

Mechanisms of Aggresome Biogenesis: Ubiquitination, Transport, & Maintenance

Fibrosis Transmembrane Receptor, CTFR;
Ubiquitin-Proteasome System, UPS; Lysine 63, K63; Bovine
Serum Albumin, BSA; Defective Ribosomal Products, DRiPs;
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HIGHLIGHTS:

- Perinuclear aggresomes serve a critical role in maintaining proteostasis.
- Lysine 63 linked polyubiquitination modifications direct misfolded proteins to the aggresome.
- HDAC6 and BAG3 are the primary adaptors for retrograde transport of misfolded proteins.
- Aggresomes are constructed by p62, which also mediates its autophagic clearance.
- Undegradable aggresomes aggravate protein misfolding and can cause other pathologies.

ABBREVIATIONS

Microtubule Organizing Center, MTOC; Peripheral
Microaggregates, PMA; Parkinsons Disease, PD; Amyotrophic
Lateral Sclerosis, ALS; Alzheimer's Disease, AD; Cystic

ABSTRACT

Neurodegenerative diseases are universally marked by the accumulation of misfolded protein. Neurons respond to these proteostatic disturbances by sequestering, and thus inactivating, toxic misfolded proteins into a perinuclear organelle called the aggresome. The aggresome can be subsequently degraded in bulk by autophagy, a process termed aggrephagy. The formation of protein aggregates has historically been considered a spontaneous and unregulated process, but emerging research has instead discovered a diverse cohort of regulatory proteins that mediate protein aggregation. Chaperones are the first proteins to respond to misfolded proteins, and do so by recognizing the aberrant exposure of hydrophobic domains. When chaperones are unable to correctly refold proteins, their substrates are transferred to ubiquitin ligating machinery to catalyze polyubiquitination. Although ubiquitin chains typically direct proteins towards proteasomes, severe proteotoxic stress can overwhelm, or even directly inhibit, proteasomes. As an alternative to proteasomal degradation, misfolded proteins are redirected towards the mitotic organizing center (MTOC) and, following retrograde transport by dynein, are packaged and sequestered within an intermediate filament (IF) cage to form the aggresome. The biogenesis of the aggresome is thus a highly regulated event, and a better understanding of the mechanisms facilitating this process will provide critical insight into neurodegenerative disease.

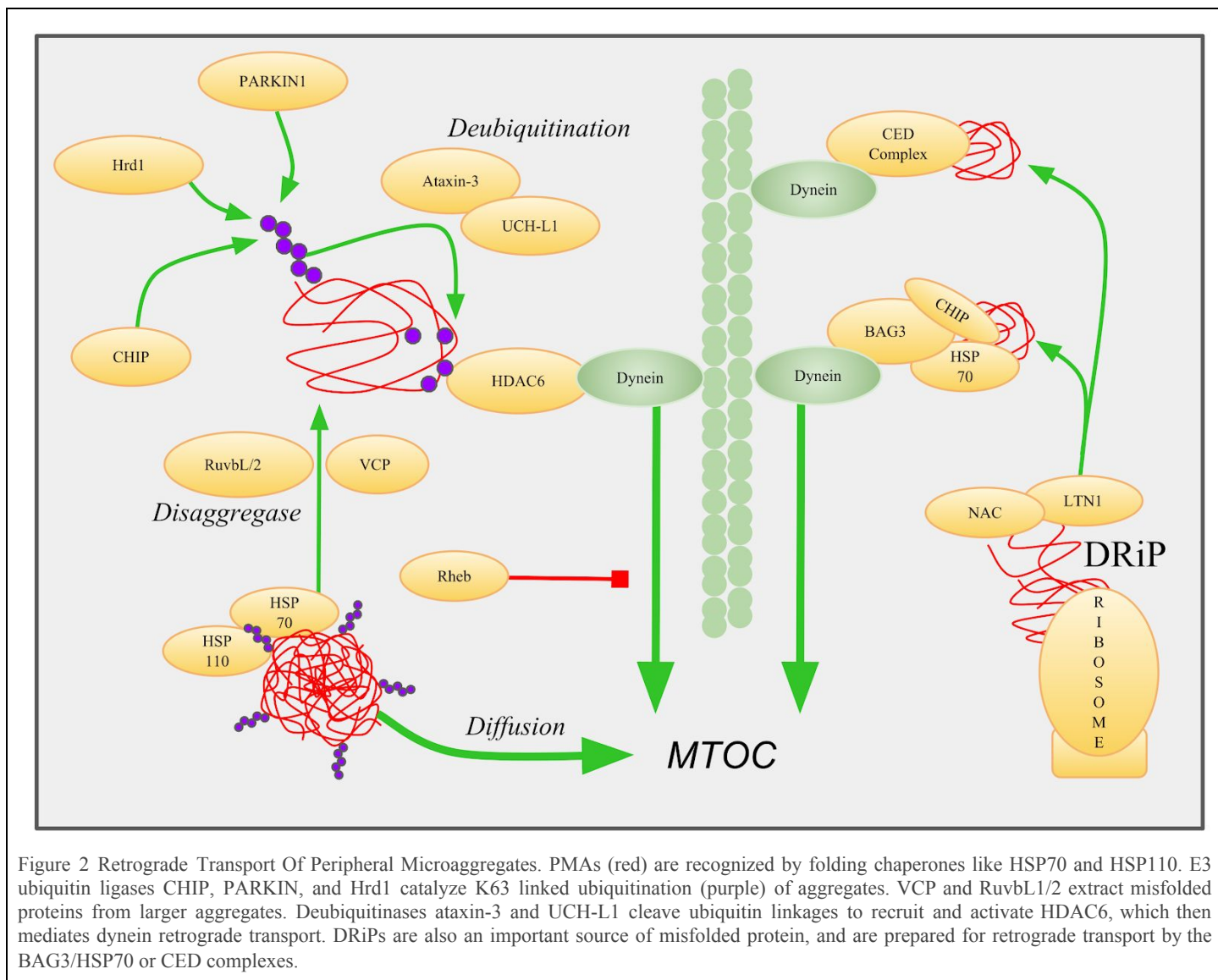


Figure 2 Retrograde Transport Of Peripheral Microaggregates. PMAs (red) are recognized by folding chaperones like HSP70 and HSP110. E3 ubiquitin ligases CHIP, PARKIN, and Hrd1 catalyze K63 linked ubiquitination (purple) of aggregates. VCP and RuvbL/2 extract misfolded proteins from larger aggregates. Deubiquitinases ataxin-3 and UCH-L1 cleave ubiquitin linkages to recruit and activate HDAC6, which then mediates dynein retrograde transport. DRiPs are also an important source of misfolded protein, and are prepared for retrograde transport by the BAG3/HSP70 or CED complexes.

