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The Convective Rainfall Rate from Cloud Physical Properties Algorithm for Meteosat Second Generation Satellites: Microphysical Basis and Intercomparisons using an Object-Based Method

Francisco J. Tapiador^{1,*}, Cecilia Marcos² and Juan Manuel Sancho²

¹ University of Castilla-La Mancha (UCLM), Institute of Environmental Sciences (ICAM), Faculty of Environmental Sciences and Biochemistry, Department of Environmental Sciences, Earth and Space Science Research Group. Avda. Carlos III s/n, 45071 Toledo, Spain; francisco.tapiador@uclm.es

² Agencia Estatal de Meteorología (AEMET), Headquarters. C/ Leonardo Prieto, 28071 Madrid, Spain; cecilia.marcos@aemet.es; jsanchoa@aemet.es

* Correspondence: francisco.tapiador@uclm.es; Tel.: +34-925-268-800 (ext. 5762)

Abstract: The Convective Rainfall Rate from Cloud Physical Properties (CRPh) for Meteosat Second Generation Satellites is a day-only precipitation algorithm developed at the Spanish Meteorological Agency (AEMET) for EUMETSAT' Satellite Application Facility in support to Nowcasting and Very Short Range Forecasting (NWC SAF). It is therefore mainly intended to provide input for monitoring and near-real-time forecasts for the next few hours. This Letter critically discusses the theoretical basis of the algorithm with special emphasis on the empirical values and assumptions in the microphysics of precipitation and compares the qualitative performances of the CRPh with its antecessor, the Convective Rainfall Rate algorithm (CRR), using an object-based method applied to a case-study. The analyses show that AEMET's CRPh is physically consistent and outperforms the CRR. The applicability of the algorithm for nowcasting and the challenges to evolve the product to an all-day algorithm are also presented.

Keywords: Precipitation; Microphysics; Convective Precipitation; Meteosat Second Generation

1. Introduction

Nowcasting is very important for practical meteorological applications such as flash flood alerting [1], human health advice [2], aviation safety [3], renewable energy operations [4] and infrastructure management [5]. Therefore, the European Organization for the Exploitation of Meteorological Satellites (EUMETSAT) supports one Satellite Application Facility (SAF) on nowcasting (NWC). Its aims are providing an advanced, robust and reliable software system to support both operational and research activities in nowcasting and very short-range forecasting, as well as providing support services to final users. The NWC SAF is being developed by a consortium of National Meteorological Services composed by the Spanish Agencia Estatal de Meteorología (AEMET), Météo France, the Swedish Meteorological and Hydrological Institute (SMHI), the Central Institution for Meteorology and Geodynamics of Austria (ZAMG), and Meteo Romania.

The Spanish Meteorological Agency (AEMET) has developed an algorithm intended to improve the nowcasting of precipitation in convective events, the Convective Rainfall Rate from Cloud Physical Properties (CRPh) algorithm. The CRPh is integrated in the NWC SAF software application.

The CRPh algorithm relies heavily on the microphysical properties of the clouds. The rationale is that information about the cloud top microphysics provides critical additional information to discriminate rainfall location and intensities for convective clouds, which are the major intended output. While the cloud top temperature is a primary proxy of rain rates because coldest tops indicate

large vertical development and hence deep convection and precipitation, the inclusion of microphysics helps to resolve the ambiguities in the retrieval and to locate more precisely the rainy area on the ground. Indeed, the position of the coldest section of the cloud aloft is only indirectly related with maximum precipitation at the surface.

This paper evaluates the assumptions and the theoretical basis of the algorithm with special emphasis in the empirical values and assumptions in the microphysics of precipitation and compares the performances of the CRPh with its antecessor, the Convective Rainfall Rate algorithm (CRR), using an object-based method. Such verification procedure is standard for the nowcasting of precipitation due to the large spatial and temporal variability of precipitation [6]. The comparison of the selected cases is not intended to be exhaustive but to illustrate with some key examples the evolution of the new product from the original CRR, which was also developed by AEMET. One case in which the CRPh fails is also discussed.

2. Data

The empirical bases of this study are the satellite data and numerical model information used to derive the CRPh, and ground radar reflectivities from the Spanish radar network.

2.1 Satellite data

The CRPh uses the 10.8 μm brightness temperature at full spatial resolution from the Spinning Enhanced Visible and Infrared Imager (SEVIRI) instrument onboard Meteosat Second Generation (MSG) satellites. The full spatial resolution is a required input to compute a parallax correction whereas the choice of the wavelength aims to compute the height of the cloud systems more precisely.

In addition to that primary input the CRPh employs three cloud top microphysical properties (CTMP) derived from MSG sensors: Cloud Phase (CP), Cloud Optical Thickness (COT) and effective radius (R_{eff}) [7]. These are derived from both the SEVIRI visible VIS0.6 (0.56-0.71 μm) and the near-infrared NIR1.6 (1.50-1.78 μm) bands, therefore they are affected by solar radiances and albedo. NIR 1.6 band is sensitive to the phase of the cloud top (liquid water reflects more effectively the radiation at that wavelength than ice crystals) and also allows for snow discrimination. R_{eff} is also useful to discriminate low stratus and fog [8].

2.2 Numerical model inputs

The CRPh also uses outputs from a numerical weather prediction model (NWP). The ECMWF's model at an equivalent $0.5^\circ \times 0.5^\circ$ grid space every 6 hours is used to derive temperature fields at 1000, 925, 850, 700, 500, 400, 300, 250 and 200 hPa and the geopotential height at 1000, 925, 850, 700, 500, 400, 300, 250 and 200 hPa. These are used to estimate the cloud height, which is a value needed for the off-nadir parallax correction. The method uses the IR10.8 temperature. A linear interpolation between the temperatures and geopotential in the numerical model gives the cloud height for each pixel in the IR10.8 field. In the absence of NWP data, cloud height is calculated through bi-linear interpolation between the brightness temperature and the nearest four climatological temperature and geopotential locations [9].

Ancillary data sets in form of climatological profiles are also necessary as a backup for parallax correction in case NWP is not available, as it has not to be forgotten that CRPh is not a research but an operational product that requires full availability (cf. [2] for an example in the temperature case). The effect of the parallax correction is not negligible.

No precipitation or humidity model estimates are used for the algorithm, so the CRPh is independent from the model microphysics and from any model-diagnosed or prognosed water quantity.

2.3 Radar data

Satellite precipitation estimates such as those from satellites are routinely compared with radar data [10]. Radar data for validation in this work consists in the calibrated and filtered reflectivities from the Spanish Radar Network. This data is independent from the CRPh product and is collected on a routine basis by AEMET. The actual product used for this paper is the National 1-km composite

calculated through the optimum composite criterion method [11]. This product is considered more suitable than using the rain gauge network for rainfall estimation given the lower spatial resolution of the gauges, especially in the mountain areas. It contains the data of the 13 C-band radars from the Spanish radar network and has a temporal sampling of 10 minutes. Radar reflectivities are transformed into rain rates using a standard $Z = 200 R^{1.6}$ relationship. The nature of the radars and the use of a fixed Z-R relationship introduce known limitations in the product, but the radar composite is the only reference data available for the area that can be judiciously compared with satellite estimates. The radar data are upscaled to the SEVIRI footprint to ease comparisons with the satellite product.

