

1 Article

2 STUDY OF THE WATER QUALITY OF A 3 TROPICAL RESERVOIR

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13 **ABSTRACT:** A study of the water quality of the Adolfo López Mateos Reservoir (ALMD) was
14 developed through different indicators from a spatial and seasonal perspective. Variables related to
15 the general characteristics of water quality, trophic level and ecological risk were assessed through
16 the water Quality Index (WQ_{INSF-BROWN}), Trophic State Index (TSI_{CARLSON}) and the Ecological Risk
17 Index (RI_{HAKANSON}). Using data from physical, chemical and biological parameters obtained from
18 four sampling points in the ALMD, the water quality was assessed in each model used. The results
19 indicated that the reservoir presents a water quality classified as "medium" (WQ_{INSF-BROWN} = 70),
20 where significant variations in the concentrations of some parameters are observed. The reservoir
21 showed a general trophic state classified as "Mesotrophic" (TSI_{GENERAL-AVERAGE} = 43.04). The ecological
22 risk analysis achieved the best classification of the methodology, discarding contamination by heavy
23 metals in surface waters. Through this type of applied methodologies will help as decision making
24 tools in the dam, as well as for application in other dams in the region.

25 **Keywords:** Tropical reservoir; water quality index (WQI); trophic state index (TSI); ecological risk
26 index (ERI); ecological risk assessment (ERA)
27

28 1. Introduction

29 Water resources are dynamically influenced by several factors such as human, agricultural and
30 industrial activities. For this reason waterbodies show a poor water quality [1]. The assessment of
31 water quality is obtained through the study of physical, chemical and biological components, which
32 are related to natural phenomena, human effects and their possible uses. The reservoirs play a
33 fundamental role from the ecological point of view. Therefore, a poor water quality puts in risk the
34 sustainability and survival of the ecosystems and their species [2, 3].

35 The contamination of waterbodies is commonly affected due to the increase in contaminant
36 concentrations as a consequence of agrochemical abuse, upstream mining leachates, the use of
37 herbicides in anti-narcotics campaigns, domestic waste and discharges of wastewaters, as well as the
38 presence of species of aquatic lily produced by eutrophication in reservoirs [4, 5]. Contaminants
39 entering a water body naturally or anthropogenically are retained in sediments and deposited at the
40 bottom of these aquatic ecosystems, causing toxic effects on aquatic systems. These deposits are of a
41 great scientific value since they retain a historical record of the type of pollution that has taken place

42 in the surrounding areas. Besides, when the sediments interact with the surface water currents, they
43 move the retained contaminants and alter the general dynamics of the water mass [6]. Thus,
44 variations in pH, salinity and the properties of the redox potential can cause the mobilization and
45 resuspension of chemical particles accumulated in the sediments, magnifying even its toxic effect,
46 such as the case of heavy metals. Heavy metals are largely derived from anthropogenic sources, such
47 as domestic, agricultural and industrial waste and constitute a danger to aquatic biota and human
48 beings, as well as an environmental deterioration factor [7].

49 The monitoring and evaluation of water quality involve an analysis of various parameters that
50 evidence the degree of alteration of natural variations of a waterbody. These variations are analyzed
51 through indicators that quantify water quality for a given use from a holistic perspective [8, 9].
52 However, the efforts made in the literature only show applications from a specific perspective for
53 each indicator, considering only partial diagnoses of water quality that can be affected by various
54 sources of pollution such as eutrophication or contamination by heavy metals. Different
55 mathematical tools are proposed in order to integrate indicators that show a broader diagnosis
56 through the application of multiparameter indicators in tropical water bodies. One of them is the
57 Water Quality Index (WQI), which represents a numerical classification involved in the decision
58 making of water resources to diagnose the spatial and temporal variation of contaminants and
59 facilitating the treatment and analysis of a large amount of data [10, 11]. The most commonly method
60 used for the computation of the WQI is the one proposed by Brown and the NSF (National Sanitation
61 Foundation) [12-15].

62 Another tool is the Trophic State Index (TSI), which is used to define the trophic status of a
63 waterbody. The Carlson TSI considers the annual average values of transparency by using the depth
64 of Secchi disk, surface concentrations of total phosphorus and chlorophyll- α [16-18]. Finally, the
65 Ecological Risk Index (ERI), developed by Håkanson [19], is used to calculate the toxicity coefficient
66 of various metallic elements [20-23]. The integration of metric tools in the evaluation of the water
67 quality allows extending the assessment of water quality of aquatic ecosystems from several
68 perspectives [24].

69 Multiparametric studies in water bodies show a broader picture than works that only consider
70 water quality parameters analyzed in insolation. Due to the scarce information in the implementation
71 of various indices in tropical water bodies, this work aims to undertake a comprehensive study of
72 water quality by implementing the WQI, TSI and ERI indexes, considering physical, chemical and
73 biological parameters, those concerning to eutrophication and some that represent ecological risk for
74 the Adolfo López Mateos Reservoir (ALMD).

