

1 *Review*

# 2 **Advanced nanotechnologies for enhancing the** 3 **bioavailability of silymarin: a state of the art**

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11 **Abstract:** Silymarin, a mixture of flavonolignan and flavonoid polyphenolic compounds extractable  
12 from the milk thistle seed, *Silybum marianum*, has anti-oxidant, anti-inflammatory, anti-cancer and  
13 anti-viral activities potentially useful in the treatment of several liver disorders, such as chronic liver  
14 diseases, cirrhosis and hepatocellular carcinoma. Equally promising are the effects of silymarin in  
15 protecting the brain from the inflammatory and oxidative stress effects by which metabolic  
16 syndrome contributes to neurodegenerative diseases. However, despite clinical trials have proved  
17 that silymarin is safe at high doses (>1500 mg/day) in humans, it suffers limiting factors such as low  
18 solubility in water (<50 µg/mL), low bioavailability and poor intestinal absorption. To improve its  
19 bioavailability and provide a prolonged silymarin release at the site of absorption, the use of  
20 nanotechnological strategies appears to be a promising method to potentiate the therapeutic action  
21 and promote sustained release of the active herbal extract. The purpose of this study is to review  
22 the different nanostructured systems available in literature as delivery strategies to improve the  
23 absorption and bioavailability of silymarin.

24 **Keywords:** Silymarin; silybin; nanoemulsion; solid lipid nanoparticles; nanostructured lipid  
25 carriers; liposome; polymeric particles; self-emulsifying delivery systems; enhanced bioavailability  
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## 46 1. Introduction

47 Nanotechnology has become an important part of medical science, pharmaceutical and food  
48 industry, since it focuses on the development of novel delivery systems to sustain or prolong drug  
49 release properties, control human biological systems at the molecular level and improve the  
50 therapeutic activity of various types of substances and compounds [1]. The usefulness of  
51 nanotechnology has also been extended to natural healthy products, where numerous efforts are  
52 continuously being made to improve the bioavailability and therapeutic potential of active  
53 compounds extracted from natural sources [2]. Nanotechnology is defined as a process by which  
54 some main physicochemical properties of particles are changed by reducing their size to  
55 nanodimension. The various definitions of nanoparticle size range are presented in different  
56 industries such as food, pharmaceutical, and cosmetics. For instance, in the food industry, European  
57 Food Safety Authority (EFSA) has called the particles below 100 nm as nanoparticles. However, in  
58 general, particles with sizes less than 1000 nm can be considered as nanoparticles [3].  
59 Nanoencapsulation, a main branch of nanotechnology, can be applied by two common methods  
60 including “bottom-up” (self-assembly and self-organization) or “top-down” (physical processing).  
61 Nanoencapsulation of natural compounds provides a large surface area and causes steerable release,  
62 protects them against different stresses during the processes and storage in comparison to their  
63 microencapsulation, and improves their bioavailability for removing free radicals, antidisease and  
64 antimicrobial activities. Indeed, the bioavailability of plant extract can be increased with higher  
65 solubility, absorption, and permeation of them in the body and food formulations through  
66 nanoencapsulation process. For instance, nanoencapsulation allows phenolics and antioxidants to be  
67 absorbed passively from the lumen of the intestine into the lymphatic and blood circulatory system;  
68 therefore, their bioavailability is increased [4]. It should be also mentioned that selection of a  
69 nanoencapsulation technology depends on several parameters, such as physicochemical features,  
70 required particle size, release type, delivery method, process cost, etc. Hence, a vast arsenal of  
71 increasingly sophisticated nano-methodologies is now available for researchers to efficiently entrap  
72 and make more bio-available *in vivo* poorly water-soluble active plant ingredients, among which a  
73 very well documented example concerns silymarin. Indeed, inadequate aqueous solubility of active  
74 pharmaceutical ingredients (APIs) is a major concern in the formulation and development of novel  
75 delivery systems since it directly affects the bioavailability [5]. Thus, novel formulations of silymarin  
76 have been prepared by innovative techniques and found to increase its therapeutic efficacy against  
77 various diseases [6]. Several reviews have appeared on the subject in the recent past, each of them  
78 trying to offer the reader a broad and increasingly updated vision of the most advanced  
79 nanoencapsulation solutions developed to increase the *in vivo* absorption efficiency of silymarin [7-  
80 11]. The ambition in the present paper has been to summarize and focus on the advances made over  
81 the past 15 years regarding the nanoencapsulation of silymarin, as well as on the emerging trends of  
82 the nano-delivery of this drug in new pharmaceutical applications.

## 83 2. Silymarin: source and physicochemical properties

84 Silymarin consists of several flavonoid-like compounds extracted from the small hard fruits  
85 (kenguil seeds) of *Silybum marianum* L. Gaertn (milk thistle), which grows extensively in Europe and  
86 Asia including India. The drug belongs to a class of compounds – flavonolignans – likely produced  
87 in the plant by radical coupling of flavonoid and coniferyl alcohol [12]. Silymarin is a complex  
88 mixture of four flavonolignan isomers: silybin (70-80%), silychristin (20%), silydianin (10%) and  
89 isosilybin (0.5%), which are assumed to be responsible for the therapeutic liver-protecting activity of  
90 the extract [13-16]. For the main component silybin, a large amount of pharmaco-toxicological and  
91 clinical documentation exists [17-19]. The molecular structure representative of one of the two  
92 diastereoisomers (silybin A and silybin B, 1: 1 mixture) is shown in Figure 1.

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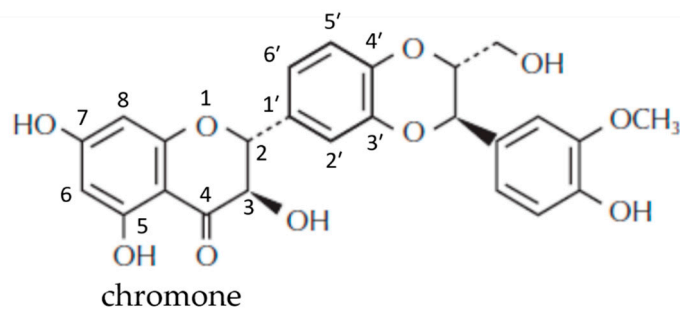


Figure 1. molecular structure of Silybin A

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Its molecular structure possesses a chromone fragment responsible for weak acidic properties, enabling donor-acceptor interactions with basis. The presence of polyphenol hydroxyls and the ability to form complexes with transition and other metal ions in the 3,4- or 4,5-positions, confers high antioxidant capability to the molecule. Several studies have shown that for this active ingredient even very high doses are well tolerated by animals and humans [20,21]. In particular, the oral 50% lethal dose is 10,000 mg/kg in rats while the maximum tolerated dose is 300 mg/kg in dogs [22]. Due to its lipophilic nature characterized by a  $\log P$  value of 1.41 [23] where  $P$  is the partitioning coefficient of the drug, the therapeutic efficiency of silybin is rather limited by its very low water solubility (430mg/L) [24-27]. According to the biopharmaceutical classification system (BCS), silymarin belongs to class II BCS, which includes either insoluble compounds in aqueous media or which have a very low solubility. Consequently, the drug is poorly absorbed (20-50%) from the GIT and has a low bioavailability from oral formulations [28]. Several semisynthetic compounds have been designed to overcome the drawback of very low water solubility such as, e.g., the bis-hemisuccinate (Legalon<sup>®</sup>), 23-O-phosphate, 23-O- $\beta$ -glycosides derivatives and silybinic acid [29]. However, chemical modifications leading to an increase in silybin water-solubility usually led to an impairment of its antioxidant (antiradical) activity [24]. The hepatoprotective mechanism of silymarin and its main component silybin is due not only to the antioxidant activity but also to a membrane-stabilizing action that prevents or inhibits the lipid peroxidation process [30].

### 3. Types of Delivery Systems (DSs) designed to encapsulate Silymarin/Silybin

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In the following subsections, various classes of DDS specifically designed for silymarin encapsulation will be reviewed and systematically classified in the final Table 1, including the suitable cross references mentioned in the text. Henceforth, the acronym SIL will be used indifferently to indicate both silymarin and its main and biologically active constituent, silybin. The meaning of the other acronyms is shown in the list of abbreviations at the end of the review.

#### 3.1. Lipid-based formulations

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A widespread formulation strategy exploits lipid-based colloidal vehicles as winning option for the delivery of SIL penalized by the poor aqueous solubility. Lipid-based formulations (incorporation of the active lipophilic component into inert lipid vehicles) are used to improve the oral bioavailability of poorly water-soluble drug compounds, which include micro or nanoemulsions, oils, self-emulsifying formulations, surfactant dispersions, proliposomes and liposomes, solid lipid nanoparticles and lipid nano carriers, etc. These lipidic formulations can be broadly divided into two groups namely liquid Oil-in-Water (O/W) emulsions (LEs) and solid lipid nanoparticles (SLNs). The LE systems could be lipid solutions, emulsions, microemulsions and self-(nano/micro) emulsifying drug delivery systems. SLNs are novel lipid-based formulations which are constituted exclusively of

137 biodegradable lipids such as highly purified triglycerides, monoglycerides, hard fats, complex  
138 glyceride mixtures or even waxes, which are solid at physiological temperature.

