

1 **Forebrain cholinergic signaling: Wired and phasic, not tonic, and causing behavior.**

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28

**29 Abstract**

30 Previous evidence in support of a slowly acting (scale of 100s of seconds) and volume-transmitted  
31 component of cholinergic signaling was based largely on studies using measures of extracellular  
32 brain acetylcholine (ACh) levels which required several minutes to generate a single data point  
33 and typically employed AChEsterase inhibitors (AChEIs) to foster the measurement of ACh.  
34 Moreover, collecting such data points in correlation with relatively stable behavioral states has  
35 supported the view that extracellular ACh levels vary at a relatively slow rate. Here we argue that  
36 forebrain cholinergic signaling is exclusively phasic (milliseconds to perhaps seconds), unlikely to  
37 be volume-transmitted, and that previous neurochemical evidence and associated behavioral  
38 correlates may be re-interpreted in terms of integrated phasic cholinergic activity and specific  
39 behavioral and cognitive operations. The highly potent catalytic enzyme for ACh, AChE, limits the  
40 presence of an ambient extracellular ACh level and thus renders it unlikely that ACh influences  
41 target regions via relatively slow changes in extracellular ACh concentrations. Real-time  
42 amperometric recordings of cholinergic signaling have suggested a specific function of rapid,  
43 phasic or transient cholinergic signaling in attentional contexts. Optogenetic studies support a  
44 causal relationship between these transients on behavior. Combined electrochemical and  
45 neurophysiological recordings revealed that the powerful behavioral control by cholinergic  
46 transients involves the generation of high-frequency oscillations. Such oscillations are thought to  
47 recruit efferent circuitry to (re)activate dormant task sets. Evidence showing the impact of genetic  
48 variations of the capacity of cholinergic synapses likewise can be interpreted in terms of their  
49 impact on the ability to sustain generation of repeated phasic cholinergic signals, as opposed to  
50 effects on ambient ACh levels. Further, while notions of slowly-changing, sleep stage-associated  
51 variations in extracellular ACh levels and their functions are widely accepted, the evidence is in  
52 fact limited. An alternative hypothesis offers a role for high-frequency cholinergic transient  
53 signaling during REM sleep. By employing a theoretical framework that focuses on the phasic  
54 and causative characteristics and functions of cholinergic signaling, results from human cognitive  
55 neuroscience studies of cholinergic function may be substantially clarified and simplified.  
56 Compared to the current treatment of cholinergic deficits using AChEIs, the conceptualization of  
57 forebrain cholinergic signaling as wired, phasic, and causative predicts that drugs that either  
58 rescue transient presynaptic signaling or amplify or rescue the postsynaptic impact of phasic  
59 signals will be more efficacious in treating age- and dementia-related cognitive and cognitive-  
60 motor disorders.

61

## 62 **Introduction: ACh as a phasic modulator**

63 Traditional descriptions of the anatomical organization of the basal forebrain cholinergic  
64 projections to telencephalic regions emphasize the hallmarks of a neuromodulatory system.  
65 These hallmarks include the presence of a relatively small number of soma in the basal forebrain  
66 giving rise to a relatively large innervation space, a limited topographical organization of  
67 cholinergic projections, a substantial degree of axonal collateralization, and the presence of extra-  
68 synaptic, or non-classical, receptors and, by implication, volume-transmission. Consequently,  
69 theories of cholinergic function have primarily described it in terms of slowly (over minutes)  
70 changing extracellular ACh levels (Yu and Dayan, 2002) and volume transmission (e.g., Lean et  
71 al., 2019). By these views, ACh acts in a spatially and temporally diffuse way to influence the  
72 excitability of widespread cortical target regions and thus primarily modulates relatively global  
73 functions such “arousal”. The main goal of this article is to critically probe these traditional  
74 descriptions, including our own prior interpretations of the evidence (Sarter and Bruno, 1997).

