

1 Article

2 Sustainable Development Evaluation of Foreign 3 Trade Based on Emergy Analysis Method in 4 Shenzhen City, China

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11

12 **Abstract:** The foreign trade sustainable development index system of Shenzhen City, including the
13 three subsystems of environment, economy, and society, was constructed based on the theory of
14 emergy analysis. The sustainable development of foreign trade in Shenzhen City from 2009 to 2016
15 was evaluated, and a detailed analysis of changes in the emergy of light and heavy industries was
16 performed. The results showed that the scale of economy has been expanding, and the total volume
17 of imports and exports has turned from a rise to a decline in 2013. The status of sustainable
18 development is not optimistic. The transaction volume of energy is reduced, and the quality of
19 people's living environment is declining. The sustainable development of Shenzhen City is not
20 perfect, but it is in a phase of gradual optimization. Moreover, the proportion of heavy industry in
21 import and export trade is significantly higher than that of light industry, which has caused the
22 outflow of energy to a certain extent. Therefore, to improve the level of foreign trade sustainable
23 development, we must improve the efficiency of resource utilization, increase the import of energy
24 products, strengthen the ability to cope with external interference and adjust the foreign trade
25 industrial structure.

26 **Keywords:** emergy analysis; foreign trade; sustainable development; Shenzhen City

27

28 1. Introduction

29 During the 20th century, the degree of economic globalization and international trade
30 liberalization deepened, and the economies of various countries faced the challenge of increasing
31 complementarity and dependence. The total volume of global foreign trade is growing. Foreign trade,
32 is the bridge between the economies of various provinces and cities in China and the world's
33 economy. Its status and role in the national economic development have changed substantially in
34 various Chinese provinces and cities (Tao, 2011). Foreign trade has become an important part of the
35 economy of various provinces and cities. Shenzhen City is the most important import and export
36 trade city in China, and the evaluation of urban trade sustainability is of great significance to the city's
37 future sustainable development (Li, 2011).

38 Evaluating international trade performance is an important part of sustainable trade
39 development. There are many foreign indices for evaluating the performance of import and export
40 trades (Liu, 2012). Different countries and organizations perform different analyses and evaluations,
41 including: (1) Focus on measuring the economic benefits of foreign trade, such as International Trade
42 and Competitiveness Index of the Organization for Economic Co-operation and Development
43 (OECD) and Trade Performance Indicators (TPI) developed by the World Trade Organization
44 International Trade Centre and United Nations Conference on Trade and Development (UNCTAD).
45 The OECD index only includes indices such as export growth rate and relative labor cost, and is

46 therefore relatively simple. Although the TPI index includes core indicators such as net exports, per
47 capita exports, world market share, product diversification, and the rate of change, the system is
48 relatively mature. However, neither system fully reflects the trade system and social ecological effects
49 (Cao and Kang,2011). (2) Focus on studying indicators of bilateral trade sustainable development.
50 Balassa (1965) proposed the Index of Revealed Comparative Advantage (RCA), which measures the
51 relative competition of export products from two countries by calculating the proportion of certain
52 types of export products in world trade. The degree is suitable for analyzing the sustainable
53 development of bilateral trade, but not for the systematic analysis of a country's (or region's)
54 sustainable development of foreign trade (Yang et al.,2010). (3) Studying the environmental effects of
55 the import and export trade, mainly to study the pollutant emissions tax based on the input-output
56 model (I-O). The impact of carbon dioxide emissions allow trading system or other policy
57 instruments to improve the competitiveness of a country's economy. Input-output model is used to
58 calculate the impact of foreign trade on environmental pollution (You,2011). However, this is an
59 evaluation system based on monetary measurements, which failed to directly reflect the import and
60 export trade effects from an environmental perspective (Bastianoni and Marchettini,1997).

61 Recently, the emergy theory based on solar energy accounting has been widely used in economic
62 and environmental impact evaluations. Lan et al. first applied the method of emergy analysis to
63 China's eco-economic system in 1994 (Lan and Odum,1994). After measuring the various energy
64 indicators, they concluded that the Chinese economy is largely supported the Chinese environment.
65 The environmental potential reached 98%, energy currency ratio was low, and a phenomenon not
66 beneficial to China's ecology and economy was evident—the export emergy was much higher than
67 the import emergy. Subsequently, Odum et al. applied the energy analysis method to China's
68 agricultural ecosystem, calculated the basic indicators of energy input and output, and evaluated the
69 environmental resource base and yield of natural agriculture (Odum et al.,1998). Sui and Lan
70 analyzed and compared the ecological flows and energy indicators of Guangzhou City and Hong
71 Kong in 2006 (Sui and Lan,2006). Other analyses were performed at the provincial, municipal, or
72 regional level using the theory of energy: for example, the ecological and economic systems of Shanxi,
73 Heilongjiang, Anhui, and Fujian provinces were quantitatively analyzed (Guo,2017; Tan,2012;
74 Zhang,2010). emergy analysis determines energy flow among the complex ecosystem components,
75 in addition to the energy exchange inside and outside the system. The comprehensive energy index
76 system can reflect the ecological and economic benefits, and show the function and contribution of
77 nature and man (Zhang,2011).

78 Therefor, based on the theory of emergy analysis and combined with the economic development
79 of foreign trade in Shenzhen City, we selected five secondary indicators , extracted three first-level
80 indicators, then constructed a sustainable development index system for foreign trade in Shenzhen
81 City. We further assessed the status of foreign trade in the context of light and heavy industries, and
82 finally put forward strategic proposals to ensure the sustainable development of foreign trade in
83 Shenzhen City.

