

1 Article

# 2 Coastal Flood Assessment due to sea level rise and 3 extreme storm events - Case study of the Atlantic 4 Coast of Portugal Mainland

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10 **Abstract:** Portugal Mainland has hundreds of thousands of people living in the Atlantic coastal  
11 zone, with numerous high economic value activities and a high number of infrastructures that  
12 must be protected from natural coastal hazard, namely extreme storms and sea level rise (SLR). In  
13 the context of climate change adaptation strategies, a reliable and accurate assessment of the  
14 physical vulnerability to SLR is crucial. This study is a contribution to the implementation of  
15 flooding standards imposed by the European Directive 2007/60/EC, which requires each member  
16 state to assess the risk associated to SLR and floods caused by extreme events. Therefore, coastal  
17 hazard in the Continental Atlantic coast of Portugal Mainland was evaluated for 2025, 2050 and  
18 2100 in the whole coastal extension with different sea level scenarios for different extreme event  
19 return periods and due to SLR. A coastal flooding probabilistic map was produced based on the  
20 developed methodology using Geographic Information Systems (GIS) technology. The Extreme  
21 Flood Hazard Index (EFHI) was determined on flood probabilistic bases through five probability  
22 intervals of 20% of amplitude. For a given SLR scenario, the EFHI is expressed, on the probabilistic  
23 flooding maps for an extreme tidal maximum level, by five hazard classes ranging from 1 (Very  
24 Low) to 5 (Extreme).

25 **Keywords:** Sea Level Rise; Coastal Flood Hazard; Storm Surge; Extreme Tidal Level; GIS.

26

## 27 1. Introduction

28 Sea level rise (SLR), as consequence of global warming, has been occurring for more than a  
29 century. For a global temperature anomaly increase of around 1 °C, the Global Mean Sea Level  
30 (GMSL) has raised around 20 cm since the end of the 19th century, both globally and regionally [1-4].  
31 Sea Level Rise (SLR) in west coast of Portugal Mainland is in line with GMSL, with a slow and  
32 progressive response to global warming [5]. On one hand, this is due to the oceans thermal  
33 expansion and, on the other hand, and in a smaller extent, to the ocean mass increase resulting from  
34 the melting of the continental glaciers and of the Greenland and Antarctica polar ice caps. However,  
35 the most recent data from the GRACE satellites mission and the ARGO float network indicate that  
36 since the beginning of this century the ocean mass component increase has already surpassed  
37 thermal expansion increase [4,6-8].

38 Due to the well-known ocean's inertia, with a slow response to global warming, SLR will  
39 continue to rise beyond the end of the 21<sup>st</sup> century. Even if global warming stops in the short term,  
40 the ocean would continue to rise due to the slow response of deep ocean warming and the melting  
41 dynamics of the glacier systems, either continental or Greenland and Antarctica.

42 The high exposure of the world's population that live along the coastal areas with less than 10  
43 meters above mean sea level (MSL), that represents around 10% of world population and 13% of  
44 urban population [9] with a higher share on the least developed countries (14%), as well as the high  
45 importance of a large number of infrastructures, namely, harbors and maritime transportation

46 infrastructures, economic activities, business, industry, tourism and services (mainly in estuaries,  
47 deltas and inlet areas), in the context of climate change, makes the subject of SLR assessment a  
48 current and of highly important issue with strong socio-economic impacts in a near future.

49 Through the Floods Directive 2007/60/EC [10], the European Parliament and Council of the  
50 European Union requires each Member State to carry out a preliminary assessment to identify the  
51 river basins and associated coastal areas with a significant flooding risk due to climate changes. For  
52 such zones, the Member States need to draw up flood risk maps and establish flood risk  
53 management plans focused on prevention, protection and preparedness. The European Commission  
54 (EC) Directive applies to inland waters and all coastal waters across the whole territory of the  
55 European Union (EU).

56 Within the framework of this Directive requirements, the authors have been developing a  
57 methodology to produce coastal flood maps expressing coastal flooding hazard resulting from the  
58 combination of SLR and extreme values of coastal forcing. This ACPM extreme flooding hazard  
59 assessment is crucial to produce the corresponding coastal vulnerability and risk cartography.  
60 Modeling several physical parameters, such as the tides measured in the Portuguese coastal harbors,  
61 the meteorological forcing factors and the future SLR evaluation for 2050 and 2100, as well as the  
62 respective uncertainties, allows the model coupling through a probabilistic approach and  
63 consequently to the probabilistic flood hazard maps.

64 The ACPM coastal flood hazard assessment enables a wider physical vulnerability assessment  
65 at national scale [11], and regional case studies for inlet areas of the estuaries systems, such as the Ria  
66 de Aveiro (Northwest), Tagus Estuary (Lisbon region) [12] and the Ria Formosa (South). These  
67 studies at academic level, together with similar more detailed studies based on higher spatial  
68 resolution data (Municipal service contracts), have contributed to the development of methodologies  
69 and algorithms to obtain final products such as coastal flooding probabilistic maps, approached in  
70 this study, and coastal vulnerability and risk maps that will be published in near future.

71 The present work describes the developed methodology to produce coastal flooding  
72 cartography for Portugal mainland considering extreme forcing and maximum high-water level.  
73 Flooding cartography is based on the most updated topographic model, without inferring any  
74 coastal profile morphodynamics and nor considering coastline retrieving due to present and future  
75 erosion. The difficulty and complexity of such coastal morphologic modeling, due to a variety of  
76 factors and constrains (natural and human cause), would disable a country-wide high-resolution  
77 coastal risk assessment in such a limited time.

78 The developed methodology uses a hydrostatic flood model (usually called “bathtub model”)  
79 rather than a hydrodynamic flood model (computer-based dynamic water flow model), due to its  
80 simplicity when applying GIS and also because it does not requires the use of an accurate  
81 high-resolution digital topo-bathymetric model, which is often not available at national scale. [13]  
82 has demonstrated that the differences between hydrostatic and hydrodynamic models are moderate  
83 at regional scale and large in a few locations for tropical cyclones, due to friction, wind and other  
84 dynamic factors that affects the horizontal flood movement, however, yet small for extratropical  
85 cyclones which is the case of most storms that reach the ACPM.

## 86 **2. Materials and Methods**

### 87 *2.1. Coastal flood forcing*

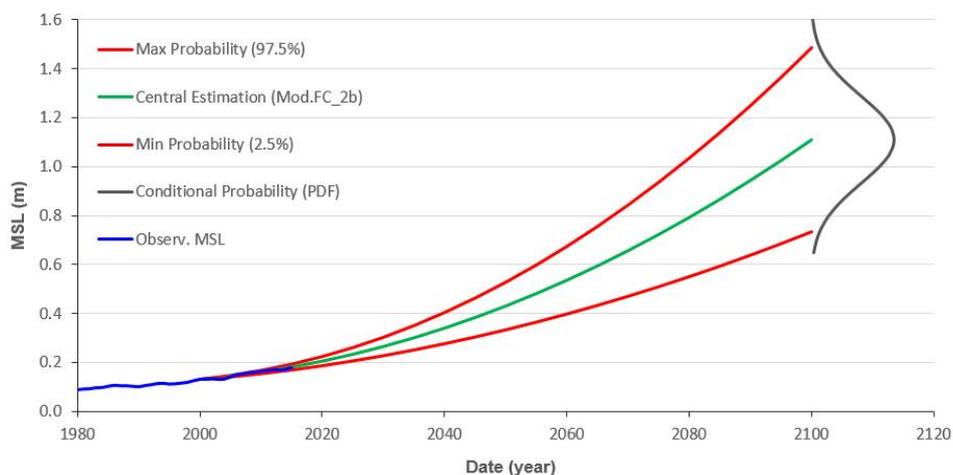
#### 88 *2.1.1. Sea level rise projections*

89 Data from the Cascais tide gauge (TG), the oldest gauge in Portugal and in all Iberian Peninsula,  
90 working since 1882, was used to estimate the first relative SLR empirical projection for the  
91 Portuguese coast by [14]. The gauge is located at open coast (Figure 2), at a site of low tectonic  
92 activity [15], reduced glacial isostatic adjustment (GIA) and with sea level in a considerable  
93 agreement with GSLR records [5].

