

1 Article

2 Immunohistochemical Study of c-erbB2/HER2 Tumor 3 Marker in Primary Malignant Brain Tumors

4 Mazaher Ramezani ¹, Shadi Siami ², Mansour Rezaei ³, Sedigheh Khazaei ¹ and
5 Masoud Sadeghi ^{4,*}

6 ¹ Molecular Pathology Research Center, Imam Reza Hospital, Kermanshah University of Medical Sciences,
7 Kermanshah, Iran

8 ² Students Research Committee, Kermanshah University of Medical Sciences, Kermanshah, Iran

9 ³ Departments of Biostatistics, Fertility and Infertility Research Center, Kermanshah
10 University of Medical Sciences, Kermanshah, Iran

11 ⁴ Medical Biology Research Center, Kermanshah University of Medical Sciences, Kermanshah, Iran

12 * Correspondence: sadeghi_mbrc@yahoo.com

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14 **Abstract:** *Background and objectives:* Primary brain tumors include any tumors arising in the brain
15 whose prognosis is poor due to their histologic characteristics. The aim of this research was to
16 evaluate the frequency of HER2 tumor marker in primary malignant brain tumors. *Materials and*
17 *Methods:* This descriptive study was conducted on the samples admitted to the pathology
18 laboratory with diagnosis of primary brain tumor during 2008-2015. *Results:* From among 107
19 patients (61.7% males and the rest females) with mean age of 40.4 years, the highest frequency of
20 tumor location was in supratentorial region of the brain (including lobes and ventricles) (63.85%
21 cases). High-grade astrocytoma had the highest prevalence at diagnosis (43.9%), followed by low-
22 grade astrocytoma (37.4%). As for HER2 score, 42.1% of patients were HER2-positive (scores 2 &
23 3). On the other hand, 5.6% of patients were HER2-negative (-), 40.2% were positive (+), and 54.2%
24 were positive (++) . The patients with high-grade astrocytoma had older age ($P<0.001$), higher
25 HER2 positivity ($P=0.024$) and percentage ($P<0.001$) compared to the patients with low-grade
26 astrocytoma. *Conclusions:* HER2 expression is dependent on the type of brain tumors. High
27 expression of HER2 in high-grade astrocytoma may be useful for therapeutic purposes. The future
28 research is needed to confirm these results with a large number of patients in different areas.

29 **Keywords:** Primary brain tumor; HER2; immunohistochemistry

30

31 1. Introduction

32 Primary brain tumors include any tumors arising in the brain that can start from brain cells,
33 meninges, nerves, or glands [1]. Their prognosis is poor due to their histologic characteristics;
34 however, some benign tumors are located in inoperable areas [2]. In the studies reported in the U.S.
35 and Europe, the incidence rate of brain neoplasms varies from 17.6 to 22.0 per 100000 persons [3].
36 Around 18,500 new cases of primary malignant brain tumors are diagnosed each year in the USA
37 [4]. A systematic review in Iran from 2000 to 2010 reported primary brain tumors had an overall
38 incidence of 2.74 per 100,000 persons, the most common histopathologies of which included
39 meningioma, astrocytoma, glioblastoma multiforme (GBM), and ependymoma [5]. Astrocytic
40 tumors are primary brain tumors that may progress to GBM, a highly malignant neoplasm of the
41 central nervous system (CNS) [6]. Medulloblastoma is the most common malignant brain tumor in
42 children [7,8], accounting for around 20% of all primary tumors of the CNS, with a peak incidence
43 at ages 5-7 years [8]. Recent studies have suggested that aberrations of the human epidermal
44 growth factor receptor 2 (HER2) or c-erbB-2 may be involved in astrocytic brain tumors [9-11].