3. Methods

3.1 The CRR algorithm

The Convective Rain Rate (CRR) algorithm used for comparison in this work was built on the assumption that the higher are the cloud tops and the higher is the optical depth of clouds, the higher is the likelihood to produce more intense precipitations.

Figure 1 illustrates some of the corrections made for the CRR product from the first estimate. CRR used as main input the radiances of three SEVIRI channels: IR10.8 and IR10.8-WV6.2, which were used to screen the cold tops of convective clouds. VIS0.6 provides information on the optical depth of the clouds but just for daytime. A simple radar-based regression was then used for the first estimate of the rain rates.

Corrections were then applied. The first one is the moisture correction. It uses the NWP-derived moisture available to produce rain at the lower layer of the atmosphere to adjust the rain rates. The result of this correction in CRR were a better adjustment of the precipitation field.

The evolution correction compared two consecutive IR images with the objective to distinguish those cloud tops that are growing from those ones in a dissipation phase, in order to reduce rain rates for the later ones. When two consecutive IR images were not available a gradient correction was run. Gradient correction located the local temperature maximum in one IR image in order to reduce rain rates there.

Those clouds growing at significant distance from the equator, where the sensor is located, suffer from parallax errors. The parallax correction tries to find the exact cloud position with respect to the ground below by the use of the cloud height. Once the actual position of cloud tops are computed, a orographic correction is also run to take into account the effect of the local topography on the distribution and intensity of precipitation. This correction factor used the interaction between the wind vector at low levels and the local terrain height gradient in the wind direction to adjust the rain rates as appropriate. Figure 1 illustrates the process while figure 2 shows a simplified operational diagram of the process.

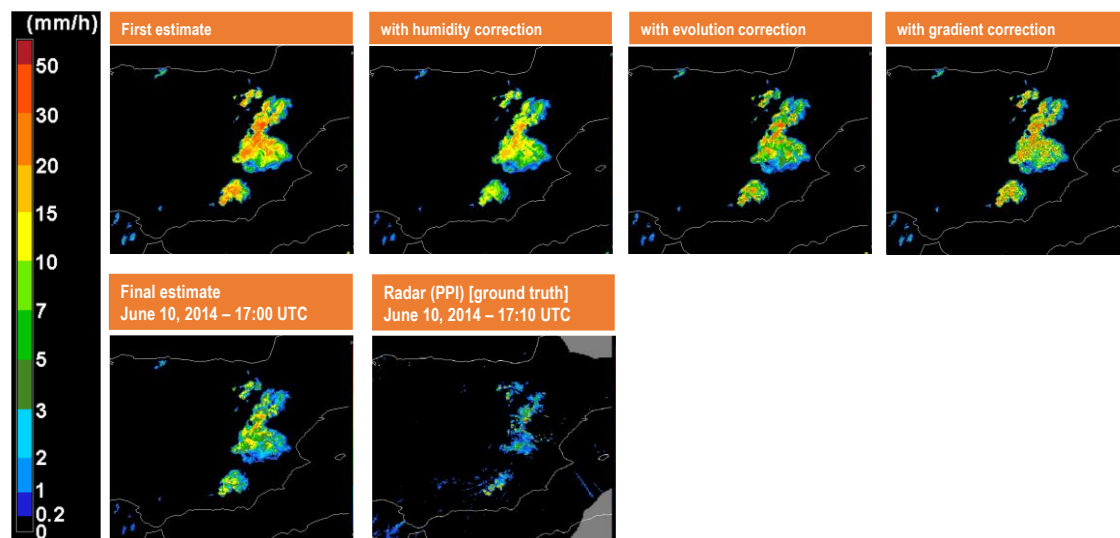


Figure 1. Effects of individual corrections in the CRR algorithm, and the radar estimate.

3.2 The CRPh algorithm

There are important differences between the CRPh and the CRR algorithms. CRPh relies mostly on microphysical information. In contrast and as described above, the CRR was based on indirect relation between cloud top height and rainfall at ground with a series of corrections.

The NWC SAF software package include a set of two precipitation products derived from cloud microphysical properties. The first one is the PC-Ph whose major aim is to provide an estimate of the probability of precipitation (PoP) occurrence to forecasters. PoP is defined as the instantaneous probability that a rain rate greater than or equal to 0.2 mm h^{-1} occurs at the pixel level.

The other product is the CRPh, which provides information on instantaneous rain rates and hourly accumulation for convective and stratiform precipitation associated with convection. The minimum rain rate that this algorithm is able to detect is 0.2 mm h^{-1} . Despite of the quantitative values provided by the algorithm, the CRPh is intended to be used to provide qualitative information for forecasters, as location and evolution is more useful than intensity for the nowcasting of severe convection. The temporal resolution of the product is 15 min in normal mode and 5 min in the rapid-scan mode. Spatial resolution is 3 km at the sub satellite point.

Figure 2 shows the diagram of the calculations made in real time for the SAF. Cloud phase (CP), Cloud Optical Thickness (COT) and Effective Radius (R_{eff}) are used as the first inputs for the algorithm. COT is strongly related to reflectance of clouds in SEVIRI's VIS0.6 while reflectance in the NIR1.6 is related to both the cloud phase (CP) and the R_{eff} at cloud top.

Full details on how the CRPh is calculated can be found in Marcos *et al.* [12]. In short, Derrien's method [13] is used to compute the three cloud top microphysical parameters required by the CRPh algorithm. In short, the method first computes R_{eff} using a look-up table from radiative transfer model developed by EUMETSAT (RTMOM). The physical assumptions are that (1) the reflectance in the VIS0.6 channel is directly related to the COT, while (2) the variations in the NIR1.6 reflectance can be used to estimate both the CP and the R_{eff} . The NWCSAF cloud type output (which uses the 3D radiative transfer model RTTOV to simulate radiances) is also used.

Next step is using COT and R_{eff} to estimate the Cloud Water Path (CWP), following [14]. Further information about the CRPh algorithm can be found in [15].

