

Article

# Augmentative and Alternative Communication (AAC) Advances: A Review of Configurations for Speech Disabled Individuals

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1 **Abstract:** High-tech augmentative and alternative communication (AAC) methods are on a constant  
2 rise; however, the interaction between the user and the assistive technology is still challenged for an  
3 optimal user experience centered around the desired activity. This review presents a range of signal  
4 sensing and acquisition methods utilized in conjunction with the existing high-tech AAC platforms  
5 for speech disabled individuals, including imaging methods, touch-enabled systems, mechanical and  
6 electro-mechanical access, breath-activated methods, and brain computer interfaces (BCI). The listed  
7 AAC sensing modalities are compared in terms of ease of access, affordability, complexity, portability,  
8 and typical conversational speeds. A revelation of the associated AAC signal processing, encoding,  
9 and retrieval highlights the roles of machine learning (ML) and deep learning (DL) in the development  
10 of intelligent AAC solutions. The demands and the affordability of most systems were found to  
11 hinder the scale of usage of high-tech AAC. Further research is indeed needed for the development  
12 of intelligent AAC applications reducing the associated costs and enhancing the portability of the  
13 solutions for a real user's environment. The consolidation of natural language processing with  
14 current solutions also needs to be further explored for the amelioration of the conversational speeds.  
15 The recommendations for prospective advances in coming high-tech AAC are addressed in terms of  
16 developments to support mobile health communicative applications.

17 **Keywords:** augmentative and alternative communication; assistive technologies; sensing modalities;  
18 signal processing; voice communication; machine learning; mobile health; speech disability

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## 19 1. Introduction

20 Recent studies show that up to 1% of the world population suffers a degree of speech, language  
21 or communication need (SLCN) [1,2]. The loss of speech capabilities associated with extreme forms of  
22 paralysis and further medical complications has long been regarded as a barrier between the sufferers  
23 and the outside world. Augmentative and alternative communication (AAC) incorporates a wide range  
24 of processes that augment, complement, or replace speech of individuals with complex communication  
25 needs [3,4]. In the broad context of speech and language, *speech* is often associated with the motor  
26 movements responsible for the production of spoken words, whereas *language* is associated with the  
27 cognitive processing skills of communication.

28 AAC solutions are classified into three categories: no-tech, low-tech, and high-tech AAC  
29 [4]. No-tech AAC is considered the oldest of the three AAC categories, given its reliance on the  
30 interpretation of facial expressions and voluntary motor movements, such as sign language, to deliver  
31 non-verbal messages [5]. Low-tech AAC utilizes basic tools, such as books and display boards with  
32 extended lexicons of images and phrases to aid the communication process [6]. High-tech AAC

33 encompasses the use of electronic devices to achieve an AAC target. Devices falling under this  
34 category, such as smart devices and dedicated AAC devices, integrate hardware and software to  
35 support a user's communication needs. A common attribute of modern day AAC solutions tends to  
36 rely on the translation of a user's intended meanings into speech via speech generating devices (SGDs)  
37 [4]. AAC communication is also often classified as either un-aided or aided, given the dependence  
38 of the solution on the human body solely or the interaction with an external communicative aid for  
39 communication respectively [4].

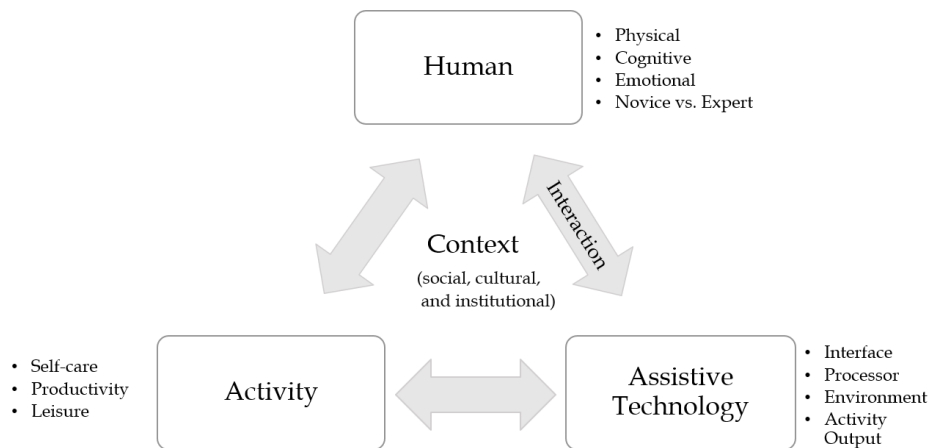
40 The potential of AAC intervention has hence been substantial over the last 30 years, with the  
41 provision of innovative solutions to a wide range of speech-impaired users [7]. However, although  
42 high-tech AAC systems are rapidly evolving, several considerations are yet pertinent to the provision  
43 of effective solutions efficiently serving AAC users [4,8]. Low-tech AAC solutions are usually the  
44 first techniques tried by speech and language therapists, as the use of simplistic display boards  
45 and communication books is both cost-effective and easy to obtain. Moreover, the high costs and  
46 complicated training a user requires to operate most high-tech AAC devices could hinder the access  
47 to high-tech AAC, and thus the usability of speech generating devices. In turn, an optimized use of  
48 high-tech AAC should be researched to provide a faster means of communication, in comparison to  
49 low-tech, by prioritizing the communicative needs of the users over the needs of the system. Studies  
50 also show that after testing several AAC systems, the potential of AAC might be limited by complex  
51 operational difficulties given the number of users who are simultaneously physically impaired and  
52 speech-disabled [8]. Predominantly, AAC users still use combinations of unaided low-tech methods  
53 together with an aided high-tech device as suitable for the context of usage and the person they are  
54 conversing with [9].

55 Due to the complex composition of the human body, speech and communication impairments  
56 requiring an AAC intervention could result from diverse medical conditions [10,11]. These commonly  
57 include Autistic Spectrum Disorders (ASD), strokes, learning disabilities, Locked-in-Syndrome (LIS),  
58 Dementia, head and neck cancers, and brain injuries. This also expands to include patients with  
59 progressive diseases, such as Parkinson's Disease and Amyotrophic Lateral Sclerosis (ALS) [10]. Other  
60 AAC users include patients in transient post-operative states where interventions and treatments,  
61 such as ventilator support, may render them unable to speak normally, or at all. In turn, the  
62 users benefiting from AAC intervention could be classified into three major groups based on their  
63 individual conditions and the intended target use of the AAC communicative aid [12]. These three  
64 classes comprise alternative-language users, augmentative-language users, and temporary AAC  
65 users. Alternative-language users have a well-established cognitive understanding of language and  
66 speech, but have difficulties in conversing. On the other hand, augmentative-language users have  
67 difficulties both in understanding speech and in conversing. To be able to use an AAC device,  
68 augmentative-language users need assistance in the re-categorization of their surroundings into labels  
69 and symbols they comprehend to form a communication language. Temporary AAC users require  
70 AAC intervention only for a limited duration of time. This category primarily includes children with  
71 developmental conditions, and adults who require transient speech assistance following surgical  
72 intervention [12].

73 Given the complexity of the user base, and the wide need for AAC solutions to serve diverse  
74 groups of speech disabled individuals, current research efforts are being redirected towards the  
75 establishment of assistive systems that are suited to respond to their personal users' needs and  
76 capabilities. The aim of this study is to review the access and processing techniques pertaining to  
77 predominant high-tech AAC methods, including the input signal sources, and the developments of  
78 machine learning (ML) and deep learning (DL) associated with AAC solutions for the provision of a  
79 personalized user experience.