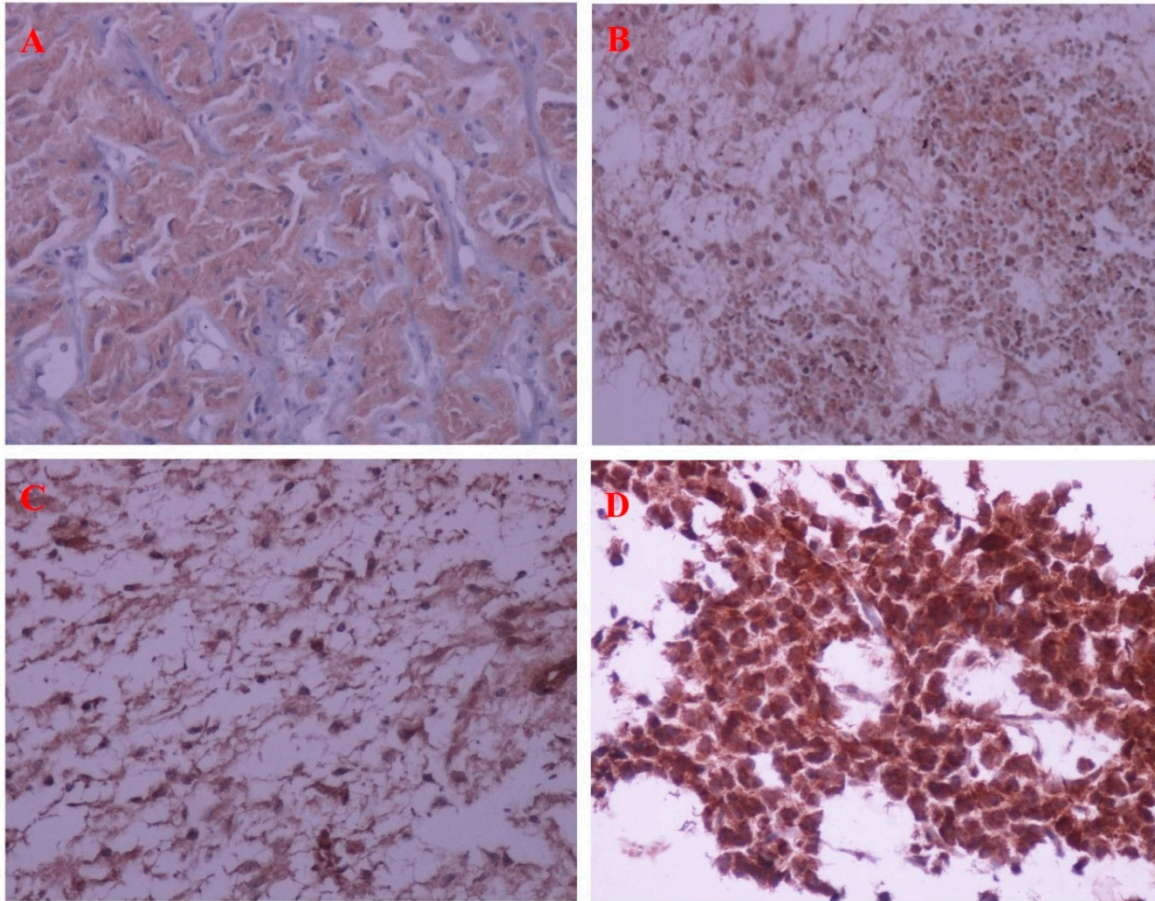
45 HER2, a 185-kD protein, is an important prognostic indicator/target for metastatic breast carcinoma
46 therapy [12]. The HER-2/neu oncogene is located on chromosome 17q21, encodes for a 185 kd
47 transmembrane glycoprotein with intracellular tyrosine kinase activity. HER2 overexpression is
48 observed in 20% to 40% of breast cancers and other solid tumors and is generally correlated with a
49 poor prognosis [13,14]. Trastuzumab (Herceptin) is the standard care for HER2-positive tumors,
50 especially in some metastatic/advanced solid tumors (breast and gastroesophageal cancers) [15],
51 approved by the FDA for breast cancer therapy [16]. The studies conducted in different areas on
52 various ethnicities/races have indicated that HER2 can express in primary brain tumors, whose
53 expression depends on tumor location and tumor type [7,8,12,17-20]. Therefore, for the first time we
54 aimed to study the prevalence of HER2 marker in different types of primary malignant brain
55 tumors in West of Iran and Kurdish race.

