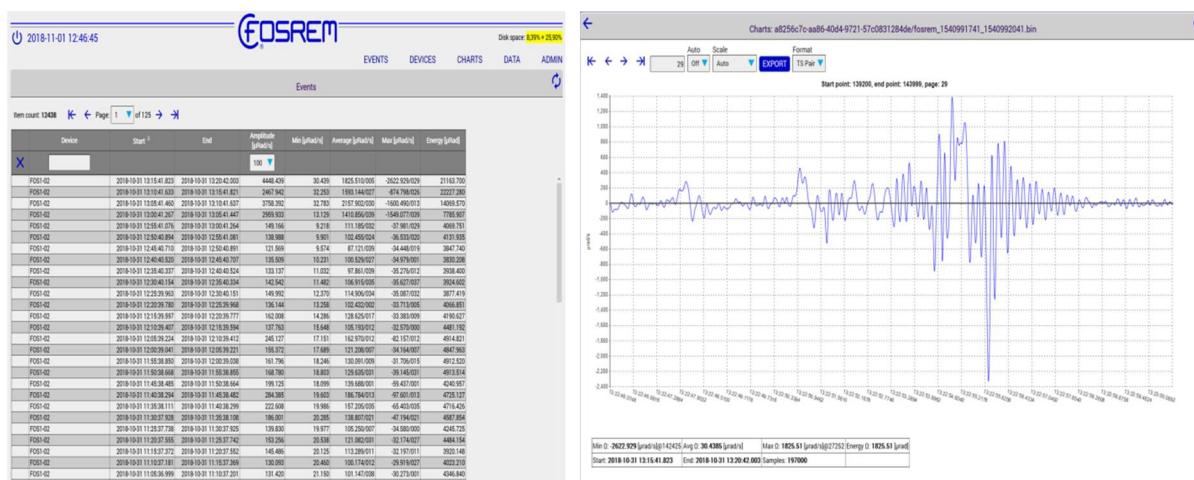


(a)

(b)

99 **Figure 2.** The electronic part of sensor: (a) block diagram, (b) technical realization for FOSREM-1.

100 Finally, the obtained results are stored on a hard disc and transmitted to the telemetric server
 101 (WEB FOSREM) which additionally can be used for remote control all sensors (see Figure 3).



(a)

(b)

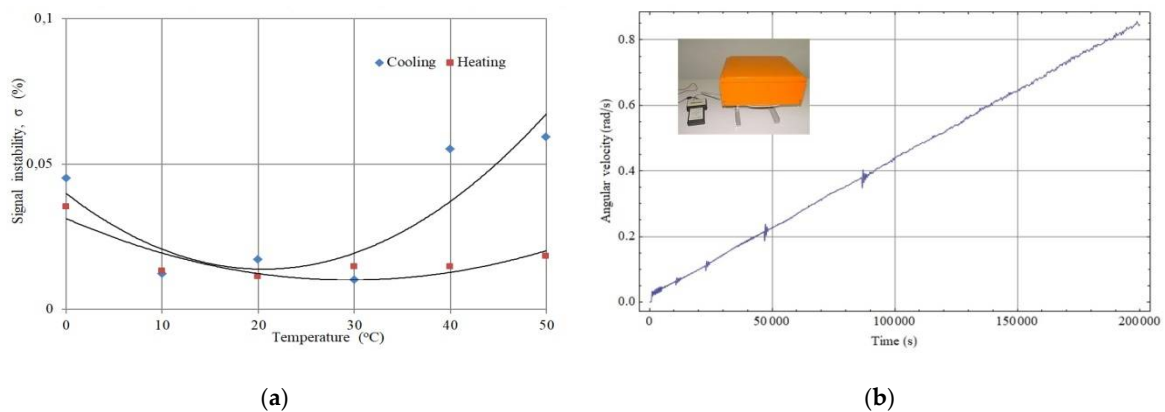
102 **Figure 3.** Print Screen for WEB FOSREM: (a) screen with selected and stored by system events, (b)
 103 example of recorded seismic torsion event.

104 The remote control, possibility of the independent power supply and relatively small
 105 dimension of FOSREM (360x360x180 mm) and their weight ~10 kg makes it a fully mobile device.