## 80 2. Human Interaction

81 Several studies exist in the literature of modelling the user's interaction with assistive technologies  
 82 (AT) [13]. A primary, well-established, AT framework is the Human Activity Assistive Technology  
 83 (HAAT) model [4]. The HAAT model underpins a consolidated approach of the interactions between  
 84 the activity, the human, the context, and the assistive technology. It links the process of selection  
 85 of an assistive technology solution with the person carrying out an activity in a given context [14].  
 86 The four components constituting the HAAT model are shown in Figure 1. A particular attention is  
 87 drawn to each component, detailing the importance of firstly considering the target activity (self-care,  
 88 productivity, leisure), the human abilities of the person using the device (physical, cognitive, emotional,  
 89 and expertise), the context the device is used in (physical, cultural, institutional), and in turn the  
 90 consideration of the suitable AT device (interfaces, processor, output) [4]. The developers of the HAAT  
 91 model emphasize on the concept of serving the needs of the users in order to optimize the usage of  
 92 the technology, stating that the technology aspect should encompass the function it serves, the person  
 93 who will be using the AT device, and the context of usage [4].



**Figure 1.** The four components of the Human Activity Assistive Technology (HAAT) model, from [4]. The interaction between the human and the assistive technology (AT) is emphasized to highlight the relationship between the needs of the AAC users and the elements of development of high-tech solutions discussed in this review.

94 In light of the HAAT model, AT could hence be used to aid the communication process of speech  
 95 disabled individuals, given that the technology prioritizes the activities and abilities of the user. Basing  
 96 high-tech AAC applications and platforms on the skills and communicative needs of the users, disabled  
 97 persons could in turn be allowed to participate in a wider range of activities to communicate their  
 98 individual needs [15]. From the societal perspective, smart devices have been promoting both the  
 99 visibility and acceptance of AAC [16]. A number of factors also aids in increasing the access to high-tech  
 100 AAC platforms, including the ease of operating and using the AAC device, its processing capabilities,  
 101 the cost of the hardware, and the licensed software packages used to operate the devices [4]. Emphasis  
 102 is also placed through several studies [4,17] on the importance of customizing AT solutions to address  
 103 the needs of the users who might find difficulties in accessing the devices' interfaces. A survey study  
 104 in [18] further highlighted the importance of the provision of technical support and the time taken by  
 105 a device to communicate a message.

## 106 3. Sensing Modalities and their Functionalities

107 The integration of smart developments into daily life activities has widened the scope of dedicated  
 108 and non-dedicated AAC applications [7,19]. A survey of high-tech AAC devices with regards to the  
 109 signal acquisition, ML, and output generation is presented in this section.

### 110 3.1. AAC Signal Sources and Associated Processing

111 AAC interfaces are activated through an array of methods for the detection of human signals  
 112 generated via body movements, respiration, phonation, or brain activities [4]. The acquisition of  
 113 AAC signals is accomplished through several modalities. Table 1 outlines the AAC signal sensing  
 114 categories discussed in this review together with their relevant activation methods. The listed AAC  
 115 access methods could be used in a stand-alone format or in combination with one another. For example,  
 116 imaging methods may be combined with touch-activated methods or mechanical switches to provide  
 117 the users with a multi-modal access using the same device. A commercial example is Tobii Dynavox  
 118 PCEye Plus, which combines several functionalities including eye tracking and switch access to use a  
 119 computer screen [20].

**Table 1.** Sensing modalities of AAC signals

Signal sensing category	Activation method
Imaging methods	Eye gaze systems, head-pointing devices
Mechanical and Electromechanical methods	Mechanical keyboards, switch access
Touch-activated methods	Touchscreens, touch membrane keyboards
Breath-activated methods	Microphones, low-pressure sensors
Brain Computer Interface methods	Invasive and non-invasive

#### 120 3.1.1. Imaging Methods

121 Imaging methods, such as eye gazing, eye tracking and head-pointing devices, have been  
 122 widely reported in the literature [21–31]. Eye gaze technologies work using the principle of tracking  
 123 the eye movements of a user for the determination of the eye gaze direction [24,27]. Several eye  
 124 tracking methods are commonly used, including video-oculography [32], electro-oculography [33],  
 125 contact lenses [34], and electromagnetic scleral coils [21,25,30,35,36]. Oculography is involved  
 126 with the measurement and recording of a user's eye movements [35]. Video-oculography and  
 127 electro-oculography use video-based tracking systems and skin surface electrodes respectively to  
 128 track the movements of the eye [25]. In the context of AAC, non-invasive eye tracking methods  
 129 are better suited to address the daily needs of the users who lack motor abilities [27,29]. Practical  
 130 methods involve the utilization of non-invasive cameras, an illumination source, image processing  
 131 algorithms, and speech synthesizers to communicate a user's message [25,27]. Image data is obtained  
 132 in video-oculography operated system using one or more cameras [23,27]. Typical video-oculography  
 133 systems use glints produced on the surface of the eye through an illumination source, such as  
 134 near-infrared (NIR) LEDs with typical wavelengths of  $850 \pm 30$  nm, and in turn, gaze locations  
 135 are estimated from the movement of the eye pupil in relation to the illuminated glint positions [34].

The components of a typical video-based tracking system are shown in Figure 2. Different approaches are present in the literature of calculating the accuracy of an eye tracking system, including the distance accuracy (in cm or in pixels) and the angular accuracy (in degrees) [22]. The pixel accuracy can be given by

$$P_{acc} = \sqrt{(X_{target}PX)^2 + (Y_{target}PY)^2} \quad (1)$$

where  $X_{target}$  and  $Y_{target}$  are the coordinates of the target points, and  $PX$  and  $PY$  are the gaze point coordinates given by

$$PX = \text{mean} \left( \frac{PX_{left} + PX_{right}}{2} \right) \quad (2)$$

and

$$PY = \text{mean} \left( \frac{PY_{\text{left}} + PY_{\text{right}}}{2} \right) \quad (3)$$

respectively, with the subscripts *left* and *right* referring to the coordinates of gaze points of the left and the right eye. The on-screen distance accuracy (*DA*) is similarly given by

$$DA = p_{\text{size}} \sqrt{\left( PX - \frac{x_{\text{pixels}}}{2} \right)^2 + \left( y_{\text{pixels}} - PY + \frac{\text{offset}}{\text{pixelsize}} \right)^2} \quad (4)$$