56 2. Materials and Methods

57 This study was approved by the Ethics Committee of Kermanshah University of Medical
58 Sciences, Kermanshah, Iran. This descriptive study was performed on the 107 samples diagnosed
59 with primary malignant brain tumor and admitted to the pathology laboratory of Imam Reza
60 Hospital during 2008-2015.

61 *Immunohistochemistry*

62 Formalin-fixed, paraffin-embedded tissue sections from each previously diagnosed primary
63 brain tumor (according to WHO classification) were cut into 4 micron-thick sections and mounted
64 on glass slides. These sections were stained by hematoxylin and eosin staining. The initial diagnosis
65 was confirmed by the pathologist. Then, new sections were provided, and immunohistochemistry
66 (IHC) staining was done. Primary antihuman antibody against c-erbB-2 Oncoprotein (DAKO
67 diagnostics, Polyclonal Rabbit Anti-Human c-erbB-2 Oncoprotein, Code A0485) was applied by
68 IHC method according to the manufacturer's brochure. Only staining of the cell membrane was
69 considered specific for c-erbB-2 oncoprotein. Positive control samples were received from strong c-
70 erbB-2 stained breast carcinomas and negative ones from normal breast tissue. Brown color in
71 tumor cell cytoplasmic membrane was considered c-erbB-2-positive. The percentage of stained cells
72 and intensity of staining were graded from 0 to 3+, as follows: No staining (0), low intensity and
73 incomplete membrane staining in less than 10% of cells (1+), low intensity and complete membrane
74 staining in more than 10% of cells (2+), and high intensity and complete membrane staining in more
75 than 10% of cells (3+). According to the study of Mineo et al.[18], cell staining $\leq 10\%$ was considered
76 negative (-), between 11–50% (+), and $\geq 51\%$ positive (++) . On the other hand, tumors with scores 0
77 and 1+ were considered negative, while those with scores 2+ and 3+ were considered positive [21].
78 **Figure 1** shows IHC staining pattern of 0 to 3+ in pilocytic and anaplastic astrocytomas.



79

80 **Figure 1.** Immunohistochemistry staining of HER2, x200 magnification: A) Pilocytic astrocytoma
 81 (score = 0), B) Pilocytic astrocytoma (score = 1), C) Pilocytic astrocytoma (score = 2), and D)
 82 Anaplastic astrocytoma (score = 3).

83 *Statistical analysis*

84 The data were analyzed by SPSS software (version 16). The mean and standard deviation were
 85 calculated for age; whereas, number of patients and percentage were considered for the other data.
 86 Chi-square test was used for comparison of age between the variables and t-test for others between
 87 the variables.

88 *Limitations*

89 1) Losing the information of some patients. 2) Unavailability of some paraffin blocks. 3) Low
 90 number of cases in the Hospital. 4) Lack of fluorescence in situ hybridization (FISH) for HER2 (2+).

91 **3. Results**

92 Out of 107 patients with primary malignant brain tumors with mean age at diagnosis of 40.4
 93 years (range, 1-88 years), 61.7% of patients were male. Reporting tumor location in 85 patients,
 94 74.1% tumors occurred in supratentorial region. The patients had different complaints that are
 95 shown in **Table 1**. High-grade astrocytoma had the highest prevalence at diagnosis (43.9%),
 96 followed by Low-grade astrocytoma (37.4%), primitive neuroectodermal tumor small round cell
 97 tumor lymphoma medulloblastoma (15.9%), mixed oligoastrocytoma (0.9%), hemangioblastoma
 98 (0.9%), and choroid plexus papilloma (0.9%). Checking HER2 scores, 42.1% of patients were HER2-
 99 positive (scores 2 & 3). In addition, based on staining percentage of HER2, 5.6% of patients were
 100 HER2-negative (-), 40.2% were positive (+), and 54.2% were positive (++)
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Table 1. Baseline characteristics of the patients with primary brain tumors (n=107).