84 2. Study Area

85 Shenzhen City is one of the four first-tier cities in China, the national economic center city and
86 the internationalized city positioned by the State Council. It's located in the south of Guangdong
87 Province, east of the Pearl River Estuary, separated from Hong Kong, east of Daya Bay and Dapeng
88 Bay, west of Pearl River Estuary and Lingding Ocean, south of Shenzhen River and Hong Kong, north
89 of Dongwan City and Huizhou City. The city has a total area of 1997.27 square kilometers (Figure 1).

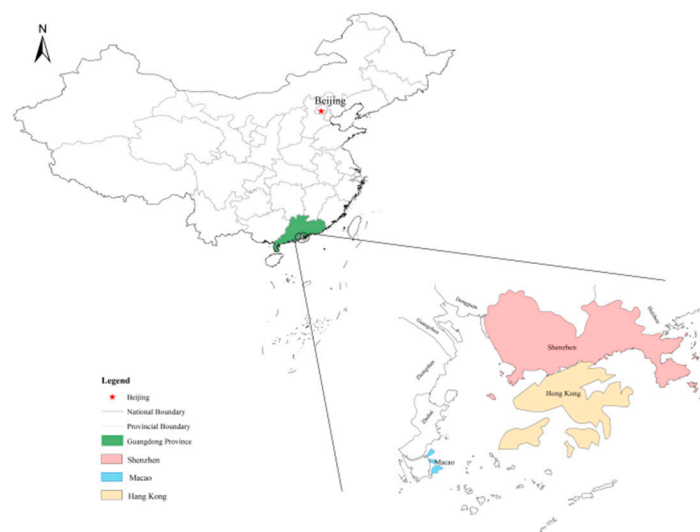


Figure 1. Map of the research area.

We collected statistics on foreign trade in Shenzhen City from 2009 to 2016 by referring to the "Energy Consumption by Industry" section in the "Shenzhen Statistical Yearbook". From the "Reference Coefficient of Various Energy Standard Coals" in the "China Energy Statistics Yearbook", this research determined the low calorific value. The value of the energy conversion rate was obtained from the book "Emergy Analysis of Ecol-economic System" by Lan et al., (Lan et al.,2002).

3. Methods

3.1. Calculating the Foreign Trade Emergy

Emergy is the amount of another energy contained in flowing or stored. Since the energy required for generating various resources, products, or services is directly or indirectly derived from solar energy, its value is used as a reference for measuring the value of different types of energy, and the unit is solar joule (abbreviation: sej) (Wang,2009). Emergy analysis refers to the comparative and quantitative study of all kinds of energy whose properties and sources are fundamentally different in terms of emergy units.

Through a common standard based on solar emergys, system analysis can compare various energies in a way that adds emergys, otherwise, the energy is not included in the comparison. According to the division of regional foreign trade industry types, emergy calculation uses raw data from the natural resources used in the foreign trade process. According to the emergy conversion rate, emergy is calculated to determine the regional foreign trade's solar emergy.

3.1.1. Classification of Foreign Trade Industry

We select 22 departments from the Shenzhen Statistical Yearbook (2009-2016) , as shown in Table 1.

Table 1. Department classification of foreign trade industry.

The serial number	Department	The serial number	Department	The serial number	Department
1	Oil and gas production	9	Petroleum processing coking and nuclear fuel processing industry	17	Electronic communication equipment manufacturing industry

2	Ore CaiXuanYe	10	Pharmaceutical chemical manufacturing	18	Instrumentation industry
3	Food processing and manufacturing	11	Rubber and plastic products industry	19	Electric power steam hot water production industry
4	Tobacco products industry	12	Non - metallic mineral products industry	20	Gas production and supply
5	Textile industry	13	Metal smelting and rolling industry	21	Water production and supply
6	Garment and leather manufacturing	14	Metal products industry	22	Other manufacturing industries
7	Wood furniture manufacturing	15	Mechanical industry		
8	Paper and paper products industry	16	Electrical machinery and equipment manufacturing		

114 Source: Shenzhen statistical yearbook (2009-2016).

115 3.1.2. Culationation of the Emergy of Each Industry

116 The emergy of the import and export trade only represents part of the industry's energy
 117 consumption. After dividing the import and export trade by industry, we calculated the total value
 118 of each industry's currency as a proportion of the total economic value, which represents the import
 119 and export trade's emergy as a proportion of the industry's total emergy, and finally calculates the
 120 total emergy of the industry, as shown in Table 2.

121 **Table 2.** Emergy conversion coefficient table.

Year	2009	2010	2011	2012	2013	2014	2015	2016
Conversion coefficient	0.33	0.35	0.36	0.36	0.37	0.37	0.25	0.25

122 Due to the different energy unit calorific values and emergy conversion rates, we obtained the
 123 emergy conversion rate from the emergy analysis of ecological economic systems by Shengfang et al.
 124 (Lan et al.,2002). To calculate the energy value of 22 industries, we multiply the consumption data of
 125 coal, coke, crude oil, gasoline, kerosene, diesel, fuel oil, natural gas and electricity by the unit heat
 126 value, and then multiply it by the energy conversion rate. And the consumption data is from the
 127 "Energy Consumption by Industry" in the Shenzhen Statistical Yearbook.