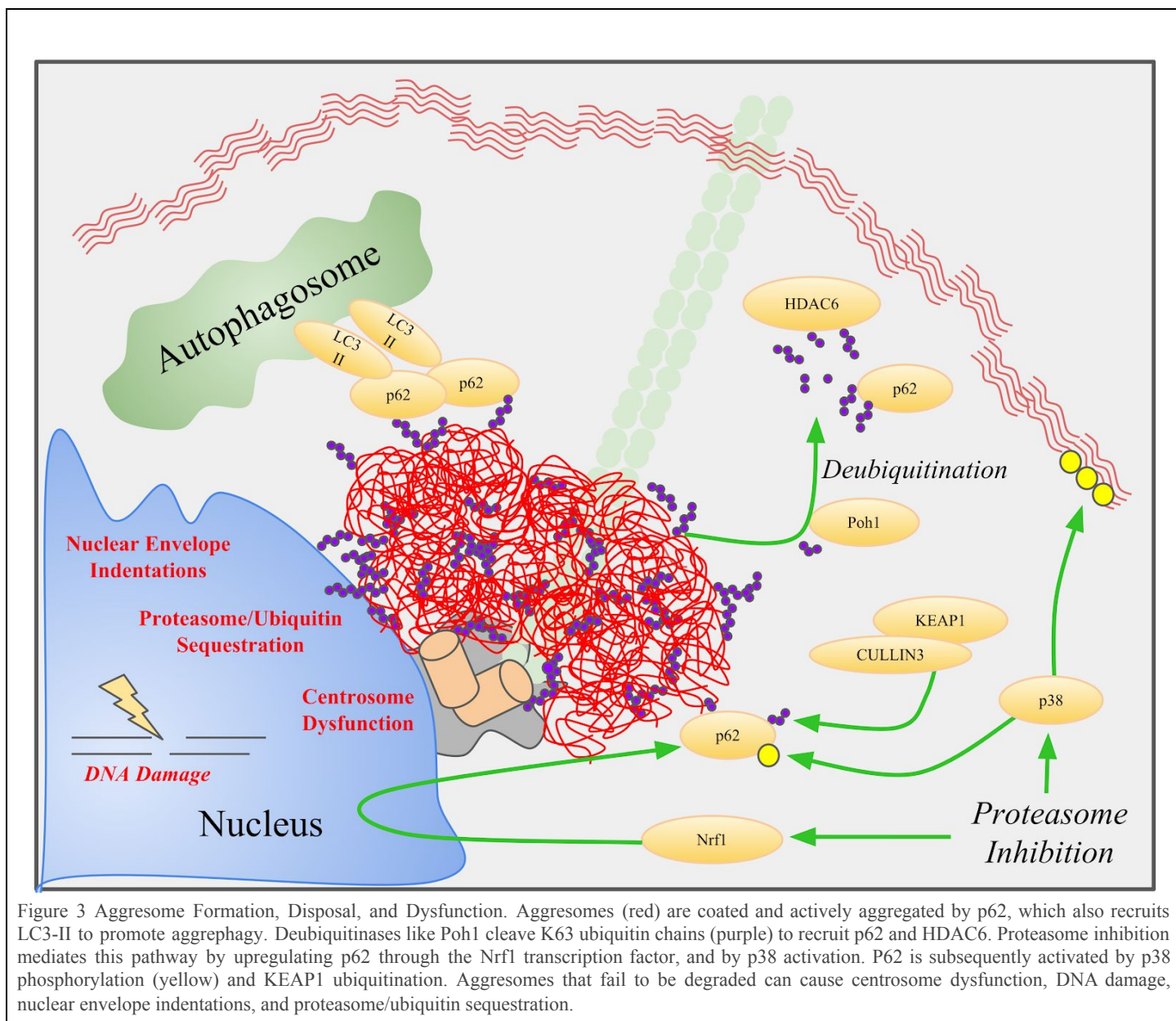


Figure 3 Aggresome Formation, Disposal, and Dysfunction. Aggresomes (red) are coated and actively aggregated by p62, which also recruits LC3-II to promote autophagy. Deubiquitinases like Poh1 cleave K63 ubiquitin chains (purple) to recruit p62 and HDAC6. Proteasome inhibition mediates this pathway by upregulating p62 through the Nrf1 transcription factor, and by p38 activation. P62 is subsequently activated by p38 phosphorylation (yellow) and KEAP1 ubiquitination. Aggresomes that fail to be degraded can cause centrosome dysfunction, DNA damage, nuclear envelope indentations, and proteasome/ubiquitin sequestration.

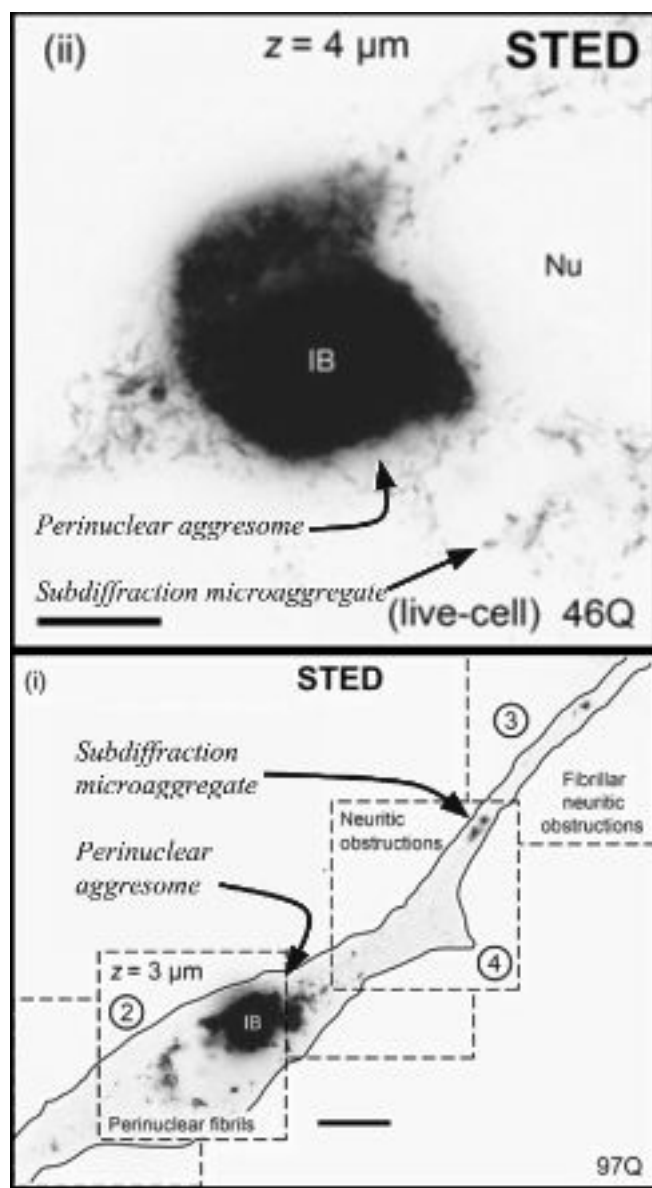


Figure 1 Subdiffraction Microscopy Reveals PMAs. Adapted from Delayed emergence of subdiffraction sized mutant huntingtin fibrils following inclusion body formation by SJ Sahl et al. 2016. *Quarley Reviews of Biophysics*. Nu =Nucleus. IB = Inclusion Body. STED = Stimulated Emission Depletion. Images from expanded polyglutamine Huntingtin expressing PC12 neuronal model cells.