### 139 3.1.1. Liquid Emulsions (LEs)

140 The formulations based on both microemulsion and nanoemulsion strategies for oral administration,  
141 are designed with food-acceptable components or generally recognized as safe (GRAS) to increase  
142 solubility, stability, and improve the SIL permeability. Micellar carriers have been found useful to  
143 encapsulate efficiently SIL and enhance its water solubility and bioavailability. For example, due to  
144 the physiological compatibility and solubilizing capacity, the PC-BS mixed micelle was found to be a  
145 good nanocarrier candidate to encapsulate SIL with high loading capacity. Yu et al. [31] prepared  
146 phospholipidic micelles mixed with BS (SPMM) to solubilize SIL in their inner hydrophobic cores.  
147 From pseudo-ternary phase diagram investigations, an optimum micellar formulation was capable  
148 to solubilize the drug up to 10 mg/ml, which was also found to double in the presence of PVP [32].  
149 An analogous nano-vehicle for the water-insoluble SIL purposely designed for parenteral application  
150 was proposed by Duan et al. [33], who first prepared a SIL-PPC equimolar complex by TFD method,  
151 which was then dissolved in anhydrous ethanol together with PPC and SDC at various molar ratios.  
152 After evaporation of the organic phase, the mixed micelles loaded with SIL were reconstituted by  
153 adding double distilled water. The optimal formulation led to a SIL loading efficiency of 14.43%,  
154 which corresponded to a drug solubility in water of  $10.14 \pm 0.36$  mg/mL.

155 A similar strategy was pursued by Xu et al. [34] who first prepared a SIL-PC complex at a mass  
156 ratio of 1:3 by TFD method, which was subsequently dissolved in anhydrous ethanol together with a  
157 water-soluble derivative of vitamin E (TPGS) and PC at ratios 4:1:20, w/w/w. After ultrasonication  
158 and further solvent evaporation, the resultant lipid film was hydrated in distilled water leading to a  
159 lipid suspension, which was sonicated until a translucent colloidal dispersion was obtained. The aim  
160 was to test the anti-metastatic effect of SIL in combination with TPGS co-entrapped in lipid  
161 nanoparticles, to suppress effectively the metastasis of breast cancer both *in vitro* and *in vivo*. The  
162 average particle size was about 45 nm with a zeta potential value of  $2.78 \pm 0.31$  mV. The encapsulation  
163 efficiency of SIL in lipid nanoparticles was approximately 99%. The *in vivo* results supported the  
164 potential use of SIL-TPGS loaded NPs as an anti-metastasis agent capable to inhibit the invasive and  
165 metastatic activities of breast cancer cells instead of acting a cytotoxic effect against them.

166 Other types of O/W microemulsions were formulated to incorporate 2% w/w of drug as a  
167 potential dermal delivery system. In particular, microemulsions containing IPM as oil phase  
168 emulsified with a 1:1 mixture of water-dispersible Labrasol® and HCO-40® nonionic surfactants and  
169 Transcutol® as cosurfactant, enhanced SIL solubility while maintaining adequate physical and  
170 chemical stability [35].

171 SIL-loaded lipid O/W emulsions were optimized by testing the emulsification properties of  
172 soybean lecithin as surfactant and Tween 80 as cosurfactant in combination with several food grade  
173 oils (soybean oil, castor oil, and olive oil) [36]. The authors reported that SIL was added to the lipid  
174 phase in soybean oil as 10% aqueous solution in 1 M NaOH up to an optimum drug loading of 1 %  
175 w/w to produce an emulsion stable for 35 days, constituted by oil droplets with size distribution  
176 range of 0.31-1.24  $\mu\text{m}$  and median diameter of 0.46  $\mu\text{m}$ . The *in vitro* drug release behaviour was faster  
177 from the SIL-loaded lipid emulsion as compared with a SIL propylene glycol solution.

178 To overcome the disadvantage of the administration of large volumes of (micro)emulsions per  
179 dose, several researchers focussed their studies on the design of preconcentrated organic liquid  
180 phases loaded with poorly water soluble drugs, capable of reconstituting the (micro)emulsion  
181 spontaneously once in contact with an aqueous milieu (gastric fluids after ingestion). The resulting  
182 formulation is commonly termed in literature as liquid Self-Emulsifying Drug Delivery System  
183 (SEDDS) [37,38]. The essential property that must be satisfied in the development of a liquid SEDDS  
184 formulation is that the drug must remain partitioned within the O/W droplets after dilution with the  
185 aqueous medium in the GIT. Otherwise, the drug could undergo an unwanted precipitation that  
186 would lead to poor bioavailability *in vivo*. Among the first documented studies on the application of  
187 SEDDS as potential nanocarrier to increase SIL solubilization and its oral bioavailability, we mention



188 the work by Wu et al. [39], who optimized the multi-component oily phase, ethyl  
189 linoleate/Tween80/ethyl alcohol, to solubilize SIL. The self-emulsifying properties of that system  
190 were tested upon titration with various aqueous media until a stable O/W microemulsion was  
191 obtained. During the titration, the samples were agitated gently in order to reach equilibrium quickly.  
192 Dilution volume had no significant effect on droplet sizes (mean value of dozens nm), which were  
193 found also unaffected by the increase of drug loading up to 100 mg of SIL per 1 g of oil phase. Relative  
194 SIL bioavailability after oral administration of the said lipid emulsion, improved approximately 1.88-  
195 and 48.82-fold that of drug dissolved in PEG 400 solution and aqueous suspension, respectively.

196 Another optimized SEDDS was prepared using 10 % GMO as the oil phase and 15% SIL, with  
197 37.5% of a surfactant mixture of TWEEN 20 and HCO-50 (1:1), and 37.5% Transcutol® as cosurfactant  
198 [40]. The authors evaluated also the SIL solubility in various solvents at 25°C. The O/W  
199 microemulsion was generated upon water addition until reaching a maximum water content of 95.4  
200 %. After aqueous dilution, the mean droplet size of the internal oil phase was about 67 nm. The release  
201 rate of the drug from the SEDDS measured through *in vitro* dissolution tests, was approximately 2.5  
202 times higher than that from the reference commercial product (Legalon®). After oral administration,  
203 SIL-loaded SEDDS showed a 360% higher bioavailability compared with the reference formulation.

204 In a similar subsequent investigation, Li et al. optimized SIL-loaded SEDDS formulations based  
205 on ethyl linoleate, Cremophor EL and ethyl alcohol, which were selected regarding the self-  
206 microemulsifying ability, solubilization ability, and reduced use of surfactant, [41]. The best  
207 combination of ingredients screened after a systematic pseudo-ternary phase diagram study, yielded  
208 a SIL solubility of 130.8 mg/mL homogeneously dispersed in small O/W droplets with mean size in  
209 the range 20 - 30 nm and no changes were detected at 40°C for 3 months. Both the *in vitro* release  
210 performance and *in vivo* bioavailability after oral administration of SIL from SEDDS were evaluated  
211 and compared with the commercial SIL preparation Legalon®. The relative drug bioavailability of  
212 SEDDS to commercial SIL suspension was 227%.

213 To overcome the side effects caused by high surfactant levels usually employed in SEDDS, Wei  
214 et al., designed a supersaturable SEDDS (S-SEDDS) formulations to improve SIL oral bioavailability  
215 [42]. It consisted of a reduced amount of surfactant in combination with HPMC added in the liquid  
216 SEDDS to induce a supersaturated state *in vivo* by preventing or minimizing the SIL precipitation.  
217 The authors evaluated also the SIL solubility in various oils, surfactants and cosurfactants at 25°C.  
218 Labrafac® CC showed the highest drug solubility and was selected as an oil phase for the formation  
219 of S-SEDDS. Cremophor RH 40 was chosen as a surfactant for its good emulsion-forming ability and  
220 smaller droplet size of the optimized SIL loaded emulsion (~ 50 nm), thanks also to the synergic effect  
221 of the compresence of Transcutol® and Labrasol® as cosurfactants. From *in vitro* studies, it was  
222 confirmed the stabilizing effect of HPMC in maintaining high SIL solution concentrations  
223 (supersaturated state). Precipitates collected after the *in vitro* tests from the S-SEDDS formulated with  
224 HPMC, were identified as amorphous SIL while crystalline precipitates were found when HPMC  
225 was absent in the formulation. Relative drug bioavailability after oral administration of a SIL dose of  
226 533 mg/kg was found higher for S-SEDDS than SEDDS, i.e., same formulation without HPMC. In  
227 particular,  $C_{max}$  was 16.1 µg/mL as compared to that of the SEDDS formulation (5.68 µg /mL), while  
228 AUC of SIL from S-SEDDS was approximately 3.0-fold higher than that of SEDDS.

229 Stable nanoemulsions for SIL delivering and containing Labrafac® as an oily phase, Solutol® HS  
230 15 as surfactant, Transcutol® as co-surfactant, and water as aqueous phase were developed by  
231 Adhikari et al. [43], to test the radioprotective potential of the SIL-loaded nanosuspensions against  $\gamma$ -  
232 radiation-induced oxidative damage in human embryonic kidney cells. The formulations were  
233 designed to act as SEDDS after water addition, until the oil phase was 10 – 15 % at the end of the  
234 dilution process. HEK cells viability upon treatment with variable concentrations of SIL-SEDDS and  
235 bare SIL suspensions as control was checked prior to irradiation. Radiation-induced apoptosis was  
236 estimated by microscopic analysis and cell-cycle estimation. The proposed formulation based on  
237 SEDDS technology to improve the SIL bioavailability was found radioprotective, supporting the  
238 possibility of developing new approaches to radiation protection via colloidal dispersions of SIL.

