

1 *Review*

## 2 **Sustainability in Alternative Food Networks:** 3 **A Systematic Literature Review**

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12 **Abstract:** In recent years, increasing attention has been paid to individuals organizing themselves  
13 and managing food systems in an 'alternative' and more sustainable way. Such emerging food  
14 initiatives are most commonly known as 'Alternative Food Networks' (AFNs). However, there is an  
15 ongoing debate concerning the extent to which AFNs facilitate social, economic and environmental  
16 change. There are criticisms of the overall sustainability promise of AFNs related to sufficiency of  
17 impact, possible counter effects and relevance of impacts. Because often empirical studies only focus  
18 on specific sustainability issues or AFNs, it has been difficult to develop more robust theories about  
19 the relations between diverse AFNs arrangements and sustainability. Thus, the aim of this paper is  
20 to contribute towards reducing this knowledge gap through a systematic literature review on AFNs  
21 in relation to sustainability. We summarize main methodological approaches, types of AFNs  
22 studied and sustainability dimensions addressed in literature to date. Findings serve as reference to  
23 propose opportunities for future research regarding sustainability in AFNs.

24 **Keywords:** Alternative Food Networks; Systematic Literature Review; Sustainability

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### 26 **1. Introduction**

27 In 1987 the "sustainability revolution" started to speed up following the publication of 'The  
28 Brundtland report' by the World Commission on Environment and Development. The most accepted  
29 definition of sustainable development was conceived then as "...development that meets the needs  
30 of the present without compromising the ability of future generations to meet their own needs" [1].  
31 Since then, the idea of sustainable development has been widely used and given an important  
32 position in the international political agenda. Nevertheless, achievement of a sustainable future  
33 seems more distant with every passing day. The increasingly evident inability of the climate to  
34 assimilate the amount of greenhouse gases currently in the atmosphere, is sounding alarms about the  
35 impact of human activity. As McKibben [2](p. 18) points out, "even before we run out of oil, we're  
36 running out of planet". Over the past two centuries, we have mined it, burned it, eroded it, cut it  
37 down, and polluted it in the name of development. The planet is deteriorating, and we have  
38 surpassed many planetary limits that "define the safe operating space for humanity with respect to  
39 the Earth system" [3](p. 472).

40 Our food system is directly dependent on the health of the Earth system. At the same time,  
41 agriculture is one of the major contributors to human impact on Earth's ecosystems with up to thirty  
42 percent of global greenhouse gas emissions attributed to it [4]. Currently, "our soils, freshwater,  
43 oceans, forests and biodiversity are being rapidly degraded" [5], and the food system is failing us. In  
44 2017, The State of Food Security and Nutrition in the World 2017 report acknowledged that after  
45 decades of consistent decline, global hunger increased for the first time in 2016 and now affects 11%

46 of the world's population [6]. Although the productive potential of agriculture has surpassed  
47 population growth [4], the recent decrease in food security is closely linked to conflict, economic  
48 slowdowns and weather-related events; partly and probably due to climate change [6]. Moreover,  
49 agricultural intensification that led to increases in food availability, is already having major effects  
50 on the environment; and has proved insufficient to improve socio-economic conditions of farmers.  
51 As of 2015, 75 percent of the world's poor lived in rural areas. Working in agriculture is closely related  
52 to poverty and extreme poverty status in each region of the world [7].

53 According to Hardin [8], the ways in which people organize themselves to exploit natural  
54 resources (i.e. institutional arrangements) is one of the human factors driving environmental change.  
55 Expanding on this, Dietz et al. [9] suggest that in the absence of effective governance or institutional  
56 arrangements, natural resources and the environment are threatened by patterns of consumption,  
57 population growth and technological advances. This view resonates with current criticisms of the  
58 'conventional' food system. Most recently, the United Nations [5](p. 1) recognized that "a profound  
59 change of the global food and agriculture system is needed to nourish today's 795 million hungry  
60 and the additional 2 billion increase in global population expected by 2050". In particular, changes  
61 are needed to improve productivity and sustainability of food systems, and the livelihoods of small-  
62 scale food producers.

63 It is beyond the scope of this paper to discuss in detail 'conventional' food systems, their  
64 characteristics or the difficulties in drawing a distinction between the 'conventional' and other forms  
65 of food provisioning [10]. However, for the sake of clarity, we view 'the conventional' food system  
66 as that which relies on conventional agriculture as conceptualized by Beus and Dunlap [11]. Thus,  
67 the 'conventional' food system is one based on large-scale, highly mechanized and industrialized  
68 agriculture with an increased use of monocultures, fertilizers and pesticides. Furthermore, because  
69 of globalization, 'conventional' food systems are also characterized by long food supply chains (with  
70 many food miles and nodes) which often include supermarkets as outlets for final consumers [12].

71 Overall, evidence seems to suggest that the current institutional arrangements of the  
72 'conventional' food system are inadequate to ensure sustainability. In this context, increasing  
73 attention has been focused on the study of alternative approaches for managing our food system.  
74 Many case studies about individuals organizing themselves and managing food systems in an  
75 alternative way have been documented over the past two decades. . The phenomena have been linked  
76 to broader concepts such as locality, quality, spatiality, embeddedness and sustainability. Farmers'  
77 markets, community-supported agriculture, box schemes, cooperatives, farm shops and other  
78 initiatives have been used to exemplify these alternative approaches [13]. Goodman et al. [14]  
79 suggest that the importance of these initiatives lies in the fact that we will not be able to meet our  
80 sustainability challenges without them.