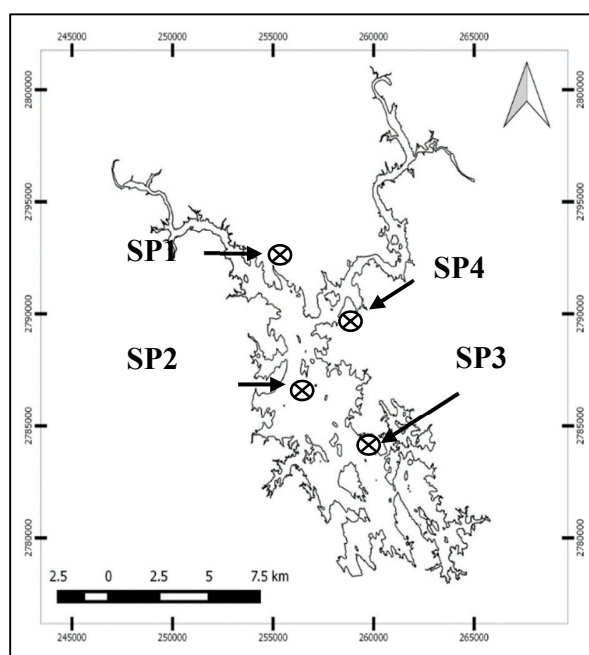
75 2. MATERIALS Y METHODS

76 2.1. *Study area*

77 Adolfo López Mateos (ALMD) is located in the state of Sinaloa to the north of Mexico (25° 05'
78 25" North Latitude and 107° 23' 00" West Longitude). ALMD is one of the most important tropical
79 reservoirs in the region and contributes to the water supply for the production of agricultural foods,
80 being the region with the largest export in the country. This reservoir is the largest in surface
81 extension (11.354 Ha) and has a conservation capacity of 3.087 Mm³. Therefore, this reservoir is
82 essential for irrigating the most important agricultural valley in Mexico [25]. The modification of the
83 environment by the construction of hydraulic systems for the control of avenues, logging and
84 accelerated deforestation, construction of roads, dismantling and diversion of currents can change
85 the water quality of the reservoir [26].

86 This work was carried out using data provided by the National Water Commission of Mexico
 87 (government agency which is the watershed operator). This information was obtained every six
 88 months in ALMD through the National Monitoring Network. For this work, the physicochemical and
 89 biological data consisting of the period of 2012-2017 were used. The monitoring was conducted
 90 biannually at 4 sampling points of the ALMD (Figure 1). The sampling, transportation and
 91 preservation of samples were carried out in accordance with standard methods. The samples were
 92 analyzed in an accredited laboratory by the Mexican Accreditation Entity, based on international
 93 standard methods for water analysis [27].

94



95

96

Figure 1. Sampling points of the PALM.

97 2.2. Water Quality Index

98 To simplify the interpretation of the physicochemical and biological parameters of ALMD, the
 99 NSF-brown Water Quality Index ($WQI_{NSF-BROWN}$) was used [28]. The $WQI_{NSF-BROWN}$ showed the water
 100 quality state of the reservoir by using the averages of the concentrations obtained from 9 parameters
 101 of water quality for seven years (2012-2017) in 4 sampling points (SP1-SP4). A linear mathematical
 102 model (Equation 1) was used in order to calculate $WQI_{NSF-BROWN}$. This model involves the use of nine
 103 water quality parameters: Fecal coliforms (FC), Biochemical oxygen Demand (BOD_5), nitrates (NO_3),
 104 phosphates (PO_4^-), hydrogen potential (pH), dissolved oxygen (DO), total dissolved solids (TDS),
 105 temperature (Tem) and turbidity (Tur). Weights (w_i) were assigned for each parameter and the
 106 quality distributions (Sub_i) were used based on the established by the aforementioned methodology.

$$107 \quad WQI_{NSF-BROWN} = \sum_{i=1}^9 (Sub_i * w_i) \quad (1)$$

108 Where w_i is the relative weight assigned to each parameter weighted between 0-1. The sum of
 109 the 9 weights must be equal to 1 (Table 1). The value Sub_i represents the score obtained as a function
 110 of water quality parameter. This value depends on the probability distribution for each parameter.

111 The mean value of each water quality parameter represents a score between 0 and 100. The higher
 112 the score is, the better the quality of water. The index result was classified according to the scale
 113 proposed by the National Sanitation Foundation (NSF) (Excellent = 91-100; Good = 71-90; Fair = 51-
 114 70; Marginal= 26-50; Poor = 0-25) [28].

115 **Table 1.** Water quality variables used in the WQINSF-BROWN calculation.

Parameter	Units	Weight (wi)
FC	CFU/100 mL	0.15
pH	pH units	0.12
BOD ₅	mg/L	0.1
NO ₃ ⁻	mg/L	0.1
PO ₄ ⁻	mg/L	0.1
Tem	°C	0.1
Tur	NTU	0.08
TDS	mg/L	0.08
DO	mg/L	0.17

