

RAD-ical new insights into RAD51 regulation

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ABSTRACT

Accurate repair of DNA is critical for genome stability and cancer prevention. DNA double-strand breaks are one of the most toxic lesions and can be repaired using homologous recombination (HR). HR is a high-fidelity DNA repair pathway that uses a homologous template for repair. One central HR step is RAD51 nucleoprotein filament formation on the single-stranded DNA ends, a step required for the homology search and strand invasion steps of HR. RAD51 filament formation is tightly controlled by many positive and negative regulators, collectively termed the RAD51 mediators. The RAD51 mediators function to nucleate, elongate, stabilize, and disassemble RAD51 during repair. In model organisms, RAD51 paralogs are RAD51 mediator proteins that structurally resemble RAD51 and promote its HR activity. New functions for the RAD51 paralogs during replication and in RAD51 filament flexibility have recently been uncovered. Mutations in the human RAD51 paralogs (RAD51B, RAD51C, RAD51D, XRCC2, XRCC3, and SWSAP1) are found in a subset of breast and ovarian cancers. Despite their discovery three decades ago, few advances have been made in understanding the function of the human RAD51 paralogs. Here we discuss the current perspective on the RAD51 paralogs *in vivo* and *in vitro* function and their relationship with cancer in vertebrate models.

Keywords (3-10): Homologous recombination, RAD51 paralogs, BRCA1, BRCA2, RAD51B, RAD51C, RAD51D, XRCC2, XRCC3, Shu complex, cancer

INTRODUCTION TO DOUBLE-STRAND BREAK REPAIR

Exogenous and endogenous DNA damage is constantly challenging our genomic integrity. Exogenous DNA damaging agents, such as radiation, ultraviolet light, and chemicals, or endogenously generated DNA damage, such as errors in replication or cellular processes that generate reactive oxygen species (ROS), create a wide variety of DNA lesions [1]. Maintaining genome stability requires many coordinated processes within the cell to ensure conservation of our genetic material through each cell division [2]. Collectively, these processes are referred to as the DNA damage response (DDR) [3]. Accurate DNA repair is a key part of the DDR and its loss leads to genome instability, a hallmark of cancer development [4]. The most deleterious type of DNA damage is a double-strand break (DSB) as even a single unrepaired DSB results in cell death [5] and misrepair of DSBs is associated with increased genomic instability and consequently tumorigenesis [2]. Therefore, cells have evolved highly specialized responses to recognize and repair DSBs.

Non-homologous end joining (NHEJ) and homologous recombination (HR) are the two predominant pathways to repair DSBs [6]. Once a DSB is recognized and the DDR is initiated, the cell must determine the appropriate repair pathway based on the nature of the break and the availability of a potential repair template. A major factor governing repair pathway choice is cell cycle phase. NHEJ is the predominant DSB repair pathway in human cells and can be used throughout the cell cycle, especially in G1 phase [6]. Conversely, HR is primarily restricted to S and G2 phases when a sister chromatid is available as a repair template. NHEJ does not rely on a homologous template but rather employs minimal processing around the break site and ligation of the ends [7]. Therefore NHEJ more frequently results in a loss of genetic material through

micro-insertions and deletions (INDELS) [7]. In contrast, HR is a tightly regulated and faithful template-guided repair process that replaces the lost or resected DNA around the damage using the information provided by an intact homologous sequence such as a sister chromatid or homologous chromosome. This increased fidelity ensures the preservation of the genome through each cell division. Commitment to HR requires DSB end resection and formation of RAD51 nucleoprotein filaments.

A. Commitment to HR through DSB end resection and RAD51 filament formation

HR pathway initiation requires 5' to 3' end resection at the break site exposing single-stranded DNA (ssDNA) overhangs that ultimately prevent canonical NHEJ from repairing the break (Figure 1) [6]. DNA end resection is initiated by MRE11-RAD50-NBS1 (MRN) binding to the DNA ends, which subsequently recruits CtIP to generate 3' ssDNA overhangs [6, 8]. In addition to the resection activity of MRE11, repair pathway choice is also directed by the opposing actions of 53BP1 and BRCA1 [9, 10]. Once a break is detected, 53BP1 and BRCA1 compete for directing the cell to commit to NHEJ or HR, respectively (Figure 1A) [10, 11]. 53BP1 promotes NHEJ by inhibiting DNA end resection while simultaneously tethering two double-stranded DNA (dsDNA) ends together enabling their subsequent ligation [11]. How BRCA1 inhibits 53BP1 activity remains unclear. However, when BRCA1 binds BARD1, it can ubiquitinate CtIP increasing CtIP affinity for DNA thus promoting resection [11-13]. At the same time, the DNA ends are shielded from resection through the 53BP1-interacting partners, RIF1 and the newly identified “shieldin” complex (REV7-SHLD1-SHLD2-SHLD3) [14-17]. Loss of 53BP1 or the shieldin complex, impairs NHEJ resulting in increased HR (Figure 1A) [9, 10, 14-17]. Preventing extensive end resection is important for limiting hyper-recombination by HR and

