

1 Article

2 Conjunctivitis and Exposure to Ambient Ozone

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8

9 **Abstract:** The purpose of this study is to assess the concentration-response relations between
10 conjunctivitis and exposure to ambient ozone. This retrospective study includes emergency
11 department (ED) visits for conjunctivitis in Edmonton, Canada, for the period April 1992 – March
12 2002. Daily average levels of ozone, of temperature and relative humidity were estimated and used
13 for the period of the study. For each of the considered exposure lags, from 0 to 9 days, six various
14 models were fitted to estimate the concentration-response function. The goodness of fit was assessed
15 using Akaike information criterion. During the period of the study, 17,211 ED visits for
16 conjunctivitis were recorded and used. For all subjects together, a positive statistically significant
17 association was obtained for the exposure lagged by 5 days. For female subjects, lags 1, 3, and 9 had
18 positive statistically significant associations (lag 2 had negative associations). For male subjects only
19 lag 5 had a positive statistically significant association. The estimated non-linear concentration-
20 response functions for the considered groups (all, males, females) and lags, revealed the associations
21 along the exposure levels. The fitted shapes are described by the parameters and may have various
22 forms. The estimated function are useful to determine the risk associated with exposure to ground-
23 level ozone.

24 **Keywords:** Air pollution; Conjunctivitis; exposure; Linear; Model; Case-crossover; Poisson

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26 1. Introduction

27 This presentation focuses on the methodological aspects of environmental epidemiology. The
28 technique proposed here reveals associations of conjunctivitis with ozone as concentration-response
29 curves. The goal of the present paper is to re-analyze the data used in the previous publication
30 (Szyszkowicz et al. 2010a), but with a different methodological approach. The applied methodology
31 allows to fit various type of concentration-response functions and not only linear as it is assumed in
32 the traditional technique (Szyszkowicz, 2018) realized in the form of log-linear models. The original
33 publication only demonstrated the positive statistically significant association for ozone lagged by 5
34 days. It is interesting to explore these relations more fully and to verify the associations for other lags
35 and also by sex.

36 The conjunctiva, is composed of non-keratinized, both stratified squamous and stratified
37 columnar epithelium, together with interspersed goblet cells. As it is highly vascularized and
38 constantly exposed to the external environment, the conjunctiva is vulnerable to various harmful
39 factors such as viruses, bacteria, allergens, and chemicals, thereby resulting in conjunctivitis (Fu et al.
40 2017). In the United States, three to six million people suffer from conjunctivitis per year (Azari and
41 Barney, 2013; NEI, 2015) and it is the most common ocular condition diagnosed in US emergency
42 departments (Alabbasi et al. 2017; Carvalho and Jose, 2007; Channa et al. 2016).

43 The prevalence of conjunctivitis according to sex is not clear. Several studies found a high
44 prevalence of allergic conjunctivitis in females (Ramirez et al. 2017; Szyszkowicz et al. 2016; Geraldini
45 et al. 2013; Kumah et al. 2015) while others showed the opposite trend (T.Y.O. Yang et al. 2018).

46 There is a small number of epidemiological studies which have investigated the association of
47 conjunctivitis with ambient air pollution exposure. Some of these studies indicate ambient ozone as
48 a risk factor for emergency department (ED) visits (Szyszkowicz et al. 2010a; Szyszkowicz et al. 2016;
49 Lee et al. 2017) along with other ambient air pollutants (Chang et al. 2012; Kousha and Castner,
50 2014; Mimura et al. 2014; Hong et al. 2016; Li et al. 2016; Nucci et al. 2017; Fu et al. 2017). One of the
51 first studies concerning this connection reported results on the exposure to ground-level ozone and
52 ED visits for conjunctivitis in Edmonton, Canada (Szyszkowicz et al. 2010a). The Taiwan multi-city
53 study (Chang et al. 2012), found a high levels of O₃ and increase chances of an ED visit, in the
54 immediate post-exposure period, for the patients suffering from nonspecific conjunctivitis. However,
55 the study tested the cumulative exposure, accumulated in the period of up to 5 days preceding the
56 ED visit. In addition to conjunctivitis, the ozone (O₃) effects on various skin conditions and cellulitis
57 (Szyszkowicz et al. 2010a; Szyszkowicz et al. 2010b; Szyszkowicz et al. 2012).