116 2.3. Trophic State Index

117 The criteria for evaluating eutrophication in a waterbody consider the analysis of nutrient
 118 concentrations, the amount of chlorophyll a (Chla) and total phosphorus (TP), as well as the
 119 transparency (Tra) with Secchi Disk [29, 30]. The classification of trophic state is based on the nutrient
 120 that represents a primary production limitation. In most of the cases, the limiting nutrient is
 121 phosphorus in waterbodies, in particular in those located in tropical regions [11, 31-33]. The
 122 evaluation of eutrophication in ALMD was carried out using the Carlson Trophic State Index [34]
 123 (TSI_{CARLSON}). The scale of the TSI_{CARLSON} varies between 0-100, where; TSI_{CARLSON} < 30 = oligotrophic,
 124 30 < TSI_{CARLSON} < 60 = Mesotrophic, 60 < TSI_{CARLSON} < 90 = eutrophic and 90 < TSI_{CARLSON} < 100 =
 125 hypertrophic. TSI_{CARLSON} is obtained by calculating the mathematical equations established in
 126 Equations 2 to 5 using the mean values of the parameters that compose the index; Transparency
 127 (TSI_{Tra}) determined by measuring the Secchi Disk depth, while chlorophyll concentration (TSI_{Chla}) and
 128 Total Phosphorus (TSI_{TP}) were carried out using standard methodologies [27]. Ln is the calculation
 129 of the natural logarithm.

$$130 \quad TSI_{Chla} = 9.81 \ln \left[Chla \left(\frac{mg}{L} \right) \right] + 30.6$$

131 (2)

$$132 \quad TSI_{Trans} = 60 - 14.4 \ln [Tra (m)]$$

133 (3)

$$134 \quad TSI_{TP} = 14.42 \ln \left[TP \left(\frac{mg}{L} \right) \right] + 4.15$$

135 (4)

$$136 \quad TSI_{CARLSON} = \frac{[TSI_{Chla} + TSI_{Tra} + TSI_{TP}]}{3}$$

137 (5)

138 2.4. Ecological Risk Index

139 The pollution produced by high levels of heavy metals in water bodies has been a major concern
 140 for the scientific community because of the impact of toxicity levels on aquatic ecosystems ecology
 141 [35, 36]. The content of harmful metals in the water increases significantly due to the discharge of
 142 industrial waste, the combustion of fossil fuels, domestic wastewater, water transport and
 143 agricultural irrigation [37]. An ecological risk assessment is the process used to verify how the
 144 environment is affected as a result of exposure to one or more environmental stressors such as
 145 chemicals, land change, disease, invasive species and climate change [38]. When an aquatic ecosystem
 146 is exposed to contaminants, waterbodies should be analyzed from various components, including
 147 physical, chemical and biological parameters, and the amount of metals influenced by some
 148 hydrological factors such as dispersion, advection and dilution or by some geochemical processes
 149 such as adsorption-desorption, precipitation and diffusion [39]. In this study, the Ecological Risk
 150 Index (ERI) was used as a fast and practical tool for environmental risk assessment in ALMD. This
 151 methodology is proposed by Håkanson [19] and suggests the classification of contamination in a
 152 waterbody and the identification of the toxic substances in order to take actions for the control and
 153 mitigation of this contamination [40-42]. Ecological Risk Index is based on the Equations 6 and 7:

$$154 \quad RI_{\text{HÅKANSON}} = \sum_{i=1}^5 Er^i = \sum_{i=1}^5 Tr^i * C_f^i \quad (6)$$

$$155 \quad C_d = \sum_{i=1}^5 C_f^i = \sum_{i=1}^5 \frac{\bar{C}_{0-1}^i}{C_n^i} \quad (7)$$

157 Where $RI_{\text{HÅKANSON}}$ is the ERI presented by the waterbody, Er^i the factor of the potential
 158 ecological risk and Tr^i the toxic response factor of a given substance ($i = \text{mg/L}$). ERI is defined as the
 159 sum of all the risk factors (Er^i). On the other hand, it is also necessary to calculate the degree of
 160 pollution (C_d) by obtaining the factor of pollution of each metal (C_f^i). This factor is obtained by the
 161 ratio between the mean concentration of the metal (\bar{C}_{0-1}^i) and the reference concentration given by
 162 the Standard Preindustrial Impact (C_n^i) (Table 2).

163 **Table 2.** Rating scale for Er^i and $RI_{\text{HÅKANSON}}$.

Er^i	Potential ecological risk for substance
< 40	Low
$40 \leq Er^i < 80$	Moderate
$80 \leq Er^i < 160$	Considerable
$160 \leq Er^i < 320$	High
≥ 320	Very high
RI	Ecological risk for lake/basin
< 150	Low
$150 \leq RI < 300$	Moderate
$300 \leq RI < 600$	Considerable
$RI \geq 600$	Very high

164 For the calculation of each Tr^i value, the equations of the toxic-response of the methodology
 165 were considered ($HG = 40 * 5/\text{BPI}$, $Cd = 30 * \sqrt{5}/\sqrt{\text{BPI}}$, $As = 10$, $Pb = Cu = 5$ and $Cr = 2 * \sqrt{5}/\sqrt{\text{BPI}}$. Each
 166 C_f^i was obtained by dividing the mean concentration of the heavy metals (\bar{C}_{0-1}^i), where the reference
 167 values given by the methodology (C_n^i) were: $Hg = 0.25$, $Cd = 1.0$, $As = 15$, $Pb = 70$ and $Cr = 90$).