94 Furthermore, [16], based on a daily average data series, concluded that the relative SLR at  
 95 Cascais exhibited a rate of 4.1 mm/year for the past 12 years, demonstrated the correlation between  
 96 Cascais TG with GMSL rates. The Cascais SLR analysis validation was performed by comparison  
 97 results with regional and global data models obtained from satellite and global tide gauge data, after  
 98 removing the difference of the corresponding vertical velocity rate effect (obtained from tectonics  
 99 and GIA) [5].

100 For different MSL data series and different methodological approaches, [5] show a set of  
 101 relative SLR projections for the 21<sup>st</sup> century, based on which the author generated a probabilistic  
 102 ensemble used to compute a SLR probability density function at epoch 2100.

103 Based on the Cascais TG relative SLR estimation of 2.1 mm/year between 1992 and 2005 and 4.1  
 104 mm/year between 2006 and 2016, [5] estimated an accelerated SLR model, designated by  
 105 Mod.FC\_2b. The central estimate of this model (Figure 1) show an intermediate hazard projection,  
 106 when compared with other estimations and extreme values reported by [4], with a value of  $1.14 \pm$   
 107  $0.15$  m for epoch 2100. This Cascais TG projection model of SLR was applied to the entire ACPM to  
 108 produce coastal flood probabilistic maps, used consequently for coastal vulnerability and risk  
 109 assessment [10,11].



110

111 **Figure 1.** Model of relative SLR projection, Mod.FC\_2b (Model 2b of FCUL), based on the analysis of  
 112 Cascais tide gauge data series, from 1992 to 2016 [5].

### 113 2.1.2. Maximum tide modelling

114 Longest tide series in Portugal are only available for the Cascais and Lagos TG, under the  
 115 responsibility of the national Directorate-General for the Territorial Development (DGT). For the rest  
 116 of the country, except for the Leixões harbor (North), the tides were only observed for short periods  
 117 of a few years to two decades in the tide gauges under the responsibility of the Portuguese  
 118 Hydrographic Institute (IH).

119 All tidal data, by convention, are referred to the vertical reference used in hydrography, the  
 120 chart datum (CD), defined in Portugal as the lowest low-tide (minimum low water) observed during  
 121 a period longer than 19 years (18.6-year Moon's nodal period), plus an additional safety margin (a  
 122 foot). For all Portuguese tide ports, the CD is 2.00 m relative to the national vertical reference, the  
 123 1938 Cascais Vertical Datum (CASCAIS1938), except for the Tagus Estuary, where the CD is 2.08 m.  
 124 CD must be removed from the hydrographic tide heights to obtain the tide elevation, which  
 125 corresponds to the tide orthometric height relative to the national vertical reference of  
 126 CASCAIS1938.

127 Knowing that the maximum High Tide has a synoptic variation of 4 to 5 years (1/4 of Moon's  
 128 nodal period), the annual reference tide for this study corresponds to a maximum high-water level,  
 129 corresponding to the maximum of the equinoctial high tides. These maximum high tides occur when  
 130 the Moon's perigee is closer to the Earth (denominated "Giant Moon" or "Super Moon" years),

131 causing the known "giant tides" or "king tides". For this reason, the 2010 king tides chosen for this  
 132 national study.

133 To enable a rapid and comprehensive assessment study, four tidal harbors, namely *Leixões*,  
 134 *Cascais*, *Sines* and *Lagos*, were chosen to estimate tides for the North, Center, Alentejo and Algarve  
 135 regions of the ACPM (Figure 2). This partition into four tidal zones, as it will be explained further,  
 136 contributed also for the terrain data model simplification, enabling the entire coastal extension  
 137 assessment using 20 m spatial resolution data without exceeding computing capacity demand.

138 Tide models from [17] were used to model four regional tides for the 2010 reference year, from  
 139 which cumulative density functions (accumulated frequency or percentile function) were  
 140 determined (lower curve in the Figure 5, for the case of the Cascais TG).  
 141



142

143 **Figure 2.** Location of the five TG used in the study: *Leixões*, *Cascais*, *Sines* and *Lagos* for the tide  
 144 modeling and *Viana do Castelo* for storm surge analysis at the northern region.

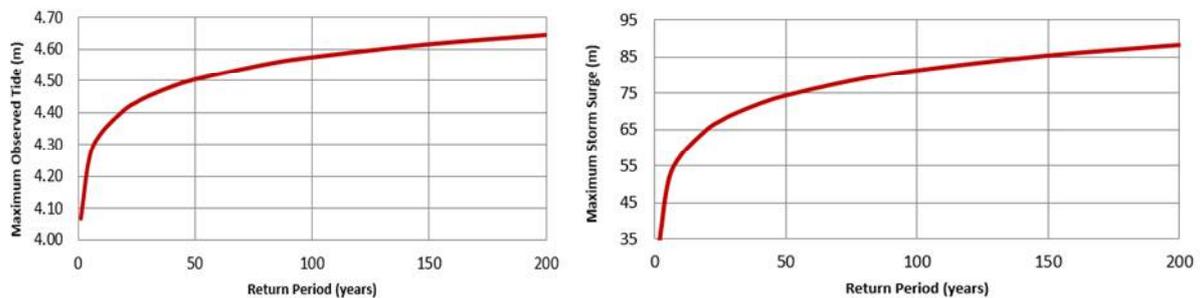
### 145 2.1.3. Storm surge modelling

146 Storm Surge (SS) is the additional increase of the predicted astronomical tides caused by  
 147 meteorological forcing due to storm events, through the joint effect of a lower atmospheric pressure,  
 148 with a ratio of -1 cm/hPa, and the persistent effect of wind friction on the sea surface, depending on  
 149 its direction and intensity. SS is a tide level disturbance, usually positive but can also be negative  
 150 when high atmospheric pressure occurs, ranging from a few centimeters to several meters and that  
 151 can last for hours to more than a day. In Portugal, according to an update study following the  
 152 methodology of [18], which is based on the analysis of tide gauges data series from 1960 to 2018, the

153 maximum observed storm surge, along the west coast of Portugal mainland, exhibited average  
 154 values ranging from 50 to 70 cm for the different TG, and maximums values of 80 cm to 1 m for long  
 155 return periods (100 years or more). The maximum value detected by harmonic analysis was 82 cm in  
 156 the *Viana do Castelo* TG (Figure 2) at October 15<sup>th</sup>, 1987, and 83 cm in the *Lagos* TG at March 4<sup>th</sup>, 2013.  
 157 In the latter, such magnitude is only explained by the additional wave setup effect due to the TG  
 158 localization and the SW wave direction of such storm event.

