

1 **Forebrain cholinergic signaling: Wired and phasic, not tonic, and causing behavior.**

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**28 Abstract**

29 Previous evidence in support of a slowly acting (scale of 100s of seconds) and volume-transmitted  
30 component of cholinergic signaling was based largely on studies using measures of brain  
31 acetylcholine (ACh) levels which required several minutes to generate a single data point and  
32 typically employed AChEsterase inhibitors (AChEIs) to foster ACh measurement. Moreover,  
33 collecting such data points in correlation with relatively stable behavioral states has further  
34 supported the view that extracellular ACh levels vary at a relatively slow rate. Here we argue that  
35 forebrain cholinergic signaling is exclusively phasic (milliseconds to perhaps seconds), unlikely to  
36 be volume-transmitted, and that previous neurochemical evidence and associated behavioral  
37 correlates may be re-interpreted in terms of integrated phasic cholinergic activity and specific  
38 behavioral and cognitive operations. The highly potent catalytic enzyme for ACh, AChE, prevents  
39 the presence of an “ambient” extracellular ACh level and thus renders it unlikely that ACh  
40 influences target regions via relatively slow changes in extracellular ACh concentrations. Real-  
41 time amperometric recordings of cholinergic signaling have suggested a specific function of rapid,  
42 phasic or transient cholinergic signaling in attentional contexts. Optogenetic studies support a  
43 causal relationship between these transients on behavior. Combined electrochemical and  
44 neurophysiological recordings revealed that the powerful behavioral control by cholinergic  
45 transients involves the generation of high-frequency oscillations. Such oscillations are thought to  
46 recruit efferent circuitry to (re)activate dormant task sets. Evidence showing the impact of genetic  
47 variations of the capacity of cholinergic synapses likewise can be interpreted in terms of their  
48 impact on the ability to sustain generation of repeated phasic cholinergic signals, as opposed to  
49 effects on ambient ACh levels. Further, while notions of slowly-changing, sleep stage-associated  
50 variations in extracellular ACh levels and their functions are widely accepted, the evidence is in  
51 fact fairly limited. An alternative hypothesis offers a role for high-frequency cholinergic transient  
52 signaling during REM sleep. By employing a theoretical framework that focuses on the phasic  
53 and causative characteristics and functions of cholinergic signaling, results from human cognitive  
54 neuroscience studies of cholinergic function may be substantially clarified and simplified.  
55 Compared to the current treatment of cholinergic deficits using AChEIs, the conceptualization of  
56 forebrain cholinergic signaling as wired, phasic, and causative predicts that drugs that either  
57 rescue transient presynaptic signaling, or amplify or rescue the postsynaptic impact of phasic  
58 signals, will be more efficacious in treating age- and dementia-related cognitive and cognitive-  
59 motor disorders.

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## 61 **Introduction: ACh as a phasic modulator**

62 Traditional descriptions of the anatomical organization of the basal forebrain cholinergic  
63 projections to telencephalic regions emphasize the hallmarks of a neuromodulatory system.  
64 These hallmarks include the presence of a relatively small number of soma in the basal forebrain  
65 giving rise to a relatively large innervation space, a limited topographical organization of  
66 cholinergic projections, a substantial degree of axonal collateralization, and the presence of extra-  
67 synaptic, or non-classical, receptors and, by implication, volume-transmission. Consequently,  
68 theories of cholinergic function have centered around the functions of slowly changing  
69 extracellular ACh levels (Yu and Dayan, 2002) and the role of volume transmission in, for  
70 example, primary visual cortex function (e.g., Lean et al., 2019). The main goal of this article is to  
71 critically probe these traditional descriptions, including our own prior interpretations (e.g., St  
72 Peters et al., 2011), that ACh acts by slowly (over 100 of seconds or even minutes) affecting the  
73 excitability of widespread target regions, and thereby primarily modulating relatively global  
74 functions such as “arousal”. As an alternative, we discuss the evidence in support of the view that  
75 ACh mediates neuromodulatory effects based on highly phasic and probably largely synaptic  
76 signaling. This re-conceptualization of ACh signaling as phasic, synaptic, and behaviorally causal  
77 fosters the integration of diverse levels of analysis of cholinergic functions in rodents, non-human  
78 primates and humans, the development of computational models, and more effective approaches  
79 to the psychopharmacological development of pro-cholinergic treatments.

