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# The Efficient Application of an Impulse Source Wavemaker to CFD Simulations

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**Abstract:** Computational Fluid Dynamics (CFD) simulations, based on Reynolds Averaged Navier Stokes (RANS) models, are a useful tool for a wide range of coastal and offshore applications, providing a high fidelity representation of the underlying hydrodynamic processes. Generating input waves in the CFD simulation is performed by a numerical wavemaker (NWM), with a variety of different NWM methods existing for this task. While NWMs, based on impulse source methods, have been widely applied for wave generation in depth averaged, shallow water models, they have not seen the same level of adoption in the more general RANS based CFD simulations, due to difficulties in relating the required impulse source function to the resulting free surface elevation for non-shallow water cases. This paper presents an implementation of an impulse source wavemaker, which is able to self-calibrate the impulse source function to produce a desired wave series in deep or shallow water at a specific point in time and space. Example applications are presented, for a numerical wave tank (NWT), based on the opensource CFD software OpenFOAM, for wave packets in deep and shallow water, highlighting the correct calibration of phase and amplitude. Also, the suitability for cases requiring very low reflection from NWT boundaries is demonstrated. Possible issues in the use of the method are discussed and guidance for good application is given.

**Keywords:** numerical wave tank; internal wavemaker; CFD ; wave generation; OpenFOAM

## 1. Introduction

While CFD enables the simulation of complex flow phenomena, such as two-phase flows and gravity waves, setting up a simulation of coastal or marine engineering processes, with correct wave creation and minimum reflection, can be a challenge. A wide range of NWM methods exist in the literature for the generation and absorption of waves in a CFD simulation.

Wave generation methods can be broadly grouped into two main categories:

1. Replication of physical wavemakers, such as oscillating flaps, paddles or pistons
2. Implementation of numerical/mathematical techniques, introducing source terms or similar into the governing equations

The first category of wave generation methods directly simulates the wavemaker by a moving wall, requiring mesh motion/deformation in the CFD domain [? ], which can be a complex and computationally expensive task. Additionally, because these methods replicate the real processes in a physical laboratory tank, they also incur the well known drawbacks of physical wavemakers encountered in an experimental facility, such as evanescent waves, imperfect wave absorption and the required implementation of a wavemaker control system. Another drawback of conventional wave pistons is that they are typically limited to low order waves (first or second order).

33 In contrast to directly mimicking physical wavemakers, the second category of wave generation  
34 methods are generally more computationally efficient, using numerical algorithms to create the desired  
35 flow conditions by manipulating the field variables. In principle there are four types of methods in the  
36 second category of wave generators:

- 37 • *relaxation method* - relaxes the results of the simulation inside the domain with the results given by  
38 wave theory [? ? ? ?] (linear or higher order) or other numerical models like boundary element  
39 methods (BEM) for example [? ? ?].
- 40 • *boundary method* - creates and absorbs waves on boundary patches through Dirichlet boundary  
41 conditions [? ? ?].
- 42 • *mass source method* - the wave is created by adding a source term to the continuity equation [? ? ? ?].
- 43 • *impulse source method* - the wave is created by adding a source term to the impulse equation [? ? ? ?].

44 Correspondingly, wave absorption can also be achieved using a number of methods. The trivial  
45 solution, of using a very long tank and limiting the simulation duration to a small number of wave  
46 cycles, is certainly not efficient or elegant and will therefore not be considered herein. The relaxation and  
47 boundary wave generation methods, allow for wave absorption to be achieved actively by the NWM,  
48 whereas the mass and impulse source function methods can be used in combination with numerical  
49 beaches to dissipate waves and eliminate reflections.

#### 50 1.1. Impulse source wavemaker

51 While a comparison of different wavemakers is not within the scope of this paper, we want to  
52 highlight some common issues in NWTs. Wavemakers relying on open boundaries or algorithms that  
53 set the species variable, such as relaxation methods, are not necessarily mass conservative. Compared to  
54 an experimental facility, where waves are typically created in an enclosed volume of water, wave run-up  
55 along a sloping bottom, for example, might not be recreated correctly. Numerical beaches, while in many  
56 cases expected to be more computationally expensive than boundary conditions, are able to absorb waves  
57 to any desired level of reflection. The user thus has a possibility to balance computational burden against  
58 permissible reflections. Mass source methods are very similar to impulse source methods, but problems  
59 can arise when generating waves of significant height in shallow water. Once the surface level falls below  
60 the source region, wave generation fails. It should be noted that, at the wavemaker location, the surface  
61 elevation is twice the wave amplitude of the target wave train, since two wave trains travelling in opposite  
62 direction are created. The present paper thus focuses on the impulse source wavemaker.

63 The difficulty, in utilising an impulse source as a NWM, is in calculating the required source function  
64 to obtain a desired target wave series. Since the free surface is not a variable in the RANS equations,  
65 there is no direct expression relating the impulse source function to the resulting generated wave series.  
66 However, for depth-integrated equations, the free surface does appear as a variable, which [?] utilised to  
67 derive a transfer function between the source amplitude and the surface wave characteristics, generating  
68 mono- and polychromatic waves in Boussinesq-type wave models. Following this approach, generating  
69 waves by manipulating the impulse term in the Navier Stokes equation has successfully been applied to  
70 shallow water waves in CFD simulations in [? ?]. [?] describe several issues, especially when creating  
71 deep water waves, originating from the Boussinesq simplifications used in the source term derivation.  
72 They also discuss limitations inducing numerical errors when applying a momentum source function  
73 to random wave generation. Despite those promising first steps, internal wavemakers have not seen  
74 widespread application.

75 In theory, limitations due to the Boussinesq simplification might be overcome by employing stream  
76 functions or other high order wave theories. In practice, evaluating higher order wave theories at multiple  
77 spatial positions (across all faces of the patch or all cells in the wavemaker region) can be computationally

78 expensive. Furthermore, in many practical CFD applications the accurate wave trace description is  
79 required at some distance away from the wave maker, typically in the middle of the domain. [?] presented  
80 some progress in the use of neural networks for calibrating extreme waves in shallow water conditions.

81 The present paper follows an alternative, more generalised, method to determine the required  
82 source function. The proposed method follows from standard calibration procedures utilised for physical  
83 wavemakers in real wave tanks, which iteratively tune the input signal applied to the wavemaker in  
84 order to minimise the error between the measured and targeted wave series. Unlike previous methods to  
85 determine the source function, which are restricted to shallow water waves, the method proposed herein  
86 is applicable from deep to shallow water conditions, able to generate any realistic wave series at any  
87 desired location in a NWT, without explicitly employing any wave theory whatsoever. Additionally, while  
88 dissipation can reduce a theoretically correct wave height from the source region as it travels to the target  
89 location, the proposed method overcomes this problem since it is designed to obtain the desired wave  
90 signal at the target location.

91 Iterative calibration methods will of course increase the computational burden when compared  
92 directly to an analytically derived accurate wavemaker function. However, by performing the calibration  
93 runs on a two dimensional slice, the computational overhead is almost negligible compared to fully  
94 three dimensional simulations of realistic test cases. Applying a calibrated two dimensional result to a  
95 corresponding three dimensional domain is efficient and has also been the recommended approach by [?].

96 The paper will demonstrate that, by utilising the proposed method, the source function can consist  
97 of a purely horizontal impulse component which varies in time. The time evolution of the magnitude  
98 and direction of this simple impulse source term can be calibrated using a standard spectral analysis  
99 method, which is commonly used in physical wave tanks. The remaining parameters of the impulse source  
100 wavemaker that need to be chosen are then the geometrical size and shape of the source function inside  
101 the NWT domain. An investigation of the effect of these geometric parameters will be presented to offer  
102 guidance on the selection of those values.

### 103 1.2. Outline of paper

104 The remainder of the paper is organised in the following four sections. In Section ?? the  
105 implementation of the impulse source wavemaker and the theoretical background is discussed in detail.  
106 The following two sections then present the application of the impulse source wavemaker to a wave  
107 packet in deep and shallow water conditions, with Section ?? detailing the particulars of the case study,  
108 and Section ?? presenting the results and a discussion. Finally, in Section ??, a number of conclusions are  
109 drawn.

## 110 2. Implementation

111 This section details the implementation of the impulse source wavemaker, within a CFD solver, based  
112 on RANS models. First, the modification of the impulse equation to produce the wavemaker is detailed.  
113 Next, setting the parameter values associated with the modified impulse equation is discussed. Finally,  
114 the calibration procedure used to generate a target wave at a specified location is presented.