Introduction

Protein folding occurs as the result of complex molecular interactions between amino acid side chains. However, subtle mutations in the genetic code, irregular biochemical conditions, or disturbances in the proteostatic networks that maintain protein integrity, can lead to the misfolding of protein (Reviewed in [Balchin et al. 2016](#)). The accumulation of soluble misfolded proteins can devastate cellular functioning through aberrant nonspecific interactions. Some of these interactions, mainly between exposed hydrophobic residues, can promote the polymerization of misfolded protein species into insoluble microaggregates. These peripheral microaggregates, or PMAs, are inefficiently disposed of by proteasomes, which require linearized peptide chains. Additionally, the sheer number of microaggregates may overwhelm the autophagic capacity of a cell. In order to mitigate these toxicities cells have developed regulated mechanisms of coalescing PMAs into relatively inert and benign membraneless organelles called aggresomes.

Aggresomes are transient perinuclear organelles formed from the piecemeal addition of smaller aggregates that can be degraded in bulk by aggrephagy. When this program is effectively activated, cells that are exposed to proteotoxic stressors, such as proteasome inhibition, misfolded protein overexpression, or autophagic deficiencies, will gradually accumulate perinuclear aggresomes at the base of microtubules called the Microtubule Organizing Center (MTOC) in a dynein-dependent manner (Johnston et al, 2002). Defects in aggresome biogenesis prevent the efficient disposal of PMAs, leading to their accumulation in axons and potentially obstructing axoplasmic transport or rupturing membranes ([Delay et al. 2014](#) [Sahl et al. 2016](#) [Relini et al. 2013](#)). These challenges are compounded in neurons that, due to their post-mitotic nature, are unable to dilute misfolded proteins through cell division. In contrast to defects in aggresome formation, defects in aggresome

disposal typically manifest as persistent perinuclear aggresomes that may lead to DNA damage, nuclear membrane defects, and centrosome dysfunction. Despite this, aggresome formation is generally believed to confer significant cytoprotection, and thus the mechanisms required for its formation are critical for cell survival during proteostatic stress.

The majority of research into the mechanisms of aggresome biogenesis and disposal have been carried out in cell culture, and thus the physiological and disease relevance of such structures are not certain. Aggresomes are principally identified by the formation of a cell-specific intermediate filament (IF) cage, most commonly consisting of vimentin, at the location of the centrosome that encloses the proteinaceous aggresome. In composition, aggresomes are enriched in ubiquitin, folding chaperones (HSP70), and autophagic markers (p62). If the inclusion bodies observed in neurodegenerative disease share these characteristics, that is, a perinuclear IF enclosed aggregate enriched in ubiquitin, folding chaperones, and p62, then there is a good reason to believe they are indeed an aggresome or at least functionally related. Aggresome-like structures have been characterized in Parkinson's Disease (PD), composed primarily of either alpha-synuclein or PARKIN, Alzheimer's Disease (AD), composed of tau or amyloid beta, Amyotrophic Lateral Sclerosis (ALS), composed of SOD1 or TDP-43, prion diseases, composed of PrP, and in many other protein misfolding diseases (Reviewed in [Lim et al. 2016](#)). These various neurodegeneration-linked aggregates share many characteristics, and thus may also share conserved mechanisms of formation that could drastically improve our understanding of the aggregation process.

Neurodegenerative diseases with protein aggregation, but conspicuously missing perinuclear aggresomes, could be disorders of aggresome transport and biogenesis. In Parkinson's disease, for example, cells that fail to form centralized aggresomes are instead inundated by axonal and synaptic microaggregates and show a greater risk of neuron cell death ([Schaeffer et al. 2010](#)). These PMAs lie below the diffraction limit of traditional microscopes and have largely eluded in vivo

characterization. However, modern sub-diffraction microscopy methods have allowed researchers to visualize the pre-aggregation process in real time (Figure 1). Perhaps most startling was the discovery that PMAs can form naturally in untreated cells, but are rapidly deconstructed. PMAs composed of alpha-synuclein, for example, are relatively common, even in healthy cells, but their irreversible aggregation only becomes energetically favorable above a critical size, somewhere around 140 nm ([Narayanan et al., 2017](#)). This suggests that the purported toxicity of PMAs may be related to their solubilized state since microaggregates are generally not stable, although this could be dependent on the aggregating protein species. Theories suggesting solubilized monomeric proteins confer greater cytotoxicity than their aggregated counterpart is a common theme across protein misfolding diseases ([Reviewed in Haass & Selko, 2007](#)). Regardless, aggresomes function to mitigate such toxicity, and by understanding the mechanisms by which cells interact, transport, sequester, and dispose of protein aggregates represents a major topic of interest in protein misfolding disorders.

The aggresomal life cycle can be roughly divided into four stages; nucleation and processing of PMAs, retrograde transport, aggresome assembly and maintenance, and autophagic removal (aggrephagy). The initial nucleation of PMAs recruits folding chaperones, such as HSP70, that are often associated with E3 ubiquitin ligases, such as CHIP. Misfolded proteins unable to be refolded are typically polyubiquitinated with lysine 63 linked ubiquitin chains. These ubiquitin chains recruit desegregases, such as VCP, to remodel, expose, or extract ubiquitinated proteins from the microaggregates. The unique K63 linked ubiquitin chains are then recognized by dynein adaptor proteins, most notably HDAC6, for retrograde transport to the MTOC. The regulated nucleation of the aggresome is then facilitated by p62, a protein that binds K63 ubiquitinated protein and homo-oligomerizes. Aggresomes are sequestered in an IF enclosed cage and coated by an extensive assortment of proteostasis-related proteins such as p62, ubiquitin, chaperones, and E3 ubiquitin ligases. These proteins function to maintain

aggresome integrity, limit cytotoxicity, and, eventually, mediate its degradation through aggrephagy and proteasomes.