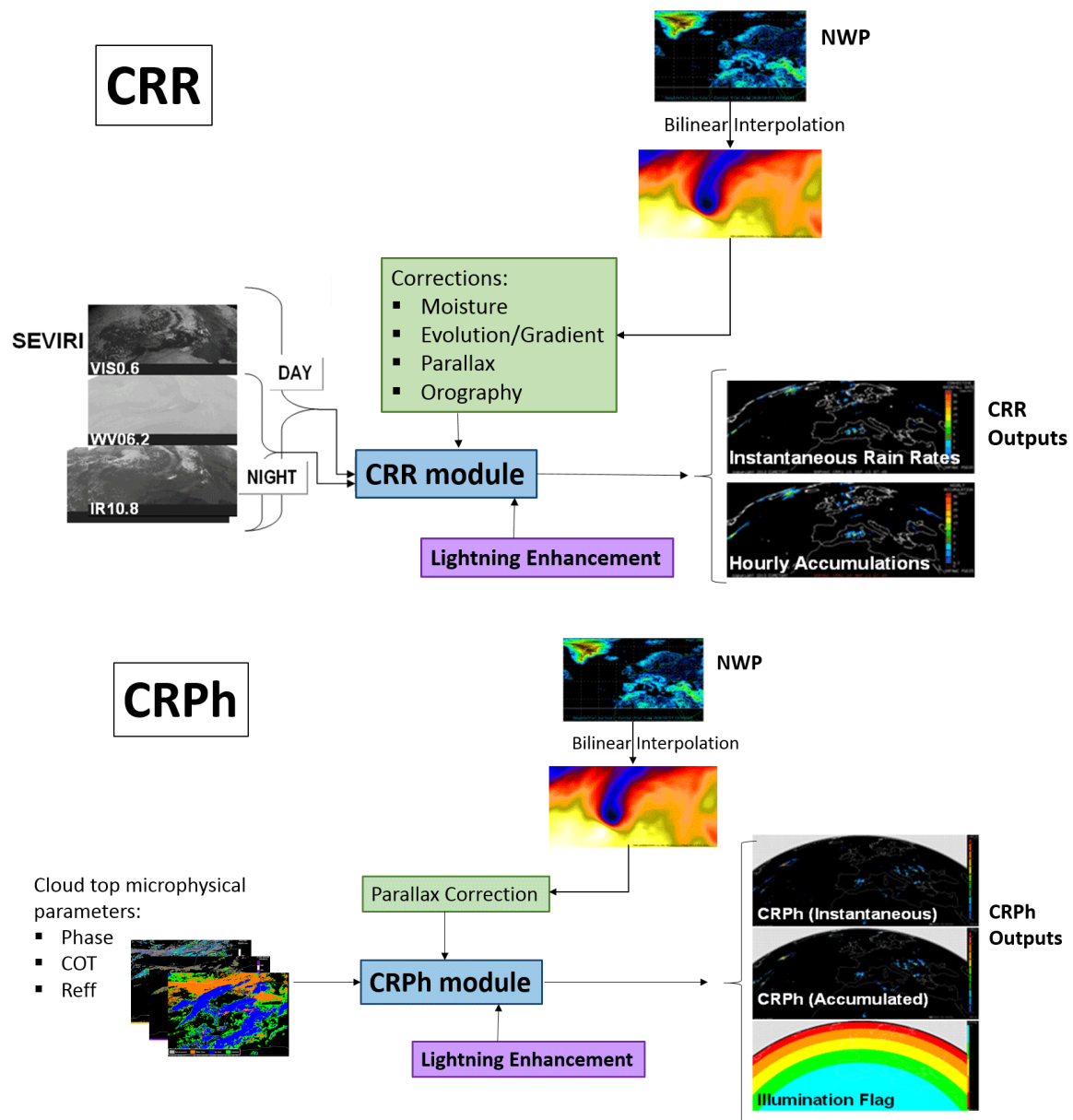


Figure 2. Simplified flow diagram of the real time calculation of the CRR (top) and the CRPh (bottom) products at EUMETSAT's NWC SAF.

The final step, the connection between the cloud top physical properties and rain occurrence at ground, draws from the approaches used by Nauss and Kokhanovsky [16] and Roebeling and Holleman [17] following research by Lensky and Rosenfeld [18-19]. A regression over selected cases is applied resulting in a best fit for the rain rates (RR):

$$RR = 50.0 * \{1 - \exp[-0.5 ((CWP - 155)/1700)^2]\} \quad (1)$$

with CWP in $g\ m^{-2}$.

Corrections for parallax error and lighting enhancement are applied to the CRPh. The parallax correction uses the NWP model to estimate cloud height. Lighting correction increases rain rates depending on the spatial and temporal density of lightning within 15 minutes. The rationale is that lightning is indicative of strong moist convection and therefore to more intense rainfall.

An Illumination Conditions Parameter (ICP) is calculated as $ICP = \cos[a] \cdot \cos[b]$, with a the ray zenithal angle and b the Sun zenithal angle. ICP is used to inform the forecasters on whether trust the quantitative outputs or to only rely on the estimated areal extent of rainfall.

3.3 Empirical choices and assumptions in the CRPh algorithm

Regarding the assumptions embedded into the algorithm, the main hypothesis is that the size of the larger droplets is highly correlated with the rain rates [20]. Indeed, several studies have used cloud top microphysical information to estimate surface rain for convective clouds [21-23] demonstrating that they outperform the algorithms that directly use IR radiances, such as the CRR. Since it has been reported that a cloud top effective radius higher than 14 μm is required to produce rain [24], and considering that such large value favors large droplets, that is the threshold used by the algorithm.

Also, it is hypothesized that the properties of the airmass have a high impact on precipitation formation in convective clouds [24]. Also, [25] found a quantitative link between cloud ice water path and surface rain for deep clouds with iced tops in mid-latitudes.

The CRPh inherits several empirical choices of its inputs. These include the use of a fixed rain-radar reflectivity relationship, namely $Z = 200 R^{1.6}$, to calibrate the detection thresholds. The choice is a compromise for several types of rainfall across the globe, but it is well-suited for convective rainfall. Also, the drop size distribution is assumed to be lognormal instead of gamma-distributed, at variance with most measurements [20][26]. Cloud tops are also assumed to be homogeneous for the calculation of the R_{eff} , as infinite optical thickness is assumed at 3.7 μm . Another assumption inherited from Rosenfeld *et al.* [24] is neglecting the effects of the atmosphere above the cloud top.

Yet another assumption is that the maximum rainfall rate measurable by the algorithm is 50 mm h^{-1} . The value is a reasonable choice for the mid-latitudes even though can hide extreme events [27, 1]. Nonetheless, the CRPh is aimed to nowcasting and the subsequent, human-driven process can identify such instances after been first directed by the SAF product.

In fact, all the assumptions give plausible results, are geographically consistent (not known regional biases due to surface or regime) and do not oversimplify the problem. The only limitation is that the information from SEVIRI instrument is restricted to gather information from cloud tops, but the ability to derive estimates about the microphysics on appropriate illumination conditions and the high temporal and spatial resolution somehow compensate the issue and makes CRPh a valuable complement in the endeavor of multisource estimation of precipitation from space [28-29].