75 As an alternative, we discuss the evidence in support of the view that ACh mediates  
76 neuromodulatory effects based on highly phasic and probably largely synaptic signaling. This re-  
77 conceptualization of ACh signaling as phasic, synaptic, and behaviorally causal fosters the  
78 integration of diverse levels of analysis of cholinergic functions in rodents, non-human primates  
79 and humans, the development of computational models, and more effective approaches to the  
80 psychopharmacological development of pro-cholinergic treatments.

## 81 **Anatomical foundations of locally-specific cholinergic signaling**

82 Contemporary neuroanatomical research has revealed a heretofore unexpected degree of  
83 anatomical and functional parcellation of basal forebrain cholinergic neurons and a highly  
84 topographical organization of the basal forebrain cholinergic projection system, including complex  
85 relationships between basal forebrain afferent and efferent projection patterns (Zaborszky et al.,  
86 2008; Zaborszky et al., 2015b; Zaborszky et al., 2015a; Gielow and Zaborszky, 2017; Huppé-  
87 Gourgues et al., 2018; Lean et al., 2019). Combined with a limited degree of axonal  
88 collateralization (Price and Stern, 1983), this evidence suggests a neuronal projection system that  
89 can support regionally discrete cholinergic stimulation (see also Chavez and Zaborszky, 2017).  
90 The presence of neuronal subpopulations and topographic projections also supports proposals  
91 about cholinergic modules which can selectively impact information processing in individual  
92 cortical areas and layers (see also Tingley et al., 2015).

93 The relatively high density of cholinergic contacts, relative to axonal lengths and neuron number  
94 (Mechawar et al., 2000), would seem to significantly limit the spatial selectivity of cholinergic  
95 function. However, further differentiation of cholinergic actions may be derived from the presence  
96 of target area-specific organization of microcircuits, involving diverse and regionally-specific  
97 populations of interneurons (e.g., Xiang et al., 1998; Chen et al., 2015; Eggermann and  
98 Feldmeyer, 2009). Moreover, evidence indicating neuronal activity-dependent cholinergic  
99 modulation of dendritic computation (e.g., Williams and Fletcher, 2018), and region-specific wiring  
100 of cholinergic terminals, in part via heteroreceptors expressed at cholinergic terminals (Parikh et  
101 al., 2010; Parikh et al., 2008; Lambe et al., 2003; Poorthuis et al., 2013), offer additional  
102 mechanisms for differentiated, locally-specific cholinergic signaling. Thus, evidence at both the  
103 system and microcircuit level combine to render the view that ACh acts uniformly across large  
104 regions to, for example, “enhance cortical arousal”, increasingly obsolete.

#### 105 **The catalytic power of acetylcholinesterase (AChE) supports spatially and temporally** 106 **constrained cholinergic signaling**

107 The catalytic power of AChE has been called “amazing” and “a hallmark of an evolutionarily  
108 perfect enzyme” (Quinn, 1987). Indeed, the rate of ACh hydrolysis is limited by the rate of ACh  
109 diffusion to the active site, rather than by how quickly AChE can break it down (Botti et al., 1999;  
110 Hasinoff, 1982; Antosiewicz et al., 1995). AChE is present in the dendrites, perikarya, axons, and  
111 synaptic clefts (Blotnick-Rubin and Anglister, 2018). Thus, proposals suggesting extra-synaptic  
112 presence of “ambient” extracellular ACh levels, capable of reaching targets across tens of  
113 micrometers of extracellular space (Descarries, 1998), require mechanisms that limit the synaptic  
114 hydrolysis of ACh. Such an escape from hydrolysis has been proposed for ACh released from  
115 synapses with relatively large pre- to post-synaptic distances, based on the view that AChE is  
116 largely bound to presynaptic membranes (Dobbertin et al., 2009). However, the role of neuronally  
117 released, soluble forms of AChE (Andres et al., 1990; Appleyard, 1992) in terminating ACh action  
118 *in vivo* would also need to be considered. The finding that knockout of AChE in mice increased  
119 brain basal ACh levels from nanomolar to micromolar concentrations, but yielded only relatively  
120 minor functional impairments (Farar et al., 2012), may also be considered evidence against an  
121 essential role of AChE in terminating cholinergic signaling. However, little remains known about  
122 the compensatory role of other esterases capable of hydrolyzing ACh (but see Hartmann et al.,  
123 2007). Importantly, prior discussions in support of an ambient extracellular ACh level have relied  
124 largely on morphological evidence; what is needed are *in vivo* demonstrations that newly released  
125 ACh can escape hydrolysis.