PERIPHERAL MICROAGGREGATES

Regulated Protein Aggregation by Ubiquitin Systems

The initial fibrillization of misfolded proteins occurs through the exposure of hydrophobic residues which promote polymerization into beta pleated sheets. These aberrantly exposed hydrophobic domains, which are normally buried within globular cores, are also the mechanism by which chaperones recognize misfolded proteins. Chaperones primarily facilitate the refolding of misfolded proteins but are also central to the aggregation process itself. Specifically, when chaperones are unable to correct misfolded proteins, various E3 ubiquitin ligases are recruited that divert the proteins towards degradative pathways. The polyubiquitination of chaperone bound PMAs by chaperone recruited E3 ubiquitin ligases represents a critical junction between refolding, proteasomal degradation, and aggresomal sequestration. There are several reasons to believe ubiquitination orchestrates fundamental signaling events during aggresome formation. First, inhibition of ubiquitin conjugation can obstruct aggresome formation, while the inhibition of deubiquitination (thus inducing ubiquitin accumulation) promotes aggresome formation ([An et al., 2015](#) [Kapuria et al., 2010](#) [Kaganovich et al., 2009](#)). Additionally, the proteins GFPu and Rnf1, when experimentally tagged with K63 ubiquitin chains, accumulate in aggresomes, even in the absence of proteasome inhibition ([Tan et al., 2008](#)). Preaggresomal PMAs are also enriched in ubiquitin, and the inhibition of various E3 ubiquitin ligases obstructs aggresome formation. Despite this, it is also clear that not all forms of aggresomes are enriched in ubiquitin, or ubiquitination seems to occur after aggresome formation ([Muqit et al., 2004](#) [Farrarwell et al., 2015](#) [Zhang et al., 2011](#)). Moreover, intranuclear inclusions appear to be sumoylated instead of ubiquitinated, but evidence for this phenomenon is limited ([Sha et al., 2018](#)). Regardless, there are

multiple E3 ubiquitin ligases that are required for the aggresomal sequestration of certain misfolded protein species.

CHIP and PARKIN are among the two most well-studied E3 ubiquitin ligases that have been linked to aggresome formation. CHIP is commonly recognized for mediating the polyubiquitination of chaperone associated aggregates. Although CHIP polyubiquitinates many proteins, these typically result in proteasomal degradation as opposed to aggresome formation (Reviewed in [Joshi et al. 2016](#)). However, during proteostatic stress or proteasomal inhibition (induced by the small molecule MG132), CHIP ubiquitination can promote the formation of the aggresome (Figure 2). For example, CHIP promotes the aggregation of inducible Nitric Oxide Synthase, Cystic Fibrosis Transmembrane Conductance Regulator (CFTR), and Ataxin 1 ([Meacham et al. 2001](#) [Choi et al. 2007](#)). Similarly, the deletion of CHIP in mice expressing mutant aggregate-prone tau are unable to sequester toxic hyperphosphorylated tau in concentrated perinuclear aggregates, and instead have greatly increased levels of toxic soluble tau ([Dickey et al. 2006](#)).

PARKIN is another E3 ubiquitin ligase important in the recognition, ubiquitination, and targeting of PMAs to the aggresome (Figure 2). PARKIN, which can associate with and be activated by CHIP ([Imai et al. 2002](#)), colocalizes with aggresomes and PMAs, and loss of function mutations result in familial forms of Parkinson's Disease ([Olzmann et al. 2007](#)). The loss of PARKIN results in the accumulation of either soluble misfolded protein species or PMAs, both of which are characteristic of defects in the transport of pre-aggresome aggregates ([Chung et al. 2001](#) [Yang et al. 2007](#)). In addition, PD patients with mutations in PARKIN fail to form characteristic Lewy Bodies suggesting PARKIN is involved in directing alpha-synuclein to aggresomes in human disease ([Johanson et al. 2018](#) [Lim et al. 2005](#)). During MG132 induced proteasome inhibition, or other UPS dysfunctions, PARKIN mediated ubiquitination can facilitate the formation of the aggresome and has been demonstrated to direct various proteins including DJ-1, SOD1, synphilin-1, and TDP-43 to the aggresome ([Olzmann et al. 2007](#) [Hebron et al. 2012](#) [Olzmann et al. 2015](#) [Yung et al.](#)

[2015](#)). In order to promote aggresome formation, however, PARKIN itself must escape an autoinhibited conformation, which is coordinated by the PINK1 kinase. When localized to depolarized mitochondria, PINK1 is unable to translocate into the mitochondrial matrix, and instead forms a mitochondrial outer membrane-anchored kinase that phosphorylates ubiquitin and PARKIN. PARKIN not only requires PINK1 phosphorylation to escape an autoinhibited conformation but also requires phosphorylated ubiquitin for efficient substrate polyubiquitination (Reviewed in [Pickrell et al. 2015](#)). Thus PARKIN dependent aggresome biogenesis must also be contingent on the presence of depolarized mitochondria, otherwise PARKIN would, presumably, not be active.

Although CHIP and PARKIN are the most well studied E3 ubiquitin ligases implicated in aggresome biogenesis, there are many others. For example, ER-localized E3 ubiquitin ligase Hrd1 has recently been shown to be critical to the formation of aggresomes composed of misfolded OPTN (Figure 2; [Mao et al. 2017](#)). Hrd1 has also been implicated in the targeting of Amyloid Precursor Protein to aggresomes via the ER-Associated Degradation pathway ([Kaneko et al. 2010](#)). Many E3 ubiquitin ligases function redundantly in the targeting proteins to the proteasome, and thus their role in targeting proteins to the aggresome probably overlaps as well. Importantly, the targeting of ubiquitinated substrate to aggresomes, as opposed to the proteasome, appears to be facilitated primarily by K63 linked ubiquitin chains - a modification that is indeed catalyzed by PARKIN1, CHIP, and Hrd1 ([Tan et al. 2008](#) [Olzmann et al. 2008](#) [Chin et al. 2010](#)). Unlike the canonical K48 linked ubiquitin chains that consistently send proteins to the proteasome, K63 linked ubiquitin chains actually impede this process by physically occupying lysine residues, and instead promote the formation of large macromolecular protein complexes (not unlike an aggresome). However, a direct mechanistic link between proteotoxic stress (such as proteasome inhibition) and K63 ubiquitination has not been clearly identified. Research by Lim et al recently sought to understand this relationship and discovered that the stress induced

expression of UBC13, an E2 ubiquitin ligase specific for K63 linkages, was capable of shifting PARKIN's catalytic activity from K48 to K63 ubiquitin linkages ([Lim et al., 2013](#)). Interestingly, CHIP has also been shown to bind UBC13 and this promotes the catalysis of K63 ubiquitin chains, but its role in aggresome formation has not been specifically investigated ([Zhang et al., 2005](#) [Slotman et al., 2012](#)). Although it is then tempting to suggest proteasome inhibition triggers the transcription of aggresome-promoting genes like UBC13, research has suggested that neither transcription or translation affects proteasome inhibition-induced aggresome formation ([Meriin et al., 2012](#)). Regardless of how proteasome inhibition alters the ubiquitin landscape, the catalysis of K63 ubiquitin linkages alone is still not sufficient to redirect misfolding proteins towards aggresomes, and additional modifications are required.