### 115 2.1. The impulse equation

116 A RANS model includes the following impulse equation:

$$\frac{\partial(\rho\mathbf{U})}{\partial t} + \nabla \cdot (\rho\mathbf{U}\mathbf{U}) = -\nabla p + \nabla \cdot \mathbf{T} + \rho\mathbf{F}_b \quad (1)$$

117 where  $t$  is time,  $\mathbf{U}$  the fluid velocity,  $p$  the fluid pressure,  $\rho$  the fluid density,  $\mathbf{T}$  the stress tensor and  $\mathbf{F}_b$  the  
 118 external forces such as gravity. The stress tensor can include terms from a turbulence model, however for  
 119 this paper all cases presented use a laminar flow model because the waves are non breaking. The current  
 120 impulse source wavemaker is implemented by adding two terms to Eq. (??):

- 121 •  $r\rho\mathbf{a}_{wm}$ : This is the source term used for wave generation, where  $r$  is a scalar variable that defines the  
 122 wavemaker region and  $\mathbf{a}_{wm}$  is the acceleration input to the wavemaker at each cell centre within  $r$ .
- 123 •  $sand\rho\mathbf{U}$ : This describes a dissipation term used to implement a numerical beach, where the variable  
 124 field  $sand$  controls the strength of the dissipation, equalling zero in the central regions of the domain  
 125 where the working wavefield is required and then gradually increasing towards the boundary over  
 126 the length of the numerical beach [? ].

127 Introducing these two terms to Eq. (??), yields the adapted impulse equation:

$$\frac{\partial(\rho\mathbf{U})}{\partial t} + \nabla \cdot (\rho\mathbf{U}\mathbf{U}) = -\nabla p + \nabla \cdot \mathbf{T} + \rho\mathbf{F}_b + r\rho\mathbf{a}_{wm} + sand\rho\mathbf{U} \quad (2)$$

## 128 2.2. Setting parameter values

129 The values for the parameter fields  $r$  and  $sand$  are set during preprocessing and their definition is  
 130 crucial for the correct functioning of the method.

- 131 •  $r$  is set to 1 in the region where the wavemaker exists, and 0 everywhere else in the NWT domain.  
 132 Therefore, the size of the wavemaker and its position within the NWT must also be selected. To offer  
 133 guidance on the selection of the wavemaker size and position, the case study presented in Sections  
 134 ?? and ??, investigates the effect of these parameters on the wavemaker performance.
- $sand$  is initialised using an analytical expression relating the value of  $sand$  and the geometric  
 coordinates of the NWT. The simplest expression would be a step function, where the value of  
 $sand$  is constant inside the beach and is zero everywhere else in the NWT. However, such sharp  
 increase in the dissipation will cause numerical reflections. Instead, the value of  $sand$  should be  
 increased gradually from the start to the end of the numerical beach. Eq. (??) is used in the current  
 implementation which has been shown to produce good absorption [? ]:

$$sand(x) = -2 \cdot sand_{Max} \left( \frac{(l_{beach} - x)}{l_{beach}} \right)^3 + 3 \cdot sand_{Max} \left( \frac{(l_{beach} - x)}{l_{beach}} \right)^2 \quad (3)$$

135 where  $l_{beach}$  is the length of the numerical beach,  $x$  is the position within the numerical beach,  
 136 equalling zero at the start and increasing to  $l_{beach}$  at the NWT wall, and  $sand_{Max}$  stands for the  
 137 maximum value of  $sand$ . Guidance on the selection of the parameters  $l_{beach}$  and  $sand_{Max}$  is also given  
 138 in the case study (see Section ??).

139 [? ] recently derived an analytical solution describing the ideal setting for  $sand$  and validated the  
 140 method in a numerical experiment, removing the need for parameter studies in the future.

## 141 2.3. Calibration procedure

142 The source acceleration,  $\mathbf{a}_{wm}(t)$ , is calibrated, using a standard spectral analysis method, based on  
 143 work presented in [? ], to produce a target wave series at a desired position within the NWT. Figure ??  
 144 shows a schematic of the calibration procedure, which comprises the following steps:

- 145 1. Define target wave series at desired NWT location,  $\eta_T(t)$ , with a signal length  $\mathcal{L}$  and  $\mathcal{N}$  samples
- 146 2. Perform a Fast Fourier Transform (FFT) on  $\eta_T(t)$ , to obtain the amplitudes,  $A_T(f_j)$ , and phase
- 147 components,  $\phi_T(f_j)$ , for each frequency component,  $f_j$ , with  $j = \{1, 2, \dots, \frac{\mathcal{N}}{2}\}$ , where  $f_1 = \frac{0}{\mathcal{L}}$ ,  $f_2 =$
- 148  $\frac{1}{\mathcal{L}}, \dots, f_{\mathcal{N}} = \frac{\mathcal{N}}{2\mathcal{L}} - \frac{1}{\mathcal{L}}$
- 149 3. Generate an initial time series for the wavemaker source term,  $\mathbf{a}_{wm,1}(t)$  (can be chosen randomly or
- 150 informed by  $\eta_T(t)$ )
- 151 4. Perform a FFT on  $\mathbf{a}_{wm,1}(t)$ , to obtain the amplitudes,  $A_{a,1}(f_j)$ , and phase components,  $\phi_{a,1}(f_j)$ , for
- 152 each frequency component of the input source term
- 153 5. Run simulation, for iteration  $i$ , using the wavemaker source term  $\mathbf{a}_{wm,i}(t)$ , and measure the resulting
- 154 free surface elevation at the chosen NWT location,  $\eta_{R,i}(t)$
- 155 6. Perform a FFT on  $\eta_{R,i}(t)$ , to obtain the amplitudes,  $A_{R,i}(f_j)$ , and phase components,  $\phi_{R,i}(f_j)$ , for each
- 156 frequency component of the generated wave series
- 157 7. Calculate the new amplitudes for each frequency component of the input source term,  $A_{a,i+1}(f_j)$ ,
- 158 by scaling the previous amplitudes,  $A_{a,i}(f_j)$ , with the ratio of target surface elevation amplitude,
- 159  $A_T(f_j)$ , and the generated surface elevation amplitude from the previous run,  $A_{R,i}(f_j)$ :
  - 160 •  $A_{a,i+1}(f_j) = \frac{A_T(f_j)}{A_{R,i}(f_j)} A_{a,i}(f_j)$
- 161 8. Calculate the new phase components,  $\phi_{a,i+1}(f_j)$ , by summing  $\phi_{a,i}(f_j)$  with the difference between
- 162 the target elevation phase,  $\phi_T(f_j)$ , and the measured surface elevation phase from the previous run,
- 163  $\phi_{R,i}(f_j)$ :
  - 164 •  $\phi_{a,i+1}(f_j) = \phi_{a,i}(f_j) + [\phi_T(f_j) - \phi_{R,i}(f_j)]$
- 165 9. Generate the new time series for the wavemaker source term,  $\mathbf{a}_{wm,i+1}(t)$ , by performing an Inverse
- 166 Fourier Transform on  $A_{a,i+1}(f_j)$  and  $\phi_{a,i+1}(f_j)$
- 167 10. Repeat steps 5 - 9 until either a maximum number of iterations, or a threshold for the mean-squared
- 168 error (MSE) between the target and resulting surface elevation, is reached.

169 It should be noted, that although the method is based on the work described by [? ], we have further  
 170 simplified it. Instead of evaluating the phase-shift caused by the distance between the wavemaker and the  
 171 point of interest, which would require the use of some wave theory to find the wavenumber  $k$ , we directly  
 172 adjust the phase between wavemaker and target (Step 8).