preventing loss of genetic material. Extensive resection can result in loss-of-heterozygosity by alternative deleterious repair pathways such as single-strand annealing (SSA; Figure 1B) or break induced replication (BIR; not pictured) [18].

Once DNA end resection occurs, the HR pathway can be utilized. Increasing evidence suggests that the primary role of HR is actually to repair DNA damage that occurs during replication [2]. While canonical HR repairs a direct DSB, this pathway can also repair lesions produced by stalled or collapsed replication forks [2]. Cells commit to a homology-directed mechanism of repair when extensive resection is performed by the action of multiple nucleases. Short- and long-term resection is mediated by MRN/CtIP in conjunction with EXO1 or BLM and DNA2 (Figure 1B) [19]. This resection reveals 3' ssDNA ends which are quickly coated by replication protein A complex [19, 20]. RPA-coated filaments ensure that the ssDNA overhangs are not degraded and prevent secondary structures from forming [19]. RAD51 then displaces RPA to form the pre-synaptic filament, and this requires the activity of several so-called “RAD51 mediator” proteins (Figure 1C) [21]. RAD51 nucleoprotein filaments search for a homologous sequence to invade and displace one strand of the homologous template to form a D-loop (Figure 1D) [22]. In canonical HR, this structure allows for the pairing of the broken strand with the displaced strand to form a heteroduplex (Figure 1D) and DNA synthesis restores any missing nucleotides at the break site (Figure 1E). Subsequently second end capture results in formation of a double Holliday junction (dHJ) (Figure 1G). This intermediate is resolved through either a dissolution or resolution mechanism, yielding non-crossover (NCO) or crossover (CO) (Figure 1H,I) [22]. Alternatively, during synthesis dependent strand annealing (SDSA), only one-end invasion occurs thus forming a single Holliday junction, and this intermediate is dissolved into a

NCO product (Figure 1F) [22]. In this review, we will focus on mechanisms that regulate commitment to HR through the RAD51 mediators.

B. Overview of RAD51 structure, function, and activity

RAD51 filament formation is key for commitment to HR and is a highly conserved step [21]. The centrality of RAD51 to HR is underscored by its evolutionary conservation from bacterial RecA to human RAD51, as well as by the amino acid sequence similarity to its meiotic counterpart, DMC1, and its paralogs (described below) [24].

RAD51 plays a critical role during DNA homology search and strand invasion. RAD51 assembles into a heptamer that encircles the DNA forming a helical nucleoprotein filament in which one RAD51 molecule binds to three nucleotides [25, 26]. This nucleoprotein filament is termed the presynaptic filament [27]. Recently, total internal reflection fluorescence (TIRF) microscopy revealed homology search by the presynaptic filament efficiently samples dsDNA in at least eight nucleotide increments discounting matches of seven or fewer nucleotides [25]. TIRF microscopy further revealed that after finding eight nucleotide microhomology, RAD51-mediated strand exchange occurs in three nucleotide steps with proper Watson-Crick base pairing and is conserved from bacterial RecA to human RAD51 [26]. The homology search has been modeled *in vivo* in budding yeast using fluorescent microscopy and endonuclease-induced DSBs [27]. Upon DNA damage, both the broken ends and undamaged chromosomes increase their local mobility to enable the search for homology in both haploid and diploid yeast cells [28, 29]. *In vivo*, the homology search and movement of the DNA ends require budding yeast Rad51, DNA end resection proteins such as Sae2/CtIP, and the DNA damage checkpoint (Mec1, Rad9, and Rad53) [28, 29]. In human cells, movement of the DSB ends during the homology search is

controversial. In some cases, DSB mobility was not observed when monitoring both ends of a break site in live cells [30]. This immobility is thought to be important for preserving genome stability by preventing illegitimate fusions [31]. In other instances, movement of DSB ends was observed in live cells through clustering of chromosome domains [32, 33]. DSBs at telomeres also exhibit increased mobility, which results in telomere-telomere recombination [34]. RAD51 has proved challenging to study in mammalian systems because, unlike yeast, loss of functional RAD51 is not tolerated in mouse models and RAD51^{-/-} cells cannot be propagated [35, 36]. Therefore, much of what we know about the homology search is based upon work done in model organisms such as yeast.

