

Article

# Soft driving epicyclical mechanism for robotic finger

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**Abstract:** Nowadays, the development or improvement of actuation mechanisms is a crucial topic for the achievement of dextrous manipulation using soft robots. Then, a primary target of research is the design of actuation and driving devices. Consequently, in this paper, we introduce a soft driving epicyclical mechanism that mimics human muscle behavior and fulfills motion requirements to achieve grasping gestures using a robotic finger. The prototype is experimentally assessed, and results show that our approach has enough performance for the implementation in grasping tasks. Furthermore, we introduce the basis for a new soft epicyclical mechanism merger with shape memory alloys to allow active stiffness control of the mechanism.

**Keywords:** Actuation and driving mechanism; soft robotics; soft epicyclical mechanism; Shape Memory Alloy

## 1. Introduction

The task of designing or improving a robotic hand (to replicate the grasping capabilities and the kinematic functionality of the human hand) involves the consideration of a high complexity sensory and motor functions. The literature (*e.g.* [1]) shows that some robotic hands designed for research purposes have provided solutions for the domain of prosthesis. However, the actual state of the art shows that the requirements of dexterous manipulation, regarding mechanisms, actuation, and kinematic properties, have not been fulfilled [2]. One important consideration for developing actuation mechanisms concerns the actuation level, robotic hands present in state of the art could be classified mainly into three categories (see Table 1):

1. Under-actuated
2. Fully actuated
3. Over-actuated

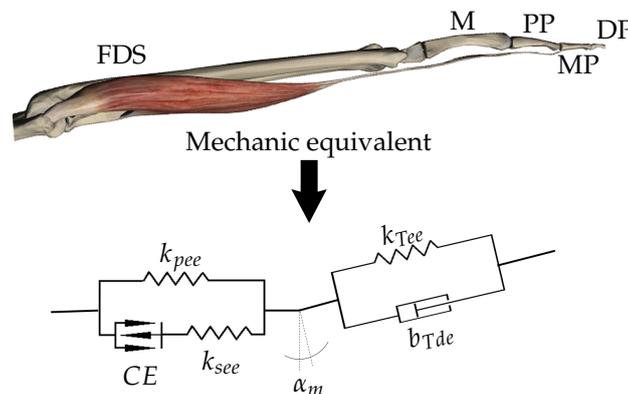
Type of actuation is based on the number of actuators used to drive a joint. For instance, a robot having three rotational joints, each one provided with one degree of freedom, could be driven by three actuators (one per joint), in that case, the robot is fully-actuated. When the number of actuators is bigger than the number of joints, the robot is over-actuated. Finally, when the number of actuators is lower than the number of joints, two or more joints must be driven by only one actuator, that case is the underactuated scenario.

**Table 1.** Summary of robotic hands and its type of actuation

Type of actuation	Name	Source
over-actuated	UTAH	[4–6]
	DextrousHand	[7]
	AwiwiRobotHand	[8]
	TheRobonautHand2	[9,10]
fully-actuated	OkadaHand	[11]
	KeioHand	[12]
	UBHandIV	[13]
	SensorSpeed (OttoBock)	[14]
	ROBIOSS	[15]
under-actuated	i-limb Ultra	[16]
	Université Laval	[17]
	Rutgers Hand	[18]
	i-HY Hand	[19]
	Michelangelo	[20]
	Gifu Hand III	[21]
	MPL Hand	[22]

Regarding functional requirements, Ramirez Arias [3] proposes a study of three critical aspects of the human hand: the kinematics, the functionality, and the dynamics. Concluding that for the development of a prosthetic hand, each finger must:

1. Have an active flexion in the range of [60, 90] degrees in the MCP, PIP, and DIP joints of the finger.
2. Propose an actuation system based on viscoelastic behavior, following the author's proposed Hill-based model (see Figure 1).
3. Perform force in the interval [4.78N, 6.70N].
4. Achieve operating frequency in the interval [8.89Hz, 22.2Hz].



**Figure 1.** Hill based model proposed by Ramirez Arias [3], to describe the behavior of Flexor Digitorum Superficialis (FDS) muscle, acting over a finger (metacarpus -M-, Proximal phalanges -PP-, Medial phalanges -MP-, and Distal phalanges -DP-).

The Hill-based model, proposed by Ramirez Arias [3], mainly considers two significant variations with respect to classical models: the pennation angle  $\alpha_m$  that influences the kinematic and the force during movements, and a parallel damper-spring element representing the tendon to describe the muscle behavior accurately. The complete model presented in Figure 1 is composed by: 1. CE The contractile element, 2.  $k_{pee}$  The parallel elastic element of the muscle, 3.  $k_{see}$  The muscle's serial elastic element, 4.  $k_{Tee}$  The tendon's elastic element, 5.  $b_{Tde}$  The tendon's damping element, and 6.  $\alpha_m$  The pennation angle. A classic actuation system and a drive mechanism can easily fulfill motion, force,

44 and frequency requirements. However, a rigid device is not able to mimic the required viscoelastic  
 45 behavior. Consequently, the first constraint for developing an appropriate mechanism is the inclusion  
 46 of soft materials in the machinery.