81 The phenomena have been studied from various theoretical perspectives and with the use of  
82 different conceptual headings such as alternative food networks (AFNs) [10,15,16], short food supply  
83 chains [13,17] and more recently civic food networks [18,19]. In this paper we adopt the theoretical  
84 perspective that uses the concept of alternative food networks. For our literature review, this  
85 provided a necessary theoretical and conceptual focus but also allowed us to collect a large number  
86 of studies as the concept of AFNs has been widely used to explore these phenomena since the 1990s.

### 87 88 *1.1. Alternative food networks*

89 One of the earliest definitions of AFNs suggests that they are "rooted in particular places, [and]  
90 aim to be economically viable for farmers and consumers, use ecologically sound production and  
91 distribution practices, and enhance social equity and democracy for all members of the community"  
92 [20] (p. 2). Jarosz [21] suggests that AFNs are characterized by shorter distances between producers  
93 and consumers, farming methods that contrast with those of large-scale agri-businesses, commitment  
94 to sustainability and the existence of certain food purchasing venues (i.e. cooperatives, farmers'  
95 markets, community supported agriculture-CSA, etc.). The literature tends to describe AFNs as  
96 somehow oppositional to 'conventional' food systems [22-24]. This view is justified on the ability of  
97 AFNs to reconnect producers and consumers [25,26] and the capacity to create proximate or

98 embedded forms of food provisioning [27,28]. As a consequence AFNs are said to have the potential  
99 to enhance re-distribution of value for producers and to facilitate the production of sustainably grown  
100 goods. Even though a wealth of definitions has been proposed, Tregear [29] recognizes a lack of  
101 clarity with regards to the overall concept of AFNs, suggesting that the concept is universally used  
102 to describe systems that differ from the 'conventional' or is usually defined by what it is not, instead  
103 of what it is.

104 Some frameworks to categorize AFNs have been proposed. For instance, Renting et al. [17] (p.  
105 399) suggested a framework to explain the empirical variety of producer-consumer relations within  
106 AFNs, or Short Food Supply Chains (SFSCs) as they call them, based on their "organizational  
107 structure and the specific mechanisms entailed in these to extend relations in time and space. They  
108 divide AFNs in three groups: Face-to-face SFSCs (involving direct interaction between producers and  
109 consumers), Proximate SFSCs (based on relations of proximity) and Extended SFSCs (where  
110 interaction of producers and consumers is not direct, and connections are established through  
111 qualities embedded in the products). Watts et al. [16] categorize AFNs as "weaker" or "stronger"  
112 depending on the extent to which they challenge principles of conventional food networks. On the  
113 one hand, "weaker" AFNs are those whose alternativeness rely on qualities of the products, such as  
114 fair trade, organic and denomination or origin. By contrast, "stronger" AFNs are those that involve  
115 networks that do not conform to those of the conventional food system, such as farmers' markets  
116 (direct selling), community-supported agriculture and box schemes.

117 Maye and Kirwan [23] suggest that 'alternativeness' depends on the context, which implies the  
118 need to examine the unique ordering and spatiality of individual initiatives. The geographical  
119 distribution of AFNs studies and the variety of AFNs arrangements has not been reviewed  
120 previously.

121 Regarding sustainability, there is an ongoing debate concerning the extent to which AFNs are  
122 able to facilitate social and environmental change [30]. According to Tregear [29], a problematic  
123 feature of the study of AFNs relates to the preconceived assumption that because of their nature,  
124 unconventional food networks inherently offer economically, socially and environmentally desirable  
125 outcomes. This is, to some extent, similar to the 'local' trap, which is the tendency to assume that  
126 local-scale food systems are inherently good [31]. Thus, often AFNs are uncritically deemed to be  
127 'good' or 'sustainable' without a comprehensive analysis of how or to what extent they challenge  
128 practices related to conventional food systems [32,33]. This lack of clarity may limit the opportunities  
129 for constructive change that AFNs may facilitate [34].

130 There are also criticisms of the overall sustainability promise of AFNs related to sufficiency of  
131 impact, possible counter effects and relevance of impacts. Because often studies only focus on specific  
132 sustainability issues [35], it has been difficult to develop more robust theories about the relation  
133 between diverse AFNs institutional arrangements and sustainability. For instance, Hedberg [36]  
134 explains that even though the environmental sustainability of AFNs has been explored to some  
135 extent, most studies rely on the use of metrics related to 'food miles', a concept that is easy to  
136 communicate to consumers [37-39]. Only a few studies have explored the relationship between AFNs  
137 and the conditions at the producer's end of the network [40]. In regard to the socio-economic  
138 dimensions of sustainability, concerns have also been raised. For instance, James [41] suggests that  
139 empirical evidence concerning the impact of AFNs on the economic viability of farmers is scarce.  
140 Thus, it is still unclear to what extent AFNs can positively impact the socio-economic and  
141 environmental contexts where farmers operate.

142 Overall, the study of AFNs in relationship to sustainability presents several opportunities for  
143 further research at present. Based on the empirical evidence that has accumulated in the last decade,  
144 we carry out a systematic literature review of AFNs to investigate how sustainability has been studied  
145 in the context of these phenomena. This study is guided by the following research questions:

146 *RQ1. What methodological approaches have been used in the study of sustainability within AFNs?*