#### 173 2.4. Calibration procedure for regular waves

174 The calibration method detailed above might fail to resolve the single peak in frequency domain for  
 175 short time traces of regular waves. At the same time, in regular waves the phase of the wave is generally  
 176 not of interest, allowing for a simplification of the calibration. [? ? ? ] used the same solver formulation  
 177 and simply tuned the amplitude of an oscillating source to create monochromatic waves of a desired  
 178 height. The following calibration method is used for regular waves:

- 179 1. The source term is set to oscillate in horizontal direction with the desired wave frequency.
- 180 2. The amplitude  $A_{a,i}$  is initialised with an arbitrary value.
- 181 3. After the initial, and each subsequent run, the surface elevation is analysed in time domain. The
- 182 mean is removed from the surface elevation. Mean wave height  $H_{R,i}$  is then obtained as the difference
- 183 between the mean of the positive and mean of the negative peaks.
- 184 4. A new wave maker amplitude  $A_{a,i+1}$  is obtained by linearly scaling the previous value with the ratio
- 185 of target  $H_T$  and result wave height  $H_R$  as follows  $A_{a,i+1} = \frac{H_T}{H_{R,i}} A_{a,i}$

186 Steps 3 and 4 are repeated until the desired wave height is achieved.

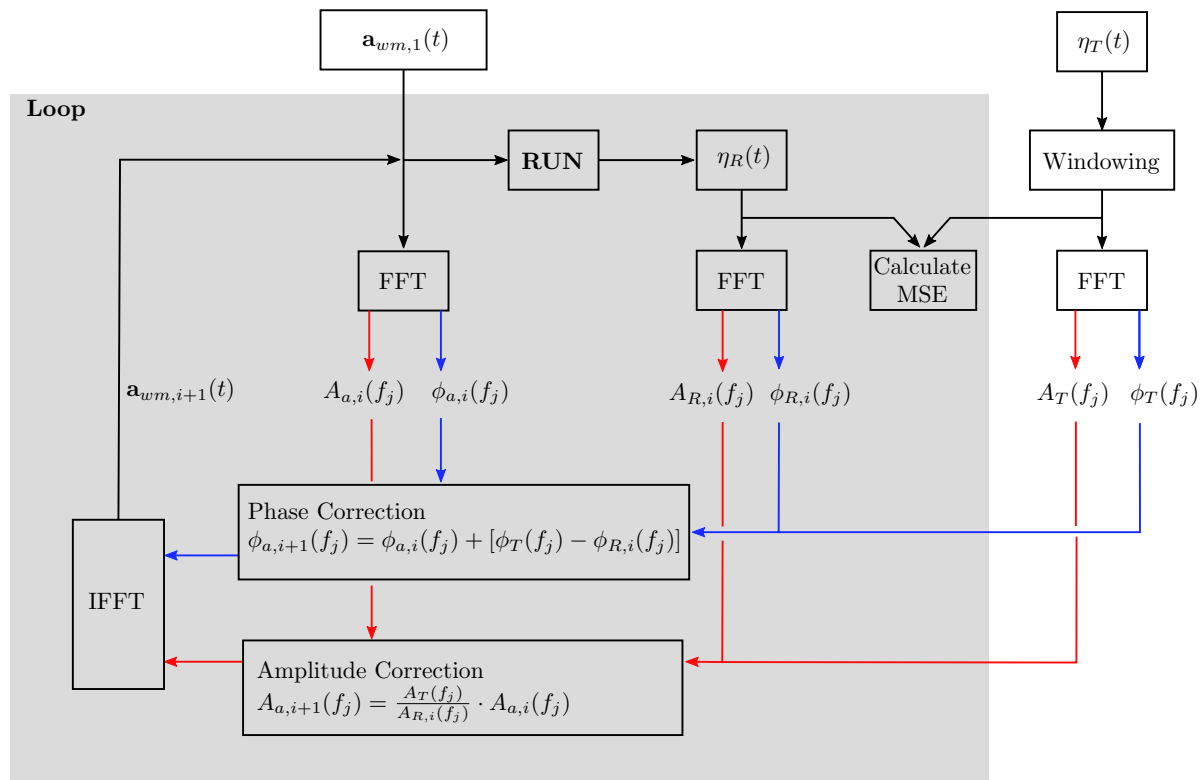


Figure 1. Schematic of the Calibration Method

### 187 3. Case study

188 A case study is now presented with two main objectives, firstly to demonstrate the capabilities of  
 189 the impulse wavemaker and the self-calibration procedure in producing any realistic deep or shallow  
 190 water wave series at a specified location in a wave tank. The second objective is to provide guidance on  
 191 the selection of the wavemaker source region, by investigating the effect that the size and position of the  
 192 source region has on the resulting waves. It might be expected that a very long source area will decrease  
 193 accuracy, whereas a very short source region will require very large velocity components to create a target  
 194 wave. For the shallow water impulse wavemaker in [? ], a source length of about a quarter to half a  
 195 wavelength is recommended. In shallow water, the entire water column, from the sea floor up to the water  
 196 surface, performs an oscillating motion, whereas in deep water only part of the water column is affected  
 197 and the wave motion does not extend to the sea floor. It is thus an interesting question how to choose the  
 198 length and depth of the source region to achieve optimal results in deep, as well as shallow, water.

#### 199 3.1. Target waves

200 The case study considers two types of target waves, multi-frequency and regular waves, to  
 201 demonstrate the calibration procedures outlined in Sections ?? and ??, respectively.

##### 202 3.1.1. Multi-frequency wave packet

203 To demonstrate the ability of the impulse wavemaker, the case study creates a unidirectional  
 204 multi-frequency wave packet at a specified location in the NWT. The wave packet considered is a realisation  
 205 of the NewWave formulation as presented in [? ]. A NewWave wave packet comprises a summation of all

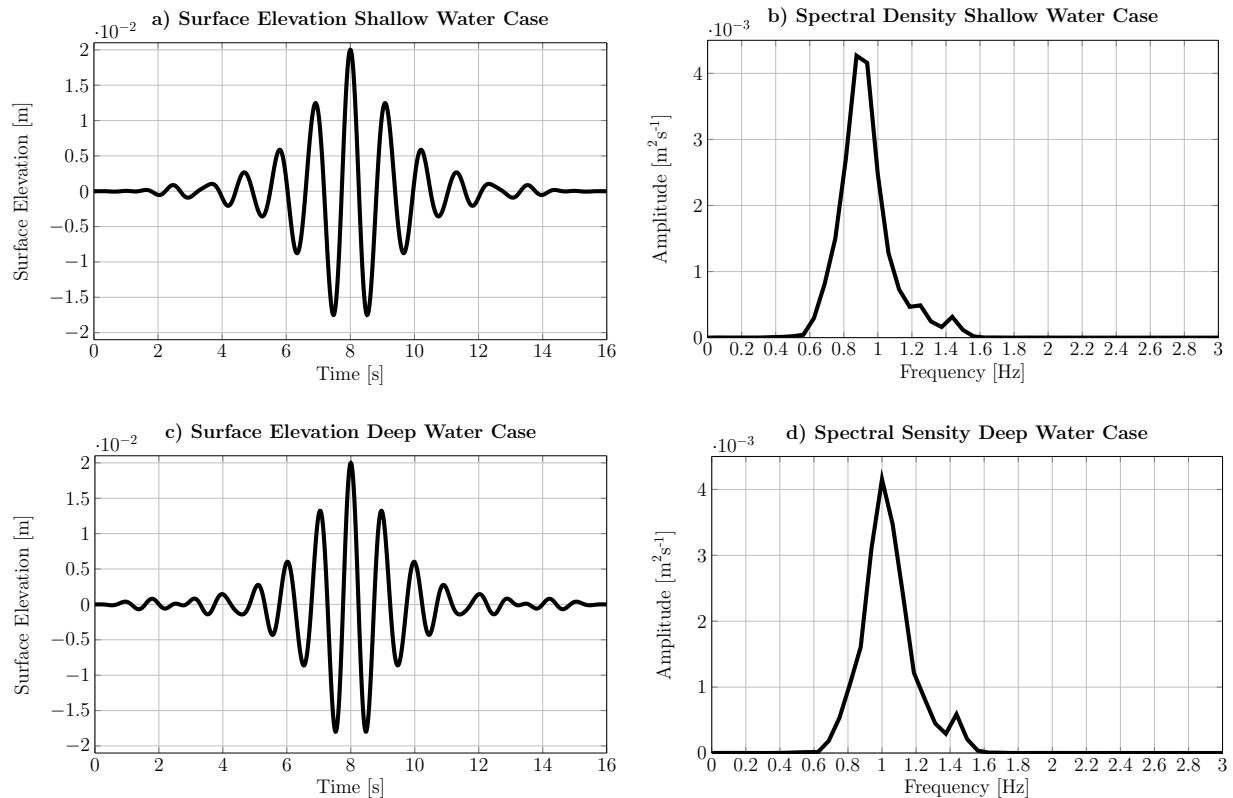
206 the frequency components of a given spectrum, such that the largest amplitude wave crest is created in the  
 207 temporal centre of the packet. The governing equations for the surface elevation,  $\eta_T(t)$ , and amplitude of  
 208 each wave component,  $a_k$ , with  $k = 1, \dots, \mathcal{K}$ , are given in Eq. (??) and (??), respectively:

$$\eta_T(t) = \sum_{k=1}^{\mathcal{K}} a_k \cos [k_k(x - x_0) - \omega_k(t - t_0)] \quad (4)$$

$$a_k = A_0 \frac{S(f_k) \Delta f}{\sum_k^{\mathcal{K}} S(f_k) \Delta f} \quad (5)$$