80 Although the traditional anatomical and functional characteristics of forebrain cholinergic systems  
81 have been challenged in recent years (references below), the functional classification of ACh as  
82 a neuromodulator has persisted. Neuromodulation, however, has resisted a conclusive  
83 neuroscientific, categorical definition. Neuromodulators cannot be conclusively distinguished from  
84 “classical neurotransmitters” on the basis of the presence of volume transmission (e.g., Okubo et  
85 al., 2010) or of a particular class of post-synaptic receptors (ionotropic as well as metabotropic  
86 receptors for ACh). A focus on the description of effects on neuronal states (e.g., Picciotto et al.,  
87 2012; Avery and Krichmar, 2017) likewise does not conclusively identify neuromodulators and  
88 dissociates them from “classical neurotransmitters” (see also Table 1 in Dayan, 2012). Instead,  
89 as noted by Dayan (2012), neuromodulators can act via anatomically differentiated pathways and  
90 on fast timescales to support selective computations. Below we describe the evidence challenging  
91 the idea of ACh neuromodulation as nonspecific, slow, and spatially diffuse, and new evidence  
92 consistent with this more modern, computationally-based view of neuromodulator function.

93 **The catalytic power of acetylcholinesterase (AChE) supports spatially and temporally**  
94 **constrained cholinergic signaling**

95 The catalytic power of the AChE has been called “amazing” (Quinn, 1987). Indeed, the rate of  
96 ACh hydrolysis is limited by the rate of ACh diffusion to the active site, rather than by how quickly  
97 AChE can break it down. Thus, proposals suggesting extra-synaptic, or volume, transmission, or  
98 of nano- to micromolar concentrations of “ambient extracellular ACh levels”, capable of reaching  
99 targets across tens of micrometers of extracellular space (Descarries, 1998), require postulating  
100 mechanisms that limit synaptic hydrolysis of ACh. The AChE is abundantly present in the  
101 dendrites, perikarya, axons, and synaptic clefts (Blotnick-Rubin and Anglister, 2018), with a minor  
102 exception of the relatively small number of bipolar cholinergic interneurons in the cortex which  
103 exhibit low levels of AChE (Levey et al., 1984). Although translational and post-translational AChE  
104 modifications have been demonstrated and associated with neuro- and psychopathologies  
105 (Meshorer and Soreq, 2006), we are not aware of evidence that such modifications limit ACh  
106 hydrolysis to the degree that they could support the presence of ambient extracellular ACh levels.

107 We explored the possibility that a portion of newly released ACh in cortex escapes hydrolysis by  
108 endogenous AChE, by measuring new extracellular choline production (a main product of ACh  
109 hydrolysis) with choline-sensitive electrodes. In addition, we (co-)immobilized AChE on these  
110 electrodes to potentially hydrolyze ACh not hydrolyzed by endogenous AChE (Giuliano et al.,  
111 2008). *In vitro*, these electrodes were potentially able to detect “spared” extra-synaptic ACh at low  
112 femtomolar concentrations. In the cortex *in vivo*, we produced relatively large, non-physiological,  
113 potassium-driven waves of ACh release in order to optimize the possibility that a portion of such  
114 ACh escapes the endogenous AChE. However, even in such conditions, choline currents did not  
115 indicate that a portion of ACh “escaped” the endogenous AChE.

116 Related to the presence or absence of ambient extracellular ACh levels, the presence or absence  
117 of classical cholinergic synapses (in cortex) has remained in dispute (Umbriaco et al., 1994;  
118 Smiley et al., 1997; Descarries and Mechawar, 2000; Turrini et al., 2001). However, if ACh indeed  
119 is nearly completely hydrolyzed by endogenous AChE, a significant degree of volume  
120 transmission would appear unlikely. Moreover, different firing patterns of basal forebrain  
121 cholinergic neurons may support different spatial ACh release dynamics (e.g., Manns et al., 2000;  
122 Lee et al., 2005; Unal et al., 2012); however, for ACh to exert relatively distant effects, akin to  
123 effects of monoamines over several millimeters (Schneider et al., 1994; Puopolo et al., 2005), it  
124 would seem necessary to postulate additional regulatory constraints of the efficacy of AChE.