128 Since the low calorific value was obtained by deducting water vapors latent heat of vaporization
 129 in flue gas from the high calorific value (i.e., all the heat released when 1 kg of fuel is completely
 130 burned), it is closer to the actual calorific value of industrial boiler combustion. Therefore, this value
 131 was adopted in our current study. The low calorific value and emergy conversion rate of these nine
 132 energy sources are shown in Table 3.

133 **Table 3.** Low calorific value and emergy conversion rate of energy sources.

Types of energy	Low calorific value	Emergy conversion rate (sej/ J)
coal	2.9000 × 10 ¹⁰ (joule/ton)	4.0 × 10 ⁴
Crude oil	4.1816 × 10 ¹⁰ (joule/ton)	5.4 × 10 ⁴
Refined petroleum products	4.3070 × 10 ¹⁰ (joule/ton)	6.6 × 10 ⁴
Natural gas	(joule/ton)	4.8 × 10 ⁴

Electric power	3.6000 × 10 ¹⁰ (joule/ton)	1.6 × 10 ⁴
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134 Data source: low calorific value comes from the reference coefficient of standard coal for all kinds of
 135 energy in China energy statistical yearbook 2013; Energy conversion rate comes from energy analysis
 136 of eco-economic system by LAN shengfang et al. (2002).

137 Note: 1) coal includes coal and coke;

138 2) products include diesel oil, gasoline, kerosene and fuel oil.

139 Imported goods consume foreign energy, which should be measured according to the energy
 140 consumption of other countries. However, due to the large number of importing countries involved,
 141 and the difficulty of obtaining energy consumption data by industry, we assumed that the energy
 142 efficiency of each importing country was similar to that of Shenzhen City when measuring the total
 143 foreign trade energy.

144 According to the classified energy consumption data of each industry, the energy consumption
 145 value was calculated. The formula is as follows:

$$146 \quad E = E1 * E2 * E3 = e1 * e2 * e3 \quad (1)$$

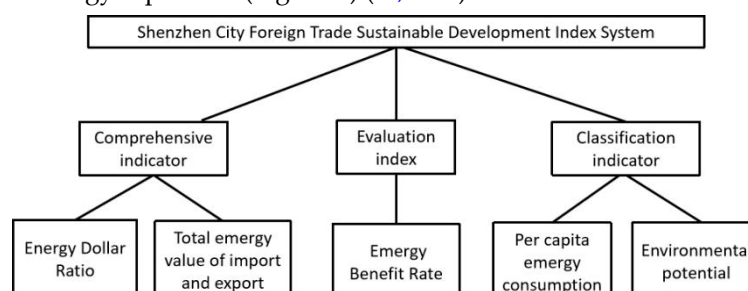
147 Here, E is the import and export emergy of various industries, $E1$ is energy consumed by various
 148 industries, $E2$ is emergy conversion rate, $E3$ is emergy conversion coefficient, $e1$ is energy
 149 consumption in various industries, $e2$ is unit calorific value, f is the emergy conversion rate, and $e3$ is
 150 the emergy conversion coefficient.

151 EMD_{ijt} is used to indicate the import and export emergy of the j th energy consumption in the t -
 152 year of the i -industry ($i=1,2,3...n$; $j=1,2,3...m$; $t=1,2,3...t$). Then, the emergy of the import and export of
 153 the i -industry in the t -year was EMD_{ijt}. Its calculation formula is as follows:

$$154 \quad EMD_{it} = \sum EMD_{ijt} \quad (2)$$

155 3.2. Evaluation Index System of Foreign Trade Sustainable Development

156 In the evaluation index system for constructing foreign trade sustainable development, the
 157 secondary indicators used in this research were: (1) Emergy dollar ratio; (2) environmental potential;
 158 (3) per capita emergy; (4) emergy benefit rate; and (5) the total emergy of import and export. We
 159 further categorized them into three primary indicators: (1) Comprehensive indicators, where the
 160 overall economic development is evaluated based on the emergy dollar ratio and total emergy of
 161 imports and exports. (2) Classification indicators, where the economy's impact on the environment
 162 and people's living standards is reflected in the per capita emergy consumption and environmental
 163 potential. (3) Evaluation indicators, where the degree of a country's foreign trade development status
 164 is measured by the emergy input rate (Figure 2) (Li, 2011).



165

166 **Figure 2.** Emery analysis index system diagram.

167 3.2.1. Emery Dollar Ratio (EDR)

168 Emery dollar ratio (EDR) is the equivalent amount of energy in a country or region, which is
 169 the ratio of a country or region's total emery divided by the current currency. To some extent, the
 170 monetary rate of money reflects the system's ability to purchase money. The more emerys are
 171 available in a unit of currency, the greater the ratio, indicating that the lower the economic
 172 development of the system, implies the need for investing in high-energy technologies to replace low-
 173 energy resources, to improve the overall benefits from resources. The less emery a unit currency can
 174 buy, the smaller the ratio. In general, the same amount of money spent in underdeveloped areas can
 175 buy more energy. Its calculation formula is as follows:

$$176 \quad EDR = \text{total energy of foreign trade} / \text{volume of foreign trade currency} \quad (3)$$

177 3.2.2. Environmental Potential (EP)

