*Type of the Paper (Article)* 1

## The sacred landscape of the "Pyramids" of the Han 2

## emperors: a cognitive approach to sustainability. 3

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9 Abstract: The so-called "Chinese pyramids" are huge burial mounds covering the tombs of the Emperors of the Western Han dynasty. If we include also the mounds of the 10 members of the royal families, these monuments sum up to more than 40, scattered 11 12 throughout the western and the southern outskirts of modern Xi'an. They are mostly 13 unexcavated and poorly known although, taken together, they form a fascinating sacred 14 landscape, which was conceived as a perennial witness of one of the most magnificent 15 Chinese dynasties. This sacred landscape is today encroached by the frenetic urban development of the Xi'an urban area. We discuss and elaborate here some of the results of 16 a recent, new satellite-imagery survey of these monuments, highlighting the aspects which 17 18 may contribute to solutions for a sustainable and compatible development within this 19 important ancient landscape.

20 Keywords: Chinese Pyramids; Han Dynasty; Feng-Shui; Protection of ancient landscapes 21

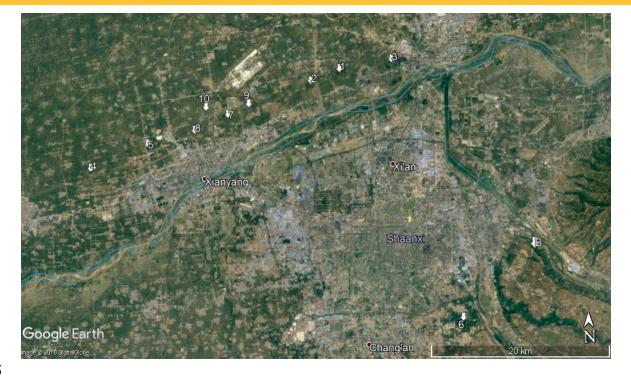
#### 22 1. Introduction

The Western Han dynasty of ancient China (202 BC - 9 AD) marked important 23 24 political, economic and scientific developments. The Han rulers followed the custom 25 initiated by the first emperor of Qin - whose mausoleum is world-famous due to the 26 terracotta army guarding its eastern side - and choose to be buried in tombs located under 27 huge square mounds of rammed earth, today known popularly as "Chinese pyramids" [1].

28 These monuments are located in the outskirts of Xi'an (Fig. 1). A main group of them, 29 composed by 9 emperor's tombs and 21 satellite tombs of members of the royal families - is 30 located along the northern bank of the river Wei, in a area close to the airport and subjected in recent times to high population growth and urbanisation within the "Xixian" new area 31 32 projects. A second group of monuments is located to the south of Xi'an, not far from other 33 high-impact areas related to high tech and tourism development zones.

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## 35

36	Figure 1. The burial mounds of the emperors of the Western Han Dynasty. 1 Gauzu (Chanling), 2 Hui
37	(Anling), 3 Jing (Yanling), 4 Wu (Maoling), 5 Zhao (Pingling), 6 Xuan (Duling), 7 Yuan (Weiling), 8 Cheng
38	(Yangling), 9 Ai (Yiling), 10 Ping (Kanling). The mountain tomb (Baling) of emperor Wen is denoted by B.
39	(Image courtesy Google Earth, editing by the author)

40 The Han mausoleums are thus a fascinating, almost "alien" presence in the rapidly developing landscape of modern China. They have been poorly studied and only two have 41 42 been (partly) excavated, those of Emperors Jing and Wu. It is rather difficult today, on site, 43 to have an idea of the ways in which this funerary landscape was conceived, and especially 44 of the visual relationships the monuments bear to each other. This is due to various factors, 45 but chiefly to pollution - which drastically reduces horizon visibility - and to the sheer difficulty of reaching some of the monuments and/or their tops. For these reasons, although 46 47 I have personally visited many of them, I decided to carry out a new, complete survey using 48 satellite imagery tools. The result of this campaign have been recently published in a 49 specialized archaeological Journal [2]. In the present paper I discuss and expand some of the results obtained, focussing on the problem of a sustainable development of this area so 50 51 rich in cultural, almost unexplored relics.