147 *RQ2. What types of AFNs have been studied in relation to sustainability?*

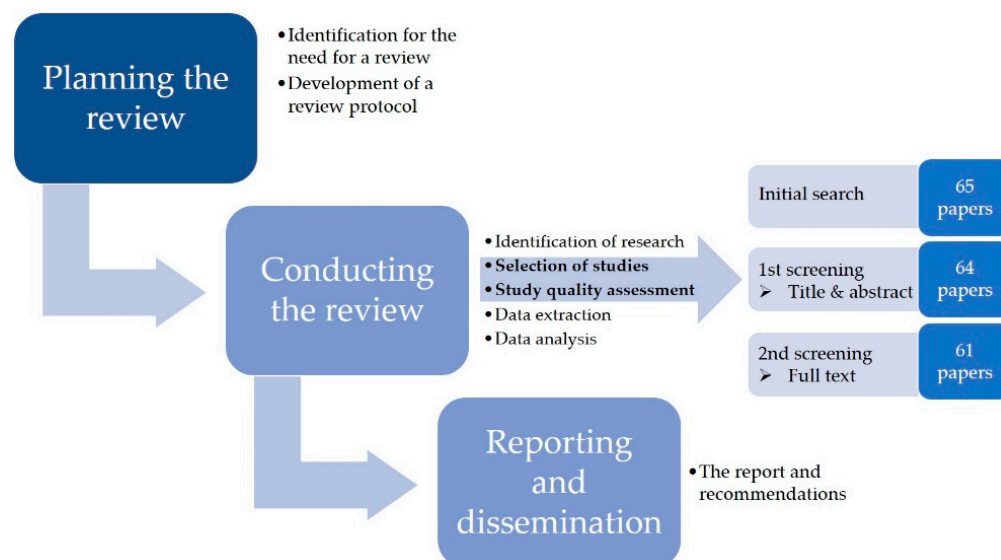
148 *RQ3. What dimensions of sustainability have been studied within AFNs literature?*

149 For the analysis of sustainability dimensions (RQ3) we adopt the most common framework for  
 150 the conceptualization of sustainability. It consists of three main dimensions: environmental, social  
 151 and economic, usually represented by three interlocking circles or pillars [42-45]. According to the  
 152 United Nations [46], sustainability can only be achieved through the balance and integration of its  
 153 three dimensions.

154 The remainder of this article is structured as follows. In the next section, we provide an overview  
 155 of the process followed to conduct the systematic literature review. Then, we summarize the data  
 156 extracted from the reviewed literature using descriptive statistics and cross tabulations. Lastly, we  
 157 discuss the main results from the analysis and propose ways to advance research in relation to  
 158 sustainability within AFNs.

## 159 2. Materials and Methods

160 We chose the systematic literature review (SLR) methodology to address the research questions  
 161 posed in the previous section. This methodology has been recognized as a powerful tool for  
 162 evaluating, summarizing and disseminating evidence about a given research topic. It is said to  
 163 minimize bias by adopting a more transparent process of review that increases replicability [47,48].  
 164 Consistent with other recent systematic literature reviews (SLRs) published in the field of  
 165 sustainability [49-51] we adopted the three-stage approach to SLRs proposed by Tranfield et al. [48]  
 166 as depicted in Figure 1. The SLR was conducted from January 2018 to May 2018.  
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168 **Figure 1.** Systematic Literature Review process (adapted from [48])  
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### 171 2.1. Stage 1: Planning the review

172 Prior to starting this SLR, we identified the need for a review based on a conceptual discussion  
 173 that led to the identification of the research questions stated in section 1.1. In order to address these  
 174 questions, we needed to review all the literature concerning AFNs and sustainability. To keep the  
 175 search as broad as possible, we only established key words related to these two concepts. We chose  
 176 the scientific Scopus-Elsevier database to carry out our search. Scopus-Elsevier is one of the most  
 177 comprehensive databases and has been recognized as containing more high-quality, peer-reviewed  
 178 publications than other databases [49]. Following this, the inclusion and exclusion criteria were  
 179 established: we ensured the selection of relevant papers by limiting the search to papers containing  
 180 the defined key words in the title, abstract or key words section. We also limited the search to English-  
 181 language documents only. The type of document was limited to “article”. No restrictions were  
 182 established in terms of year of publication. The search in Scopus was conducted in January 2018.  
 183 Thus, papers published in 2018 are not included in the review. The final search string used is the  
 184 following:

185 TITLE-ABS-KEY("alternative food network\*" OR "AFNs" OR "AFN" AND sustaina\*) AND (  
186 LIMIT-TO (DOCTYPE,"ar" ) ) AND ( LIMIT-TO ( LANGUAGE,"English" ) )  
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## 188 2.2. Stage 2: Conducting the review

189 After the initial search in Scopus, our first sample consisted of 65 papers. We conducted a first  
190 screening of titles and abstracts to assess the relevance or pertinence and quality of the papers. One  
191 publication was dropped because it was not a peer-reviewed article. During a second screening of  
192 full papers we dropped another three papers because the main focus of the research was not  
193 sustainability. Thus, the final sample consisted of 61 papers (see appendix A). At this point, we  
194 created a database using Excel in order to collect data from the selected papers. We considered  
195 pertinent to extract the following information to answer our research questions: (1) Title, (2) Authors,  
196 (3) Year of publication, (4) Country, (5) Methodological approach, (6) Research methods, (7) Types of  
197 AFNs studied, (8) Participants involved in the research, (9) sustainability dimensions addressed (i.e.  
198 social, economic and environmental) and (10) Topics.