178 Environmental potential (EP) is the ratio of renewable energy to the total energy use value, and
 179 can evaluate the natural environment's ability to support the system's production. EP can be used to
 180 account for the extent to which the system depends on the natural environment and the contribution
 181 of natural environmental resource emerys to economic development. The higher the environmental
 182 potential, the stronger the supportive ability of the natural environment, and the lower the degree of
 183 economic development. Its calculation formula is as follows:

$$184 \quad EP = \text{renewable resource energy} / \text{total system utilization energy} \quad (4)$$

185 3.2.3. Per Capita Emery Consumption (PEC)

186 From the perspective of macro-ecological economics, it is more scientific and comprehensive to
 187 measure people's living standard and quality of life using per capita emery consumption (PEC) than
 188 the traditional per capita income. The real wealth that an individual possesses includes the free
 189 emery of the natural environment that is not quantified by market tendencies, the emery of
 190 bartering with other people without participating in any currency flow, and the emery reflected in
 191 the currency through market exchange (Lan and Odum,1994). These aspects of "wealth" enjoyed by
 192 people cannot be fully reflected simply by personal economics and monetary income. Its calculation
 193 formula is as follows:

$$194 \quad PEC = \text{total emery of foreign trade} / \text{total population} \quad (5)$$

195 3.2.4. Emery Benefit Rate (EBR)

196 Emery benefit rate (EBR) reflects the profitability of a country or region in foreign trade. When
 197 it is greater than 1, it indicates that the import emery of a country or region is greater than the export
 198 emery (Lan et al.,2002), so Shenzhen City benefits from foreign trade. On the contrary, it is at the
 199 disadvantage of emery loss. Its calculation formula is as follows:

$$200 \quad EBR = \text{import emery} / \text{export emery} \quad (6)$$

201 3.2.5. Total Emergy of Import and Export

202 From a dynamic point of view, country or region's sustained economical growth will inevitably
 203 be accompanied by an increase in total foreign trade. However, using the import and export trade
 204 does not indicate the fairness of international trade. Even if the export value is higher than that of
 205 import, it is not necessarily a loss. It can only be measured by the total emergy of import and export
 206 (Li et al.,2001). The total emergy of a country's imports and exports refers to the sum of the emergy
 207 of a country's imports and exports of goods and services over a certain period of time (usually one
 208 year). Its calculation formula is as follows:

$$209 \quad \text{Total emergy of imports and exports} = \text{emergy of imported goods and services} + \text{emergy of} \\ 210 \quad \text{exported goods and services} \quad (7)$$

211 3.3. Calculation of Emergy of Key Industries

212 In the Encyclopedia of China, heavy industry refers to the production of materials including
 213 energy, machine manufacturing, electronics, chemicals, metallurgy, and building materials. The
 214 energy industry includes the coal, oil, and power industries. The machinery manufacturing industry
 215 includes coal mining equipment; power generation; automobile industry chemical industry including
 216 sulfuric acid, alkali, fertilizer; petrochemical industry; metallurgical industry including steel; non-
 217 ferrous metal industry; building materials including cement; glass industry; etc. (Li,2010).

218 Light industry refers to the industries mainly providing consumer goods and handtools.
 219 According to the different raw materials used, it can be divided into two categories: (1) The first type
 220 directly or indirectly uses agricultural products as basic raw materials. It mainly includes industries
 221 such as food manufacturing, beverage manufacturing, tobacco processing, textile, sewing, leather
 222 and fur making, paper making, and printing; and (2) the second type uses industrial products as raw
 223 materials. It mainly includes cultural and educational sporting goods, chemical manufacturing,
 224 synthetic fiber manufacturing, daily chemical products, household glass products, daily metal
 225 products, hand tools manufacturing, medical device manufacturing, as well as cultural and office
 226 machinery manufacturing industries (Li,2010).

227 In order to illustrate the main structure of emergy flow in Shenzhen City during the trade
 228 process, we analyzed foreign trade value from the perspective of the heavy chemical industry and
 229 light industry.

230 3.3.1. Emergy Measurement of Heavy Industry Sector

231 According to the heavy industry sector definitions and classifications, 10 sectors including the
 232 mining and dressing industry, chemical industry, and transportation equipment manufacturing
 233 industry were classified as heavy industry sectors. emergys for each sector represented the sum of
 234 total emergys for the heavy industry sector. Its calculation formula is as follows:

$$235 \quad H=H1+H2+H3+H4+H5+H6+H7+H8+H9+H10 \quad (8)$$

236 Where, H is heavy industry sector emergy, $H1$ is emergy of ore mining industry, $H2$ is food
 237 processing and manufacturing emergy, $H3$ is petroleum processing coking and nucleus processing
 238 industry emergy, $H4$ is medical chemical manufacturing emergy, $H5$ is rubber and plastic products
 239 industry emergy, $H6$ is non-metallic mineral products industry emergy, $H7$ is metal smelting and

240 rolling processing industry energy, $H8$ is metal products industry energy, $H9$ is machinery industry
241 energy, and $H10$ is electrical machinery and equipment manufacturing energy.