# 52 2. Materials and Methods

The monuments have been surveyed on site whenever possible (some are of difficult or no access). However, they are in any case very difficult to measure due to vegetation, fences, and to the fact that the sides are not always clean. Therefore, we took advantage of the fact the area is well covered by satellite imagery (both on Google Earth and Bing), with a resolution which is more than sufficient to measure the average sides and average azimuths of the mounds. Another problem that satellite imagery is helpful in solving is that of the ancient horizon. Indeed, the horizon visibility today is very poor – due to pollution Peer-reviewed version available at *Sustainability* **2019**, *11*, 789; <u>doi:10.3390/su11030789</u>

- even when the horizon is clear of building; moreover, modern buildings are present. 60 61 Using satellite tools it is instead possible to establish whether monuments had mutual inter-62 visibility in the past or not. All in all, the available images - in many cases, the historical 63 archive of Google Earth contains more than one image with a sufficient resolution - were extracted and measured with autocad for length of the sides, orientation, and directions of 64 visibility towards other monuments. The results were mediated in presence of more than 65 66 one image. Errors have to be expected of course, but on account of the high quality of the 67 images and of the low projection error associated with them, the intrinsic error expected 68 from this kind of measurement is quite low, so that Google Earth is a quite useful tool for 69 this kind of investigations [3,4]. Unfortunately however, the original heights of the 70 mounds (certainly greater than the current ones) are impossible to determine because the summits have deteriorated considerably (Fig. 2). 71



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Figure 2. The huge mole of Maoling, the tomb of emperor Wu of Han, looks as a natural hill. It is, instead,
fully artificial: a pyramid made of blocks of hardly rammed earth. (Photograph by author).

# 76 **3. Results**

77 As a first result, the satellite imagery analysis has shown the presence of two different 78 patterns of orientation of the square basis of the pyramids. This result is only of side interest 79 here and will therefore be only briefly recalled. A first group of monuments is precisely 80 oriented to the cardinal points, with errors not exceeding  $\pm 1^{\circ}$ , while a second group has 81 errors in relation to the geographic north of several degrees. These errors are not random: 82 they are always to the west of north and exhibit a tendency to decrease in time from a maximum of 14° to a minimum of 8°. The mounds of the first group were oriented by 83 84 determining the cardinal directions, while the skewed orientations of the other group can Peer-reviewed version available at Sustainability **2019**, <u>11</u>, 789; <u>doi:10.3390/su11030789</u>

85 be explained as pointing the maximal western elongation of Polaris, which was at those 86 times – due to precession – not coinciding with the celestial pole, located in a dark region. These orientations are symbolic, as both the North celestial pole and the circumpolar region 87 88 were of paramount importance for the Chinese: the function of the pole as "pivot" of the 89 sky was, in fact, equated with the centrality of the imperial power on Earth, and the whole 90 polar region of the sky was identified as a celestial image of the Emperor's palace, the "Purple Enclosure" [5]. The different choices made by different emperors (cardinal 91 92 orientation, or to Polaris) have still to be analysed in details in historical terms; they are 93 certainly related to their conception of the mandate of the heaven and to the role of 94 Confucianism in the royal court.

95 The above mentioned results exclude the use of the magnetic compass (first invented in China precisely during the Han) for the orientation and placement of the pyramids, and 96 97 therefore the use of the traditional Chinese pseudo-scientific doctrine of "geomancy" 98 (Feng Shui) with compass measurements. Similarly, also the standard canons of Form Feng 99 Shui, based on the presence of a mountain to the north of the site, smooth hills to the east and west, and water and protective hill to the south, which were applied in the necropolises 100 of several subsequent dynasties [6,7] are here clearly excluded, since the monuments lie in 101 102 the flat plain. We arrive in this way to the results of this research which are of main 103 relevance for our discussion here, and will be further expanded and elaborated: these 104 monuments were planned one after the other but taking into account the already existing ones, in order to form a sacred landscape, where mutual placement and inter-visibility 105 106 played a key role.