106

107 2.1. Results of Laboratory Investigation

108 The proper FOSREMs' work required in the first step their calibration by determination optical and
 109 electrical constants (S_o , S_e). This was made on the base of the Earth rotation measurement regards
 110 procedure in detail described in our previous paper [18]. Several experimental tests were carried out
 111 to confirm FOSREMs' parameters and reliability including experimental uncertainties calculation
 112 based on the registration of the rotational component of the Earth at different frequency bandpass
 113 [19], recording strong rotation motion with a new set-up using earthquake simulation [10] and
 114 other. As an example, in Figure 4(a) a thermal test in a climate chamber VCL 7010 (*Vötsch*
 115 *Industrietechnik, Germany*) at a temperature range 0 °C – 50 °C for FOSREM-2 is presented [19]. As one
 116 can see the recorded thermal instability of output signal is less than 0.06 %/°C including the cooling
 117 and heating cycle. Similarly we obtained good linearity for FOSREMs regarding detection rotation
 118 with high angular velocity up to radian per second (limitation of used measurement equipment)
 119 what is presented in Figure 4(b). The observed perturbation for angular velocity around 0.05, 0.10,
 120 0.22 and 0.38 rad/s are connected with the resonant characteristic of the rotation table.



121 **Figure 4.** Example of a laboratory test of FOSREM-2: (a) temperature stability for the cooling and
 122 heating cycle [19], (b) recording rotational speed with increasing angular velocity up to 0.9 rad/s.

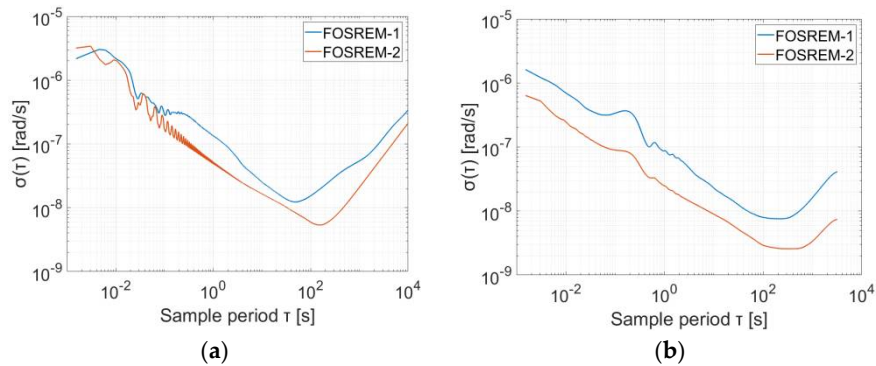
123 Finally, regarding the investigation of the sensitivity of the system, as well as their drift, the Allan
 124 variance analysis (AV), have been performed [20, 21]. Figure 5 presents the result of these analyses
 125 for FOSREM-1 and -2. The data for AV were gathered at MUT in Warsaw for two positions of the
 126 FOSREMs: on the sturdy flat floor in basement of the laboratory and on an active optical table
 127 (*Thorlabs*). Moreover, the data were recorded in night hours in order to reduce urban noise. The plots
 128 presented on the Figure 5 points out that the appropriate method of the error estimating is
 129 significant, but environmental conditions are crucial and they can provide false results. The
 130 parameters of ARW (Angle Random Walk) and BI (Bias Instability) determined basing on data
 131 gathered when sensors were placed on the active optical table are more reliable. The plot on the
 132 Figure 5(a) is more disturbed and the values of ARW and BI based on this plot have higher value
 133 (Table 1). The disturbances on the Figure 5(b) are results of resonance characteristic of the applied
 134 table, nevertheless it indicates lower values of ARW and BI; ARW is equal to $8.66 \cdot 10^{-8}$ rad/ \sqrt{s} and
 135 $2.45 \cdot 10^{-8}$ rad/ \sqrt{s} whereas BI has a level of $1.13 \cdot 10^{-8}$ rad/s and $3.91 \cdot 10^{-9}$ rad/s for FOSREM-1 and -2,
 136 respectively.