58 The standard approach in environmental epidemiology studies is to realize log-linear models,
59 in time-series analysis, considering counts or in case-crossover analysis, considering separate health
60 events. The estimated relative risk (RR) or odds ratio (OR) are usually shown as one value for a
61 specified air pollution concentration level, say D. They are calculated using the exponential function
62 with the estimated coefficient (slope, beta) and concentration D ($RR = \exp(\beta \cdot D)$ or $OR = \exp(\beta \cdot D)$).
63 In this presentation we proposed to use various forms of the concentration-response functions, not
64 only exponential.

65 2. Materials and Methods

66 2.1 Used data

67 ED visits for conjunctivitis in Edmonton, Canada, were collected for the period April 1992 –
68 March 2002, (Szyszkowicz et al. 2010a). The data on ED visits were organized by Alberta Health
69 Services–Edmonton Zone for all five major acute care hospitals in the Edmonton area. In this 10 year
70 time period, 2,951,878 diagnosed ED visits were recorded. All ED charts were coded by trained
71 medical record nosologists using the International Classification of Diseases, 9th Revision (ICD-9)
72 codes. ICD-9 codes 372.0-9 were applied to identify the conjunctivitis cases from the ED database.
73 There were not any inclusion/exclusion criteria.

74 Health outcomes measured as ED visits, daily average of 24 hour concentration levels of ozone,
75 daily average of temperature (dry bulb) and relative humidity has been estimated for the period of
76 the study. The environmental data were measured, recorded and provided by Environment Canada
77 (For the details please see NAPS Web site: <https://www.ec.gc.ca/mnsps-naps/>).

78 2.2 Statistical analysis

79 A case-crossover (CC) method with a time-stratified approach to select the corresponding
80 controls was used (Maclure, 1991; Janes et al. 2005). In the CC method a person plays two roles; a case
81 and own perfectly matched control on attributes that are not time-varying, as age, sex, and
82 comorbidity or similar characteristics. The exposure related to the event-period and to control-
83 period(s) is considered and compared as the risk factor. For example, for any health case on October
84 18, 2017, the days October 4, 11 and 25 are the control days. We may apply the standard CC method
85 in our analysis, but as the CC method works with individual events, it is better to use its equivalent
86 modification (Szyszkowicz, 2006; Armstrong et al. 2014; Szyszkowicz and Burr, 2016). In this way,
87 there is smaller amount of data to process and to use in the related calculations. This approach (cases
88 vs. counts) uses the daily counts rather than individual daily events. For example, 5 events in a
89 specific day will result in 20 or 25 data records (5 cases and $5 \times 3 = 15$ or $5 \times 4 = 20$ controls) but will be
90 used as 5 daily counts. In the standard CC method logistic regression models are realized. In this
91 presentation the CC method is realized by using conditional Poisson models (Armstrong et al. 2014).

92 In the constructed statistical models, temperature and relative humidity are represented in the
93 form of natural splines to adjust for nonlinearity. These two weather factors are used as covariates in
94 the constructed models. They were lagged by the same number of days as ambient ozone values.

95 Ground-level ozone (O₃) was considered as the exposure. In the constructed models we used
96 three forms of the transformation function $f(z)$, where $z = O_3$. These functions were $f(z) = z$, $f(z) = \log(z)$,

97 and a square root of z , \sqrt{z} . In addition, these functions were regulated by the logistic weighting
 98 function. The constructed models used the product of $f(z)$ and the logistic functions to represent the
 99 exposure. The exposure (z) was transformed and in such form was incorporated into the statistical
 100 model, controlling variation of the shape of the concentration-response functions (Nasari et al. 2016;
 101 Szyszkowicz, 2018; Burnett et al. 2018).