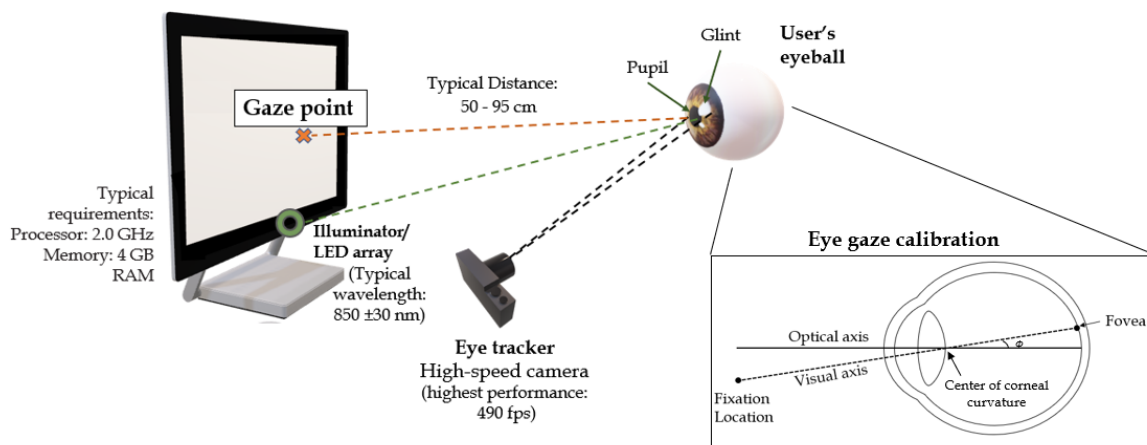
where  $p_{\text{size}}$  is calculated based on the resolution, height, and width of the screen,  $x_{\text{pixels}}$  and  $y_{\text{pixels}}$  are the pixel shifts in the directions of  $x$  and  $y$  respectively, and the *offset* is the distance between the eye tracking unit and the lower edge of the screen [22,37]. The angular accuracy (*AA*) can be also computed via

$$AA = \frac{p_{\text{size}} \times P_{\text{acc}} \times \cos(\text{mean}(\theta))^2}{\text{meandist}} \quad (5)$$

where the gaze angle  $\theta$  is given by

$$\theta = \tan^{-1} \left( \frac{DA}{\text{dist}} \right) \quad (6)$$

136 and *dist* and *meandist* are the distances from the eye to the screen and from the eye to the tracker  
137 respectively [22,37].



**Figure 2.** Components of a typical eye gaze system, adapted from [22,38]. The optical and the visual axes are used for the calibration process commonly required to set up the eye gaze system [22,39].

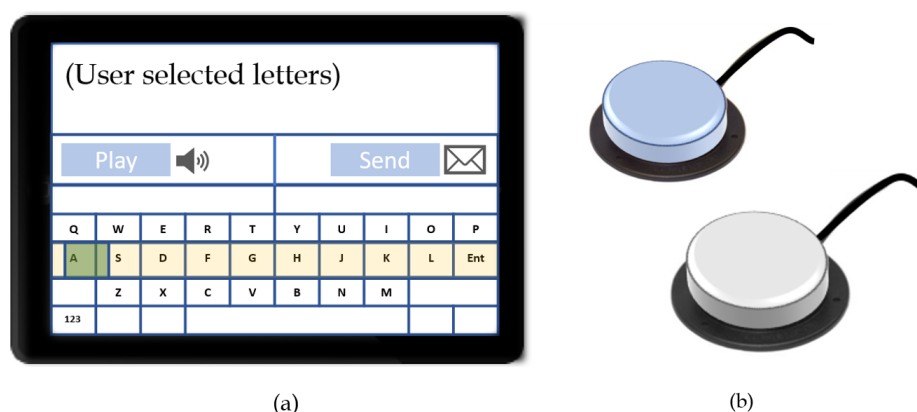
138 Fixations and saccades are commonly used to analyze eye movements [40]. Fixations are the  
139 pauses a user intently inputs by fixing his eye movements at the target gaze point, whereas saccades  
140 are the eye movements rapidly occurring following and in between the fixations. Metrics of eye gaze  
141 estimations include fixation durations, fixation rates, fixation sequences, saccadic amplitudes and  
142 velocities [22,40]. Although electro-oculography is a cost-effective eye tracking method, Infrared pupil  
143 corneal reflection (IR-PCR) video-based systems are most commonly used by speech and language  
144 practitioners due to their non-invasive nature [25,27]. A calibration operation is essential in video-based  
145 trackers to fine-tune the system with a user's eye movements [41]. As seen in Figure 2, a user's visual  
146 axis deviates from the optical axis upon the usage of a gaze system. Calibration is expressed as the  
147 process of finding the visual axis pertinent to each user by calculating the angle between the line  
148 joining the fovea (the highest point of sensitivity in the eye retina) with the center of corneal curvature,  
149 and the optical axis [22].

150 The estimation of the visual axis is usually not feasible, and as such, the calibration process  
 151 enables the tracker to capture and learn the difference between the user's eye positions when gazing at  
 152 a specific target in comparison to the actual coordinates of the gaze target. The user's head orientation  
 153 should be also considered in IR-PCR systems, as the movements of the user's head can adversely  
 154 impact the calculations of the glint vectors [22]. Studies are however addressing advances in eye  
 155 tracking methods to overcome the related constraints, providing the forthcoming possibilities of free  
 156 IR eye tracking and robust algorithms for head movements compensation [42].

### 157 3.1.2. Mechanical and Electro-mechanical Methods

158 Mechanical and electro-mechanical AAC devices have applications for both direct and indirect  
 159 selection access methods. Direct selections offer the users sets of choices, and require a voluntary input  
 160 selection of the intended messages from the user's side. This usually involves the coordination of  
 161 voluntary controls using a body part, such as the hand or fingers, or a pointing device, to select a  
 162 message [19]. Mechanically activated direct-selection methods include mechanical keyboards, which  
 163 utilize the physical mechanical depression of the pressed keys to activate a user selection. Keyboard  
 164 layouts maybe reconfigured for individuals who find the use of a standard keyboard difficult due to  
 165 the required coordination between the two hands [4].

166 For individuals lacking voluntary controls, communication via direct selections is often  
 167 cumbersome, and consequently, indirect selection methods are best-suited for this group of users  
 168 [19]. Scanning methods are predominantly in use with indirect selections, involving a systematic  
 169 representation of options appearing in timed intervals for the users to select from [19,43]. Mechanical  
 170 scanning methods include single switches, arrays of switches, or other variations of methods activated  
 171 via the application of a force [4]. Switches are generally considered a form of low-tech AAC due to their  
 172 minimal hardware requirements; however, switching applications have recently expanded to allow  
 173 users the access of several high-tech AAC platforms, including computers, tablets, or smart devices  
 174 via scanning. Scanning techniques range across three levels, each suited to accommodate users with  
 175 specific motor abilities: Automatic scanning is used to present items in adjustable time intervals, based  
 176 on the user's skills, until a selection is made; step scanning allows the users to control the presentation  
 177 of selections, in turn controlling the rate of advancement; and inverse scanning involves holding down  
 178 a control interface and releasing it upon the desired selection [4]. Figure 3 shows a visual scanning  
 179 interface together with typical activation switches.



**Figure 3.** (a) A sample visual scanning interface activated via switch scanning. The yellow box moves vertically across the lines until a selection is made, followed by a gliding green box moving horizontally across the highlighted line until a letter is also selected. In (b), two scanning button switches are displayed.