209 In Eq. (??),  $x_0$  represents the spatial focal location and  $t_0$  the temporal focal instant. In Eq. (??),  
 210  $S(f)$  is the spectral density and  $\Delta f$  the frequency step.  $A_0$  represents the amplitude of the largest wave  
 211 crest. For this case study,  $A_0 = 0.02$  m,  $\Delta f = 0.1$  s<sup>-1</sup>, and  $T_p = 1.1$  s for the shallow case, contrasted with  
 212  $T_p = 0.977$  s for the deep water case. The different peak periods are chosen such that the peak wavelength,  
 213  $\lambda_p$  of 1.48 m remains identical across cases, yielding  $kh$  parameters of 1.06 for the shallow and 3.13 for the  
 214 deep water case.

215 Although the parameters used in this case study are somewhat arbitrarily chosen, wave packets  
 216 are generally well suited for testing the calibration of amplitudes and phases of different frequency  
 217 components [? ], and are also of practical relevance in industrial applications [? ]. Time traces for the  
 218 surface elevation  $\eta(t)$ , and plots of the spectra density  $S(f)$  for the shallow and deep water case, are shown  
 219 in Fig. ??.



**Figure 2.** Target wave packet for the impulse wave maker to produce in the case study: Surface elevation (a, c) and spectral density (b, d)) for the shallow and deep water case

### 220 3.1.2. Regular waves

221 Two cases demonstrating the creation of regular waves in shallow and deep water are presented.  
222 The target wave height was set to  $0.037\text{m}$  and the period to  $1.1\text{s}$  for the shallow water case and  $0.977\text{s}$   
223 for the deep water case, replicating the peak frequency and maximum wave height of the corresponding  
224 wave packets described in Section ???. If not mentioned specifically the settings found to be suitable for the  
225 corresponding wave packets have been used for the setup of these regular wave cases.

### 226 3.2. Source region

227 A rectangular shaped source region is used for all simulations, whose length,  $L$ , and height,  $H$ , are  
228 varied to investigate the effect of the source region size on the wave maker performance. Additionally, to  
229 investigate the effect of the position of the wavemaker within the water column, the depth of the source  
230 region is varied.

### 231 3.3. Simulation platform

232 The NWT implementation for this case study is based on the *interFoam* solver from the OpenFOAM  
233 toolbox. The *interFoam* solver uses a volume of fluid (VOF) approach for modelling the two different fluid  
234 phases, air and water, in order to capture and track the free surface. More details on this solver can be  
235 found in [? ]. Although the case study employs OpenFOAM as the CFD solver, the method can easily be  
236 applied to any CFD software that allows user coding. For example, [? ] implements the shallow water  
237 impulse source wavemaker in ANSYS Fluent, using its user-defined functions capability.

238 The calibration function was implemented in the free scientific programming software, GNU Octave  
239 [? ]. This results in all of the software utilised in this case study being opensource or free. The source code  
240 for the case study set-up and implementation has been shared by the authors on the CCP-WSI repository  
241 [? ], allowing anyone to easily access and utilise the developed impulse source wavemaker.

### 242 3.4. Numerical wave tank set-up

243 Since the case study considers a unidirectional input wave, a two-dimensional (2D) NWT is  
244 implemented to simplify the set-up and reduce computational overheads. The NWT set-up is described  
245 below, detailing the geometry, boundary conditions, mesh and calibration of the absorption beach.

#### 246 3.4.1. Geometry

247 The NWT geometry is depicted in Figure ??. The depth of the NWT is set to  $0.25\text{m}$  for the shallow  
248 water case, and  $0.74\text{m}$  for the deep water case. The target location for the input wave packet is located  $3\text{m}$   
249 downwave from the centre of the wavemaker source region. Two absorption beaches are then located at  
250  $1.5\text{m}$  upwave and  $5.5\text{m}$  downwave from the source centre.

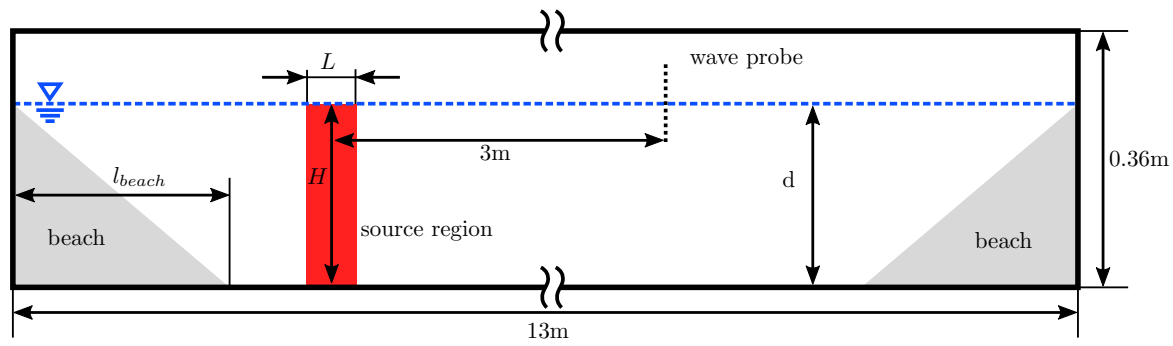
#### 251 3.4.2. Boundary conditions

252 The front and back faces of the NWT, lying in the  $x - z$  plane, are set to *empty*, indicating a 2D  
253 simulation. The bottom, left and right boundaries are set to a wall. The top boundary is set to atmospheric  
254 inlet/outlet condition.

#### 255 3.4.3. Mesh

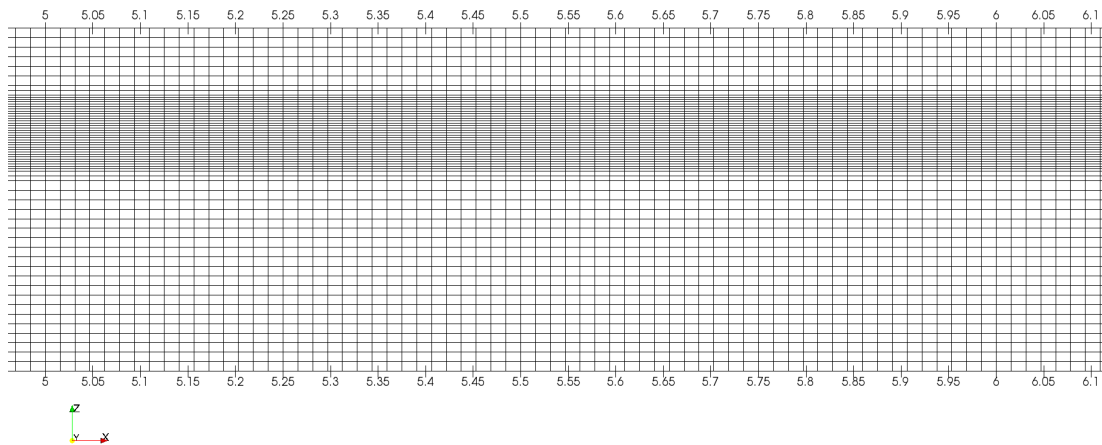
256 The mesh is depicted in Figure ??. The mesh is one cell thick in the  $y$  direction, for implementation of  
257 the 2D simulation. Mesh refinement has been employed in the interface region leading to a cell size of 8  
258 cells per  $A_0$  and 100 cells per wave length. A grid convergence study has been performed to determine





**Figure 3.** Schematic of the NWT including the main dimensions. For the shallow water cases the water depth  $d$  is set to 0.25m, for the deep water case is 0.74m