125 It may be of limited usefulness to raise such a binary question (“volume or not”) (see also Sarter  
126 et al., 2009), and conclusive experiments that could reject the presence of volume transmission  
127 do not appear straight forward. However, the cholinergic synapse seems exquisitely equipped to  
128 limit the spatial range of cholinergic signaling (see also Dunant and Gisiger, 2017). The recent  
129 demonstration that electrical stimulation yielded a very limited spread of activated (fluorescent)  
130 G-protein-coupled ACh receptors (Jing et al., 2018) is consistent with this view.

131 Cholinergic neurotransmission is also often viewed as temporally diffuse, exhibiting variations in  
132 release levels across minutes. The attribution of relatively long-lasting arousal states to different  
133 extracellular ACh levels has supported this view (e.g., Kametani and Kawamura, 1990; Marrosu  
134 et al., 1995). However, to a substantial degree, this view may be confounded by the limited  
135 temporal resolution of previously predominant methods for monitoring changes in extracellular  
136 ACh levels. Using microdialysis to collect ACh from the extracellular space typically yields  
137 samples containing pM to low nM concentrations which are close to the detection limit of  
138 traditional analytical methods. Thus, it has been necessary to collect samples over several  
139 minutes. Moreover, such collections typically occurred while an AChEI was reverse-dialyzed to  
140 artificially increase levels of recoverable ACh<sup>1</sup>. As a result, ACh levels were long considered to  
141 vary at the scale of minutes by methodological default.

142 However, the catalytic power of the AChE limits, at a superb speed and efficacy, the duration of  
143 ACh action. The minutes-scale of view of cholinergic neurotransmission is also challenged by  
144 evidence from experiments using newer methods that allow real-time monitoring of ACh release.  
145 Using amperometric measures of evoked choline currents, demonstrated to reflect newly released  
146 and hydrolyzed ACh (Parikh and Sarter, 2006), we observed phasic, or “transient” cholinergic  
147 activity in the prefrontal cortex of rats performing a signal detection task. Such transients reliably  
148 predicted “switch hits” – correct signal detections following either a long temporal delay or a  
149 perceived nonsignal trial (i.e., after a correct rejection or miss (Parikh et al., 2007; Howe et al.,  
150 2013; Howe et al., 2017). These transients did not occur for other trial types, including correct  
151 rejections, misses, or hits following other hits (there were too few false alarms to analyze). We  
152 further demonstrated that the behavioral power of cholinergic transients is due to the generation

<sup>1</sup>If it is correct that the AChE effectively limits, or even prevents, the presence of extracellular ACh concentrations, it would need to be postulated that the successful recovery of ACh by microdialysis, in the absence of an AChE-inhibitor in the perfusion medium (Himmelheber et al., 1998; Herzog et al., 2003; Chang et al., 2006), results from the protection of ACh from the AChE by the glia barrier formed in response to the probe penetration injury (Jaquins-Gerstl and Michael, 2009; for more discussion of such technical issues see Sarter and Kim, 2015).

153 of high frequency oscillations in cortex, requiring muscarinic M1 acetylcholine receptor (mAChR)  
154 stimulation (Howe et al., 2017).

155 Critically, optogenetic studies (Gritton et al., 2016) demonstrated that cholinergic transients cause  
156 behavior: Optogenetic inhibition of such transients during signal trials reduced hits, but did not  
157 affect correct rejections, similar to the effects of cholinergic lesions (McGaughy et al., 1996).  
158 Moreover, optogenetic generation of cholinergic transients during cued trials, which therefore  
159 coincided with, or substituted the occasional absence of, endogenously-generated transients,  
160 increased detection rates (or hits). However, the most conclusive evidence for the causal power  
161 of cholinergic transients comes from the effects of optogenetically-generated cholinergic  
162 transients during non-cue (or blank) trials - in which normally no such transients are observed.  
163 Evoked transients in such trials drastically increased the rate of false alarms (incorrect reports of  
164 a signal) from around 20% to nearly 50% (Gritton et al., 2016).