Variable	N (%)	Variable	N (%)
		HER2 score	
Age, year		0	6 (5.6)
Mean ± SD	40.4 ± 22.35	1	56 (52.3)
Range	1-88	2	36 (33.6)
		3	9 (8.4)
		HER2 status	
Sex		Negative	62 (57.9)
Male	66 (61.7)	Positive	45 (42.1)
Female	41 (38.3)		
		HER2, %	
Tumor location		Mean ± SD	55.31 ± 28.15
Sellar	2 (2.4)	Range	0-100
Supratentorial	63 (74.1)		
Brain stem	3 (3.5)		
Cerebellum	17 (20)		
NA	22		
		HER2, %	
Complaints		≤10 (-)	43 (40.2)
Lateralized	18 (30)	11-50 (+)	58 (54.2)
Generalized	22 (36.7)	≥51 (++)	
Mixed	20 (33.3)		
NA	47		
Type of tumor			
Low-grade astrocytoma	40 (37.4)		
High-grade astrocytoma	47 (43.9)		
Mixed oligoastrocytoma	1 (0.9)		
PNET-SRCTLM	17 (15.9)		
Hemangioblastoma	1 (0.9)		
Choroid plexus papilloma	1 (0.9)		

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Abbreviations: PNET-SRCTLM, primitive neuroectodermal tumor small round cell tumor lymphoma medulloblastoma; NA; Not available.

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Table 2 is shown the correlation between some variables and HER2 status. There was no significant difference in age, sex, tumor location, and type of tumor between HER2-positive and HER2-negative patients.

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Table 2. The correlation between variables and HER2 status in the brain tumor patients (n=107).

Variable	HER2-positive	HER2-negative	P-value
Age, year			
Mean ± SD	41.44 ± 22.89	39.02 ± 22.09	0.582
Sex			
Male	27 (60%)	39 (62.9%)	0.458
Female	18 (40%)	23 (27.1%)	
Tumor location (n=85)			
Sellar	1 (2.6%)	1 (2.2%)	0.934
Supratentorial	30 (76.9%)	33 (71.7%)	
Brain stem	1 (2.6%)	2 (4.3%)	
Cerebellum	7 (17.9%)	10 (21.7%)	
Type of tumor			
Low-grade astrocytoma	12 (26.7)	28 (45.2%)	0.179
High-grade astrocytoma	25 (55.6%)	22 (35.5%)	
Mixed oligoastrocytoma	0 (0%)	1 (1.6%)	
PNET- SRCTLM	7 (15.6%)	10 (16.1%)	
Hemangioblastoma	0 (0%)	1 (1.6%)	
Choroid plexus papilloma	1 (2.2%)	0 (0%)	

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Abbreviation: PNET-SRCTLM, primitive neuroectodermal tumor small round cell tumor lymphoma medulloblastoma.

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111 The correlation between some variables and HER2 status showed no significant difference in
 112 the variables (age, sex, tumor location, and type of tumor) between HER2 (-), HER2 (+) positive, and
 113 HER2 (++) positive samples (**Table 3**).