239 A very stable O/W nanoemulsion consisting of silymarin solubilized in nanosized oil droplets  
240 dispersed in an aqueous medium by a mixture of Tween 80 and ethanol as co-surfactant, was  
241 optimized through a systematic investigation on experimental pseudo-ternary phase diagrams [23].  
242 Among various tested oils such as OA, IPM, Triacetin, the highest value of SIL solubilisation was  
243 observed in Sefsol-218 ( $183.375 \pm 0.0036$  mg/mL), which was selected for the nanoemulsion  
244 formulation at 5% w/w in water. After oral administration of SIL solubilized in the nanoemulsion,  
245 AUC and  $C_{max}$  were, respectively,  $199.45 \pm 56.07$   $\mu\text{g h/mL}$  and  $31.17 \pm 7.56$   $\mu\text{g/mL}$ , namely, 4-fold and 6-  
246 fold higher than those of the correspondent drug aqueous suspension.

247 A SIL-loaded liquid nanoemulsion was formulated by Yang et al. [44], using the SPG membrane  
248 emulsification technique and then spray-dried to obtain solid state nanoparticles. Dissolution,  
249 bioavailability, and hepatoprotective activity *in vivo* were assessed by comparison with a  
250 commercially available SIL-loaded product. Optimal formulation was composed by SIL, castor oil,  
251 PVP, Transcutol<sup>®</sup>, Tween 80, and water at the weight ratio of, respectively, 5:3:3:1.25:1.25:100. The  
252 mean sizes of the SIL-loaded nanoemulsion and nanoparticles obtained after spray-drying were  
253 about 170 and 214 nm, respectively. The SIL bioavailability after oral administration from the  
254 nanoparticles was about 1.3-fold higher than that obtained with a commercial product.

255 In a more recent investigation, SIL enriched nanoemulsions were formulated using different oils  
256 such as sunflower, EVO and castor oils, respectively, [45]. SIL solubility in castor oil was  $0.668 \pm 0.072$   
257 mg/g whereas in EVO and sunflower oils was rather lower. However, upon addition of Tween 80 in  
258 oil (10 mg/g), drug solubility increased up to reach 1-2 mg/g in the three tested oils. Coarse emulsions  
259 were first prepared by mixing 200 g oil+SIL with 1 L of aqueous phase and then subjected to HPH,  
260 giving rise to final droplet sizes in the range 200-300 nm. Moreover, it was found that the greater the  
261 oil susceptibility to oxidation, and thus the formation of oxidation products, the greater the SIL  
262 degradation incorporated into nanoemulsions.

263 In another recent study, Nagi et al. employed a Box-Behnken statistical design (BBD) to optimize  
264 SIL-loaded nanoemulsions using Capryol 90 as oil phase capable of solubilizing SIL up to  $40.00 \pm 1.53$   
265 mg/mL, in terms of various factors such as processing pressure and number of cycles of HPH  
266 technique and amount of surfactant/cosurfactant mixture [46]. The non-ionic hydrophilic surfactant  
267 Solutol<sup>®</sup> HS 15 was selected due to its high capacity to solubilize hydrophobic drugs and low toxicity  
268 ( $LD_{50} > 20$  mg/kg) [47], and used in combination with Transcutol<sup>®</sup> selected as cosurfactant on the  
269 basis of the good miscibility with Capryol 90 and Solutol<sup>®</sup> HS 15. The optimal nanoemulsion  
270 satisfying the criteria of low droplet size and low PDI, necessary for high drug release potential and  
271 drug permeation through the GIT membrane, was characterized by nanodroplets of about 50 nm  
272 (PDI: 0.45) and zeta potential -31.49 mV. The values of AUC and  $C_{max}$  of that nanoemulsion  
273 formulation after oral administration were found equal to  $28.69 \pm 3.28$   $\mu\text{g h/mL}$  and  $3.25 \pm 0.48$   $\mu\text{g/mL}$ ,  
274 respectively, i.e., 1.9-fold and 2.7-fold higher than those of a marketed drug suspension.

275 In a recent study [48], it was reported that a SIL commercial extract could be completely  
276 solubilized at the dosage of 40 mg/mL in a nanoemulsion formulated using 2.5 g of Labrasol<sup>®</sup> (20%)  
277 as the oil phase and 2.5 g of Cremophor<sup>®</sup> EL/Labrafil<sup>®</sup> as the surfactant/cosurfactant mixture in a 1.5:  
278 1 ratio, the remaining mass being deionized water (60%). Besides, the authors determined selectively  
279 the solubility of the main constituents identified in SIL extract, such as, TXF, SILcr, SIL and isoSIL, in  
280 various oils, surfactants, and cosurfactants to ascertain the appropriate components of the  
281 nanoemulsions. The SIL extract loaded within O/W nanodroplets showed excellent physical and  
282 chemical stability, as the size (30-40 nm) and PDI (0.114-0.179) were unaffected and no degradation  
283 of active constituents was recorded over 40 days of observation. *In vitro* permeation studies were  
284 performed to determine the suitability of the prepared nanoemulsion for oral delivery.

### 285 286 3.1.2. Liposomes

287 Liposomes are hollow spherical nanoparticles with a closed shell of a lipid membrane (mono- or  
288 multi-layer), inside of which an aqueous solution can be encapsulated. These supramolecular  
289 aggregates owe their success as carriers of therapeutic drugs for many advantages including the  
290 capability to encapsulate both hydrophilic and lipophilic drugs, having targeting and controlled

291 release properties, cell affinity, tissue compatibility, reduced drug toxicity and improved drug  
292 stability [49]. Moreover, liposomal systems are known to find an immediate access to the reticulo-  
293 endothelial system (RES) rich sites like liver and spleen, and this self-targeted nature of liposomal  
294 carriers can be exploited well for drug distribution to hepatic site. During the researches, the  
295 conventional structures of the liposomes have been subjected to several changes, which have brought  
296 out a series of new type liposomes, such as long-circulating stealth liposomes, stimuli-responsive  
297 liposomes, cationic liposomes and ligand-targeted liposomes. Liposomes can be prepared using a  
298 wide range of methods, such as thin-film dispersion (TFD), reversed-phase evaporation (RPE),  
299 alcohol injection, and spray-freeze-drying. Other strategies comprise proliposomal formulation, with  
300 the use of a cryoprotectant and high process temperature, and supercritical fluid of carbon dioxide  
301 method (SCF-CO<sub>2</sub>), which is a flexible and environmental-friendly technique by which particle sizes  
302 and shapes can be controlled by tuning the experimental conditions (temperature and pressure).

303 One of the first liposomal formulation of SIL reported in literature dates back to the early 2000s.  
304 The study addressed by Maheshwari et al. [50], focused on the development of factors such as the  
305 drug to lipid ratio, the proportion of CHOL and presence of the charge inducer DCP in the  
306 optimization of the formulation loaded with SIL. The highest drug entrapment of 94.7 % was  
307 achieved in the formulation with SIL / PC / CHOL / DCP ratio of 2 : 10 : 2 : 1. The obtained size range  
308 of SIL loaded liposomes (56–1270 nm with median diameter of 390 nm) was suitable for i.v.  
309 administration for hepatoprotective studies in mice. A drug leakage of about 40 % was observed in  
310 28 days as well as evident aggregation phenomena recorded after 3 weeks of the preparation.

311 To overcome instability problems that commonly occur in the GIT and improve the poor  
312 aqueous solubility of SIL, El-Samaligy et al. [51], investigated the feasibility of encapsulating the drug  
313 in a liposomal dosage-form for buccal administration via spray. Liposomes were prepared by RPE  
314 method using a base lipid mixture of soybean lecithin and CHOL in a 9:1 optimized molar ratio. In  
315 addition to the basic liposome ingredients various additives were gradually introduced, such as  
316 positively (SA) or negatively (DCP) charge inducers and non-ionic surfactants (Tween 20 or Tween  
317 80). At the end of a multifactorial screening, an optimal composition for hybrid liposomes was  
318 derived as lecithin / CHOL / SA / Tween 20 at 9:1:1:0.5 molar ratios, which warranted both best SIL  
319 encapsulation efficiency of about 69% and high *in vitro* absorption and permeation performances [52].

320 In order to improve the stability of liposomal nanocarriers and enhance the SIL encapsulation,  
321 Xiao et al. [53] adopted the strategy of “proliposomes”, which are defined as dry, solid particles that  
322 form a reconstituted liposomal suspension when put in contact with water [54]. The SIL-  
323 proliposomes were prepared by film-deposition using mannitol as carrier and a mixture of methanol  
324 and chloroform (2:1 v/v) as the apolar medium to dissolve SIL and phospholipids. The content of SIL  
325 in the proliposomes was 9.73 % (w/w). In the reconstituted liposomal suspension, the mean particle  
326 size was 196.4±43.7 nm while a mean value for SIL entrapment efficiency was 92.56±0.93%. After oral  
327 administration in beagle dogs of SIL entrapped in the reconstituted liposome suspensions (drug  
328 equivalent to 7.7 mg kg<sup>-1</sup>), the pharmacokinetic parameters AUC and C<sub>max</sub> were, respectively,  
329 2.46±0.58 µg h/mL and 0.47±0.13 µg/mL.