165 Further experimentation will be needed to disambiguate the precise computation driven by  
166 cholinergic transients. The task circumstances in which they have been demonstrated thus far  
167 suggest two possibilities: One is a cholinergic reduction of “expected uncertainty” (Yu and Dayan,  
168 2005) about the presence of a signal, to the point that invalid cholinergic transients can instill  
169 relative certainty about the presence of a signal even in blank (nonsignal) trials. The other is the  
170 (re)activation of the dormant task set associated with the signal (due to the long monitoring  
171 periods and that the signal occurs on 50% of trials, the participant spends most of the time in the  
172 ‘nonsignal’ state). This latter possibility is supported both by the isolation of the transient to signals  
173 occurring either after long temporal delays or (perceived) nonsignal trials, as well as fMRI data  
174 from humans performing a parallel task (Howe et al., 2013): The ‘shift-hits’ associated with  
175 cholinergic transients primarily activated a prefrontal region associated with switching from  
176 external (monitoring) to internal (task-set retrieval) processing (Burgess et al., 2005; Chun and  
177 Johnson, 2011). Regardless, either interpretation replaces the traditional view describing ACh in  
178 terms of functionality of relatively undefined variations “states” related to presumed extracellular  
179 “levels” of ACh with more specific operations determined by the presence or absence of discrete  
180 cholinergic transients.

### 181 **Anatomical foundations of locally-specific cholinergic signaling**

182 Contemporary neuroanatomical research has revealed a heretofore unexpected degree of  
183 anatomical and functional parcellation of basal forebrain cholinergic neurons and a highly  
184 topographical organization of the basal forebrain cholinergic projection system, including complex  
185 relationships between basal forebrain afferent with efferent projection patterns (Zaborszky et al.,

186 2008; Zaborszky et al., 2015b; Zaborszky et al., 2015a; Gielow and Zaborszky, 2017; Huppé-  
187 Gourgues et al., 2018; Lean et al., 2019). Combined with a limited degree of axonal  
188 collateralization (Price and Stern, 1983), this evidence suggests a neuronal projection system that  
189 can support regionally discrete cholinergic stimulation (see also Chavez and Zaborszky, 2017).  
190 The presence of neuronal subpopulations and topographic projections also supports proposals  
191 about cholinergic modules which can selectively modulate information processing in individual  
192 cortical areas and layers (see also Tingley et al., 2015).

193 The relatively high density of cholinergic contacts, relative to axonal lengths and neuron number  
194 (Mechawar et al., 2000), would seem to significantly limit the spatial selectivity of cholinergic  
195 function. However, further differentiation of cholinergic actions may be derived from the presence  
196 of target area-specific organization of microcircuits, involving diverse and regionally-specific  
197 populations of interneurons (e.g., Xiang et al., 1998; Eggermann and Feldmeyer, 2009; Chen et  
198 al., 2015). Moreover, evidence indicating neuronal activity-dependent cholinergic modulation of  
199 dendritic computation (e.g., Williams and Fletcher, 2018), and region-specific wiring of cholinergic  
200 terminals, in part via heteroreceptors expressed at cholinergic terminals (Lambe et al., 2003;  
201 Parikh et al., 2008; Parikh et al., 2010; Poorthuis et al., 2013), offer additional mechanisms for  
202 differentiated, locally-specific cholinergic signaling. Together, the previous view that ACh acts  
203 uniformly across large regions to, for example, “enhance cortical arousal”, seems increasingly  
204 obsolete.

### 205 **Cholinergic “tone”: an intuitive, method-derived but unneeded concept?**

206 As already mentioned, the traditionally dominating view that ACh acts relatively slowly to influence  
207 widespread target regions has been based in part on evidence obtained by using microdialysis to  
208 monitor extracellular ACh levels. Because of the limited sensitivity of conventional analytical  
209 methods, dialysates needed to be collected over several minutes in order to yield detectable  
210 concentrations of ACh in the sample (see also Footnote 1). Thus, by definition, data obtained  
211 from this method have suggested the functionality of slowly-changing levels of cholinergic tone  
212 (e.g., Savage, 2012; Coppola et al., 2016; Lecrux et al., 2017). Correlations between slowly-  
213 changing ACh levels with slowly-changing brain (arousal) states (e.g., Anaclet et al., 2015; Xu et  
214 al., 2015; Zant et al., 2016; Teles-Grilo Ruivo et al., 2017; Yang et al., 2017) have further  
215 supported the view that variations in “tonic” ACh levels are functional.