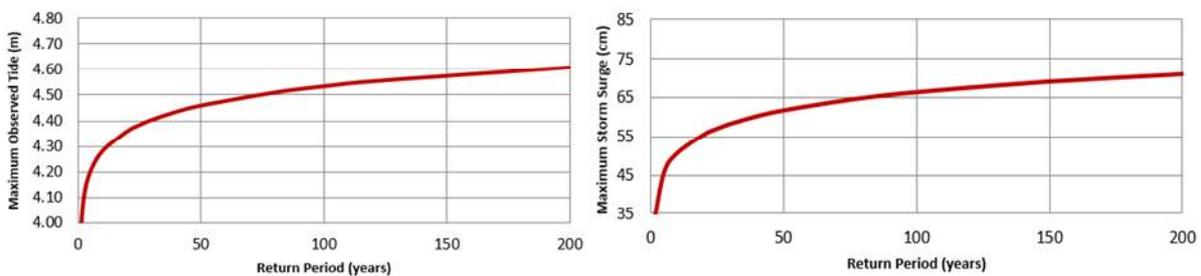
159 To evaluate and characterize the SS for the TG data series, a harmonic analysis was performed,  
 160 and the maximum storm surge series were updated for further analysis through the simple Gumbel  
 161 distribution (Figure 3a to 3c).

162 The *Viana do Castelo* TG data set was used to assess the storm surge for the northern region  
 163 (Figure 3a), due to the absence of enough data for the *Leixões* TG. The Cascais TG data set was  
 164 applied for the central region storm surge assessment (Figure 3b), and the Lagos TG data set for  
 165 Alentejo and Algarve regions (Figure 3c).



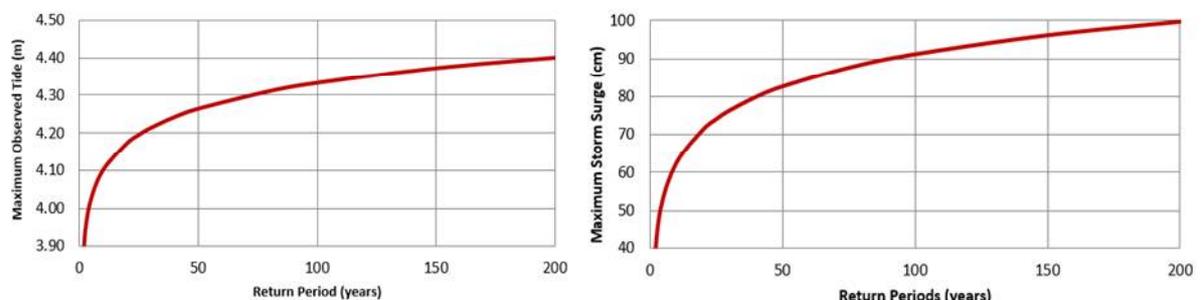
166

167 **Figure 3a.** Return period curves for the maximum observed tide (left), relative to the TG chart datum,  
 168 and the maximum storm surge (right) for the *Viana do Castelo* TG (1978-2008 data series).



169

170 **Figure 3b.** Return period curves for the maximum observed tide (left), relative to the TG chart  
 171 datum, and the maximum storm surge (right) for the *Cascais* TG (1959-2018 data series).



172

173 **Figure 3c.** Return period curves for the maximum observed tide (left), relative to the TG chart datum,  
 174 and the maximum storm surge (right) for the *Lagos* TG (1986-2018 data series).

175 With this characterization, the maximum tide frequency, corresponding to the maximum tide  
 176 and extreme storm surge joint probability, the 50, 100, and 200-year return periods (RP) were  
 177 determined for the three TG at northern, central and southern regions (Table 1).

178 **Table 1.** Maximum tide height, relative to the respective TG chart datum, and storm surge (SS) for  
179 the 50, 100 and 200-year return periods, for previous three TG.

RP (year)	Viana do Castelo		Cascais		Lagos	
	MaxTide (m)	SS (cm)	MaxTide (m)	SS	MaxTide (m)	SS (cm)
50	4.50	75	4.46	62	4.26	82
100	4.57	81	4.53	66	4.33	91
200	4.65	88	4.61	71	4.40	100

#### 180 2.1.4. Wave and wind setup

181 In addition to the tide forcing and the SS, the sea level (SL) extremes are also influenced by the  
182 settling effect resulting either from coastal waves in the nearshore breaking zone or from strong  
183 winds, particularly in inland waters where swell waves do not reach. Thus, to estimate sea surface  
184 extreme values near the coast, the wave setup must also be considered for open sea coastal areas and  
185 the wind setup for the inland water areas (estuaries and coastal lagoons).

186 The model that was used for the wave setup ( $S_0$ ) follows the Direct Integration Method (DIM)  
187 applied by [19], which includes two setup components, a static component ( $\bar{\eta}$ ) and a dynamic  
188 component  $\hat{\eta}$ :

$$S_0 = \bar{\eta} + \hat{\eta} \quad (1)$$

189 The static component is given by:

$$\bar{\eta} = 0.189H_s \quad (2)$$

190 being  $H_s$  the significant wave height (highest third of the waves,  $H_{1/3}$ ). The dynamic component is  
191 defined by the combination of the setup oscillation standard deviation ( $\sigma_1$ ) and the incidence runup  
192 standard deviation ( $\sigma_2$ ):

$$\hat{\eta} = 2.0\sqrt{\sigma_1^2 + \sigma_2^2} \quad (3)$$

193 where

$$\sigma_1 = 0.3 \frac{mU_s}{\sqrt{2\pi H_s/gT_s}} \quad (4)$$

194 and

$$\sigma_2 = 2.7 \left( \frac{H_s}{26.2} \right)^{0.8} \left( \frac{T_s}{20.0} \right)^{0.4} 3^{0.16} \left( \frac{m}{0.01} \right)^{0.2} \quad (5)$$

195 being  $m$  the average slope of the coast profile, the  $T_s$  the mean wave period.

196 The total effect of the wave setup coastal forcing corresponds to the overlapping of the  
197 incidence runup after the wave breaking. In this study, only average estimations of wave setup  
198 component, without the addition of the incidence runup effect, were considered due to the  
199 impossibility of determining an accurate beach profile along Portugal mainland coastline.

200 Based on the wave records time series of the *Leixões* and *Faro* wave buoys, a maximum analysis  
201 was performed using the Gumbel function. From this analysis,  $H_s$  and  $T_s$ , corresponding to RP of 10  
202 and 40 years (Table 2) of 6 and 7 m, were used for the calculation of the wave setup and added to the  
203 extreme tidal levels of 50 and 100 yr RP, respectively.

204 The wind setup  $S_w$ , applied only to inlets or inland waters, such as *Ria de Aveiro* and *Ria Formosa*  
205 (Algarve), and Tagus Estuary, results from the following expression [20]:

$$S_w = \rho_w \left( 1.2^{-6} + 2.25^{-6} \left[ 1 - \frac{U_s}{V_{10}} \right]^2 \right) V_{10}^2 \quad (6)$$

206 where  $V_{10}$  is the wind speed at 10 m above surface and  $\rho_w$  is the sea water density. Since no references  
 207 were found for the region, an average wind speed of 38 km/h (10.6 m/s) was applied resulting into  
 208 wind setup of  $S_v = 0.20$  m.