330 The proliposome nanotechnology was also exploited to improve the water solubility and  
331 bioavailability of 2,3-dehydrosilymarin, an oxidized form of SIL characterized by significantly greater  
332 antioxidant and anti-cancer activity than the reduced precursor [55]. Hence, the 2,3-  
333 dehydrosilymarin-loaded proliposome powder was produced by the TFD-freeze drying method  
334 obtaining a polyphase dispersed system composed of phospholipids, CHOL, IPM and sodium  
335 cholate, with optimal drug-lipid ratio set to 1:3 [56]. The correspondent drug content after  
336 reconstitution with water was 25.00±5.93 µg/mL, yielding an encapsulation efficiency of 81.59%±0.24,  
337 which was predominately dependent on the drug/phospholipid and sodium cholate/phospholipid  
338 ratios. The improved oral absorption in rabbits was ascribed to the relatively small size of liposomes  
339 distributed in the range 7 - 50 nm and average diameter of about 16 nm. The AUC and C<sub>max</sub> were  
340 approximately 2.29-fold (12.77±1.39 µg h/mL) and 4.96-fold (2.83 µg/mL) higher than those of the  
341 simple 2,3-dehydrosilymarin suspension.

342 SIL-loaded PEGylated liposomes decorated with the hepatic targeting ligand Sito-G (a  
343 carbohydrate epitope) were prepared by TFD method, [57]. The liposomes contained fixed 2:1 molar  
344 ratios of HSPC and CHOL with or without PEG as well as a varying concentration of Sito-G to check  
345 its optimal amount for improving the targeted delivery of the encapsulated SIL to hepatic target cells.  
346 The combination of relatively high melting point HSPC with a high percentage of CHOL provided  
347 liposomes with very rigid lipid bilayers. The obtained multilamellar vesicles were exposed to several  
348 freeze-thaw cycles in which the liposomes were freeze-dried in liquid nitrogen for 2 min and defrost in  
349 warm water bath for 2 min. The submicron-sized liposomes were prepared by using a pressurized  
350 extruder with two polycarbonate membrane filters with pore size of 100 nm. The final lipid  
351 concentration in the liposome formulations was 4 mg/ml. The particle size distribution of the  
352 liposomes with mean values in the range 145-168 nm showed very good homogeneity (PDI 0.15-0.3).  
353 An acceptable drug encapsulation efficiency was recorded for all tested formulation (about 60 % in  
354 average), although high contents of Sito-G were found detrimental to the stability of liposomal  
355 membrane, thus leading to a decrease in the encapsulation efficiency. A systematic investigation of  
356 the *in vitro* release profiles performed with the dialysis method (37 °C in HEPES buffer, pH = 7.4),  
357 indicated that PEGylated liposomes exhibited a sustained release of SIL as compared to non-  
358 PEGylated liposomes. On the other hands, PEGylation of liposomes equipped with Sito-G manifested  
359 a reduced SIL cellular uptake with HepG2 cells compared to non-PEGylated nanocarriers.

360 A more recent development of using PEGylated liposomes to improve the SIL bioavailability is  
361 reported in the work published by Ochi et al. [58], who demonstrated a synergic effect on the liver  
362 cancer cell line HepG2, provided by the co-encapsulation into PEGylated nano-liposomes of SIL and  
363 GA. The liposomal suspensions, prepared by TFD method followed by sonication, were formulated  
364 with SIL and GA at a 1.74:1 molar ratio together with a mixture of DPPC, CHOL, and mPEG2000-  
365 DSPE at a specified molar ratio. Scanning Electron Microscopy analyses showed that the co-  
366 encapsulated nano-liposomes had a mean diameter of 43 nm while zeta potential was -23.25 mV,  
367 sufficient to inhibit liposome aggregation.

368 Beside to enhance the SIL bioavailability, the liposomal formulation developed by Kumar et al.  
369 [59], was designed to promote the regeneration of hepatocytes and to prevent inflammation in liver.  
370 The drug entrapment efficiency of liposomes prepared with the TFD technique, was found to be  
371 maximum (55 %) for formulation containing SPC and CHOL at molar ratio 6:1. The optimal liposomal  
372 formulation yielded a 3.5-fold higher bioavailability of SIL (AUC  $0.500 \pm 0.023 \mu\text{g h/mL}$ ) than the  
373 correspondent drug suspension. Likewise,  $C_{\text{max}}$  was found 5.25-fold ( $0.716 \pm 0.043 \mu\text{g/mL}$ ) higher than  
374 the SIL suspension. Analysis of *in vivo* studies suggested that SIL encapsulated in said liposomal  
375 carriers might have targeted inflammatory cells resulted in increased anti-inflammatory activity.

376 A slightly different approach was explored by Angelico et al. [60] in the preparation of liposomes  
377 loaded with SIL-phytosome rather than using the commercial purified SIL extract in the formulation.  
378 The phytosome unit is a molecular complex between phospholipids and standardized polyphenolic  
379 constituents in a 1:1 or 2:1 molar ratios [61], and according to numerous studies, it proved to be more  
380 bioavailable compared to the purified molecular extracts though most of formulations have been  
381 addressing to orally and topically drug administrations [62,63]. The addition of lecithin in the starting  
382 lipid film based on SIL-phytosome such that the final phospholipid/SIL ratio reached 6:1, yielded  
383 stable phyto-liposomes with the suitable surface charge and average dimensions in view of a  
384 potential parenteral *i.v.* use, where the nanoparticle size is a critical parameter to be controlled. The  
385 cellular uptake of SIL encapsulated into phyto-liposomes and its antiviral activity were also tested *in*  
386 *vitro* with Huh7.5 cells [64]. The data clearly demonstrated that the cell absorption was 2.4-fold more  
387 efficiently than free SIL, and 300-fold more potent pharmacological activity. It is worth noting that  
388 the phyto-liposomes were able to reduce the hepatitis C virus infection by inhibiting the entry of viral  
389 particles into cells.

390 Methods that use SCF-CO<sub>2</sub> technique have been also employed for the preparation of SIL-loaded  
391 liposomes. In particular, the solution-enhanced dispersion by supercritical fluids (SEDS) has been  
392 adopted to produce liposomes constituted by HSPC and SGC as base lipid ingredients, [65]. The  
393 optimized product provided better performances than liposomes prepared with more conventional



394 methods such as RPE or TFD. Based on the analysis of drug release profiles *in vitro*, the novel  
395 formulation improved the SIL solubility. *In vivo* tests showed an improved oral bioavailability of  
396 drug administered in liposomes containing BS (AUC  $18.406 \pm 1.481$   $\mu\text{g h/mL}$ ;  $C_{\text{max}}$   $1.296 \pm 0.137$   $\mu\text{g/mL}$ )  
397 compared to an aqueous suspension or a commercial SIL product.

398 A recent study reported on the effect exerted by SIL contents and type of BS on the drug  
399 encapsulation efficiency in SIL-loaded BS-liposomes (bilosomes) prepared with TFD method [66].  
400 The obtained vesicle dispersions were characterized by highly negatively charged zeta-potential  
401 values compared to an analogous preparation formulated with CHOL in place of BS. Considering  
402 particle dimensions, BS/liposomes containing SC exhibited the largest particle diameter ( $595.1 \pm 98.48$   
403 nm) among all BS investigated. Among all the screened formulations, the optimum composition in  
404 terms of highest SIL-entrapment efficiency (84.54 %) corresponded to a lipid molar ratio 4:1 for SPC  
405 / SC system. *In vitro* release studies revealed biphasic pattern of all formulations while *in vivo*  
406 investigations revealed that bilosomes showed a pronounced effect in retaining the hepatoprotective  
407 as well as oxidative stress biomarkers to their normal levels against  $\text{CCl}_4$  induced hepatotoxicity.

### 408 3.1.3 Solid-Lipid Nanoparticles (SLNs), Nanostructured Lipid Carriers (NLCs)

409 Solid lipid nanoparticles (SLNs) are the first generation of lipid-based nanocarriers that are  
410 formulated from lipids, which are solid in the body temperature and stabilized by emulsifiers [67].  
411 Emulsomes are special case of SLNs, considered as the solid state version of common unilamellar  
412 and multilamellar lipid vesicles, i.e., nanoparticles with an internal solid fat core surrounded by one  
413 or more phospholipid layers [68]. For an exhaustive discussion about pros and cons occurring in the  
414 use of this type of lipid nanocarriers see, e.g., a recent review by Ghasemiyeh et al. [69]. Differently  
415 from matrices having either solid (SLNs) or liquid lipids (LEs) as core composition, the  
416 nanostructured lipid carriers (NLCs), which belong to the second generation of lipid nanoparticles,  
417 represent hybrid formulations prepared by blending solid lipids and liquid lipids, thus resulting in  
418 a less ordered inner structure [70].

419 Considering the application of these drug delivery nanotechnologies as nano-vehicles for SIL, in  
420 an interesting work by Shangguan and coworkers [71], it was reported the performance in animal  
421 models of both SIL-loaded SLNs and SIL-loaded NLCs, by comparing their oral bioavailability with  
422 that of their lipolysate counterparts and fast-release formulations. The goal was to determine whether  
423 and to what extent the integral lipid nanoparticles contribute to the overall bioavailability of SIL  
424 selected as a poorly water-soluble model drug.

425 In an earlier study, SIL-loaded SLNs were developed using the emulsifiers Compritol 888 ATO,  
426 soybean lecithin and poloxamer 188 and both hot and cold variants of the HPH method were  
427 compared each other to check differences in drug incorporation modes and release mechanisms [72].  
428 From the analysis of results obtained by centrifugal ultrafiltration method, the SIL encapsulation  
429 efficiency in the cold preparation reached 87% with a fraction of adsorbed drug of about 8%, while  
430 for hot homogenization the entrapment efficiency was 43% and the fraction adsorbed was 54%. The  
431 *in vitro* tests carried out by reverse dialysis bag technique at pH 7.4, showed a prolonged drug release  
432 for SIL-SLNs produced by cold homogenization. *In vivo* studies confirmed the trend showing higher  
433 drug levels and longer residual time in the plasma and liver after oral administration of the cold  
434 preparation compared to SIL aqueous suspension.