102 Representing the concentration levels by the variable z , we applied the following formula to
 103 calculate relative risk (RR) as the function of the variable z , $RR(z) = \exp(\beta(z))$. The used formula
 104 for $\beta(z)$ has the following form

$$105 \quad \beta(z) = \beta * \frac{f(z)}{1 + \exp\left\{\frac{\mu - z}{r * \tau}\right\}}$$

106 where r is the range of the concentration levels, μ (mu) and τ (tau) are the parameters of the
 107 logistic function. For given values of the parameters μ and τ we estimated the coefficient β (Beta).
 108 We applied a case-crossover design to realize this model As we realized conditional Poisson models
 109 rather than conditional logistic regression models we used term relative risk rather than odds ratio..

110 The note under Figure 2 presents an example of the specific mathematical form of the realized
 111 formula. Here we fitted and tested six models, i.e. separately for each of three transformation
 112 functions, with two values (parameter τ ; 0.1 and 0.2) of the curvature parameter (see Figure 2). The
 113 best model is chosen among the evaluated six models (three forms of $f(z)$ and for each two values of
 114 τ) applying the goodness of fit criterion.

115 Ozone and the weather parameters were lagged from 0 (the same day as ED visits for
 116 conjunctivitis) to 9 days (the exposure 9 days before the event day). For each lag and among the
 117 constructed six models we choose the method which gave the best fit. The quality of approximation
 118 was measured by the Akaike Information Criterion (AIC) value (Akaike, 1973). The model with the
 119 lowest AIC was classified as the best among used and tested. Calculations were done for all patients,
 120 males, and females. The included figures present the results for all patients.

121 3. Results

122 There were 17,211 ED visits for conjunctivitis: 9,046 (53%) males, and 8,165 (47%) females.
 123 Among the identified cases were the following number of ED visits and types: 5,019 (ICD-9: 372.0;
 124 Acute conjunctivitis), 1,635 (ICD-9: 372.1; Chronic conjunctivitis), 7,869 (ICD-9: 372.3; Others and
 125 unspecified conjunctivitis), 2,409 (ICD-9: 372.7; Conjunctival vascular disorders and cysts), and 280
 126 cases for other types of conjunctivitis.

127 During 10 years of the study the frequency of ED visits for conjunctivitis by days of week was
 128 as follows: Sunday – number of cases: 3,318 (percentage of all visits: 19.3%), Monday – 2,433 (14.1%),
 129 Tuesday – 2,119 (12.3%), Wednesday – 1,994 (11.6%), Thursday – 2,063 (12.0%), Friday – 2,353 (13.7%),
 130 and Saturday – 2,931 (17.0%). May, June and July were the months with the highest frequencies: 1,967
 131 (11.4%), 1,763 (10.2%), 1,703 (9.9%), respectively, and February was the month with the lowest
 132 number of visits: 1,050 (6.1%).

133 Table 1 summarizes the results for the six statistical models. It presents the lag (from 0 to 9 days),
 134 and the number of models (among six) which categorized the results as positive and statistically
 135 significant (estimated slope, Beta) at two levels of the criteria (P-Value): 0.05 and 0.2. For some lags
 136 we observed positive associations which was not statistically significant. Therefore, we used an
 137 additional criterion P-value = 0.2 to verify if positive associations persisted for various considered
 138 models. It allows us to assess for associations when the results are not statistically significant. For lag
 139 5, this value in both cases is 6, i.e. the estimated coefficient (Beta) was statistically significant (P-value
 140 < 0.05) for each of six models.

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Table 1. The best obtained models for the considered lagged exposure to ambient ozone.

Lag	P<0.05	P<0.2	Function	tau	mu	Beta
0	0	6	log(z)	0.1	29.3	0.0278
1	0	4	log(z)	0.1	4.2	0.0266
2	0	0	z	0.2	-96.8	0.0026
3	1	5	log(z)	0.1	16.1	0.0284
4	0	5	log(z)	0.1	18.8	0.0248
5	6	6	log(z)	0.1	18.6	0.0392
6	0	0	log(z)	0.1	-53.8	0.0081
7	4	6	log(z)	0.1	8.64	0.0364
8	0	0	z	0.1	18.5	0.0008
9	0	2	z	0.2	40.5	0.0051

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Note: P (<0.05, <0.2) shows how many models classified the results as significant at the given level. In the case P<0.2, the effect is observed but probably only a subset of ED visits is affected by ozone. $z = O_3$. The estimated model (i.e. its main part directly related to the concentration-response) for the lags 0, 1, and 3-7 has the following form: $W(z) = \text{Beta} \cdot \log(z) / (1 + \exp((\mu - z)/r\tau))$, where $r\tau = r \cdot \tau$, and r is the concentration range. The parameters μ and τ characterise the logistic function. According to the used specifications, the relative risk values $RR(z) = \exp(W(z))$.