137 **Table 1.** The parameters of ARW and BI for FOSREM-1, -2 determined basing on the data gathered in
 138 various positions of FOSREMs

	Sturdy flat floor		Active optical table	
	ARW [rad/ \sqrt{s}]	BI [rad/s]	ARW [rad/ \sqrt{s}]	BI [rad/s]
FOSREM-1	$1.33 \cdot 10^{-7}$	$1.81 \cdot 10^{-8}$	$8.66 \cdot 10^{-8}$	$1.13 \cdot 10^{-8}$
FOSREM-2	$5.26 \cdot 10^{-8}$	$8.08 \cdot 10^{-9}$	$2.45 \cdot 10^{-8}$	$3.91 \cdot 10^{-9}$

140

141 The obtained value of ARW is in good correlation with the theoretical sensitivity of FOSREM
 142 mentioned in section 2.1. Taking into account the total losses of optical part (24.68 dB and 19.02 dB
 143 for FOSREM-1 and -2, respectively) the sensitivity equals $6.00 \cdot 10^{-8}$ rad/s/ $\sqrt{\text{Hz}}$ and $2.83 \cdot 10^{-8}$ rad/s/ $\sqrt{\text{Hz}}$
 144 has been expected for FOSREM-1 and -2, respectively.



145 **Figure 5.** The Allan variance analysis for FOSREM-1 and -2 determined basing on the data gathered
 146 in various positions of the FOSREMs: (a) sensors were placed on the sturdy flat floor in basement of
 147 the laboratory; (b) sensors were placed on the active optical table.

148 Because determined parameters fulfill all requirements described for rotational seismology
 149 [10], that can be concluded, so FOSREM seems to be appropriate for registration of rotational
 150 events associated with rotational seismology.

151 3. FOSREM® in the Field Application

152 The set of two FOSREMs (recognized by WEB FOSREM as FOSREM-1 and FOSREM-2) have
 153 been mounted in the geophysical observatory of the Polish Academy of Science in Książ, Poland
 154 which is located in the area of mining activity (position $\phi=50^{\circ}50'31''\text{N}$, $\lambda=16^{\circ}17'29''\text{E}$). The
 155 seismometers have been installed on special pedestal mounted for seismological measurement
 156 located at a depth of about 49 m below the main castle courtyard next to each other (Figure 6).



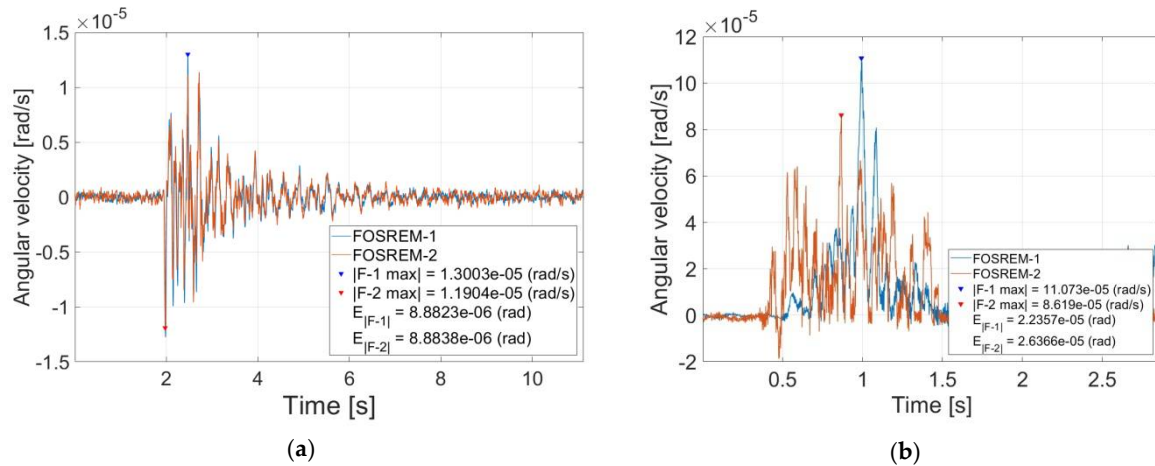
157 **Figure 6.** FOSREMs' field application: (a) Książ castle with view on the underground tunnel locates
 158 at the level of 49 m below the surface of the castle, (b) mounted devices in the geophysical
 159 observatory.

160 The obtained data [stored at WEB FOSREM as events – see example in Figure 3(b)] were
 161 analyzed in a specially designed Matlab script which allows to read the data from both FOSREMs
 162 simultaneously and to calculate the Pearson's correlation coefficient (P_c) between the signals from
 163 FOSREMs according to the following formula [22]:

$$P_c = \text{cov}(x, y) / (\sigma_x \sigma_y), \quad (3)$$

164 where: $cov(x,y)$ – the covariance between variables x and y , σ_l – the standard deviation in the
165 population l .