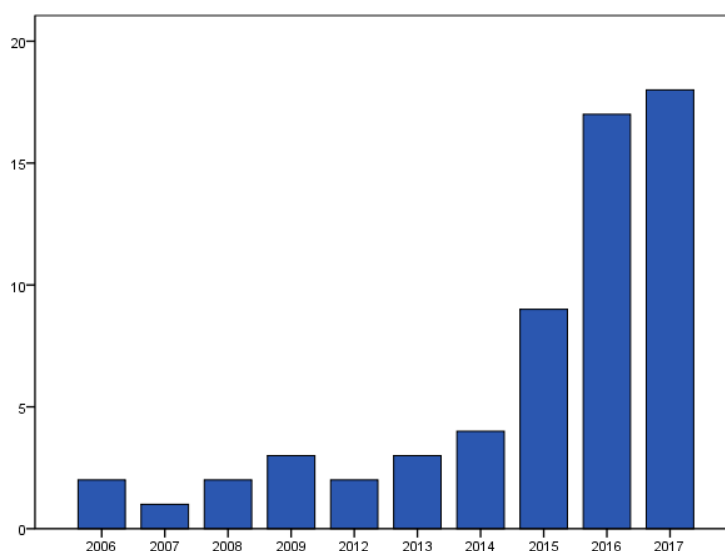
199 First, we conducted descriptive analysis to identify trends in all extracted data. Following this,  
200 cross tabulations were conducted to identify relationships between different data. For instance, the  
201 relationship between the sustainability dimensions addressed and the participants involved in  
202 studies was examined.

## 203 2.3. Stage 3: Reporting and dissemination

204 In line with the recommendation of Tranfield et al. [48], the summary and dissemination of our  
205 findings was divided into two sections. The first section provides a full descriptive analysis of  
206 extracted data. First, we characterized the sample by summarizing key variables such as year of  
207 publication and countries targeted in papers. Next, a full review of other extracted data is provided,  
208 by means of tables and charts. The second section of our summary includes an overview of key  
209 emerging themes in relation to the three dimensions of sustainability. This section also addresses the  
210 research questions guiding this study.

## 211 3. Results

212 Figure 2 presents an overview of the evolution of publications by year. In our sample, the first  
213 two papers about AFNs that explicitly consider sustainability were published in 2006. Since then,  
214 there has been a slow but steady increase in the number of publications addressing sustainability. In  
215 2016, right after the publication of the UN Sustainable development goals, the number of publications  
216 increased substantially, and the trend has been maintained since then.  
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**Figure 2.** Number of papers published by year. Note: Our elaboration.

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Next, we analyzed the targeted countries in our sample. Out of 61 papers in our sample, 53 papers mentioned which country or countries their study was focused on. In these 53 papers, there are 56 indications of countries, as some papers focused their research on more than one country. For instance, one study carried out research in the UK and Canada, another one in the UK and Finland and a last one in Poland and Czech Republic (now Czechia). Results suggest that most frequently studies have targeted the USA (20%), Czechia and the UK (13% respectively) and Italy (11%). Table 1 shows the complete list of the countries that were targeted.

**Table 1.** Countries targeted in empirical studies. Note: Our elaboration.

Targeted countries	Frequency	Targeted countries	Frequency	Targeted countries	Frequency
USA	11	Finland	2	Germany	1
Czechia.	7	Bolivia	1	India	1
UK	7	Brazil	1	Mexico	1
Italy	6	Bulgaria	1	Poland	1
Australia	4	Denmark	1	Romania	1
Canada	4	Ecuador	1	Vietnam	1
Spain	3	France	1		

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Taking as reference the socio-economic and political North-South divide, results show that there are only six indications of countries located in the Global South. That is, only 11% of studies in our sample focused specifically on countries located in the Global South.

### 3.1. Methodological approaches

To better understand the methodological approaches that have been used in the study of AFNs and sustainability, we identified the research design adopted in the papers of our sample. We first classified papers as (1) empirical, (2) theoretical and (3) literature reviews. Out of 61 papers, 51 (83%) are empirical studies, 9 (15%) are theoretical studies and 1 (2%) is a literature review. These results show a marked preference for empirical studies when researching sustainability issues within AFNs.

Second, we analyzed if empirical papers adopted mono-method or multi-method approaches. Out of 51 empirical papers, 21 (41%) used mono-method approaches and the rest (59%) adopted multi-method. Out of the 30 papers that adopted a multi-method approach, 15 used two methods, 11 used three methods and only 4 used four methods. Thus, there is a slight preference for using multi-method approaches with two methods being the most preferred multi-method approach.

Regarding the research methods used in the empirical papers of our sample, the interview was the preferred method used in 39 out of 51 papers. This was followed by the survey which was used in 18 papers (35.3%), participant observation used in 14 papers (27.5%) and case study and documentary analysis used in 9 papers (17.6%) respectively. Table 2 displays other methods that were used to a lesser extent within the empirical studies of our sample.

**Table 2.** Research methods used in empirical papers. Note: Our elaboration.

Research Methods	Frequency
Interviews	39
Survey	18
Participant observation	14
Case study	9
Documentary analysis	9
Secondary data	7
Focus group	4
Field visits	3

Non-participant observation	3
Case vignettes	1
Consumer diaries	1

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As part of the analysis of empirical papers, we also examined the different types of AFNs that have been targeted and studied. We identified nineteen different organizational arrangements that authors classified as AFNs and targeted in their studies (see Table 3). The most common AFN studied in empirical papers is Community Supported Agriculture, which was used in 8 of 51 papers (15.7%). This is followed by Farmers markets used in 7 papers (13.7%) and Organic farms used in 6 papers (11.8%). Other types of AFNs were used in less than 10% of the papers in our sample. For instance, Cooperatives and Solidarity Purchasing Groups were used in 5 papers (9.8%) respectively and Farm Shops and Urban Agriculture were used in 4 papers (7.8%) each. Other less common AFNs were used in just one paper of our sample (i.e. E-commerce, Fairtrade, Food self-provision, Pastured poultry and Slow food event).

