Quantum cosmology in the light of quantum mechanics

Salvador J. Robles-Pérez
Estación Ecológica de Biocosmología, Pedro de Alvarado, 14, 06411 Medellín, Spain
Departamento de matemáticas, IES Miguel Delibes, Miguel Hernández 2, 28991 Torrejón de la Calzada, Spain

Abstract: There is a formal analogy between the evolution of the universe, when this is seen as a trajectory in the minisuperspace, and the worldline followed by a test particle in a curved spacetime. The analogy can be extended to the quantum realm, where the trajectories are transformed into wave packets that give us the probability of finding the universe or the particle in a given point of their respective spaces: the spacetime in the case of the particle and the minisuperspace in the case of the universe. The wave function of the spacetime and the matter fields, all together, can then be seen as a super-field that propagates in the minisuperspace and the so-called third quantisation procedure can be applied in a parallel way as the second quantisation procedure is performed with a matter field that propagates in the spacetime. The super-field can thus be interpreted as made up of universes propagating, i.e. evolving, in the minisuperspace. The analogy can also be used in the opposite direction. The way in which the semiclassical state of the universe is obtained in quantum cosmology allows us to obtain, from the quantum state of a field that propagates in the spacetime, the geodesics of the underlying spacetime as well as their quantum uncertainties or dispersions. This might settle a new starting point for a different quantisation of the spacetime.

Keywords: quantum cosmology; semiclassical description; multiverse; minisuperspace; canonical quantisation of spacetime coordinates

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1. Introduction

In 1990 M. Gell-Mann and J. B. Hartle presented the sum-over-histories formulation of quantum cosmology in a paper entitled “Quantum mechanics in the light of quantum cosmology”, in which the classical domains of familiar experience are derived from a decoherence process between the alternative histories of the universe. In that paper [1], the authors conclude that quantum mechanics is best and most fundamentally understood in the context of quantum cosmology. This is so mainly for two reasons. First, the non-locality or, generally speaking, the non-separability of the quantum theory leads to the assumption that it cannot be applied only to a given system since it is not isolated but coupled to its natural environment, which is again coupled to another environment, and so forth [2]. The extrapolation of that idea inevitably implies that the quantum theory must be applied, from the most fundamental level, to the universe as a whole. Second, if this is so, the quantum mechanics of particles and fields must be a derivable consequence of the application of the quantum theory to the whole universe.

For instance, there is no preferred time variable in the universe so, strictly speaking, it cannot undergo any time evolution. However, we know from experience that the spacetime and the things that are deployed in the spacetime evolve in time. Therefore, as Gell-Mann and Hartle show, time and time evolution, and particularly the Schrödinger equation that provides us with the time evolution of quantum systems, turn out to be emergent features of the quantum state of the universe. Furthermore, the universe jeopardises some of the fundamentals of the quantum theory. For instance, what does it mean concepts like uncertainty or non-locality in the context of a universe that is not deployed in the spacetime but it contains it? Thus, quantum cosmology forces us to acquire a deeper and a wider understanding of the quantum theory. It is from all these points of view from which the principles of quantum mechanics can be most fundamentally understood in the context of quantum cosmology, as the authors say.

The idea behind quantum cosmology is that the conditions imposed on the state of the universe at the boundary, together with the equations of quantum mechanics should be enough to assign probabilities to any plausible event that may happen in the universe. This is the most that a non deterministic theory like the quantum mechanics can provide. With that purpose, and following a parallelism with the Feynman’s formalism of path integrals, Gell-Mann and Hartle extend the seminal idea of Everett [3] and develop their sum-over-histories theory [1,4,5], in which a history is defined as a time ordered sequence of projectors that represent all the possible outcomes that the infinite constituents of the universe may give at each moment of time. These fine-grained histories represent therefore all the possibilities in the universe and, hence, they contain all the information of the universe. However, these histories interfere among each other so in order to assign independent probabilities to the exclusive outcomes of the semiclassical experience one must take some coarse graining around the representatives values of the distinguished variables under study. In that process the fine detailed information is lost but it is precisely because the loss of that ignored information that we can assign consistent probabilities to the alternative outcomes of a given experiment. It may seem then curious that the acceptance of a bit of ignorance is what allows us to obtain information from a physical system.

In the case of quantum cosmology, it turns out that (classical) time and the time evolution of matter fields, which constitute the main ingredients of the semiclassical domain of our physical experience, are emergent features that decohere from the fine-detailed description of the quantum state of the universe and thus the wave function of the universe cannot be a time-dependent function, so we cannot apply an initial condition on the state of the universe. However, the universe may have a boundary where to impose the conditions that eventually would determine everything else in the whole history of the universe.

These are essentially the relative states of Everett’s formulation of quantum mechanics [3]. However, Everett did not provide an explanation of why some states and no others are selected from the whole set of possible states. In order to explain it Hartle needs to add, besides the boundary condition of the state of the universe and the equations of quantum mechanics, a new ingredient: the coarse-graining process that makes some states to emerge from the decoherence process. These are the selected states of the Everett’s formulation.

The outcomes of a classical experiment are exclusive, i.e. the cat is either dead or alive but not both.
universe [5]. Thus, the sum-over-histories framework provides us with a consistent assignation of probabilities to the different outcomes of a given experiment and, in cosmology, it supplies us with an explanation for the appearance of the semiclassical domains of everyday experience\(^4\), where it is developed the quantum field theories of matter fields; so, at least from the conceptual point of view, everything seemed to be settled in quantum cosmology. The idea that was left is that little else could be done. In order to understand quantum mechanically the primordial singularity we need a complete quantum theory of gravity, and short after the origin the inflationary process seems to blur any possible imprint of the quantum regime of the universe. Besides, everything that follows could be explained by the quantum mechanics of particles and fields that, in the light of quantum cosmology, are emergent features of the quantum state of the whole universe. Thus, quantum cosmology got stuck in the 90’s\(^5\).

Almost thirty years afterwards, the title of this paper becomes a humble tribute to Gell-Mann and Hartle, and to many other authors that made possible the development of quantum cosmology\(^1,2,5,11–32\), and particularly to P. González-Díaz, who figuratively introduced me to all of them. However, it also suggests the idea that it might be the time now, like in Plato’s cavern allegory, of doing the way back to that proposed by Gell-Mann and Hartle. Perhaps, it may be now quantum cosmology the one that can be benefit of a deeper insight in the light of the well known principles of the quantum mechanics of particles and fields. With that aim in mind, we shall use the analogy between the spacetime and the minisuperspace, as well as the analogy between their quantum mechanical counterparts, to shed some light in both directions. In one direction, the analogy between quantum cosmology and the quantum theory of a field that propagates in the spacetime provides us with a useful framework where to develop a quantum theory of the whole multiverse. In the opposite direction, the way in which the semiclassical state of the universe is obtained in quantum cosmology from the quantum state of the universe will allow us to obtain, from the quantum state of a field that propagates in the spacetime, the classical trajectories followed by test particles as well as their quantum uncertainties. This might settle a new viewpoint for a different quantisation of the spacetime coordinates.

The paper is outlined as follows. In Sec. 2 we sketch a brief description of the canonical procedure of quantisation customary used in quantum cosmology. The aim of this section is by no means to be exhaustive but just to provide to the unfamiliar reader with a brief introduction of the way in which one arrives to the concept of the wave function of the whole universe. We end up the section showing that for most practical purposes the assumption of the minisuperspace is well justified. In Sec. 3 we develop the classical analogy between the evolution of the universe in the minisuperspace and the trajectory of a test particle in a curved spacetime. In Sec. 4 we consider the wave function of the spacetime and the matter fields, all together, as a super-field that propagates in the minisuperspace. Then, a similar quantisation formalism to that made in a quantum field theory is applied and the super-field is then interpreted made us of universes propagating in the minisuperspace. In Sec. 5 we describe the semiclassical regime of the universe derived from the solutions of the Wheeler-DeWitt equation and, analogously, we also obtain the trajectories of test particles in the spacetime from the semiclassical expansion of the solutions of the Klein-Gordon equation. Finally, in Sec. 6 we summarise and draw some conclusions.

\(^4\) The existence of a semiclassical domain in the universe, and actually our own existence, can be seen as two possible outcomes of the cosmological experiment. As Hartle says [5], we live in the middle of this particular experiment.