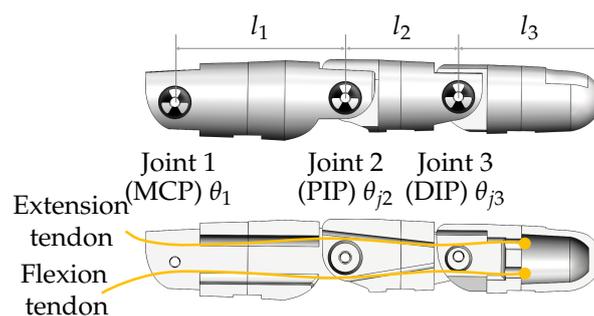
47 Therefore, in this paper we introduce a biomimetic driving mechanism, aiming to reproduce  
 48 the viscoelastic behavior of the human muscle, meanwhile satisfying kinematic, dynamic, and static  
 49 requirements. The device considers the operating principle of the epicyclical mechanism merged with  
 50 elastic elements.

## 51 2. Soft Epicyclical mechanism

52 According to state of the art developed by Ramirez Arias [3]: 1. the most used drive mechanism  
 53 is based on tendons, 2. the mass of a prosthetic hand must be under 600g, 3. the number of actuators  
 54 must be reduced, but the number of DoF must be as high as necessary to perform the prehension,  
 55 and 4. an electric actuator is a right approach but needs to be complemented with soft elements to  
 56 achieve the desired behavior. In the following, we introduce the prototype of the so-called robotic  
 57 finger ProMain-I, which uses a new tendon-driven mechanism (to provide flexibility in the articular  
 58 joints), and takes into account human hand requirements.

59 The adduction-abduction of metacarpophalangeal (MCP) joints play an essential role in preparing  
 60 the hand for grasping. Even that, finger's flexion-extension movements are more significant to  
 61 perform the hand grasping gesture. Consequently, if the fingers are correctly placed for grasping,  
 62 adduction-abduction of MCP joints are not required, and the prosthesis can be simplified without  
 63 impacting prehension ability. Thus, the proposed finger prototype is only endowed with flexion and  
 64 extension on metacarpophalangeal (MCP), Proximal Interphalangeal (PIP), and Distal Interphalangeal  
 65 (DIP) joints.

66 In order to develop the tendon-based ProMain-I finger, an early "alpha" prototype of the robotic  
 67 finger is introduced. The "alpha" finger prototype is a bio-inspired tendon-driven finger [23–25]  
 68 composed of three joints: the metacarpophalangeal (MCP), the proximal interphalangeal (PIP) and the  
 69 distal interphalangeal (DIP). All the joints have one DoF to perform flexion and extension. The finger  
 70 is controlled by only one actuator, and the drive mechanism uses two tendons for transmitting motion,  
 71 one for the flexion and one for the extension, as shown in Figure 2. Considering that the tendons are  
 72 fastened to the motor pulley and the fingertip, the clockwise rotation of the actuator produces the  
 73 flexion, and the counterclockwise rotation provides the extension.



**Figure 2.** Early "alpha" prototype of the robotic finger.

74 Due to the under-actuation, the rotation angle of the PIP and DIP joints are linked with the  
 75 rotation angle of the MCP joint. The relations between joint angles are calculated using experimental  
 76 measures [24]. As a result, the obtained relations between angles are  $\theta_2 = 0.23\theta_1$  and  $\theta_3 = 0.72\theta_1$ ,  
 77 where  $\theta_1$  is the MCP joint angle,  $\theta_2$  is the PIP joint angle and  $\theta_3$  is the DIP joint angle. Furthermore,  
 78 the parameters  $l_1$ ,  $l_2$  and  $l_3$  are the lengths of the proximal, medial and distal phalanges, as shown in  
 79 Figure 2.

80 The analysis carried out using experimental data, issued from the "alpha" prototype of the robotic  
 81 finger, gives us valuable and relevant information for the improvement of the finger's mechanism and

82 actuation systems, and lets us define the following functional requirements [24,25]: 1. higher stiffness  
83 into the MCP joints and 2. a fixed mechanical relation between the proximal and metacarpal joints,  
84 and between the distal and metacarpal joints. Those improvements are introduced in the ProMain-I  
85 finger prototype.

86 The new driving mechanism is inspired by the epicyclic gear train, which is typically composed  
87 of two gears (one fixed and one mobile) whose centers are attached through a rigid link so-called  
88 carrier. So that, the rotation of the carrier creates a revolve of the mobile gear center around the fixed  
89 gear. As a result, due to the mechanical link between gears, a rotation is provided on the mobile gear.  
90 Furthermore, the rotation amount of the carrier can be different from the rotations of the mobile gear,  
91 which is controlled by the gears relation. Figure 3a exemplary shows an epicyclic gear train (whose  
92 gears are labeled with white circles to follow relative rotations) in which the carrier has rotate  $90^\circ$  and  
93 the mobile gear  $150^\circ$ .