209 **Table 2.** Significant heights ( $H_s$ ) and mean wave periods ( $T_s$ ), corresponding to the RP of 10 and 40  
 210 years, respectively.

Wave Height ( $H_s$ ) (m)	Wave Period ( $T_s$ ) (s)	Static Setup (m)	Dynamic Setup (m)	Total Setup (m)
6.0	14	1.13	0.79	1.9
7.0	15	1.32	0.92	2.2

## 211 2.2. Methodology for Coastal Flood Scenarios

### 212 2.1.1. Digital Terrain Model

213 The Digital Terrain Model (DTM) used in this study was obtained from the photogrammetric  
 214 model provided by the DGT. The aerial photogrammetric survey for the basic cartographic data  
 215 acquisition along Portugal Mainland coastal strip area, of approximately 513 400 ha, was carried out  
 216 in 2008, with a spatial resolution of 2 m. In total, 4 139 files of elevation points (X, Y, Z), were  
 217 processed for the DTM calculation.

218 In order to improve the computational performance of altimetric data processing, four different  
 219 geographical zones were separately considered and the corresponding altimetric grid resampled at  
 220 20 m spatial resolution (Figure 2): North (from *Viana do Castelo* to *Figueira da Foz*), Center (from  
 221 *Figueira da Foz* to *Cabo Espichel*), Alentejo (from *Sesimbra* to *Cabo de São Vicente*) and Algarve (from  
 222 *Sagres* to *Vila Real Santo António*) (Table 1). This partition became also an advantage for the coastal  
 223 forcing computation, once for each zone there are different estimate scenarios with the combination  
 224 of Portuguese Atlantic coast SLR and the respective tide model, SS and wave setup estimations.

225 **Table 3.** Number of elevation points for each geographical zone.

Geographic Zone	Extension	# Elevation Points
North	<i>Viana do Castelo</i> to <i>Figueira da foz</i>	3 069 154
Center	<i>Figueira da Foz</i> to <i>Cabo Espichel</i>	5 845 401
Alentejo	<i>Sesimbra</i> to <i>Cabo de São Vicente</i>	1 149 289
Algarve	<i>Sagres</i> to <i>Vila Real Santo António</i>	1 744 278
<b>Total</b>		<b>11 808 122</b>

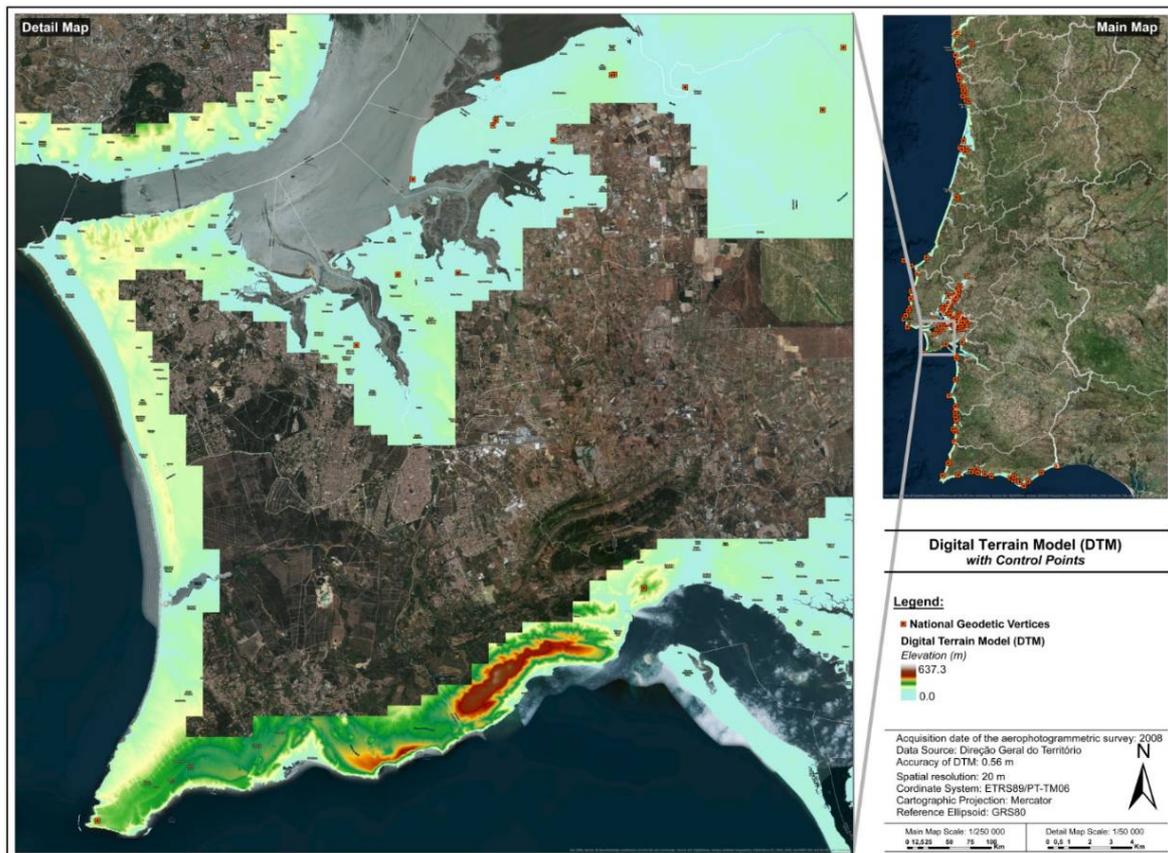
226 The positional quality control is indispensable in the production of flooding cartography due to  
 227 the respective impact of an incorrect risk assessment. The DTM was produced by photogrammetry  
 228 and, despite being a very efficient and accurate method, it is not free of errors. The respective data  
 229 set was generated from raw data through filtering, by classification of points in "soil" and "non soil",  
 230 and interpolation to fill the gaps. Errors may still occur during post-processing of the data.  
 231 Therefore, quality control should detect errors and deviations, however it is very difficult to link  
 232 certain errors (or its magnitude) to a concrete cause to be able to eliminate them [21].

233 For such purpose, the validation of the DTM was done based on a set of ground control points,  
 234 in a total of 134 national geodetic marks, for which the altimetric values (orthometric base height -  
 235  $H_{GV}$ ) are known and hence differences from the photogrammetric DTM heights ( $h_{DTM}$ ) can be  
 236 estimated:

$$\text{error} = h_{DTM} - H_{GV} \quad (7)$$

237 An overall mean square error of 56 cm was estimated with a sample of 134 control points for the  
 238 entire coastal area. No shift, based on the obtained residual mean, was applied to the DTM, because  
 239 the sample did not exhibit a normal random distribution due to the presence of large residuals.

240 Finally, the obtained DTM (Figure 4), for the whole coastal zone of Portugal Mainland, shows values  
 241 between 0 m and 637.3 m with a spatial resolution of 20 m and with 56 cm of relative accuracy  
 242 (precision).



243  
 244 **Figure 4.** Digital Terrain Model of the Atlantic Coast of Portugal Mainland, with a spatial resolution  
 245 of 20 m and 56 cm of relative accuracy estimated using the National Geodetic Network.