435 Experimental evidences of the anti-hepatotoxic property of SIL encapsulated in SLNs were  
436 reported in Cengiz et al. [73], who tested their formulations against the liver damage induced in  
437 model animals by the administration of a combination of the hepatotoxin D-GaIN and  $\text{TNF-}\alpha$ . The  
438 solid lipid nanosuspensions based on Compritol and Tween 80, respectively, lipid matrix and  
439 surfactant, were prepared through the hot homogenization technique. The resultant colloidal SIL-  
440 loaded dispersions were characterized by particle sizes varying in the range 165 - 200 nm with zeta  
441 potential of  $-26.5$  mV. Both *in vitro* and *in vivo* tests demonstrated that SIL-loaded SLNs were found  
442 to be more effective than bare drug as control in curing liver damage, mainly owing to the slow and  
443 regular release of SIL by NPs, which in turn could have increased the drug bioavailability and then  
444 its therapeutic effects.

445 To reduce the disadvantages due to the elimination from blood circulation of SLNs by the  
446 reticuloendothelial system (RES), Zhang et al. [74] proposed a novel formulation based on stearic acid  
447 covalently grafted with PEG 1000 (Brij 78) as stealth agent to form a hydrophilic steric barrier around  
448 the SLNs. SIL was incorporated into stealth SLNs according to the EES method, which consisted in  
449 the preliminary preparation of a lipid emulsion, obtained by adding dropwise an organic phase of  
450 steric acid and SIL dissolved in acetone to an aqueous phase containing the non-ionic surfactant Brij  
451 78. After removing the organic solvent by evaporation, the resulting emulsion system was quickly  
452 added to a given volume of cold distilled water to obtain a final suspension of SIL-loaded SLNs. The  
453 authors observed that the rate of addition of organic phase to the aqueous phase was the crucial step  
454 in preparing the emulsion. A systematic investigation was carried out in order to optimize the drug  
455 entrapment efficiency and the homogeneity of particle size distributions. The mean particle size of  
456 the optimized formulation was 179 nm (PDI: 0.168) and zeta potential of -25 mV, which represented  
457 quality values of good stability. *In vitro* assays by dialysis method revealed a very slow drug release,  
458 a property considered beneficial for SIL bioavailability after oral administration.

459 Thanks to the good biocompatibility and biodegradability, the emulsomes nano-encapsulation  
460 technology has been recently applied to provide particle stability, high entrapment efficiency, and  
461 sustained SIL release, [75]. The preparation followed the TFD method with the variant of choosing a  
462 solid lipid matrix at r.t. such as triglycerides composed of natural unbranched fatty acids or glycerol  
463 triesters of saturated fatty acids (e.g. trilaurin), in place of biocompatible oils as internal phase in  
464 which SIL has to be dissolved. Other ingredients were CHOL and Tween 80 mixed together with  
465 trilaurin and PC in chloroform solution. The film obtained after solvent removal was hydrated and  
466 homogenized by ultrasonication to obtain SIL-emulsomes, which were characterized by average  
467 particle diameter of about 364 nm and zeta potential of -34 mV. SIL-emulsomes exhibited sustained  
468 release *in vitro* and better pharmacokinetic parameters *in vivo* compared with SIL solution as control.

469 Regarding the application of NLCs to entrap efficiently SIL and thus increase its bioavailability  
470 and consequent therapeutic activity, the specific literature is plenty of various studies such as the one  
471 published by Jia et al. [76], who explored the potential of NLCs for the intravenous (i.v.) delivery of  
472 SIL. For the preparation of SIL-NLCs with the method of emulsion evaporation at a high temperature  
473 and solidification at a low temperature, GMS was used as solid-lipid ingredient while MCT was  
474 selected as liquid-lipid material. First, a nanoemulsion was obtained by adding dropwise a hot  
475 alcoholic solution of SIL, lipids (GMS and MCT) and lecithin, to an aqueous phase containing 1.5%  
476 pluronic F68 under mechanical stirring. Then, the nanoemulsion was quickly dispersed into cold  
477 distilled to promote the SIL-loaded NLC dispersion. The mean particle size was about 230 nm and  
478 zeta potential -20.7 mV. It was also observed that the drug entrapment efficiency and drug loading  
479 of nanoparticles increased from 72.31 to 96.87% and from 3.63 to 4.84%, respectively, with the increase  
480 of MCT % from 0 to 30 wt% [77]. From *in vitro* studies, a burst drug release was detected at the initial  
481 stage due to a fraction of SIL loaded into the liquid-lipid-enriched outer layers of NLCs. Afterwards,  
482 a more prolonged drug diffusion occurred when the SIL fraction dispersed into the nanoparticle core  
483 was gradually released by erosion of the lipid matrix. Moreover, SIL-NLCs showed higher AUC  
484 values and a prolonged residence time in the blood circulation compared with SIL solution as control.

485 More recent improvements in the formulation of SIL-NLCs consider several variants of  
486 preparation, such as hot HPH method [78], emulsification and ultrasonication method [79], and  
487 solvent diffusion followed by ultrasonication to develop SIL-NLCs gel for epidermal tissue  
488 deposition enhancement, [80]. Finally, SEDDS and NLCs were employed to enhance SIL oral  
489 bioavailability at level of gastrointestinal membrane and treat obesity-induced NAFLD [81].

### 490 3.2. Polymer-based delivery agents

491  
492  
493 In literature exists a variety of solutions designed by researchers to effectively encapsulate SIL  
494 in biocompatible and biodegradable polymeric nanosystems such as polymeric micelles, composites  
495 and solid nanodispersions. Altogether they represent a very efficient strategy by which a poorly

496 water-soluble drug can be dispersed into an inert hydrophilic polymer matrix. As the polymeric  
497 erosion progresses, the loaded drug is released in the form of very fine particles for rapid dissolution.  
498

### 499 3.2.1. Inclusion in polymeric matrices

500  
501 The choice of the formulation method is fundamental to optimize the performance of the final  
502 product as described by Sonali et al. [82], who compared kneading, spray drying and co-precipitation  
503 techniques in the preparation of SIL-loaded solid dispersions using HPMC as a hydrophilic  
504 polymeric carrier. *In vitro* studies suggested the following enhancement in SIL dissolution compared  
505 to pure drug: co-precipitation (2.5 fold) > spray drying (1.9 fold) > kneading (1.5 fold).

506 A novel SIL-based formulation for the treatment of atopic dermatitis (AD) was designed by  
507 using pluronic-lecithin organogels, owing to their biphasic composition and versatility as  
508 transdermal and topical drug delivery systems [83]. The tested formulations contained 20% oil phase  
509 (lecithin / IPM) and 80% aqueous phase (pluronic). The high penetrating ability and hydration effect  
510 of the organogel base, provided a significant improvement in the signs and symptoms of AD patients.

511 Nguyen et al. [84] developed a high-payload supersaturating delivery system by preparing SIL-  
512 chitosan nanoparticles from a drug-polysaccharide complexation (nanoplex). At the optimal pH and  
513 chitosan-to-SIL charge ratio, the size and zeta potential of nanoplex were, respectively, 243 nm and  
514 21 mV, while the complexation efficiency and yield were obtained in the range 83-87% and 55-63%,  
515 respectively. The nanoplex stability after either short or long-term storage and prolonged  
516 supersaturation in the presence of HPMC, demonstrated its feasibility as a new strategy for  
517 improving SIL bioavailability.

518 Liquid crystalline (LC) cubosomes coupled to P407 have been also formulated to enhance the  
519 oral bioavailability of SIL [85]. The LC matrix system was prepared by a melting/congealing method  
520 with GMO to P407 ratio of 100 : 12 at which cubic LC phases formed upon hydration. The amounts  
521 of drug dispersed as amorphous state in the matrices were fixed within the range 2-8%. SIL entrapped  
522 into the GMO-P407 LC system, manifested a 3.5-fold increase in bioavailability after oral  
523 administration as compared with a commercial drug formulation.

524 An increase of SIL aqueous solubility by almost 650-fold compared to bare drug powder was  
525 achieved by incorporating the drug into a solid dispersion, prepared by spray-drying SIL aqueous  
526 suspensions in presence of a surfactant-polymer mixture [86]. This strategy, beside using water  
527 instead of the organic solvent, led to a reduced ratio of hydrophilic polymeric carrier and drug in the  
528 final solid dispersion. A series of 1% aqueous solutions of biocompatible polymers and surfactants  
529 were tested to evaluate their capacity as SIL carriers in enhancing the aqueous solubility of the drug.  
530 PVP and Tween 80 provided the highest drug solubility of about 800 mg/ml and 2500 mg/ml,  
531 respectively, and were selected for preparing SIL-loaded solid dispersions. The optimised  
532 SIL/PVP/Tween 80 formulation (5 : 2.5 : 2.5, w/w/w), combined both relatively smaller amounts of  
533 carriers and increased drug solubility and dissolution. Compared to a commercial product, the  
534 proposed solid dispersion improved the oral bioavailability of the drug in rats by almost 3-fold and  
535 also exhibited advanced hepatoprotective bioactivity.

536 NPs formulated by loading SIL into the cationic copolymer Eudragit, were prepared by  
537 nanoprecipitation technique using PVA as a stabilizer to investigate their anticancer efficacy in oral  
538 carcinoma (KB) cells [87] and their ability to reverse the fibrosis-induced cholestasis in rats [88].