216 We repeatedly reported elevated ACh levels, based on the analysis of dialysate samples collected  
217 over 5-10 min and compared to pre-task baselines, in rats performing the very same sustained  
218 attention task which more recently yielded the demonstration of cue detection-associated

219 cholinergic transients (above). Moreover, such ACh levels were particularly high in the presence  
220 of a distractor that suppressed performance, and higher levels of ACh levels were correlated with  
221 better post-distractor performance recovery (St Peters et al., 2011). We interpreted these  
222 elevations as indicative of relatively better top-down control of attention, including task- and  
223 response rule-maintenance and performance compliance which support relatively better  
224 performance during and after the distractor period. In contrast, the unstable performance of rats  
225 with a neuronally-limited capacity for elevating cholinergic signaling was attributed to relatively  
226 weaker capacities for top-down control (Sarter and Paolone, 2011; Paolone et al., 2013; Sarter  
227 and Phillips, 2018). Other investigators reported similar findings and offered comparable  
228 conclusions from experiments in rodents performing tasks measuring similar or related cognitive-  
229 behavioral functions (Passeti et al., 2000; McGaughy et al., 2002).

230 Above we argued that the cholinergic synapse is designed and wired to support highly phasic  
231 cholinergic signaling. This view raises the question of whether dialysate-derived tonic ACh levels  
232 reflect the integration of transients. Because the dimensions of the neurochemical measures  
233 obtained from microdialysis versus enzyme-coated microelectrodes and amperometry cannot be  
234 readily unified, and because the measurement compartments and terminal fields monitored by  
235 these two methods differ rather profoundly (microdialysis probe insertion-induced millimeter-sized  
236 cavity versus reactions of enzyme immobilized on a micrometer-sized, relatively slim electrode;  
237 e.g., Fig. 2 in Howe et al., 2017), a direct test of this possibility has remained elusive. To  
238 complicate the issue further, the amperometric method is optimized for the measurement of  
239 transients and probably not capable of tracking slow or tonic changes in ACh (should those exist),  
240 largely because hydrolyzed choline spikes are rapidly cleared by cholinergic synapses and also  
241 diffuse into the interstitial space.

242 For a test of the possibility that dialysis-derived tonic ACh levels represent integrated cholinergic  
243 transients, we measured choline currents using amperometry and ACh levels using microdialysis  
244 in (necessarily separate groups of) rats performing a cued appetitive response task. In this task,  
245 amperometrically measured choline spikes occur in trials in which rats indicated behaviorally that  
246 they detected a cue which predicted subsequent reward delivery. Measures were obtained from  
247 prefrontal cortex and from motor cortex. To compare amperometric data with ACh levels  
248 measured in 8-min dialysate collections, we expressed both types of data dimension-free and  
249 collapsed transient amplitudes over 8-min periods (methods and results are detailed in  
250 Supplemental Data in Parikh et al., 2007). Statistical comparisons between these two data sets



251 indicate the absence of a significant difference, suggesting that microdialysis levels were e  
252 reproduced by folding transient data into time bins which matched the dialysis collection intervals.

253 Several caveats are important here. First, it should be acknowledged that we originally interpreted  
254 some aspects of this data, particularly the spatially-specific nature of cue-evoked transients  
255 (exclusively in mPFC and not motor cortex) versus the equivalent results for mPFC and motor  
256 cortex using either the microdialysis or re-analyzed amperometric data, as supporting different  
257 timescale mechanisms. However, although cue-evoked transients were confined to mPFC,  
258 amperometric activity did occur in motor cortex as well at various points in the trial – interestingly,  
259 the patterns suggested they may occur during shifts in motor behavior (e.g., from grooming to  
260 rearing). This leads to the second question of how transients could be integrated to lead to  
261 microdialysis results in light of the fast, highly-efficient action of AChE. As noted above, this may  
262 be related to the glial barrier created in response to the microdialysis probe penetration injury  
263 (Footnote 1).