\(^5\) Two important exceptions are the developments made in loop quantum cosmology [6] and the computation of next order gravitational corrections to the Schrödinger equation made in Refs. [7–10].
2. Quantum cosmology: a (very) brief review

2.1. Classical constraints

In the canonical approach of quantum cosmology, the quantum state of the universe is described by a wave function that depends on the variables of the spacetime and on the variables of the matter fields. It is the solution of the Wheeler-DeWitt equation [12], which is obtained by canonically quantising the Hamiltonian constraint associated to the classical Hilbert-Einstein action plus the action of matter [31,32]

\[
S = \frac{1}{16\pi} \int_M d^4x \sqrt{-g} \left( 4R - 2\Lambda \right) + \frac{1}{8\pi} \int_{\partial M} d^3x \sqrt{n}K + S_{\text{matter}},
\]

(1)

where we have used units in which \( G = c = 1 \), \( K = K^i_i \) is the trace of the extrinsic curvature, and for a single scalar field \( \phi \) with potential \( V(\phi) \),

\[
S_{\text{matter}} = \int_M d^4x \sqrt{-g} \left( \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) \right)
\]

(2)

The next step consists of foliating the spacetime into space-like Cauchy hypersurfaces \( \Sigma_t \), where \( t \) denotes the global time function of the \( 3 + 1 \) decomposition (see, Fig. 1). A line element of the spacetime can then be written as [31,32]

\[
ds^2 = g_{\mu\nu} dx^\mu dx^\nu = \left( h_{ij} N^i N^j - N^2 \right) dt^2 + h_{ij} \left( N^i dx^j + N^j dx^i \right) dt + h_{ij} dx^i dx^j,
\]

(3)

where \( h_{ij} \) is the three-dimensional metric induced on each hypersurface \( \Sigma_t \), given by [31]

\[
h_{\mu\nu} = g_{\mu\nu} + n_\mu n_\nu,
\]

(4)

with the unit normal to \( \Sigma_t \), \( n_\mu \), satisfying, \( n^\mu n_\mu = -1 \), and \( N \) and \( N^i \) are called the lapse and the shift functions, respectively, which are the normal and tangential components of the vector field \( t^\mu \), which satisfies \( t^\mu \nabla_\mu t = 1 \), with respect to the Cauchy hypersurface \( \Sigma_t \) (see the details in, for instance, Refs [31,32]). In the Hamiltonian formulation of the Hilbert-Einstein action the variables of the phase space turn out to be then the metric components, \( h_{ij} = h_{ij}(t, \vec{x}) \), the scalar field, \( \phi(t, \vec{x}) \), and their conjugate momenta [31,32]

\[
\pi^{ij} = -\frac{\sqrt{h}}{16\pi} \left( K^{ij} - h^{ij}K \right), \quad \pi_\phi = \frac{\sqrt{h}}{N} \left( \phi - N^i \partial_i \phi \right).
\]

(5)

With the \( 3 + 1 \) decomposition (3) one can show that the action (1) can be written as [32]

\[
S = \int dt d^3x \left( \pi^{0i} \dot{N} + \pi^i \dot{N}_i - N \dot{H} - N_i \dot{\mathcal{H}}^i \right),
\]

(6)

so the lapse and shift functions act as Lagrange multipliers, with [32]

\[
\mathcal{H} = 16\pi G_{ijkl} \pi^{ij} \pi^{kl} - \frac{\sqrt{h}}{16\pi} \left( 3R - 2\Lambda \right) + \frac{1}{2} \sqrt{h} \left( \frac{1}{N} \pi_\phi^2 + h^{ij} \partial_i \phi \partial_j \phi + 2V(\phi) \right),
\]

(7)

\[
\mathcal{H}^i = -2D_i \pi^{ij} + h^{ij} \partial_j \pi_\phi,
\]

(8)

and

\[
G_{ijkl} = \frac{1}{2} h^{-\frac{1}{2}} \left( h_{ik} h_{jl} + h_{il} h_{jk} - h_{ij} h_{kl} \right),
\]

(9)

is the DeWitt metric [12]. Therefore, variation of the action (6) with respect to the lapse and the shift functions yield the classical Hamiltonian and momentum constraint, respectively, i.e.

\[
\mathcal{H} = 0, \quad \mathcal{H}^i = 0,
\]

(10)
Figure 1. Foliation of the spacetime into space and time. Left: in flat spacetime the lines of constant $x^i$ are orthogonal to the spatial hypersurfaces $\Sigma$ and the coordinate time $t$ coincides with the proper time, $\tau$. Right: in a curved spacetime, there is a shift, given by $N^i dt$, between the point that would have been reached if the particle would have followed the orthonormal vector of the hypersurface, $n^\mu$ at $x^i$ in $\Sigma_t$, and the actual point of coordinates $x^i$ in $d\Sigma_{t+dt}$. The proper time $\tau$ is now ‘lapsed’ with respect to the coordinate time, $t$.

which are nothing more than the $(00)$ and the $(0i)$ components of Einstein’s equations.

2.2. Canonical quantisation

The canonical procedure of quantisation consists of assuming the quantum version of the classical constraints (10) that would be obtained from the canonical quantisation of the momenta conjugated to the configuration space

$$\pi^{ij} \rightarrow -i \frac{\delta}{\delta h_{ij}}, \quad \pi^\phi \rightarrow -i \frac{\delta}{\delta \phi}. \quad (11)$$

In particular, the classical Hamiltonian constraint, $\mathcal{H} = 0$, gives rise to the well-known Wheeler-DeWitt equation \[12,31,32\],

$$\left( -16\pi\hbar^2 G_{ijkl} \frac{\delta^2}{\delta h_{ij} \delta h_{kl}} + \frac{\sqrt{\hbar}}{16\pi} \left( -\frac{3}{2} R + 2\Lambda + 16\pi T^{00} \right) \right) \phi(h_{ab}, \phi) = 0, \quad (12)$$

where $\hbar$ is the Planck constant, and $T^{00}$ reads

$$T^{00} = -\frac{1}{2\hbar} \frac{\delta^2}{\delta \phi^2} + \frac{1}{2} h^{ij} \frac{\delta}{\delta \phi} \frac{\delta}{\delta \phi} + V(\phi). \quad (13)$$

The wave function $\phi(h_{ab}, \phi)$ in (12) is called the wave function of the universe [23], and it is defined in the abstract space of all possible three-metrics defined in $\Sigma_t$ modulo diffeomorphisms, called the superspace. Furthermore, the Wheeler-DeWitt equation (12) is not a single equation but in fact it is an equation at each point $x$ of the hypersurface $\Sigma_t$ [32]. It is then easy to understand that the exact solution of the Wheeler-DeWitt equation (12) is very difficult if not impossible to obtain for a general value of the metric and a general value of the matter fields. For practical purposes, one needs to assume some symmetries in the underlying spacetime to make it tractable. In that case, the number of variables of the superspace can be notably reduced and for that reason it is called the minisuperspace\[6\].

\[6\] Note however that this space can still be infinite dimensional.
2.3. Minisuperspace

The observational data indicate that the most part of the history of the universe this is homogeneous and isotropic, at least as a first approximation. It seems therefore reasonable to consider the minisuperspace associated to the homogeneous and isotropic spacetimes instead of the full superspace. At first, it could seem meaningless not to consider the full superspace to describe the quantum state of the universe since the most relevant regime for quantum cosmology seems to be the singular origin of the universe, where the quantum fluctuations of the spacetime make impossible to consider not only any symmetry in the spacetime but even the classical concept of spacetime\(^7\). However, this is not necessarily the case. First, to describe the initial singularity, if this exists, we would need a full quantum theory of gravity, which is not yet available. Second, there are homogeneous and isotropic models of the universe for which the scale factor does not decrease further than a minimal value, \(a_{\text{min}}\). In that case, if \(a_{\text{min}}\) is of some orders higher than the Planck length the quantum fluctuations of the spacetime can be subdominant. Furthermore, it could well happen that the quantum description of the universe would also be relevant at other scales rather than the Planck length, even in a macroscopic universe like ours. For all those reasons, it is justified to consider a homogeneous and isotropic spacetime as a first approximation. Afterwards, we can analyse the small departures from the homogeneity and the isotropy as corrections to the homogeneous and isotropic background. This provides us with a relatively simple but still complete and useful model of the universe, at least for the major part of its evolution, even in quantum cosmology.

If one assumes isotropy, the metric of the three-dimensional hypersurfaces, \(h_{ij}(t, \vec{x})\) and the value of the matter fields, \(\varphi_n(t, \vec{x})\) can be expanded in spherical harmonics as \([25,29]\)

\[
\begin{align*}
  h_{ij}(t, \vec{x}) &= a^2(t)\Omega_{ij} + a^2(t)\sum_n 2d_n(t)G_n^0(\vec{x}) + \ldots, \\
  \varphi(t, \vec{x}) &= \frac{1}{\sqrt{2\pi}}\varphi(t) + \sum_n f_n(t)Q_n(\vec{x}),
\end{align*}
\]

where \(\Omega_{ij}\) are the metric components of a line element in the three-sphere, \(Q_n(x)\) are the scalar harmonics, and \(G_n^0(x)\) are the transverse traceless tensor harmonics, with \(n \equiv (n, l, m)\) (see Ref. [25] for the details). More terms appear in the expansion of the metric tensor [25]. However, the dominant contribution is given by the tensor modes of the spacetime, \(d_n\), and the scalar modes of the perturbed field, \(f_n\), so let us focus on \(d_n\) and \(f_n\) as the representative of the inhomogeneous modes of the metric and matter fields, respectively\(^8\).

If, as a first approximation, we only consider the homogeneous modes, the evolution of the universe is essentially described by the zero order terms in (14-15), the scale factor \(a(t)\) and the homogeneous mode of the scalar field \(\varphi(t)\), which would be the configuration variables of the minisuperspace. In that case, as we shall see in Secs. 3 and 4, the Hilbert-Einstein action (1) and the Wheeler-DeWitt equation (12) simplifies considerably and the wave function of the universe turns out to be a function that only depends on these two variables, \((a, \varphi)\). Although this minisuperspace may look a very simplified space it provides us with a very powerful context where to describe the evolution of a quite realistic model of the universe, even in quantum cosmology. Furthermore, it can easily be generalised to other models of the universe without loosing effectiveness. For instance, we could consider \(n\) scalar fields\(^9\), \(\varphi_1, \ldots, \varphi_n\), to represent the matter content of the universe. In that case, the resulting minisuperspace would be generated by the coordinates \((a, \vec{\varphi})\), where \(\vec{\varphi} = (\varphi_1, \ldots, \varphi_n)\).