C. Overview of key RAD51 regulators

Although RAD51 nucleoprotein filament is required for the homology search and strand invasion steps of HR, a rate limiting step to RAD51 filament formation is the displacement of RPA from ssDNA [22]. Since RPA binds with higher affinity to ssDNA than RAD51 [37, 38], RAD51 mediator proteins are required to assemble RAD51 on ssDNA by nucleating, elongating, and stabilizing the RAD51 nucleoprotein filament. In addition, new roles for these proteins have been identified in RAD51 filament flexibility and even end capping which is thought to stimulate strand exchange [39, 40].

In mammalian cells, RAD51 filament nucleation is mediated by the RAD51 loader, BRCA2, and this function is carried out in other eukaryotes, such as budding yeast, by the Rad52 protein [41-43]. In humans, RAD51 binds BRCA2 through the BRC repeats and the C-terminal domain of

BRCA2 [43-46]. The BRC repeats in BRCA2 mimic the oligomerization interface of RAD51 thus enabling RAD51 loading [45, 47]. BRCA2 delivers RAD51 monomers to ssDNA rather than dsDNA allowing filament formation and ultimately promoting RAD51 strand-exchange activity [43, 47, 48]. Underscoring the importance of the coordination of RAD51 activity by BRCA2, *BRCA2* mutations in the BRC repeats have been found in cancers. Furthermore, mice with deletion of the exon containing the BRC repeat of BRCA2 are inviable [49-51]. BRCA2 also binds and coordinates the activity of several other recombination factors including DSS1 and PALB2 to promote RAD51 loading and activity [42].

In addition to BRCA2, other RAD51 mediators include the RAD51 paralogs, which are proteins that structurally resemble RAD51 itself, and the Shu complex, a RAD51 paralog-containing complex. RAD51 paralogs arose from a gene duplication of the ancestral RADA protein in archaea and have maintained their structural similarity to RAD51. In humans, there are six RAD51 paralogs including RAD51B, RAD51C, RAD51D, XRCC2, XRCC3, and SWSAP1. Once RAD51 filaments are nucleated, the RAD51 paralogs are thought to aid in several aspects of RAD51 regulation such as stabilization and elongation of the RAD51 filament itself and in filament remodeling to facilitate the homology search. However, the precise function of the individual RAD51 paralogs remains largely enigmatic and why so many RAD51 paralogs are needed is unknown.

In addition to the RAD51 paralogs, other positive RAD51 regulators also aid in downstream recombination steps after RAD51 filaments have formed. The DNA translocase paralogs RAD54A and RAD54B are chromatin remodelers that enable strand exchange through their

dsDNA-dependent ATPase activities [52-54]. In addition to the positive regulators, negative regulators also aid in disassembly of RAD51 filaments. FBH2 and RECQL5, act as antirecombinases to dissociate RAD51 from ssDNA, whereas FANCM and RTEL specifically function during D-loop disassembly [54-56]. These negative regulators are equally important as they modulate recombination by limiting RAD51 activity at illegitimate recombination sites (Figure 1C and 1D) [54].

RAD51 REGULATION IN MAMMALIAN MODELS

A. RAD51 paralog containing complexes

The mammalian RAD51 paralogs were first identified 30 years ago [57]. Five of the six RAD51 paralogs are considered canonical RAD51 paralogs (RAD51B, RAD51C, RAD51D, XRCC2, XRCC3) and share 20-30% amino acid sequence identity with RAD51 itself, particularly around the Walker A and B motifs [58-61]. The more recently identified SWSAP1 protein is a highly divergent RAD51 paralog that shares closest sequence homology with RadA (~24%), an archaeal RecA family member and also contains Walker A and B motifs [62]. The RAD51 paralogs assemble into sub-complexes *in vivo* as the heterotetramer BCDX2 (RAD51B, RAD51C, RAD51D, and XRCC2), the heterodimer CX3 (RAD51C and XRCC3), and the Shu complex (SWSAP1 and SWS1) (Figure 2) [62-65]. RAD51C is also a member of an additional complex which consists of BRCA2, PALB2, and RAD51 itself (Figure 2). Unlike the canonical RAD51 paralog subcomplexes, the Shu complex consists of a Shu2/SWS1 protein family member that is characterized by a conserved zinc-finger like binding motif,