168 3. RESULTS AND DISCUSSION

169 3.1. Selection of parameters

170 ALMD is under the supervision of the national militia to safeguard the integrity of the reservoir
171 with the support of CONAGUA, which is the operator responsible for the control of facilities and the
172 monitoring of the water quality parameters. The water quality data were analyzed from a temporal
173 and spatial perspective studying the behavior of the parameters for the determination of each index.
174 By integrating components that define the health of reservoirs using multiparameter measurements
175 from various perspectives, a better diagnosis is obtained in the extension of the water quality
176 assessment. Unlike recent classical non-multiparameter studies that only evaluate WQI, TSI and ERI
177 from a specific point of view [43-45], the proposed multiparametric study opens the gap by
178 considering a panorama that deepens the understanding of those natural intrinsic processes or
179 anthropogenic that impact on the quality of reservoir water over time from a global context. Due to
180 the nulls efforts to integrate multiparameter parameter analysis tools, a series of physical, chemical
181 and biological parameters (Table 3) were used for the computation of $WQI_{INSF-BROWN}$. Aspects related
182 to the eutrophication of the reservoir were addressed in $TSI_{CARLSON}$ and the toxicity of some heavy
183 metals was assessed through the ecological risk analysis ($RI_{HÅKANSON}$). A Pearson correlation analysis
184 was also carried out to identify the degree of correlation between variables with respect to the water
185 quality of ALMD. The bold numbers represent the variables that had more significant correlation (P
186 < 0.05) (Table 4).

Table 3. Parameters of water quality used in the ERA.

Ecological indexes	Parameter	Units	Temporal variation average						Spatial variation average				Mean	Max value	Min value	Standard deviation
			2012	2013	2014	2015	2016	2017	SP1	SP2	SP3	SP4				
WQI _{NSF-BROWN}	FC	CFU/100 mL	206	2127	221	598	127	992	729	661	851	759	834	5794	1	1275.5
	pH	pH units	7.58	7.37	7.76	7.71	8.26	9.25	8.05	7.98	8.07	8.01	7.91	9.5	7.07	0.62
	BOD ₅	mg/L	6.02	2.66	5.38	3.03	2.0	3.46	3.63	3.64	3.53	3.43	3.45	9.7	2	2.3
	NO ₃ ⁻	mg/L	0.01	0.24	0.04	0.02	0.01	0.02	0.07	0.07	0.04	0.07	0.06	0.59	0.001	0.15
	PO ₄ ⁻	mg/L	0.04	0.03	0.02	0.03	0.01	0.02	0.03	0.03	0.02	0.02	0.02	0.09	0.001	0.02
	Tem	°C	32.4	31.2	29.1	28.8	30.7	29.2	29.9	30.1	29.8	30.1	29.69	33.8	24.5	2.73
	Tur	NTU	2.1	22.1	1.8	1.6	2.2	3.3	6.5	8.9	2.5	5.4	6.31	74	0.88	13.10
	TDS	mg/L	119	117	147	97	109	101	119	116	111	115	115	156	82	21.45
TSI _{CARLSON}	DO	mg/L	3.65	5.07	7.28	6.77	9.63	9.90	7.50	7.20	7.54	7.22	7.3	12.1	2.93	2.62
	Chla	mg/m ³	2.4	18.1	4.03	12.7	4.2	3.5	8.5	7.62	11.3	6.07	9.2	37.3	0.05	9.5
	Tra	m	2.4	0.63	1.8	2.7	1.12	1.39	1.51	1.65	1.71	1.62	1.6	3.4	0.4	0.86
	TP	mg/L	0.07	0.25	0.06	0.08	0.10	0.04	0.10	0.11	0.09	0.11	0.11	0.52	0.02	0.12
RI _{HÅKANSON}	As	mg/L	0.0014	0.0028	0.0023	0.0014	0.0014	0.0036	0.0014	0.0032	0.0014	0.0022	0.0020	0.0127	0.0014	0.0024
	Cd	mg/L	0.0002	0.0002	0.0002	0.0002	0.0002	0.0002	0.0002	0.0002	0.0002	0.0002	0.0002	0.0002	0.0002	1.37E-19
	Cr	mg/L	0.0003	0.0067	0.0003	0.0003	0.0011	0.0003	0.0014	0.00153	0.00181	0.0022	0.0017	0.0154	0.0003	0.0037
	Hg	mg/L	0.0001	0.0005	0.0001	0.0002	0.0001	0.0001	0.00016	0.00016	0.0003	0.00015	0.00019	0.0013	0.0001	0.00025
	Pb	mg/L	0.0015	0.0015	0.0015	0.0015	0.0015	0.0015	0.0015	0.0015	0.0015	0.0015	0.0015	0.0015	0.0015	8.78E-19

Table 4. Pearson correlation matrix for water quality parameters.

Parameter	Chla	Tra	TP	FC	BOD ₅	NO ₃ ⁻	pH	TDS	DO	PO ₄ ⁻	Tur
Tra	-0.0714										
TP	0.1035	-0.3557									
FC	0.1865	-0.4641	0.5241								
BOD ₅	-0.0096	0.3272	-0.1678	-0.1757							
NO ₃ ⁻	0.1508	-0.3848	0.89	0.6818	-0.0806						
pH	-0.1622	-0.2232	-0.3966	0.0392	-0.213	-0.3271					
TDS	-0.2484	-0.0968	-0.031	-0.1798	0.2839	0.0908	-0.3352				
DO	-0.2632	-0.1937	-0.174	-0.1482	-0.1767	-0.2293	0.7597	-0.3385			
PO ₄ ⁻	0.3632	0.3133	0.3395	0.1128	0.2151	0.4045	-0.2635	0.0561	-0.3643		
Tur	0.1846	-0.4621	0.7583	0.826	-0.0798	0.8493	-0.2597	0.0237	-0.2985	0.2702	
Tem	-0.1783	-0.2145	0.1391	-0.0985	-0.3134	0.0526	-0.3628	0.4309	-0.4706	-0.0406	0.0617