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\(^7\) When the quantum fluctuations of the spacetime are of the same order of the metric itself, the quantum state of the spacetime would be described by a quantum superposition of geometries that make impossible the classical description of the spacetime (see, Refs. [1,33]).

\(^8\) Eventually, these inhomogeneous modes will be interpreted as particles and gravitons propagating in the homogeneous and isotropic background spacetime.

\(^9\) Spinorial and vector fields can be considered as well.
One could also consider anisotropies by choosing a minisuperspace with coordinates \((\bar{a}_x, \bar{a}_y, \bar{a}_z, \bar{\varphi})\), or we can consider isotropy but not homogeneity, as in (14-15), and then, the minisuperspace would be the infinite-dimensional space spanned by the variables \((a, \varphi, \bar{f}, \bar{\varphi}, \ldots)\), where \(\bar{f} = (f_1, f_2, \ldots)\), \(\bar{\varphi} = (\varphi_1, \varphi_2, \ldots)\), are the vectors formed with all the inhomogeneous modes of the expansions (14-15). It is easy to see then that the use of the minisuperspace is well justified to describe many models of the universe, including the most realistic ones.

In all those cases the evolution of the universe can be seen as a parametrised trajectory in the corresponding minisuperspace, with parametric coordinates \((a(t), \varphi(t), \bar{f}(t), \bar{\varphi}(t), \ldots)\), where the time variable \(t\) is the parameter that parametrises the trajectory in the minisuperspace. In this paper, we shall assume an homogeneous and isotropic background as a first approximation and the inhomogeneities will be analysed as small perturbations propagating in the isotropic and homogeneous background. In that case, the evolution of the universe would basically be given by the path in the \((a, \varphi)\) plane, and the inhomogeneities would only produce small vibrations in the rest of planes around the main trajectory in the minisuperspace. Even if we think that the minisuperspace approximation is not fully satisfactory, we shall see in this paper that it is still very useful for obtaining a deep understanding of the application of the quantum theory to the universe as a whole. For instance, it allows us to uncover an accurate relationship that exists between the quantisation of the evolution of the universe in quantum cosmology and the well-known procedure of quantisation of particles and matter fields in quantum mechanics.

3. Classical analogy: the geometric minisuperspace

As we already pointed out, the evolution of the universe can be seen as a parametrised trajectory in the minisuperspace, with the time variable \(t\) being the parameter that parametrised the trajectory. If we assume homogeneity and isotropy in the background spacetime, as we stated in the previous section, then, \(N^i = 0, \forall i\) in (3), and the metric becomes

\[
ds^2 = -N^2 dt^2 + a^2(t) d\Omega_3^2,
\]

where \(a(t)\) is the scale factor, and \(d\Omega_3^2\) is the line element on the three sphere. The lapse function \(N\) parametrises here the ways in which the homogeneous and isotropic spacetime can be foliated into space and time, which are just time reparametrizations. If \(N = 1\) the time variable \(t\) is called cosmic time and if \(N = a(t)\), \(t\) is renamed with the Greek letter \(\eta\) and is called conformal time because in terms of \(\eta\) the metric becomes conformal to the metric of a closed static spacetime. For the matter fields, let us consider the homogeneous mode of a scalar field, \(\varphi(t)\), minimally coupled to gravity. Later on we shall consider inhomogeneities of the spacetime and the matter fields as small perturbations of the homogeneous and isotropic background. The Einstein-Hilbert action (1) simplifies and can then be written as [31]

\[
S = S_g + S_m = \int dt N \left( \frac{1}{2} G_{AB} \frac{dA^B}{dt} - V(A) \right),
\]

where the variables of the minisuperspace, \(q^A\), are the scale factor and the homogeneous mode of the scalar field\(^{10}\), i.e. \(q \equiv \{a, \varphi\}\). The metric \(G_{ijkl}\) in (9) turns out to be now [31]

\[
G_{AB} = \text{diag}(-a, a^3),
\]

and the potential term, \(V(q)\) in (17), that contains all the non kinetic terms of the action,

\[
V(q) \equiv V(a, \varphi) = \frac{1}{2} \left( -a + a^3 V(\varphi) \right),
\]

\[^{10}\text{For convenience the scalar field has been rescaled according to, } \varphi \rightarrow \sqrt{2}\varphi.\]
The evolution of the universe can be seen as a parametrised trajectory in the minisuperspace. Trajectories in the minisuperspace with positive zero components of the tangent vector entail a growing value of the scale factor so they represent expanding universes. Analogously, those with negative zero component in the tangent vector describe contracting universes.

The first term in (19) comes from the closed geometry of the three space, and \( V(\phi) \) is the potential of the scalar field. The case of a spacetime with a cosmological constant, \( \Lambda \), is implicitly included if we consider a constant value of the potential of the scalar field, \( V(\phi) = \frac{\Lambda}{3} \).

The action (17) shows that the minisuperspace is equipped with a geometrical structure formally similar to that of a curved spacetime, with the tensor (18) being the metric tensor, called the minisupermetric [31], and a line element in the minisuperspace given by

\[
\text{d}s^2 = -\text{d}a^2 + a^3 \text{d}\phi^2. \tag{20}
\]

In the spacetime, the trajectory followed by a test particle can be obtained from the action [34]

\[
S = \frac{m}{2} \int \text{d}\tau \ n \left( \frac{1}{n^2} g^{\mu\nu} \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} - 1 \right), \tag{21}
\]

where \( n \) is a function that makes the action (21) invariant under reparametrizations of the affine parameter \( \tau \). The analogy between the actions (21) and (17) is the base of the parallel analysis that will be done in this paper between the description of the evolution of the universe in the minisuperspace and the trajectory followed by a test particle in a curved spacetime. The variation of the action (21) yields the well-known geodesic equation,

\[
\frac{d^2 x^\mu}{d\tau^2} + \Gamma^\mu_{\alpha\beta} \frac{dx^\alpha}{d\tau} \frac{dx^\beta}{d\tau} = 0, \tag{22}
\]

which turns out to be the Euler-Lagrange equation associated to the action (21). Similarly, the classical evolution of the universe can be seen as the trajectory in the minisuperspace that extremizes the action (17). The parametric coordinates \( a(t) \) and \( \phi(t) \) of the curve that describes the evolution of the universe are then given by

\[
\dot{q}^A + \Gamma^A_{\betaC} q^\beta q^C = -C^{AB} \frac{\partial V}{\partial q^B}, \tag{23}
\]
where\(^\text{11}\), \(\dot{\phi}^A \equiv \frac{dq^A}{N dt}\), and \(\Gamma^A_{\ BC}\) are the Christoffel symbols associated to the minisupermetric \(G_{AB}\), defined as usual by

\[
\Gamma^A_{\ BC} = \frac{G^{AD}}{2} \left( \frac{\partial G_{BD}}{\partial q^C} + \frac{\partial G_{CD}}{\partial q^B} - \frac{\partial G_{BC}}{\partial q^D} \right). \tag{24}
\]

In the case of the minisupermetric (18) the non vanishing components of \(\Gamma^A_{\ BC}\) are

\[
\Gamma^a_{\ aa} = \frac{1}{2a}, \quad \Gamma^a_{\ \phi \phi} = \frac{3a}{2}, \quad \Gamma^\phi_{\ \phi a} = \Gamma^\phi_{\ a \ \phi} = \frac{3}{2a}. \tag{25}
\]

Inserting (25) in (23) one obtains\(^\text{12}\)

\[
\ddot{a} + \frac{a^2}{2} + 3a\dot{\phi}^2 = -\frac{1}{2a} + \frac{3}{2} a V(\phi), \quad \dot{\phi} + 3 \frac{a}{\dot{a}} \dot{\phi} = -\frac{1}{2} \frac{\partial V(\phi)}{\partial \phi}, \tag{26}
\]

which are the classical field equations \([31,35]\). The evolution of the universe can then be seen as a trajectory in the minisuperspace formed by the variables \(a\) and \(\phi\) (see, Fig. 2). The time variable parametrises the worldline of the universe and the solutions of the field equations, \(a(t)\) and \(\phi(t)\), are the parametric coordinates of the universe along the worldline. Because the presence of the potential \(V\) in (23), \(t\) is not an affine parameter of the minisuperspace and the curved \((a(t),\phi(t))\) is not a geodesic. However, it is worth noticing that the action (17) is invariant under time reparametrisations in the sense that the curve that extremizes the action does not depend on the parametrisation we use to describe it. This is so because the lapse function \(N\) is not a dynamical variable and therefore, \(\frac{\delta S}{\delta N} = 0\).

We can then make the following change in the time variable

\[
d\tilde{t} = m^{-2} V(q) dt, \tag{27}
\]

where \(m\) is some constant. Together with the conformal transformation

\[
\tilde{G}_{AB} = m^{-2} V(q) G_{AB}, \tag{28}
\]

the action (17) transforms as

\[
S = \int d\tilde{t} N \left( \frac{1}{2N^2} \tilde{G}^{AB} \frac{dq^A}{d\tilde{t}} \frac{dq^B}{d\tilde{t}} - m^2 \right). \tag{29}
\]

The new time variable, \(\tilde{t}\), turns out to be the affine parameter of the minisuperspace geometrically described by the metric tensor \(\tilde{G}_{AB}\), with geodesic equation given by

\[
\frac{d^2 q^A}{d\tilde{t}^2} + \tilde{\Gamma}^A_{\ BC} \frac{dq^B}{d\tilde{t}} \frac{dq^C}{d\tilde{t}} = 0. \tag{30}
\]

Thus, the classical trajectory of the universe can equivalently be seen as either a geodesic of the minisuperspace geometrically determined by the minisupermetric \(\tilde{G}_{AB}\) or a non geodesic of the minisuperspace geometrically determined by\(^\text{13}\) \(G_{AB}\).