CXC...X_n...CXHXXA, where X is any amino acid. In all organisms where the Shu complex has been analyzed, the Shu2/SWS1 protein family member interacts with the RAD51 paralogs to regulate RAD51 function [66, 67]. All of the RAD51 paralog containing complexes are thought to promote RAD51 mediated activities, although their precise composition and function in this process is largely unknown.

Initial determination of RAD51 paralog sub-complex assembly was determined using yeast-two-hybrid and yeast-three-hybrid systems due to the insolubility of recombinantly expressed RAD51 paralogs [62, 64, 68-70]. Although biochemical investigation of the mammalian RAD51 paralogs has lagged significantly behind cellular studies, the protein-protein interactions of the RAD51 paralog sub-complexes, BCDX2 and CX3, were later confirmed using recombinant proteins purified from *Escherichia coli* and Sf9 insect cells [63, 64]. The RAD51 paralogs are thought to be incorporated into their respective subcomplexes in a 1:1 stoichiometry. For example, recombinant CX3 and BCDX2 assemble into a 1:1 and 1:1:1:1 stoichiometry, respectively, when purified from either insect Sf9 cells or human HeLa cells [63, 64, 71]. *In vitro*, the BCDX2 complex can also assemble into stable heterodimers, which include BC (RAD51B and RAD51C) and DX2 (RAD51D and XRCC2) [72, 73]. Unlike RAD51 which interacts with additional RAD51 monomers to form a filament, the RAD51 paralogs do not. Instead, they assemble into heterodimers and these protein-protein interactions are critical for their stability [62, 74]. Within the BCDX2 complex, RAD51C and RAD51D interact with each other and also with RAD51B or XRCC2, respectively (Figure 2) [64, 68, 73, 75]. This is similar to the budding yeast Shu complex, which forms a horse shoe shape as revealed by X-ray crystallography [76].

Recently, RAD51C was found to act in a third complex distinct from the other RAD51 paralog sub-complexes. Mass spectrometry revealed that RAD51C interacts directly with PALB2 which acts as a scaffold to simultaneously bind RAD51, RAD51C, and BRCA2 in HeLa S3 cells [77]. PALB2, as the “partner-and-localizer of BRCA2,” is necessary to recruit BRCA2 to sites of DNA damage [78]. PALB2 mutants that disrupt RAD51C interaction show increased BRCA2 foci but decreased RAD51 foci [77]. Therefore, the PALB2-RAD51-RAD51C-BRCA2 complex may facilitate BRCA2 removal after RAD51 filament nucleation [77].

B. In vitro characterization of RAD51 paralog function in RAD51 pre- and post-synaptic filament assembly

Initiation of RAD51 filament assembly on ssDNA overhangs may be facilitated, in part, by both the CX3 and BCDX2 complexes. Consistent with this notion, CX3 exhibits ATP-independent DNA binding affinity for ssDNA [63, 71]. CX3 also binds to other DNA substrates such as 5' or 3' tailed DNA but with reduced affinity [63, 71] and has the lowest affinity for dsDNA [63, 71]. Interestingly, the CX3 complex promotes DNA aggregation suggestive of a role in annealing complementary DNA during the homology search of RAD51 filaments [63, 71]. Together, this data suggests that CX3 may have an early function in RAD51 filament assembly. Similarly suggesting a role of the BCDX2 complex in RAD51 filament assembly, the BCDX2 complex exhibits a modest ATPase activity in the presence of ssDNA but not in the presence of 5' or 3' tailed DNA or dsDNA [64]. Further supporting a role for the BCDX2 complex in RAD51 filament assembly, electron microscopy analysis has shown the BCDX2 complex bound to both ssDNA as well as gaps and nicks in duplexed DNA [64]. Given that the RAD51 paralogs contain