3.4 Object-based technique for intercomparison

In order to compare the CRPh with the CRR and with the radar data, the object-based, spatial technique of Davis [30] was used. The method is named MODE (Method for Object-based Diagnostic Evaluation). The reason for selecting this metric is twofold: firstly, the object-based methodology complements the spatially collocated comparisons already reported in Marcos *et al.* [12]; and secondly, MODE is considered a 'fairer' metric for comparison of instantaneous precipitation [30]. Indeed, the ability of pinpointing the exact location and timing of precipitation is the ultimate test of quantitative performance [31] but such test is too stringent for nowcasting, were a qualitative estimate is sought. Expert-based comparisons also show that spatial verification methods such as MODE are closer to the actual human evaluation than pixel-based methods [32-35].

The main output of the MODE method in our case is the 'Total Interest' score $T(\alpha)$ which evaluates the likeliness of two precipitation fields in a [0,1] scale. The score integrates how well the clusters of precipitation α_i are identified, giving a weight ω_i to their relative importance in terms of size.

The final score is calculated by assigning to each attribute a confidence value $C_i(\alpha_i)$, and a value of interest $I_i(\alpha_i)$, which are based on several parameters: distance between centroids, differences in the angle of axis, area mismatch, differences in the topology of the features, and differences in intensity. Further information on the rationale and more details about this spatial verification method can be found in Davis *et al.* [30].

$T(\alpha) > 0.7$ are indicative of significant similarity between precipitation blobs. An overall estimate of the performances of the algorithm across all the clusters in the scene is given by the MMI (Median

Maximum Interest value), which can be used as the integrated measurement of skill. The use of the median instead of the mean is preferred as the median is statistically more robust.

4. Results and Discussion

4.1 Intercomparison with CRR and Ground Radar

Figure 3 shows a comparison of the radar-derived precipitation estimate, the output of the CRR algorithm and the new CRPh estimate for July 12, 2008 1300 Z. This case illustrates the improved ability of the new algorithm to discriminate the rain rates inside the precipitating systems, and the more precise location of the actual rain. The small raining system in the northwest of the country are missed by the CRR whereas the CRPh correctly identifies both the location and the intensity of the rain. The higher rainfall rates are also correctly estimated.

Figure 4 shows another case in which the CRPh outperforms the CRR in terms of identifying the actual rainfall rates. The precipitation structure of the CRPh is closer to the radar and the algorithm detects both small precipitation clusters and precipitation maxima. These features are not well estimated by the CRR. Note the large field in Southern France do not feature in the radar because of the coverage of the Spanish radar network.

Figure 5 gathers other two cases illustrating the performances of the CRPh for different situations. In the August 11, 2012 case it is shown that the CRPh improves the detection of precipitation from relatively warm cloud tops compared to the CRR algorithm. The September 9, 2008 case illustrates the skill of the CRPh algorithm to detect precipitation from small convective cells, as well as its ability to avoid misinterpreting the cold rings in large convective cells as the CRR does.

CRR inherits several sources of errors from both the nature of its inputs and the processing of its corrections. The physical basis of the algorithm (that is, that the clouds with coldest tops and high optical depth produce more intense rainfall at ground) depends on the atmospheric situation. In fact, there are a number of situations when these conditions are not fulfilled, and intense convective precipitation is produced. That would be the case of a very unstable atmosphere at low levels with a high amount of moisture and a thermal inversion at mid-high levels. Under these conditions “warm” top clouds can grow (cf. Figure 5) and produce precipitation but the CRR is not effective in detecting that sort of situations.

Other source of errors includes that the CRR is meant to estimate precipitation distribution and intensities at ground, but such variable is only indirectly related to cold cloud tops. Figure 5 shows that the CRR precipitation distribution mirror cloud tops shapes instead of the precipitation patterns in the radar. To address this problem the CRR applies a number of *ad-hoc* corrections (Figure 2). Some of them, like moisture, parallax and orographic corrections improve the estimates of intensity, but do not resolve the indirectness issue and result in location mismatches.