264 In short, the evidence that transient signaling is sufficient to describe forebrain cholinergic  
265 signaling is currently tentative, but appears to be at least quantitatively possible. More critically,  
266 the evidence for longer-timescale action is methodologically problematic, and on first principles  
267 appears contradictory to the known efficiency of AChE. Definitive evidence on this point likely  
268 awaits further methodological development. However, to test the potential strength of a ‘phasic  
269 only’ conceptualization, below we assess the usefulness of this hypothesis in the context of  
270 evidence from research on arousal states and neurophysiological and cognitive neuroscience  
271 studies.

### 272 ***Arousal states: Cholinergic tone versus phasic ACh***

273 It has been widely accepted that forebrain cholinergic tone is elevated during REM sleep, and  
274 that ACh levels in that stage are nearly comparable with levels seen in the awake state. Indeed,  
275 evidence indicating arousal-state associated ACh levels has remained a major source of support  
276 for the idea of a cholinergic tone. However, this may once again be at least partially a  
277 methodological artifact.

278 The primary evidence comes from classical studies which preceded even the availability of  
279 microdialysis. Sealed chambers were placed onto the pial surface of the cortex of anesthetized  
280 and immobilized animals and perfused with AChEIs to prevent ACh hydrolysis. Individual samples  
281 were collected over 10-15 min periods. ACh levels in these samples, in response to electrical  
282 stimulation of the reticular formation, formed the basis of the notion that arousing events increase

283 cortical ACh levels (Celesia and Jasper, 1966; Phillis, 1968; Szerb, 1971). Subsequent  
284 microdialysis studies measured extracellular ACh levels in 5-60-min dialysate samples. Results  
285 from these studies seemed to confirm that ACh levels were higher during wakefulness and  
286 paradoxical sleep when compared with slow-wave-sleep (Kametani and Kawamura, 1990, 1991;  
287 Marrosu et al., 1995; Jimenez-Capdeville and Dykes, 1996). However, as noted above, these  
288 measurements occurred in the presence of AChE inhibitors in the perfusion fluid to prevent ACh  
289 hydrolysis.

290 Overall, the available evidence showing elevated cholinergic tone during arousal states seems  
291 unexpectedly limited and is largely based on older methods which relied on inhibiting ACh  
292 hydrolysis and which, by default, generated measurement time points incapable of revealing  
293 underlying potential phasic release patterns – patterns that are predicted based on the  
294 neurophysiological activity of cholinergic soma during paradoxical sleep and wakefulness. In  
295 particular, recordings from cholinergic neurons in the basal forebrain indicate phasic, high  
296 frequency bursts during wake and REM sleep stages, that is, activity on a time scale that mirrors  
297 the time scale of transient ACh release events (Lee et al., 2005). It will be important to measure  
298 transient generation during sleep stages in future studies and to determine whether integrated  
299 transients reproduce the minute-based elevations seen in studies using microdialysis.

### 300 **Genetic CHT variants, transients and attentional control**

301 The capacity of the neuronal choline transporter (CHT) to import choline into cholinergic terminals  
302 is essential for, and the rate-limiting step of, ACh synthesis and release (for reviews see Okuda  
303 and Haga, 2003; Ferguson and Blakely, 2004; Sarter and Parikh, 2005). In both rodents and  
304 humans, sub-capacity variants of the CHT that limit elevations of cholinergic activity are  
305 associated with attentional vulnerabilities (English et al., 2009; Paolone et al., 2013; Parikh et al.,  
306 2013; Berry et al., 2014; Sarter et al., 2016). These vulnerabilities have been interpreted in terms  
307 of relatively weak attentional control mechanisms that are revealed, in part, by heightened  
308 distractibility (e.g., Kim et al., 2017b; Sarter and Lustig, 2019). As this interpretational framework  
309 considers relatively weak attentional control in these populations as a psychological trait, our  
310 focus on phasic or transient ACh release raises the question of how variations in such phasic  
311 signaling can support the expression of a relatively complex and stable cognitive style.