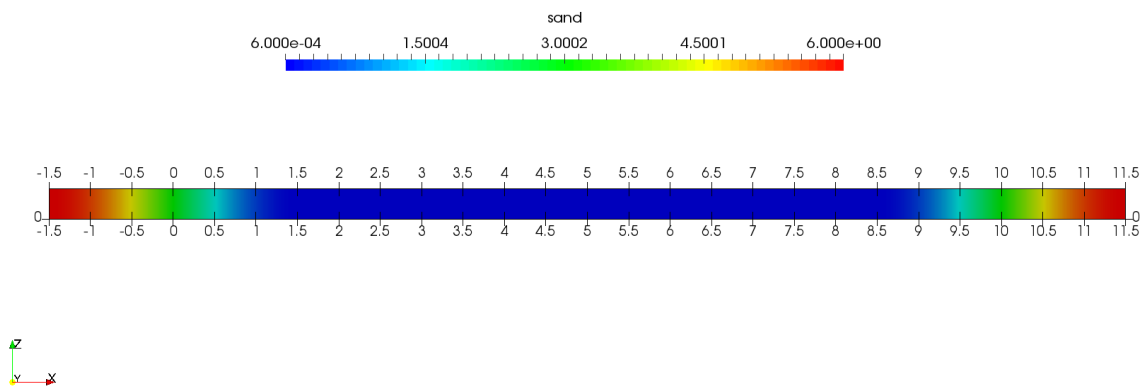
259 these sizes. Adjustable time stepping, based on a maximum allowable Courant number of 0.9, is used in  
 260 all simulations.



**Figure 4.** Snapshot of the NWT mesh, with refined region around the free surface. The grid resolution is kept constant in lateral directions

#### 261 3.4.4. Calibration of the absorption beach

262 [?] recently published a method based on analytical theory to find the ideal magnitude of the  
 263 damping parameter  $sand$  for monochromatic waves. In the future it will thus be possible to set the ideal  
 264 damping parameters prior to each simulation. Herein, a parameter study is performed to investigate  
 265 the absorption performance for the wave packet. Three different pairs of  $l_{beach}$  and  $sand_{Max}$  were tested  
 266 for the shallow water case, i.e.  $l_{beach} = \lambda_p$  and  $sand_{Max} = 5$ ;  $l_{beach} = \lambda_p$  and  $sand_{Max} = 7$ ,  $l_{beach} = 2\lambda_p$   
 267 and  $sand_{Max} = 6$ . The first test, using  $sand_{Max} = 5s^{-1}$  and  $l_{beach} = \lambda_p$ , yielded a reflection coefficient of  
 268 3.5%, with reflection evaluated using the method described in [?]. Increasing  $sand_{Max}$  to  $7s^{-1}$  results in  
 269 a reflection coefficient of 2.5%; using  $sand_{Max} = 6s^{-1}$  and  $l_{beach} = 2\lambda_p$  reduced the reflection coefficient  
 270 significantly to 0.2%. The latter beach configuration was then used in all subsequent cases. While even  
 271 the first configuration, with a resulting reflection coefficient of 3.5%, is better than most experimental  
 272 facilities [?] and many numerical methods, it highlights the flexibility of the approach. Larger beaches  
 273 will invariably come with a greater computational cost but, for cases where very low reflection over a  
 274 larger frequency range is required, they seem the only viable method. Fig ?? shows the definition of the  
 dissipation parameter along the tank as used in the simulations.



**Figure 5.** Gradually increasing damping factor  $sand$ . The grading depends on the location, with no damping (blue colour code) in the centre of the wave tank and highest damping (red colour code) at the far field boundaries

275

#### 276 3.5. Source region test cases

277 120 multi-frequency wave packet experiments were run in total, for 90 shallow water cases and 30 deep  
 278 water cases, with varying source region layouts investigated. For the shallow water cases, simulations  
 279 were run with the source region progressively centred at a third, a half, and two thirds of the water  
 280 depth. For deep water cases, the centre of the source region is located at a depth of  $\frac{\lambda_p}{4}$ , or half the  
 281 water depth. For each different source centre location, 30 experiments were run with varying source  
 282 length region,  $L$ , of  $0.125\lambda_p$ ,  $0.25\lambda_p$ ,  $0.5\lambda_p$ ,  $1\lambda_p$ ,  $1.25\lambda_p$  and varying height of the source region,  $H$ , of  
 283  $0.125d$ ,  $0.25d$ ,  $0.5d$ ,  $0.75d$ ,  $1d$  and  $1.25d$ .

The performance of the various source region layouts is evaluated as the MSE between the target surface elevation,  $\eta_T$ , and the achieved result,  $\eta_R$ :

$$MSE = \frac{1}{K} \sum_I^K (\eta_{T,I} - \eta_{R,I})^2, \quad (6)$$

284 where  $K$  is the total number of time steps in the simulation.

## 285 4. Results and Discussion

286 In this section the case study results are presented and discussed. First, an example result from a  
 287 single experiment (deep water case with source height of  $\frac{\lambda_p}{8}$  and source length of  $\frac{1}{4}\lambda_p$ ) is presented in  
 288 Section ???. The complete set of the results from all the shallow and deep water experiments are summarised  
 289 in Sections ?? and ??, respectively. Application of the simplified calibration method for regular waves is  
 290 discussed in ??.

### 291 4.1. Example result

292 Figure ?? displays an example of the typical decrease in MSE for increasing calibration iterations  
 293 during an experiment. Note the evolution is non-monotonic, which is explained by Figure ??, showing  
 294 the corresponding surface elevation time traces from a selection of these calibration iterations. The first  
 295 iteration is initialised with random input of small amplitude, and the resulting surface elevation is almost  
 296 zero and the MSE of  $3 \times 10^{-5}$ , is large. The second iteration yields several waves with similar amplitude  
 297 and frequency to the target wave packet, but out of phase, and the resulting MSE almost doubles. The  
 298 fourth and fifth iterations decrease the MSE by orders of magnitude to  $1 \times 10^{-5}$  and  $1 \times 10^{-6}$ . The main  
 299 peak of the wave packet is now already very well resolved and most of the error stems from some small  
 300 high frequency waves after 11 s. Further iterations decrease the MSE to about  $3 \times 10^{-7}$ , agreement is now  
 301 even good for the small ripples after 11 s. For all the results presented Sections ?? and ??, 9 calibration  
 302 iterations are used.

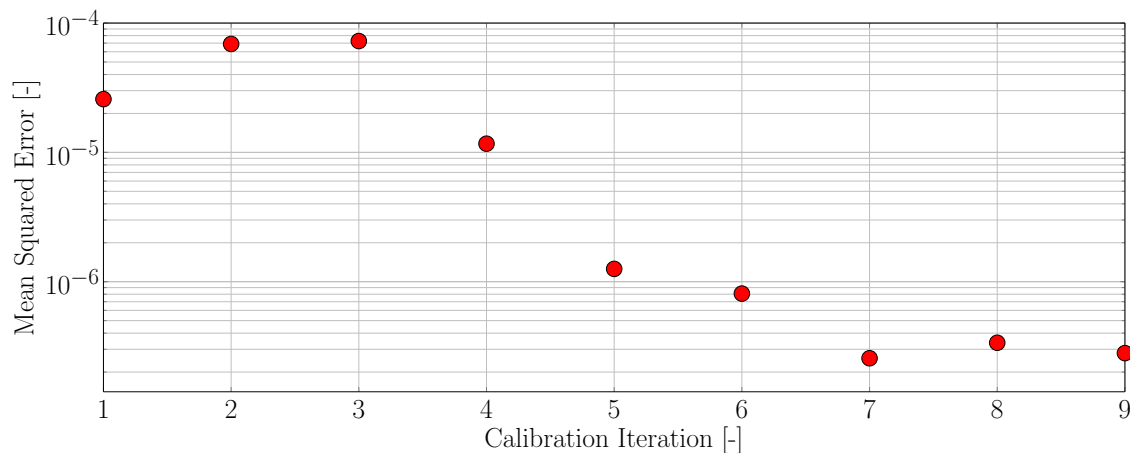
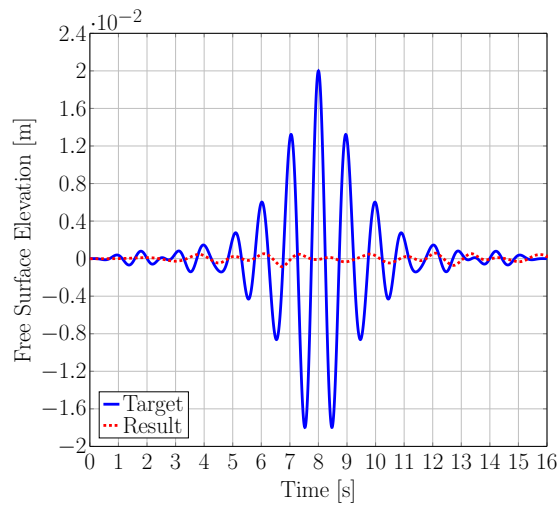
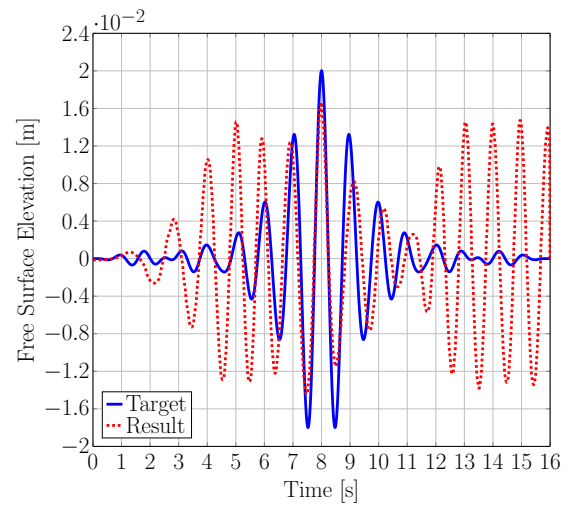