126 We conducted one such test by measuring extracellular choline generation - a main product of  
127 ACh hydrolysis - with choline-sensitive electrodes. In addition, we (co-)immobilized AChE on  
128 these electrodes to hydrolyze ACh that potentially escaped, that is, was not hydrolyzed by,  
129 endogenous AChE (Giuliano et al., 2008). *In vitro*, these electrodes were able to detect “spared”  
130 extra-synaptic ACh at low femtomolar concentrations. In the cortex *in vivo*, we injected KCl into  
131 the vicinity of the electrodes to produce depolarization-evoked, relatively large increases of ACh  
132 release to optimize the possibility that a portion of such ACh might escape the endogenous AChE.  
133 However, even in such conditions, choline currents did not indicate that a portion of ACh  
134 “escaped” the endogenous AChE. In other words, these experiments did not reveal the presence  
135 of ACh spared by endogenous AChE.

136 Related to the presence or absence of ambient extracellular ACh levels, the presence or absence  
137 of classical cholinergic synapses (in cortex) has remained in dispute (Umbriaco et al., 1994;  
138 Descarries and Mechawar, 2000; Smiley et al., 1997; Turrini et al., 2001). However, if ACh indeed  
139 is nearly completely hydrolyzed by endogenous AChE, a significant degree of volume  
140 transmission would appear unlikely. Although burst firing patterns of basal forebrain cholinergic  
141 neurons may support increases in ACh release that continue for several seconds (e.g., Unal et  
142 al., 2012; Manns et al., 2000; Lee et al., 2005), for ACh to exert relatively distant effects, akin to  
143 effects of monoamines across several millimeters (Schneider et al., 1994; Puopolo et al., 2005),  
144 it would be necessary to demonstrate additional regulatory constraints of the efficacy of AChE.

145 Conclusive experiments that could reject the presence of volume transmission do not appear  
146 straight forward, and raising such a binary question may not be very useful (see also Sarter et al.,  
147 2009). However, as discussed above, the cholinergic synapse seems exquisitely equipped to limit  
148 the spatial range of cholinergic signaling (see also Dunant and Gisiger, 2017). The recent  
149 demonstration that electrical stimulation yielded a very limited spread of activated (fluorescent)  
150 G-protein-coupled ACh receptors (Jing et al., 2018) is consistent with this view.

### 151 **Slow ACh - methodological artifact? New insights from amperometric recordings**

152 The view that levels of cholinergic neurotransmission vary across minutes has been supported  
153 by attributing relatively long-lasting (several minutes) arousal states to different extracellular ACh  
154 levels (e.g., Marrosu et al., 1995; Kametani and Kawamura, 1990). However, to a substantial  
155 degree, this view has been driven by the limited temporal resolution of previously predominant  
156 methods for monitoring changes in extracellular ACh levels. Using microdialysis to collect ACh  
157 from the extracellular space typically yields samples containing pM to low nM concentrations  
158 which are close to the detection limit of traditional analytical methods. Thus, it has been necessary

159 to collect samples over several minutes. Moreover, such collections typically occurred while an  
160 AChEI was reverse-dialyzed to artificially increase levels of recoverable ACh<sup>1</sup>. In other words,  
161 ACh levels were long considered to vary at the scale of minutes because that was the scale at  
162 which they could be measured.