**Table 3.** Types of AFNs studied in empirical papers. Note: Our elaboration.

Types of AFNs studied	Frequency
Community Supported Agriculture	8
Farmers markets	7
Organic farms	6
Cooperatives	5
Solidarity Purchasing Groups (GAS)	5
Farm shops	4
Urban Agriculture	4
Box scheme	3
Community gardens	3
Organized Groups of Supply and Demand	2
Allotment	2
Direct sales	2
E-commerce	1
Fairtrade	1
Food self-provision	1
Pastured poultry	1
Slow food event	1
Vending machines	1
Wild food networks	1

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To better understand the diversity of AFNs studied in empirical papers up to date, we also analyzed how many different types of AFNs (from those listed in Table 3) have been used in each empirical paper. Out of 51 empirical papers, 35 targeted specific types of AFNs. These papers carried out research within specific AFNs or carried out research that relates to specific AFNs arrangements. Results show that 25 papers (71%) only look at one type of AFN, 5 papers (14%) investigated two different types of AFNs, 3 papers studied four different types of AFNs, only one paper looked at five different types of AFNs and another one looked at six different types of AFNs. Results in Table 4 show that the majority of empirical studies related to sustainability in AFNs only look at one type of AFN.

**Table 4.** Number of different types of AFNs studied in empirical papers. Note: Our elaboration.

Types of AFNs studied	Frequency
One	25
Two	5
Four	3

Five	1
Six	1

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Next, we analyzed the participants that were targeted in the empirical studies of our sample. Out of 51 empirical studies, only 44 explicitly targeted and defined specific participants. Results suggest that authors involved a wide variety of participants in their studies. The most common participants were 'producers', who were involved in 35 empirical studies (79%). This is followed by 'consumers', who participated in 20 studies (45.4%). The frequency of participation of other participants is much lower. For instance, 'managers' of AFNs only participated in 5 studies (11%) and 'activists' and 'organizers' of AFNs only participated in 4 studies (9%) respectively. Table 5 shows a complete list of different types of participants that were involved in empirical studies of our sample.

**Table 5.** Participants targeted in empirical studies. Note: Our elaboration.

Participants	Frequency
Producers	35
Consumers	20
Managers	5
Activists	4
Organisers	4
Government officials	3
Non-Governmental Organisations	3
Researchers	3
Retailers	3
Farm workers	2
Community leaders	1
Creators of online spaces	1
Decision makers within the food manufacturing sector	1
Distributors	1
Farm suppliers	1
Landowners	1
Plant workers	1
Representatives of AFNs	1
Sustainability directors	1
Urban designers	1
Volunteers	1
Wholesalers	1

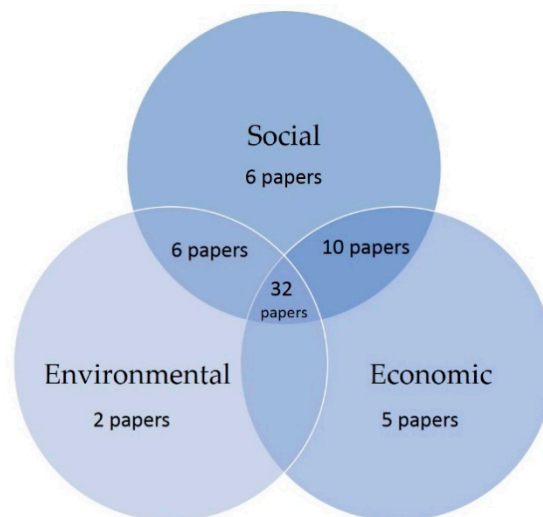
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Lastly, we analyzed the number of different participants involved in empirical studies. Results show that 18 papers involved only one type of participant, 12 papers engaged with two different types of participants, 8 papers used three different types of participants, 4 papers engaged with four different types of participants and only 2 papers involved five different types of participants.

### 3.2. Sustainability dimensions

Regarding the dimensions of sustainability addressed in the papers of our sample, results show that 32 out of 61 papers (52%) consider the social, economic and environmental dimensions of sustainability to some extent. Furthermore, 10 papers (16%) address the social and economic dimensions of sustainability and 6 papers (9.83%) address the social and environmental dimensions only. Lastly, 6 papers (9.83%) consider the social dimension only, 5 papers (8%) the economic dimension and 2 papers (3%) the environmental dimension (see Figure 3).

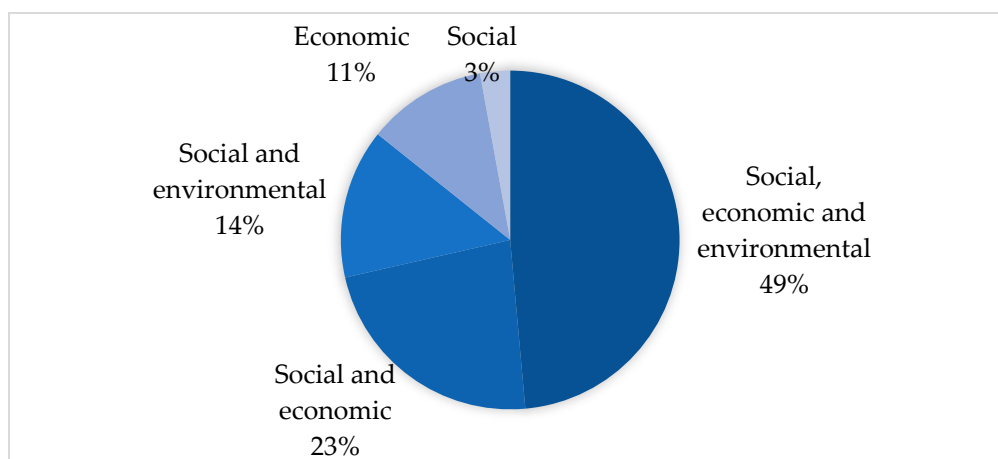




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303 **Figure 3.** Sustainability dimensions addressed in papers of our sample. Note: Our elaboration.  
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305 To summarize, approximately half of the papers of our sample address the three most common  
306 dimensions of sustainability to some extent. The other half only addresses one or two dimensions.  
307 Overall, the social dimension received more attention than others as it was included in 48 papers  
308 (78%) of our sample. This is followed by the economic dimension included in 47 papers (77%) and  
309 the environmental dimension included in 40 papers (65%). It is important to recognize that even  
310 papers that were classified as targeting the three main dimensions do not always address all the three  
311 dimensions to the same degree. They were sorted under this classification if they mentioned all the  
312 dimensions and discussed them in the context of their research to some extent.