191 3.2. Water Quality Index

192 To calculate the $WQI_{NSF-BROWN}$, each subscript (Sub_i) of the nine parameters that compose the
 193 methodology [28] was calculated through the probability distributions that express the water quality
 194 related to the concentration means obtained each year (Table 5) and for each sampling point (SP)
 195 (Table 6). The value obtained from probability distribution (Sub_i) was multiplied by the weight (W_i).
 196 WQI_{Value} ($Sub_i * W_i$) was calculated for each parameter and these values were added ($\sum WQI_{Value}$) to
 197 calculate the $WQI_{NSF-BROWN}$ (Table 7).

198 **Table 5.** Determination of ICA_{value} by year.

Year	Sub	Parameters								
		FC	pH	BOD ₅	NO ₃ ⁻	PO ₄ ⁻	Tem	Tur	TDS	DO
2012	<i>Sub</i> ₂₀₁₂	83.89	100	30.12	100	100	10	94.48	65.47	17.42
	<i>Sub</i> ₂₀₁₂ * <i>w_i</i>	12.58	12	3.01	10	10	1	7.56	5.24	2.96
2013	<i>Sub</i> ₂₀₁₃	12.49	100	58.85	100	100	10	62.21	66.24	45.34
	<i>Sub</i> ₂₀₁₃ * <i>w_i</i>	1.87	12	5.89	10	10	1	4.98	5.30	7.71
2014	<i>Sub</i> ₂₀₁₄	82.65	100	34.22	100	100	10	96.8	56.65	77.56
	<i>Sub</i> ₂₀₁₄ * <i>w_i</i>	12.40	12	3.42	10	10	1	7.74	4.53	13.19
2015	<i>Sub</i> ₂₀₁₅	56.90	100	54.67	100	100	12.14	99.22	73.68	71.34
	<i>Sub</i> ₂₀₁₅ * <i>w_i</i>	8.54	12	5.47	10	10	1.21	7.94	5.89	12.13
2016	<i>Sub</i> ₂₀₁₆	90.78	87.38	67.12	100	100	10	93.26	69.08	96.81
	<i>Sub</i> ₂₀₁₆ * <i>w_i</i>	13.62	10.49	6.71	10	10	1	7.46	5.53	16.46
2017	<i>Sub</i> ₂₀₁₇	38.5	52.28	50.14	100	100	10	87.15	71.99	98.04
	<i>Sub</i> ₂₀₁₇ * <i>w_i</i>	5.78	6.27	5.01	10	10	1	6.97	5.76	16.67

199 **Table 6.** Determination of WQI_{value} by sampling point (SP).

SP	Sub	Parameters								
		FC	pH	BOD ₅	NO ₃ ⁻	PO ₄ ⁻	Tem	Tur	TDS	DO
SP1	<i>Sub</i> _{SP1}	49.97	97.44	48.50	100.00	100.00	10.00	77.31	65.79	80.02
	<i>Sub</i> _{SP1} * <i>w_i</i>	7.50	11.69	4.85	10.00	10.00	1.00	6.19	5.26	13.6
SP2	<i>Sub</i> _{SP2}	53.42	100.00	48.50	100.00	100.00	10.00	73.11	66.81	76.64
	<i>Sub</i> _{SP2} * <i>w_i</i>	8.01	12.00	4.85	10.00	10.00	1.00	5.85	5.35	13.03
SP3	<i>Sub</i> _{SP3}	44.26	96.43	49.48	100.00	100.00	10.00	91.49	68.46	80.46
	<i>Sub</i> _{SP3} * <i>w_i</i>	6.64	11.57	4.95	10.00	10.00	1.00	7.32	5.48	13.68
SP4	<i>Sub</i> _{SP4}	48.51	100	52.32	100.00	100.00	10.00	78.77	67.12	76.05
	<i>Sub</i> _{SP4} * <i>w_i</i>	7.28	12.00	5.23	10.00	10.00	1.00	6.30	5.37	12.93

200 **Table 7.** Temporal and spatial TSI of ALMD.

Parameters	Temporal variation						Spatial variation			
	2012	2013	2014	2015	2016	2017	SP1	SP2	SP3	SP4
TSI _{Chla}	39.53	59.01	44.27	55.60	44.69	42.93	51.65	50.53	54.44	48.29
TSI _{Tra}	47.31	66.48	51.53	45.23	58.30	55.24	54.02	52.73	52.26	53.00
TSI _{TP}	22.38	40.31	19.68	24.53	26.88	14.54	27.46	28.52	26.37	28.01
TSI _{CARLSON}	36.41	55.27	38.50	41.79	43.29	37.57	44.37	43.92	44.36	43.10
TSI _{SPATIAL-AVERAGE}	43.94									
TSI _{TEMPORAL-AVERAGE}	42.14									
TSI _{GENERAL-AVERAGE}	43.04									

