

1 Article

## 2 Prevalence and work-related factors associated with 3 lower back musculoskeletal disorders in female 4 shellfish gatherers in Saubara, Bahia – Brazil

5 Maria Carolina Barreto Moreira Couto<sup>1,\*</sup>, Ila Rocha Falcão<sup>1</sup>, Juliana dos Santos Müller<sup>2</sup>, Ivone  
6 Batista Alves<sup>1</sup>, Wendel da Silva Viana<sup>1</sup>, Verônica Maria Cadena Lima<sup>3</sup>, Paulo Gilvane Lopes  
7 Pena<sup>1</sup>, Courtney Georgette Woods<sup>4</sup>, Rita Franco Rego<sup>1</sup>

8 <sup>1.</sup> Post-Graduate Program in Health, Environment, and Work, School of Medicine, Federal  
9 University of Bahia, Salvador, 40026-010, Brazil; carolinabmcouto@gmail.com; falcão.ila@gmail.com;  
10 vonyssa@bol.com.br; wendel\_hp20@hotmail.com; pena@ufba.br; ritarego@ufba.br

11 <sup>2.</sup> Department of Technology in Health and Biology, Federal Institute of Education, Science and  
12 Technology of Bahia, Salvador, 40301-015, Brazil; muller\_juliana@yahoo.com.br

13 <sup>3.</sup> Department of Statistics, Institute of Mathematics, Federal University of Bahia, Salvador, 40170-  
14 115, Brazil; vmcadena@gmail.com

15 <sup>4.</sup> Department of Environmental Science and Engineering, University of North Carolina at Chapel  
16 Hill, Chapel Hill, NC 27599-7431, USA; courtney.woods@unc.edu

17 \* Correspondence: carolinabmcouto@gmail.com; Tel.: +55-71-98878-2607

18 **Abstract:** Lower back musculoskeletal disorders (MSD) are an important public health problem  
19 and the leading cause of disability worldwide, but with prevalence yet unknown among  
20 shellfish gatherers. To investigate the prevalence and work-related factors associated with lower  
21 back MSD in a population of female shellfish gatherers, an epidemiological cross-sectional study  
22 was carried out in Saubara, Bahia – Brazil, in 2013. The Brazilian version of the Job Content  
23 Questionnaire (JCQ) and the Nordic Musculoskeletal Questionnaire (NMQ), in addition to a  
24 questionnaire containing the physical demands adapted to the artisanal work, were applied to  
25 a random sample of 209 female shellfish gatherers. The prevalence of lower back MSD was  
26 72.7%. Using multivariate logistic regression, the shellfish gatherers who had worked for more  
27 than 26 years in the activity showed a prevalence of 1.22 (95% CI: 1.04-1.44) times higher  
28 compared to those unexposed. Lower back MSD was 1.24 (95%CI: 1.08-1.42) times higher among  
29 those more exposed to work sitting with trunk flexion. Those performed manual handling and  
30 muscle force with the arms had a prevalence ratio of 1.18 (95%CI: 1.01-1.39). These results show  
31 the need for greater awareness of health and social welfare factors impacting workers in small-  
32 scale fisheries and will promote the elaboration of health care policies for this occupational class.

33 **Keywords:** Musculoskeletal Disorders. Lower Back Pain. Female Artisanal fisher. Shellfish  
34 gatherers.  
35

### 36 1. Introduction

37 Lower back musculoskeletal disorder (MSD) is considered a significant problem in public  
38 health and one of the main causes of incapacity or absence from work in the world [1,2]. In  
39 addition, it is noteworthy for its long duration, incapacitating character and the resulting granting  
40 of sickness benefits [1,3].

41 Studies point out that certain occupational activities are associated with the development of  
42 lower back pain [4,5,6,7]. Activities which require physical demands such as trunk flexion and  
43 rotation, load handling (push, pull and lift), and having to remain seated for extended periods  
44 are considered risk activities for the development of lower back MSD [5,6,7,8,9]. In addition to  
45 physical demands, psychological demands have been widely studied within the work  
46 environment [10,11]. However, not all occupational categories have been the subject of work-

47 related MSD studies, and this includes the category of artisanal fishers, particularly women, in  
48 developing countries.

49 Fishermen are one of the largest and most traditional categories of workers in the world,  
50 representing approximately 38 million people in 2014, of which 90% are engaged in artisanal  
51 fishing [12]. Of this total, 84% are in Asia, 10% in Africa and 4% in Latin America and the  
52 Caribbean (LAC) [12]. Brazil ranks fourth in fish production in the Latin America and Caribbean  
53 region [12]. In 2011, for every 200 Brazilians, one was an artisanal fisherman [13]. However,  
54 knowledge concerning the health and working conditions of fishermen and their families,  
55 especially of fisherwomen, is still very scarce [14]. Communities that make a living from artisanal  
56 fishing are frequently among the poorest in the population [13]. It is estimated that 5.8% of  
57 fishermen in the world earn less than \$ 1 a day [12]. This level of income characterizes these  
58 populations among the poorest in the world.