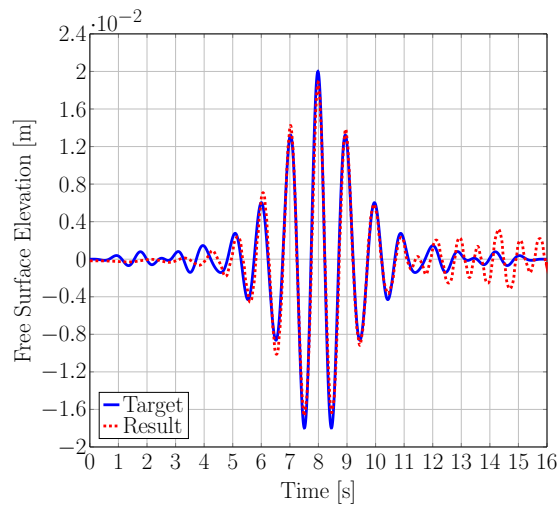
Figure 6. Example of the decrease in the MSE for increasing calibration iterations.



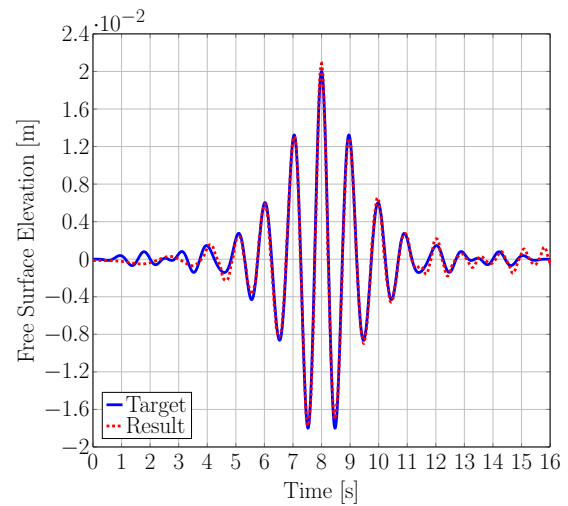
(a) Initial (arbitrary) input



(b) Second calibration iteration



(c) 5th calibration iteration



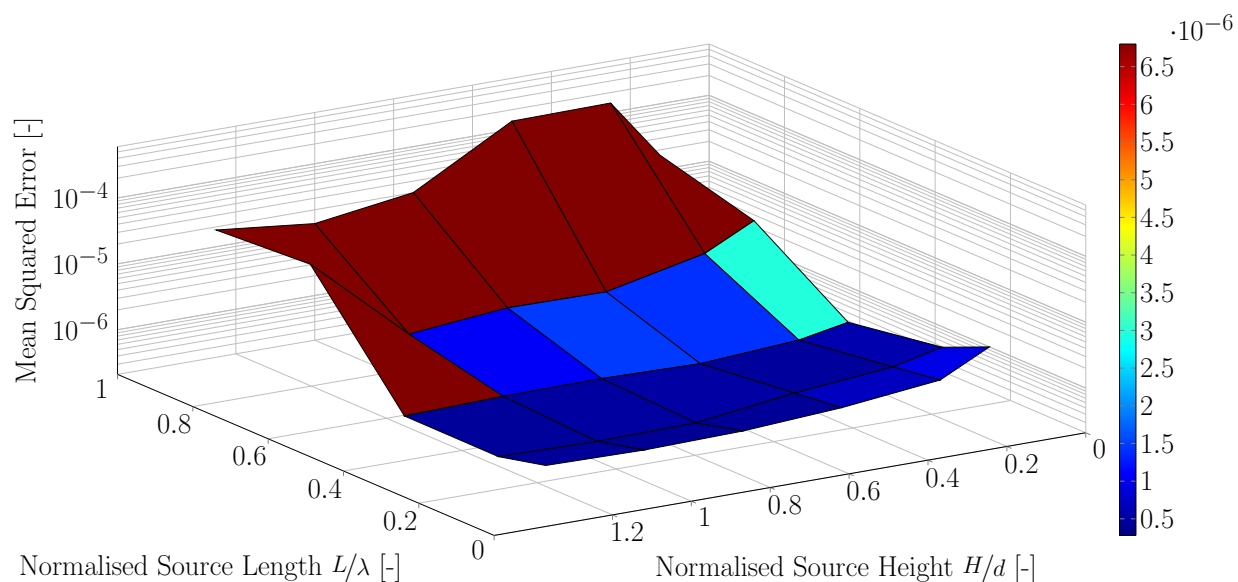
(d) Final calibration iteration

**Figure 7.** Example of the target and resulting surface elevation, at different calibration iterations, for the same case as Fig. ??

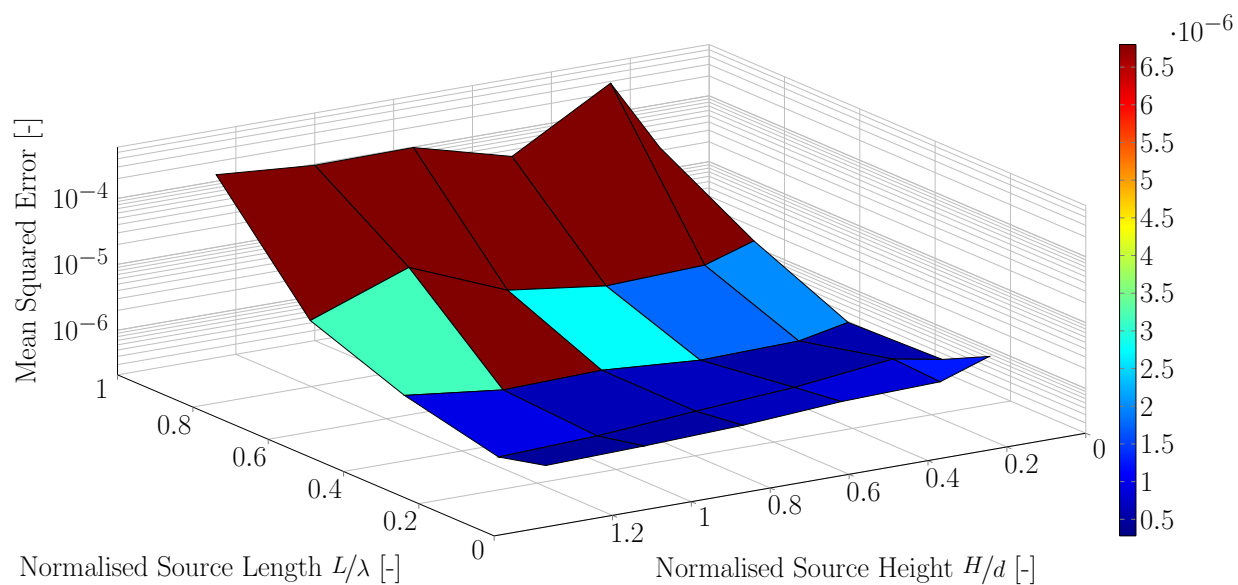
#### 303 4.2. Shallow water waves

304 The results from the shallow water experiments are summarised in Figures ??, ?? and ??, for the cases  
 305 with the center of the source region at one third, one half and two thirds of the water depth, respectively.  
 306 The results show that decreasing the length of the source region, from  $1\lambda_p$  to  $0.3\lambda_p$  or less, reduces the  
 307 MSE by over two orders of magnitude. In contrast, the height of the source region is seen to have a much  
 308 smaller influence on the wavemaker performance, with best results obtained when the source region spans  
 309 over the entire water depth. Overall, the smallest MSE occurs for the experiment with the source region  
 310 centred at half the water depth, the source length of  $0.25\lambda_p$ , and a source height of 1.25 times the water  
 311 depth,