539 Another recent investigation reports the formulation of Eudragit-based SIL-loaded NPs,  
540 prepared by nanoprecipitation technique utilizing different PVA concentrations at various  
541 organic/aqueous phase ratios. The formulation of suitable particle size of about 85 nm, entrapment  
542 efficiency of 83.45% and *in vitro* 100% drug release after 12 h, was selected for *in vivo* hepatoprotective  
543 activity and toxicity studies [89].

544 One of the recently published research reports a formulation composed by SIL-PVP-PEG (0.25 :  
545 1.5 : 1.5, on weight basis) in form of polymeric composite, which promotes an increase in the SIL  
546 solubility of more than 24 mg / ml and an excellent dissolution profile [90]. The enhancement in drug  
547 solubility and dissolution has been attributed to a better SIL wetting driven by the hydrophilic

548 polymers, complete conversion of the crystalline components into the amorphous state and  
549 molecular level homogeneousness of SIL, PVP and PEG in the resulting polymeric composite.

550

### 551 3.2.2. Dendrimers and polymeric NPs

552

553 SIL water solubility was significantly improved by embedding the drug in nano-containers  
554 made by dendritic macromolecules such as PAMAM [91]. In particular, both amine-terminated full  
555 generation (G2 and G3) and ester-terminated half-generation (G1.5 and G2.5) PAMAM dendrimers,  
556 were tested for their potential use as SIL solubility enhancers [92]. Low molecular weight drugs such  
557 as SIL, may be encapsulated into the inner core of PAMAM dendrimers or interact with their  
558 positively charged surface groups. The estimated number of SIL molecules incorporated into  
559 dendrimers ranged between 20 and 32 for G2 and G3 while for G1.5 and G2.5 it was comprised  
560 between 4 and 6. The analysis of pharmacokinetic experiments and oral bioavailability data  
561 demonstrated that drug-dendrimer complex could improve the oral absorption of SIL. One of the  
562 open question is the mechanism whereby PAMAM dendrimers increase the small intestinal  
563 absorption of the drug. Probably more than one mechanism, such as the effect of paracellular  
564 transport of drug-dendrimer complexes through the epithelium, improved contact with the  
565 epithelium itself and higher absorption through the endocytosis process, could contribute to the  
566 greater oral bioavailability of SIL vehicled by PAMAM dendrimers.

567 SIL solubilization into polymeric micelles was achieved using an amphiphilic derivative of the  
568 Carboxy-Methyl-Chitosan (CMCHS) synthesized by Sui et al. [93]. At the concentration of 10 mg / ml  
569 of polymer, the concentration of solubilized SIL increased more than 13 times that pure drug  
570 dissolved in water. SIL-loaded polymeric aggregates were found much bigger and polydisperse than  
571 blank micelles. *In vitro* tests showed a slow SIL release from micellar solution, lasted up to 40 h.

572 Polymeric PLGA nanoparticles, prepared by the single ESE technique, have been also used to  
573 solubilize SIL as described in ref. [94]. Then, the SIL-loaded PLGA NPs were encapsulated into a  
574 calcium-crosslinked alginate matrix to obtain a series of biodegradable pH-responsive hydrogel  
575 microparticles. The developed particles showed promising biodegradability and sustained SIL  
576 release profiles, as well as improving overall drug dissolution.

577 SIL NPs were formulated by ESE technique using poly- $\epsilon$ -caprolactone as a biodegradable  
578 polymer to achieve sustained release, improvement in bioavailability as well as enhancement of liver  
579 protection following intravenous administration [95]. The mean particle size and encapsulation  
580 efficiency ranged from 130 - 430 nm and 91 - 95 %, respectively, depending on polymer concentration.  
581 Increase in polymer content led also to delayed drug release due to increase in particle size. Both *in*  
582 *vitro* and *in vivo* tests suggested that the developed SIL NP formulation may be useful in the treatment  
583 of cirrhosis and fibrosis diseases.

584 Among various methods used in the preparation of chitosan nanoparticles, namely,  
585 microemulsion, emulsification/solvent diffusion, polyelectrolyte complex and ionic gelation, the  
586 latter was chosen by Pooja et al. [96], to prepare chitosan-TPP nanoparticles for oral delivery and to  
587 evaluate the potential of encapsulated SIL for anticancer activity. In this method, chitosan was  
588 dissolved in 1% (v/v) acetic acid and SIL dissolved in acetone was added drop wise to polymer  
589 solution, then TPP to allow spontaneous formation of nanoparticles. The optimal formulation was  
590 characterized by an entrapment efficiency of about 83 % with particle size of 264 nm (PDI < 0.3) and  
591 zeta potential of 37.4. SIL was amorphous and homogenously dispersed in polymeric matrix, and no  
592 chemical interactions between drug and chitosan were deduced from the analysis of FTIR spectra.  
593 Cytotoxicity studies, revealed that SIL entrapped in chitosan NPs was more effective than free drug.

594 In a different study, SIL NPs were prepared by Snima et al. [97] through ESE method using  
595 PLGA as polymeric nanocarrier. The particle hydrodynamic diameter was about 220 nm and SIL  
596 entrapment efficiency was 60%. *In vitro* tests showed a slow and sustained drug release profile at  
597 physiological conditions. Moreover, the NPs with desired drug release kinetics were capable of  
598 delivering SIL into prostate cancer cells to induce differential anticancer effect.



599 Guhagarkar et al. [98] reported the design of PolyEthylene Sebacate (PES) NPs functionalized  
600 with the polysaccharide Pullulan (PUL) as hepatic targeting agent. SIL was entrapped into the NPs  
601 prepared by nanoprecipitation method giving rise to final the PES-SIL-PUL biodegradable polymeric  
602 nanocarrier. The entrapment efficiency was about 43% with mean particle size of 283 nm. The liver  
603 protection activity was ascertained in a model of induced hepatotoxicity in rats, by detecting the  
604 reduction in levels of serum transaminase. Histopathological evaluation of liver tissues also  
605 confirmed the enhanced hepatoprotection upon oral administration of PES-SIL-PUL NPs.

606 Another formulation of SIL-loaded NPs with particle size of about 100 nm, was proposed by  
607 Zhao et al. [99] by ESE technique and freeze-drying method, using P188 as polymer. SIL NPs  
608 absorption in the organs was significantly higher than that of drug suspension and very high SIL  
609 concentrations were observed in the liver with a long retention time.

610 Ma et al. [100] used the Bletilla striata polysaccharide (BSP) modified with stearic acid to  
611 encapsulate SIL into self-assembled spherical NPs with a mean diameter of 200 nm. Compared to the  
612 drug suspension, the developed formulation with SIL encapsulation efficiency of about 80%, was  
613 able to improve cytotoxicity and cell uptake in HepG2 cell lines *in vitro*.

614 In another biopolymer-based treatment, the incorporation of SIL in nanoparticles composed by  
615 water soluble chitosan and poly- $\gamma$ -glutamic acid ( $\gamma$ -PGA), a natural anionic peptide produced by  
616 several *Bacillus* species, has been clearly revealed to be an effective approach for improving the drug  
617 solubility and its antimicrobial activity [101]. Hence, it was demonstrated that biodegradable films  
618 containing SIL NPs could efficiently control the growth of food microorganisms.

619 The hepatoprotective role of SIL entrapped into chitosan NPs (SIL-NPs) by ionotropic gelation  
620 method, and the anti-inflammatory effect of inulin nanoparticles (IN-NPs) synthesized using the  
621 emulsion method, were evaluated singly or in combination against hepatotoxicity induced by the  
622 mycotoxin Deoxynivalenol (DON) *in vivo* [102]. It has been shown that the combined treatment with  
623 SIL-NPs plus IN-NPs was able to overcome significantly the toxicity of DON in the liver.

### 624 3.3. Nanocrystals, nanosuspensions and nanohybrid DSs

625  
626 Drug nanosuspensions are sub-micron colloidal dispersions of pure drug particles, which are  
627 stabilized by surfactants or polymeric steric stabilizers [103]. Nano- and micronization technologies  
628 improve the oral bioavailability and dissolution rates and prolong the half-life of sparingly soluble  
629 drugs. For instance, Zhang et al. [104] applied the ESD method to produce uniform SIL nanospheres  
630 with a mean size of ~240 nm as well as micronized rod-shaped and spherical particles obtained by  
631 controlling the temperature and SDS concentration. X-ray powder diffraction (XRPD) investigations  
632 demonstrated a low crystalline state for the rod-shaped smaller particles, which in turn manifested a  
633 better dissolution property than the larger spherical ones. However, although the precipitation  
634 technology is simple and cost effective, the tendency of the pharmaceutical particles to grow, and the  
635 difficulty in inhibiting that growth, posed obstacles to their production at industrial level.

636 Wang et al. [105] used the HPH technology to design SIL nanosuspension formulations for oral  
637 and *i.v.* administrations with different particle sizes. XRPD and differential scanning calorimetry  
638 (DSC) experiments showed that the crystalline structure of SIL was not perturbed as a result of the  
639 homogenization and freeze-drying processes. *In vitro* dissolution profiles and solubility tests of  
640 various nanosuspensions, including un-milled commercial SIL and a physical mixture for  
641 comparison, yielded an increase in solubility and dissolution rate following the reduction of particle  
642 size as predicted by the Noyes-Whitney equation [106]. Such nanosuspensions were able to improve  
643 the permeability of the transport of SIL across the Caco-2 cell monolayer. *In vitro* results were further  
644 confirmed by the pharmacodynamics and tissue distribution studies in beagle dogs and mice [107].