59 The latest official data on Brazilian artisanal fishing report from 2011, which reveals  
60 negligence with this sector. In 2003, the production of fish from Todos os Santos Bay (TSB)  
61 represented 33.22% of the production of the state of Bahia, located in Northeastern Brazil [15].  
62 Saubara, one of the municipalities of the TSB region, plays an important role in this economic  
63 sector, which is dependent on the artisanal fishing sector. In 2010, Brazilian production of fish  
64 reached 19<sup>th</sup> in the world rankings [16] and approximately 45% of the annual production was  
65 generated from artisanal fishing [13], while in the Northeast that percentage was even greater,  
66 75% [17]. Therefore, it represents an important historic, social and economic sector for the  
67 development of this region and the subsistence of several traditional populations [18,19].

68 Shellfish gathering is a type of artisanal fishing, characterized by gathering seafood by hand  
69 or with rudimentary equipment, or by digging into the sand or mangrove mud to collect mollusks  
70 and crustaceans. This has been an activity traditionally performed mainly by women in Brazil  
71 [18, 19]. The shellfish gatherers perform all the steps of the production process, including the  
72 organization and production of the working tools, there is no division of labor, and it is done  
73 manually [18, 20]. During the activity, they are exposed to several ergonomic risks, such as load  
74 handling and repetitive movements to dig the shellfish in the sand during the collection, or  
75 shucking (extracting the meat from the shell). In addition, they need to remain squatting or sitting  
76 in a bent overposition for hours [18,20].

77 Although women represent 47% of this total work force, which in developing countries is  
78 the equivalent of 56 million jobs [12], they are frequently more disadvantaged and vulnerable, as  
79 well as being politically, socially and economically marginalized in relation to men [14, 19]. The  
80 work they exercise includes domestic services as well as activities directly related to fishing,  
81 which characterize a double working day [19].

82 This article emerged in the context of a research line entitled "Health, Environment and  
83 Sustainability of Artisanal Fishers." Research related to this study has sought to understand  
84 aspects of the work process and work environment of shellfish gatherers [18,20,21]. Pena et al [18]  
85 identified ergonomic and physical risks, such as sun exposure without proper protection, high  
86 muscle overload, excessive repetitive movements, as well as poor sanitation conditions as  
87 important occupational exposures for shellfish gatherers. Falcão et al [20] and Muller et al [21],  
88 studied, respectively, the prevalence of MSD in the neck or shoulder and health-related quality  
89 of life (HRQOL) in the same population of female shellfish gatherers in Saubara. Falcão et al [20]  
90 found a prevalence of MSD above 70% in all segments studied. Muller et al [21] revealed that  
91 HRQOL is substantially less in this group of workers in relation to the general population.  
92 Physical health was particularly affected, which can be related to their physically demanding  
93 work [21]. These findings provide support for actions and proposals for environmental and  
94 health policies within the Brazilian Universal Health System (BUHS), for example establishing a  
95 health care network specifically for artisanal fishermen

96 Research on the industrial sector of fishing in Denmark [22], Galicia [23], United States [24]  
97 and Taiwan [25] report a high prevalence of musculoskeletal complaints. Lipscomp et al [20]  
98 observed that lower back symptoms were the most common cause of work impairment,

99 potentially due to their exhausting workload [22, 23, 24, 25, 26]. In addition to MSD, psychosocial  
100 stress [24, 25], skin melanoma, bronchitis, emphysema, lung cancer and infectious diseases [27]  
101 and unintentional injuries [26] have also been reported.

102 According to the International Labor Organization (ILO), the work in fishing is one of the  
103 most dangerous and stressful activities in the world [28]. In addition, the consequences are still  
104 barely visible in terms of occupational diseases recorded, especially in women [14,19], as  
105 described above. Thus, studies such as this may highlight the association between MSD and  
106 shellfish gathering activity, contributing to improving the labor rights' legislation [29].

107 To determine the factors that may be involved with MSD in shellfish gatherers, it is necessary  
108 to verify the particularities of the work they conduct. Therefore, this study aims to investigate the  
109 prevalence and work-related factors associated with lower back MSD in a population of female  
110 artisanal fishermen/shellfish gatherers in Saubara, Bahia- Brazil.

## 111 2. Materials and Methods

### 112 2.1. Sample and Area

113 An epidemiological cross-sectional study was carried out with a sample of female artisanal  
114 fisherme in Saubara, Bahia - Brazil. The project was approved by the Ethics and Research  
115 Committee (number 356.261) of the Medical School of Federal University of Bahia, and the  
116 consent of the subjects was obtained.

117 The target population of the current study lives in the municipality of Saubara, Bahia. This  
118 municipality is situated 94 kilometers from Salvador, has an area of 163 km<sup>2</sup> and is located within  
119 the biome of the Atlantic Rainforest [30]. Saubara is home to approximately 11,279 inhabitants of  
120 whom 48.9% are men and 51.1% are women [31].

121 Only fishermen who are registered with the state, often through a local association, are  
122 entitled to the benefits currently granted by Brazilian government programs. For this reason, we  
123 predict that a majority of residents engaged in fishing or shellfish gathering as their occupation  
124 are likely registered. The 568 fishermen who are registered in the Saubara Association of Shellfish  
125 Gatherers (SASG) make up 11% of the economically active proportion of the population (EAP),  
126 thus making them an important contributor to the economic viability of the municipality [30, 31].

127 In Saubara women comprise roughly 75% (426) of registered fishermen, while men make up the  
128 remaining 25% (142).