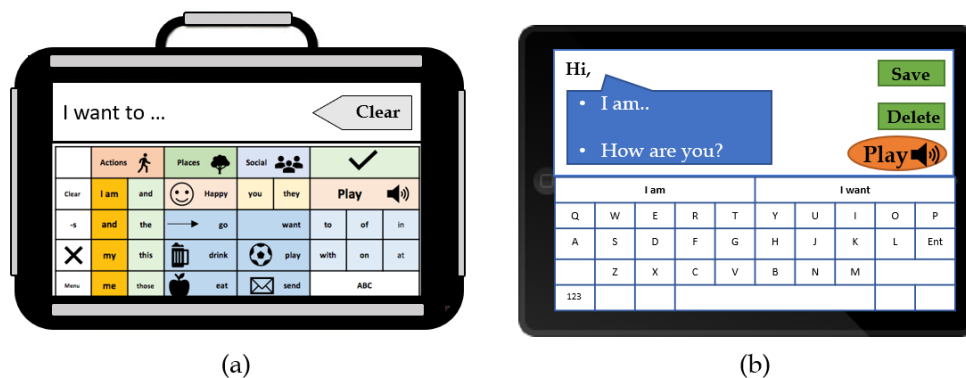
180 In addition to letters, scanning interfaces expand to include a variety of access options, including  
 181 icons, pre-stored messages, and auditory messages. Some operating systems also provide the option

182 of device navigation via an external switch. The position and access methods of switches are user  
 183 dependent. They can be adjusted to be in close proximity to the hands or the feet for the ease of  
 184 activation. Mechanical switches can be also mounted on wheel chairs to allow access using head  
 185 movements. Different variations of switches are available in terms of shapes and types to suit the  
 186 user's requirements. In general, mechanical switch scanning requires minimal motor movements;  
 187 however, the communicative rates could be slowed down by the delay required to make a selection.

### 188 3.1.3. Touch-activated Systems

189 With the escalation of the touchscreen developments, touch-activated AAC applications are  
 190 commonly in use with AAC direct selection activation. Touchscreen technologies comprise various  
 191 types, including resistive, capacitive, Surface Acoustic Wave, and optical/infrared touchscreens [44].  
 192 Resistive and capacitive touchscreens are predominantly used with smart devices [45]. Resistive  
 193 touchscreens are dependent on the production of a force or pressure using the user's fingers, whereas  
 194 capacitive touchscreens are activated using the electrical charge present on the user's finger [46].  
 195 Although resistive touchscreens are cost efficient, capacitive touchscreens are often known to present  
 196 a better visual clarity, presenting an added benefit for AAC users suffering a degree of visual  
 197 impairments. Touch membrane keyboards are also in use by AAC users. They are built using  
 198 non-conductive spacers separating conductive flat surfaces; and acquire electronic signals through the  
 199 pressure resulting from holding down a key, generating an input signal to the AAC device [19].

200 AAC users utilize touchscreens and touch activated systems to make selections via swiping and  
 201 tapping; however, such actions could be restrictive for the users who are physically impaired [4].  
 202 Nonetheless, the accuracy can be augmented using pointers, as the icons presented on a touchscreen  
 203 often have the advantage of being cognitively easy to select, and less demanding in comparison to the  
 204 operation of a regular computer [4].

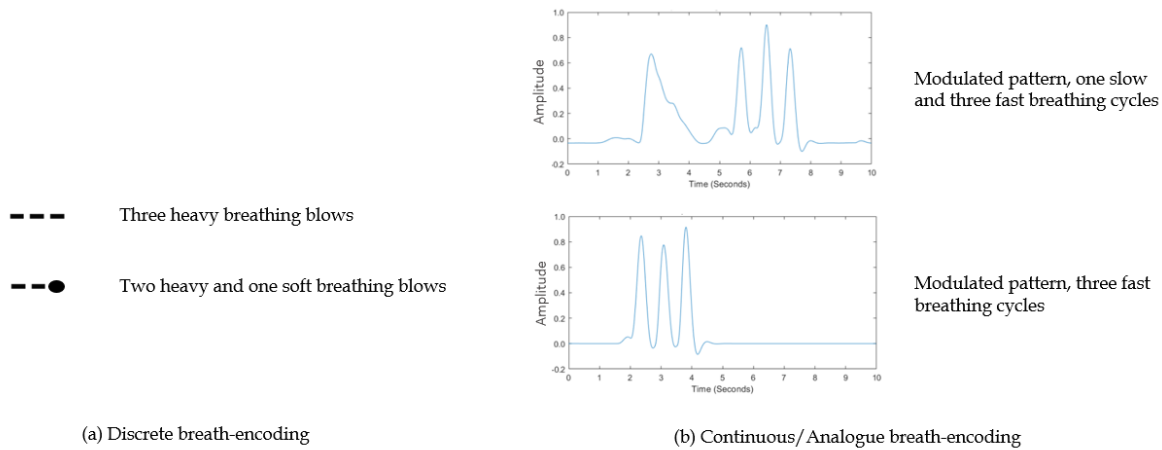


**Figure 4.** Examples of (a) a dedicated touch-based device and (b) a non-dedicated smart device running an AAC APP, usually with predictive language model and speech generation capabilities.

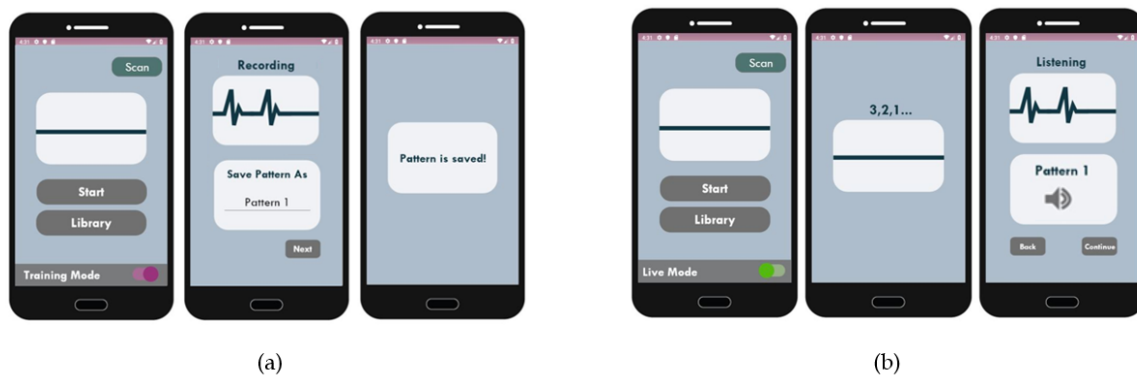
### 205 3.1.4. Breath-activated Systems

206 The wide availability of sensing modalities expands the scope of AAC control interfaces to  
 207 include the detection of respiratory signals in addition to the regular voluntary body movements  
 208 [4]. Voluntary body movements are commonly detected through the integration of sensors with  
 209 imaging, and/or optical, mechanical, and electro-mechanical devices. Respiration signals are recorded  
 210 via a wide range of modalities, including fibre optic sensors [47], pressure and thermal sensors [48],  
 211 photoplethysmogram (PPG) measurements [49], electroencephalogram (EEG) signals [50], and the  
 212 examination of airflow [50,51]. Discrete and continuous breathing signals can be used to encode  
 213 messages, as shown in figure 5. Discrete breath encoding involves the generation of soft and heavy  
 214 breathing blows encoded as binary combinations of zeros and ones, or Morse codes to represent the  
 215 user's intended messages or the International Morse code's letters respectively. On the other hand,  
 216 continuous breath encoding uses the modulation of the speed, amplitude, and phase of breathing

217 signals to create patterns representing the intended message. The modulation of the continuous  
 218 breathing patterns encoded to represent user selected phrases, including the training and retrieval  
 219 modes, is shown in Figure 6 for a mobile based APP.



**Figure 5.** Examples of (a) discrete breath encoding, where soft and heavy breathing blows are recorded to encode combinations of zeros and ones, or Morse codes, representing the intended messages, and (b) continuous breath encoding, where the speed, amplitude, and phase of breathing are modulated to create patterns representing the intended message.