242 3.3.2. Energy Measurement Of Light Industry Sector

243 According to the light industry sector definitions and classifications, seven sectors including the
244 tobacco products industry, textile industry, clothing and leather manufacturing industry, wood
245 furniture manufacturing industry, paper industry, and paper products industry were classified as
246 light industry sectors. The emergys of each sector represented the sum of total emergys of the light
247 industry sectors. Its calculation formula is as follows:

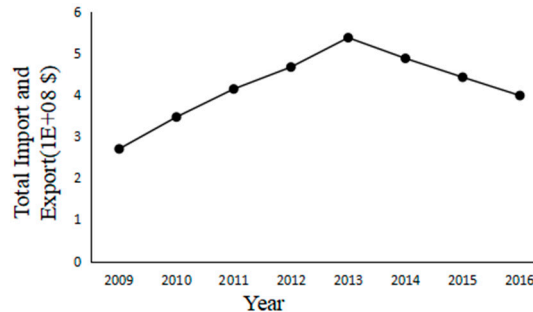
$$248 \quad L = L1+L2+L3+L4+L5+L6+L7 \quad (9)$$

249 Where, L is light industry sector energy, $L1$ is tobacco product industry energy, $L2$ is textile
250 industry energy, $L3$ is clothing leather manufacturing energy, $L4$ is wood furniture manufacturing
251 energy, $L5$ is paper and paper products industry energy, $L6$ is electronic communication equipment
252 manufacturing energy, and $L7$ is instrumentation manufacturing industry energy.

253 4. Results Analysis

254 4.1. Foreign Trade Development Status of Shenzhen City

255 In recent years, Shenzhen City has experienced rapid development, and its foreign trade changes
256 are shown in Figure 3.



257

258 **Figure 3.** Changes in the total import and export volume of Shenzhen City.

259 Figure 3 shows that total import and export volume in Shenzhen City began to decline with the
260 2013 turning point, which may have been affected by the establishment of the Shanghai Free Trade
261 Zone, which implements the RenMinBi Yuan (RMB) apital project opening, promotes commodity
262 futures trading, establishes an administrative management system that is compatible with the
263 international high-standard investment and trade rules system, and implements a series of new
264 reform policies such as negative inventory management. This may have attracted a large number of
265 foreign capital introductions, sharing the advantages of Shenzhen City as a special economic zone,
266 which in turn led to a decline in the volume of import and export trade.

267 4.2. Foreign Trade Energy of Major Industries

268 According to the statistical data of energy consumption in different industries obtained from the
269 "Shenzhen Statistical Yearbook" from 2009 to 2016, the total foreign trade emergys of 22 industries
270 in the national economic statistics of Shenzhen City from 2009 to 2016 were calculated using the
271 energy analysis method. The results are shown in Table 4.

272

Table 4. Foreign trade emergys of 22 industries in China from 2009 to 2016.

Year Industry	2009	2010	2011	2012	2013	2014	2015	2016
1	297.91	297.91	269.86	277.35	197.30	130.78	132.66	117.58
2	0.00	0.00	0.00	0.10	0.04	0.66	0.62	1.07
3	113.82	113.82	33.16	15.15	12.95	29.74	25.03	5.73
4	7.37	7.37	5.97	3.48	0.23	1.00	0.85	0.30
5	65.25	65.25	56.97	17.29	2.43	10.93	8.74	2.77
6	111.22	111.22	14.03	10.51	7.80	27.72	22.62	7.57
7	54.01	54.01	6.27	5.59	5.08	16.07	11.34	4.84
8	261.32	261.32	35.90	30.10	28.84	78.42	66.51	25.03
9	13.55	13.55	5.43	0.60	0.39	0.19	0.17	0.20
10	132.76	132.76	26.10	16.91	14.65	13.84	11.94	13.06
11	540.19	540.19	495.57	296.74	31.55	156.69	122.89	44.51
12	231.64	231.64	225.63	163.75	33.68	68.78	57.57	28.36
13	31.78	31.78	14.18	7.84	7.41	16.74	13.25	5.35
14	304.42	304.42	240.91	137.07	21.71	76.56	62.78	21.76
15	236.79	236.79	56.26	46.26	43.65	152.80	137.99	82.79
16	754.08	754.08	648.07	314.03	24.16	173.56	143.12	45.96
17	1601.19	1601.19	1403.24	1097.78	47.95	606.04	520.14	159.00
18	175.35	175.35	141.11	33.44	3.32	23.20	19.75	5.95
19	82.96	82.96	7.79	2.26	1.73	7.09	6.07	2.11
20	4576.09	4576.09	8139.88	6851.27	1863.40	1745.68	1273.58	869.06
21	7.57	7.57	15.80	14.02	1.84	6.64	5.06	1.90
22	107.59	107.59	126.56	97.80	2.32	54.20	47.18	12.90

273

Source: Shenzhen City statistical yearbook unit: 101.8 billion solar joules.

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4.3. Calculation Results of Each Index

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4.3.1. Emery Dollar Ratio (EDR)

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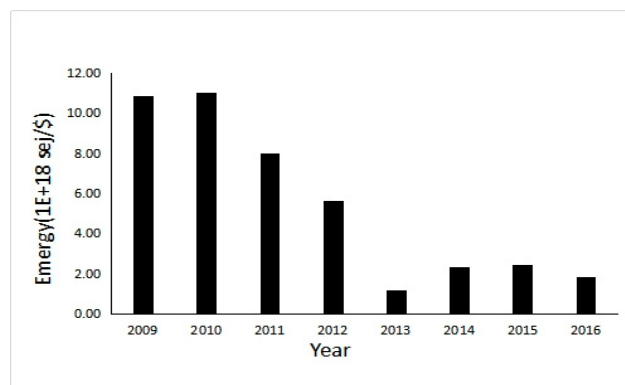
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From 2009 to 2016, foreign trade EDR in Shenzhen City showed a wave-like decreasing trend (Figure 4). The EDR increased from 11.02×10^{18} sej/\$, fell to 1.18×10^{18} sej/\$, with a maximum range of 9.84×10^{18} sej/\$. These data macroscopically reflect that the emery purchased by the unit currency in the continuous development of Shenzhen City's foreign trade gradually decreased, and that the value of natural resources increased.