129 The sampling was random, simple and without replacement, in which each individual was  
130 drawn using a random number chart. The registration list was used for the random selection. To  
131 calculate the sample, a prevalence of 50% was used with an error of 5%. Maximum prevalence  
132 was used for this calculation, since the disease burden in this population was not known. The  
133 total population (N) of 426 female shellfish gatherers resulted in a minimum sample of 203  
134 shellfish gatherers. The margin of loss or refusal of 3 to 10% was calculated and resulted in a final  
135 sample of 209 women, 3% more than the minimum anticipated for losses. The authors  
136 collaborated with the SASG to recruit workers to participate in the study. Selected workers were  
137 invited by phone, letter or in person.

138 The following inclusion criteria were defined for the research participants: female, 18 years  
139 of age or older and working as a shellfish gatherer for at least one year. Shellfish gatherers who  
140 were selected but that during the period of the research were not engaged in the activity due to  
141 health problems resulting from the fishing activity, were not excluded from the survey. However,  
142 no cases with these characteristics were present in this study. This strategy aimed at minimizing  
143 bias of the survival effect of the healthy worker [32].

### 144 2.2. Measures

145 Data were collected in the period between April 10 and May 10, 2013. A structured  
146 questionnaire that was previously validated [4] was adapted to the work of shellfish gatherers  
147 [20]. The following items were included in the questionnaire: identification; socio-demographic

148 characteristics; job information; current and past occupational history; time worked in shellfish  
149 gathering; daily work hours; lifestyle habits, including smoking, alcohol consumption, use of  
150 medication, and physical activities; comorbidities; housework; musculoskeletal symptoms; and  
151 physical and psychosocial demands at work.

152 The questionnaire survey data were collected by trained interviewers, which makes it  
153 possible to clarify doubts, avoiding misclassification [33]. Most data were self-reported, except  
154 for weight, height and abdominal circumference (AC), which were directly measured, according  
155 to Chart 1.

156 Weight and height measurements were used to calculate the Body Mass Index (BMI), and AC  
157 which assesses the accumulation of fat in the abdominal region. In addition, a pilot study was  
158 previously applied to ten fisherwomen to check the questionnaire readability, to identify  
159 potential logistics difficulties and estimate the time necessary to interview each woman in this  
160 study.

161 Physical demands at work were adapted to the work of shellfish gatherers through a  
162 questionnaire elaborated by Fernandes [4], observing validity and reliability criteria based on  
163 Stock et al [33]. The psychosocial aspects were measured by means of scores obtained for  
164 psychological demands, control and social support at work, and collected through the Job  
165 Content Questionnaire – JCQ, with all questions checked for validity and reliability [34], as well  
166 as its Brazilian version [35]. Information about musculoskeletal symptoms was collected through  
167 the extended version of the Nordic Musculoskeletal Questionnaire (NMQ) [36, 37], with the  
168 inclusion of questions related to severity, duration and frequency of the symptoms [36].

169 The NMQ is an instrument used worldwide to assess MSD and was cross-culturally  
170 validated for Brazilian population [383]. Results of Pinheiro et al [33] survey revealed an 86%  
171 agreement between symptoms reported in the NMQ and the given respondent's clinical history.  
172 More information can be found in Falcão et al [16].

### 173 2.3. Definition of lower back MSD

174 The presence of pain or discomfort in the lower back in the past year was assessed along  
175 with the severity, duration and frequency of these symptoms [36, 37]. Cases of lower back MSD  
176 were established for workers who reported pain or discomfort in the lower back over the last 12  
177 months at work, which lasted a minimum of one week or at least a monthly frequency and was  
178 not caused by an acute injury [36]. The symptoms were associated with at least one of the  
179 following items: symptom severity rating  $\geq 3$ , on a scale of 0 to 5 (no discomfort to unbearable  
180 pain); seeking medical attention for the problem; absence from work; change of work due to  
181 health restriction. Cases of lower back pain or discomfort referred to the complaint of pain in this  
182 region in the last 12 months, without the severity criteria described above.

183 To increase the specificity of the instrument, questions related to the severity, duration and  
184 frequency of the symptoms were included. We also chose to record pain in the last 12 months, for  
185 purposes of comparison with literature data, considering that some studies do not use severity  
186 criteria [4].

187 Lower back MSD corresponds to the dependent (outcome) variable in the current study.  
188 Variables that follow were considered as independent: sociodemographic; occupational; life  
189 habits; BMI; AC; children less than two years-old; physical demands; and psychosocial demands.

### 190 2.3. Exposure definition

191 Physical demands at work were evaluated through questions answered by the workers  
192 related to the duration, frequency or intensity, on a scale ranging from 0 to 5. These answers  
193 represent the degree of evaluation that the female shellfish gatherer reported about her exposure  
194 [4].

195 Exposure to psychosocial demands was classified according to Devereux [39] as: 1. High  
196 exposure to psychosocial demands: high psychological demands, low control over work, and low  
197 social support; 2. Low exposure to psychosocial demands: low psychological demands, high

198 control over work and high social support. At least two of these criteria must be met in both  
199 classifications for the shellfish gatherer to be rated in each group.

200 In Chart 1, the independent variables, categories and classification criteria are presented. The  
201 variables were better detailed and had a stronger association when dichotomized in terms of the  
202 percentiles. The continuous variables, such as years working, daily work hours, weekly  
203 housework and age were categorized as a function of the percentiles 25, 50 and 75.