Figure 1 illustrates the number of ED visits for conjunctivitis by sex and age, where age is in years. Ages 85 and above (85+) are shown as one point. The analysis of the ED visits by sex resulted in the following associations which are presented in Table 2.

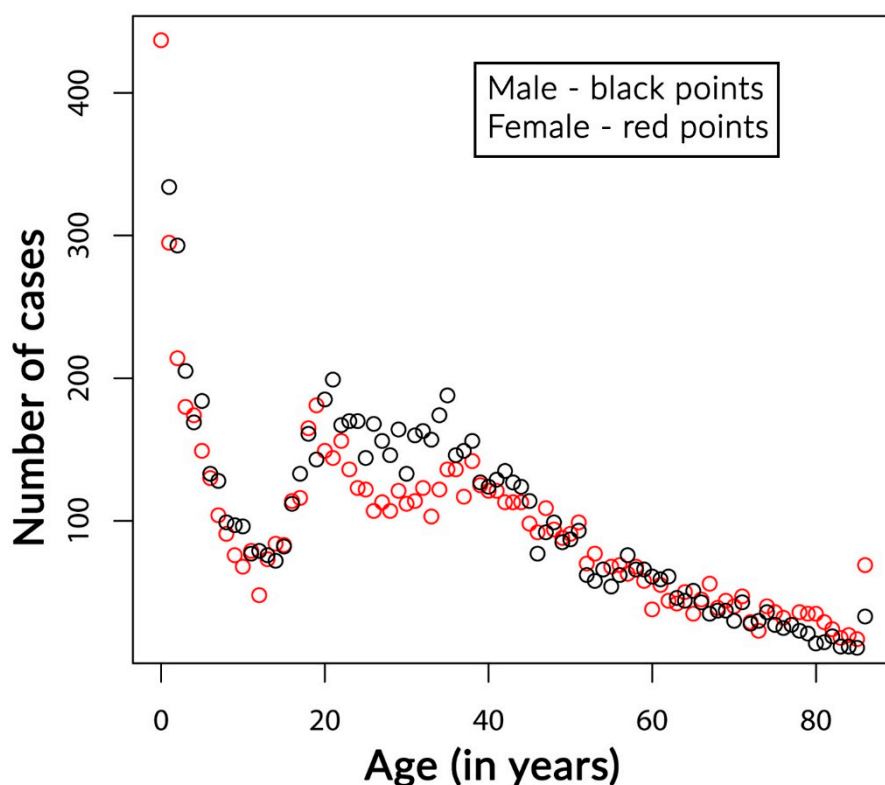
Table 2. The best obtained models for the considered lagged exposure to ozone by sex.

Lag	Male			Female		
	Beta	SE	mu	Beta	SE	mu
0	0.0339	0.0262	34.8	0.0417	0.0262	15.6 ^t
1	67.0421 ^z	68.9574	146.5 ^t	0.0652*	0.0288	-0.7
2	-0.0299	0.0264	21.6 ^t	-5.718 ^z	2.5807	112.6 ^t
3	0.0013 ^z	0.0019	14.2	0.0391*	0.0199	15.1
4	0.0238	0.0251	11.2 ^t	0.0268	0.0198	18.6
5	0.0459*	0.0191	14.1	0.0034 ^z	0.0019	19.0
6	-0.0146	0.0330	-51.2	0.0097	0.0207	12.0
7	0.0367	0.0235	5.3	0.0373	0.0201	13.8
8	-0.0173	0.0325	-15.3	0.0018 ^z	0.0020	20.8
9	-0.0266	0.0311	-8.1	0.0116 ^z	0.0055	46.1 ^t