201

202 The mean spatial (SP1-SP4) and temporal (2012-2017) variations of the $WQI_{NSF-BROWN}$ classify the
 203 water quality of ALMD as "medium" ($WQI_{NSF-BROWN}=70$) (Table 7). The superficial reservoirs that have
 204 a "medium" classification for water quality present a moderate increase in the concentration of
 205 pollutants [46]. In the temporal variation, fluctuations of the $WQI_{NSF-BROWN}$ of 59-81% are observed
 206 during the 6 year period of study. This significant variation is due to the significant increase in the

207 mean values of CF (2127 CFU/100 mL), Tur (22.18 NTU) and DO (5.07 mg/l). The best water quality
208 was observed in 2016, with a $WQI_{NSF-BROWN}$ of 81 (CF = 127 CFU/100 mL, Tur = 3.34 NTU and DO =
209 9.63 mg/L) (Table 3). The presence of high warm temperatures significantly influences the decrease
210 in the score for the sub_i , both in the lower condition found (2013; Tem = 31.28 °C) as the highest one
211 (2016; Tem = 30.7 °C) (Table 3). From a temporal point of view, a significant variation was observed
212 in this study (2016 $WQI_{NSF-BROWN}$ = 81; 2017 $WQI_{NSF-BROWN}$ = 67), which is attributed to the increase in
213 the concentrations of FC (992 CFU/100 mL) and BOD₅ (3.46 mg/L) (Table 3). In years 2012 (WQI_{NSF-}
214 $BROWN$ = 64), 2013 ($WQI_{NSF-BROWN}$ = 59) and 2017 ($WQI_{NSF-BROWN}$ = 67), water quality of ALMD was
215 classified as "medium", while in 2014 ($WQI_{NSF-BROWN}$ = 74), 2015 ($WQI_{NSF-BROWN}$ = 73) and 2016 (WQI_{NSF-}
216 $BROWN$ = 81), this reservoir showed a "Good" water quality (Table 7). NO₃⁻ showed a variation of 0.01-
217 0.24 mg/L and PO₄⁻ of 0.01-0.04 mg/l (Table 3). The parameters with the highest value of sub_i during
218 the period of study were pH and Tur, with a mean value of 89.94% and 88.85%, respectively. On the
219 other hand, the lower mean percentages were attributed to Tem (10.35%), BOD₅ (49.18%), FC (60.86%)
220 and TDS (67.18%) (Table 5).

221 Water quality index values ($WQI_{NSF-BROWN}$) ranged from 70 to 71 (Table 7) in the four sampling
222 points. A slight increase in the concentrations of FC was observed in the four sampling points (Table
223 3). The reservoir showed a homogeneous distribution of contaminants in the 4 sampling points for
224 BOD₅ parameters (3.25-3.63 mg/L) and TDS (111-119 mg/L). Warm temperatures were also observed
225 in AMLD, ranging from 29.79 to 30.05 °C (Table 3). Due to the homogeneous behavior of water quality
226 parameters from a spatial point of view, the water quality was maintained as "medium" classification
227 according to Brown et al., [28]. On the other hand, due to the decrease of Tur (2.54 NTU) and TDS
228 (111 mg/L), and the increase of OD concentration (7.54 mg/L) (Table 3), the reservoir was classified
229 as "Good" in SP3 (Table 7). Turbidity and TDS are related to the dissolved solids and particulate
230 matter. Therefore, a reduction of these parameters increases the penetration of sunlight that
231 originates the photosynthetic processes and the balance of primary productivity, improving the
232 health conditions in the oxygenation of the reservoir [47]. The reservoirs classified in the category
233 "Good" represent suitable conditions for the proper functioning of the ecosystem and the trophic
234 chain [48]. The variables with the highest score in the spatial evaluation are attributed to NO₃⁻ and
235 PO₄⁻. Values of 100% were found for the sub_i of each sampling point. This situation could be related
236 to the low levels of these nutrients found in AMLD.

237 In AMLD, the main pollutants distributed along the water body correspond to particulate matter
238 originated by organic material and toxic inorganics coming from natural or anthropogenic activities
239 [49]. This type of contaminants that are scattered evenly in the four sampling points and with
240 significant variations in the temporal evaluation are regulated by the diffusion-advection processes
241 [50]. In this way, the spatial and temporal analysis show that the parameters with the most significant
242 changes in the index are due to the variation of the concentration of FC, BOD₅, Tur, TDS and Tem.
243 On the other hand, the parameters that showed the highest scores in the sub_i obtained were; NO₃⁻ and
244 PO₄⁻, with concentrations less than 0.24 mg/L and 0.04 mg/L, respectively (Table 3).