204

**Chart I.** Independent variables, categories and classification criteria.

Variable	Criteria used	Risk	Non risk
<b>Marital status (PBA)*</b>	Lives alone / with someone	Married, lives with partner.	Single, separated
<b>Schooling (PBA)</b>	Schooling level	< High School	≥ High School
<b>BMI [40]</b>	Weight and height measured by anthropometric scale (kg/m <sup>2</sup> ).	BMI ≥ 25	BMI < 25
<b>AC [41,42]</b>	Average point between the last costal arch and the upper iliac crest.	AC > 80 cm	AC ≤ 80 cm
<b>Alcohol [4]</b>	Frequency of consumption	≥ 1 time/week	< 1 time /week
<b>Smoking [3]</b>	Smoker or non-smoker	Yes	No
<b>Current work [4]</b>	Have other job	Yes	No
<b>Previous work [4]</b>	Worked in another field.	Yes	No
<b>Daily work (PBA)</b>	Dichotomized through the median (p50)	> 9 hours	≤ 9 hours
<b>Weekly housework (PBA)</b>	Dichotomized through percentile 75 (p75).	> 24.75 hours	≤ 24.75 hours
<b>Years working (PBA)</b>	Dichotomized through p50.	> 26 years	≤ 26 years
<b>Physical activity [23,43]</b>	1. ≥ (3 times a week; 30 min) 2. < (3 times a week; 30 min) 3. Does not do	Options 2 or 3	Option 1
<b>Age (PBA)</b>	Dichotomized through p50.	> 38 years-old	≤ 38 years-old
<b>Children (PBA)</b>	Children ≤ 2 two years old	Yes	No
<b>Physical demands [44]</b>	A factor analysis was performed with the most significant variables for each stage of the process (collection, transport and shucking).		
<b>Collection (PBA)</b>	Factor 1: Load Handling; physical pressure with hands on tool; muscle strength with arms.	> p50 (-0.07)	≤ p50 (-0.07)
	Factor 2: Squatting; trunk flexion and trunk rotation.	> p50 (0.13)	≤ p50 (0.13)
	Factor 3: Standing; walking	> p50 (-0.07)	≤ p50 (-0.07)
	Factor 4: Repetitive, precise and fine hand movements	> p50 (0.11)	≤ p50 (0.11)
<b>Transport (PBA)</b>	Factor 1: Load lifting; arms above shoulders height; muscle strength with arms	> p75 (0.75)	≤ p75 (0.75)
	Factor 2: Physical pressure with hands on tool; pulling load.	> p75 (-0.76)	≤ p75 (-0.76)
	Factor 3: Standing, walking	> p75 (-0.76)	≤ p75 (-0.76)
<b>Shucking (PBA)</b>	Factor 1: Trunk flexion; load handling, physical pressure with hands on tool; muscle strength with arms.	> p25 (-0.77)	≤ p25 (-0.77)
	Factor 2: Repetitive, precise and fine hand movements.	> p75 (0.73)	≤ p75 (0.73)
	Factor 3: Seated, trunk flexion.	> p50 (-0.23)	≤ p50 (-0.23)
<b>Psychosocial demands [39]</b>	Dichotomized through the median calculation of each criterion. The fulfilling of at least two criteria characterizes the high demand.		
	Psychological demand	> 34	≤ 34
	Control	≤ 66	> 66
	Social support	≤ 13	> 13

\*Performed by the authors

#### 206 2.4. Statistical Analysis

207 Statistical treatment was performed on the data collected from the questionnaire using the  
208 program R, the 2.15.2 version (Free Software Foundation, Boston, MA, USA). In the descriptive  
209 analysis of the data, the measures of central tendency (means, medians), dispersion (standard  
210 deviations), percentiles for the continuous variables and frequencies for the categorical variables,  
211 were calculated. The prevalence of lower back pain or discomfort over the last 12 months and the  
212 specific cases of lower back MSD were calculated.

213 The physical demands of posture (sitting, squatting, standing, walking, arms raised above  
214 shoulder height, trunk flexion, trunk rotation, repetitive, precise and fine hand movements), muscle  
215 strength (muscle strength with arms or hands), load handling (pushing, pulling and lifting the load)  
216 and physical pressure (physical pressure with the hands on the work tool) were considered for the  
217 steps of collecting, transporting and shucking of the shellfish gathering. To summarize and reduce  
218 the set of physical demands variables, factor analysis of the stages of collection, transport and  
219 shucking was performed [44]. The physical demands in each stage of the shellfish gathering process  
220 that presented a Pearson correlation above 0.20 were selected for factor analysis. The definition for  
221 the number of factors was based on an eigenvalue  $\geq 1$  through the method for estimation of factorial  
222 loads. Varimax with Kaiser normalization was used as a rotation method [45].

223 To calculate the prevalence ratio and the confidence intervals, the risk factors of the continuous  
224 variables were categorized according to one of the percentiles 25, 50 and 75, with the aim of  
225 identifying what percentile better related to the response variable. In the bivariate analysis, the  
226 prevalence ratios and the confidence intervals (CI) of 95% were calculated.

227 The pre-selection of independent variables to enter the initial multiple logistical regression  
228 model was based on univariate logistical regressions, considering a p-value of less than 0.25 for the  
229 Wald test for coefficient significance [46]. The biological plausibility [1] of the associations was also  
230 considered.