#### 246 2.1.2. Methodology for the probabilistic cartography

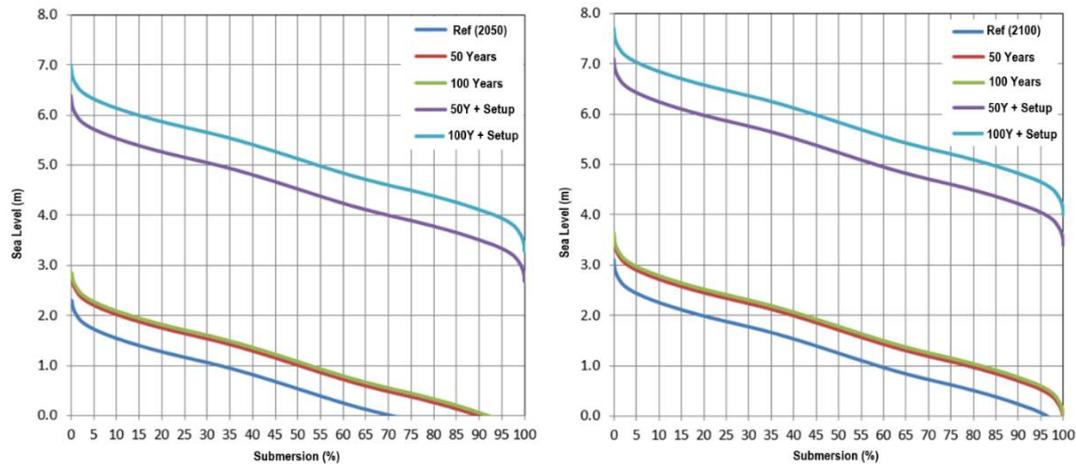
247 Based on the methodology of [22], different SLR scenarios for 2050 and 2100, with different  
 248 extreme events RP, and maximum tide levels were estimated based on tide historical data from the  
 249 *Leixões, Cascais, Sines* and *Lagos* TG. Thus, each national wide scenario is a composition of four  
 250 territorial zones: North, Center, Alentejo and Algarve. Except for the Cascais TG, that has the longest  
 251 tide series, all the other TG (*Leixões, Sines* and *Lagos*) have shorter and incomplete data series for the  
 252 1970 - 2010 period.

253 Considering the ACPM SLR projection (Figure 1), the tide submersion percentiles were computed  
 254 for the two epochs under study, 2050 and 2100. Adding the storm surge effect for two RP considered  
 255 (50 and 100 years), as well as wave setup, to the predicted tide level submersion frequency for each  
 256 reference TG, the flood levels are defined by Equations 8a and 8b.

$$\mathbf{Extreme\ Flood\ Level}_1 = \mathbf{Tide} + \mathbf{StormSurge} + \mathbf{SLR} \quad (8a)$$

$$\mathbf{Extreme\ Flood\ Level}_2 = \mathbf{Tide} + \mathbf{StormSurge} + \mathbf{SLR} + \mathbf{SetUP} \quad (8b)$$

257 From the resulting annual submersion percentile curves (Figure 5 shows only the case of  
 258 Cascais TG), the elevations for the extreme flooding levels (EFL), corresponding to the 0.25%  
 259 percentile (spring high-tides), were obtained.



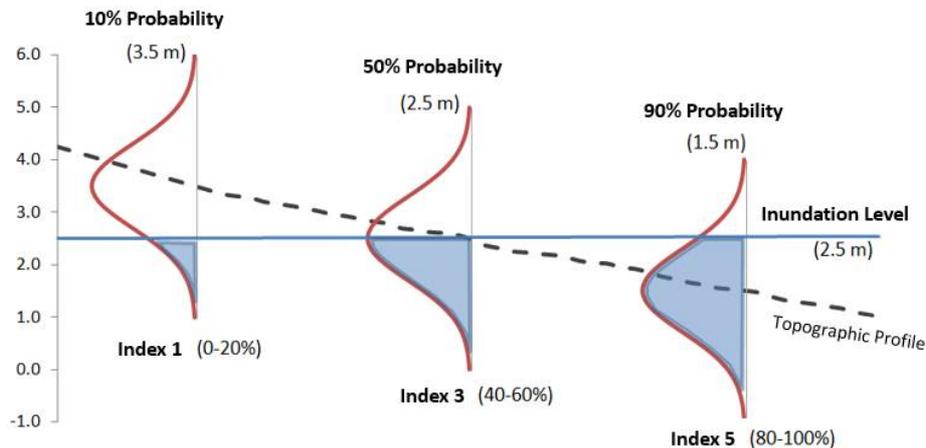
260

261 **Figure 5.** Cascais tide submersion percentile for the mean SL (blue) at epoch 2050 (left) and epoch  
 262 2100 (right), with storm surge (red and green) and wave setup (purple and light blue) for 50 and  
 263 100-year return periods.

264 To incorporate the SLR scenarios and their uncertainty into the vulnerability assessment, the  
 265 Extreme Flood Hazard Index (EFHI) has been defined with five probability classes ranging from 1  
 266 (low hazard and low probability) to 5 (extreme hazard and high probability). The EFHI is then  
 267 calculated by considering the uncertainty of the submersion frequency models, that result from the  
 268 tides standard deviation estimation, storm surge, SLR and setup. Therefore, through Equations 8a  
 269 and 8b, the uncertainty of extreme flood scenario is evaluated by:

$$\sigma_{\text{scenario EFL}_1} = \sqrt{\sigma_{\text{Tide}}^2 + \sigma_{\text{SS}}^2 + \sigma_{\text{SLR}}^2} \quad (9a)$$

$$\sigma_{\text{scenario EFL}_2} = \sqrt{\sigma_{\text{Tide}}^2 + \sigma_{\text{SS}}^2 + \sigma_{\text{SLR}}^2 + \sigma_{\text{Setup}}^2} \quad (9b)$$



270

271 **Figure 6.** Method for the flooding probability calculation and the EFHI on a generic topographic  
 272 profile (3.5 m, 2.5 m and 1.5 m), based on the highest tide level ( $h=2.5$  m) and its uncertainty (adapted  
 273 from [22]). Intermediate EFHI levels, 2 and 4, are located between index levels 1, 3 and 5.

274 The uncertainty value of each scenario depends on the projection year, therefore the scenario  
 275 EFL\_1 standard deviation obtained for the 2050 and 2100 epochs were 12 and 40 cm, respectively.  
 276 Based on the uncertainties estimated by Equation 9a, the standard normal distribution curve is  
 277 determined (Figure 6). This normal distribution curve has a conditional flood probability on the  
 278 dimension of the topographic profile, which enables the determination of the probabilistic flood level  
 279 for different topographic locations around the deterministic flood level (inundation level - central

280 projection of sea level). Subdividing the probability domain into five intervals of 20%, the EFHI is  
 281 defined by a five-class range, from 1 (lowest probability, from 0.25 to 20%) to 5 (maximum probability,  
 282 from 80 to 99.75%), related to coastal forcing (Table 4) and flooding hazard level.

283 **Table 4.** EFHI classification levels and respective conditional probability interval for a SLR scenario,  
 284 relative to the respective central flooding elevation reference level.

Hazard Class Level	Very Low	Low	Moderate	High	Extreme
	1	2	3	4	5
Flood Probability	≤ 20%	20% - 40%	40% - 60%	60% - 80%	≥ 80%

### 285 3. Results

286 The coastal flood hazard cartography is produced based in the GIS tools and supported by the  
 287 most rigorous updated coastal DTM, referred in section §2.1.1. The flood hazard classification  
 288 subdivided into five classes (from 1 – Very Low Hazard to 5 – Extreme Hazard), was then used to  
 289 define the flooding scenarios cartography, from which the coastal physical vulnerability model is  
 290 further developed and evaluated [11].