245 3.3. Trophic State Index

246 The mean concentrations of Chl_a and TP, and the Tra values were used to obtain the $TSI_{CARLSON}$
247 (Table 8) by using the Eq. 2 – Eq. 5 [51]. According to these equations, the trophic level of ALMD is
248 "Mesotrophic" (30 < $TSI_{CARLSON}$ < 60). Spatial ($TSI_{SPATIAL-AVERAGE}$ = 43.94) and temporal ($TSI_{TEMPORAL-}$
249 $AVERAGE}$ = 42.14) values are consistent with this classification. A spatial analysis showed that $TSI_{CARLSON}$
250 does not present significant variation between the four sampling points analyzed. These values
251 oscillated between 43.10 and 44.37, with a difference of 1.27%. Chl_a is a key biochemical component
252 in the cellular process of biomass production through the consumption of nutrients (nitrogen and

253 phosphorus) responsible for the photosynthesis process [52, 53]. The variation of Chla was from 6.07
254 to 11.3 mg/m³, with a significant increase of 46% in this concentration between samples points SP3
255 and SP4. The values of Tra varied between from 1.51 to 1.71 m (Table 3). A positive Pearson
256 correlation was observed between Chla and PO₄, (Table 4). Despite the high variation of Chla, low
257 concentrations of TP (0.09 – 0.11 mg/L) and NO₃⁻(0.04 – 0.07 mg/L) were observed (Table 3). This
258 situation limited the overproduction of biomass from the reservoir, which explains the slight
259 variation observed for the spatial Trophic Status Index: SP4 (43.10) < SP2 (43.92) < SP3 (44.36) < SP1
260 (44.37). The trophic status of AMLD at each sampling point is mainly attributed to; TSI_{Tra} (53) > TSI_{Chla}
261 (51.22) > TSI_{TP} (27.59).

262

263

Table 8. Temporal and spatial evaluation of WQI_{NSF-BROWN} in ALMD.

Parameters	Temporal variation						Spatial variation			
	2012	2013	2014	2015	2016	2017	SP1	SP2	SP3	SP4
FC	12.58	1.87	12.40	8.54	13.62	5.78	7.50	8.01	6.64	7.28
pH	12	12	12	12	10.49	6.27	11.69	12	11.57	12
BOD ₅	3.01	5.89	3.42	5.47	6.71	5.01	4.85	4.85	4.95	5.23
NO ₃ ⁻	10	10	10	10	10	10	10	10	10	10
PO ₄ ⁻	10	10	10	10	10	10	10	10	10	10
Tem	1	1	1	1.21	1	1	1	1	1	1
Tur	7.56	4.98	7.74	7.94	7.46	6.97	6.19	5.85	7.32	6.3
TDS	5.24	5.3	4.53	5.89	5.53	5.76	5.26	5.35	5.48	5.37
DO	2.96	7.71	13.19	12.13	16.46	16.67	13.60	13.03	13.68	12.93
WQI _{NSF-BROWN}	64	59	74	73	81	67	70	70	71	70
WQI _{SPATIAL-AVERAGE}	70									
WQI _{TEMPORAL-AVERAGE}	70									
WQI _{GENERAL-AVERAGE}	70									

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266

267 On the other hand, the temporal variation showed that the year with the highest incidence in
 268 the index occurred in 2013 ($TSI_{CARLSON} = 55.27$), with a difference of 18.86% compared with the highest
 269 score; 2012 ($TSI_{CARLSON} = 36.41$). The lowest values of TSI_{Chla} (59.01), TSI_{Tra} (66.48) and TSI_{TP} (40.31)
 270 were obtained in 2013, while the optimal values are attributed to 2012 ($TSI_{Chla} = 39.53$), 2015 ($TSI_{Tra} =$
 271 45.23) and 2017 ($TSI_{TP} = 14.54$). PT showed significant correlation ($p < 0.05$) with FC (0.5241), NO_3^- -
 272 (0.89), pH (-0.3966), PO_4^- (0.3395) and Tur (0.7583), while Tra was significantly correlated with PT (-
 273 0.3557), FC (-0.4641), BOD_5 (0.3272), NO_3^- (-0.3848), PO_4^- (-0.3133) and Tur (-0.4621) (Table 4). TP is often
 274 correlated with Tra and therefore, a doubling in the TP concentration is attributed to a reduction of
 275 half the depth of Secchi Disk. A similar behavior is observed for Chla [34]. Thus, the increase in
 276 concentrations of some pollutants related to nitrogenous and phosphorous compounds, as well as
 277 biodegradable particulate matter and bacteria, increase the turbidity in the water [54]. The increase
 278 of turbidity prevents biochemical processes of natural self-purification, which needs the solar light
 279 [49]. The main contaminants that indirectly influence the trophic status of ALMD are due to diffuse
 280 sources attributed to productive activities generated in the region as livestock (FC = 2127 CFU/100
 281 mL), biodegradable and non-biodegradable particulate material product of soil erosion and
 282 vegetation deforestation ($BOD_5 = 6.02$ mg/L), as well as the use of fertilizers in agriculture ($NO_3^- = 0.24$
 283 mg/L) that originate the turbidity in the water of ALMD (Tur = 22.1 NTU) (Table 3). A high positive
 284 significant correlation between FC and Tur (0.826) and a medium correlation between FC and NO_3^- -
 285 were found in this study. This last correlation could be explained as following: these bacteria are
 286 thermotolerant fecal coliform organisms and are responsible for performing biochemical metabolism
 287 to degrade organic matter and produce the nitrogen by releasing NO_3^- in water [55]. The coliform
 288 density in ALMD during 2012 (206 CFU/100 ml) and 2013 (2127 CFU/100 ml) increased the presence
 289 of NO_3^- from 0.01 to 0.24 mg/L from 2012 to 2013 (Table 3).

290 3.4. Ecological Risk Index

291 The degree of pollution (C_d) of a substance is determined by calculating the pollution factor (C_i)
 292 for each heavy metal analyzed (Table 9). Subsequently, the potential ecological risk factor (Er^i) was
 293 calculated to quantitatively express the temporal and spatial response of ALMD by means of
 294 Ecological Risk Index (RI) (Table 10).