231 The final model was obtained through the backward selection method, based on the likelihood  
232 ratio test and the Wald statistical test considering a significance level of 5%. Then, the delta method  
233 was used to calculate the adjusted prevalence ratios and their respective confidence intervals of 95%  
234 [47]. This method provided a good approximation for the averages, variances and co-variances of  
235 non-linear functions for one or more variables. Thus, for cross-sectional studies, a comparison of the  
236 results from the regression analysis and tabular analysis without using the Odds Ratio (OR) can be  
237 done, since they overestimate the punctual estimates as well as increase the inaccuracy of the  
238 confidence intervals [48].

239 No significant terms at the level of 5% were found during the analysis performed to identify  
240 confounding and interaction. For the final model, the Le Cessie and Houwelingen Test of Goodness  
241 of Fit [49] was performed, which showed a good fit for the model. The residue graphs constructed  
242 did not presents any observational discrepancy.

### 243 3. Results

244 The mean age was 39.6 years ( $\pm 11.5$  years). Approximately 96.0% of the sample declared self-  
245 identified their race as black or mixed-race (referred to as brown), and 74.6% have incomplete  
246 secondary education or less. The average income obtained by the female artisanal fishermen was  
247 equivalent to US\$ 67.69/month.

248 The values found for prevalence of lower back pain or discomfort in the last 12 months and  
249 cases of lower back MSD were 82.8% (173) and 72.7% (152), respectively. A prevalence of 59.8% of  
250 pain in the last seven days was observed.

251 Factor analysis for physical demands related to collection, transport and shucking stages are  
252 reported in Table 1. Collection was summarized by four factors which explained approximately  
253 58.0% of the data variability. Factor 1 was load handling (pull, push and/or lift) described as physical  
254 pressure with hands on the work tool and use of arm-muscle strength; the Factor 2 refers to the  
255 postures of squatting, trunk flexion and trunk rotation; Factor 3 refers to standing and walking; and  
256 Factor 4 represents repetitive hand movements and precise and fine movements.

257 Transport was summarized by three factors, which together explained approximately 65.2% of  
258 the total data variability. Factor 1 was lifting the load, arms above shoulder height and use of arm-  
259 muscle strength. Factor 2 represents the physical pressure of the hands on the work tool and the  
260 pulling the load (pulling). Factor 3, the postures of walking and standing were most prominent.

261 Factor analysis for shucking was also summarized in three factors, which explained  
262 approximately 65.5% data variability. Factor 1 incorporated the demands of trunk rotation, load  
263 handling (push, pull and lift), and physical pressure with hands on work tool and arm-muscle  
264 strength. In Factor 2, repetitive hand movements and precise and fine hand movements were  
265 prominent. Lastly, factor 3 was sitting with trunk flexion (towards the ground).

266 The values for prevalence ratio (PR) and the confidence intervals of 95% (CI 95%) are presented  
267 in Table 2. The data reveal a positive association between lower back MSD and age; years working;  
268 and sitting with trunk flexion (factor 3 of shucking). Although physical demand related to factor 1  
269 of collection (Muscle strength, physical pressure with hands and load handling) was not statistically  
270 significant in the final model, it was shown to be a borderline variable at 95% CI (1.00-1.37).



**Table 1.** Results of factor analysis for the variables related to posture, muscular force and handling of the load.

Collection stage	1	2	3	4	Transport stage	1	2	3	Shucking stage	1	2	3
Standing	-0.039	-0.006	<b>0.883</b>	-0.090	Standing	-0.112	0.311	<b>0.838</b>	Seated	-0.147	0.206	<b>0.332</b>
Walking	0.077	0.038	<b>0.735</b>	0.033	Walking	0.385	-0.276	<b>0.717</b>	Trunk flexion	0.599	-0.314	<b>0.714</b>
Squatting	0.026	<b>0.677</b>	-0.052	-0.230	Arms above shoulders height	<b>0.741</b>	-0.140	0.081	Trunk rotation	<b>0.671</b>	-0.081	0.036
Trunk flexion	-0.005	<b>0.509</b>	0.006	0.084	Muscle strength with arms	<b>0.694</b>	0.230	0.107	Repetitive hand movements	-0.104	<b>0.444</b>	0.220
Trunk rotation	0.099	<b>0.652</b>	0.036	0.438	Physical pressure with hands	0.473	<b>0.646</b>	0.003	Precise and fine hand movements	0.222	<b>0.758</b>	0.106
Precise and fine hand movements	0.196	0.138	0.069	<b>0.894</b>	<b>Load Handling</b>				Muscle strength with arms	<b>0.735</b>	0.428	0.012
Muscle strength with arms	<b>0.465</b>	0.014	-0.067	0.157	Pull	0.001	<b>0.832</b>	0.074	Physical pressure with hands	<b>0.724</b>	0.371	-0.093
Physical pressure with hands	<b>0.571</b>	-0.051	-0.090	0.081	Lift	<b>0.723</b>	0.182	-0.006	<b>Load Handling</b>			
<b>Load Handling</b>					Push				Push	<b>0.683</b>	-0.243	-0.346
Push	<b>0.494</b>	0.460	0.190	0.012					Pull	<b>0.705</b>	-0.321	-0.420
Pull	<b>0.755</b>	0.431	0.018	-0.034					Lift	<b>0.720</b>	0.070	-0.080
Lift	<b>0.710</b>	-0.086	0.239	0.042								

Source: Authors' Calculations.