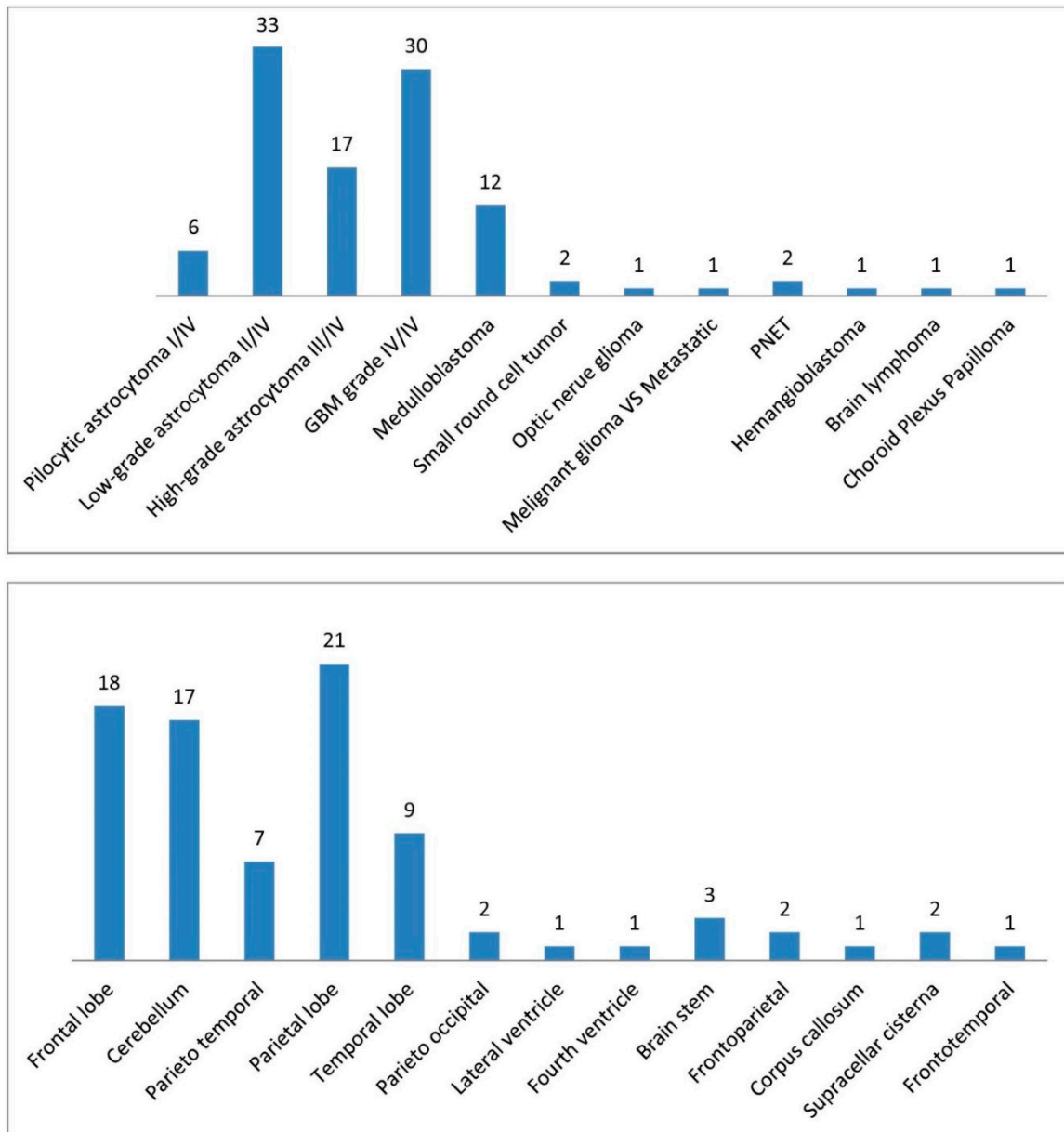
114 **Table 3.** Correlation between study variables and HER2 status in the brain tumor patients (n=107).