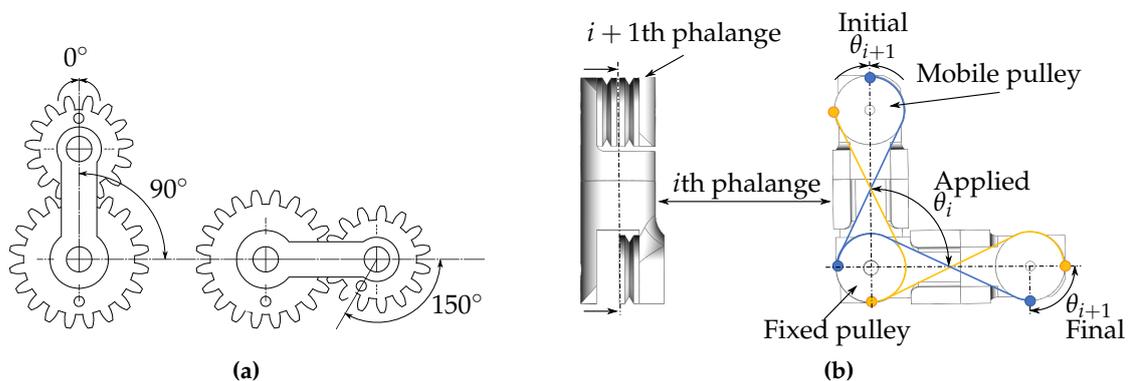


Figure 3. (a) Epicyclic mechanism and (b) Soft epicyclic mechanism.

94 Consequently, for our driving mechanism, we proposed a soft epicyclic mechanism in which: 1.  
95 the finger's phalanges replace the carrier, 2. the gears are replaced by two slotted pulleys, and 3. the  
96 mechanical link is guaranteed by two crossed flexible wires, henceforth tendons.

97 Figure 3b shows the scheme of the driven mechanism, in which blue line represents the tendon  
98 that drives clockwise rotation, and the yellow one depicts tendon used to produce counterclockwise  
99 rotation; clockwise and counterclockwise rotations are assumed regarding the figure orientation. The  
100  $i$ th phalange of the finger begins in a vertical position, then after a rotation, it reaches a horizontal  
101 position. The center of the mobile pulley orbits around the fixed pulley, and due to the effect of the  
102 tendons, the mobile pulley rotates. As a result, a rotation is produced in the  $i + 1$ th phalange, which  
103 is fixed to the mobile pulley. If the mobile pulley gets blocked during rotation, the driving tendon is  
104 constrained in tension, so that, the elasticity of the tendon's material depicts the stiffness of the joint.

105 The proposed soft epicyclic mechanism is used to transmit motion between the MCP joint and the  
106 DIP joint, and between the DIP joint and the PIP joint. As a result, two groups of tendons are used;  
107 each group is composed of one flexion tendon and one extension tendon. Thus Promain-I hand motion  
108 consists in the flexion and extension of the robotic fingers which are placed in the support chassis (60)  
109 described above. In the following, we introduce the main components of the ProMain-I hand, see  
110 figs. 4a and 4b. The phalanges are the following: 1. proximal (10), which is highlighted in blue color in  
111 Figure 4a, 2. medial (20), which is highlighted in yellow color, 3. distal (40), which is highlighted in  
112 gray color.

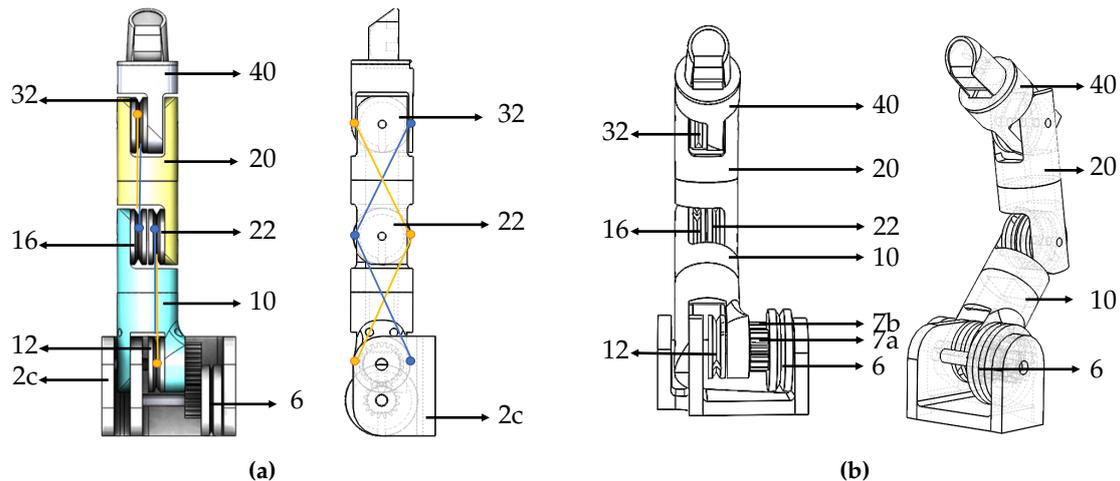


Figure 4. (a) Promain-I finger phalanges description and (b) Promain-I finger main components.