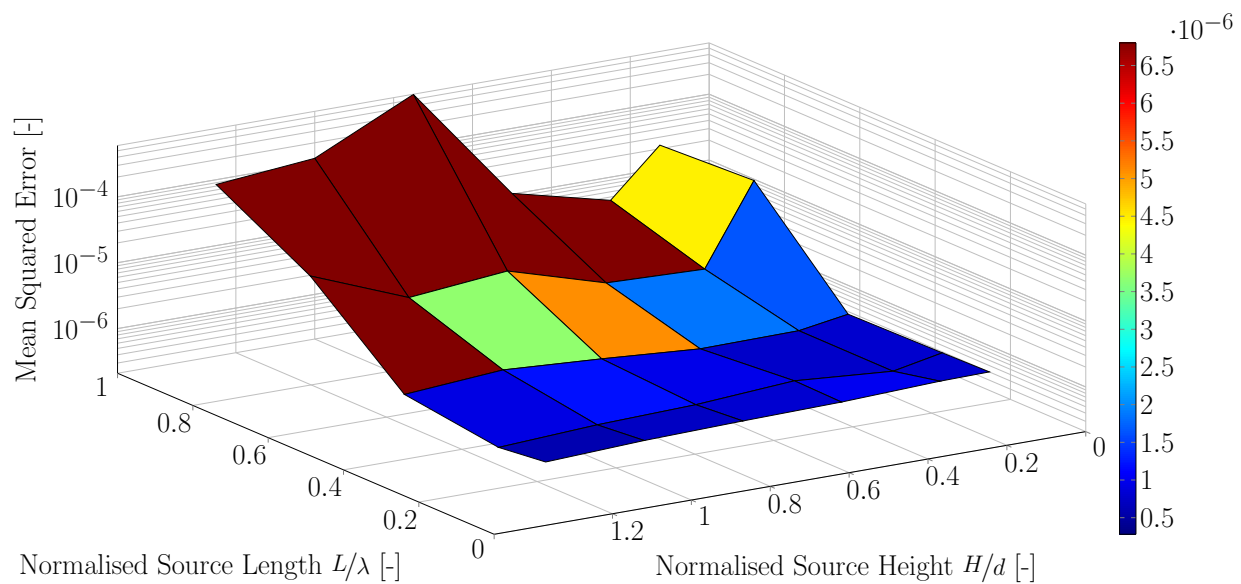
312 Figure ?? shows the target wave time series and the results of the experiments which produced the  
 313 best results for the three different source centre depths. Variations between simulations are minimal.  
 314 The centre of the wave packet is very well reproduced around its peak and the surrounding crests and  
 315 troughs, with the main discrepancies occurring at the beginning and the end of the wave packet, with the  
 316 CFD simulations presenting small amplitude ripples when the target wave is already reaching still water  
 317 conditions.



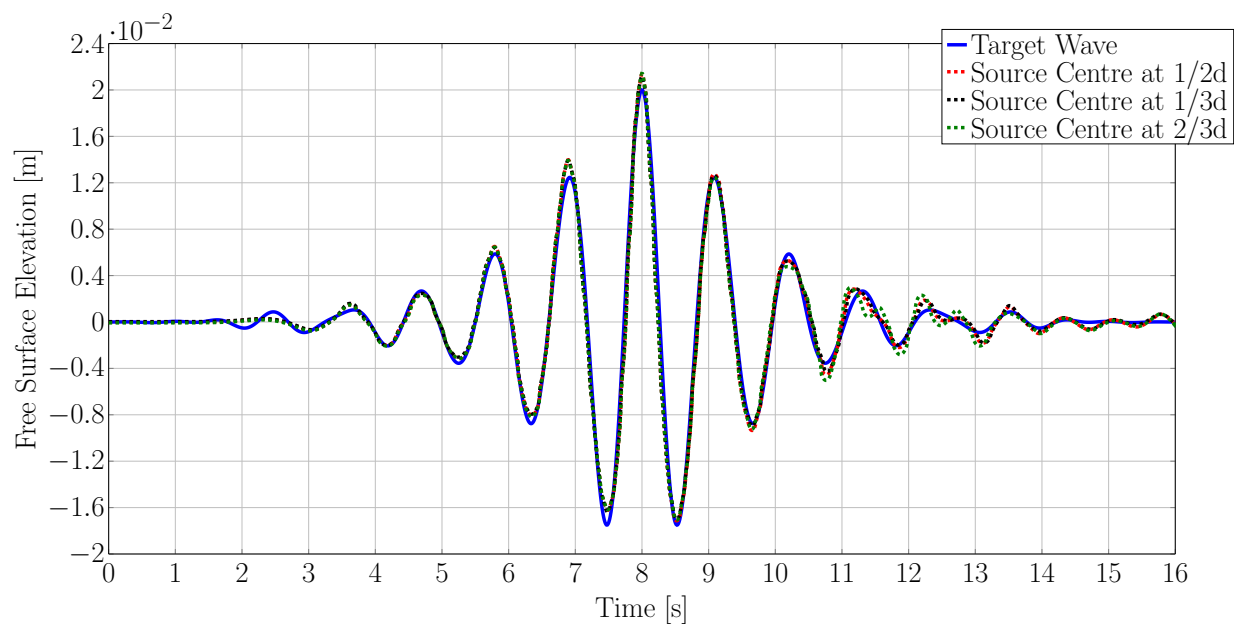
**Figure 8.** Shallow water case - Source centre at  $\frac{d}{3}$ : Minimal error ( $4.5 \times 10^{-7}$ ) for source height of  $1d$  and source length  $\frac{1}{4}\lambda_p$



**Figure 9.** Shallow water case - Source centre at  $\frac{d}{2}$ : Minimal error ( $4.2 \times 10^{-7}$ ) for source height of  $1.25d$  and source length  $\frac{1}{4}\lambda_p$



**Figure 10.** Shallow water case - Source centre at  $\frac{2d}{3}$ : Minimal error ( $5.9 \times 10^{-7}$ ) for source height of 1.25d and source length  $\frac{1}{2}\lambda_p$

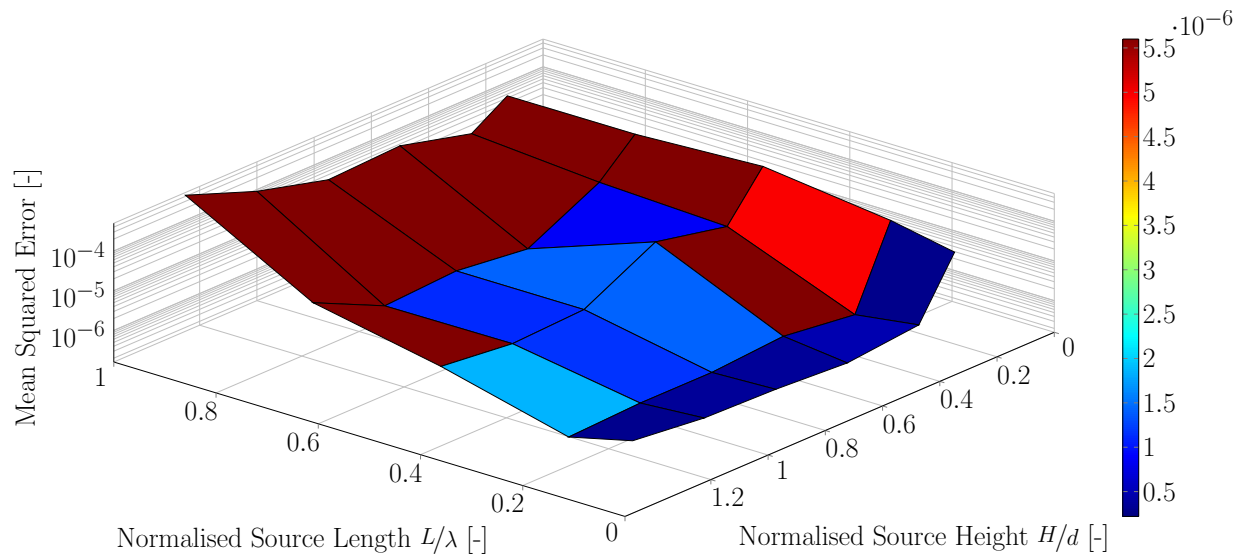


**Figure 11.** Surface elevation time series for shallow water experiments which produced the best results for the three different source centre locations

### 318 4.3. Deep water waves

319 Deep water waves only affect the water column up to a depth of half a wave length, it thus seems  
 320 more appropriate to base the vertical position of the source region on that parameter. Because the source  
 321 center position had little influence in the shallow water cases presented earlier, test cases were only run for  
 322 varying source heights and lengths, with the source centre position fixed at  $\lambda_p/4$ .