**Figure 6.** Examples of (a) training mode, and (b) live mode of continuous breath encoding for the storage and the retrieval of breathing patterns linked to a user phrase using a mobile APP.

220 An early respiration activated AAC development involving a breath-to-text application was  
 221 initiated at the Cavendish Laboratory at Cambridge University [52]. The study presented the use of  
 222 fine breath tuning to use Dasher to support the communicative requirements of AAC users. Dasher is  
 223 a text-entry system with a predictive language model available on several operating systems, and uses  
 224 single and two-dimensional inputs from pointing devices to access an on-screen cursor. The fine breath  
 225 tuning system encodes letters using Dasher's interface and a specially designed thoracic belt worn  
 226 around the chest. Two inches of the belt are replaced by an elastic material, with a sensor measuring  
 227 the changes of a user's waist circumference resulting from breathing variations. The study reports  
 228 an expert user conversational rate of 15 words per minute using this system. The usage of sniffing  
 229 signals was also established in the scope of AAC in [53]. A device was developed for the measurement  
 230 of human nasal pressure via a nasal cannula and a pressure transducer. The device was tested with  
 231 individuals in LIS, and quadriplegic users. To write text, the captured nasal pressure changes are  
 232 converted into electrical signals, and passed to a computer. The device comprises two associated

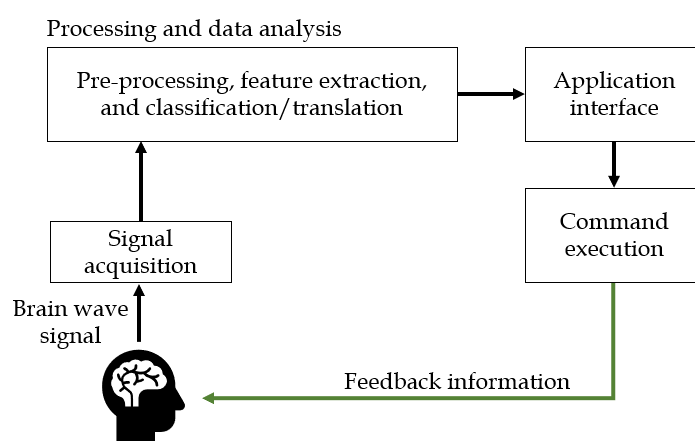


233 interfaces for the user's selection of letters, including a letter-board interface, and a cursor-based  
 234 interface. The system aided the users in LIS, with reported rates of three letters per minute.

235 Microphones could be also used in combination of an AAC interface. The loss of speech abilities  
 236 associated with SLCN centralizes the usage of microphones around two AAC areas, including  
 237 speech augmentation of individuals suffering partial loss of speech [54] and breath encoding for  
 238 individuals with speech disabilities [51,55]. Encoding distinct inhalation and exhalation signals was  
 239 presented in [55] to produce synthesized machine spoken words (SMSW) through soft and heavy  
 240 blows represented through four bit combinations of zeros and ones. The classification is achieved  
 241 based on the threshold values of the generated blows. A micro-controller unit together with an MP3  
 242 voice module are appended to the microphone for the execution of the pattern classification and the  
 243 playback of SMSW. The 16 discrete combinations were linked to predefined phrases selected with the  
 244 aid of medical practitioners. "TALK" is also a solution involving a micro-electro-mechanical-system  
 245 (MEMS) microphone together with two low-cost micro-controllers, and is similarly in use with distinct  
 246 inhalation and exhalation signals to encode letters through the International Morse Code to produce  
 247 SMSW [2]. A study has also reported the use of analogue breath encoding for AAC purposes by  
 248 utilizing the recognition of continuous breathing modulations [51]. Analogue encoding of the acquired  
 249 breathing signals was reported to provide an increased bandwidth at the low breathing frequencies, as  
 250 it utilizes the signal's amplitude, frequency and phase changes to encode a user's intended meanings.  
 251 The classification is achieved based on the dynamic time warped distances between the tested breathing  
 252 patterns. A systematic reliability of 89% was reported with increased familiarity with the system.

### 253 3.1.5. Brain Computer Interface Methods

254 In the scope of AAC, Brain Computer Interface (BCI) solutions are being widely researched to  
 255 allow AAC users to control external devices by modulating their brain signals [56–58]. Brain interfaces  
 256 are either invasive or non-invasive. Invasive interfaces involve the usage of implanted electrodes and  
 257 the interconnections of the brain with the peripheral nerves [57]. Non-invasive BCIs comprise the usage  
 258 of external devices to monitor a user's brain activities through EEG [54,57], magnetoencephalography  
 259 (MEG) [56], functional magnetic resonance imaging (fMRI) [56,57] or near-infrared spectroscopy (NIRS)  
 260 [56,57]. The components and flow diagram of a typical BCI system are shown in Figure 7.



**Figure 7.** The components and flow diagram of a Brain Computer Interface (BCI) system, adapted from [59,60].

EEG is a popular BCI recording method, given its non-invasive nature and its relatively lower cost [61,62]. In electrical BCI systems, the brain produces a set of electrical signals when triggered by a stimulus, known as the evoked potential [63]. EEG signals are acquired through two to 64 sensors placed on the scalp of the user to record the brain activity [64]. Amplifiers and filters are typically utilized, with an output fed back to the user to accordingly modulate the brain activity [57]. To translate

a brain activity into a computer command, regression and classification algorithms could be used [65]. An adaptive auto-regressive (AR) parameter estimation model used with EEG BCI describes a time series signal  $x(t)$  as

$$x(t) = \sum_{i=1}^p \phi_i x(t-i) + \epsilon_t \quad (7)$$

$$x(t) = \phi_1 x(t-1) + \dots + \phi_p x(t-p) + \epsilon_t, \quad (8)$$

where  $\phi_i$  and  $p$  are the AR coefficients and the order of the model respectively, and  $\epsilon_t$  is white noise [66,67]. A review study [65] demonstrates that the use of classification algorithms is an increasingly popular approach with BCI interfaces, as they are commonly used to identify the acquired brain patterns. Classification is the process of using a mapping  $f$  to predict the correct label  $y$  corresponding to a feature vector  $x$ . A training set  $T$  is used with the classification model to find the best mapping  $f^*$  [65]. The classification accuracy of a model is dependent on a variety of factors. A study [65] demonstrates that using the mean square error (MSE), three sources are identified to be the cause of classification errors, given that

$$MSE = E[(y - f(x))^2] \quad (9)$$

could be decomposed into

$$MSE = Var(f(x)) + Bias(f(x))^2 + \sigma^2, \quad (10)$$

261 where the variance ( $Var$ ) represents the model's sensitivity to  $T$ , the  $Bias$  represents the accuracy of the  
 262 mapping  $f$ , and the noise  $\sigma^2$  is the irreducible error present in the system. Common ML algorithms  
 263 used with BCI include linear classifiers (such as linear support vector machines), neural networks,  
 264 non-linear bayesian classifiers, nearest neighbors, and combinations of classifiers [64,65]. Signal  
 265 processing techniques pertinent to BCI methods include both time-frequency analysis, such as AR  
 266 models, wavlets, and Kalman filtering, and spatiotemporal analysis, such as the Laplacian filter [68].  
 267 Hybrid BCI is a different approach to brain signals processing, combining a variety of brain and body  
 268 signals in sequential and parallel processing operations with the aim of improving the accuracy of BCI  
 269 systems [69].