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282

Fig.4 Exchange rate of ECR in Shenzhen City's foreign trade from 2009 to 2016.

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4.3.2. Environmental Potential (EP)

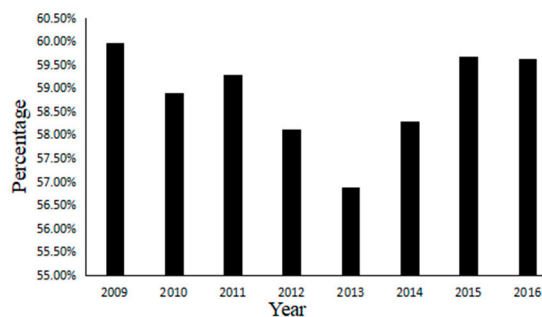
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The foreign trade system is not a closed and isolated development. It should be connected with the outside world on the basis of self-reliance. In the emery analysis theory, the environmental

286 potential (EP) was used to express the self-sufficiency of local resources. The trend is shown in Figure
287 5.

288 The EP of foreign trade in Shenzhen City in 2009–2016 had a wavy trend. In 2013, the emergy
289 reached a maximum, and the environmental potential was reduced to the lowest value. After rising
290 in 2015, it only dropped by 0.06 percentage points between 2015 and 2016. These data indicate that
291 the environmental potential of foreign trade in Shenzhen City is relatively low, and that purchasing
292 emergy accounts for the majority—which is conducive to the best use of resources—and the overall
293 degree of economic development are gradually increasing. For further improvement, we should give
294 full play to resource advantages and accelerate the economic development of foreign trade in
295 Shenzhen City on the basis of effective use and scientific management of its own resources.

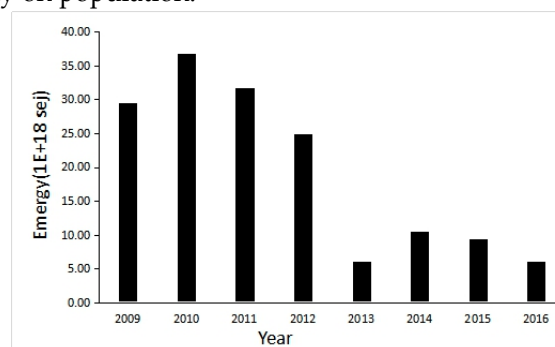


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297 **Fig.5** Environmental potential of Shenzhen City's foreign trade from 2009 to 2016.

298 4.3.3. Per Capita Emergy Consumption

299 With the continuous increase in population in Shenzhen City, the emergy of resources for social
300 and economic development is also increasing, and the per capita emergy consumption shows an
301 overall decline trend (Figure 6). In 2010, the per capita emergy consumption was 36.86×10^{18} sej, and
302 in 2013 it fell to 5.98×10^{18} sej, followed by a small increase and decrease. Due to the intermittent
303 nature of industrial upgrading, the per capita emergy consumption in 2014 and 2015 increased
304 respectively compared to 2013 and 2016. This may have an adverse impact on the living standards
305 and environmental quality on population.

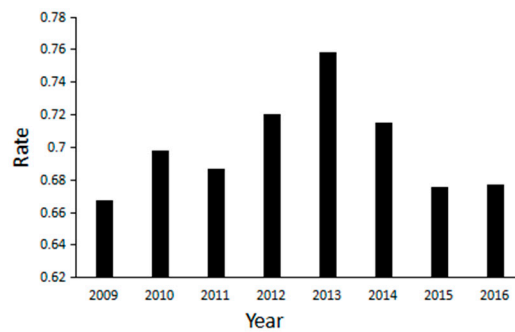


306

307 **Fig.6** per capita emergy consumption in Shenzhen City's foreign trade from 2009 to 2016.

308 4.3.4. Emergy Benefit Rate

309 From the 2009–2016 emergy benefit rate in Shenzhen City, the value-for-money benefit rate is
310 shown to rise and fall in the range of less than one. That is to say, in the whole foreign trade, the
311 import emergy of Shenzhen City is always less than the export emergy, and the output emergy is
312 greater than the input emergy, which is in an unfavorable position (Figure 7). This reflects the
313 unreasonable structure of foreign trade, and explains the irrationality of economic and industrial
314 structures at a deeper level.



315

316

Fig.7 Changes in the energy benefit rate of foreign trade in Shenzhen City from 2009 to 2016.