The retrograde transport of PMAs probably requires some degree of disaggregation or separation from their associated complexes, otherwise these misfolded cargoes may be too physically large for efficient transport. The disaggregation of peripheral aggregates prior to transport is achieved principally by the HSP70 chaperone in association with its cognate cochaperone HSP110 (Figure 2; [Rampelt et al., 2012](#) Reviewed in [Nillegoda et al., 2018](#)). At least two other proteins have been implicated in this process; VCP and RuvbL1/2 (Figure 2). Both proteins are of the AAA-ATPase family and, in concert with various chaperones, are responsible for the ATP dependent extraction of ubiquitinated proteins from membranes or aggregates, followed by entrance into the proteasome or linkage to dynein for retrograde transport. In a recent high throughput screening experiment by Zaarur et al, the RuvbL1 and RuvbL2 homologs were discovered as two critical proteins in the formation of aggresomes in response to proteasomal inhibition. siRNA mediated knockdown of RuvbL1 and RuvbL2 reduced the percent of MG132 treated HeLa cells with aggresomes from ~80% to ~40%. ([Zaarur et al., 2015](#)). The loss of RuvbL1/2 results in the abnormal accumulation of peripheral aggregates, consistent with an inability to retrogradely transport them to the

aggresome. The role of RuvbL1 in aggregate clearance has since been confirmed by a different research group ([Narayanan et al., 2017](#)). A similar phenotype is observed following loss of VCP, an AAA-ATPase that contains the ability to bind ubiquitin ([Kitami et al., 2006](#)). VCP, which has been particularly well studied for its connection to various protein misfolding disorders, is critical not only for efficient aggresome formation, but also for the UPS, autophagy, and many other ubiquitin-related processes (Reviewed in [Boom et al., 2018](#)). In light of VCP's pleiotropic activity, determining exactly how VCP contributes to aggresome formation has been difficult. However, the observation that VCP deleted cells are deficient in aggresome formation in response to stress and are instead riddled with peripheral aggregates, suggests VCP is essential to retrograde transport ([Ju et al., 2008](#) [Wojcik et al., 2004](#)). This effect may be related to deficiencies in K63 based ubiquitin linkages, as pharmacological VCP inhibition reduces K63 ubiquitin abundance by 50% ([Heidelberger et al., 2018](#)). VCP probably does not catalyze or edit these ubiquitin chains itself, but instead likely functions to recruit the appropriate enzymes. VCP has indeed been characterized as a kind of ubiquitin "signaling hub", in part due to its ability to bind numerous ubiquitin ligases and DUBs, including Hrd1 and ataxin-3, and its role in numerous ubiquitin-related processes ([Stach et al., 2017](#) [Meyer et al., 2014](#)). While localized to peripheral microaggregates, VCP and RuvbL1/2 probably function to extract, linearize, and facilitate the remodeling of ubiquitinated cargo in order to prime them for retrograde transport.

MICROAGGREGATE TRANSPORT

HDAC6 & BAG3 Facilitate Retrograde Transport

Once misfolded proteins have been ubiquitinated and extracted from their initial aggregate or membrane, they are retrogradely transported by dynein, the microtubule motor protein. The critical importance of dynein, as opposed to other potential mechanisms of transport, is drawn from the observation that dynein inhibition (or microtubule depolymerization)

effectively and consistently blocks aggresome formation ([Johnston et al. 2002](#)). Misfolded proteins probably do not bind to dynein directly, but are instead linked to dynein through adaptors, most notably HDAC6 (Figure 2). Originally discovered as histone deacetylase, HDAC6 has emerged as a central protein in the formation of aggresomes. By harboring both a ubiquitin and dynein binding domain, HDAC6 functions as a dynein adaptor for the transport of ubiquitinated misfolded proteins towards the MTOC. The importance of this mechanism has been illustrated in multiple models of aggresome formation, and, in general, HDAC6 inhibition or deletion results in ~50% less aggresome positive cells and reduced aggresome size ([Kowaguchi et al. 2003](#) [Kalveram et al. 2008](#) [Su et al. 2011](#)). Recently, in a mouse model of microglial inflammation induced Tau aggregation, researchers Tseng et al discovered HDAC6 was essential in mediating the aggregation of Tau in vivo ([Tseng et al. 2017](#)). The importance of HDAC6's deacetylase activity in aggresome formation is controversial, but may also promote aggresome formation through the deacetylation of tubulin ([Jiang et al. 2008](#)).

Mechanistic details of how HDAC6 links dynein and ubiquitinated cargo have been complicated by structural observations of HDAC6's ubiquitin-binding domain, which does not actually bind to anchored ubiquitin chains, but instead binds free ubiquitin moieties by a C-terminal diglycine motif ([Ouyang et al. 2011](#) [Turcu et al. 2006](#)). In order to reconcile this contradiction, researchers have proposed the possibility that deubiquitinases could cleave ubiquitin moieties in order to bind and activate HDAC6. The ataxin-3 deubiquitinase was an attractive candidate due to its colocalization with both aggresomes and PMA structures. In addition, ataxin-3 can form a complex with VCP and HDAC6 proteins, and also has an apparent preference for editing K63 linked ubiquitin chains ([Burnett et al. 2005](#)). Wang et al demonstrated that ataxin-3 can indeed cleave K63 linked ubiquitin moieties to activate proximal HDAC6 proteins, and deletion or mutation of ataxin-3 disrupts aggresome formation (Figure 2; [Wang et al. 2011](#)). The UCH-L1 deubiquitinase has also been implicated in the activation of

HDAC6, and thus aggresome formation, by cleaving ubiquitin chains on misfolded protein ([Yu et al. 2017](#)).

Besides the deubiquitination of HDAC6 substrate, another important regulator of HDAC6 is the nucleocytoplasmic shuttling protein RanBPM. Researchers Salemi et al serendipitously discovered DNA damage induced the nuclear export and accumulation of RanBPM into HDAC6 positive aggresomes ([Salemi et al. 2014](#)). Knockdown of RanBPM following etoposide treatment, which induces double-stranded DNA breaks, reduced aggresome positive cells from 38% in controls to 18%, and HDAC6 positive aggresomes from 19% to 6%. Subsequent analysis revealed RanBPM associates with HDAC6 following DNA damage (or proteasome inhibition) and promotes aggresome formation, but it was not clear how RanBPM's interaction with HDAC6 facilitated this process. Although HDAC6 has received the most attention regarding microaggregate transport, it is important to note that HDAC6 deletion only partially inhibits aggresome formation suggesting there are multiple mechanisms of aggresome transport. Indeed, emerging research has implicated several additional pathways in the transport of misfolded proteins towards the MTOC.