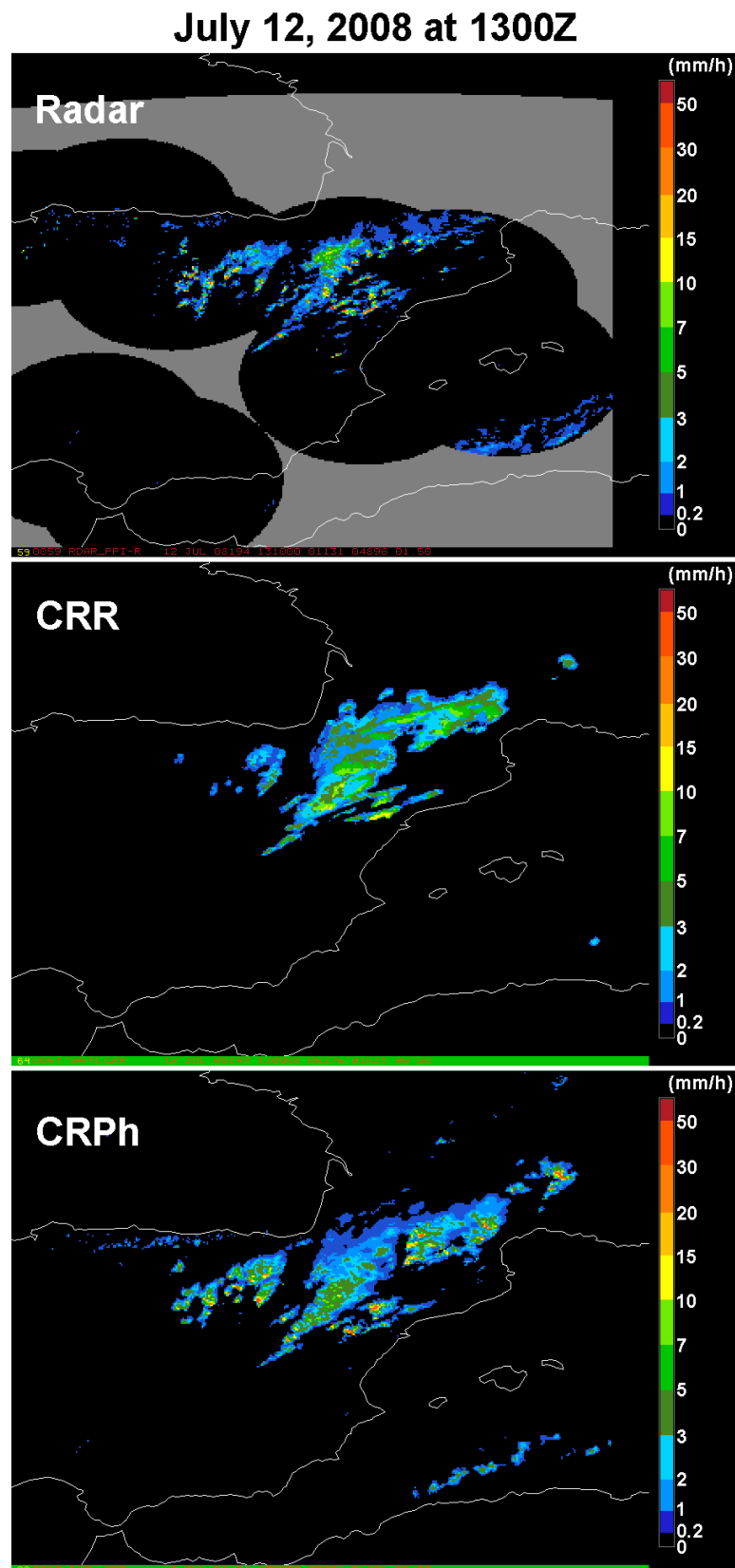


Figure 3. A comparison of the radar-derived precipitation estimate (top), the output of the CRR algorithm (middle) and the new CRPh estimate (bottom). Date is July 12, 2008 1300 Z. The case illustrates the better abilities of the new algorithm to discriminate the rain rates inside the precipitating systems and the location of the actual rain.

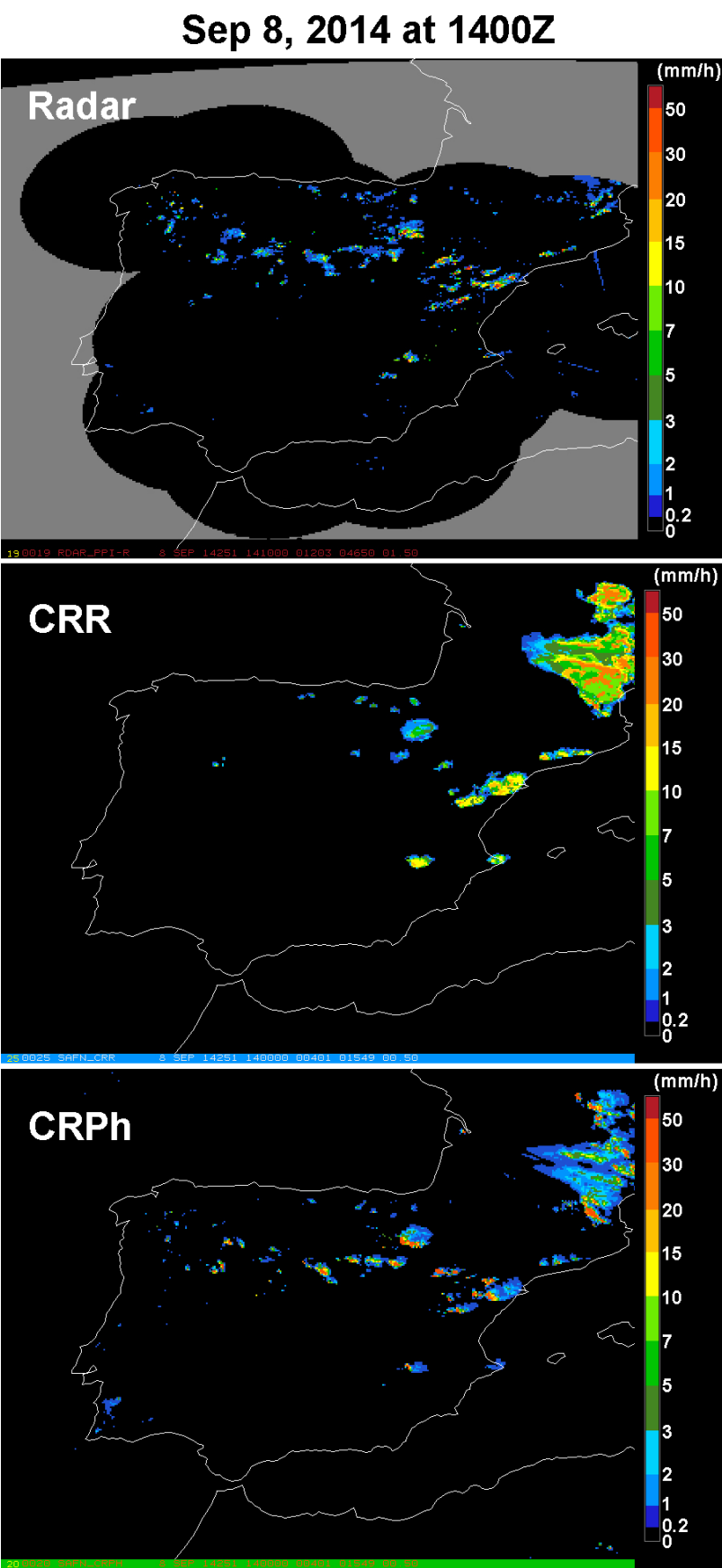


Figure 4. As figure 3 for September 9, 2008, 1300 Z case. In this case, the CRPh also clearly outperforms the CRR both in identification of precipitation and in the actual rainfall rates.