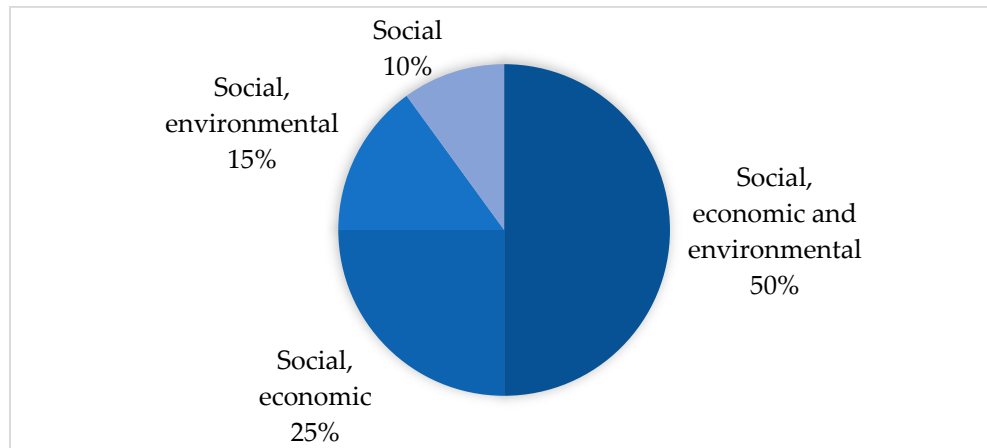
313 Figure 4 shows the sustainability dimensions addressed in papers that involved 'producers' as  
314 participants in their research. Almost half of the papers that involved producers looked at the three  
315 dimensions of sustainability. The other half only looked at one or two dimensions of sustainability.  
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318 **Figure 4.** Sustainability dimensions addressed in papers that involved producers as participants.  
319 Note: Our elaboration.  
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321 Figure 5 shows the sustainability dimensions addressed in papers that involved 'consumers' as  
322 participants in their research. Results are similar to those obtained from Figure 4. Half of the papers  
323 that involved consumers as participants in their research looked at the three main dimensions of  
324 sustainability too. However, no papers involving consumers as participants looked at the economic  
325 dimension in isolation. Whereas 11% of the papers involving producers as participants looked at the  
326 economic dimension only. Results in Figure 5 also suggest that all the papers that involved consumers  
327 as participants looked at the social dimension of sustainability. Overall, authors seem to have focused  
328 slightly less on the environmental dimension (65%) than on other dimensions of sustainability.

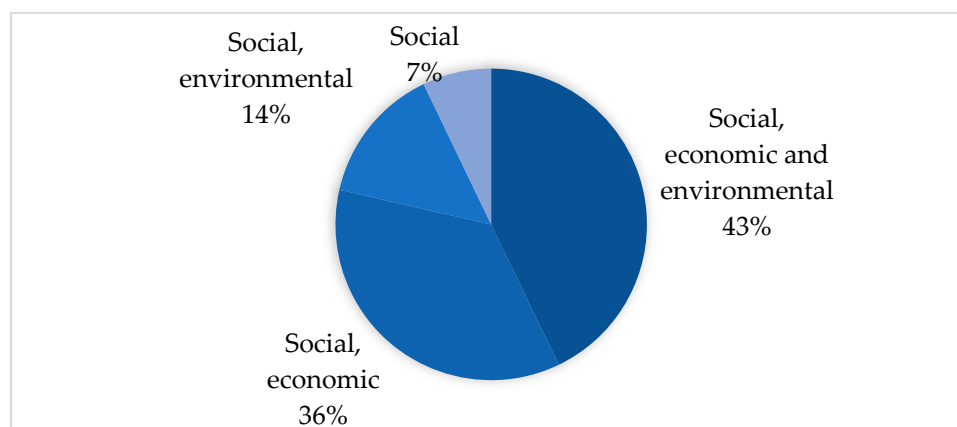
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**Figure 5.** Sustainability dimensions addressed in papers that involved consumers as participants.  
Note: Our elaboration.

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We identified that only 14 papers out of 61 in our sample involved both ‘producers’ and ‘consumers’ (main actors in AFNs) as participants in their research design (see Figure 6). From those 14 papers, 43% looked at the three main dimensions of sustainability and the rest looked at one or two dimensions only. In line with previous results, all 14 papers looked at the social dimension and the study of the environmental dimension was less prominent (57%).



**Figure 6.** Sustainability dimensions addressed in papers that involved producers and consumers as participants. Note: Our elaboration.

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The next stage of our analysis involved some cross-tabulations to further understand how sustainability has been studied within AFNs literature in relation to the number of different participants and AFNs configurations targeted in papers. The first cross-tabulation (Table 6) shows the relationship between sustainability dimensions addressed in papers of our sample and the number of different participants involved in the studies. For papers that address the three main dimensions of sustainability, we can observe that the majority (43.5%) only involved one type of participant (from those cited in Table 5). As the number of types of participants involved in the studies increases, the number of papers addressing the three main dimensions decreases.