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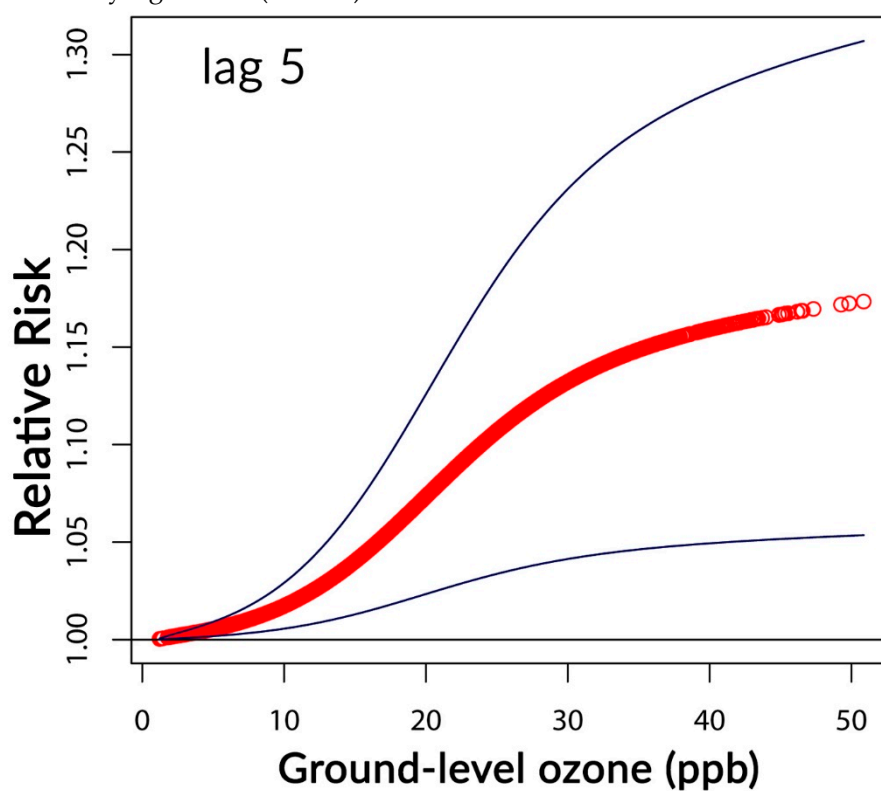
Note: ^z - $f(z) = z$ identity was used, otherwise $f(z) = \log(z)$; ^t $\tau = 0.2$ was used, otherwise $\tau = 0.1$; SE - standard error, $z = O_3$. The estimated models with statistically significant coefficients (P-Value<0.05) are marked by the star *.

For males only lag 5 indicates significant associations. For female patients, lag 1 and 3, have positive (lag 2 has negative) and statistically significant associations. The estimated associations are also positive for lags 5, 7, and 9, but non-significant (P-Value was greater than 0.05 but lower than 0.1).



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Figure 1. Frequency of ED visits for conjunctivitis by sex and age. Edmonton, 1992-2002, Canada. Figure 2 shows the results for the exposure lagged by 5 days for all patients. The association is positive and statistically significant (Table 1). The 95% confidence interval is also shown.