A non-canonical mechanism of misfolded protein transport has been discovered that occurs independent of ubiquitination and is dependent on the BAG3 protein. BAG3 was originally implicated by its colocalization with PMAs and aggresomes, and studies since have shown BAG3 knockdown inhibits aggresome formation, and BAG3 overexpression sensitizes cells to aggresome formation ([Gamerding et al. 2010](#) [Minoia et al. 2013](#) [Guilburt et al. 2018](#) [Hut et al. 2018](#)). This understanding of BAG3 has led to the development of BAG3 inhibitors, which are currently being investigated as a treatment of cystic fibrosis, and function by preventing the aggresomal targeting of mutant CFTR ([Reilly et al. 2017](#)). In Alzheimer's Disease, recent research has suggested that BAG3 may instead be insufficiently activated. Work by Fu et al utilized high throughput experiments to probe the selective vulnerability of excitatory neurons in Alzheimer's Disease, and discovered a profound link to insufficient BAG3 expression, resulting in

impaired aggresome formation (Fu et al., 2019). Following overexpression, BAG3 induced the perinuclear sequestration and eventual autophagic disposal of tau in vivo. BAG3 has been repeatedly linked to aggresome formation and could be therapeutically utilized to either promote, or inhibit, aggresome formation.

BAG3 promotes aggresome formation by functioning as a stress-induced co-chaperone in complex with the HSP70 chaperone. BAG3 binds to the ATPase domain of the HSP70 folding chaperone effectively inhibiting its folding activity and promoting aggresome formation. Pharmacological inhibition of chaperones, including HSP70, does indeed promote aggresome formation (Gedalya et al., 2011; Muchowski et al., 2000; Miyata et al., 2013). By inhibiting HSP70 refolding activity, BAG3 might promote the unloading of HSP70 clients onto dynein for retrograde transport. BAG3 facilitates this process by interacting with dynein, either via a C-terminal proline-rich domain or through the 14-3-3 adaptor protein (Xu et al., 2013). Additionally, BAG3 appears to compete with its homolog BAG1 for the same HSP70 binding domain. By displacing BAG1, which directs HSP70 bound substrate to the proteasome, BAG3 redirects HSP70 substrate to the aggresome (Minoia et al., 2013). Since BAG3 expression is uniquely stress-induced, this may provide a mechanism by which proteostatic stressors like MG132 redirect HSP70 substrate towards aggresomes. BAG3 and HSP70 have also been captured in complex with CHIP, and this interaction was shown to promote aggresome formation (Zhang et al., 2011). BAG3 appears to be an important mediator in the retrograde transport of misfolded protein, possibly by bridging HSP70 and CHIP associated substrates to dynein for transport to the aggresomes.

More recently, BAG3 has been implicated in the preferential binding and targeting of defective ribosomal products (DRiPs) to aggresomes (Figure 2). DRiPs are dysfunctional and misfolded peptides associated with aberrant translation, such as the peptides produced during nonsense-mediated decay of mRNA. These defective peptides are normally degraded through the UPS but accumulate during

proteasomal inhibition and, even under basal conditions, represent about 12-15% of all newly synthesized protein (Wang et al., 2013). In a series of experiments, Meriin et al show that BAG3 binds DRiPs in an HSP70 dependent manner, and the loss of ribosomal quality control machinery nearly completely blocks BAG3/HSP70 dependent aggresome formation (Meriin et al., 2018). For example, shRNA mediated knockdown of the ribosome-associated E3 ubiquitin ligase LTN1, or the ribosome-associated chaperones NACA/NACB, blocked the interaction between DRiPs and BAG3/HSP70 complexes and reduced the number of aggresome-positive cells from ~50% to ~5%. These results suggest that the ribosome quality control proteins may provide the source of misfolded proteins that BAG3/HSP70 recognize for transport to the aggresome. Recent work by Park et al elucidated a similar mechanism, whereby DRiPs are recognized by the CED complex, composed of CTIF, eEF1A1, and the dynein subunit DCTN1, and then retrogradely transported to the aggresome (Figure 2; Park et al., 2017). Loss of CTIF resulted in the dispersion of aggregates outside of aggresomes during MG132 treatment, consistent with defects in efficient transport. The recognition of DRiPs by BAG3 or the CED complex, and subsequent targeting to aggresomes, is likely an extension of ribosomal surveillance programs, but specific for the defective protein product derived from the initial translation of aberrant mRNA. Since certain mRNA species, such as those containing expanded CAG repeats, are inefficiently translated, DRiPs could potentially be a major source of misfolded protein in certain neurodegenerative diseases (Jain et al., 2017; Marti, 2016). Besides HDAC6, BAG3, and CED, one report also suggests the dynein subunit NDEL1 can directly bind and transport ubiquitinated cargo to the aggresome (Wan et al., 2012).

The only negative regulator of aggresome formation that has been elucidated is, interestingly, the Rheb GTPase (Figure 2; Zhou et al., 2009). Activated GTP bound Rheb potentially inhibited aggresome formation and resulted in widespread dispersion of normally aggresome-sequestered proteins, characteristic of defects in transport. Since Rheb is

typically inactivated during starvation, this may explain how glucose deprivation induces aggresome formation, an effect observed in cardiomyocytes ([Marambio et al., 2010](#)). Future research will need to carefully assess the relative contribution of each mechanism to the aggresome formation in neurons, especially in animal models of disease.

Recent research by Lu et al has demonstrated, using super resolution microscopy, that polyglutamine aggregates reach the aggresome primarily through simple diffusion rather than active transport (Figure 2; [Lu et al., 2018](#)). According to their analysis only 11% of peripheral aggregates are colocalized with HDAC6, and only 3% appear to be directionally transported. These discoveries are in stark contrast to studies that have reported an essential role of microtubules and dynein for aggresome formation. Using mathematical models Lu et al propose that the initial nucleation of aggresomes requires active transport, such as those described here, but subsequent aggresome growth is driven by random diffusion. Furthermore, Lu et al discovered small immature aggresomes were primarily composed of newly synthesized protein, supporting theories positing DRiPs as a critical source of aggresome protein. This exciting research highlights the utility of super resolution microscopy and its potential to revolutionize our understanding of cellular processes.

AGGRESOME BIOGENESIS

How p62 Aggregates the Aggresome

Once misfolded proteins have been retrogradely transported and delivered to the MTOC, they must be efficiently packaged and sequestered for aggregation. The essential role of p62 (SQSTM1) in aggregation is well understood, where it functions as an adaptor between LC3, on autophagosomes, and ubiquitin, on aggregates. Known as an autophagic cargo adaptor, p62 serves to localize and bridge the nucleating autophagosome to its substrate (Figure 3). In addition to aggregation, accumulating evidence also suggests that p62 is essential for the initial formation of the aggresome. The formation of aggresomes

is dependent on p62 expression, promoted by p62 overexpression, and highly colocalized with p62, illustrating the indispensability of p62 in aggresome formation ([Gal et al., 2007](#) [Bjørkøy et al., 2005](#) [Pankiv et al., 2007](#)).