#### 291 3.1. Probabilistic cartography of coastal flood

292 The flood scenarios assessment presented here is based on a probabilistic rather than a  
 293 deterministic approach as explained in section §2.1.2 and is focused on the identification of areas  
 294 with the flooding conditional probability for the future scenarios of SLR, for the time horizon of 2050  
 295 and 2100.

##### 296 3.1.1. Year 2050

297 Table 5 shows the minimum and maximum values of each probabilistic interval corresponding  
 298 to the five levels of flood hazard estimated for 2050. By intersecting these elevation interval levels  
 299 with the DTM, the flooding areas for each scenario and geographical zone, are obtained and  
 300 classified into five classes of flooding hazard, represented by a given scale color in a GIS map  
 301 visualizer.

302 **Table 5.** Intervals for each EFHI level and respective probability for the 2050 SLR scenario for each  
 303 geographic zone, relative to the central reference flooding elevation level (Ref).

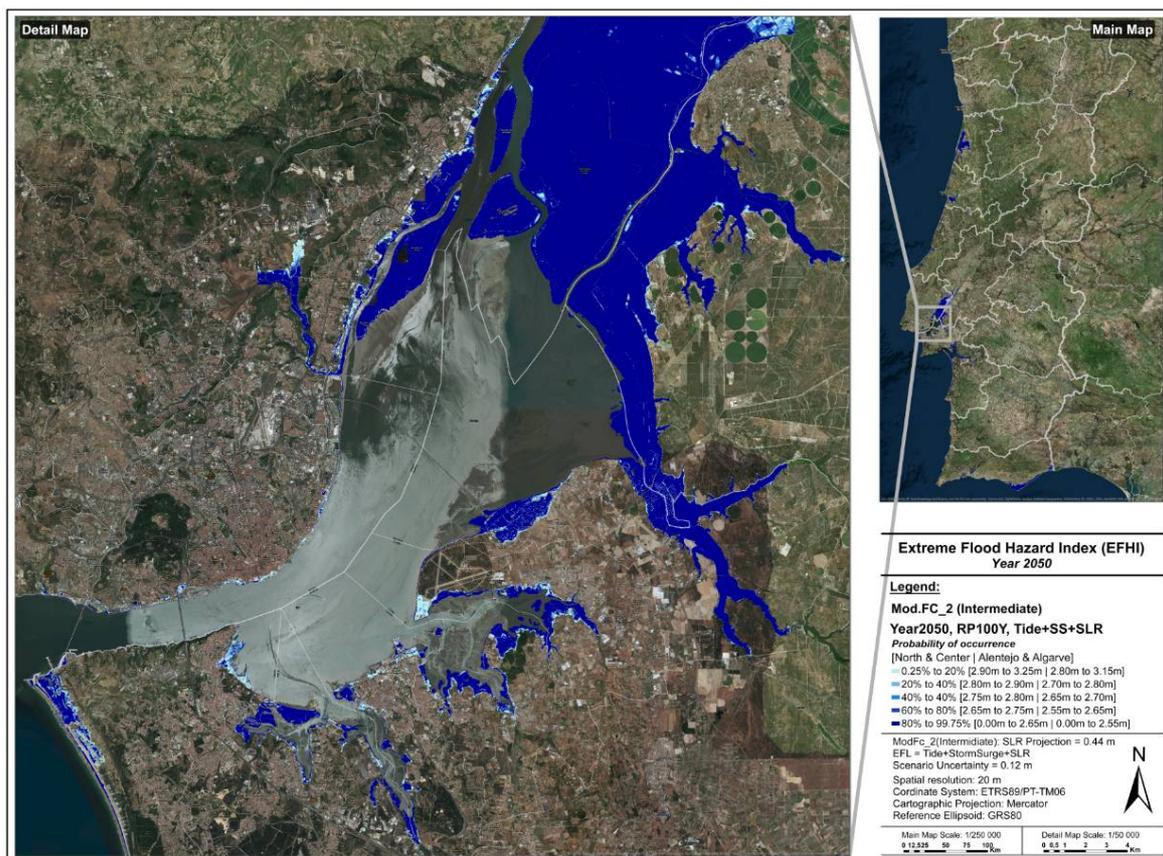
Probability level		2050, RP100, M+SS+SLR			
		North & Center Ref=2.8 m		Alentejo & Algarve Ref=2.7 m	
		min	max	min	max
1 – Very Low	[0.25% to 20%]	2.90	3.25	2.80	3.15
2 – Low	[20% to 40%]	2.80	2.90	2.70	2.80
3 – Moderate	[40% to 60%]	2.75	2.80	2.65	2.70
4 – High	[60% to 80%]	2.65	2.75	2.55	2.65
5 – Extreme	[80% to 99.75%]	0.00	2.65	0.00	2.55
<i>Scenario uncertainty</i>		<i>12 cm</i>			

304 Table 6 presents the amount of area (km<sup>2</sup>) for each hazard class and each Portuguese coastal  
 305 district for the 2050 epoch. It is possible to see that 903.2 km<sup>2</sup> of Portugal coastal zone are susceptible  
 306 to be flooded in an extreme scenario with 100-year RP and being Lisbon the district with the largest  
 307 flooding area (221.4 km<sup>2</sup>), followed by the Faro district (182.4 km<sup>2</sup>). Although Santarém isn't a coastal  
 308 shoreline district, it is also affected by SLR scenarios due to the existence of an intertidal zone of  
 309 Tagus Estuary.

310 **Table 6.** Flooding areas (in km<sup>2</sup>) for each EFHI class interval and respective probability, for the 2050  
311 SLR scenario in each coastal district.

District	1 – Very Low [0.25% to 20%]	2 – Low [20% to 40%]	3 – Moderate [40% to 60%]	4 – High [60% to 80%]	5 – Extreme [80% to 99.75%]	Total [km <sup>2</sup> ]
Aveiro	11.8	3.2	1.7	4.0	150.7	171.4
Beja	0.2	0.1	0.0	0.1	6.3	6.6
Braga	0.7	0.2	0.1	0.2	2.6	3.7
Coimbra	4.8	0.9	0.5	1.0	33.9	41.1
Faro	8.5	3.1	1.5	2.7	166.6	182.4
Leiria	3.5	0.9	0.5	1.1	14.2	20.2
Lisbon	12.3	4.1	2.4	5.8	196.8	221.4
Porto	0.4	0.1	0.0	0.1	1.9	2.5
Santarém	12.0	4.2	2.9	4.7	75.3	99.1
Setúbal	13.7	3.6	1.9	4.0	113.6	136.8
Viana do Castelo	2.8	1.1	0.4	0.9	12.7	17.9
<b>Total</b>	<b>70.7</b>	<b>21.5</b>	<b>12.0</b>	<b>24.5</b>	<b>774.4</b>	<b>903.2</b>

312 Figure 7 shows, for the ACPM, the 2050 coastal forcing scenarios probability, within a zoom of  
313 the Tagus Estuary.



314  
315 **Figure 7.** Portuguese coastal flooding extreme scenarios for 2050 SLR and 100-yr RP, within a zoom  
316 of the Tagus Estuary.