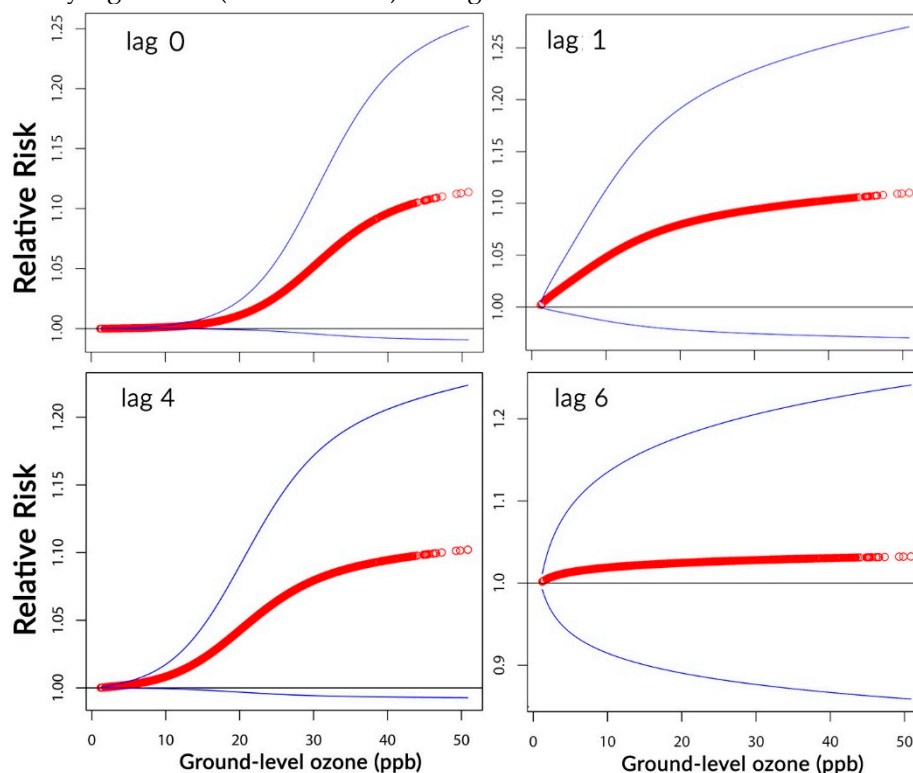


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Figure 2. Concentration-response functions for the exposure lagged by 5 days. All ED visits for conjunctivitis in Edmonton, 1992-2002, Canada.

Figure 3 illustrates the concentration-response functions generated by the best model (chosen among six fitted) for the exposure related to lags 0, 1, 4, and 6. The standard CC method classifies the association for the lag 0, 1, and 4 as statistically non-significant. Using the methodology presented

174 here, Figure 3 shows the association along the concentration for these lags. The panel for lag 6 shows
 175 that there is no association for the exposure on 6 days before the ED visit and the associations are not
 176 statistically significant (P -value > 0.05) for lags 0-1 and 4.



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 178 **Figure 3.** Concentration-response functions for the exposure lagged by 0, 1, 4 and 6 days. All ED
 179 visits for conjunctivitis in Edmonton, 1992-2002, Canada.

180 4. Discussion

181 The main findings of the presented study are: confirmation the associations of ED visits for
 182 conjunctivitis with exposure to ambient ozone, estimation of the form of these associations with
 183 various concentration-response shapes, which provide better goodness of fit. The result does agree
 184 with Szyszkowicz et al. 2010a where for log-linear model for lag 5 also the estimated association was
 185 positive.

186 The used statistical methods in the present study are different from realized in previous studies
 187 (Maclure, 1991; Janes et al. 2005; Szyszkowicz et al. 2010a), where mainly the standard case-crossover
 188 method is used and realized as a conditional logistic regression model. The main advantage of the
 189 analysis applied in this study is the use of a non-linear concentration-response functions in the form
 190 of a mathematical formula (Nasari et al. 2016; Szyszkowicz, 2018; Burnett et al. 2018). In addition, we
 191 applied several models and determined the best fit among the considered variants. The reader may
 192 use the estimated parameters (Beta, mu, and tau) provided in Table 1, function $f(z)$, and easily re-
 193 construct Figure 2, which is for the lag 5. This result does agree with Szyszkowicz et al. 2010a where
 194 for log-linear model for lag 5 also the result was statistically significant positive. Of course, the same
 195 holds for other studied and presented models for the specified lags – using the estimated paramters
 196 it is possible to reconstruct concentration-response shapes.

197 The mechanisms by which air pollution impacts eye health is not clearly understood and there
 198 several pathophysiological mechanisms may explain this association. Pollutants can damage the tear
 199 film and directly expose the corneal and conjunctival epithelium to air pollutants, making the ocular
 200 surface vulnerable (Fu et al. 2017; Coles et al. 1984; Torricelli et al. 2013; Liu et al. 2009). Tear film
 201 abnormalities and subclinical changes of the ocular surface were found in individuals living in areas
 202 with high concentrations of pollutants (Saxena et al. 2003; Versura et al. 1999).