163 This view is challenged by experiments using newer methods that allow real-time monitoring of  
164 ACh release. Using amperometric measures of evoked choline currents, which reflect newly  
165 released and hydrolyzed ACh (Parikh and Sarter, 2006), we observed phasic, or “transient”  
166 cholinergic activity in the prefrontal cortex of rats performing a signal detection task. Such  
167 transients reliably predicted “switch hits” – correct signal detections following either a long  
168 temporal delay or a perceived nonsignal trial (i.e., after a correct rejection or miss (Parikh et al.,  
169 2007; Howe et al., 2013; Howe et al., 2017). These transients did not occur for other trial types,  
170 including correct rejections, misses, or hits following other hits (there were too few false alarms  
171 to analyze).

172 Critically, optogenetic studies (Gritton et al., 2016) demonstrated that cholinergic transients cause  
173 behavior: Optogenetic inhibition of such transients during signal trials reduced hits, but did not  
174 affect correct rejections, similar to the effects of cholinergic lesions (McGaughy et al., 1996).  
175 Moreover, optogenetic generation of cholinergic transients during cued trials, which therefore  
176 coincided with, or substituted the occasional absence of, endogenously-generated transients,  
177 increased detection rates (or hits). However, the most conclusive evidence for the causal power  
178 of cholinergic transients comes from the effects of optogenetically-generated cholinergic  
179 transients during non-cue (or blank) trials - in which normally no such transients are observed.  
180 Evoked transients in such trials drastically increased the rate of false alarms (incorrect reports of  
181 a signal) from around 20% to nearly 50% (Gritton et al., 2016). We further demonstrated that the  
182 behavioral power of cholinergic transients is due to the generation of high frequency oscillations  
183 in cortex, requiring muscarinic M1 acetylcholine receptor (mAChR) stimulation (Howe et al.,  
184 2017).

185 Further experimentation will be needed to disambiguate the precise computation driven by  
186 cholinergic transients. The task circumstances in which they have been demonstrated thus far –

<sup>1</sup>If it is correct that the AChE effectively limits, or even prevents, the presence of extracellular ACh concentrations, it would need to be postulated that the successful recovery of ACh by microdialysis, in the absence of an AChE-inhibitor in the perfusion medium (Herzog et al., 2003; Himmelheber et al., 1998; Chang et al., 2006), results from the protection of ACh from the AChE by the glia barrier formed in response to the probe penetration injury (Jaquins-Gerstl and Michael, 2009; for more discussion of such technical issues see Sarter and Kim, 2015).

187 i.e., “shift-hits”, or signal detection after a long temporal delay or non-detection - suggest two  
188 possibilities: The first builds on decision theory and describes a noisy and imperfect balance  
189 between competitive “signal-absent” and “signal-present” representations of the current task  
190 context (Yu and Dayan, 2005). By this view, the cholinergic transient shifts the  
191 excitatory/suppressive balance away from the dominant ‘signal-absent’ context representation to  
192 the ‘signal-present’ one (Schmitz and Duncan, 2018). As an extreme experimental demonstration  
193 of this possibility, optogenetic generation of invalid cholinergic transients during signal-absent  
194 trials led to false alarms, i.e., incorrect reports of signal presence (Gritton et al., 2016).

195 The second view also emphasizes the (re)activation of the ‘signal-present’ taskset, but via a  
196 slightly different route. This interpretation starts from the observation that in humans performing  
197 the same signal-detection task as used in the rodent studies, shift-hits primarily activate a  
198 prefrontal region associated with switching from externally-oriented (monitoring) processes to  
199 internal processing (specifically, memory or task-set retrieval; (Burgess et al., 2005; Chun and  
200 Johnson, 2011; see Howe et al., 2013 for additional evidence that the fMRI findings related to  
201 shift-hits are cholinergically mediated).

202 The differences between these views are relatively subtle and careful experimentation will be  
203 required to differentiate between them (or other possibilities). However, they both replace the  
204 traditional view describing ACh in terms of functionality of relatively undefined variations in “states”  
205 related to presumed extracellular “levels” of ACh with more specific operations determined by the  
206 presence or absence of discrete cholinergic transients. Evidence indicating that phasic and  
207 precisely timed ACh release events are sufficient to produce cortical synaptic strength changes  
208 (Urban-Ciecko et al., 2018) that may be essential for the detection of attention-demanding cues  
209 are consistent with this proposal.