113 Robotic finger is under-actuated, hence, the movement is transmitted using only one servomotor,  
 114 which is the active element, it is linked to the MCP joint, through the pulley (6) and the gears (7a and  
 115 7b), the gears are used to ensure the rigidity of the proximal phalanx.

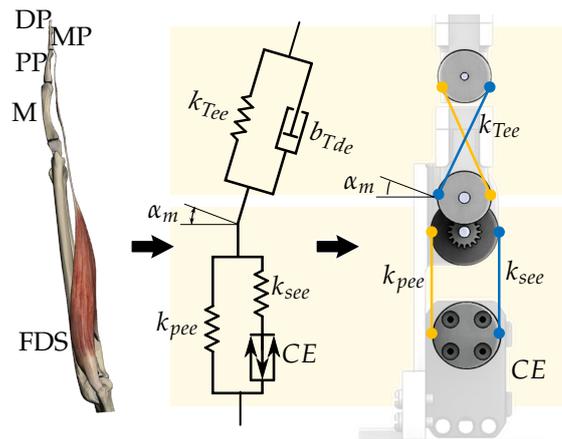
116 Two tendons are crossly placed from pulleys (12) to (22), see Figure 4a, yellow line represents a  
 117 tendon that executes the flexion of the medial (20) phalange; and blue line illustrates a tendon that  
 118 executes the extension of medial (20) phalange.

119 Similarly, pulleys (16) to (32) are linked through two tendons that are crossly attached. Yellow  
 120 line represents a tendon that executes the flexion of the medial (16) phalanx; and blue line illustrates a  
 121 tendon that executes the extension of medial (32) phalanx. The motion is executed, following the next  
 122 steps and conditions:

- 123 1. Servomotor is linked to the pulley (6), which transfers the motion to the gear (7a) and (7b).
- 124 2. Gear (7b) is attached to the proximal (10) phalanx, therefore the rotation in first phalanx is  
 125 produced.
- 126 3. Pulley (12) is linked with the framework (2c), with this in mind, when the element (10) turns, it  
 127 causes the rotation of the pulley (22).
- 128 4. Pulley (22) is linked to the medial (20) phalanx, thus when the pulley (22) turns, it moves the  
 129 medial (20) phalanx.
- 130 5. Pulley (16) is attached to the phalanx (10), thus, due to the soft epicyclic mechanism, when the  
 131 pulley (22) turns, it causes a rotation in pulley (32).
- 132 6. The pulley (32) is attached to the medial (10) phalanx, so, the rotation of the pulley (32) produces  
 133 the rotation of the distal phalanx (40).

134 Two wires or tendons are used to transmit movement from the servomotor to the proximal  
 135 phalanx. From a qualitative point of view, the elastic behavior of those elements allows us to mimic  
 136 the human muscle behavior. Furthermore, the same effect is used to reproduce the elastic behavior of  
 137 human tendons presented in section 1.

138 The damping element  $b_{Tde}$  introduced in section 1 is used to describe more accurately the muscle  
 139 behavior avoiding undesired oscillations. In this case, considering that no oscillation is present we  
 140 consider that the element is embedded in the global behavior of the soft epicyclic mechanism. The  
 141 following fig. 5 shows the equivalence between the proposed mechanism and the Hills-based muscle  
 142 model.

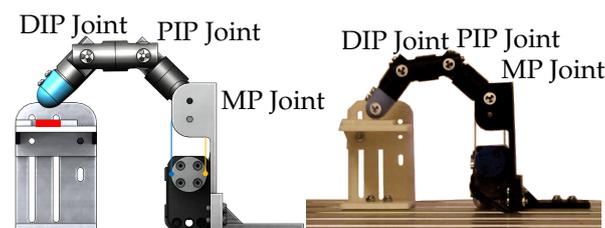


**Figure 5.** Parallel between soft epicyclic mechanism and the Hills-based muscle model.

143 As a result, the bio-inspired robotic finger ProMain-I [26], has been developed, tested and  
 144 manufactured completely in LEME laboratory. The finger has three joints: Metacarpophalangeal  
 145 (MCP), Proximal interphalangeal (PIP) and distal interphalangeal (DIP). All joints have one degree of  
 146 freedom (DoF) to perform flexion and extension. Each finger is controlled by only one servo motor  
 147 XL-320 Dynamixel™, hence the medial (MP) and distal (DIP) phalanges are driven by the proximal  
 148 phalanx (PIP) motions. The clockwise rotation of the actuator produces flexion, and the opposite  
 149 rotation produces extension. The relation between the angles is  $\theta_2 = \theta_3 = 0.9\theta_1$ , where  $\theta_1$  is the MP  
 150 joint angle,  $\theta_2$  is the PIP joint angle and  $\theta_3$  is the DIP joint angle. This relation between angles is  
 151 established manipulating the pulleys ratios and is chosen to mimic the closure of the human hand.