312 As noted above, there are (at least) two potential interpretations of the computation performed by  
313 cholinergic transients. Each suggests a slightly different solution to this question. The first, that  
314 cholinergic transients reduce “expected uncertainty” about the presence of the signal, suggests  
315 that the subcapacity CHT variants should result in either a failure to generate cholinergic

316 transients, or to generate transients with a reduced amplitude, and that this in turn would reduce  
317 decisional certainty about the presence of the signal. The resulting conservative bias (“saying  
318 no”) would further impair detection performance and impede the capacity for shifting between  
319 internal and external attention (Chun et al., 2011)

320 The second possibility takes into account that (at least in humans) the transients associated with  
321 “shift-hits” are observed in anterior PFC, whereas activity associated with responding to  
322 distraction and other attentional challenges occurs in more dorsolateral/ventrolateral regions,  
323 especially along the border of right middle and inferior frontal gyri. A wide range of evidence from  
324 patient studies, task-based neuroimaging, and functional and anatomical connectivity studies  
325 point to this latter region as a major “hub” for interactions between attention networks, and its  
326 importance in motivated cognitive control (see review by Lustig and Sarter, 2016; see discussion  
327 in Berry et al., 2017, as well as many others). More specifically, right middle/inferior frontal gyrus  
328 has been discussed as critical for coordinating the network-level neural representation of (rMFG)  
329 relevant task sets, so that cognition and behavior are driven by these goal-relevant task sets,  
330 rather than being stimulus-driven (e.g., Braver et al., 2009).

331 Importantly, maintaining representations in working memory - including task-set representations  
332 - does not require tonic neuronal firing. Instead, they can be maintained by shifts in synaptic  
333 weights or coordinated variability and oscillatory behavior (e.g., Dehaene et al., 1998; Lustig et  
334 al., 2007; Sadaghiani et al., 2015; Schmitz and Duncan, 2018). Explicit activity may only be  
335 required only during the initial acquisition, to recover the task set after an error, or to ‘protect’ the  
336 representation in the face of competing inputs (see especially the discussion in Dehaene et al.,  
337 1998) or more occasionally to ‘refresh’ the representation as stochastic variability among  
338 components in the network gradually cause them to fall out of synch (Lustig et al., 2009).

339 These considerations concerning a second possible interpretational framework for cholinergic  
340 transients predict that reduced CHT function primarily results in behavioral deficits when repeated  
341 interference from irrelevant stimuli or task sets requires frequent, rapid refreshing of the relevant  
342 task set representation. Notably, this is the pattern shown by humans with a genetically reduced-  
343 capacity CHT: They fail to activate the right medial frontal gyrus in response to attentional  
344 challenge, but have preserved signal detection performance (Berry et al., 2015). However, they  
345 show a specific vulnerability to ongoing, salient external distractors both in the laboratory and  
346 everyday life (Berry et al., 2014).

347 In other words, as would be predicted by a CHT that limits the rate at which choline can be  
348 transported for the synthesis of ACh, humans expressing a reduced capacity variant of the CHT

349 appear to have a specific deficit when repeated cholinergic transients are required with relatively  
350 short intervals between successive transients. A similar pattern was shown in Parkinson's patients  
351 with primarily cortical (rather than thalamic) cholinergic degeneration: They showed preserved  
352 signal detection (Kim et al., 2017a) but specific vulnerabilities in the face of external distractors  
353 (Kim et al., 2017b). We recognize that, at some point the distinction between “closely spaced  
354 cholinergic transients” and “tonic neuronal firing” may be difficult to empirically discern. However,  
355 there are critical conceptual distinctions: By this view the frequency of cholinergic activity is driven  
356 quantitatively by situational needs to refresh the task-set representation in the face of interference,  
357 rather than being a qualitatively different physiological “mode” (see also Fiebelkorn and Kastner,  
358 2019).

359 This view appears to be consistent with a recent computational model suggesting that ACh acts  
360 to optimize a single computation that enhances multiple dimensions of the responses of cortical  
361 neurons (firing rate, rate variability, and correlated variability) to attention-demanding cues  
362 (termed “normalization”). This model predicts that loss of ACh-mediated normalization involves  
363 detection losses and enhanced distractibility (Schmitz and Duncan, 2018). As we argue herein,  
364 this model likewise does not require assuming that multiple time scales of cholinergic  
365 neurotransmission mediate dissociable cognitive functions of ACh.