#### 210 **Cholinergic “tone”: an intuitive, method-derived but unneeded concept?**

211 As already mentioned, the traditionally dominant view that ACh acts relatively slowly to influence  
212 widespread target regions has been based in part on evidence obtained by using microdialysis to  
213 monitor extracellular ACh levels. Data obtained from this method have necessarily suggested the  
214 functionality of slowly-changing levels of cholinergic tone (e.g., Coppola et al., 2016; Lecrux et al.,  
215 2017; Savage, 2012). Correlations between slowly-changing ACh levels with slowly-changing  
216 brain (arousal) states (e.g., Anacleit et al., 2015; Xu et al., 2015; Zant et al., 2016; Yang et al.,  
217 2017; Teles-Grilo Ruivo et al., 2017) have further supported the view that variations in “tonic” ACh  
218 levels are functional.



219 Above we argued that the cholinergic synapse is equipped to support highly phasic cholinergic  
220 signaling. This view raises the question of whether dialysate-derived tonic ACh levels reflect the  
221 integration of transients. Because the dimensions of the neurochemical measures obtained from  
222 microdialysis versus enzyme-coated microelectrodes and amperometry cannot be readily unified,  
223 and because the measurement compartments and terminal fields monitored by these two  
224 methods differ rather profoundly (microdialysis probe insertion-induced millimeter-sized cavity  
225 versus reactions of enzyme immobilized on a micrometer-sized, relatively slim electrode; (e.g.,  
226 Fig. 2 in Howe et al., 2017), a direct test of this possibility has remained elusive. To complicate  
227 the issue further, the amperometric method is optimized for the measurement of transients and  
228 probably not capable of tracking slow changes in ACh (should those exist), largely because  
229 hydrolyzed choline spikes are rapidly cleared by cholinergic synapses and also diffuse into the  
230 interstitial space.

231 For a test of the possibility that dialysis-derived ACh levels represent integrated cholinergic  
232 transients, we measured choline currents using amperometry and ACh levels using microdialysis  
233 in (necessarily separate groups of) rats performing a cued appetitive response task, with long  
234 temporal delays between cues (60-120 s). In this task, amperometrically measured choline spikes  
235 occur in trials in which rats indicated behaviorally that they detected a cue which predicted  
236 subsequent reward delivery. Measures were obtained from prefrontal cortex and from motor  
237 cortex. To compare amperometric data with ACh levels measured in 8-min dialysate collections,  
238 we expressed both types of data dimension-free and collapsed transient amplitudes over 8-min  
239 periods (methods and results are detailed in Supplemental Data in Parikh et al., 2007). Statistical  
240 comparisons between these two data sets indicate the absence of a significant difference,  
241 suggesting that microdialysis levels were reproduced by folding transient data into time bins which  
242 matched the dialysis collection intervals.

243 Several caveats are important here. First, it should be acknowledged that we originally interpreted  
244 some aspects of this data, particularly the spatially-specific nature of cue-evoked transients  
245 (exclusively in mPFC and not motor cortex) versus the equivalent results for mPFC and motor  
246 cortex using either the microdialysis or re-analyzed amperometric data, as supporting different  
247 timescale mechanisms. However, although cue-evoked transients were confined to mPFC,  
248 amperometric activity did occur in motor cortex as well at various points in the trial – interestingly,  
249 the patterns suggested they may occur during shifts in motor behavior (e.g., from grooming to  
250 rearing). This leads to the second question of how transients could be integrated to lead to  
251 microdialysis results in light of the fast, highly-efficient action of AChE. As noted above, this may



252 be related to the glial barrier created in response to the microdialysis probe penetration injury  
253 (Footnote 1).