270 BCIs are under continuous research to aid the communication of individuals suffering from  
 271 motor strokes [56], ALS, and LIS, and spinal cord injuries [70]. BCI systems involve three basic pillars,  
 272 including the user training, the associated ML, and the application in use [71,72]. Research in the  
 273 area of BCIs is currently evolving [56], with promising results in recent state-of-the-art projects. A  
 274 study by Stanford University [73] confirmed the usability of BCIs to control an unmodified smart  
 275 device for quadriplegic users. BCIs have been also in use to surf the internet [74], with an EEG BCI  
 276 based application tested with LIS and ALS conditions [75]. It is also reported that BCIs could aid users  
 277 control spelling and play games [73].

### 278 3.2. Machine and Deep Learning

279 Typical signal processing of the acquired AAC signals encompasses three primary operations:  
 280 encoding, prediction, and retrieval [10]. Encoding involves the conversion of the acquired signal  
 281 into a pre-defined format accepted by the system for the production of a specified output, whereas  
 282 prediction is concerned with building the algorithms used to select the desired output [10]. Prediction  
 283 encompasses several operational contexts, including word [76], message, and icon prediction [10].  
 284 In general, an ideal AAC system should integrate self-learning capabilities to respond to its users'  
 285 individual needs [2,8]. Demographic data shows that current AAC users belong to numerous cultural  
 286 and linguistic backgrounds [7]. In turn, the design of systems tailored to address specific users'  
 287 requirements is vital. High-tech AAC is hence becoming a highly interdisciplinary area of research,  
 288 combining rehabilitation engineering with clinical and psychological studies, signal processing, and  
 289 ML [77].

290 ML has been widely evolving over the last decade, with a number of applications aimed at aiding  
291 the provision of intelligent AAC solutions to address the users' needs. The automation of algorithms,  
292 prediction, and classification capabilities presented by ML solutions could be of great benefit to the  
293 users. Technologies such as natural language processing (NLP) are highly dependent on artificial  
294 intelligence (AI). The operation of NLP is centered around the analysis, augmentation, and generation  
295 of language, including the computation of probabilities of incoming words and phrases, and complete  
296 sentence transformations [78]. NLP has various applications in AAC, utilizing ML and statistical  
297 language models to process and generate outputs by optimizing word prediction models, topic models  
298 [79], speech recognition algorithms, and processing of the context of usage [78]. BCI is also highly  
299 dependent on ML, as users learn to encode the desired intended messages through dedicated brain  
300 signal features captured by the BCI for the translation to the intended meaning or the desired control  
301 [71,77,80,81]. Recent studies also show that advances with DL algorithms, such as conventional  
302 and recurrent neural networks, could have a potential superior performance in comparison with  
303 conventional classification methods [63].

### 304 3.3. *Outputs and Speech generating Devices*

305 High-tech AAC systems can produce outputs in a variety of formats, including symbols, icons, and  
306 electronic digitized or synthesized speech [10]. SGDs, or voice output communication aids (VOCA),  
307 are devices with the ability to produce digitized or synthesized speech [9,82]. Digitized speech is  
308 pre-stored speech acquired via a microphone and stored in electronic format for retrieval upon a user  
309 action [83]; whereas synthesized speech is generated based on mathematical algorithms and played as  
310 natural voice [10]. The wide availability of smart devices facilitates the access to VOCA applications.  
311 Synthesized speech includes the production of output messages via text to speech synthesis, and is  
312 therefore commonly researched to assist the communication and free personal expression of speech  
313 impaired individuals. This is primarily due to the benefit of providing a greater flexibility in contrast  
314 with digitized speech. Studies show that AAC devices with SGD capabilities contribute to significant  
315 developments in terms of AAC solutions [10,82]. However, the efficiency and effectiveness of using a  
316 VOCA with an AAC user remains dependent on the user's abilities, their medical condition, and the  
317 communication partners they are conversing with [9].

## 318 4. Comparison of Existing AAC Signal Sensing Methods

319 With respect to the discussed HAAT model and the listed AAC access methods, the integration  
320 of state-of-the-art AAC systems with AI applications could help in the improvement and the ease of  
321 use of common AAC devices and their associated user interfaces. The focus on the user activity to be  
322 carried out needs to be at the core of the implementation. Table 2 provides a comparison of the input  
323 signal sources, the requirements for operation, the areas of strength, and the areas of limitation of the  
324 listed AAC sensing methods. A summary of each of the listed access categories is demonstrated below  
325 in terms of the ease of access, affordability, ease of programming and maintaining, portability, and  
326 conversational rates.

### 327 4.1. *Ease of Access*

328 Imaging methods, including eye gaze and eye tracking methods, are generally utilized as  
329 non-invasive means of communication for the individuals with minimal voluntary controls and  
330 motor movements. The natural eye gazing process is a an advantageous trait for accessing devices  
331 [26]. However, typical imaging methods were shown to require a learning curve for both the users  
332 and the systems, as a calibration step is usually required for the customization of an imaging device to  
333 each individual user [84]. The accuracy of the system is also dependent on many variants, including  
334 the gaze angle, the pixel accuracy, and the distance between the eye and the screen, as demonstrated in  
335 equations (1), (4), and (5), rendering eye gaze difficult when selecting small items on the screen [26].  
336 The movements of the head and the direction of the gaze might impact the usability of the acquired

337 signals, usually with algorithms implemented to cancel out the effects of such movements [22]. Recent  
338 eye gaze systems are better proofed against head movements, and in turn need to be calibrated less  
339 frequently [54]. Calibration models are also in use to facilitate the process of gaze calibration [85].  
340 Recent studies are beginning to address the current constraints to create forthcoming robust imaging  
341 systems that are easy to use [84]. Mechanical and electro-mechanical activated switches and keyboards  
342 are usually easier to operate due to their simplistic nature. Mechanical switches are predominantly  
343 used with individuals requiring minimal motor movements to access a computer or a smart device via  
344 indirect selection [4]. Touch-activated methods require voluntary muscle controls, however with a  
345 minimal activation pressure, as discussed in Table 2. Touchscreens could be also used in combination  
346 with mechanical switches for individuals lacking motor controls to access the devices for indirect  
347 selections enablement. This multi-modal access can in turn be advantageous, as users will have a  
348 choice to access the device using more than one modality. Breath-activated methods are similarly used  
349 with individuals with minimal voluntary controls; however, they require a training step to recognize  
350 the selected patterns [51,55]. With regards to BCI access, non-invasive methods, such as EEG, are used  
351 due to their non-intrusive nature. BCI systems provide a natural means of access, aiding the users to  
352 gain independence [86]. However, the signal acquisition from the brain is at times cumbersome for the  
353 users, especially with EEG applications requiring the use of electrolytic gel to facilitate the acquisition  
354 of the brain signals from the scalp [87]. The length of the training process required to use a BCI system  
355 could also present a challenge for usage [88].