645 An alternative methodology for the preparation of SIL-based nanodispersion with enhanced  
646 dissolution rate was performed by Cui et al., using a microchannel antisolvent precipitation  
647 combined with spray-drying [108]. This was the first work reporting SIL-loaded nanodispersion  
648 produced via microfluidics, enabling efficient control over the particle size, homogeneity, and drug  
649 release performance.  
650

651 The anticancer efficacy of SIL nanosuspensions was also tested by Zheng et al. [109], by carrying  
652 *in vitro* assays on human prostatic carcinoma PC-3 cell line. The HPH method has been recently used  
653 to test the feasibility of SIL nanocrystals as stabilizing agent of a Pickering emulsion of glyceryl  
654 monocaprylate oil droplets in water and provide a novel formulation free of any surfactants or  
655 polymer stabilizer [110]. The authors obtained flat spherical drug nanocrystals with mean particle  
656 size of 300 nm for homogenization pressure as high as 100 Mpa. Scanning electron micrographs  
657 supported a core-shell microstructure of the emulsion characterized by a core of oil saturated with  
658 SIL and a shell of SIL nanocrystals. Compared with SIL nanocrystalline suspension (SN-NCS), SIL  
659 nanocrystal self-stabilized Pickering emulsion (SN-SSPE) showed a better dissolution profile with a  
660 faster rate and more efficient dissolution. This difference was attributed to the fraction of drug  
661 dissolved in the oily phase of SN-SSPE, which could be released more easily than SN-NCS.

662 Yang et al. [111] applied the solution-enhanced dispersion approach by supercritical fluid  
663 (SEDS) technology using various polymeric excipients to produce SIL solid dispersions with  
664 improved dissolution and bioavailability of the active ingredient tested in rodents.

665 An example of nanohybrid materials for the advanced delivery of SIL in therapeutic applications  
666 is represented by SIL-loaded magnetite NPs ( $\text{Fe}_3\text{O}_4$ ) modified with PLGA-PEG copolymers as  
667 reported by Ebrahimnezhad et al. [112], to investigate their inhibitory effect on Telomerase  
668 expression in T47D human breast cancer cell line. The PLGA-PEG- $\text{Fe}_3\text{O}_4$  NPs with a SIL loading  
669 capacity of about 76%, besides being biocompatible, possessed the advantage of being directed into  
670 the target tissue by the action of an external magnetic field [113]. The cytotoxic effect on the T47D cell  
671 line increased with increasing the concentration of SIL-loaded NPs, demonstrating the feasibility of  
672 this nanodrug in down-regulation of Telomerase gene expression in cancer cells.

673 In a more recent formulation,  $\text{Fe}_3\text{O}_4$  NPs were coated with chitosan by the coprecipitation  
674 method and then loaded with SIL [114]. The capability to act simultaneously as drug nanocarrier and  
675 magnetic resonance imaging (MRI) contrast agent was tested through various methods and  
676 techniques. The zeta potential of bare magnetite NPs in aqueous dispersion changed from negative  
677 (-24.2 mV) to positive (+31.6 mV) values upon coating with chitosan, demonstrating the presence of  
678 terminal amino groups on the particle surface. The average particle size was about 18 nm with SIL  
679 entrapment efficiency of 95%. *In vitro* studies revealed a sustained drug release pattern.

680 Another interesting nanohybrid systems containing stimuli-sensitive components was reported  
681 by Fazio et al. [115], where SIL and gold nanocolloids synthesized by laser ablation were co-loaded  
682 into the PLGA-PEG copolymer in a single step procedure. The SIL-loaded PEG-PLGA-Au  
683 nanocomposite with a polymer/drug weight ratio of 50 : 5, was prepared by a modified emulsion-  
684 diffusion method. The hybrid NPs allowed SIL to be released in a controllable manner upon thermal  
685 activation of Au NPs incorporated in the polymer matrix, stimulated by irradiation of a red laser  
686 source of low power density ( $21 \text{ mW cm}^{-2}$ ), partially transparent to human flesh. The localized and  
687 intensive heating of Au NPs causes the thermal expansion of the polymer, with the consequent  
688 release of drug that starts to diffuse out. As a potential application of this nanocomposite, it was  
689 suggested the use of wirelessly controlled nanowires responding to an electromagnetic field  
690 generated by a separate device. This engineering system would eliminate the tubes and cables  
691 required by other implantable devices with the risk of infections and other complications, and  
692 activate the release of the drug near areas of the body that are often difficult to reach.

### 693 3.4. Nanostructured materials based on inorganic compounds

694 Inorganic nanomaterials are currently considered as powerful and very efficient drug carriers  
695 due to their versatile nanostructure, functional properties and controlled drug release behaviors.  
696 Moreover, they can exhibit excellent biocompatibility, biodegradation, *in vivo* stability, low  
697 cytotoxicity and nonimmunogenic profiles, thereby making these nanovectors an ideal candidate for  
698 oral and parenteral drug delivery [116]. One of the first applications appeared in the literature on the  
699 exploitation of these inorganic nanomaterials as carriers of the poorly soluble SIL, in order to improve  
700 its bioavailability was provided by Cao et al. by engineering porous silica nanoparticles (PSNs) [117-  
702

119]. They were prepared using a W/O microemulsion for the synthesis of monodispersed nonporous silica nanoparticles to form cores and an ultrasonic corrosion method to create regular nanometer-sized pores with sodium carbonate solution. SIL-loaded PSNs were obtained by immersing the porous NPs in SIL ethanol solution and stirring for 24 h, and after various treatments the entity of drug entrapment in PSN was quantified in about 69%. The mean hydrodynamic particle diameter was 56.2 nm, characterized by a unimodal and narrow size distribution. *In vivo* studies indicated multistage release pattern after oral administration of SIL-loaded PSNs, where an initial delay in the rate of drug absorption was followed by an enhanced extent of absorption with a high plasma concentration maintained even up to 72 h. Those results suggested that the PSNs could be used as a promising SIL nanovectors for sustained-release systems, satisfying the need for prolonged treatment after oral administration.

Commercially available carboxylated multiwalled carbon nanotubes (COOH-MWCNTs) [120], have been employed by Tan et al. [121] to covalently conjugate SIL for the advanced drug delivery in therapeutic applications. Drug release from the carbon nanotubes showed a sustained- and pH dependent behaviour while a significant *in vitro* cytotoxicity was expressed against two human cancer cell lines at lower concentrations of 1.56-6.25  $\mu\text{g}/\text{mL}$  when compared to free drug alone.

Other types of inorganic NPs, such as amorphous calcium phosphate (ACP) nanospheres and crystalline hydroxyapatite (HAP) nanorods, which enjoy favourable chemical properties similar to the inorganic constituents of natural bone tissue, were exploited by Chen et al. to encapsulate SIL [122]. SIL loading into ACP and HAP was achieved by immersion of both types of NPs, previously synthesized by polymeric micelle-templated technique, in SIL-containing ethanol solutions. Both ACP nanospheres and HAP nanorods manifested relatively high drug loading capacity of 900 and 825  $\text{mg g}^{-1}$ , respectively. The drug release in both simulated intestinal (SIF) and gastric (SGF) fluids of ACP and HAP delivery systems, exhibited a rapid SIL release at the early stage (<2h), followed by a slow and sustained release in a period of about 17h.

Finally, a novel micelle-templated synthesis of porous calcium phosphate microparticles was developed by Zhu et al. [123], to improve the delivery of the poorly water-soluble SIL *in vitro* and *in vivo*. In particular, a mixed micellar system composed by PVP/SC/phospholipid in suitable mass ratios, was adopted innovatively as a new type of template to fabricate the calcium phosphate micron-sized carriers. The drug encapsulation was proven to be incorporated into the porous structure of microparticles after the removal of the micellar template, thus leading to prolonged release *in vitro* and enhanced absorption *in vivo*. An excellent linear relationship was obtained between *in vitro* dissolution and *in vivo* absorption data, recorded in two media at different pH. This correlation could suggest the possibility to predict *in vivo* pharmacokinetic behavior through the observed *in vitro* release profiles.

### 3.5. Cyclodextrin inclusion complexes

Natural cyclodextrines (CDs) are widely used in pharmaceuticals, drug delivery systems, cosmetics, food technology and chemical industries. They can be found in commercially available medications, including tablets, eye drops, and ointments (see ref. [124] for a recent review on the subject). Formulations based on SIL inclusion complex with  $\beta$ -CD were reported by Ghosh et al. [125]. They were prepared by different methods, such as, physical mixing, kneading, co-precipitation and solvent evaporation. The inclusion complex prepared by the co-precipitation method led to best results regarding the drug sustained release performance. In another investigation, a lyophilized SIL-HP- $\beta$ -CD complex was prepared and evaluated *in vitro* by Kellici et al. [126], who performed detailed physicochemical studies on the SIL-CD interactions at the molecular level and testes the respective bioavailability on MCF-7 cancer cells. In a different study, SIL inclusion complexes with, respectively, HP- $\beta$ -CD and RAMEB were developed in order to improve SIL anti-fibrotic activity at a lower therapeutic dose of 50  $\text{mg}/\text{kg}$ , by increasing their potential solubilization and to prevent their metabolic degradation within the GIT after oral administration, [127].