166 Figure 7 presents the exemplary data of the recorded torsion and tilt by two installed devices.
167 The average value of the P_c between presented signals is of the order of 0.98 and 0.57 which
168 indicates very good compatibility of the waveforms, especially for torsion event. Every figure also
169 shows information about the absolute value of the maximal amplitude of the signal ($|F-1 \max|$, $|F-2$
170 $\max|$), as well as an E_F - energy coefficient ($E_{|F-1|}$, $E_{|F-2|}$) for FOSREM-1 and FOSREM-2, respectively.



171 Figure 7. The example of data recorded by the FOSREMs in the geophysical observatory in Książ,
172 Poland: (a) torsion event on the 1st December 2017, at 12:19 UTC, (b) tilt event on the 13th December
173 2017, at 11:15 UTC.

174 In Table 1, we present a collection of recorded same torsion and tilt events in period 29/08/2017
175 – 3/02/2018. There are information about recorded by FOSREMs maximal signal amplitude, energy

176 **Table 1.** Data recorded by the FOSREMs in the geophysical observatory in Książ, Poland in period
177 29/08/2017 – 3/02/2018

Device		Recorded torsion event				Recorded tilt event				
	Date	Time	Max. amplitude [rad/s]	E_F [rad]	P_c	Date	Time	Max. amplitude [rad/s]	E_F [rad]	P_c
FOSREM-1	29/08/17	11:02:34	2.68·10 ⁻⁵	1.31·10 ⁻⁵	0.99	08/12/17	13:01:41	9.61·10 ⁻⁵	2.19·10 ⁻⁵	0.71
FOSREM-2			3.25·10 ⁻⁵	1.91·10 ⁻⁵				9.31·10 ⁻⁵	2.20·10 ⁻⁵	
FOSREM-1	29/08/17	11:08:12	1.23·10 ⁻⁵	9.00·10 ⁻⁶	0.98	13/12/17	11:15:27	1.11·10 ⁻⁴	2.34·10 ⁻⁵	0.57
FOSREM-2			1.21·10 ⁻⁵	9.12·10 ⁻⁶				8.62·10 ⁻⁵	2.64·10 ⁻⁵	
FOSREM-1	01/12/17	12:19:10	1.30·10 ⁻⁵	8.88·10 ⁻⁶	0.98	11/01/18	11:27:05	7.97·10 ⁻⁶	1.89·10 ⁻⁶	0.59
FOSREM-2			1.19·10 ⁻⁵	8.88·10 ⁻⁶				8.46·10 ⁻⁶	1.61·10 ⁻⁶	
FOSREM-1	13/12/17	18:25:43	3.03·10 ⁻⁶	2.22·10 ⁻⁶	0.93	18/01/18	9:44:34	5.21·10 ⁻⁵	1.52·10 ⁻⁵	0.59
FOSREM-2			3.26·10 ⁻⁶	2.56·10 ⁻⁶				5.47·10 ⁻⁵	1.23·10 ⁻⁵	
FOSREM-1	13/12/17	18:25:58	1.78·10 ⁻⁶	1.18·10 ⁻⁶	0.92	25/01/18	11:55:33	9.35·10 ⁻⁴	1.03·10 ⁻⁴	0.67
FOSREM-2			2.08·10 ⁻⁶	1.52·10 ⁻⁶				8.76·10 ⁻⁴	1.17·10 ⁻⁴	
FOSREM-1	14/12/17	08:06:24	6.04·10 ⁻⁶	4.26·10 ⁻⁶	0.97	26/01/18	11:11:18	2.34·10 ⁻⁴	3.77·10 ⁻⁵	0.60
FOSREM-2			6.13·10 ⁻⁶	4.80·10 ⁻⁶				2.21·10 ⁻⁴	3.78·10 ⁻⁵	
FOSREM-1	08/01/18	08:09:02	3.88·10 ⁻⁶	4.43·10 ⁻⁶	0.95	26/01/18	11:11:43	4.99·10 ⁻⁴	4.92·10 ⁻⁵	0.57
FOSREM-2			3.44·10 ⁻⁶	4.66·10 ⁻⁶				6.61·10 ⁻⁴	5.82·10 ⁻⁵	
FOSREM-1	08/01/18	08:09:57	1.18·10 ⁻⁵	9.31·10 ⁻⁶	0.98	26/01/18	11:11:58	4.98·10 ⁻⁴	5.76·10 ⁻⁵	0.73
FOSREM-2			1.29·10 ⁻⁵	9.56·10 ⁻⁶				4.78·10 ⁻⁴	4.95·10 ⁻⁵	
FOSREM-1	26/01/18	11:14:23	1.42·10 ⁻⁵	1.22·10 ⁻⁵	0.97	03/02/18	10:14:21	2.40·10 ⁻⁴	4.25·10 ⁻⁵	0.61
FOSREM-2			1.40·10 ⁻⁵	1.21·10 ⁻⁵				2.85·10 ⁻⁴	5.64·10 ⁻⁵	
Average value of P_c for torsion event			0.96 ± 0.03		Average value of P_c for tilt event			0.63 ± 0.6		