#### 356 4.2. Affordability

357 In terms of costs, the expenses associated with the hardware and software requirements of the  
358 utilized platforms directly impact the expenses related to the systems. Imaging methods, including  
359 eye gazing and tracking, are relatively expensive in comparison to switch access, touch based methods,  
360 and breath-activated methods. This is mainly due to the high-costs associated with the systems'  
361 hardware requirements, which are listed in Table 2, together with the costs of research, programming  
362 and maintaining the devices [89]. Depending on a solution's capabilities, the price of a typical eye  
363 tracker ranges between hundreds to thousands of dollars [84]. Some solutions are emerging to reduce  
364 the costs of imaging AAC devices [27,84]; however, more research is still needed to widen the scope  
365 of usage of highly performing, low-cost eye trackers. On the other hand, mechanical keyboards and  
366 access switches are commonly simple to design and thus they are usually more affordable. The reliance  
367 of switch access or touch-based methods on a smart or a high-tech dedicated device could increase  
368 the costs of the provided solutions; however, with the prevalence of smart devices, several AAC  
369 communicative applications (APPs) are now available on various operating systems, widening the  
370 usability of AAC in contrast with traditional SGDs [16]. As listed in Table 2, breath-activated methods  
371 are usually accessed using pressure sensors or microphones together with micro-controller boards  
372 or a computer. The hardware requirements could increase the costs of the solutions; however, the  
373 escalating prevalence of smart devices might aid in the provision of cost-effective breath-activated  
374 APPs. BCI methods are also being researched to reduce the costs associated with the systems [69];  
375 however, low-cost BCI systems were reported to require further research to improve the accuracy and  
376 quality of the acquisition in comparison with advanced BCI systems.

#### 377 4.3. Ease of Programming and Maintaining

378 Programming an AAC access modality is dependent on the acquired signals, together with  
379 the research and skills required to set up and maintain the systems. Typical imaging devices are  
380 associated with increased complexities in terms of algorithm writing, data processing, and data  
381 parsing [90,91]. This in turn requires extensive programming and coding skills to set up the gaze  
382 detection algorithms, calibrate the sensors to individual users, and accurately respond to the needs of  
383 the users. The resulting amount of data also needs to be addressed, with specific considerations to

384 sample sizes and data resolution [91]. Mechanical switch access of smart devices, and the programming  
385 of touch-activated APPs is in turn less variant in terms of calibration and set up. The APPs however  
386 need to be carefully designed and tested to respond to the user's input generated via a switch, a  
387 keyboard, or a touchscreen. Touch activated methods also need to incorporate a visual or auditory  
388 feedback mechanism to confirm the user's selection, as demonstrated in Table 2 [19]. Breath-activated  
389 methods are similarly programmed based on breath thresholds [55], and classification algorithms  
390 [51]. The complexities are in turn dependent on the requirements of the APP design together with the  
391 selected classification algorithms required for the system operation. Concerning BCI methods, the  
392 challenges related to managing and programming the systems are centred around the information  
393 transfer rates, the non-linearity of the systems, and the complexities associated with the high signals'  
394 dimensionalities [88].

#### 395 4.4. Portability

396 In terms of portability, the typical requirements of the systems dictate the ease of moving the  
397 device for usage in a different setting. Commercial solutions of the AAC imaging methods are starting  
398 to address this constraint to increase the usability of the devices [42,84]; however, most typical system  
399 requirements still restrict eye-tracking systems to be used indoors [23] or together with a monitor. On  
400 a similar note, the portability of mechanically activated switches is variant depending on the context  
401 of usage; however, the integration of switch access with mobile and smart devices increases the ease of  
402 portability. Touch-activated methods are similarly highly portable, given the typical sizes of the smart  
403 devices used in coordination with the method. On the other hand, the portability of breath-activated  
404 systems is application dependent, as the solutions requiring the need of a computer interface still  
405 need to be developed to address this constraint. BCI methods are still challenged in terms of the  
406 communicative interfaces [88]; however, some advances in BCI have been reported for the potential  
407 possibility of home usage [86] and increased portability [92].

#### 408 4.5. Conversational Rates

409 Natural speech has a rate of 125 to 185 words per minute (WPM) [93]. Speech rates of less than  
410 100 WPM are identified as slow [94]. Direct selection techniques, including eye gaze systems, are  
411 found to provide conversational rates of about 8–10 WPM [93]. Likewise, mechanically activated AAC  
412 switches and keyboards also affect the conversational rates. The automatic, step, and inverse activation  
413 of switches often requires the users to wait until the desired selection is displayed, introducing  
414 conversational delays. Scanning methods were reported to allow communicative rates of around two  
415 WPM [93]. Selecting letters to form words may also impact the user's communication rate. This is  
416 apparent in touch-activated methods, where users are required to spell words or select icons to form  
417 sentences or to write text. The conversational rates of breath-activated systems are further dependent  
418 on the encoding method, as systems where breathing variations are used to select letters to write words  
419 could negatively impact the conversational rates. A recent study [87] similarly reported that most BCI  
420 technologies still offer conversational rates of less than 20 letters per minute. Generally, the rates of  
421 conversation using AAC systems, including word prediction and letter abbreviation, were found to be  
422 between 12-18 WPM, highly contrasting with the rates of natural speech [93].

**Table 2.** Signal sources, areas of strength and areas of limitation of current commercial AAC devices

Signal Source	Mode	Typical Hardware Requirements	Areas of Strength	Limitations and Areas of Improvement
Imaging methods	Eye gazing	<ul style="list-style-type: none"> <li>• IR/NIR illumination source (commonly: 850+/- 30 nm)</li> <li>• Monitor</li> <li>• Camera</li> </ul>	<ul style="list-style-type: none"> <li>• Non-invasive</li> <li>• Minimal voluntary control of muscles</li> <li>• Can be used with patients requiring mechanical ventilation [26]</li> <li>• IR is invisible to the user's eyes [23]</li> <li>• IR can stabilize gaze estimation [23]</li> </ul>	<ul style="list-style-type: none"> <li>• High Temporal resolution = high volume of data as patterns are averaged over long time spans [90]; Consequence: sample sizes are often small [91]</li> <li>• Eye tracking data processing [91] and parsing is complex [90]</li> <li>• Need for calibration algorithms</li> <li>• IR signals are not reliable for outdoor use [23]</li> <li>• Generally, high cost [27]</li> </ul>
	Head-pointing	<ul style="list-style-type: none"> <li>• Head-mounted visors in addition to a monitor and a camera [95]</li> <li>• Light/optical pointers [4,19]</li> </ul>	Less expensive compared to typical eye-gaze systems	<ul style="list-style-type: none"> <li>• Need fine user precision and controls [95]</li> <li>• In direct contact with the user's head</li> </ul>
Mechanical/Electro-mechanical methods	Automatic, step, or inverse activation	Single switch, array of switches	Requires minimal motor control [4]	Generally slow
	Typing/icon selection	Mechanical keyboards	Instant feedback to user whenever a key is pressed [4,19]	Voluntary muscle control is a requirement for activation [4,19]
Touch-activated methods	Force production through: <ul style="list-style-type: none"> <li>• Hand/arm/body part control</li> <li>• control extender [96]</li> </ul>	<ul style="list-style-type: none"> <li>• Resistive/Capacitive touch screen circuitry</li> <li>• Membrane keyboards: Non-conductive spacers separating conductive flat surfaces [4,19]</li> </ul>	Minimal activation pressure	<ul style="list-style-type: none"> <li>• No direct feedback upon activation</li> <li>• Requires appended feedback mechanisms (auditory/sensory) [4,19]</li> </ul>