755 **4. Conclusion and outlook**

756

757 The appearance of increasingly advanced and performing Silymarin-based formulations has  
 758 logically followed step by step the evolution of the nanotechnologies and nanosystems applied to the  
 759 delivery of poorly water-insoluble drugs and active principle ingredients. In the Table 1 the types of  
 760 nanocarriers reviewed in the previous sections have been systematically collected and linked to their  
 761 respective methods of preparation, reported bioactivity, route of administration and related  
 762 bibliographic sources. We hope that this study could represent a useful reference for a broad and  
 763 updated overview on the most efficient and relevant nanotechnologies aimed ultimately at  
 764 improving the therapeutic efficiency of Silymarin.

765

766 **Table 1.** This is a table. Tables should be placed in the main text near to the first time they are cited.

Type of Nanocarrier	Method of preparation	Bioactivity reported	Route of administration	reference
PC-BS Mixed micelles	TFD	Bioavailability studies	<i>In vitro</i> , oral	[31-33]
Lipid NPs	TFD	Inhibition of lung/ breast metastases	<i>In vitro</i> , i.p.	[34]
Microemulsion	aqu. titration	Dermal delivery system	topical	[35]
Emulsion / SEDDS	aqu. titration	Bioavailability / radioprotective	<i>In vitro</i> / oral	[36 ,39-43]
Nano-emulsion	aqu. titration / SPG / HPH	Bioavailability / hepatoprotective	<i>In vitro</i> / oral	[23, 44-46, 48]
Liposomes	ethanol injection / RPE / TFD / SEDS	Bioavailability / hepatoprotective / anti-inflammatory / anti-viral / uptake in Huh7.5	<i>In vitro</i> / oral / i.v. / buccal mucosa	[50-52, 59-60, 64-66]
Pro-Liposomes	TFD	Bioavailability / antioxidant / anti-cancer	<i>In vitro</i> / oral	[53, 56 <sup>a</sup> ]
PEG-Liposomes	TFD	Bioavailability / anti-cancer	<i>In vitro</i> / oral	[57-58]
SLN vs NLN	hot HPH	Bioavailability	<i>In vitro</i> / oral	[71]
SLNs	hot (cold) HPH/ EES / TFD	Bioavailability / hepatoprotective	<i>In vitro</i> / oral / i.v.	[72-75]
NLCs	ESE / hot HPH / emulsific. & ultrason. / solv. diff. / ultrason.	Bioavailability / tissue distribution studies / treatment of obesity-induced NAFLD	<i>In vitro</i> / oral / i.v.	[76-81]
Polymeric organogel / cubosomes	mix org./aqu. phases	treatment of atopic dermatitis	<i>In vitro</i> / topical	[83,85]
nanoplex	Chitosan complexation	Bioavailability studies	<i>In vitro</i>	[84]
Solid dispersion / polymeric composite	spray-drying / nanoprecipitation / solvent-evaporation	Bioavailability / hepatoprotective / anticancer in oral carcinoma	<i>In vitro</i> / oral	[86-90]



PAMAM dendrimers	complexation	Bioavailability studies	<i>In vitro</i> / oral	[92]
Polymeric micelles	ESE / nanoprecipitation / polyelectrolyte complex-ionic gelation	Bioavailability & cytotoxicity studies / hepatoprotective / uptake in HepG2 cells	<i>In vitro</i> / oral	[93-102]
Nanosuspensions / nanocrystals	ESD / HPH / SEDS / microfluidics	Bioavailability & tissue distribution studies / prostatic carcinoma	<i>In vitro</i> / oral	[104-105, 107-111]
nanohybrid materials	Coprecipitation / PLGA-PEG-Fe <sub>3</sub> O <sub>4</sub> / Chitosan-Fe <sub>3</sub> O <sub>4</sub> / PEG-PLGA-Au	down-regulation of Telomerase gene expression in breast cancer cells	<i>In vitro</i> / oral	[112, 114-115]
Porous silica NPs	W/O microemulsion	Bioavailability studies	<i>In vitro</i> / oral	[117-119]
carbon nanotubes	covalent conjugation	cytotoxicity studies / anticancer	<i>In vitro</i>	[121]
Ca-phosphate / hydroxyapatite	Polymeric micelle-template	Bioavailability studies	<i>In vitro</i>	[122-123]
β-CD inclusion complex	coprecipitation	Bioavailability on MCF-7 cancer cells / anti-fibrotic activity	<i>In vitro</i> / oral	[125-127]

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<sup>a</sup> The encapsulated drug is 2,3-dehydrosilymarin, an oxidized form of SIL

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- 770 **List of abbreviations:**
- 771 AUC = area under the plasma drug concentration-t curve;
- 772  $\beta$ -CD =  $\beta$ -cyclodextrin;
- 773 Brij 78 = polyoxyethylene 20 stearyl ether;
- 774 BS = Bile Salts;
- 775  $C_{max}$  = maximum plasma drug concentration;
- 776 Capryol 90 = propylene glycol monocaprylate;
- 777 CMCHS = carboxymethylchitosan;
- 778 CHOL = cholesterol;
- 779 Cremophor EL = polyoxy-35-castor oil;
- 780 Cremophor RH40 = polyoxyl 40 hydrogenated castor oil;
- 781 DCP = dicetylphosphate;
- 782 D-GalN = D-galactosamine;
- 783 DPPC = DiPalmitoylPhosphatidylCholine;
- 784 DSPE = DiStearoylPhosphatidylEthanolamine;
- 785 EES = Emulsification Evaporation Solidification;
- 786 ESD = Emulsion Solvent Diffusion;
- 787 ESE = Emulsion Solvent Evaporation;
- 788 EVO = extra virgin olive;
- 789 GA = glycyrrhizic acid;
- 790 GIT = gastrointestinal tract;
- 791 GMO = GlycerylMonoOleate;
- 792 GMS = GlycerylMonoStearate;
- 793 HCO-X<sup>®</sup> = PEG-X Hydrogenated Castor Oil, (X = 40, 50);
- 794 HP- $\beta$ -CD = 2-hydroxypropyl- $\beta$ -cyclodextrin;
- 795 HPH = High Pressure Homogenization;
- 796 HPMC (E50LV) = HydroxyPropyl MethylCellulose;
- 797 HSPC = Soya Hydrogenated L- $\alpha$ -PhosphatidylCholine;
- 798 i.p. = intraperitoneal;
- 799 IPM (Estol) = isopropyl myristate;
- 800 isoSIL = isosilybin;
- 801 i.v. = intravenous;
- 802 Labrafac<sup>®</sup> CC = Medium Chain Triglycerides (MCT);
- 803 Labrafil<sup>®</sup> = transesterified ethoxylated vegetable oils;
- 804 Labrasol<sup>®</sup> = caprylocaproyl polyoxylglycerides (macrogolglycerides);
- 805 MCT = Medium chain triglycerides;
- 806 NAFLD = NonAlcoholic Fatty Liver Disease;
- 807 NLCs = Nanostructured Lipid Carriers;
- 808 NPs = nanoparticles;
- 809 OA = oleic acid;
- 810 P188 = Poloxamer 188;
- 811 P407 = Poloxamer 407;

- 812 PAMAM = polyamidoamine;
- 813 PC = L- $\alpha$ -PhosphatidylCholine;
- 814 PDI = polydispersity index;
- 815 PEG = polyethyleneglycol;
- 816 PLGA = poly(D,L-lactic-co-glycolic acid);
- 817 PPC = Polyene PhosphatidylCholine;
- 818 PVA = Polyvinyl alcohol;
- 819 PVP = polyvinylpyrrolidone;
- 820 RAMEB = randomly methylated- $\beta$ -cyclodextrin;
- 821 RPE = reverse phase evaporation;
- 822 SA = stearyl amine;
- 823 SC = Sodium Cholate;
- 824 SCF-CO<sub>2</sub> = SuperCritical Fluid of carbon dioxide;
- 825 SDC = Sodium DeoxyCholate;
- 826 SEDS = Solution-Enhanced Dispersion Supercritical fluids;
- 827 SEDDS = Self Emulsifying Drug Delivery System;
- 828 Sefsol 218 = propylene glycol monocaprylic ester;
- 829 SGC = Sodium GlycoCholate;
- 830 SGF / SIF = simulated gastric fluid (pH 1.2) / simulated intestinal fluid (pH 7.4)
- 831 SIL = Silybin or silybinin or silymarin extract;
- 832 SILcr = silycristin;
- 833 SILdi = silydianin;
- 834 Sito-G =  $\beta$ -sitosterol  $\beta$ -D-glucoside;
- 835 SLNs = Solid Lipid Nanoparticles;
- 836 Solutol® HS 15 = PEG (15)-hydroxystearate;
- 837 SPC = Soya L- $\alpha$ -PhosphatidylCholine;
- 838 SPG = Shirasu Porous Glass membrane emulsification;
- 839 SPMN = Na cholate/phospholipid mixed micelles;
- 840 STC = Sodium TauroCholate;
- 841 SUV = small unilamellar vesicles;
- 842 TFD = Thin-Film Dispersion;
- 843 TNF- $\alpha$  = Tumour Necrosis Factor- $\alpha$ ;
- 844 TPGS = D- $\alpha$ -Tocopheryl PEG 1000 Succinate;
- 845 TPP = TriPolyPhosphate;
- 846 Transcutol® = diethylene glycol monoethyl ether;
- 847 Triacetin = glycerol triacetate;
- 848 Tween 20 = polyoxyethylene sorbitan monolaurate (polysorbate 20);
- 849 Tween 80 = polyoxyethylene sorbitan monooleate (polysorbate 80);
- 850 TXF = taxifolin;
- 851
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857



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