**Table 2.** Univariate analysis of the prevalence ratio between lower back MSD, socio-demographic variables, lifestyle and occupational habits, psychosocial and physical demands (n=209).

VARIABLES	RISK		NON RISK		PR	95% CI
	n	Prevalence (%)	n	Prevalence (%)		
Age	83	82.2	101	69.0	1.19	1.02 – 1.40
Schooling	114	77.0	38	71.6	1.08	0.89 – 1.31
Marital status	99	75.5	53	75.7	1.00	0.85 – 1.18
Children	14	70.0	138	76.2	0.92	0.68 – 1.24
Smoking	7	70.0	145	75.9	0.92	0.61 – 1.40
Alcohol	32	74.0	120	76.0	0.98	0.81 – 1.19
Physical activity	96	75.0	55	77.5	0.97	0.82 – 1.14
Daily work	69	78.4	82	74.5	1.05	0.90 – 1.22
Weekly housework	40	81.6	111	75.5	1.06	0.84 – 1.20
Years working	86	84.3	66	66.7	1.26	1.08 – 1.49
AC	109	75.7	38	76.0	1.00	0.83 – 1.19
BMI	101	75.4	47	77.0	0.98	0.81 – 1.18
Current work	43	71.7	109	77.3	0.93	0.78 – 1.11
Previous work)	89	76.7	63	74.1	1.04	0.88 – 1.22
Psychological demand	54	73.9	98	76.6	0.97	0.82 – 1.41
Physical demands	n	Prevalence (%)	n	Prevalence (%)	PR	95% CI
<b>COLLECTION STAGE</b>						
Factor 1. Muscle strength, physical pressure with hands and load handling	83	81.4	69	69.7	1.17	1.001 – 1.37
Factor 2. Squatting, trunk flexion and trunk rotation	97	78.9	55	70.5	1.12	0.94 – 1.33
Factor 3. Standing and walking	80	77.7	72	73.5	1.06	0.90 – 1.24
Factor 4. Repetitive hand movements and precise and fine hand movements	77	79.4	75	72.1	1.01	0.94 – 1.29
<b>TRANSPORT STAGE</b>						
Factor 1. Arms above shoulder height, muscle strength and load lifting	42	84.0	110	72.8	1.15	0.99 – 1.35
Factor 2. Pressure and pulling load	119	77.3	33	70.2	1.10	0.90 – 1.35
Factor 3. Standing and walking	117	77.0	35	71.4	1.08	0.08 – 1.31
<b>SHUCKING STAGE</b>						
Factor 1. Trunk rotation, physical pressure with hands, muscle strength with arms and load handling	118	78.1	34	68.0	1.10	0.94 – 1.29
Factor 2. Repetitive hand movements and precise and fine hand movements	41	73.2	111	76.6	0.90	0.74 – 1.10
Factor 3. Seated and trunk flexion	84	81.6	68	69.4	1.18	1.01 – 1.38

Source: Authors' Calculations.

272

273 In Table 3 the final model obtained from the multivariate analysis is described. Lower back MSD  
 274 was 1.18 (95%CI: 1.01-1.39) times more frequent among workers exposed to load handling, muscle  
 275 strength with arms during collection of the shellfish, than of those not exposed. The shellfish  
 276 gatherers who worked seated with the trunk flexion during the shucking stage had a lower back  
 277 MSD prevalence 1.24 (95%CI: 1.08-1.42) times greater than those not exposed. Those that have been  
 278 working in shellfish gathering for more than 26 years had a frequency for lower back MSD 1.22 (95%  
 279 CI: 1.04 -1.44) times greater than those who worked 26 years or less.

**Table 3.** Multivariate analysis of the adjusted Prevalence Ratio for lower back MSD and variables of the final model in a sample (n = 200) of female shellfish gatherers in Saubara, Bahia, 2013.

VARIABLES	PR adjusted	95% CI
Factor 1 of collection stage (Load handling, physical pressure with hands on tool and muscle strength with arms)	1.18	1.01 – 1.39
Factor 3 of shucking stage (Seated and trunk flexion)	1.24	1.08 – 1.42
Years working in shellfish gathering (>26 years)	1.22	1.04 – 1.44

Source: Authors' Calculations.

280

#### 281 4. Discussion

282 The results presented in this study reflect a high prevalence of lower back pain in the past year,  
283 as well as for cases of lower back MSD in female shellfish gatherers of Saubara. This is higher than  
284 the prevalence of low back pain reported on other occupational group in the literature, which varies  
285 from 24% to 59.4% [5,6,7,50,51], despite using a more rigid definition, revealing that this population  
286 is more exposed to risk factors related to the onset of back pain. These findings reveal the magnitude  
287 of this problem in fishery workers, particularly female shellfish gatherers, and is consistent with high  
288 physical workload reported in the literature [14, 18, 20, 26]. A high prevalence of pain in the last  
289 seven days was observed, indicating that many shellfish gatherers work with the presence of  
290 symptoms. The main predictor of this disorder is related to their work, such as time working as  
291 shellfish gatherer, load handling and maintaining of sitting and flexed trunk posture.