Variable	HER2 (-)	HER2 (+)	HER2 (++)	P-value
Age, year				
Mean ± SD	36.3 ± 26.6	38.7 ± 19.8	41.4 ± 24.3	0.767
Sex				
Male	2 (33.3%)	30 (69.8%)	34 (58.6%)	0.177
Female	4 (66.7%)	13 (30.2%)	24 (41.4%)	
Tumor location (n=85)				
Sellar	0 (0%)	0 (0%)	2 (4.4%)	0.698
Supratentorial	3 (60%)	26 (74.3%)	34 (75.6%)	
Brain stem	0 (0%)	1 (2.9%)	2 (4.4%)	
Cerebellum	2 (40%)	8 (22.9%)	7 (15.6%)	
Type of tumor				
Low-grade astrocytoma	4 (66.7%)	22 (51.2%)	14 (24.1%)	0.193
High-grade astrocytoma	1 (16.7%)	14 (32.6%)	32 (55.2%)	
Mixed oligoastrocytoma	0 (0%)	0 (0%)	1 (1.7%)	
PNET- SRCTLM	1 (16.7%)	6 (14%)	10 (17.2%)	
Hemangioblastoma	0 (0%)	1 (2.3%)	0 (0%)	
Choroid plexus papilloma	0 (0%)	0 (0%)	1 (1.7%)	

115 **Abbreviation:** PNET-SRCTLM, primitive neuroectodermal tumor small round cell tumor
 116 lymphoma medulloblastoma.

117 **Figure 2** is shown the subtypes and tumor locations of primary brain tumors more specifically.
 118 Low-grade astrocytoma II/IV (33 patients) and GBM grade IV/IV (30 patients) had the highest
 119 prevalence. In addition, parietal lobe, frontal lobe, and cerebellum with 21, 18, and 17 patients,
 120 respectively, had the highest prevalence.

121 Comparing the correlation between some variables among low- and high-grade astrocytomas,
 122 there was a significant difference between the two astrocytomas in the variables of age, tumor
 123 location, HER2 statues, and percentages (**Table 4**). The patients with high-grade astrocytoma had
 124 older age ($P<0.001$), higher HER2 positivity ($P=0.024$), and higher HER2 percentage ($P<0.001$)
 125 compared to the patients with low-grade astrocytoma. In addition, all high-grade astrocytoma
 126 tumors were in supratentorial region compared with 75% of low-grade astrocytoma tumors in this
 127 location ($P=0.012$).



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Figure 2. Prevalence of the patients with primary brain tumors, (A) subtypes and (B) tumor locations.

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Table 4. Correlation between study variables and astrocytic tumors in the brain tumor patients (n=87).

Variable	Low-grade astrocytoma	High-grade astrocytoma	P-value
Age, year			
Mean \pm SD	35.6 \pm 20.6	50.8 \pm 16.2	<0.001
Sex			
Male	24 (60%)	31 (66%)	0.362
Female	16 (40%)	16 (34%)	
Tumor location (n=67)			
Sellar	2 (7.1%)	0 (0%)	
Supratentorial	21 (75%)	39 (100%)	0.012
Brain stem	1 (3.6%)	0 (0%)	
Cerebellum	4 (14.3%)	0 (0%)	
HER2 status			
Negative	28 (70%)	22 (46.8%)	0.024

Positive HER2, %	12 (30%)	25 (53.2%)	
Mean \pm SD	42.4 \pm 28.1	65.4 \pm 24.6	<0.001
Range	0-100	5-100	
HER2, %			
\leq 10 (-)	4 (10%)	1 (2.1%)	0.006
11-50 (+)	22 (55%)	14 (29.8%)	
\geq 51 (++)	14 (35%)	32 (68.1%)	

133 4. Discussion

134 The present study analyzed HER2 expression in primary brain tumors. The results showed
 135 that 42.1% of patients were HER2-positive. In addition, 40.2% and 54.2% of tumors in the patients
 136 were (+) and (++) positive, respectively. In comparison of low- versus high-grade astrocytomas,
 137 Her2 positivity was significantly higher in high-grade than low-grade tumors.