### 152 3. Materials and Methods

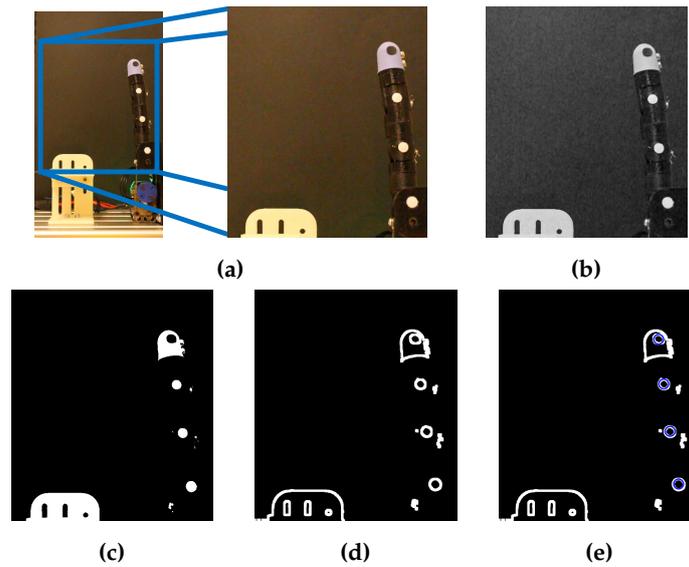
153 Considering that the finger prototypes are designed to perform flexion and extension in two  
 154 dimension, the kinematic is measured using a camera (Canon EOS 600D), pointing single-finger  
 155 platform shown in Figure 6, to track circular markers placed in finger joints and fingertip. The camera  
 156 is positioned at 1m from the finger prototype and is adjusted to assure a pixel size of  $0.17 \times 0.17$ mm.  
 157 The position accuracy (measured comparing several static images with a known value of length) is  
 158 0.51mm.



**Figure 6.** ProMain-I finger test platform.

159 Images coming from the camera are processed to automatically recognize circular markers. The  
 160 image analysis follows these Four main steps: 1. Crop image to extract the finger working area, see  
 161 7a 2. transform image into a gray scale, see Figure 7b, 3. shift image into a black and white scale, see  
 162 Figure 7c, 4. detect image edges, see Figure 7d, and 5. apply Hough transform [27] to find the circles  
 163 positions in the image, see Figure 7e.

164 Taking into account that digital images are composed by pixels, which are formed by a  
 165 combination of primary colors organized in three channels red ( $R$ ), green( $G$ ), and blue ( $B$ ), the gray  
 166 scale  $L$  channel of the image is calculated as the average of the color components. However, both  $R$   
 167 and  $G$  channels are brighter than  $B$ , so that, using a simple average the resulting  $L$  will appear to be too  
 168 dark in the red and green areas and too bright in the blue ones. Therefore, a weighted sum of the color  
 169 components is used to compute the gray scale equivalent as  $L = 0.2989R + 0.5870G + 0.1140B$ . The

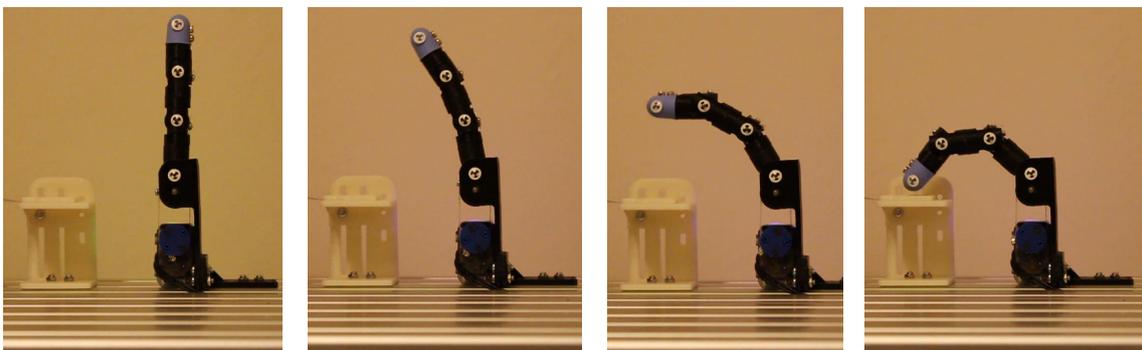


**Figure 7.** Automatic detection of finger joints and fingertip position: (a) Crop image, (b) Gray scale, (c) Binary image, (d) Image edges, and (e) Hough transform.

170 coefficients that multiply  $R$ ,  $G$ , and  $B$  were originally proposed for encoding analog color television  
 171 signals and are chosen to avoid information saturation (due to bright) while the image is transformed  
 172 into black and white scale.

173 Thereafter, the gray scale image is binarized to obtain a black and white image, which is preprocessed  
 174 using a Canny filter [28] to automatically detect image borders; in this step the markers appear to be  
 175 circles with white borders, see fig. 7d. Finally, the circular Hough's transform is applied to obtain  
 176 the coordinates of each circle in the image, circles positions correspond to the joint and fingertip  
 177 coordinates. The image analysis is repeated for the sequence of images stored during flexion and  
 178 extension tests. Figure 8 shows four sample images of a flexion cycle.