**Table 6.** Relationship between sustainability dimensions and amount of types of participants. Note: Our elaboration.

	Types of participants			
	One	Two	Three	>Four

<b>Sustainability dimensions</b>	Economic	3	1	0	0
	Social	1	1	1	0
	Social and economic	1	4	1	2
	Social and environmental	3	1	2	0
	Social, economic and environmental	10	5	4	4

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357 The second cross-tabulation (Table 7) displays the relationship between sustainability dimensions  
 358 addressed and the number of different types of AFNs arrangements researched in papers. The last  
 359 row shows that the majority of papers (76.5%) that address the three main dimensions of  
 360 sustainability only looked at one type of AFN (from those displayed in Table 3). Results also show  
 361 that papers that looked at more than five types of AFNs only addressed one dimension of  
 362 sustainability.

363

364 **Table 7.** Relationship between sustainability dimensions and number of types of AFNs studied.

365

Note: Our elaboration.

366

<b>Sustainability dimensions</b>		<b>Types of AFNs</b>			
		One	Two	Four	>Five
<b>Sustainability dimensions</b>	Economic	2	0	0	1
	Environmental	1	0	0	0
	Social	2	0	1	1
	Social and economic	3	1	1	0
	Social and environmental	4	1	0	0
	Social, economic and environmental	13	3	1	0

367

368 Lastly, a thematic analysis identified the main topics covered regarding the three dimensions of  
 369 sustainability. Some topics covered in relation to the economic dimension are local economy, income,  
 370 entrepreneurship, competitiveness, cultural economy, neoliberalism, consumer behavior, consumer  
 371 sovereignty, experience economy, diverse economies, retailing, moral economy, circular economy,  
 372 eco-economy, negotiation, ethical consumption and sharing economy. As suggested previously, the  
 373 social dimension of sustainability has received more attention than others in the context of AFNs  
 374 literature. Some topics studied in relation to the social dimension are models of labor, cooperation  
 375 and solidarity, social innovation, ethics, cultural capital, communication, corporate social  
 376 responsibility, embeddedness, social justice, food activism and social capital. Some prominent topics  
 377 in relation to the environmental dimension are ecology, organic agriculture, certification, agroecology  
 378 and landscape protection.

379

380 Thematic analysis also identified some of the frameworks used to examine sustainability in  
 381 AFNs. Frameworks include tactile spaces [26], development economics theory of urban bias [52], food  
 382 utopia [53], multifunctionality [54], political ecology [30], viable system model [55] and convention  
 383 theory [35,56]. It is also important to note that no frameworks for the assessment of the three  
 384 dimensions of sustainability based on indicators or metrics were used in the papers of our sample.

#### 385 4. Discussion

386 The first impression that emerges from the results of the present SLR is that the interest to pursue  
387 research related to sustainability within AFNs has significantly increased since 2015. It is also  
388 noticeable that most studies have focused on a single country, with the USA and countries in Europe  
389 accounting for 75% of the sample. Little research has been conducted in developing countries located  
390 in the Global South. Because sustainability is dependable on political, socio-economic and  
391 environmental regional characteristics, the current bias in geographical distribution of AFNs research  
392 may hinder the generalization of findings. Furthermore, the uniqueness of other AFNs arrangements,  
393 which is dependable on the context [23], may remain unexplored. Thus, it is believed that further  
394 research targeting Africa, Asia and Latin America, as well as cross-country research, is needed.

395 Based on the results emerging from the collected data, we can now start answering our research  
396 questions. The first research question proposed in the introduction of this paper was the following:  
397

398 *RQ1. What methodological approaches have been used in the study of sustainability within AFNs?*

399 The analyzed sample shows a predominance of empirical studies regarding sustainability in  
400 AFNs. A wide variety of research methods from different methodological backgrounds has been  
401 employed. However, the most common approach would be an empirical study using interviews and  
402 involving producers. Participant observation is another method that was used in many studies of our  
403 sample. Interestingly, this seems to be a method that lends itself to the study of AFNs. Some authors  
404 reported joining AFNs in order to collect data and gain in-depth insights.

405 The majority of empirical studies involved producers as participants addressing what could be  
406 termed as 'sustainable production'. Consumers were involved in less than half of the studies that  
407 looked at what we could call 'sustainable consumption'. Interestingly, only 22% of the papers  
408 involved both consumers and producers. In other words, only 22% of papers looked at both  
409 sustainable production and consumption within AFNs. For instance, one paper looks at sustainability  
410 of AFNs by studying a Community-Supported Agriculture (CSA) model from the perspective of  
411 producers only [22]. Another one looks at another CSA model but from the consumers' perspective  
412 instead [26]. We argue that the sustainability of AFNs may depend on both (and more) actors or  
413 stakeholders and therefore greater efforts are needed to ensure that sustainability of AFNs is studied  
414 through more holistic approaches. Such approaches may help uncover the complexity of  
415 interconnections by bringing together different perspectives.  
416

417 *RQ2. What types of AFNs have been studied in relation to sustainability?*

418 A wide variety of AFNs arrangements have been examined within our sample. Overall, 19  
419 different AFNs were identified. Even though the CSA model is the most common in our sample, it  
420 only appears in 15% of the papers. Farmers' markets, which are one of the most widespread,  
421 promoted and funded forms of AFNs [57-59], are included in only 13.7% of the papers.

422 Some papers in the sample [56,60,61] looked at one particular type of AFN that is particular to  
423 Italy, the Solidarity Purchasing Group (GAS). As would be expected, this type of AFN was studied  
424 by conducting research in Italy, its country of origin. The identification of an AFN that is native to a  
425 particular place or country within our sample further supports our previous argument regarding the  
426 need to conduct research in places that have not received attention yet.