138 One study [21] investigated 72 patients with low- and high- grade astrocytomas (56.9% GBM,
 139 13.9% diffuse astrocytoma, and 20.8% anaplastic astrocytoma) for HER2 overexpression, whose
 140 results showed 23.6% of patients were HER2-positive. There was no HER2 positivity in diffuse
 141 astrocytoma and pilocytic astrocytoma specimens, and overexpression was observed only in GBM
 142 subtype. In Reszec's study [6] on sixty-five patients with astrocytic tumors, including 17 diffuse
 143 astrocytoma, 23 anaplastic astrocytoma, and 25 GBM, HER2 expression was observed in 88.3%,
 144 88%, and 82.6% of diffuse astrocytoma, anaplastic astrocytoma, and GBM, respectively. In GBM, 11
 145 samples (47.8%) were (+) positive and only 8 (34.8%) tumors were (++) positive. In 11/22
 146 medulloblastoma tumors, 10 to 50% of the tumor cells showed HER2 and HER4 positivity, which
 147 were detectable only in high-grade glial tumors [22]. Torp et al. [10] found HER2 positivity in 9 of
 148 21 GBM tumors (43%).

149 A study [23] included 44 GBM patients (61.4% males) with mean age of 79 years. All tumors
 150 were negative for HER-2/neu protein by IHC and for HER-2/neu gene amplification by FISH.
 151 Happasalo et al.[9] confirmed this result with astrocytic neoplasms. Meurer et al.[17] analyzed 40
 152 medulloblastoma tumors and found that HER2 was positive in 23 patients (57.5%). In a
 153 retrospective study [18] on 57 patients with GBM and 16 patients with grade III gliomas, all GBM
 154 tumors expressed HER2 (2+ and 3+) highly and all secondary GBM tumors expressed HER2 with
 155 low intensity (0 and 1+). A series of 70 cases with childhood medulloblastoma were analyzed for
 156 HER2 expression by IHC, sixty of which (85.7%) were found to be positive in IHC analysis. Ahmed
 157 et al.[7] showed 40% HER2 expression in medulloblastomas. Out of 149 GBM cases (54.4% males
 158 and mean age of 64 years); HER2 overexpression was detected in 23 patients (15.4%) [12]. Potti et
 159 al.[24] checked 347 adult patients (55.6% males and mean age of 53 years) with primary malignant
 160 brain tumors. It was found that 10.4% of the archival pathologic samples showed presence of HER-
 161 2/neu overexpression by IHC.

162 Gulati et al.[19] investigated HER2 expression in 31 cases with anaplastic astrocytomas with
 163 three monoclonal antibodies, including CB11, 3B5, and 5A2. HER2 positivity was observed in 45%,
 164 100%, and 52% of cases, respectively. This discrepancy in results may be due to using different
 165 monoclonal antibodies and different interpretations of the positive samples. Mineo et al.[18]
 166 showed survival time was significantly longer when HER2 expression was low in the patients with
 167 medulloblastoma tumors. Koka et al. [12], after adjusting for age, performance status, smoking
 168 history, and treatment of GBM patients, revealed that HER2 overexpression significantly increased
 169 the odds of early mortality (the median survival of patients for HER2 overexpression was 4 months
 170 compared to 8 months for lack of overexpression), which were confirmed by Potti et al.[24]
 171 Therefore, the results showed that HER2 overexpression may be a poor prognostic marker in
 172 patients with GBM [12] and astrocytic tumors of the brain [22]. The studies suggest that HER2
 173 expression may be involved in the development and progression of astrocytic brain tumors and
 174 may be potentially significant owing to the role of Herceptin therapy in these tumors [8,25,26].

175 5. Conclusions

176 Considering the high expression of HER2 in most brain tumors, HER2 overexpression may be
177 a poor prognostic marker in patients with primary brain tumors. But the results showed HER2
178 expression is dependent on the type of brain tumors. High expression in high-grade astrocytoma
179 may be useful for therapeutic purposes. Future studies are recommended to be conducted on a
180 large number of patients in different areas to confirm these results.

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187 **Conflicts of Interest:** None to declare.

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