**Table 2 (Continued).** Signal sources, areas of strength and areas of limitation of current commercial AAC devices

Signal Source	Mode	Typical Hardware Requirements	Areas of Strength	Limitations and Areas of Improvement
Breath-activated methods	Fine breath tuning [52]	<ul style="list-style-type: none"> <li>• Thoracic belt</li> <li>• Sensor measuring the changes of waist circumference</li> </ul>	Integration with a predictive language model	<ul style="list-style-type: none"> <li>• Physical control of movements: restricted for paralysed users.</li> <li>• Portability constraints</li> <li>• Slow conversational rate</li> </ul>
	Sniff control [53]	Control sensors for the acquisition of nasal pressure.	Confirmed usability with patients in LIS	Slowness: rate of three characters per minute.
	Discrete breath encoding [55,97]	<ul style="list-style-type: none"> <li>• Microphones/MEMS sensors</li> <li>• Microcontroller boards</li> </ul>	Wearable configuration	<ul style="list-style-type: none"> <li>• Digitized inputs</li> <li>• Predefined words and sentences (not user-selected)</li> <li>• Confinement to limited patterns.</li> </ul>
	Analogue breath encoding [51]	<ul style="list-style-type: none"> <li>• Microphone</li> <li>• PC</li> </ul>	Continuous/analogue breath encoding	<ul style="list-style-type: none"> <li>• The processing of warped distances is computationally complex</li> <li>• Portability constraints</li> </ul>
BCI methods	Invasive	Implantable electrodes	Communication and control of environment without the need for body movements [57]	<ul style="list-style-type: none"> <li>• Prone to classification errors [22]</li> <li>• Low transfer rates of ECG-based BCI due to the low signal to noise ratio [61]</li> <li>• Most platforms are not yet suitable for everyday usage/ in-home usage</li> <li>• BCI devices often require extensive assistance from caregivers [98]</li> </ul>
	Non-invasive	External monitoring: EEG, MEG, fMRI, NIRS. [56]		

## 423 5. Prospective Advances in Future AAC

424 Studies are currently directed towards the establishment of intelligent AAC systems that are  
425 suited to respond to personal users' needs, intended activities, and individual capabilities [2,51,55].  
426 The development of robust future AAC solutions should hence take into consideration some of the  
427 shortfalls of the current technologies. The conversational rates of most available AAC solutions are  
428 still slow, offering a rate of around 12-18 WPM in contrast with that of natural speech (125-185 WPM).  
429 [76,93]. In turn, AAC users still communicate at a rate that is approximately 10% the rate of natural  
430 speech [99]. This is most apparent in text-based communication involving complex navigation to  
431 search or select specific messages or to type full sentences to convey a meaning. On a similar note,  
432 the users are sometimes unable to solely rely on the devices due to the limited range of available  
433 words/phrases, in turn only engaging in "routinized conversations" using their AAC equipment [8].  
434 As implied from Table 2, such conditions are further complicated with the increased complexities of  
435 some AAC systems, which might require special support from the user's carers to set up and operate  
436 the systems. The access and operation of AAC devices with minimal user movements is also vital,  
437 as the generation of voluntary movements may be cumbersome for users suffering extreme forms of  
438 paralysis.

439 In light of the discussed AAC sensing and acquisition modalities and the AT requirements reviewed  
440 using the HAAT model, the following implications can be drawn:

### 441 (A) Affordability

442 One of the barriers to the realization of the full-potential of high-tech AAC systems is related to  
443 the affordability of the devices. The expenses associated with speech generation, together with the  
444 hardware and processing requirements of most high-tech AAC sensing modalities, hinder the scale at  
445 which high-tech AAC systems are used, and in consequence, negatively impacting the scale at which  
446 high-tech AAC is expanding. In turn, low-technology AAC remains widely utilized by speech and  
447 language therapists, given its affordability and wide abundance. Therefore, addressing the affordability  
448 of high-tech AAC platforms is a necessity for high-tech AAC systems to become prevalent.

### 449 (B) Mobile APP integration

450 The development of AAC APPs utilizing the capabilities of smart phones and tablets can be further  
451 explored to assist the communication of speech impaired individuals, in turn reducing cost and  
452 enhancing portability. As demonstrated, the usage of VOCAs is of a potential benefit for users of  
453 different age ranges suffering a variety of medical conditions [100]. However, it is shown that the  
454 variability in terms of operational principles, user groups, and the complexity of a real and complicated  
455 user's environment still need to be tackled. The integration of smart devices and VOCA APPs could  
456 be researched to expand the scope of high-tech assisted voice communication.

### 457 (C) DL functionalities

458 AAC methods incorporating analogue encoded signals via the acquisition of continuous user inputs  
459 are generally less cumbersome to generate in comparison with discrete encoding. For example,  
460 the discretization process of inputting letters to form sentences via imaging, touch-activated, or  
461 breath-activated methods may slow down a user's conversational rate due to the efforts required to  
462 navigate the boards of letters to construct the intended phrases. The integration of state-of-the-art AAC  
463 systems with AI and DL applications can be researched to improve the access to high-tech devices, the  
464 speed of the output generation and the customization of the AAC interface to suit each individual user.

### 465 (D) NLP and intelligent AAC

466 By comparing the listed high-tech AAC sensing modalities, a trade-off is apparent between the speed  
467 of conversing, and the free expression of the user. Pre-programmed phrases restrict the dynamicity of  
468 the user's conversations; whereas spelling based communication is generally slow. Further research



with regards to NLP and DL functionalities is needed for the provision of innovative activity-oriented AAC methods to support the user, the facilitator, and the communication partner [93].

#### (E) Forthcoming development of mobile health applications

The implications for future research could expand beyond the usage of the AAC devices for simple communication, as the integration of high-tech AAC with accessible smart devices paves the way for state-of-the-art developments, such as mobile health (m-Health) communicative applications, to exist. The development of smart mobile platforms would in turn aid the remote communication between users and their medical practitioners. This will expand the scope of AAC beyond physical communications, increasing the usability and the context of usage of future AAC solutions.

**Author Contributions:** Y.E. compared the reviewed methods and wrote the manuscript. S.H. structured and organized the manuscript, and supervised the review writing. D.K. and K.B.-M. guided the analysis and revised the manuscript, and A.M. engaged in the analysis of the breath-activated methods

**Funding:** This research received no specific grant from any funding agency in the public, commercial, or not-for-profit sectors.

**Acknowledgments:** The authors would like to acknowledge the support of Loughborough University in the conduction of this review.

**Conflicts of Interest:** The authors declare no conflict of interest.

#### Abbreviations

The following abbreviations are used in this manuscript:

AAC	augmentative and alternative communication
AI	artificial intelligence
ALS	Amyotrophic Lateral Sclerosis
APP	applications
AR	auto-regressive
ASD	Autistic Spectrum Disorders
AT	assistive technology
BCI	brain computer interface
DL	deep learning
EEG	electroencephalogram
fMRI	functional magnetic resonance imaging
HAAT	Human Activity Assistive Technology
IR	Infrared
IR-PCR	Infrared pupil corneal reflection
LIS	Locked-in-Syndrome
MEG	magnetoencephalography
MEMS	micro-electro-mechanical systems
ML	machine learning
MSE	mean square error
NIR	near-infrared
NIRS	near-infrared spectroscopy
NLP	natural language processing
PPG	photoplethysmogram
SGD	speech generating device
SLCN	speech, language or communication need
SMSW	synthesized machine spoken words
VOCA	voice output communication aid
WPM	words per minute

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