179 The image analysis delivers the position vectors of the joints, *i.e.* the vectors  $\{{}^0P_1^x, {}^0P_1^y, 0\}^T$  for the  
 180 MCP joint of the finger  $j$ ,  $\{{}^0P_2^x, {}^0P_2^y, 0\}^T$  for the PIP joint and  $\{{}^0P_3^x, {}^0P_3^y, 0\}^T$  for the DIP joint. Likewise  
 181 the vectors  $\{{}^0P_f^x, {}^0P_f^y, 0\}$  correspond to the fingertip positions. Considering that the movement is  
 182 performed in the  $xy$ -plane,  ${}^0P_i^z$  is always zero. The angles are measured as shown in fig. 9, following  
 183 the DHKK parameterization.



**Figure 8.** Positions of the robotic finger articulations during flexion

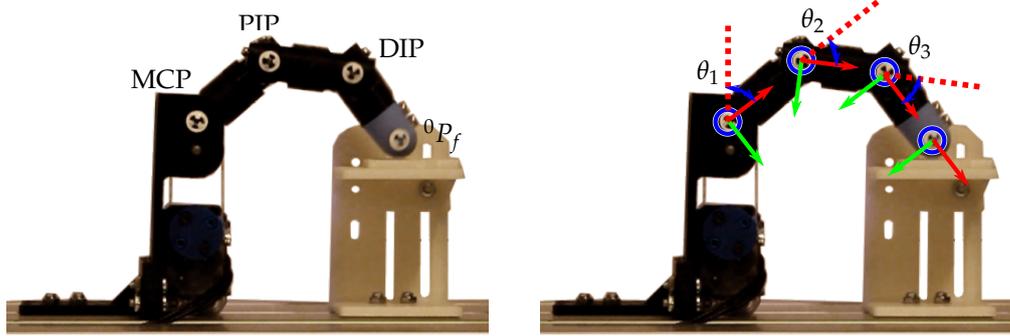


Figure 9. Angles and final position measure

184 Thereafter, the following three vectors linking joints are defined: 1. vector  $\vec{r}_1$  between the MCP  
 185 and PIP joints, 2. vector  $\vec{r}_2$  between the PIP and DIP joints, and 3. vector  $\vec{r}_3$  between the DIP joint and  
 186 fingertip. These vectors are used to calculate rotation angles  $\theta_{ji}$  as:

$$\theta_i = \arccos \left( \frac{\vec{r}_i \cdot \vec{r}_{i-1}}{\|\vec{r}_i\| \|\vec{r}_{i-1}\|} \right) \quad (1)$$

187 The first angle  $\theta_1$  is calculated with respect to a reference positive vertical unitary vector  $\vec{r}_0 = 0, 1, 0$ ,  
 188 fig. 10 shows the vectors  $\vec{r}_i$ , the joints and fingertip position, and the location of  $\theta_i$ .

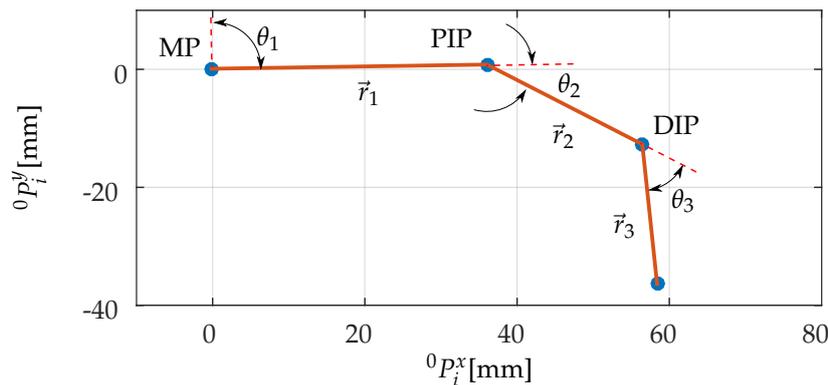
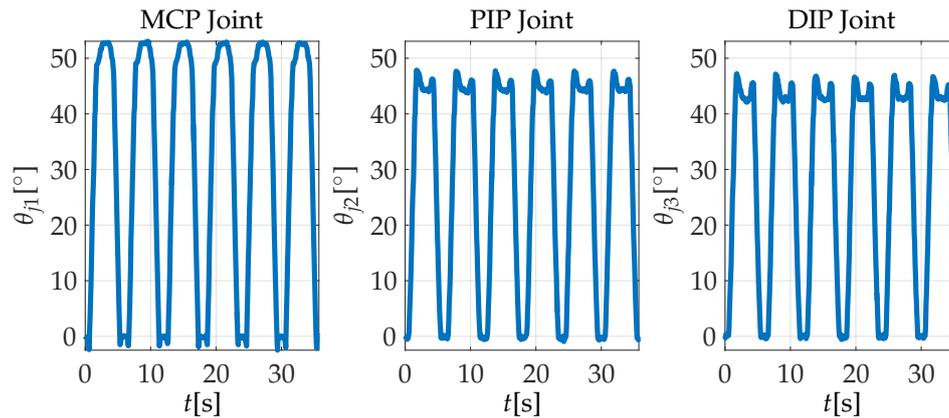


Figure 10. Interpretation of measured kinematic data.