292 Surveys using the NMQ in the categories of male fishers [22] and commercial fishers [24]  
293 indicated 80% [22] and 52% [24] of respondents claiming lower back pain during the past year. Using  
294 other instrument, lumbar complaints in the past year was also assessed in female shellfish gatherers  
295 from Spain [23] and industry fishing workers from India [25], which identified a 65.5% [23] and 33%  
296 [25] prevalence, respectively. The discrepancy identified in the population of female industry fishing  
297 workers [25], compared to our results, is possibly related to the mean age ( $23 \pm 6.4$ ) and years working  
298 ( $2.8 \pm 12.9$ ) [25], significantly lower than that found in the current study sample.

299 According to our results, those participants with 26 years or more experience in shellfish  
300 gathering suffered a higher prevalence of lower back MSD. The variable age ( $\geq 38$  years), was  
301 statistically associated with this pathology in univariate analysis, however, it did not remain in final  
302 model. Although not statistically significant in the current survey, age is reported as an important  
303 factor for the development of lower back MSD, since the load capacity of the spine decreases over  
304 the years [1]. Rodriguez-Romero et al [23] observed positive correlation between disability caused  
305 by lumbar pain and age and years worked. The increased risk to low back symptoms and age was  
306 also reported in rural fishing settlement [52] and fish processing [25]. These finding shows that the  
307 time of exposure to the activity of shellfish gathering contributes to lower back MSD, probably due  
308 to the trauma accumulated over the years [1].

309 Some factors may explain the high prevalence of lower back MSD identified in this study. Since  
310 this is a low-income population, they need to work for long periods to increase production and  
311 ensure survival of their family by selling or consuming the shellfish [14, 18], even in the presence of  
312 fatigue and pain. Also, here are high physical demands required in the shellfish gathering activity  
313 [18, 20,23], similar to other studies carried out among other workers in the fishing sector [22, 24, 25].  
314 This exposure begins in childhood, in average 13 years ( $\pm 7.2$ ), with a minimum of 4 years [20]. In  
315 addition, women reported that they dedicate many hours per week to housework, characterizing a  
316 double work shift. These factors aggravate the physical burden on these women.

317 The results of this study indicate that a sitting posture and trunk flexion are associated  
318 factors for lower back MSD. No further survey in the fisherman category investigated the  
319 relationship of sitting in a static posture with MSD, however, other studies also suggest this positive  
320 association [53,54]. Previous studies carried out among other job sectors have reported that working  
321 in an abnormal posture (bending/twisting) increased the risk of developing lower back pain [4, 50,  
322 51]. Prolonged periods with trunk flexion and rotation can provoke compression and protrusion of  
323 invertebrate discs of lumbar spine [55]. In addition, being seated with trunk flexion promotes  
324 maximum disc pressure and is described as one of the most impactful for lower back pain.

325 This study also revealed that load handling and muscle strength with arms was associated with  
326 lower back MSD. This finding is supported by other studies which report that lifting, pulling and  
327 pushing objects are factors associated to lower back pain [5,6,7,8]. In addition, positive association  
328 between lower back pain and workload, such as material handling and non-neutral trunk posture  
329 (flexion, lateral bending and rotation) was found in fishermen from United States [51], Denmark [22]  
330 and Thailand [56] and women performing fish processing from India [25]. These findings bring  
331 forward the physically challenging work environment of fishing activity, whether industrial,  
332 commercial or artisanal.

333 Biomechanical studies described disc suffering and cumulative compression on the  
334 intervertebral disc due to overload on the back [1,6]. Some occupational groups that presented  
335 positive relation between load handling and lower back pain include nurses, doctors [49], refuse  
336 collectors [50], construction workers [57], and industrial and service companies [7]. The  
337 epidemiological literature shows that the greatest risk for the lower back occurs when the load is  
338 lifted from heights close to the ground [1]. In the case of shellfish gatherers, the buckets full of  
339 shellfish or simply their shells are handled from the ground, which provokes greater overload.

340 In relation to psychosocial demands, they have also been widely studied in research about MSD.  
341 They include accelerated work rhythm, low social support, monotony, low control and high stress  
342 at work [1], high psychological demands, and low social support [39]. In the current study,  
343 psychosocial demands and lower back MSD were not significantly associated. The population of  
344 female shellfish is characterized by informal working hours and therefore offers high control over  
345 the exercise of their activities. In addition, most of the shellfish gatherers go to the mangrove in  
346 groups, which are chosen according to affinity [18], and, therefore, show a high degree of social  
347 support. However, research about the psychosocial demands in traditional populations is still scarce.  
348 Nag et al [25], in a study with workers of fish processing, concluded that 22.8% of the reported  
349 symptoms were explained by psychosocial variables. Though, they performed the study in a  
350 population of industrial workers [25], who suffer the same pressures to increase production as any  
351 formal worker, in a different manner than the population of the current study.

#### 352 4.1. Strengths and Limitations

353 There are strengths in this study that can be cited, such as the successful application of the NMQ  
354 in a population with a low level of schooling. Another strength is that studies on occupational health  
355 of shellfish gatherers are rare, and the results of present research can bring to light this important  
356 public health problem in a marginalized population, such as shellfish gatherer workers.

357 Some reasons are cited for the occurrence of inconclusive associations. It may be a result of a  
358 small sample size, lack of variability of exposure, presence of another risk factor and/or failure to  
359 control confounders and categorization of data [45]. The lack of contrast required for exposure to  
360 risk factors makes it difficult to associate lower back pain with physical demands in very  
361 homogeneous populations. The limitation in establishing causality is inherent to the applied  
362 epidemiological method. However, these limitations should not be a barrier to interpretation of data  
363 from many epidemiological studies that identify associations, but rather as one of the limitations that  
364 exists in many areas of health-related research.