In the Lagrangian formulation of the trajectory of a test particle in the spacetime we can define the momenta conjugated to the spacetime variables as, \(p_\mu = \frac{\delta L}{\delta \dot{q}_\mu}\). The invariance of the action (21)

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\(^{11}\) Unless otherwise indicated we shall always consider cosmic time, for which \(N = 1\).
\(^{12}\) Recall that the scalar field \(\phi\) has been rescaled according to \(\phi \rightarrow \sqrt{2}\phi\), see f.n. 10.
\(^{13}\) This is the basis of the reasoning made in [34]. The trajectory followed by a test particle can be seen either as a geodesic in a given metric or as a non geodesic in a conformal metric under the action of some potential [34] (see also, Refs. [36,37]).
under reparametrisations of the affine parameter leads to the Hamiltonian constraint, \( \frac{\delta H}{\delta n} = 0 \), which turns out to be the momentum constraint of the particle

\[
\delta^\mu_\nu p_\mu p_\nu + m^2 = 0. \tag{31}
\]

A similar development can be done in the minisuperspace. The momenta conjugated to the variables of the minisuperspace are given by

\[
\tilde{p}_A \equiv \frac{\delta L}{\delta \frac{dq_A}{dt}}, \tag{32}
\]

and the Hamiltonian constraint associated to the action (29) turns out to be

\[
\tilde{G}^{AB} \tilde{p}_A \tilde{p}_B + m^2 = 0, \tag{33}
\]

or in terms of the metric \( G_{AB} \) and the time variable \( t \),

\[
G^{AB} p_A p_B + m_2^{2}(q) = 0, \tag{34}
\]

where for convenience we have written, \( m_2(q) = 2V(q) \), with \( V(q) \) given by (19). It is worth noticing that the phase space does not change in the transformation \( \{ G_{AB}, t \} \rightarrow \{ \tilde{G}_{AB}, \tilde{t} \} \), because

\[
\frac{\delta L}{\delta \left( \frac{dq_A}{dt} \right)} \equiv \tilde{p}_A = \tilde{G}^{AB} \frac{dq_B}{dt} = G_{AB} \frac{dq_B}{dt} = p_A \equiv \frac{\delta L}{\delta \left( \frac{dq_A}{dt} \right)}, \tag{35}
\]

where, \( p_A = \{ p_a, p_\phi \} \) and \( q^A = \{ a, \phi \} \).

There is a clear analogy then between the evolution of the universe, when this is seen as a path in the minisuperspace, and the trajectory of a test particle that moves in a curved spacetime. It allows us not only to see the evolution of the universe as a trajectory in the minisuperspace but also to attain a better understanding of the quantisation of both the evolution of the universe and the trajectory of a particle in the spacetime. Within the former, we shall see that the analogy allows us to study the wave function of the universe as another field, say a super-field, that propagates in the minisuperspace, and whose quantisation can thus follow a similar procedure to that employed in the quantisation of a matter field that propagates in the spacetime. Therefore, following the customary interpretation made in a quantum field theory, this new field can be interpreted in terms of test particles propagating in the minisuperspace, i.e. in terms of universes evolving according to their worldline coordinates. From this point of view, the natural scenario in quantum cosmology is a many-universe system, or multiverse, much in a similar way as a many particle system is the natural scenario in a quantum field theory. In the opposite direction in the analogy between the minisuperspace and the spacetime, the way in which the semiclassical description of the universe is obtained, i.e. the way in which a classical trajectory in the minisuperspace is recovered from the quantum state of the wave function of the universe, will allow us to recover from the quantum state of the field \( \phi \) the geodesics of the spacetime where it propagates, i.e. the trajectories followed by the particles of the field, as well as the uncertainties or deviations from their classical trajectories, given by the corresponding Schrödinger equation.

4. Quantum picture

4.1. Quantum field theory in the spacetime

The formal analogy between the minisuperspace and a curved spacetime can be extended to the quantum picture too. Let us first notice that in the quantum mechanics of fields and particles, the
The quantisation of the field (38) is then implemented by promoting the constants $a_k$ and $a_k^*$ into quantum operators, $\hat{a}_k$ and $\hat{a}_k^*$, respectively, satisfying the following commutation relations

$$[\hat{a}_k, \hat{a}_l^*] = \delta_{kl}, \quad [\hat{a}_k, \hat{a}_l] = [\hat{a}_k^*, \hat{a}_l^*] = 0.$$
Then, one defines a vacuum state, $|0\rangle = \prod_k |0_k\rangle$, where $|0_k\rangle$ is the state annihilated by the $a_k$ operator, i.e. $\hat{a}_k |0_k\rangle = 0$. The vacuum state $|0_k\rangle$ describes, in the representation defined by $\hat{a}_k$ and $\hat{a}^\dagger_k$, the no-particle state for the mode $k$ of the field. We can then define the excited state,

$$|m_{k_1}, n_{k_2}, \ldots \rangle = \frac{1}{\sqrt{m!n!\ldots}} \left( (\hat{a}_{k_1}^\dagger)^m (\hat{a}_{k_2}^\dagger)^n \ldots \right) |0\rangle,$$

as the many-particle state representing $m$ particles in the mode $k_1$, $n$ particles in the mode $k_2$, etc. It allows us to write the general quantum state of the field as

$$|\varphi\rangle = \sum_{m,n,\ldots} C_{m,n,\ldots} |m_{k_1}, n_{k_2}, \ldots \rangle,$$

where $|C_{m,n,\ldots}|^2$ is the probability to find $m$ particles in the mode $k_1$, $n$ particles in the mode $k_2$, etc. Thus, when the modes $u_k$ are sufficiently localised, the field can be interpreted as made up of particles propagating in the spacetime with different values of their momenta, whose trajectories are the geodesics of the subjacent spacetime (see Sec. 5). The quantum state (43) contains all the essence and the distinctive character of the quantum theory. For instance, it allows us to consider an entangled state like (44) or a many particle state in quantum cosmology. However, if the expected effects would be confirmed by astronomical observation, it would certainly revolutionise the picture of our universe in a similar way.

4.2. Quantum field theory in the minisuperspace

A similar procedure of canonical quantisation can be followed in the minisuperspace by establishing the correspondence principle between the quantum and the classical variables of the phase space when they are applied upon the wave function, $\varphi = \varphi(a, \varphi)$. In the configuration space,

$$a \rightarrow \hat{a} \varphi = a \varphi, \quad p_a \rightarrow \hat{p}_a \varphi = p_a \varphi, \quad p_\varphi \rightarrow \hat{p}_\varphi \varphi = -i\hbar \frac{\partial \varphi}{\partial \varphi},$$

Then, with an appropriate choice of factor ordering, the Hamiltonian constraint (33) transforms into the Wheeler-DeWitt equation

$$\left( -\hbar^2 \Box_\varphi + m^2 \right) \varphi = 0,$$

with, $\Box_\varphi \equiv \nabla^2_{LB}$, where the Laplace-Beltrami operator $\nabla_{LB}$ is the covariant generalisation of the Laplace operator [31], given by

$$\Box_\varphi \equiv \nabla^2_{LB} = \frac{1}{\sqrt{-G}} \partial_A \left( \sqrt{-G} \hat{G}^{AB} \partial_B \right),$$

16 The exact meaning of "sufficiently localised" will be specified later on in Sec. 5.
or in terms of the variables without tilde the classical Hamiltonian constraint (34) becomes

\[
\left(-\hbar^2 \Box_q + m_{\text{cl}}^2(q) \right) \phi = 0,
\]

(48)

where \( \Box_q \) is the Laplace-Beltrami operator (47) with the metric \( G_{AB} \) instead of \( \tilde{G}_{AB} \), and \( m_{\text{cl}}^2(q) \) is defined after (34) as, \( m_{\text{cl}}^2(q) = 2\mathcal{V}(q) \), with \( \mathcal{V}(q) \) given by (19).

The customary approach of quantum cosmology consists of considering the solutions, exact or approximated, of the Wheeler-DeWitt equation (48) and to analyse the quantum state of the universe from the perspective of the wave function so obtained. This is what we can call the quantum mechanics of the universe [5,24]. This is the only thing we need if we are just considering the physics of one single universe, which has been the cosmological paradigm so far. As it is well-known (see, Sec. 5.1 and Refs. [24,28]), the wave function \( \phi \) contains, at the classical level, the classical evolution of its homogeneous and isotropic background, i.e. the trajectory of the universe in the minisuperspace; and at first order in \( \hbar \) it contains the Schrödinger equation for the matter fields that propagate in the background spacetime. Thus, it contains in principle all the physics of a single universe.