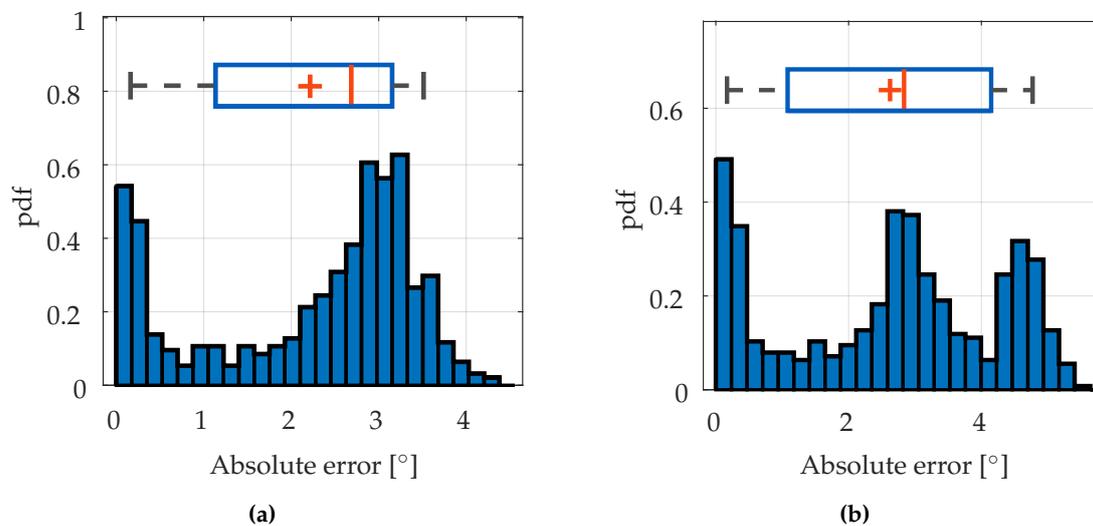
#### 189 4. Discussion

190 The experiment carried out with the ProMain-I finger aims to compare the expected rotation  
 191 relations fixed in the soft epicyclic mechanism and the measured ones to verify the behavior of the  
 192 finger. We follow the same experimental protocol introduced in section 3. The calculated PIP and  
 193 DIP joint angles, see Figure 11, shows a under-damped behavior for the PIP and the DIP joints when  
 194 the finger gets in contact with the platform. This under-damped behavior was expected, considering  
 195 that the actuation and driving mechanism is not endowed with the damper element. To evaluate the  
 196 mean absolute error of the PIP and DIP joint angles, we compare the angle value obtained from the  
 197 kinematic measure with the calculated angle value issued from the relation  $\theta_{j2} = \theta_{j3} = 0.9\theta_{j1}$ .



**Figure 11.** Results of the position tracking of ProMain-I finger.

As a result, we find that the mean absolute error of the angle  $\theta_2$  is  $2.2139^\circ$ , and the standard deviations is  $1.2206^\circ$ . With respect to the angle  $\theta_2$  of the DIP joint, the mean absolute error is  $2.6235^\circ$ , and the standard deviations is  $1.6370^\circ$ . Moreover, the probability density function of PIP joint's absolute error presents two peaks values; the first shows a concentration around zero degrees that correspond to the error during free movement, and the second is the error when the finger gets in contact with the object. Likewise, the probability density function of DIP joint's absolute error presents three peaks values the first around zero degrees during free movement and the two others during the contact phase. Both probability density functions are presented in Figures 12a and 12b, in which red lines represent median, cross is mean, a blue box represent the 25% and 75% quartiles and whiskers bound 9% and 91%.



**Figure 12.** Probability density function of: (a)  $\theta_2$  absolute error and (b)  $\theta_3$  absolute error.

These error present in the articular joint values  $\theta_2$  and  $\theta_3$  is the result of the self adaptability of the finger to objects during contact. This effect is the result of the low stiffens of tendons used in the soft epicyclical mechanism.

Furthermore, under some particular conditions, the flexibility of the tendons requires being adapted to grasp objects in a more steady way. Taking into account that the addition of damper element in the tendon adds extra constraints to the soft behavior of the epicyclic mechanism, and considering the advantages of smart materials, we modify the driving mechanism adding a Shape Memory Alloy (SMA) wire in parallel to flexible tendons to control joint stiffness during grasping. As a result, a new soft epicyclic tendon-driven actuation system based on SMA is proposed.

217 The soft epicyclic tendon-driven actuation system is also based on the proposed hill's muscle  
 218 model, but the damper is substituted by a SMA wire in order to control the mechanism's stiffness.  
 219 As can be seen fig. 13, the SMA wire  $k_{Tce}$  is place in parallel to the elastic tendon  $k_{Tee}$ . During the  
 220 operation, when the tendon is under a tension  $F_T$  a control stimulus (Temperature increment) shift the  
 221 SMA wire to austenite phase increasing the stiffness to recover the produced strain.