323 Figure ?? shows the results from the deep water experiments. The minimum error is  $2.8 \times 10^{-7}$  for a  
 324 source length of  $0.25\lambda_p$  and height of 0.25 times the water depth, which is somewhat less than the best  
 325 shallow water case. A wide range of source heights yields good results; only for small values of source  
 326 heights, where the source region does not reach the surface, does the error increase significantly.



**Figure 12.** Deep water case: Minimal error ( $5 \cdot 10^{-4}$ ) for source height of  $\frac{\lambda_p}{8}$  and length  $\frac{1}{4}\lambda_p$

#### 327 4.4. Regular waves

328 Figure ?? shows the surface elevation during the first four calibration steps for deep and shallow  
 329 water waves. In both cases the results converged rapidly, after the second iteration the results are almost  
 330 identical for subsequent runs. Figure ?? shows the absolute difference between target wave height and  
 331 the current value. For the second iteration errors are already within the millimetre range and decrease  
 332 almost another order of magnitude in the third iteration, which can be deemed sufficient for any practical  
 333 application.

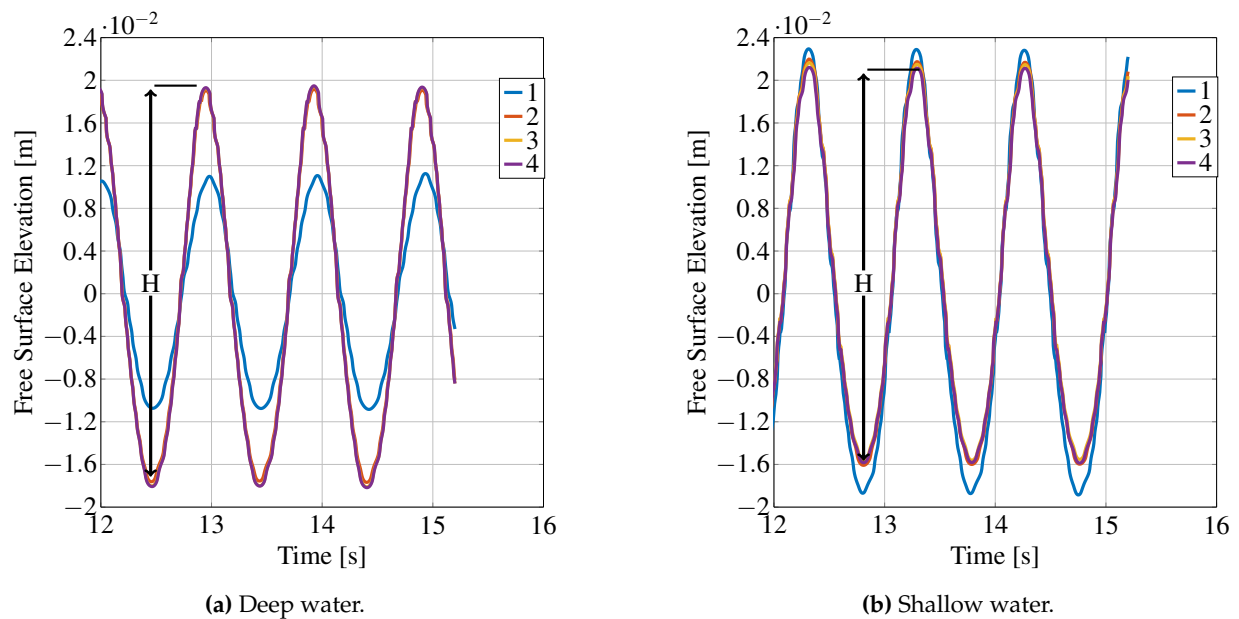


Figure 13. Surface elevation time series for regular waves. Numbers indicate the calibration iteration.

## 334 5. Conclusion

335 A NWM, based on the impulse source method, was implemented in the OpenFOAM framework.  
 336 In combination with a calibration procedure, complex irregular wave patterns can be recreated in deep  
 337 and shallow water, using an impulse source term acting in the horizontal direction. While the simple  
 338 formulation of the source term facilitates implementation in the flow solver, the calibration procedure  
 339 ensures that the target wave is created at the desired position and time in the NWT. Furthermore,  
 340 reflection analysis demonstrates the ability of the numerical beach to achieve arbitrarily low reflection  
 341 from boundaries and transparency of the wavemaker region. In the future, the work of [?] will allow to  
 342 set the ideal beach parameters without the need to run parameter or calibration studies.

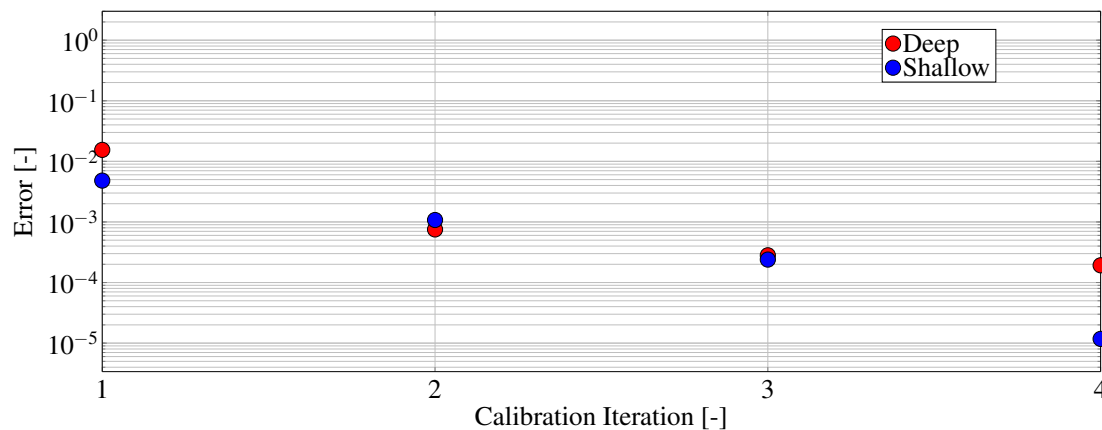


Figure 14. Error in wave amplitude versus number of calibration iterations.



343 Parameter sensitivity studies, investigating the effect of the shape and position of the wavemaker  
344 region, show that good results can be achieved over a wide range of parameters. For deep and shallow  
345 water, a wavemaker region length of less than a quarter of a wave length is suitable, which is less than  
346 recommended in previous work [? ], and might enable the use of smaller computational domains. The  
347 vertical position of the centre of the wavemaker has overall little effect in shallow water conditions and  
348 good results were achieved if it was placed at half the water depth. For deep water cases, good results  
349 were obtained with the centre of the wavemaker at a quarter of a wave length below the surface. Even  
350 when starting from a poor initial parameter specification, the method is shown to converge to an accurate  
351 solution within a few iterations.

352 For monochromatic or regular waves, a simplified calibration method can be used. Tests show that  
353 the the amplitude can typically be found within four iterations.

354 Although the calibration method is standard in experimental test facilities, it can be expected to  
355 become inaccurate in higher order sea states. Improvements should be explored to take into account  
356 non-linear effects. A possible way forward might be neural networks of arbitrary complexity as described  
357 by [? ].

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### 362 References