However, as we have seen in the case of a field that propagates in the spacetime, it is the description of the field in a quantum field theory what extracts all the distinctive power of the quantum theory. We are then impeled to follow a similar approach and exploit the remarkable parallelism between the geometric structure of the minisuperspace and the geometrical properties of a curved spacetime to interpret the wave function \( \phi(a, \varphi) \) as a field that propagates in the minisuperspace. We can then formally apply a procedure of quantisation that parallels that of a second quantisation, which is sometimes called third-quantisation [45–49] to be distinguished from the customary one. Then, let us expand the super-field \( \phi(a, \varphi) \) in terms of normal modes

\[
\phi(q) = \sum_i (b_i u_i(q) + \bar{b}_i^* u_i^*(q)),
\]

(49)

where the index \( i \) schematically represents the set of quantities necessary to label the modes, the sum must be understood as an integral for the continuous labels, and the functions \( u_i(q) \) and \( u_i^*(q) \) form now a complete set of mode solutions of the Wheeler-DeWitt equation (46) which, analogously to the modes of a field that propagates in a spacetime, are now orthonormal under the scalar product

\[
(u_1(q), u_2(q)) = -i \int_{\Sigma} u_1(q) \frac{\partial}{\partial q} u_2^*(q) \sqrt{g_{\Sigma}} d\Sigma^\mu,
\]

(50)

where, in analogy to a curved spacetime (see, Ref. [39]), \( d\Sigma^\mu = n^\mu d\Sigma, \) with \( n^\mu \) a future directed unit vector\(^{17}\) orthogonal to the spacelike hypersurface \( \Sigma \) in the minisuperspace, with induced metric given by \( g_{\Sigma} \) and volume element \( d\Sigma \). Let us notice that the modes \( u_i(q) \) in (49) depend now on the variables of the minisuperspace, \( q^A = \{a, \varphi\} \), instead of on the coordinates of the spacetime. In the minisuperspace geometrically determined by the minisupersmetric (18), a natural choice is the 1-dimensional subspace generated at constant \( a \) by the variable \( \varphi \) (\( d\Sigma = d\varphi \)), then, \( g_{\Sigma} = a^3 \) and \( n^\mu = (a^{-\frac{1}{2}}, 0) \), so the scalar product (50) becomes [50]

\[
(u_1, u_2) = -i \int_{-\infty}^{+\infty} d\varphi a \left( u_1(a, \varphi) \frac{\partial}{\partial a} u_2^*(a, \varphi) \right).
\]

(51)

\(^{17}\) By a future directed vector in the minisuperspace we mean a vector positively oriented with respect to the scale factor component, which is the time-like variable of the minisuperspace.
The quantisation of the theory is then implemented by promoting the constants $b_i$ and $b_i^\dagger$ to quantum operators, $\hat{b}_i$ and $\hat{b}_i^\dagger$, respectively, satisfying the customary commutation relations

$$[\hat{b}_i, \hat{b}_j^\dagger] = \delta_{ij}, \quad [\hat{b}_i, \hat{b}_j] = [\hat{b}_i^\dagger, \hat{b}_j^\dagger] = 0. \tag{52}$$

This is what we can call second quantisation of the spacetime and the matter fields, all together\(^{18}\). The operators $\hat{b}_i^\dagger$ and $\hat{b}_i$ are respectively the creation and the annihilation operators of universes, whose physical properties are described by the solutions, $u_i(q)$, of the Wheeler-DeWitt equation (see Sec. 5). Different boundary conditions imposed on the state of the universe \(^{13,19}\) give rise to different mode solutions of the Wheeler-DeWitt equation. It is worth noticing however that two different mode solutions in (49) would be related by a Bogolyubov transformation. Therefore, the solutions that correspond to different boundary conditions would be related, in the third quantisation formalism, by a Bogolyubov transformation\(^{19}\).

Similarly to the quantum field theory in the spacetime, we have to define a ground state, $\ket{0} = \prod_i \ket{0_i}$, where $\ket{0_i}$ is the state annihilated by the operator $\hat{b}_i$, i.e. $\hat{b}_i \ket{0_i} = 0$. It describes, in the representation defined by $\hat{b}_i$ and $\hat{b}_i^\dagger$, the no-universe state for the value $i$ of the mode. It means that the ground state $\ket{0}$ represents the no-universe at all state, which can be called the nothing state \(^{48}\). The excited state, i.e. the state representing different number of universes with values $i_1, i_2, \ldots$, is then given by

$$\ket{m_{i_1}, n_{i_2}, \ldots} = \frac{1}{\sqrt{m_1! \cdots}} \left[ \left( \hat{b}_1^\dagger \right)^m \left( \hat{b}_2^\dagger \right)^n \cdots \right] \ket{0}, \tag{53}$$

which represents $m$ universes in the mode $i_1$, $n$ universes in the mode $i_2$, etc. Let us notice that in the case of a field that propagates in a homogeneous and isotropic spacetime the value of the mode $\vec{k}$ represents the value of the spatial momentum of the particle \(^{39,40}\). In the homogeneous and isotropic minisuperspace the value of the mode $i$ corresponds to the eigenvalue of the momentum conjugated to the scalar field $\phi$, which formally plays the role of a spatial like variable in the minisuperspace. Therefore, the values $i_1, i_2, \ldots$, in (53) label the different initial values of the time derivatives of the scalar field in the universes. Thus, the state (53) represents $m$ universes with a scalar field with $\phi \sim i_1$, $n$ universes with a scalar field with $\phi \sim i_2$, etc. They represent different energies of the matter fields and, therefore, different number of particles in the universes. The general quantum state of the field $\phi$, which represents the quantum state of the spacetime and the matter fields, all together, is then given by

$$\ket{\phi} = \sum_{m, n, \ldots} C^{(b)}_{mn \ldots} \ket{m_{i_1}, n_{i_2}, \ldots}, \tag{54}$$

which represents therefore the quantum state of the multiverse \(^{49}\).

In the quantisation of a field that propagates in a curved spacetime there is an ambiguity in the choice of mode operators of the quantum scalar field. The different representations are eventually related by a Bogolyubov transformation so at the end of the day the vacuum state of one representation turns out to be full of particles\(^{20}\) of another representation \(^{40}\). The ambiguity is solved by imposing the appropriate boundary conditions that give rise to the invariant representation, in which the vacuum state represents the no particle state along the entire history of the field \(^{51}\). In the minisuperspace $\hat{b}_i^\dagger$ and $\hat{b}_i$ in (49) would be the creation and the annihilation operators, respectively, of the corresponding invariant representation \(^{51}\). Thus, the ground state of the invariant representation, $\ket{0}$, would represent the nothing state at any point of the minisuperspace. It seems therefore to be the appropriate

\(^{18}\) We do not call it second quantisation of the universe because in this formalism there is not only a universe but a set of many universes described analogously to the many-particle representation of a quantum field theory.

\(^{19}\) This can explicitly be seen in a very simplified cosmological model \(^{50}\). As we shall see later on, it will have consequences in the quantum creation of universes.

\(^{20}\) In the quantisation of a complex scalar field it would be full of particle-antiparticle pairs.
|φ(t, x)|^2

Figure 3. Left: in a quantum field theory the field is described in terms of particles that follow with the highest probability the classical trajectories given by the geodesics with however some uncertainties in their positions. Right: the wave function that describes the quantum state of the spacetime and the matter fields, all together, can be seen as a another field, say a super-field, that propagates in the minisuperspace. The universes can then be seen as ‘test’ particles following classical trajectories in the minisuperspace with quantum uncertainties given by the Schrödinger equation of their matter fields.

representation to describe the universes of the multiverse. However, we can assume (as a boundary condition) that the state of the super-field $\phi$ at the boundary $\Sigma(a_0)$, where $a_0$ is the value of the scale factor at which the universes are created from the gravitational vacuum, is given by the ground state $|0\rangle$ of the diagonal representation of the Hamiltonian at $a_0$, given by $^{21}b_i^\dagger$ and $b_i$. In that case, assuming that the universes undergo from the onset an inflationary period in which the inflaton field can be considered nearly constant, i.e. $\dot{\phi} \ll 1$ and $V(\phi) \approx H_0^2$, then, the super-field $\phi$ that represents the quantum state of the spacetime and the matter fields, all together, would then be represented by an infinite number of correlated universes, because, as it happens in a quantum field theory (see, Ref. [40]), we have

$$|0\rangle = \prod_i \frac{1}{|\alpha_i|^2} \left( \sum_n \left( \frac{\beta_i}{2\alpha_i} \right)^n |n_i, n_{-i}\rangle \right), \quad (55)$$

where $\alpha_i$ and $\beta_i$ are the Bogolyubov coefficients that relate the diagonal and the invariant representation, $b_i, b_i^\dagger$ and $b_i, b_i^\dagger$, respectively, i.e.

$$b_i = \alpha_i^* b_i + \beta_i^* b_i^\dagger, \quad b_i^\dagger = \alpha_i b_i + \beta_i b_i^\dagger. \quad (56)$$

It is worth noticing that because the isotropy of the underlying minisuperspace in the region where the universes are created, i.e. the region limited by small values of the scale factor and large values of the inflaton field, the universes would be created in correlated states, $|n_i, n_{-i}\rangle$, with opposite values of their momenta, $i$ and $-i$. The creation of universes in pairs with opposite values of the momenta conjugated to the minisuperspace variables would conserved the value of the total momentum and it is besides a consequence of the quantum creation of universes in (55). As we shall see in Sec. 5 it will have important consequences because the time variables of the two universes of a given pair are reversely related [52]. Therefore, particles propagating in the observer’s universe would be clearly identified with matter and particles moving in the time reversely universe can naturally be identified with antiparticles. It might explain, therefore, the primordial matter-antimatter asymmetry observed in the context of a single universe [53].