295 **Table 9.** Determination of ERI in ALMD.

Parameter	Tr^i	Degree of contamination (C_d)		
		Temporal (2012-2017)	Spatial (SP1-SP4)	General
As	15	0.00086	0.00054	0.00140
Cd	1	0.0012	0.0008	0.0020
Cr	90	0.0001	0.00007	0.00017
Hg	0.25	0.0044	0.0030	0.0074
Pb	70	0.00012	0.00008	0.00021

296

297 **Table 10.** Determination of *RI* in ALMD

Parameter ($Tr^i * C_j^i$)	Temporal variation						Spatial variation			
	2012	2013	2014	2015	2016	2017	SP1	SP2	SP3	SP4
As	0.0009	0.0018	0.0015	0.0009	0.0009	0.0024	0.0009	0.0021	0.0009	0.0014
Cd	0.0060	0.0060	0.0060	0.0060	0.0060	0.0060	0.0060	0.0060	0.0060	0.0060
Cr	0.000007	0.00014	0.000007	0.000007	0.00002	0.000007	0.00003	0.00001	0.00004	0.00005
Hg	0.016	0.08	0.016	0.032	0.016	0.016	0.0256	0.0256	0.048	0.024
Pb	0.0001	0.0001	0.0001	0.0001	0.0001	0.0001	0.0001	0.0001	0.0001	0.0001
$\sum Er^i$	0.0230	0.0881	0.0236	0.0390	0.0230	0.0245	0.0326	0.0338	0.0550	0.0316
$RI_{SPATIAL}$	0.1530									
$RI_{TEMPORAL}$	0.2212									
$RI_{GENERAL}$	0.3742									

298

299 Each pollution factor (C_i) was multiplied by the toxic Response (Tr_i) (Eq. 6 and Eq. 7) (Table 10).
300 The average of the data concentrations show that: Hg (0.00019 mg/L) < Cd (0.0002 mg/L) < Pb (0.0015
301 mg/L) < Cr (0.0017 mg/L) < As (0.0020 mg/L) (Table 3). Temporal and spatial evaluation also showed
302 that Hg was the heavy metal with the highest importance in Ecological Risk Index (Hg = 0.0044 > Cd
303 = 0.0012 > Cr = Pb = 0.0001 > As = 0.00086). Cr and Pb represent a major toxic (Tr_i) response between
304 the heavy metals analyzed, their concentration in ALMD was low.

305 The result of Er_i shows that there is a greater potential risk factor in 2013 than the ones observed
306 in others years (2013 = 0.0881 > 2015 = 0.0390 > 2017 = 0.0245 > 2012 = 2016 = 0.0230). From a spatial
307 point of view, the SP4 site presents a slightly lower value (0.0316) followed by SP1 (0.0326) < SP2
308 (0.0338) < SP3 (0.0550). However, the ecological risk potential of each substance was found below the
309 "Low" classification ($Er_i < 40$) in both evaluations (temporal and spatial assessment). The general
310 Ecological Risk Potential Index ($RI_{GENERAL}$) of ALMD was 0.3742. The results obtained for AMLD
311 reservoir are well, and below the lower category in the classification "Low" ($RI < 150$). This
312 classification represents very low threat for ALMD due to pollution caused by heavy metals.

313 4. CONCLUSIONS

314 In this study, the application of ecological indexes was carried out for obtaining a diagnosis in
315 an integrated way about the water quality, trophic status and the ecological risk for heavy metals
316 pollution of ALMD, a tropical reservoir with greater agricultural importance in Mexico. This
317 assessment was carried out from a spatial and temporal point of view that can be applied in other
318 dams of the region, even in dams of other countries that present characteristics similar to those of the
319 study area. The implementation of the indexes identified the most significant parameters, based on
320 their importance for each model used. This type of applied methodologies related to multiparametric
321 integrative analysis helps as a global decision making tools unlike non-multiparameter studies, that
322 only consider a part of the components that define water quality in reservoirs. According to the
323 results, it was obtained that the ALMD reservoir presents a WQINSF-BROWN = 70, classifying the
324 water quality of the reservoir as "medium". This reservoir is affected mainly by the organic
325 biodegradable material (BOD5), diffuse fecal contamination (FC) and dissolved particulate matter
326 (TDS), which cause variations in water turbidity (Tur). Trophic state of ALMD reservoir is located in
327 the category of "Mesotrophic", with a $TSI_{GENERAL-AVERAGE} = 43.04$. The parameter that most
328 affected the score of the TSI index was transparency, followed by chlorophyll-a and finally the
329 phosphorus as a limiting nutrient. Because the reservoir showed low concentrations of AS, Cd, Cr,
330 Hg, and Pb, ALMD did not present a potential ecological risk. Heavy metals concentrations found in
331 the reservoir do not represent a negative effect or endanger to the health of the reservoir and needed
332 in order to discard the ecological risk assessment in a broader manner. However, they are studies are
333 also needed on the heavy metals accumulated in the sediments to discard the ecological risk
334 assessment in a broader way. Finally, based on the results obtained in this study, it was concluded
335 that the water of ALMD is suitable for agricultural use.

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