317 3.1.2. Year 2100

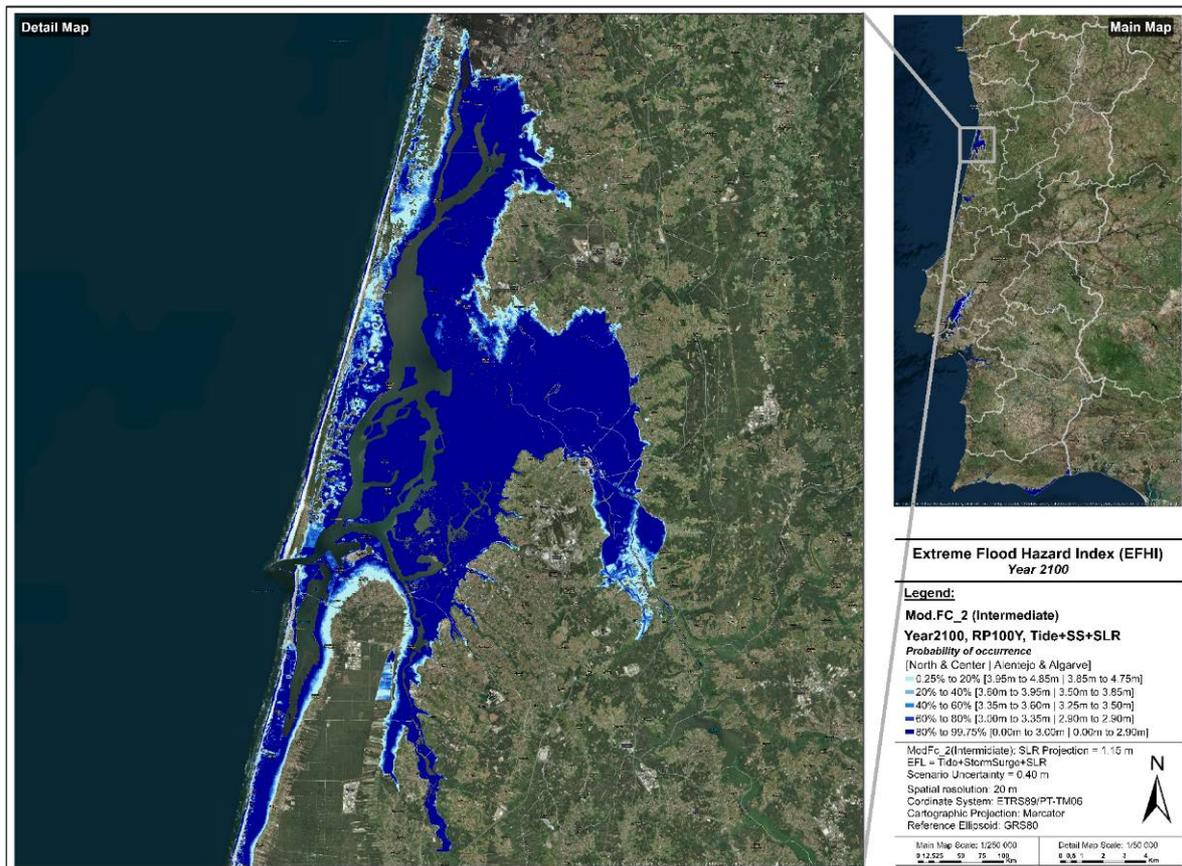
318 Table 7 shows, the minimum and maximum values of each probabilistic interval corresponding  
319 to the five levels of flood hazard estimated for 2100.

320  
321**Table 7.** Intervals for each EFHI level and respective probability for the SLR 2100 scenario for each geographic zone, relative to the central reference flooding elevation level (Ref).

Probability level		2100, RP100, M+SS+SLR			
		North & Center Ref=3.5 m		Alentejo & Algarve Ref=3.4 m	
		min	max	min	max
1 – Very Low	[0.25% to 20%]	3.95	4.85	3.85	4.75
2 – Low	[20% to 40%]	3.60	3.95	3.50	3.85
3 – Moderate	[40% to 60%]	3.35	3.60	3.25	3.50
4 – High	[60% to 80%]	3.00	3.35	2.90	3.25
5 – Extreme	[80% to 99.75%]	0.00	3.00	0.00	2.90
<i>Scenario uncertainty</i>		<i>40 cm</i>			

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325

Table 8 presents the sum of areas for each hazard class and each Portuguese coastal district for the 2100 epoch. Besides, it is also shown that an area of 1146 km<sup>2</sup> has the probability of flood in 2100, and that 74.6% of that area is classified as extreme. The Lisbon district will be again the most affected with an area of 249.6 km<sup>2</sup> with probability of flood.



326

327  
328**Figure 8.** Portuguese coastal flooding extreme scenarios for 2100 SLR and 100-yr RP, within a zoom of the Aveiro inland lagoon (*Ria de Aveiro*).329  
330**Table 8.** Flooding areas (in km<sup>2</sup>) for each EFHI class interval and respective probability, for the SLR 2100 scenario in each coastal district.

District	1 – Very Low [0.25% to 20%]	2 – Low [20% to 40%]	3 – Moderate [40% to 60%]	4 – High [60% to 80%]	5 – Extreme [80% to 99.75%]	Total [km <sup>2</sup> ]
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Aveiro	25.2	10.5	8.0	11.4	163.6	218.6
Beja	0.4	0.2	0.1	0.2	6.5	7.3
Braga	2.4	0.8	0.5	0.7	3.2	7.6
Coimbra	5.8	3.3	2.6	4.7	37.6	54.0
Faro	14.2	6.7	5.3	8.5	176.3	211.0
Leiria	7.1	3.3	2.4	3.5	17.8	34.1
Lisbon	10.5	8.2	6.6	11.2	213.2	249.6
Porto	2.0	0.6	0.4	0.4	2.2	5.7
Santarém	29.5	11.1	9.3	11.7	91.3	152.9
Setúbal	18.6	8.2	6.9	12.8	127.5	174.1
Viana do Castelo	7.1	3.1	2.1	2.9	15.9	31.1
<b>Total</b>	<b>122.8</b>	<b>56.1</b>	<b>44.1</b>	<b>68.0</b>	<b>855</b>	<b>1146</b>

331 Figure 8 shows, for ACPM, the 2100 coastal forcing scenarios probability, within a zoom of the  
 332 *Ria de Aveiro*.