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$^{21}$ From now on we omite the hats on top of the operators to ease the notation.
5. Particles and universes propagating in their spaces

5.1. Semiclassical universes: classical spacetime and quantum matter fields

In quantum mechanics, the trajectories are transformed into wave packets. Instead of definite positions and definite trajectories, what we have in quantum mechanics is a wave function that gives us the probability of finding the particle in a particular point of the spacetime (see, Fig. 3). In the semiclassical regime this probability is highly peaked around the classical trajectory and we recover the picture of a classical particle propagating along the particle worldline.

Similarly, we can see quantum cosmology as the quantisation of the classical trajectory of the universe in the minisuperspace. In that case, the wave function \( \phi(a, \varphi) \) can be interpreted as a field made up of universes which, in the classical limit, follow definite trajectories in the minisuperspace, i.e. their spacetime backgrounds follow in that limit the classical evolution determined by the field equations. At first order in \( \hbar \), however, there is some uncertainty in the matter field coordinates given by the Schrödinger equation of the matter fields.

In order to show it, let us consider the WKB solutions of the Wheeler-DeWitt equation (48), which can be written as

\[
\phi (q) = \sum \phi_+ + \phi_- = \sum C_n(q) e^{\pm iS_n(q)} + C(q) e^{\pm iS(q)},
\]

where \( C_n(q) \) and \( S_n(q) \) are a slow varying and a rapid varying functions, respectively, of the minisuperspace variables, and the sum extends to all possible classical configurations [24]. Because the hermitian character of the Wheeler-DeWitt equation, which in turn is rooted on the time reversal symmetry of the Hamiltonian constraint (34), the semiclassical solutions come in conjugate pairs like in (57), which can be associated to the mode solutions \( u(q) \) and \( u^*(q) \) in (49). These two solutions represent classical universes in the following sense. If we insert the solutions \( \phi_{\pm} \) into the Wheeler-DeWitt equation (48) and expand it in power of \( \hbar \), then, at zero order in \( \hbar \) it is obtained the following Hamilton-Jacobi equation

\[
C_{AB} \frac{\partial S}{\partial q^A} \frac{\partial S}{\partial q^B} + m_c^2(q) = 0.
\]

It can be shown [5,24] that this equation turns out to be the Hamiltonian constraint (34) if we assume a time parametrisation of the paths in the minisuperspace given by

\[
\frac{\partial}{\partial t} = \pm C_{AB} \frac{\partial S}{\partial q^A} \frac{\partial}{\partial q^B}.
\]

In that case,

\[
q^A = \pm C_{AB} \frac{\partial S}{\partial q^B}, \quad \text{and} \quad \frac{\partial S}{\partial q^A} = \pm G_{AB}q^B = p_A,
\]

so that the Hamilton-Jacobi equation (58) becomes the Hamiltonian constraint (34). Furthermore, from (60) and (58) one can derive the equation of the geodesic of the minisuperspace (23). Therefore, at the classical level, i.e. in the limit \( \hbar \to 0 \), one recovers from the semiclassical solutions (57) the classical trajectory of the universe in the minisuperspace, i.e. one recovers the classical description of the background spacetime of the universe. In that sense, these solutions describe the classical spacetime of the universes they represent. It is worth noticing the freedom that we have to choose the sign of the time variable in (59), \( +t \) or \( -t \). The Hamiltonian constraint (34) is invariant under a reversal change in the time variable because the quadratic terms in the momenta. However, the value of these momenta in (60) is not invariant under the reversal change of the time variable. It means that we have two possible values of the momenta, \( +p_A \) and \( -p_A \), which are associated to the conjugated solutions of the Wheeler-DeWitt equation (48). It means that the universes are created in pairs with opposite values of their momenta so that the total momentum is conserved (see, Fig. 4). In the time parametrisation of the minisuperspace, the two reversely related time variables, \( t \) and \( -t \), represent the two possible directions in which the worldlines can be run in the minisuperspace, with positive
and negative tangent vectors, $\pm v_t$ (see, Fig. 2). One of the universes is moving forward and the other is moving backward in the sense that one of the trajectories has a positive zero component of the tangent vector, i.e. $\dot{a} > 0$, and the other has a negative zero component, $\dot{a} < 0$. Therefore, one of the universes is increasing the value of the scale factor, so it corresponds to an expanding universe, and the other is reducing the value of the scale factor, so it corresponds to a contracting universe.

Therefore, the evolution of the classical background spacetime is obtained as the zero order in $\bar{\hbar}$ in the expansion of $\bar{\hbar}$ of the Wheeler-DeWitt equation with the semiclassical states (57). We shall see now that the Schrödinger equation of the matter fields that propagate in the background spacetime is recovered at the next order, $\bar{\hbar}^1$. Then, one obtains from the semiclassical states (57) the semiclassical picture of quantum matter fields propagating in a classical spacetime. For the sake of concreteness, let us consider the minisuperspace of homogeneous and isotropic spacetimes considered in Secs. 3 and 4, with small inhomogeneities propagating therein. If these are small, the Hamiltonian of the background and the Hamiltonian of the inhomogeneities are decoupled, so the total Hamiltonian can be written [25,29]

$$(\hat{H}_{bg} + \hat{H}_m)\psi = 0,$$  (61)

where the Hamiltonian of the background spacetime, $H_{bg}$, is given by

$$\hat{H}_{bg} = \frac{1}{2a} \left( \frac{\partial^2}{\partial a^2} + \frac{1}{a} \frac{\partial}{\partial a} - \frac{1}{a^2} \frac{\partial^2}{\partial \phi^2} + a^4 V(\phi) - a^2 \right),$$  (62)

and $H_m$ is the Hamiltonian of the inhomogeneous modes of the matter fields. In that case, the wave function $\psi$ depends not only on the variables of the background but also on the inhomogeneous degrees of freedom, i.e. $\phi = \psi(a, \phi; \vec{x}_n)$, where $\vec{x}_n$ can denote either the tensor modes of the perturbed spacetime, $d_n$, or the scalar modes of the perturbed field, $f_n$ (see, (14-15)). The semiclassical wave function (57) can now be written as [24,30]

$$\phi = \sum \phi_+ + \phi_- = \sum C e^{\pm S} \psi + C e^{-S} \psi^*,$$  (63)

where $C$ and $S$ depend only on the variables of the background, $a$ and $\phi$, and $\psi = \psi(a, \phi; \vec{x}_n)$ contains all the dependence on the inhomogeneous degrees of freedom. Once again, because the real character of the Wheeler-DeWitt equation, the solutions come in conjugated pairs that represent, in terms of the same time variable, a pair of expanding and contracting universes. As we already said, at zero order in the expansion in powers of $\hbar$ of the Wheeler-DeWitt equation, now given by the quantum Hamiltonian constraint (61), with the semiclassical solutions (63) it is obtained the Hamiltonian constraint (58), which with the minisupermetric (18) reads

$$-\left( \frac{\partial S}{\partial a} \right)^2 + \frac{1}{a^2} \left( \frac{\partial S}{\partial \phi} \right)^2 + a^4 V(\phi) - a^2 = 0.$$  (64)

In terms of the time variable $t$ given by (59), which now reads

$$\frac{\partial}{\partial t} = \pm \left( -\frac{1}{a} \frac{\partial S}{\partial a} \frac{\partial}{\partial a} + \frac{1}{a^3} \frac{\partial S}{\partial \phi} \frac{\partial}{\partial \phi} \right),$$  (65)

and implies

$$\dot{a}^2 = \frac{1}{a^2} \left( \frac{\partial S}{\partial a} \right)^2, \quad \dot{\phi}^2 = \frac{1}{a^6} \left( \frac{\partial S}{\partial \phi} \right)^2,$$  (66)
the Hamiltonian constraint (64) turns out to be the Friedmann equation:

\[
\frac{\dot{a}}{a}^2 + \frac{1}{a^2} = \dot{\phi}^2 + V(\phi).
\] (67)

At first order in \(\hbar\) in the expansion of the Wheeler-DeWitt equation with the semiclassical solutions (63), it is obtained [29,43]

\[
\mp i\hbar \left( -\frac{1}{a}\frac{\partial S}{\partial a} \frac{\partial}{\partial a} + \frac{1}{a^3}\frac{\partial S}{\partial \phi} \frac{\partial}{\partial \phi} \right) \psi = H_m \psi,
\] (68)

where the minus sign corresponds to \(\phi_+\) in (63) and the positive sign corresponds to \(\phi_-\) in (63). The term in brackets in (68) is the time variable of the background spacetime (65), so (68) turns out to be the Schrödinger equation for the matter fields that propagate in the classical background spacetime. We have then recovered, at zero and first orders in \(\hbar\), the semiclassical picture of quantum matter fields, which satisfy the Schrödinger equation (68), propagating in a classical spacetime that satisfies the Friedmann equation (67). However, in order to obtain the correct sign in the Schrödinger equation (68) one must choose a different sign for the time variables in the two universes of the conjugated pair in (63).