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4.3.5. Total Emery of Import and Export

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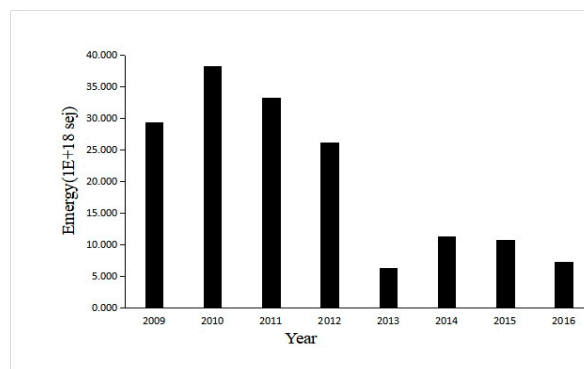
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In our current study, the total emery of import and export is a summary of the import and export emerys of 22 industries. Changes in the total emery of foreign trade in 2009–2016 are shown in Figure 8. The data show that after a slow rise in 2009–2011, a sharp decline began, indicating that foreign trade in Shenzhen City may be in an unfavorable state, and its fairness remains to be seen. This situation may be affected by the international economic environment.



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Figure 8. Changes of total emery of import and export of foreign trade in Shenzhen City from 2009 to 2016.

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4.4. Comprehensive Evaluation of the Sustainable Development of Foreign Trade

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After calculating the emery of foreign trade in Shenzhen City via emery analysis, the sustainability of foreign trade was evaluated using the emery index system constructed from three aspects: economy, society, and environment, as shown in Table 5. Comprehensive analysis showed that foreign trade sustainable development had great potential for improvement in Shenzhen City.

331

Table 5. Analysis of emery index system results.

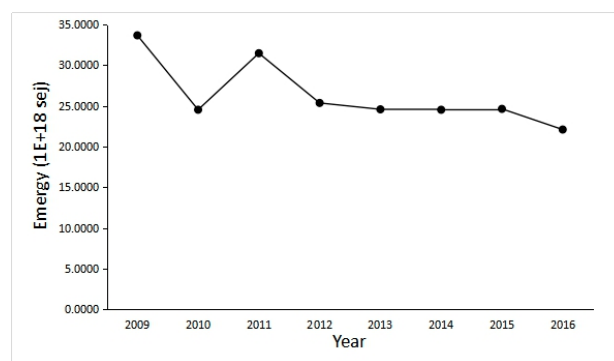
Level indicators	The secondary indicators	Trend analysis	Energy Angle result analysis
Composite indicator	Emery Dollar Ratio	It's going to go down in waves	The purchasing power of currency on resources decreases, the transaction volume of emery decreases, and the structure of foreign trade changes to higher energy quality
	Total emery import and export	It's going to go down in waves	
Classification	Per capita emery	Shows an overall	The impact of foreign trade on the environment has been

indexes	consumption	downward trend	improved and the quality of people's living environment has declined
	Environmental potential	Waves rise and then fall	
The evaluation index	Emergy benefit rate	First up and then down	Shenzhen City foreign trade for the loss of the adverse position

332 4.5. Analysis of Key Industries

333 4.5.1. Emergy Analysis of Heavy Industry Sector

334 The heavy chemical industry sector is comprised of 10 sectors including mining and mining
 335 industry, chemical industry, transportation equipment manufacturing industry, and its emergy
 336 consumption chart for 2009–2016, as shown in Figure 9.



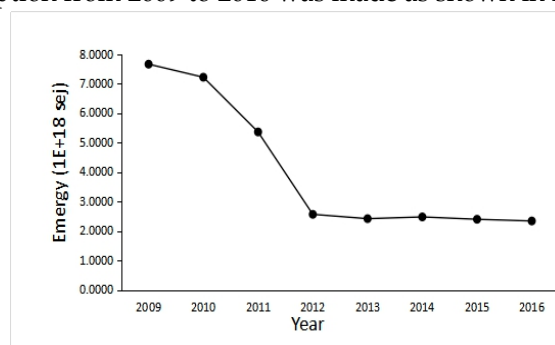
337

338 **Figure 9.** Emergy consumption trend of heavy industry from 2009 to 2016.

339 The heavy industry sector's trade value plummeted as a whole before 2010. After a sharp
 340 increase in 2010, it began to decline at a relatively steady rate in 2011, and was relatively stable in
 341 2016 (Figure 11). These data show that the emergy of heavy chemical industry trade in Shenzhen City
 342 over recent years has gradually developed in favor of ecology and sustainable trade development.
 343 With emphasis on and control of heavy energy pollution in Shenzhen City caused by heavy chemical
 344 industries, the heavy chemical industry export values are gradually reduced, which is beneficial for
 345 economic and environmental benefits. However, the opposite trend that occurred in 2010 implies the
 346 lack of current policy measures.

347 4.5.2. Emergy Analysis of Light Industry Sector

348 According to the light industry sector's definition and classification, the trend chart of young
 349 industry emergy consumption from 2009 to 2016 was made as shown in Figure 10.



350

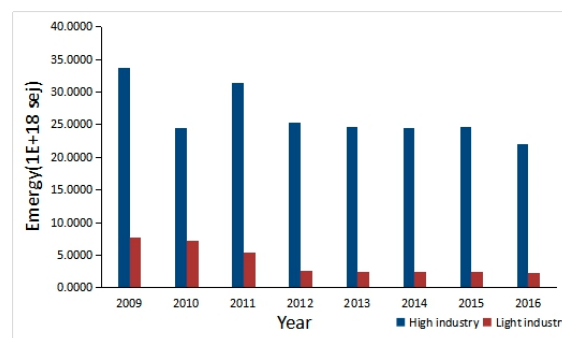
351 **Figure 10.** Emergy consumption trend of light industry from 2009 to 2016.