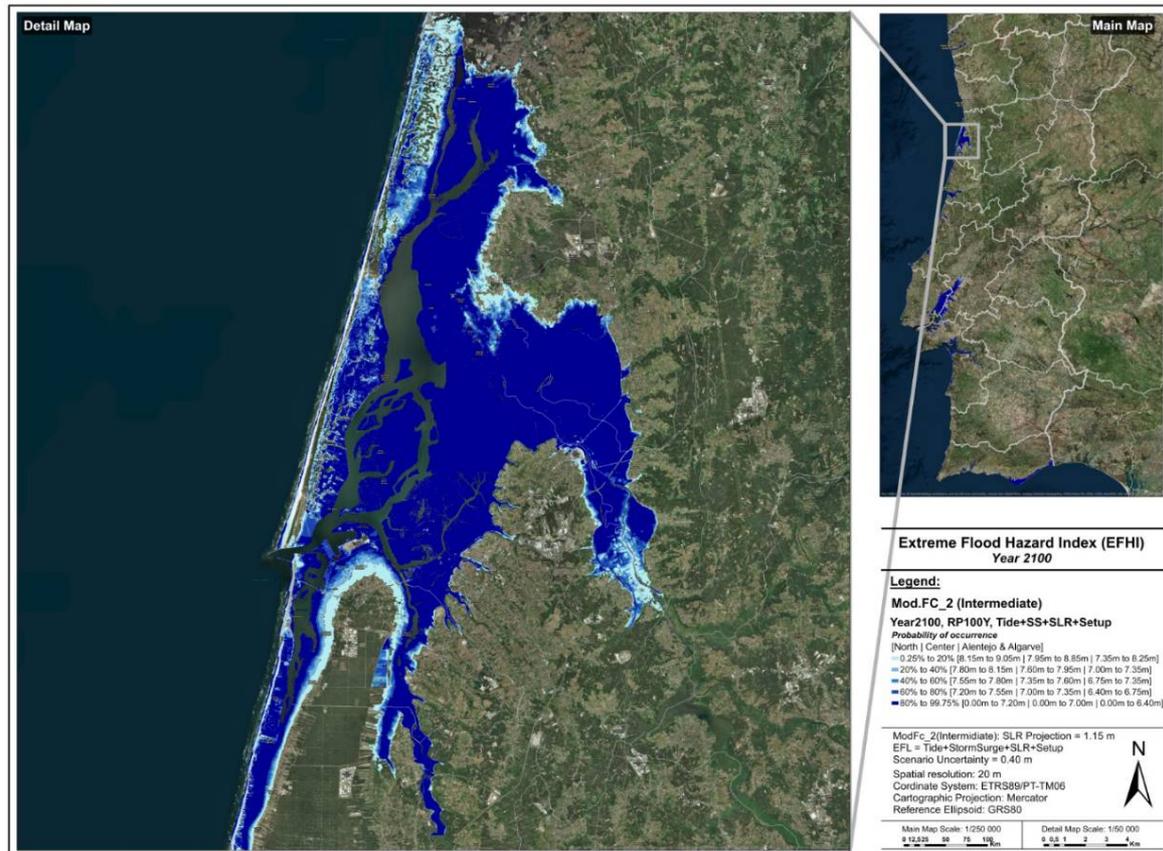
### 333 3.2. Probabilistic cartography of extreme coastal flood with wave and wind setup

334 The extreme flood scenario with setup (EFL\_2 in Equation 8b), must be separated into open sea  
 335 coastal areas (coastal shoreline), with the influence of ocean waves and consequently wave setup,  
 336 and inland water areas, only influenced by wind setup, which requires a mask to apply both  
 337 scenarios to the whole DTM in GIS.

338 Using the setup models values (wave setup presented in the Table 2), Table 9 shows the  
 339 minimum and maximum values of each probabilistic interval corresponding to the five levels of  
 340 flood hazard estimated for 2100. For inland water zones, a maximum wind setup of 20 cm was  
 341 added to the values in Table 7. The scenario uncertainty was kept the same (40 cm), due to the  
 342 difficulty in the estimation of the respective coupled scenario standard deviation. Knowing that the  
 343 most accurate uncertainty would be higher than the one adopted, the larger the flooded area would  
 344 be.

345 Figure 9 presents, for the Atlantic Coast of Portugal, the 2100 coastal forcing scenarios  
 346 probabilistic map of *Ria de Aveiro*. Comparing Figure 8 with Figure 9, it is possible to observe some  
 347 differences between them, specifically along the coastal shoreline and at the limits of the extreme  
 348 arms of the lagoon. In the scenario with influence of wave and wind setup, there is a larger area with  
 349 flooding probability, as it would be expected. This scenario is somehow realistic because storm  
 350 surges can sometimes be accompanied by high energetic swell waves that generates a higher storm  
 351 setup.

352



353

354 **Figure 9.** Portuguese coastal flooding extreme scenario with the influence of wave and wind setup, of  
 355 for 2100 SLR and 100-yr RP, within a zoom of Aveiro inland lagoon (*Ria de Aveiro*).

356 **Table 9.** Intervals for each EFHI level and respective probability for the SLR 2100 scenario with the  
 357 additional setup component for each geographic zone, relative to the central reference flooding  
 358 elevation level (Ref).

Probability level	2100, RP100, M+SS+SLR+Setup					
	North		Center		Alentejo & Algarve	
	Ref=7.7 m		Ref=7.5 m		Ref=6.9 m	
	min	max	min	max	min	max
1 – Very Low [0.25% to 20%]	8.15	9.05	7.95	8.85	7.35	8.25
2 – Low [20% to 40%]	7.80	8.15	7.60	7.95	7.00	7.35
3 – Moderate [40% to 60%]	7.55	7.80	7.35	7.60	6.75	7.00
4 – High [60% to 80%]	7.20	7.55	7.00	7.35	6.40	6.75
5 – Extreme [80% to 99.75%]	0.00	7.20	0.00	7.00	0.00	6.40
<i>Scenario uncertainty</i>			40 cm			

359

## 360 5. Conclusions

361 The developed methodology allows the production of probabilistic coastal flooding  
 362 cartography for any considered SLR projection, any coastal force model coupling and any DTM  
 363 spatial resolution, based on a hydrostatic model basis and considering that the respective associated  
 364 parameters uncertainties are provided or estimated.

365 Considering the Mod.FC\_2b projection for the 2050 SLR with a SS of 50-yr RP for each TG zone,  
 366 a total of 903 Km<sup>2</sup> of the ACPM is potentially affected by extreme flooding; being the districts of  
 367 Lisbon, Faro and Aveiro the most affected, respectively, with 221, 182 and 172 km<sup>2</sup> of flooded area.

368 While for 2100, those values rise to 1146 Km<sup>2</sup> of total area and, respectively, 250, 211 and 219 Km<sup>2</sup> for  
369 the same most affected districts.

370 Knowing that the DTM represents the actual coastal morphology, without any erosion effect  
371 that is expected for future coastline retrieving, the present scenario for the coast shoreline with wave  
372 setup is underestimated. Due to the coastal retrieving, forced by the sedimentary deficit, the increase  
373 wave energy and its rotation caused by climate change, as well as, the increasing effect of SLR on  
374 wave forward breaking towards inland, the flood extension and respective impact in open coast  
375 shoreline areas will be certainly much greater than what is here estimated and presented.

376 As it is well known and widely studied, beach coastal areas are presently at high risk of erosion  
377 due to the sedimentary deficit and slightly increase wave energy coupled with SLR, but despite their  
378 economic and strategic importance, those are areas where the danger of SLR contributes less to the  
379 flooding vulnerability due to the low exposure of population and infrastructure, contrary to what is  
380 expected in the inland waters (river mouths, estuaries and ocean water lagoons) where the much  
381 higher exposure will contribute potentially to a considerable higher risk level.

382 The probabilistic flood mapping production allows not only to quantify and qualify the flooded  
383 area hazard for each scenario, but also, through the formulation and classification of the EFHI  
384 combined with other physical susceptibility parameters, the future evaluation of the coastal  
385 vulnerability mapping and the coastal risk assessment. These outputs are and will be fundamental  
386 for coastal planning adaptation within a sustainable gradual and collaborative strategy.

387 Results contribute greatly to the identification of areas that are most vulnerable to SLR and  
388 extreme events in the context of climate change, not just because it is the first SLR flood assessment  
389 for the whole Portugal Mainland, but also because the coastal flooding risk is assessed and the  
390 Directive 2000/760/CE objectives are implemented.

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