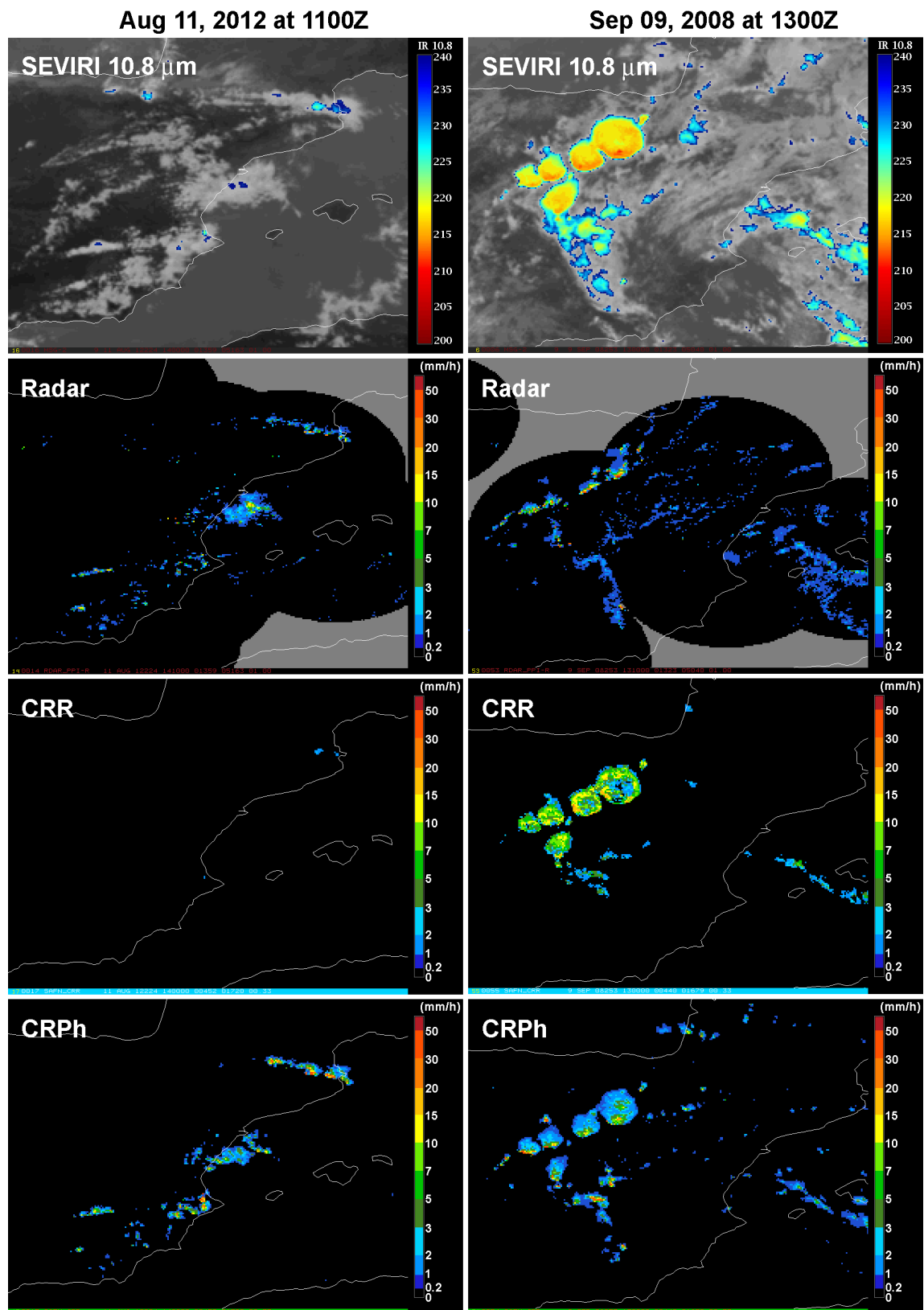


Figure 5. SEVIRI/Radar/CRR/CRPh comparisons for two cases illustrating (left) the improvement in the detection of precipitation from relatively warm cloud tops in the CRPh algorithm compared to the CRR algorithm; and (right) the ability of the CRPh algorithm to detect precipitation from small convective cells, as well as its ability to avoid misinterpreting the cold rings in large convective cells.

4.2 Object-based evaluation

The evaluation of the CRPh using the MODE metric provides a complementary appraisal of the suitability of the algorithm for nowcasting. Quantitative validation using 78 days with convective events in 2008 already confirmed the good performances of the CRPh [36] with False Alarm Ratios (FAR) below 27.4, Probability of Detection (POD) above 84.2 and Critical Success Indexes (CSI) above 63.9.

MODE have two free parameters that depend on the scene: a threshold value T and a convolution radius R . While T can be conventionally fixed to the minimum discernible rainfall rate such as 0.2 mm/h or to any other rate of interest, R accounts for the size of the precipitation clusters so different choices of R produce different number of clusters to evaluate (Figure 6).

The value of R for this case study was selected with a local knowledge in mind, i.e. taking into account the differences in the geography so the different clusters represent markedly different regions.

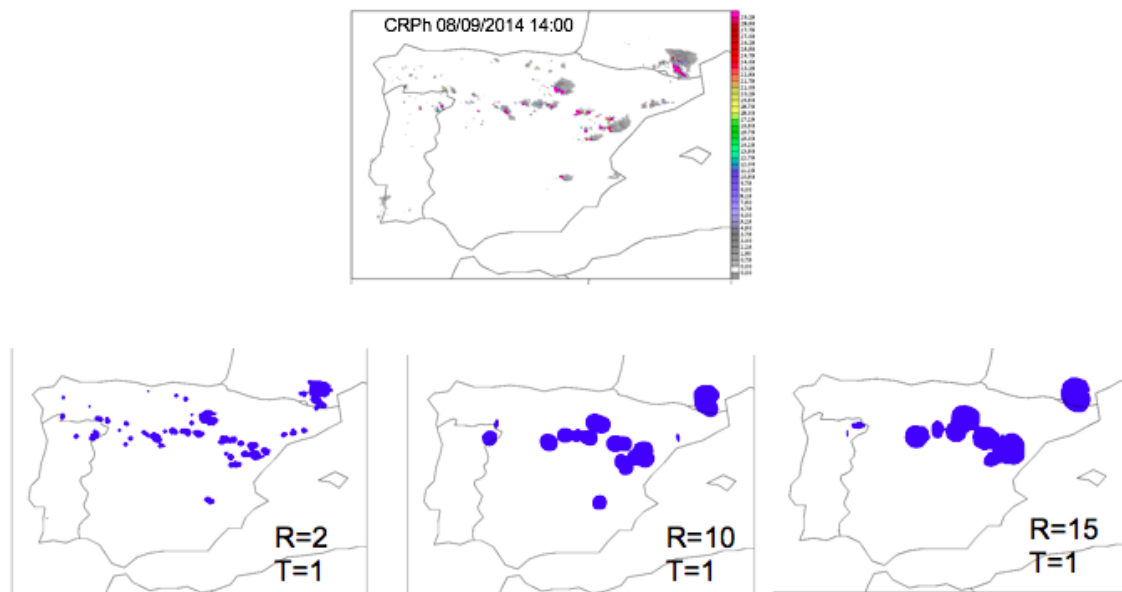


Figure 6. Effects of different convolution radius R (2, 10 and 15) on the MODE metric, for the same threshold value T (1 mm/h). Case of September 8, 2014 1400 Z.

Figure 7 shows the MODE verification results of the CRPh compared with the radar for a convolution radius of 4 and a threshold of 0.2 (September 8, 2014 case). The CRPh misses some isolated convective spots over Portugal but does a good job in locating the larger cells. The differences in the actual location (cf. clusters 10 or 12) would result in poor quantitative scores, but the feature-based method accommodate such errors. [37] found that even imprecise determination of intensity or location of convective cells is useful information for nowcasting as long as their existence is identified. Indeed, given the other uncertainties involved in the short-term prediction that would follow, such minor differences in the location or intensity of the cells unimportant.