203 Conjunctival goblet cells are slow cycling cells that may proliferate in response to chronic
 204 inflammatory stimuli (Dartt 2004; Pellegrini et al. 1999; Wei et al. 1995). Recently, it was found that

205 pollutants can increase the number and density of goblet cells in the conjunctiva (Berra et al. 2015;
206 Novaes et al. 2007; Torricelli et al. 2011, 2014) and increase interleukin-6 (IL-6) and interleukin-8 (IL-
207 8) expressions in pollutant-treated human corneal epithelial cells (HCECs) and conjunctival epithelial
208 cells (HCLEs, Tau et al. 2013; Xiang et al. 2016).

209 In addition, the pollution may increase oxidative stress, thus impeding the antioxidant defenses
210 of the eye and inducing a cycle of inflammation and irritation, which strengthens allergen response,
211 leading to clinical allergic conjunctivitis (Leonardi et al. 2011).

212 Ozone, produced by reactions between nitrogen oxides and volatile organic compounds in a
213 process catalyzed by ultraviolet light, is regarded as one of the most toxic air pollutants to which
214 humans are routinely exposed (Mudway et al. 2000; Lee et al. 2017).

215 Exposure to high concentrations of ozone has been reported to cause damage to the ocular
216 surface and corneal epithelium, and increased inflammatory tear cytokine levels in a mouse model
217 (Lee et al. 2013). In addition, exposure to ozone exacerbates deterioration of the ocular surface and
218 amplifies the inflammatory state already induced by an allergic reaction, as evidenced by an increase
219 in conjunctival chemosis, conjunctival injection, and corneal and conjunctival fluorescein staining
220 scores including significant decrease in tear production (Lee et al. 2017).

221 Ozone pose high oxidative potential, and is able to cause damage to the ocular mucosa (Novaes
222 et al. 2007; Fujishima et al. 2013) and may provoke conjunctival inflammation via chemical
223 modifications of aeroallergens and subsequent enhanced allergic response (Jiaxu Hong et al. 2016).

224 In this study we considered all ED visits identified as conjunctiva problems. Not all of them are
225 associated with exposure to ozone. Different types of conjunctivitis (ICD-9 codes: 372.0 – 372.9) were
226 analysed with the following assumptions: if health outcome A (a subset of conjunctivitis related to
227 air pollution) has a positive association with an air pollutant, health outcome B has a neutral (non-)
228 association, and health outcome C has a negative association, then A+C considered together will have
229 a tendency to have a neutral association. In the A and C categories where the relations are opposite,
230 positive vs. negative, they will reduce the associations to null. Other combinations such as: A, and
231 A+B, should have stronger associations than A+C. Among the considered ED visits for conjunctivitis
232 are cases that don't depend on exposure to ozone (type B). We don't expect many negative
233 associations (type C), i.e. such that are significantly negative. In our presentation we still observed
234 the associations, even when we considered all cases and have a mixture of cases of different types
235 (A+B+C). In our situation we probably analysed the variant A+B+C, with a small number of the type
236 C cases. In other words, we expected positive associations or non-associations for ED visits for
237 conjunctivitis and ozone. In one case (female, lag 2) we obtained statistically significant negative
238 associations.

239 Figure 2 and 3 illustrate the shape of concentration-response related to exposure to ambient
240 ozone and ED visits for conjunctivitis. We observed how this relationship changed along the
241 concentration. It will be interesting to produce the concentration-response functions for other health
242 outcomes. This was done previously, using the standard CC method, for 18 ICD-9 health groups to
243 identify which air pollutant influences health (Szyszkowicz and Rowe, 2015). The approach
244 presented here is more precise, and can be used to qualify (other estimations of the error) and
245 quantify the air health effects.

246 5. Conclusions

247 The strongest associations between exposure to ambient ozone and ED visits for conjunctivitis
248 was estimated for the exposure lagged by 5 days.

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250 Investigation, Mieczyslaw Szyszkowicz and Ariela Gordon Shaag ; Methodology, Mieczyslaw Szyszkowicz;
251 Software, Mieczyslaw Szyszkowicz; Validation, Ariela Gordon Shaag and Einat Shneor ; Visualization,
252 Mieczyslaw Szyszkowicz; Writing – original draft, Mieczyslaw Szyszkowicz; Writing – review & editing,
253 Mieczyslaw Szyszkowicz, Ariela Gordon Shaag and Einat Shneor . **Funding:** This research received no external
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