Additionally, p62 expression appears to be highly sensitive to proteasome inhibition, suggesting aggresome formation and p62 expression are coordinated by the same proteostatic pressures. A recent study by Sha et al found proteasome inhibition in neuroblastoma cells rapidly upregulated p62 and GABARAP1 expression, an effect contingent on the Nrf1 transcription factor (Figure 3; [Sha et al., 2018](#)). Consistent with these results, previous research has shown neuron-specific Nrf1 knockout mice accumulate soluble nonaggregated ubiquitinated proteins ([Kobayashi et al., 2011](#)). A similar phenotype of ubiquitinated cargo accumulation results following p62 deletion, and thus could be functionally related. The inhibition of the proteasome, which is accompanied by p62 upregulation and aggresome formation, may also be facilitated by p62 itself. By forming a complex with the ubiquitin-specific protease USP10, p62 potentially inhibits proteasomes resulting in aggresome formation ([Takahashi et al., 2018](#)). Thus the physiological inhibition of proteasomes, which is frequently observed in neurodegenerative disease and well known to induce aggresome formation, could actually be a regulated and cytoprotective mechanism used to upregulate p62. As a working model, perhaps USP10/p62 complexes inhibit the proteasome triggering Nrf1 dependent p62 upregulation and, ultimately, facilitates aggresome biogenesis. Once p62 expression has been sufficiently activated, how exactly does it promote aggregation?

P62's critical role in facilitating protein aggregation appears to be dependent on its ubiquitin binding domain UBA, and its protein binding PB1 domain ([Zaffagnini et al., 2018](#) [Cabe et al., 2018](#)). The UBA domain of p62 is required for the localization of p62 to ubiquitinated aggregates, and has a preference for the K63 linked ubiquitin chains that are enriched at aggresomes ([Seibenhener et al., 2004](#)). As discussed above, these K63 ubiquitin chains are generated by E3 ubiquitin ligases such as Hrd1, CHIP, and PARKIN, each of which localizes to

aggresomes. During mitophagy, PARKIN is essential for the mitochondrial localization of both p62 and HDAC6 by generating K63 linked ubiquitin chains, and thus a similar mechanism could occur at aggresomes ([Lee et al., 2010](#)). These K63 ubiquitin chains may then be released by various DUBs, which are indeed catalytically active at aggresomes, and thereby allow additional K63 chains to be generated ([Juenemann et al., 2018](#)). For example, Nunduri et al have described an essential role for Poh1, a proteasome-bound deubiquitinase, in aggrephagy, where it functions to cleave and release K63 linked ubiquitin chains that then activate HDAC6, but could also recruit p62 (Figure 3; [Nanduri et al., 2015](#)). Similarly, the inhibition of other proteasome-associated DUBs by the small molecule b-AP15 induces cancer cell death by preventing cytoprotective aggresome formation, an effect that could be related to deficient p62 or HDAC6 activation ([Hillert et al., 2018](#)). It seems plausible, then, that various K63 ubiquitin ligases, in conjunction with deubiquitinases, coordinate the local saturation of K63 ubiquitin chains near aggresomes to recruit p62 en masse.

Recruitment of p62, driven by its UBA domain, is then followed by PB1 domain dependent oligomerization. PB1 domains facilitate protein interactions by binding other PB1 domains thereby promoting protein polymerization. The capacity for p62 to oligomerize is exemplified in autophagy-deficient cells, where the inability to turnover p62 by autophagy leads to its accumulation and aggregation driven by PB1 domain interactions ([Wang et al., 2016](#) [Sukserree et al., 2018](#)). PB1 dependent oligomerization is critical for p62's ability to stably bind ubiquitin and LC3B, and thereby initiate aggrephagy ([Wurzer et al., 2015](#)). In the context of aggresome formation, p62's PB1 domain probably co-aggregates with aggresomes and promotes liquid-liquid phase separation. Supporting this notion, the PB1 domain of p62 is essential to p62's localization to aggresomes, and its deletion prevents the formation of aggresomes ([Cabe et al., 2018](#)). Furthermore, the presence of polyubiquitinated proteins, or even unanchored free K63 ubiquitin chains, enhances PB1 dependent p62 aggregation ([Herhaus et al., 2018](#)). P62 oligomerization at aggresomes may

also be promoted by other autophagic cargo receptors such as ALFY, discovered to relocate to aggresomes in a p62 dependent manner and facilitate aggresome formation ([Clausen et al., 2010](#)). Thus increased local concentrations of p62 at aggresomes, and the abundant presence of ubiquitinated proteins, both promote p62 oligomerization. Additionally, if PMA transport is indeed principally driven by diffusion, as described in Lu et al, then perhaps p62 could function to capture and then facilitate the absorption of PMAs into the aggresome. In sum, p62 facilitates aggresome formation by first localizing to aggregates through preferentially binding of K63 linked ubiquitin chains followed by regulated oligomerization, perhaps stabilizing the growing aggresome and priming it for aggrephagy.

Several post-translational modifications have been identified that greatly enhance p62's propensity to localize to and stabilize aggresomes. In particular, the phosphorylation of serine 272 and threonine 269 by the p38 MAP kinase is essential for p62 mediated aggresome formation, and p38 deletion dramatically reduced aggresome formation by nearly 90% (Figure 3; [Zhang et al., 2018](#) [Rubio et al., 2014](#)). In support of these findings, Meriin et al discovered that, following proteasome inhibition, the DRiP-associated BAG3/HSP70 complex activated p38, while BAG3 or HSP70 deletion potently suppressed p38 activation. Proteasome inhibition has also been previously linked to p38 activation ([Ito et al., 2002](#)). DRiP accumulation and proteasome inhibition, both common proteostatic stressors, are thus capable of activating p38 which subsequently activates p62. In addition to phosphorylation, p62 is also extensively ubiquitinated during proteostatic stress. Peng et al recently documented an essential ubiquitin modification of p62 at lysine 420 that is required for the disinhibition of p62's UBA domain ([Peng et al., 2017](#)). Following proteasome inhibition, K420 ubiquitin modifications accumulate on p62, drastically enhancing its affinity for K63 ubiquitin chains. Research by Lee et al confirmed p62's K420 ubiquitination and its relationship to aggregation ([Lee et al., 2017](#)). This modification was catalyzed by the KEAP1/Cullin3 E3 ubiquitin

ligase and was essential for aggregate formation following p62 upregulation (Figure 3). The phosphorylation of p62 by p38, and ubiquitination by KEAP1/Cullin3, are at least two critical modifications of p62, but many more have been documented.