107 Let us consider, first of all, the distribution of the monuments in the western 108 necropolis. The tombs are located along a direction of azimuth of about 72 degrees, roughly 109 following the river with an average distance of 4.5 km from today's banks. The distance as 110 the crow flies from the westernmost emperor's monument, Maoling, and the easternmost, 111 Yangling, is about 35 Kms. One would expect the easternmost tomb to have been built 112 first, because it is the closest to the Han capital Chang'an, and the others to have been built in succession from east to west. However, it is not so: they were not built in a linear 113 114 succession, and many "jumps" back and forth occurred. The jumps can be explained taking 115 into account that historical sources, like the Book of the Han [8], mention a doctrine called 116 Zhaomu which was used for the choice of location of emperor's tombs. The doctrine states that left/right (east/west) have to be alternately selected, so that, looking at a tomb, the first 117 118 successive one will be found to the left (west) and the second to the right (east). From 119 satellite imagery it is clear that this alternate distribution was followed for two triplets: the 120 tombs of Gaozu, Hui and Jing (omitting the choice of a natural mountain made by Wen in 121 between) and those of Yan, Cheng and Ai. Of special interest are the latter three, since 122 their centres are connected by a straight line. This observation finally leads us to our main 123 issue: inter-visibility. Indeed, where dynastic connections and topographical connections 124 only intended in the projects, or instead they were explicitly visible, each day, looking at 125 the monuments? To calculate the (theoretical) visibility of an object from a fixed position located at an height h, a simple formula can be used (essentially an application of 126 Pythagoras' theorem). This "horizon formula" states that the visibility distance in 127 128 kilometres equals the square root of the product of the number 13 times h, with h measured

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129 in meters. So, for instance, a person two meters tall can look as far as the square root of 26 130 in kilometres that is, a bit more of five kilometres Of course, for tall objects the horizon 131 visibility increases considerably as the heights sum up. As mentioned, it is difficult today to 132 assess which was the original height of the burial mounds; however, even considering only 133 the heights they reach today it is readily seen that they were practically all inter-visible 134 each other from their summits. For instance the distance as the crow flies from the westernmost emperor's monument, Maoling, and the easternmost, Yangling, is about 35 135 136 Kms. Still today the higher point of Maoling is about 47 meters, that of Yangling is about 137 25, giving a horizon visibility which is comparable to their distance. It follows that with 138 all probability they were fully inter-visible at the time of their construction. Being these two 139 pyramids at the two extrema of the land were all these monuments are located, we can 140 conclude that these magnificent monuments were all placed in such a way as to "speak" 141 each other along visibility lines from their summits. What is more, many of them were 142 simultaneously visible from the ground. Still today, in spite of haze and pollution, it is 143 possible to appreciate from each mound the presence of at least the closest other 144 monuments (see Fig. 3).



145 Figure 2. Pingling, the tomb of emperor Zhao of Han, as seen from the Maoling group. (Photograph by146 author).

The "skyline" was made more fascinating by the presence of satellite mounds. In [1] we noticed without explanation the curious fact that the sides of the satellite mounds never align with the sides of the corresponding main mound. This looks odd because, as a consequence, the orientation procedure must have been repeated for each one of them separately, while construction in alignment would have much simplified their planning. However, it is now clear that in this way these mounds contribute to the skyline in a Peer-reviewed version available at Sustainability 2019, 11, 789; doi:10.3390/su110

153 significant manner, a thing they would hardly do in case of parallel alignment with their 154 principal counterparts.

Let us consider now the tombs located in the area to the south-east of Xian. Here the situation is more complex as the first monument constructed, Baling, the tomb of emperor Wen, is not a artificial mound. Indeed Wen is the only Han ruler who selected a mountain for his tomb, and the funerary chambers were hollowed out of the rock.

159 Wen's funerary landscape comprises two satellite burials, those of his wife Empress Bo and of his daughter Empress Dou. These are huge, almost identical, 160 Dowager 161 rectangular structures located in the plain to the south-west of Baling. The tomb of Bo 162 was orientated towards the Baling peak, which is visible when looking from the summit 163 along the projection of the longest sides of the mound, at a distance of some 3.6 km; the 164 tomb of Dou was a replica of that of Empress Bo, located further south. Later, another Emperor chose the same area for his tomb, Xuan. The diagonal of Xuan's mound passes 165 166 quite neatly the apex of Baling, which was (barely) visible on the horizon, some 11.5 km 167 awav.

168 All in all, we can conclude that also the tombs of the southern group were conceived 169 according to the idea of creating a sacred landscape of mutually visible monuments.