365 There are methodological differences in this research field, which limit comparing low back  
366 MSD studies and, consequently, the ability to assess the extent of the problem. The case definitions  
367 and diagnostic criteria are different between studies, even among those who also used the NMQ to  
368 assess MSD [18,20,21,22].

#### 369 4.2. Recommendations

370 Female artisanal fishermen are exposed to many varied occupational risks without appropriate  
371 protection of their health. Although representing almost 0.5% of the Brazilian population [10], very  
372 little action has been taken by the public Health Universal System (SUS) in Brazil to better protect  
373 those working in this job sector [24]. To reduce ergonomic exposures occurring among these  
374 traditional workers, the implementation of intersectorial actions for prevention, treatment and  
375 rehabilitation of MSD in primary health care is imperative. Simple interventions, such as guidelines  
376 for ways to handle loads in order to reduce stress to the lower back and provide more ergonomic  
377 benches with backrests can be of great value.

378 However, in order to reduce inequities in the health sphere of these workers, it is essential that  
379 these actions consider the specificities of the fishers, their artisanal work process and status as self-  
380 employed. It is important that authorities support associations of shellfish gatherers, to promote  
381 measures that improve the market value of their product and, consequently, increase the income of  
382 women working in this sector. This increase may reduce the need for long daily hours of work, as

383 well as prevent these women from taking their children to help at work to increase productivity, and  
384 instead, take them to school, breaking the cycle of low income and low education.

385 Shellfish gatherers are included in the unhealthy activity category of the Brazilian Social  
386 Security, with the right to special retirement with 15 years of contribution. Since this is a low-income  
387 and low-educated population, many do not have the knowledge to understand the proposed  
388 technical model, or the financial conditions for claiming this social security. Though, as these  
389 workers start their activities very early, many of them still in their infancy, early retirement is not  
390 the best way, but a special look at preventing child labor. Therefore, there is a need for an  
391 intersectoral effort to establish more sustainable models of development that ensure the survival of  
392 shellfish gatherers and their families in technical, economic, social, educational, cultural and  
393 environmental conditions. The expectation is to give greater visibility to the challenges that artisanal  
394 fishermen face and to promote the development of public health policies targeted to them  
395 specifically.

396 Prospective epidemiological studies with artisanal fishermen are needed to confirm the cause-  
397 effect relationship between physical demands and MSD. Validation and reproducibility studies of  
398 the NMQ in this class of workers are of paramount importance in verifying the applicability of the  
399 instrument in a population with a low level of schooling. Research to evaluate the inability to work  
400 and its implications on quality of life are also important to characterize the occupational health  
401 scenario of this population and to contribute to the theoretical basis of the technical epidemiological  
402 link of MSD with shellfish gathering.

## 403 5. Conclusions

404 As a result of this study, an important association between lower back MSD and work-related  
405 factors in shellfish gatherers was evident. Physical demands were positively associated with lower  
406 back MSD, and the most frequently associated demands were: load handling and use of arm-muscle  
407 strength during the collection of shellfish with rudimentary and improvised tools; and sitting with  
408 trunk flexion. Also, those who had spent more than 26 years as a shellfish gatherer presented higher  
409 rates of lower back MSD. In this study, psychosocial demands, non-occupational and individual  
410 factors were not associated with lower back MSD. Even with the adoption of gravity criteria for the  
411 definition of cases of lower back MSD, a high prevalence among the shellfish gatherers in Saubara,  
412 Bahia, was found, which suggests that workers continue to perform their activities, even in the  
413 presence of pain. This recognition is a way to sensitize professionals of the public health system and  
414 experts from the social security department about the precarious work conditions and their impact  
415 on the health of shellfish gatherers, in order to promote better public policies for this population.

416 **Acknowledgments:** Many thanks to all the artisanal fisherwomen/shellfish gatherers of the municipality of  
417 Saubara, Bahia and the research group, Health, Environment, Labor and Sustainability in Communities.

418 **Funding:** This study was supported by the Foundation of the Research Support of the State of Bahia (FAPESB),  
419 No. TSC 0019/2012; RF Rêgo, received the grant as a visiting scholar by the Conselho Nacional de  
420 Desenvolvimento Científico Tecnológico (CNPq), CNPq grant No. 203053/2014-0; MCBMC received grant from  
421 FAPESB.

422 **Author Contributions:** MCBMC and RFR is originator of the study. MCBMC and RFR were responsible for the  
423 conduct of the study. MCBMC, RFR, JSM, IBA, WSV, IRF, VMCL, PGLP, CGW were responsible for the survey  
424 and the data collection. MCBMC and RFR suggested the research question for the article and it was clarified  
425 together with JSM and IRF. MCBMC, VMCL and IBA conducted the analyses and prepared the first draft of the  
426 manuscript, which was finalized jointly by all authors. All authors read and approved the final manuscript.

427 **Conflicts of Interest:** The authors declare no conflict of interest.

428  
429 **Ethics approval and consent to participate:** Informed consent was obtained from participants and this study  
430 has been approved by the Research Ethics in Human Beings (CEP) at the Faculdade de Medicina da Bahia  
431 (doc. number 356.261).

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