254 In short, the evidence that transient signaling is sufficient to describe forebrain cholinergic  
255 signaling is currently tentative but appears to be at least quantitatively possible. More critically,  
256 the evidence for longer-timescale action is methodologically problematic, and on first principles  
257 appears contradictory to the known efficiency of AChE. Definitive evidence on this point likely  
258 awaits further methodological development. However, to test the potential strength of a 'phasic  
259 only' conceptualization, below we assess the usefulness of this hypothesis in the context of  
260 evidence from two areas of research, or cases, on arousal states and on the impact of genetic  
261 variations of the synaptic capacity for cholinergic signaling.

### 262 ***Case 1: Arousal states***

263 It has been widely accepted that forebrain cholinergic tone is elevated during REM sleep, and  
264 that ACh levels in that stage are nearly comparable with levels seen in the awake state. Indeed,  
265 evidence connecting arousal-states to ACh levels has remained a major source of support for the  
266 idea of a cholinergic tone. However, this may once again be at least partially a methodological  
267 artifact.

268 The primary evidence comes from classical studies which preceded even the availability of  
269 microdialysis. Sealed chambers were placed onto the pial surface of the cortex of anesthetized  
270 and immobilized animals and perfused with AChEIs to prevent ACh hydrolysis. Individual samples  
271 were collected over 10-15 min periods. ACh levels in these samples, in response to electrical  
272 stimulation of the reticular formation, formed the basis of the notion that arousing events increase  
273 cortical ACh levels (Celesia and Jasper, 1966; Szerb, 1971; Phillis, 1968). Subsequent  
274 microdialysis studies measured extracellular ACh levels in 5-60-min dialysate samples. Results  
275 from these studies seemed to confirm that ACh levels were higher during wakefulness and  
276 paradoxical sleep when compared with slow-wave-sleep (Kametani and Kawamura, 1990, 1991;  
277 Marrosu et al., 1995; Jimenez-Capdeville and Dykes, 1996). However, as noted above, both of  
278 these measurements occurred in the presence of AChE inhibitors in the perfusion fluid to prevent  
279 ACh hydrolysis.

280 Overall, the available evidence showing elevated cholinergic tone during arousal states seems  
281 unexpectedly limited and is largely based on older methods which relied on inhibiting ACh  
282 hydrolysis and which, by default, generated measurement time points incapable of revealing  
283 underlying potential phasic release patterns – patterns that are predicted based on the

284 neurophysiological activity of cholinergic soma during paradoxical sleep and wakefulness. In  
285 particular, recordings from cholinergic neurons in the basal forebrain indicate phasic, high  
286 frequency bursts during wake and REM sleep stages, that is, activity on a time scale that mirrors  
287 the time scale of transient ACh release events (Lee et al., 2005).

288 Consistent with these prior findings, we observed cholinergic transients, recorded at a sampling  
289 rate of 20 Hz in cortex and hippocampus, across all stages of the sleep/wake cycle but at a  
290 relatively higher frequency during REM sleep (Gritton et al., 2009). These transients had  
291 amplitudes of 5-40 pA and decay rates of 3-5 pA/sec. During REM sleep, the frequency of  
292 transients was about 4-fold higher than during slow-wave sleep (0.4 versus 0.1 transients/min),  
293 and also significantly higher than during wakefulness (0.25 transients/min). While such transient  
294 frequencies appear unexpectedly low, we observed non-correlated, or desynchronized, transients  
295 at recordings sites that were separated by only about 100  $\mu\text{m}$ . This finding suggests that within a  
296 neuronal space of 500  $\mu\text{m}^3$ , approximating the space contributing to analyte recovery in  
297 microdialysis studies (Dykstra et al., 1992), transients during REM sleep may occur at a rate of  
298 over 10-50/min. Such a rate would be robustly higher than the rate observed during behavior  
299 (above) and thus could readily account for the elevated ACh levels seen in studies which used  
300 microdialysis or other low-temporal resolution methods to monitor ACh.