427 Most recent papers [62,63] have started to examine Community Gardens as another form of  
428 AFN. This is often done in the context of urban agriculture. Another recent paper studied a Wild  
429 Food Network [64], which authors conceptualize as an emerging form of AFN.

430 In terms of the number of different types of AFNs examined in empirical papers, findings  
431 suggest that the majority (71%) of studies only look at one type of AFNs. Thus, the comparison of  
432 different types of AFNs within papers addressing sustainability is limited. This could mean that  
433 findings regarding sustainability in one specific type of AFN may not be transferable to other AFNs  
434 arrangements. We argue that there is an opportunity for future research to test findings from specific  
435 AFNs in other forms of AFNs. This could also be addressed by including the comparison of different  
436 types of AFNs in the research design.

437

438 *RQ3. What dimensions of sustainability (i.e. social, economic and environmental) have been studied*  
439 *within AFNs literature?*

440 From the results of this SLR, we can observe that 52% of papers in our sample consider the three  
441 main dimensions of sustainability. This means that almost half of the papers only address issues  
442 related to one or two dimensions of sustainability. These findings are in line with criticisms from  
443 Forssell and Lankoski [35] who suggest that more robust theories of sustainability within AFNs have  
444 not been developed because studies often focus on particular issues of sustainability.

445 Results from cross-tabulations show that almost half of the papers in our sample (43.5%)  
446 addressing the three main dimensions of sustainability only involve one type of participant.  
447 Furthermore, most papers (76.5%) addressing the three dimensions of sustainability only look at one  
448 type of AFNs. This further confirms our findings regarding limited comparison of types of AFNs in  
449 the context of sustainability. Interestingly, papers that look at only one dimension of sustainability  
450 tend to examine more types of AFNs.

451 Overall, the social dimension of sustainability has received more attention than others (95%),  
452 followed by the economic dimension (77%). Interestingly, the environmental dimension has been  
453 examined in only 65% of the papers within the sample. This is contrary to the rationale followed by  
454 sustainability assessment frameworks for 'conventional' food systems, which shows that priority is  
455 given to the environmental side of sustainability [65]. In their study, Schader et al. [65] reviewed  
456 thirty-five sustainability frameworks and found that all approaches examine the environmental  
457 dimension and only 54% look at the social dimension. Similar to our results, they report that 48% of  
458 their reviewed frameworks examine the three dimensions of sustainability.

459 Overall, there is an opportunity for future research to adopt a more comprehensive approach by  
460 examining the three main dimensions of sustainability, which are expected to be in balance [35,66].  
461 This would allow a closer examination of possible trade-offs among the three dimensions of  
462 sustainability in the context of AFNs.

463

## 464 5. Conclusions

465 The aim of this paper was to shed some light on how sustainability has been studied in AFNs  
466 literature. To this end, we examined the methodological approaches adopted, types of AFNs  
467 discussed, and sustainability dimensions investigated in journal articles. By conducting a SLR, we  
468 obtained a comprehensive view of the study of this topic. Furthermore, we were able to assess the  
469 validity of previous criticisms regarding sustainability in AFNs and propose opportunities for future  
470 research. However, we acknowledge limitations in our research. Because of the established inclusion  
471 and exclusion criteria, choice of database and choice of keywords, not all potential sources of  
472 information were included in the review. Our study also adopted the theoretical perspective that uses  
473 the concept of AFNs to characterize 'alternative' initiatives. Thus, other more recent theoretical  
474 perspectives and concepts were not adopted and investigated. Furthermore, the potential subjectivity  
475 introduced by the authors during the thematic analysis could be seen as a limitation too.

476 Our findings suggests that no frameworks based on metrics and indicators have been used for  
477 the evaluation of the three dimensions of sustainability in AFNs. This is surprising considering that  
478 AFNs are largely regarded as being more sustainable than the 'conventional' food system. This  
479 suggests that more efforts are needed to establish a common language of sustainability for the study  
480 of AFNs. There is a need to develop more transparent and comparable frameworks that can start to  
481 explore the sustainability assumptions within diverse AFNs. There is also an opportunity to test  
482 existing frameworks for the assessment of farming systems and supply chains and networks in the  
483 context of AFNs. This could shed some light on how AFNs are actually alternative or oppositional to  
484 the 'conventional' food systems in regards to sustainability. Although AFNs are supposed to enhance  
485 re-distribution of value for producers and to promote sustainable production, little attention is put  
486 on the value creation mechanisms [67,68].

487 We found that articles published from 2006 to 2017 have addressed the three dimensions of  
 488 sustainability to different degrees and have adopted a variety of methodological approaches.  
 489 However, we also identified the need to adopt more holistic research approaches that allow the  
 490 evaluation of trade-offs and balance among the social, economic and environmental sustainability  
 491 within AFNs. From the cross-tabulation analyses, we can conclude that the number of papers  
 492 addressing the three dimensions of sustainability and involving more than two stakeholders is very  
 493 limited. Similarly, the number of papers addressing the three dimensions of sustainability and using  
 494 more than one type of AFNs is scarce. We encourage efforts that look at the economic, social and  
 495 environmental dimensions of sustainability in a balanced and integrated manner within different  
 496 types of AFNs, considering the point of view of all main stakeholders. Lastly, we stress the  
 497 importance of investigating the sustainability of AFNs in developing countries, which seems largely  
 498 overlooked in the journals we considered.

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 500 and editing, R.M.V., M.H., M.C., I.B.; supervision, M.H.; visualization, R.M.V., M.H., M.C., I.B.; project  
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504

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507

## 508 Appendix A

509 Table A1. The 61 papers included in the final sample of our SLR

510

### Papers

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