### 366 **AChEIs and cholinergic transients**

367 AChEIs not only have been used to elevate extracellular ACh levels in order to recover detectable  
368 levels of ACh in neurochemical studies, and therefore to support notions about the functionality  
369 of tonic levels of ACh (above), but also as a pharmacological tool in human studies to determine  
370 effects of elevated ACh levels on cognitive functions. The synaptic effects of AChEIs are  
371 insufficiently described merely in terms of elevated ACh levels. AChEIs elevate extracellular ACh  
372 levels by several 100 to several 1000% (e.g., Giacobini et al., 1996; Scali et al., 2002; Noori et  
373 al., 2012). As ACh stimulates presynaptic autoinhibitory muscarinic acetylcholine receptors  
374 (mAChRs), such strikingly elevated ACh levels are expected to silence presynaptic ACh release  
375 (e.g., Yan and Surmeier, 1996). At the same time, postsynaptic mAChRs and nAChRs, including  
376 receptors which may be located at distant extrasynaptic sites, experience presumably non-  
377 physiological levels of stimulation. It is extremely difficult to see how the resulting scenario -  
378 blockade of presynaptic signaling combined with excessive postsynaptic stimulation - can  
379 preserve and even enhance cholinergic information processing. These complexities may explain  
380 the limited therapeutic efficacy of AChEIs for treating age- and neurodegeneration-related  
381 cognitive impairments (e.g., Courtney et al., 2004; Maher-Edwards et al., 2011).

382 The effects of AChEIs on pre- and postsynaptic signaling, particularly in interaction with  
383 endogenously generated transients, are not known (see also Basselin et al., 2009). Because the  
384 presence of ACEIs invalidates the amperometric measurement scheme used for recording  
385 cholinergic transients, this issue presently resists investigation. However, the finding that AChEIs  
386 sharpen the response of the visual cortex to visual stimulation, both in terms of fmri-based BOLD  
387 response (Silver et al., 2008) and the size of the receptive field of neurons in this region (Roberts  
388 et al., 2005), suggests that AChEIs, based on unknown mechanisms, can amplify spatially and  
389 temporally restricted cholinergic signaling (see also Runfeldt et al., 2014). Perhaps for this reason,  
390 AChEIs have been found occasionally to produce beneficial effects on attentional performance  
391 (e.g., Bentley et al., 2004; Rokem et al., 2010; Bauer et al., 2012; Gratton et al., 2017). New  
392 methods, such as G-protein-coupled ACh sensors (Jing et al., 2018) may be capable of shedding  
393 light on the effects of AChEI on phasic ACh release events.

### 394 **Conclusions**

395 Traditional assumptions about relatively lasting brain states controlled by the forebrain cholinergic  
396 system have coalesced with traditional neurochemical methods which generate minute-based  
397 measures of cholinergic activity and sample from relatively large neuronal spaces. The  
398 widespread uses of AChEIs to optimize ACh measures and as a pharmacological tool have further  
399 cemented the view that tonic (scale of 100s of seconds) changes in extracellular ACh levels  
400 mediate relatively large-scale cognitive functions (such as arousal or top-down attentional  
401 control). Based on the demonstration of the presence and functions of fast, phasic or “transient”  
402 cholinergic signaling, here we argue that cholinergic signaling and functions can be sufficiently  
403 described by the presence of cholinergic transients which mediate a single computation that,  
404 behaviorally, favors the detection of behaviorally significant cues in attentional settings,  
405 specifically when such detection involves shifts between modes of attention (e.g., intrinsic to  
406 extrinsic, or monitoring to cue-oriented responding). The interpretation of evidence from  
407 behavioral, neurophysiological as well as human imaging studies on the role of cholinergic  
408 signaling will be more constrained and eventually heuristically more powerful by abandoning  
409 intuitive notions about “tonic” cholinergic control of brain states and focusing instead on the role  
410 of fast cholinergic signaling for precise computational processes. Moreover, the search for  
411 effective pro-cholinergic, pro-cognitive treatments may benefit significantly from moving away  
412 from drugs the effects of which conform with views about tonic cholinergic activity and function,  
413 such as AChEIs, to drugs that enhance and rescue transient cholinergic signaling or their post-

414 synaptic processing (e.g., Howe et al., 2010; Moran et al., 2018; Uslaner et al., 2018; Kucinski et  
415 al., 2019).

416

417 **References**

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