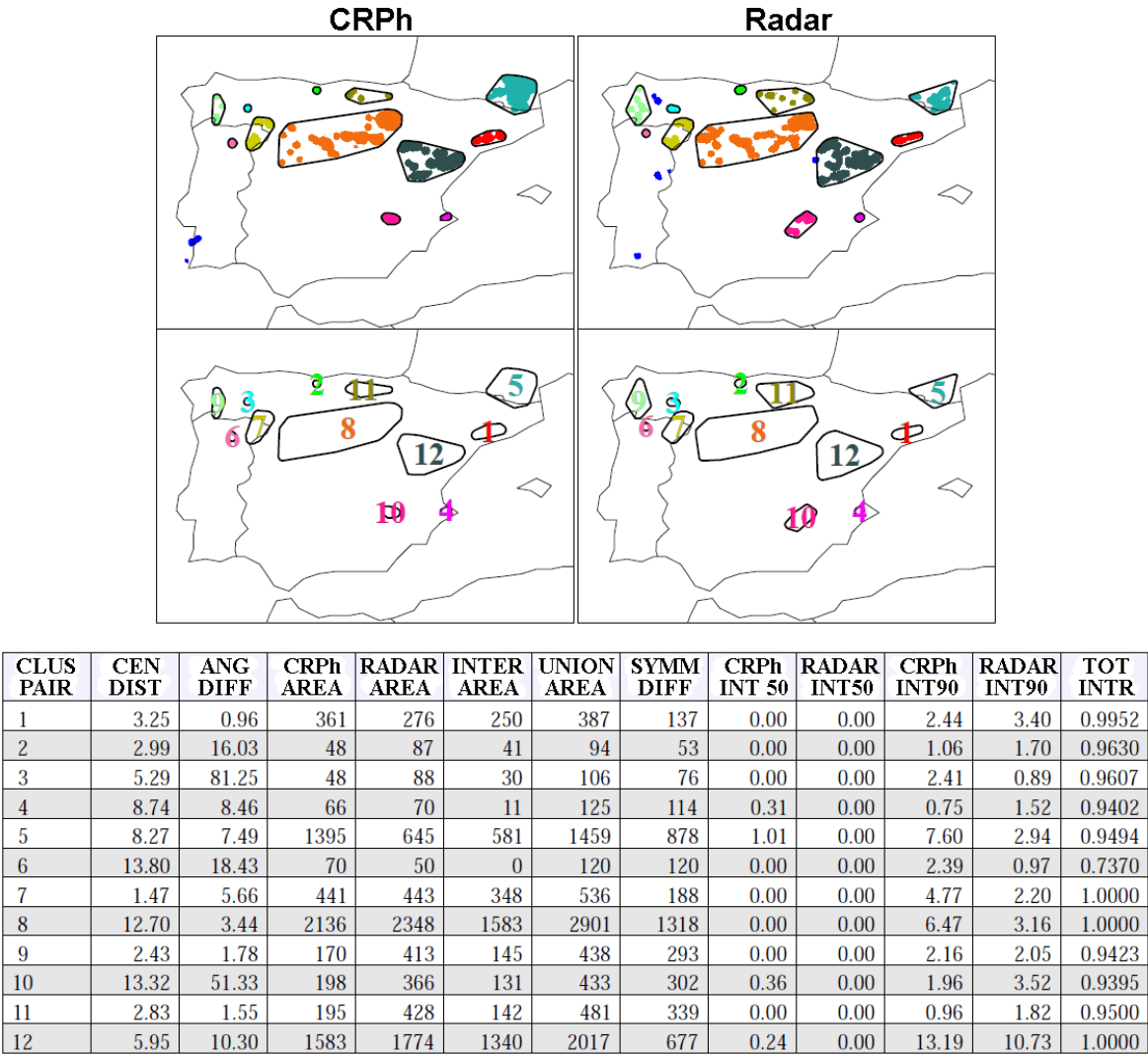


Figure 7. Verification results of the CRPh compared with radar using MODE (Convolution radius=4.0, Threshold=0.2) for the September 8, 2014 1400 Z case. The table reports the main statistics of the clusters in the maps. TOT INTR stands for the Total Interest score. CLUS PAIR: Number of clusters being compared; CEN DIST: Distance between centroids (in grid squares); ANG DIFF: Difference between the axis angles of two clusters [degrees]; CRPh(RADAR) AREA and CRPh(RADAR) cluster area [grid unit squared]; INTER AREA: Intersection area of two clusters [grid unit squared]; UNION AREA: Union area of two clusters [grid unit squared]; SYMM DIFF: Symmetric difference of two clusters [grid unit squared]; CRPh/RADAR INT50: 50th percentile of intensity of the filtered field within the cluster [mm]; CRPh/RADAR INT90: 90th percentile of intensity of the filtered field within the cluster [mm]; TOT INTR: Total value of interest $T(\alpha)$ computed for a pair of clusters [unitless].

The optimum convolution radius for each threshold can be calculated by evaluating each choice so to identify which combination represents a balance between excessive smoothing and suitable intensity. Minimal smoothing and a very low threshold will result in a large number of objects, many of them small. Heavy smoothing and a high threshold will result in very few, intense rain areas [30]. The convolution and thresholding operations effectively select the portion of the field that is of greatest interest to the user of the method, and therefore there is not necessarily a universally optimal choice for these parameters [30]. The analysis of the possible alternatives for our cases (Figure 5) shows that a R of 10 is appropriate for the desired 1 mm/h threshold as it captures the individual convective systems.

Figure 9 shows the effect of such choice in the verification.