For the branch represented by \(\phi_+\) one must take the negative sign in (65) and the positive sign for \(\phi_-\). It means that the physical time variables of the two universes, i.e. the time variable measured by actual clocks that are eventually made of matter and therefore governed by the Schrödinger equation, are reversely related, \(t_2 = -t_1\). The universes are therefore both expanding or both contracting universes in terms of their physical time variables, \(t_1\) and \(t_2\) [52]. However, considering two contracting universes at the onset become uninteresting because the newborn universes, which with the highest probability are created with a small value of the scale factor, would then delve again into the gravitational vacuum where they just emerged. Therefore, the most interesting solution is the creation of two expanding universes with their physical time variables reversely related. After the inflationary period matter is created in both universes. However, from the point of view of an observer in one of the universes, say Alice, the particles that propagate in the symmetric universe look as they were propagating backwards in time so they would naturally be identified with the antimatter that is absent from her universe. For an observer in the other universe, say Bob, the things are the other way around. The particles created in his universe are seen by Bob as the matter and the particles of the symmetric universe (Alice’s universe in this case) would be identified with the antimatter that Bob does not observe in his own universe. Nor Alice of Bob can see the particles of the partner universes, i.e. they cannot see the primordial antimatter, because the Euclidean gap that separates the two universes23 (see, Fig. 4). Thus, primordial matter and antimatter would be created in different universe and that might explain the primordial matter-antimatter asymmetry observed in the context of a single universe [53,54].

5.2. Semiclassical particles: geodesics and uncertainties in the position

The analogy between the evolution of the universe in the minisuperspace and the trajectory of a particle in a curved spacetime can make us to ask whether the classical trajectories of test particles in general relativity can also be derived from the quantum state of a field that propagate in the spacetime. The answer is yes [34]. We shall see now that the solutions of the Klein-Gordon equation contain not only information about the matter field they represent but also about the geometrical structure of the spacetime where they propagate through the geometrical information contained in the corresponding geodesics. In order to show it, let us consider the analogue in the spacetime to the semiclassical wave function (57),

\[
\psi(x) = C(x)e^{\pm iS(x)},
\] (69)

22 Recall that the field \(\phi\) was rescaled according to \(\phi \rightarrow \sqrt{2}\phi\), see f.n. 10.

23 The Euclidean gap also prevent matter and antimatter from collapse.
Figure 4. The creation of universes in entangled pairs [43]. In order to obtain the correct value of the Schrödinger equation in the two universes, their physical time variables must be reversely related. In that case, particles moving in the symmetric universe look as they were moving backward in time so they are naturally identified with the antiparticles that are left in the observer’s universe. The primordial matter-antimatter asymmetry observed in the context of a single universe would thus be restored in the multiverse. Particles and antiparticles do not collapse at the onset because the Euclidean gap that exists between the two newborn universes [43,53].

where,\[ x = (t, \vec{x}), \] and \( C(x) \) and \( S(x) \) are two functions that depend on the spacetime coordinates. Then, inserting the semiclassical wave function \( (69) \) into the Klein-Gordon equation \( (36) \) and expanding it in powers of \( \hbar \), it is obtained at zero order in \( \hbar \) the following Hamilton-Jacobi equation

\[
\mathcal{g}^{\mu\nu} \frac{\partial S}{\partial x^\mu} \frac{\partial S}{\partial x^\nu} + m^2 = 0, \quad (70)
\]

which is the momentum constraint \( (31) \) if we make the identification, \( p_\mu = \frac{\partial S}{\partial x^\mu} \). Furthermore, with the following choice of the affine parameter,

\[
\frac{\partial}{\partial \tau} = \pm \frac{1}{m} \mathcal{g}^{\mu\nu} \frac{\partial S}{\partial x^\mu} \frac{\partial}{\partial x^\nu}, \quad (71)
\]

one arrives at

\[
p_\mu = \pm mg_{\mu\nu} \frac{dx^\nu}{d\tau}. \quad (72)
\]

With the momentum constraint \( (70) \) and the value of the momenta \( (72) \) one can derive the equation of the geodesic \( (22) \) [34]. The two possible signs in the definition of the affine parameter in \( (71) \) correspond to the two possible ways in which the geodesic can be run, forward and backward in time. These are the solutions used by Feynman to interpret the trajectories of particles and antiparticles of the Dirac’s theory [55].

For instance, let us consider the case of a flat DeSitter spacetime, with metric element given by

\[
ds^2 = -dt^2 + a^2(t)d\Omega_3^2, \quad (73)
\]

with \( a(t) \propto e^{H_0 t} \), for which the analytical solutions of the Klein-Gordon are well known. In conformal time, \( \eta = \int \frac{dt}{a} \), and in terms of the rescaled field, \( \chi(\eta, \vec{x}) = a(\eta)\varphi(\eta, \vec{x}) \), the Klein-Gordon equation \( (36) \) becomes

\[
\hbar^2 \chi'' - \hbar^2 \nabla^2 \chi + \left( m^2 a^2 - \hbar^2 \frac{a''}{a} \right) \chi = 0, \quad (74)
\]
where the prime denotes the derivative with respect to the conformal time. Notice here the appearance of the Planck constant with respect of the customary expression of the Klein-Gordon (see, for instance, Refs. [39,40]). Let us go on by decomposing the function $\chi$ in normal modes as

$$\chi(\eta, \vec{x}) = \int \frac{d^3k}{(2\pi)^3} \chi_k(\eta) e^{\pm \frac{i}{\hbar} \vec{k} \cdot \vec{x}},$$

(75)

where the normal modes $\chi_k$ satisfy

$$\hbar^2 \chi_k'' + \omega_k^2(\eta) \chi_k = 0,$$

(76)

with $k = |\vec{k}|$, and in the case of a flat DeSitter spacetime

$$\omega_k^2(\eta) = k^2 + \left( \frac{m^2}{H^2} - 2\hbar^2 \right) \frac{1}{\eta^2}.$$

(77)

The solutions of the wave equation (76) can easily be found [39,40] in terms of Bessel functions. The solution with the appropriate boundary condition is given by [40]

$$v_k(\eta) = \sqrt{\frac{\pi |\eta|}{2}} \mathcal{H}^{(2)}_{\pi}(\frac{k|\eta|}{\hbar}),$$

(78)

where $\mathcal{H}^{(2)}_{\pi}(x)$ is the Hankel function of second kind and order $n$, with

$$n = \sqrt{\frac{9}{4} - \frac{m^2}{\hbar^2 H^2}}.$$

(79)

These are the customary modes of the Bunch-Davies vacuum. Note however the presence here of the Planck constant $\hbar$ in the argument and in the order of the Hankel function. It does not appear when the Klein-Gordon is derived from the action of a classical field. In the present case, it is going to allow us to make an expansion of the modes in powers of $\hbar$. Using the Debye asymptotic expansions for Hankel functions [34], one can write

$$\mathcal{H}^{(2)}_{\pi}(\frac{k}{\hbar H}) \approx \sqrt{\frac{2H}{\pi \omega_c}} e^{-\frac{m^2}{2\hbar^2}} e^{-\frac{i}{\hbar^2} \left( \frac{k}{H} - \pi \log(\frac{a_k}{m + \omega_c}) \right)} (1 + O(\hbar)), $$

(80)

where,

$$\omega_c \equiv \omega_c(k, \eta) = \sqrt{k^2 + m^2 a^2}.$$

(81)

Then, the solutions of the Klein-Gordon equation can be written in the semiclassical form of the wave function (69) with,

$$S(\eta, \vec{x}) = \vec{k} \cdot \vec{x} - \omega_c \frac{a}{H} - \frac{m}{H} \log \left( \frac{a}{k} (m + \omega_c) \right).$$

(82)

In that case, the momentum constraint (70) is satisfied because, from (82), we have

$$\frac{\partial S}{\partial \eta} = \omega_c(\eta), \quad \text{and} \quad \vec{\nabla} S = \vec{k},$$

(83)

so the momentum constraint turns out to be the dispersion relation given by (81). We can now choose the affine parameter $\tau$, defined by

$$\frac{d}{d\tau} = \pm \frac{1}{a^2 m} \left( -\omega_c \frac{d}{d\eta} + \vec{k} \cdot \vec{\nabla} \right),$$

(84)
in terms of which,
\[ \frac{d\vec{x}}{d\tau} = \pm \frac{1}{a^2m} \vec{k}, \quad \frac{d\eta}{d\tau} = \pm \frac{1}{a^2m} \omega, \quad (85) \]
that satisfy the geodesic equation of the flat DeSitter spacetime, given by the Euler-Lagrange equations associated to the action (21).

Therefore, at the classical level, which is given by the limit \( \hbar \to 0 \), the solutions of the Klein-Gordon equation give rise to the classical geodesics of the spacetime where they are propagating [34]. It means that the Klein-Gordon equation contains not only information about the quantum state of the field but also about the geometrical structure of the underlying spacetime. At first order in \( \hbar \) it also contains the quantum information given by the Schrödinger equation, in the non-relativistic limit.