178 coefficient (E_f) calculated numerically using a method of rectangles of the Riemann integral as well
179 as the correlation coefficient (P_c) between FOSREM-1 and FOSREM-2. The energy coefficient of tilt
180 can be physically directly indicated with a value of an angle of a rock's crump.

181 One can see that torsion recording is characterized by the higher value of the P_c (0.96 ± 0.03)
182 between the FOSREMs than for tilt recordings (0.63 ± 0.03). We concluded that high correlation of
183 torsion events recorded by FOSREM-1 and FOSREM-2 indicated good compatibility of the
184 FOSREMs' signals could be treated as proof of their usefulness for recording rotational events. On
185 the other hand, a much smaller correlation obtained for recorded tilt is thought-provoking. It is a
186 little information in the literature about tilt recording, so our results are probably one of the first in
187 this matter. The relative small average value of P_c for them (0.63 ± 0.03) can be connected with the
188 method of FOSREMs mounted at the seismological pedestal. Instead of sensor putting there should
189 be stiff mounted to the base even if their relatively high weight (about 10 kg) because the tilt is in the
190 range of ten milliradians. As one can see from data presented in Table 1, the value of the maximal
191 recorded amplitude ($9.35 \cdot 10^{-4}$ rad/s), as well as energy coefficient ($1.17 \cdot 10^{-4}$ rad) for tilt events, is
192 much higher than for recorded torsion events ($3.25 \cdot 10^{-5}$ rad/s; $1.91 \cdot 10^{-5}$ rad). The nature of the tilt
193 phenomenon which is more rapid due to its source of generation, for example, caving, probably
194 needs better sensor protection against itself moving.

195 5. Conclusions

196 The FOSREM® - Fiber-Optic Rotational Seismograph, presented in this paper seems to be a
197 suitable instrument for rotational seismology. It used the mobile fiber-optic rotational seismometers
198 enabling to detect rotational movements in wide amplitude (from $3\text{-}6 \cdot 10^{-8}$ rad/s up to a few rad/s) as
199 well as suitable frequency range (from DC up to 328.12 Hz). Because the FOSREM® is fully remote
200 controlled by the internet, it is suited for autonomous work in a very long period. Thus it is useful
201 for a systematic seismological investigation at any place.

202 The collected data in the geophysical observatory in Książ, Poland are resulting from mining
203 seismic quakes induced by copper ore mining operations. Their recording by a set of two FOSREMs
204 shows a very high correlation coefficient between the applied sensors regards torsion events which
205 confirm the records' reliability. The data connected with tilt events are not so good correlated, but
206 probably it is caused by wrong sensor connection with seismological pedestal. However, the
207 collected data indicated the rapid nature of tilt phenomenon which is reflected in the higher value of
208 recorded signal amplitude than in the case of torsion recordings. In authors' knowledge, the
209 presented in this paper recordings of tilt effects caused by crumps at local mines are one of the
210 carried out research in the world. FOSREM® gives great opportunities for spreading knowledge
211 about seismic rotational events, as well as torsional effects existing in any engineering constructions.
212 On the above, in authors opinion the presented FOSREM® is appreciated for realizing the growing
213 interest in rotational seismology by providing significant data.

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215 resources, J.K.K.; validation, A.K. and Z.K.; investigation, K.P.T.; writing—draft, review and editing, A.K. and
216 L.R.J.

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220 **Conflicts of Interest:** The authors declare no conflict of interest.

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