352 The light industry sector's emergy trend was very clear, especially since 2012, its curve remained
 353 almost stable, and both had surplus from 2009–2016. In addition to the small fluctuations during the
 354 initial individual years, the overall performance of the two countries gradually decreased before 2012,
 355 and both experienced a small to large decline. The large emergy surplus in the light industry sector
 356 indicated the outflow of ecological benefits in Shenzhen City. However, the overall light industry
 357 sector trade is in a state of inflow of economic interests, which represents a relatively contradictory
 358 situation. It is therefore necessary to take measures of regulation and control so that trade and ecology
 359 can achieve a win–win situation.

360 4.5.3. Comparative Analysis

361 A comparative analysis of trade emergys for light and heavy industrial sectors can be made by
 362 comparing the emergys from 2009–2016 young heavy industry trade as shown in Figure 11.

363 The volatility of heavy industrial trade emergys was more severe, while the fluctuation of trade
 364 emergys in the light industry sector generally declined over time. In 2009–2016, the emergy of trade
 365 in the heavy chemical industry sector was greater than that in the light industry sector. The specific
 366 comparative analysis showed that although there was a trade surplus in the heavy chemical industry
 367 sector, it caused certain damage to the ecological interests of Shenzhen City. However, in recent years,
 368 the surplus values have been shrinking, that is, in the direction of foreign trade sustainable
 369 development. Although the light industry sector has achieved great development, the difference
 370 between the export and import emergy is still increasing year by year, indicating that there is too
 371 much emergy flowing out of the light industry production sector in Shenzhen City, and measures
 372 must be recorded to improve it.



373
 374 **Figure 11.** Comparison of emergys of young heavy industry trade from 2009 to 2016.

375 4.5.4. Analysis on the Sustainable Development of Foreign Trade in Light and Heavy Industries

376 The emergy analysis method can be used to measure the industry's internal time change analysis
 377 and the foreign trade according to the light and heavy industries. This reflects the overall situation of
 378 the three subsystems of foreign trade in Shenzhen City: economy, society, and environment.

379 The inter-annual changes in industrial structure in Shenzhen City, show that foreign trade relies
 380 on heavy industries with lower energy quality, while light industries with higher energy quality
 381 account for a smaller proportion, and the natural economic benefits of high-energy industry resources
 382 are less than low-energy industries. This leads to the loss of natural resources in foreign trade,
 383 reflecting the irrational structure of foreign trade industry. Although the heavy chemical industry
 384 sector suffers from the trade surplus value, it causes certain damage to ecological interests. However,
 385 in recent years, the surplus value has been shrinking, that is, it is conducive to the development of
 386 ecology and sustainable trade.

387 In summary, industrial structure in Shenzhen City requires further adjustment. Although the
 388 current sustainable development of foreign trade is not optimistic, it is in a state of gradual
 389 optimization.

390 5. Conclusions

391 In Shenzhen City, from the perspective of foreign trade energy analysis, foreign trade was in an
392 unfavorable environment in 2009–2016. The energy purchased by the unit currency is gradually
393 declining, and the value of natural resources is rising. People's living standards and quality of life
394 may decline, the import energy is always less than the export energy, and the output energy is
395 greater than the input energy, which is at a disadvantage. It can be seen that during this period, the
396 sustainable development of foreign trade suffered a certain degree of damage.

397 The energy of import and export trade in Shenzhen City is continuously lost. From a currency
398 perspective, its scale continues to expand. The tension between the growth of foreign trade economic
399 value and the loss of energy reflects the irrational use of foreign trade for environmental resources.
400 The ever-expanding scale of trade has adversely affected environmental resources, making it more
401 difficult for foreign trade to achieve sustainable development.

402 In the import and export of light and heavy industry in Shenzhen, it can be seen that on the one
403 hand, the proportion of heavy industry energy value is significantly higher than that of light industry,
404 which causes the outflow of energy value, reflecting the irrationality of the current industrial
405 structure. On the other hand, the overall situation is gradually improving, and it is turning to the
406 sustainable development direction of foreign trade.

407 In order to improve the outflow of energy in foreign trade and ultimately achieve the sustainable
408 development of foreign trade in Shenzhen City, we propose the following strategies:

409 (1) Improve resource utilization efficiency: According to the import and export industry energy in
410 Shenzhen City, huge ecological resources are directly and indirectly consumed in the production
411 process of goods used for foreign trade. Improving resource utilization efficiency can result in the
412 same or improved products with less resource consumption, greatly reducing the outflow of energy,
413 and also fundamentally saving ecological resources.

414 (2) Increase the import of energy products: Since 2009, the import energy of energy products in
415 Shenzhen City was less than their export energy. The deficit increased the loss of energy
416 consumption of import and export, and greatly tightened foreign trade's net export value. Therefore,
417 more energy products should be imported from major energy countries in the future. This way,
418 acquiring ecological benefits is increased, which can also serve as a strategic energy reserve.

419 (3) Strengthen the coping ability with external interference: According to the overall situation of
420 foreign trade, Shenzhen City has been affected by changes in the external economy during the process
421 of economic development, and the response has been fierce, leading to a significant impact on its
422 economic and ecological interests. Therefore, Shenzhen City should introduce some policy measures
423 corresponding to the interference of unexpected economic events in the future to alleviate the
424 excessive reaction caused by unexpected events.

425 (4) Adjust the structure of foreign trade industry: Maintain control over exports to the heavy chemical
426 industry. Increase light industry sector development and increase the import of energy.

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477 **Additional information:**

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