In the case of a free scalar field that propagates in the flat DeSitter spacetime, for which the metric element is given by (73), the Klein-Gordon equation (36) can be written in cosmic time as
\[ \hbar^2 \psi_t + \hbar^2 \frac{2d}{a} \phi - \hbar^2 \nabla_\Sigma^2 \phi + m^2 \phi = 0, \quad (86) \]
where \( \nabla_\Sigma^2 \) is the three-dimensional Laplacian defined in the hypersurface \( \Sigma \). In the non-relativistic regime, we can assume that the field \( \phi(t, \vec{x}) \) has the semiclassical form
\[ \phi(t, \vec{x}) = \frac{1}{a^2} e^{-\frac{\bar{r}}{m} t} \psi(t, \vec{x}), \quad (87) \]
where \( \psi(t, \vec{x}) \) is the non-relativistic wave function of the field. Then, insert it in the Klein-Gordon equation (86), and disregarding second order time derivatives, or equivalently orders of \( \hbar^2 \) and higher, it is obtained [34] the Schrödinger equation for the free wave function \( \psi(t, \vec{x}) \), i.e
\[ i\hbar \frac{\partial \psi}{\partial t} = -\frac{\hbar^2}{2m} \nabla_\Sigma^2 \psi(t, \vec{x}). \quad (88) \]

The same method can be applied to more general metrics, not only to that of a flat DeSitter spacetime. In order to show it let us consider the metric element,
\[ ds^2 = g_{\mu\nu} dx^\mu dx^\nu = -|g_{tt}| dt^2 + h_{ij} dx^i dx^j, \quad (89) \]
with, \( |g_{tt}| = 1 + 2V(x) \). It is now convenient to make the conformal transformation, \( \bar{g}_{\mu\nu} = |g_{tt}|^{-1} g_{\mu\nu} \), together with the following reparametrisation in the action (21), \( d\lambda = m|g_{tt}|^{-1} d\tau \), so that the momentum constraint of the particle (21) can be split into a relativistic and a non relativistic parts as
\[ H = H_r + H_{nr} = 0, \quad (90) \]
with,
\[ H_r = -\frac{1}{2m} p_i^2 + \frac{m}{2}, \quad H_{nr} = \frac{1}{2m} \bar{h}^{ij} p_i p_j + mV(x), \quad (91) \]
where \( \bar{h}^{ij} \) is the inverse of the metric induced by \( \bar{g}_{\mu\nu} \) in the spatial sections \( \Sigma \), with \( \bar{h}_{ij} = \Delta^{-1} h_{ij} \). In that case, following a similar procedure to that made above with equations (86-88), one arrives at [34]
\[ i\hbar \frac{\partial \psi}{\partial t} = \left( -\frac{\hbar^2}{2m} \nabla_\Sigma^2 + V(x) \right) \psi(t, \vec{x}), \quad (92) \]

\(^{24} \hbar \) is a constant so by the limit \( \hbar \to 0 \) we mean that the magnitudes at hand are very large when they are compared with the value of the Planck constant.
which is the Schrödinger equation of a particle moving in a space with metric $\tilde{h}_{ij}$ under the action of the potential $V(x)$. A specially interesting case is the Schwarzschild spacetime, for which the metric reads

$$ds^2 = -\Delta dt^2 + \Delta^{-1}dr^2 + d\Omega^2,$$

with $\Delta = 1 - \frac{2M}{r}$, in units for which $c = G = 1$. In that case, following the same procedure one arrives at the Schrödinger equation (92) with the Newtonian potential of a gravitational body with mass $M$, $V(r) = -\frac{M}{r}$. Far from the Schwarzschild radius, $\Delta \approx 1$, so the metric of the spatial sections induced by $\tilde{g}_{\mu\nu}$ can be approximated by the metric of the flat space. However, closed to the event horizon $\tilde{h}_{ij}$ would entail a significant departure from the flat space. It means that far enough from the gravitational body it is recovered$^{25}$ the Newtonian picture of a test particle propagating in a flat spacetime under the action of the gravitational potential $V(r)$.

Therefore, we have shown that the solution of the Klein-Gordon equation (36) contains at order $\hbar^0$, i.e. at the classical level, the classical trajectories of test particles moving in the spacetime where the quantum field propagates; and, at first order in the Planck constant, $\hbar^1$, it provides us with the Schrödinger equation (92). In the particle interpretation of the scalar field, the former gives the curve where it is most probable to find the particles and the latter gives the dispersion in their positions, which is given by

$$\Delta^2 \bar{x} = \langle \psi | \hat{x}^2 | \psi \rangle - \langle \psi | \hat{x} | \psi \rangle^2.$$

Thus, we can specify now the meaning given in Sec. 4.1 to “sufficiently localised” for interpreting the modes $u(x)$ and $u^\dagger(x)$ in terms of particles and their trajectories: the dispersion of their positions, given by $\Delta \bar{x}$, must be small compared with $\langle \bar{x} \rangle$, i.e.

$$\frac{\Delta \bar{x}}{\langle \bar{x} \rangle} \ll 1.$$

When the condition (95) is satisfied one can interpret the the field in terms of particles$^{26}$. Furthermore, the preceding approach might provide us with a new starting point for the quantisation of the spacetime. Let us notice that $\Delta \bar{x}$ in (94) would entail a purely quantum deviation from the geodesic motion. In turn, geodesic deviation can be associated to a non zero value of the Riemann tensor $^{56,57}$. Thus, the quantum deviation $\Delta \bar{x}$ could be eventually related to some curvature of quantum nature, which would entail a novel approach for the quantisation of the spacetime$^{27}$.

Similarly, the modes $u_i(q)$ of the third quantisation procedure, which are the solutions of the Wheeler-DeWitt equation, represent semiclassical universes in the sense that they represent, at zero order in $\hbar$, the classical spacetime background where the matter fields propagate and, at first order in $\hbar$, the uncertainties in the values of the matter fields. Therefore, far from the cosmological singularities or the turning points $^{58,59}$, the wave function $\phi(a, q)$ can be seen as a field that propagates in the minisuperspace and can be interpreted as made up of particles, i.e. semiclassical universes whose matter contents are randomly distributed among all the possible values (recall that the scalar field $\varphi$...
is the space-like coordinate of the minisuperspace. It represents therefore the quantum state of the whole multiverse, in the minisuperspace approximation.

6. Conclusions and further comments

There is a formal analogy between the evolution of the universe in the minisuperspace and the trajectory of a test particle in a curved spacetime that allows us to interpret the former as a trajectory in the minisuperspace with parametric coordinates given by the solutions of the classical field equations, \( a(t) \) and \( \varphi(t) \). The time variable \( t \) is the parameter that parametrises the trajectories. The invariance of the Lagrangian associated to the Hilbert-Einstein action, and therefore of the field equations too, with respect to a time reversal change of the time variable indicates that the universes can be created in pairs with opposite values of the momenta conjugated to the minisuperspace variables. A positive value of the momentum conjugate to the scale factor entails a positive value of the zero component of the tangent vector to the trajectory, i.e. it entails an increasing value of the scale factor, so the associated solution represents an expanding universe. In terms of the same time parametrisation, the partner universe with the opposite value of the momentum entails a decreasing value of the scale factor so it corresponds to a contracting universe. Therefore, in terms of the same time variable one of the universes of the pair would be a contracting universe and the other an expanding universe.

The analogy between the evolution of the universe in the minisuperspace and the trajectory of a test particle in the spacetime can be extended to the quantum picture too. The wave function that represents the quantum state of the spacetime and the matter fields, all together, can be seen as a super-field that propagates in the minisuperspace. Then, a third quantisation procedure can be applied that parallels that of the second quantisation for a field that propagates in the spacetime. We can then define creation and annihilation operators of universes and the super-field can be interpreted as made up of universes evolving (i.e. propagating) in the minisuperspace. The appropriate representation to describe the universes in the minisuperspace is the invariant representation of the quantum Hamiltonian associated to the Hilbert-Einstein action. In terms of the invariant representation the ground state of the super-field represents the nothing state, which corresponds to the state of no universe at all at any point in the minisuperspace. However, the minisuperspace could be full of universes if the boundary state of the super-field is the ground state of a different representation. In particular, if the boundary state of the super-field is the ground state of the diagonal representation of the Hamiltonian at some boundary \( \Sigma(a_0) \), where \( a_0 \) is the scale factor at which the universes are created, then, the minisuperspace would be full of pairs of universes with opposite values of their momenta conjugated to the variables of the minisuperspace in a correlated or entangled state.

In the semiclassical regime of the wave function of the universe we recover the picture of quantum matter fields propagating in a classical spacetime background. The modes of the mode decomposition of the super-field represent, in that case, semiclassical universes propagating in the minisuperspace. The cosmic time naturally appears in this regime as the WKB parameter that parametrises the classical trajectory, i.e. it parametrises the classical evolution of the spacetime background of the universes. At first order in the Planck constant, we obtain the Schrödinger equation that determines the quantum evolution of the matter fields in the pair of universes. However, the time variable in the two universes of the pair must be reversely related in order to obtain the appropriate value of the Schrödinger equation in the two symmetric universes. It means that in terms of their physical time variables, i.e. in terms of the time variables given by actual clocks that are eventually made of matter, the two universes of the symmetric pair are both expanding or both contracting. The consistent solution would be considering two expanding universes because two newborn contracting universes would rapidly delve again into the gravitational vacuum from which they just emerged. For an internal inhabitant of the universe, the particles that propagate in the partner universe would look like if they were propagating backward in time so they would naturally be identified with the antiparticles that he or she does not observe in his/her universe. The matter-antimatter asymmetry observed in the context of a single universe would be thus restored.
The semiclassical formalism can also be applied to the quantum state of a field that propagates in a curved spacetime. In that case, the zero order component in $\hbar$ of the semiclassical expansion of the field gives the equation of the geodesic of the underlying spacetime. Therefore, the solution of the Klein-Gordon equation contains not only information about the quantum state of the field but also information about the geometrical structure of the spacetime where it propagates. At first order in $\hbar$ one obtains the corresponding Schrödinger equation that gives the evolution of the uncertainties in the position of the particles of the field. Therefore, when the field modes are sufficiently localised the field can be interpreted in terms of particles propagating with the highest probability along the geodesics of the spacetime but with some uncertainty or deviation from the classical path given by the wave functions of the corresponding Schrödinger equation, which would eventually be related to some curvature of pure quantum nature.

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