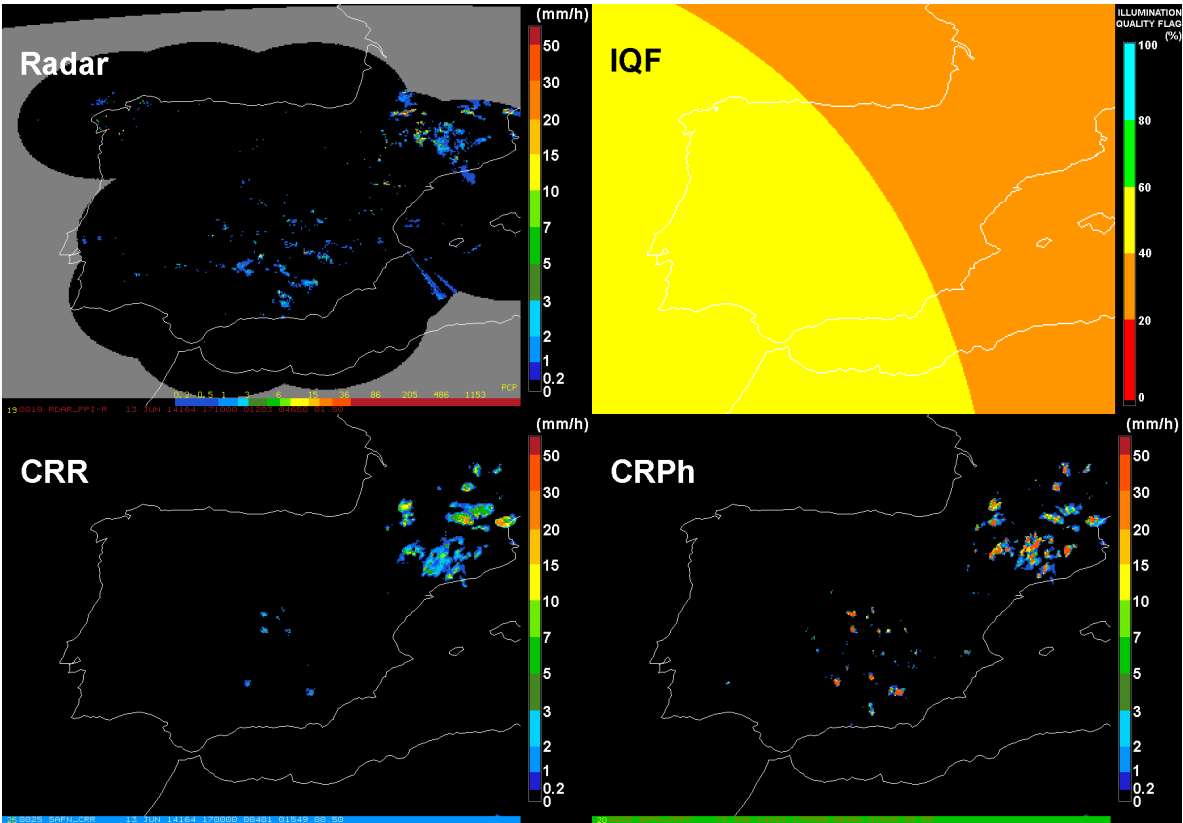


Figure 8. An example of one instance where the CRR outperforms the CRPh (June 13, 2014). The reason is the poor illumination conditions, which impedes that the CRPh exploits the microphysical information. The Illumination Quality Flag (IQF) is below the 60% threshold, thus informing the forecaster to ignore the CRPh rain intensities (but not the areal extent of the rainfall, which in fact is better captured by the CRPh than by the CRR).

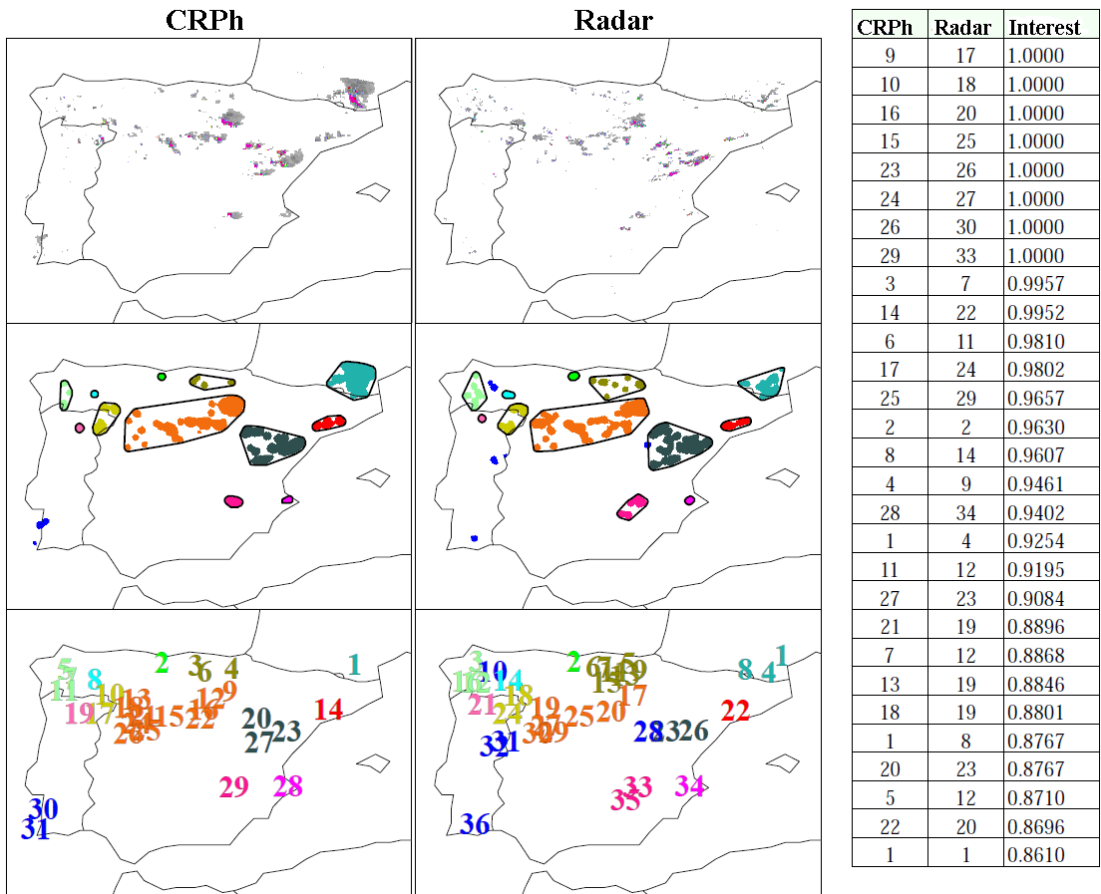


Figure 9. Verification results of the CRPh compared with radar using MODE (Convolution radius=10.0, Threshold=0.2). Interest refers to the Total Interest score $T(\alpha)$.

5. Conclusions

EUMETSAT’s CRPh algorithm seems to outperform the CRR product for nowcasting applications and to provide better estimates of precipitation, likely thanks to the inclusion into the modeling of information about the cloud microphysics. The empirical choices and the rationale for calculating these are well founded and provide a reasonable balance between the available bands in the SEVIRI instrument and the hydrometeorological variables to be measured.

Both visual comparison of the CRPh with radar data and the results of an object-based verification metric for a selected case show the potential of the product for nowcasting. The main limitation of the CRPh is that it is a day-only algorithm, so it is of moderate usefulness in winter. Daylight per se is not a major limitation as convective precipitation occurs mainly then. In addition and based also on our operational experience convection in the area of interest is more intense precisely in summer, where the operating time of the algorithm is longer.

Another limitation of the algorithm is that the RR can only be calculated on pixels for which the water phase is adequately estimated, a condition that is contingent upon suitable illumination geometry. Solar glint and highly slanted cloud/sun/satellite combinations precludes or limit the calculation of the microphysical variables required by the algorithm, but that is a shared shortcoming of those precipitation algorithms based on VIS or NIR frequencies. Quality flags are provided with the CRPh to evaluate the potential effects of illumination on the nominal estimates of the rain rates [15] [36] [38].

Evolution of the CRPh includes the investigation of frequencies that can be used 24/7 to derive microphysical information of the cloud. The integration of more direct microwave information from orbital radiometers [39-40], radars [41-45] or using attenuation techniques [46-49] is another possible avenue for improvement of the product albeit the coarse spatial and temporal resolutions may preclude the operational use for nowcasting. The latency of the microwave products is another

concern. The use of sub-pixel methods [50] may however help to increase further the spatial resolution of fused product.

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