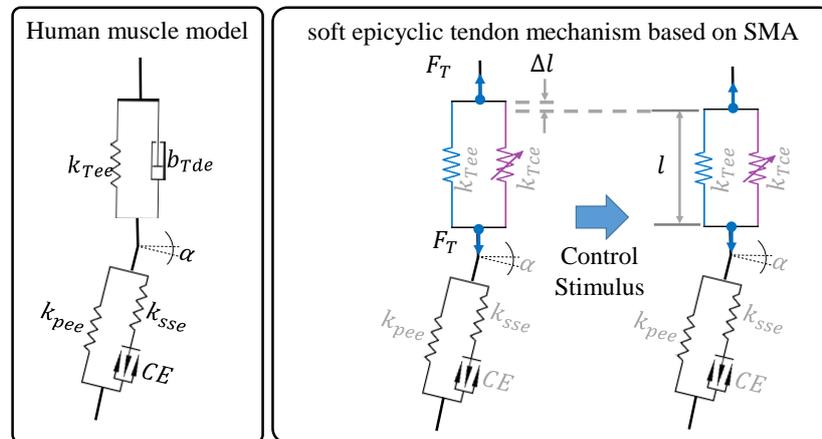


Figure 13. Schematic representation of the soft epicyclic tendon-driven actuation system based on SMA

222 Preliminary tests have shown that the substitution of the damper element by an SMA wire can  
 223 reduce or eliminate the overshoot of dependent joint angles. In the following, our research aims to test  
 224 and validate this theory, through the implementation of a test platform, following the same architecture  
 225 described in this paper. We expect that the variable stiffness can fulfill damping requirements, helping  
 226 to mimic the behavior of human muscles.

## 227 5. Conclusions

228 Following the human grasping requirements, a new actuation system, so-called soft epicyclic  
 229 tendon-based mechanism, is developed to add a soft behavior to the robotic finger joints. The  
 230 mechanism actuates the soft robotic finger prosthesis ProMain-I, which is under-actuated. The driving  
 231 mechanism is able to accurately fix the joint angles relations during free movement.

232 The designed ProMain-I finger is also assessed experimentally with the aim of validating its  
 233 performance in terms of displacement and force. The PIP and DIP joint angles show a under-damped  
 234 behavior for the PIP and the DIP joints when the finger gets in contact with the platform where the  
 235 force sensor is placed. We compare the angle value obtained from the kinematic measure with the  
 236 calculated angle value issued from fixed transmission relation of the soft epicyclic mechanism. As a  
 237 result, we find that the mean absolute error of the PIP angle is  $2.2139^\circ$ , and the standard deviations is  
 238  $1.2206^\circ$ . With respect to the angle of the DIP joint, the mean absolute error is  $2.6235^\circ$ , and the standard  
 239 deviations is  $1.6370^\circ$ . This error is coherent with the softness of the driving mechanism.

240 Finally, we introduce the design of a new version of the soft epicyclic mechanism using an SMA  
 241 wire in parallel to the flexible tendon for the ProMain robotic finger. This actuation system allows  
 242 controlling the stiffness of the actuated joints handling the damping effect evidenced during the  
 243 experiments performed with the ProMain-I finger.

## 244 6. Patents

245 Chaîne articulée comprenant un unique actionneur et ensemble de chaînes articulées associées,  
 246 patent number FR1656914 [26]: The invention relates to an articulated chain (1), forming in particular  
 247 all or part of a finger or an arm or a leg or a manipulator, said articulated chain (1) comprising a first  
 248 member (10), a second member (20) and a flexible seam (30), the first member (10) and the second

249 member (20) being each attached to the flexible seam (30) which is made of a material having a Shore  
250 A hardness of between 0 and 50.

251 **Author Contributions:** Conceptualization A.R., J.R.; Data curation P.C., A.R., J.R.; Formal analysis A.R., J.R.;  
252 Investigation P.C., A.R., J.R.; Methodology J.R.; Validation A.R., J.R.; Writing - original draft P.C., A.R., J.R.; Writing -  
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257 **Conflicts of Interest:** The authors declare no conflict of interest..

## 258 Abbreviations

259 The following abbreviations are used in this manuscript:

260	SMA	Shape Memory Alloy
	MCP	Metacarpophalangeal joint
	PIP	Proximal Interphalangeal joint
	DIP	Distal Interphalangeal joint
	FDS	Flexor Digitorum Superficialis muscle
	M	metacarpus
	PP	Proximal phalanges
261	MP	Medial phalanges
	DP	Distal phalanges
	CE	The contractile element
	$k_{pee}$	The parallel elastic element of the muscle
	$k_{see}$	The muscle's serial elastic element
	$k_{Tee}$	The tendon's elastic element
	$b_{Tde}$	The tendon's damping element
	$\alpha_m$	The pennation angle

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**338 Sample Availability:** Information and experimental data are available from the authors.