### 301 ***Case 2: Cholinergic top-down control – evidence for a relatively “tonic” action of ACh?***

302 Thus far our description of the cognitive operations supported by cholinergic transients has  
303 focused on dynamic operations – shifts from one task or context representation (non-signal) to  
304 another (signal detection). However, successful cognition also requires the ability to maintain  
305 stability and stay “on task”, especially in the face of distractors or other challenges. The cholinergic  
306 system also plays a critical role in this aspect of cognition, one which we and others have  
307 previously ascribed to longer-term (seconds-to-minutes) cholinergic activity.

308 For example, right frontal and parietal ACh levels measured using microdialysis in rats performing  
309 the same signal-detection task used to demonstrate cholinergic transients (above) are elevated  
310 relatively to pre-task baseline and increase further in the face of a perceptual-attentional challenge  
311 (changing background illumination) that disrupts performance (St Peters et al., 2011; see Gill et  
312 al., 2000; Kozak et al., 2006 for additional evidence of the cholinergic system's essential role in  
313 responding to challenge). Humans performing a parallel task show parallel increases in activation  
314 along the right middle/inferior frontal gyrus (Berry et al., 2017; Demeter et al., 2011). These  
315 increases in ACh levels and activation appear to be more strongly related to attempts to maintain  
316 or regain the task set, and thus performance, than with successful performance *per se* (see also

317 Gritton et al., 2013; Paolone et al., 2012). They have thus been described as related to “attentional  
318 effort”, or the motivated activation of attentional systems in order to stabilize or recover  
319 performance, especially in the face of challenge (Sarter et al., 2006; for evidence from other  
320 investigators and tasks reaching similar conclusions see Passetti et al., 2000; McGaughy et al.,  
321 2002).

322 Support for this interpretation also comes from humans with a genetic variant that reduces the  
323 capacity of the neuronal choline transporter (CHT) *in vitro* (Okuda et al., 2002) and, expressed in  
324 mice, choline clearance *in vivo* (Donovan et al., 2019). CHT capacity is essential for, and the rate-  
325 limiting step of, ACh synthesis and release (for reviews see Okuda and Haga, 2003; Ferguson  
326 and Blakely, 2004; Sarter and Parikh, 2005). We showed that the attentional performance of  
327 humans expressing this sub-capacity CHT variant is drastically impaired in the presence of a  
328 distractor (Berry et al., 2014; for review of evidence from humans and from a mouse model of  
329 impaired CHT function see Sarter et al., 2016). Additional support for a cholinergic role in  
330 “attentional effort” has been derived from investigations in patients with Parkinson’s disease with  
331 PET-based determination of cholinergic losses, in addition to the disease defining striatal  
332 dopaminergic degeneration. In these patients, reduced signal detection is associated with  
333 denervation of thalamic, rather than cortical, cholinergic pathways (Kim et al., 2017b). Cortical  
334 cholinergic denervation is associated instead with an increased vulnerability to irrelevant external  
335 stimuli (Kim et al., 2017a).

336 Together these data would seem to present a strong case for a dissociation between a “shifting”  
337 function associated with cholinergic transients, and a “stabilization” function associated with more  
338 sustained cholinergic firing, and indeed that was our initial interpretation (e.g., Sarter et al., 2001).  
339 However, the dissociation may be anatomical, rather than temporal. The fMRI activation patterns  
340 associated with shift-hits are observed in an anterior PFC region associated with retrieval and  
341 turning attention towards internal representations (see above). In contrast, those associated with  
342 responding to distraction and other attentional challenges occur along the right middle/inferior  
343 frontal gyrus, in a region frequently discussed as a “hub” for the network-level neural  
344 representation of relevant task sets, so that cognition and behavior are driven by these goal-  
345 relevant task sets, rather than being stimulus-driven (e.g., Braver et al., 2009; Lustig and Sarter,  
346 2016; Berry et al., 2017).