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# 171 4. Discussion

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173 According to official reports, in the last 20 years thousands of Chinese cultural sites have disappeared, to make room for building projects. The number of endangered or 174 cancelled sites is even higher than the average in the Shaanxi province. This should come 175 176 as no surprise, as this area was the heartland of the Chinese civilization for so many centuries. It suffices to think that Tang's Xi'an in the years around 750 AD was the most 177 inhabited city in the world, with an estimated population reaching 2 million people. Besides 178 179 the sheer number of archaeological sites, another cause of this tragedy is that in Shaanxi 180 rural areas are being rapidly transformed, with population density already of more than 230 181 people per square Km, increasing. A intense building activity, with the construction of 182 compounds of five to ten high towers each, occurs in the suburbs of Xian, dangerously close to the area of the Han dynasty mausoleums. The recent history of Xi'an helps in 183 explaining this urbanization phenomenon. During the 50's of last century, Xi'an was at the 184 185 centre of a process of industrialization which brought to light many new industries. This process re-started after the Cultural Revolution and during the 70', also due to the 186 187 remoteness of the territory which offered warranties for industries of military interest, such 188 as aerospace. Finally, in the last two decades of the last century, urbanization and 189 development were triggered especially by the projects called "New Special Development 190 Zones".

191 Sustainability of urban development in China is of course an important, much debated 192 issue [9-10]. However, at least as far as the present author is aware, the problem of 193 compatibility between development and cultural heritage has hardly been brought to 194 attention as a key problem. Of course there exists in China accurately preserved, 195 magnificent sacred landscapes which are safe from any danger : it suffices to mention the Peer-reviewed version available at Sustainability 2019, 11, 78<u>9; doi:10.3390/su1103078</u>

196 UNESCO site of the 13<sup>th</sup> tombs of the Ming dynasty. Alas, the same cannot be said of the 197 tombs of the Han emperors which are, therefore, also an occasion to investigate on 198 sustainability with respect to Cultural Heritage on an unprecedented scale. Let us, therefore, 199 try to understand which points might be implemented in this direction, also taking into 200 account that protection of the Han cultural relics is explicitly mentioned in the 201 Governments' master plan for the Xi-Xi'an urban development area.

202 First of all, it has to be observed that excavations at Yanling - where thousands of 203 miniature terracotta statues of warriors and animals have been unearthed – have shown that 204 the pits of the funerary equipment of the emperors were disposed in a radial manner directly 205 near the four sides of the burial mound. From this point of view the first emperor's burial, 206 with the tremendously huge pits of life-size statues lying kilometres afar from the mound, 207 has with all probability to be considered as a unique case. Thus, a minimal, urgent 208 intervention must be the institution of a buffer zone enclosing each of the mounds by all 209 sides for a few hundreds of meters to assure the possibility of future excavations. The buffer 210 zone should be established also for the satellite burials, as several additional tombs and burial pits have to be expected in their areas [11,12]. By the way, a very recent discovery 211 212 (press news of November 2018, yet unpublished) has shown that miniature terracotta army 213 could accompany also the burials of members of the royal family: they have been 214 discovered in what are probably the annexes of the tomb of Liu Hong, son of Emperor Wu 215 of Han (141-87 BC) in Linzi.

Further to this, another aspect should be taken into account. Indeed we have shown 216 217 here that the royal mausoleums have to be considered as an ensemble, which stands as an 218 imposing icon not only of each divine ruler separately but actually of the Han dynasty as a 219 whole. One may suppose this "landscape of power" to be related to the traditional Chinese 220 "geomantic" doctrine, but we have shown that is not so: the magnetic compass was not 221 used for orientations and the typical auspicious features of the territory are absent. Actually, although some elements of the tradition must be very old, the first written records about 222 223 Feng Shui appear later, with the Zang Shu (Book of Burial) by Guo Pu (276–324 CE). It 224 follows that trying to apply Feng Shui canons in future constructions, as sometimes 225 proposed (see e.g. [13]) would have nothing to do with the way of thinking of the Han 226 builders. For them, it was rather the inter-visibility and the imposing presence of the 227 "pyramids" to play the key role. The Han mounds are "mountains were there are no 228 mountains": the floodplain on the northern bank of the river way is flat and each visible 229 "hill" is actually a pyramid. It seems to me, therefore, that a feasible proposal is to respect 230 the sacred landscape in which these imposing monuments were placed by avoiding 231 construction of compounds along their inter visibility lines. To be more specific, new 232 relevant buildings should be constructed in such a way as to allow the inter-visibility from 233 each pyramid of at least the two nearest imperial monuments both to the east and to the 234 west, so that the Zhaomu tradition remains effective and a glimpse of the ancient global 235 planning of this wonderful landscape, conceived more than 2000 years ago, can still be 236 taken.

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238 **Funding:** This research received no external funding

239 Conflicts of Interest: The authors declares no conflict of interest

Architecture Thesis, The Ohio State University, 2008

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eer-reviewed version available at *Sustainability* **2019**, *11*, 789; <u>doi:10.3390/su1103078</u>

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