THE AGGRESOME

Structural Insights & Functional Consequences

Mature aggresomes are wrapped in a hyperphosphorylated intermediate filament (IF) enclosed cage (Figure 3). These cytoskeletal components are intricately linked to actin and microtubules and use their respective motor proteins to facilitate contractility ([Helfand et al., 2004](#)). Following proteotoxic stress, IFs coalesce around forming aggresomes in a microtubule-dependent manner entrapping both aggregates and various organelles including lysosomes and mitochondria ([Zaarur et al., 2014](#) [Matsumoto et al., 2018](#) [Moriya et al., 2014](#)). Periaggresomal lysosomes are critical for aggrephagy, and thus aggresome disposal, by fusing with terminal autophagosomes. The importance of mitochondria or other organelles ensnared within IF cages is not known. However, it is conceivable, for example, that mitochondrial periaggresomal clustering could promote PARKIN activation through the localization of active mitochondrial bound PINK1, and subsequent enrichment of local phospho-ubiquitin concentrations. Depolarized mitochondria, like misfolded proteins, are also retrogradely transported and sequestered around the nucleus, and thus these processes could complement each other ([Narendra et al., 2010](#)). Interestingly, IF cages appear to form before aggresomes, and can form in p62 knockout (and thus aggresome deficient) cells ([Matsumoto et al., 2018](#)). Like p62, IFs are also phosphorylated by p38, and this was shown to be essential for Mallory body formation (a type of liver aggresome) ([Nan et al., 2006](#)). Despite these discoveries, the importance of IF cages to aggresome formation have not been extensively studied, partly due to the lack of pharmacological IF inhibitors, and the functional redundancy of various intermediate filaments ([Rogel et al., 2010](#)).

Within the IF cages lies the aggresome, a bustling protein triage microenvironment teeming with ubiquitin ligating machinery, chaperones, proteasomes, and, most importantly, a concentrated population of misfolded proteins. High throughput analysis of aggresomes in neuroblastoma cells has revealed at least 500 unique proteins sequestered in MG132 induced aggresomes ([Wilde et al., 2011](#)). During transient stress, aggresomes are rapidly turned over through a combination of proteasomal degradation, which disposes of the accessible, soluble, and desegregated components (a process involving Ubiquilin-2) ([Hjerpe et al., 2016](#)), and aggrephagy, which is probably required for the internal insoluble fractions (Reviewed extensively by [Lamark & Johansen, 2012](#)). Human cells experience progressive deterioration in the efficacy of both degradative pathways as they age, and are simultaneously challenged by an increasing burden of misfolded proteins (Reviewed in [Labbadia & Morimoto, 2014](#)). This unsustainable trend between misfolded protein accumulation, and decreasing degradation capacity, eventually climax in the form of neurodegenerative disease. Should the machinery of aggresome formation remain intact, what challenges might these progressively expanding and persistent aggresomes present to neurons?

Although aggresomes help mitigate proteotoxic stress and are probably less cytotoxic than dispersed microaggregates, they are, nevertheless, still cytotoxic. Studies examining the cellular response to aggresome formation are temporally limited and fail to capture the long-term consequences of permanent aggresomes. Since neuronal protein aggregation occurs long before overt neurodegeneration, many of the cytopathology associated with neurodegenerative diseases could be derived from chronically sick neurons harboring decade-old aggresomes, of which we have little understanding. A study by Lu et al set to address these questions and found polyglutamine induced aggresomes in human cell lines contributed to the progressive accumulation of DNA damage, and by three months, had induced p53 dependent apoptosis (Figure 3; [Lu et al., 2015](#)). DNA repair proteins, such as the critical MRN complex required

to repair double-stranded breaks, can be inactivated by aggresome sequestration ([Liu et al., 2005](#)). In addition, since histone ubiquitination represents a critical mechanism of DNA protein localization and repair, aggresomal sequestration of ubiquitin could starve cells of ubiquitin resulting in a chronically defective DNA damage response ([Yehuda et al., 2017](#)). Indeed, cells harboring aggresomes are depleted of ubiquitin and deficient in histone ubiquitination modifications. This potential mechanism of cytotoxicity is intriguing since a large body of research has centered around the relationship between neuronal DNA damage and various neurodegenerative diseases (Reviewed in [Madabhushi et al., 2014](#)). Aggresomes sequester other critical components of the ubiquitin protein system as well, including proteasomes and folding chaperones, potentially fueling a positive feedback cycle exacerbating protein misfolding (Figure 3; [Guo et al., 2018](#)). Supporting this notion, the presence of an aggresome does accelerate the formation of PMAs, which could be explained by the sequestration of machinery capable of thwarting or disaggregating PMAs (Figure 3; [Sahl et al., 2016](#)). Moreover, by forming at the MTOC, the presence of aggresomes has also been linked to the disruption of the centrosome and the nuclear membrane. The centrosome is critical to the formation of cilia and thus, not surprisingly, the accumulation of misfolded proteins around this organelle severely disrupts the formation and function of cilia (Figure 3; [Iqbal et al., 2017](#) [Lam et al., 2013](#)). Aberrant cilia, like DNA damage, has been proposed to contribute to neurodegenerative processes including dysfunctional metabolic and autophagic signaling ([Brown & Witman, 2014](#) [Kaliszewski et al., 2015](#)). Since olfactory neurons are uniquely reliant on cilia for sensory transduction, aggresome mediated ciliary defects would, in theory, manifest initially as olfactory sensory deficits, which is indeed among the first clinical symptoms of various neurodegenerative diseases. Centrosomes also periodically spawn “satellites”, large macromolecular complexes that crawl up and down microtubules to regulate activities such as autophagy and stress signaling (Reviewed in [Joachim & Tooze, 2018](#) and [Vertii et al., 2016](#)). Centriolar satellites might become

incorrigibly entangled within the intricate mesh of misfolded protein as they navigate through aggresomes. And finally, the aggresome’s perinuclear proximity has been linked to nuclear membrane distortions. The physiological effect of nuclear membrane abnormalities has been extensively studied in progerias resulting from mutations in the nuclear membrane support protein lamin A. These mutations disrupt transcriptional programs, chromatin organization, and various signaling pathways (Reviewed in [Gruenbaum & Foisner, 2015](#)). In HD, nuclear membrane abnormalities from perinuclear huntingtin aggregates have been linked to aberrant cell cycle signaling and nucleocytoplasmic shuttling defects (Figure 3; [Liu et al., 2014](#), [Rosa et al., 2017](#)). In summary, the proteostatic relief offered by aggresomes may only be temporary as abnormalities in DNA, cilia, or nuclear membranes eventually culminate in cell death.

Although great strides have been made in our understanding of aggresome biogenesis and disposal, many essential questions remain. Most importantly, are aggresomes between diseases truly the same structure and should they be treated as such? As the repertoire of proteins and pathways by which aggresomes are formed expands, the prospect of a single unified model of aggresome biogenesis breaks down. Despite this, there are several general mechanics conserved between aggresomes in neurodegenerative diseases, such as the importance of p62, ubiquitin, autophagy, or chaperones. A better understanding of aggresomes may be the key to deciphering the fundamental cellular dysfunction arising from protein misfolding, and could ultimately explain the pleiotropic nature of cellular pathology in neurodegenerative disease.

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