347 Critically, maintaining representations in working memory - including task-set representations -  
348 does not require persistent neuronal firing (Lundqvist et al., 2018). Instead, they can be  
349 maintained by shifts in synaptic weights or coordinated variability and oscillatory behavior (e.g.,

350 Schmitz and Duncan, 2018; Lustig et al., 2007; Sadaghiani et al., 2015; Dehaene et al.,  
351 1998). Explicit activity may only be required during the initial acquisition, to recover the task set  
352 after an error, or to ‘protect’ the representation in the face of competing inputs (see especially the  
353 discussion in Dehaene et al., 1998), or more occasionally to ‘refresh’ the representation to  
354 counteract degradation in network coherence that would otherwise occur as a result of stochastic  
355 variability among its components (Lustig et al., 2009). Recent computational work demonstrates  
356 how cholinergic activity supporting the same fundamental operation – normalization, or shared  
357 variability among neurons – can support both stimulus and goal-driven attention by operating at  
358 different levels of the cortical hierarchy (Schmitz and Duncan, 2018).

359 In other words, the current evidence suggests that working memory representations – including  
360 those of the current task context – do not require constant, sustained neuronal spiking activity. In  
361 the absence of perturbation by external distractors or competing task sets, they can instead be  
362 maintained by correlated variability and shifts in synaptic weights, with occasional ‘refreshing’  
363 needed to counteract stochastic variability that over time degrades their synchronization. The  
364 introduction of competing stimuli/task sets increases the need to “reinforce” the correct  
365 representation, but again this may be accomplished by short-burst firing – albeit at a more closely-  
366 spaced intervals. This view predicts that populations with low CHT function should have largely  
367 preserved, though somewhat less stable, performance in the absence of competition, with  
368 increasingly degraded performance with increasing salience and frequency of competing inputs  
369 – exactly the pattern shown by humans with genetically reduced CHT capacity and Parkinson’s  
370 patients with cortical cholinergic degeneration but relatively preserved thalamic cholinergic  
371 innervation (see above).

372 We recognize that the distinction between “closely spaced cholinergic transients” and “persistent  
373 neuronal firing” may be difficult to empirically discern (but see Cui and Strowbridge, 2019 for a  
374 neuronal mechanism via which cholinergic transients can induced persistent firing of cortical  
375 cells). However, there are critical conceptual distinctions: by this view the frequency of cholinergic  
376 activity is driven quantitatively by situational needs to refresh the task-set representation in the  
377 face of interference, rather than being a qualitatively different physiological “mode” operating at a  
378 different timescale (see also Fiebelkorn and Kastner, 2019).

## 379 **Conclusions**

380 Traditional assumptions about relatively lasting brain states controlled by the forebrain cholinergic  
381 system have coalesced with traditional neurochemical methods which generate minute-based  
382 measures of cholinergic activity and sample from relatively large neuronal spaces. The

383 widespread uses of AChEIs to optimize ACh measures and as a pharmacological tool have further  
384 cemented the view that tonic (scale of 100s of seconds) changes in extracellular ACh levels  
385 mediate relatively large-scale cognitive functions (such as arousal or top-down attentional  
386 control). Based on the demonstration of the presence and functions of fast, phasic or “transient”  
387 cholinergic signaling, here we argue that cholinergic signaling and functions can be sufficiently  
388 described by the presence of cholinergic transients which mediate a single computation that,  
389 behaviorally, favors the detection of behaviorally significant cues in attentional settings,  
390 specifically when such detection involves shifts between modes of attention (e.g., intrinsic to  
391 extrinsic, or monitoring to cue-oriented responding). The interpretation of evidence from  
392 behavioral, neurophysiological as well as human imaging studies on the role of cholinergic  
393 signaling will be more constrained and eventually heuristically more powerful by focusing on the  
394 role of fast cholinergic signaling for defined computational processes. Moreover, the search for  
395 effective pro-cholinergic, pro-cognitive treatments may benefit significantly from moving away  
396 from drugs the effects of which conform with views about tonic cholinergic activity and function,  
397 such as AChEIs, to drugs that enhance and rescue transient cholinergic signaling or their post-  
398 synaptic processing (e.g., Kucinski et al., 2019; Uslaner et al., 2018; Moran et al., 2018; Howe et  
399 al., 2010).

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