Walker A and Walker B motifs that are used for ATP binding, their role in RAD51 filament mediation may utilize ATP hydrolysis. For example, the BC heterodimer or the DX2 heterodimer alone also binds ssDNA, and this binding stimulates ATPase activity [72, 73]. Furthermore, the DX2 heterodimer ssDNA binding is enhanced upon ATP addition [72, 79]. In addition to biochemical studies, the roles of BCDX2 and CX3 in filament formation are most strongly supported by cellular studies discussed below [74, 80]. Additionally, work with the yeast RAD51 paralogs support this presynaptic role. *In vitro* analysis has revealed that both the yeast RAD51 paralog containing complexes, RAD55-RAD57 and Shu complex, promote RAD51 presynaptic filament assembly [81, 82]. How the RAD51 paralogs mechanistically aid in RAD51 filament assembly is still unknown (Figure 3). It has been hypothesized that perhaps they can either intercalate into the filament (Figure 3A; left side) or even form a co-filament that enables RAD51 elongation after BRCA2-mediated nucleation (Figure 3A; right side). For example, DX2 and CX3 were observed to form filament structures on ssDNA, although these structures significantly differed from RAD51 nucleoprotein filaments [71, 79]. Alternatively, the RAD51 paralogs could potentially cap the DNA ends to prevent RAD51 filament disassembly similar to what has been observed in yeast for Rad55-Rad57 (Figure 3B) [62]. Lastly, it has been hypothesized that different RAD51 paralog-containing complexes may promote HR depending upon the nature of the DNA lesion, particularly for the Shu complex [66, 67, 83, 84]. For example, the Shu complex shows specificity for promoting tolerance of DNA damage, such as an abasic site, in a replication-specific context [83].

Conflicting biochemical evidence has also described post-synaptic roles for the RAD51 paralogs as well. For example, contradictory evidence suggests that CX3 either does or does not aid

RAD51-mediated D-loop formation [63, 71]. Suggesting a role for DX2 and BC in strand exchange, the DX2 heterodimer also catalyzes homologous pairing enabling D-loop formation [79] while the BC heterodimer enhances RAD51-mediated strand exchange in the presence of RPA [73]. It is possible that incorporation of the RAD51 paralogs into the RAD51 filament could change the conformation of the RAD51 filament to enable increased flexibility for strand exchange as well as promote filament disassembly to allow the subsequent steps of HR to proceed (Figure 3B). Work with the *C. elegans* RAD-51 paralogs, RFS-1 and RIP-1, have provided the most convincing biochemical evidence for the worm RAD-51 paralogs role in increasing filament remodeling [39, 40]. The authors used stop flow experiments and cryo-EM to show that the worm RAD-51 paralogs facilitate a conformation that enables base pairing and strand exchange. They propose a model in which BRC-2 nucleates RAD-51 displacing RPA, and the RAD-51 paralogs stabilize and remodel the pre-synaptic filament. The RAD-51 paralogs change RAD-51 pre-synaptic filament conformation by capping the 5' end and remodeling up to 40 nucleotides of the 5'-3' filament [39, 40]. These RAD-51 paralog activities are dependent on nucleotide binding but not ATP hydrolysis [39, 40]. Beyond these initial characterizations, more detailed *in vitro* studies with the human RAD51 paralogs are lacking. For example, the human RAD51 paralogs have not yet been purified individually nor have their crystal structures been determined. Therefore, most of our current understanding of RAD51 paralog function comes from molecular studies in model organisms that have addressed at what steps of repair the RAD51 paralogs act.

C. In vivo characterization of RAD51 paralog function in vertebrates

RAD51 paralog knockout mice and MEFs

Since their initial discovery, technical challenges have limited the study of the RAD51 paralogs *in vivo* [21, 58]. For example, mouse knockout models for the five canonical RAD51 paralogs result in embryonic lethality (Summarized in Table 1). Supporting unique functions for each RAD51 paralog, the knockout models arrest at different developmental stages [Table 1; *RAD51B* (E7.5-E8.5), *RAD51C* (E8.5), *RAD51D* (E9.0-E10.0), and *XRCC2* (E10.5-died at birth)] [85-88]. This embryonic lethality mirrors that of *BRCA2* knockout mice (~E8-E9) and provided early evidence that the RAD51 paralogs, like *BRCA2*, have important HR and developmental functions [89]. Recently, the highly divergent RAD51 paralog *SWSAP1* and its binding partner *SWS1* were shown to produce viable, but sterile, knockout mice [84]. The sterility observed is due to defects in RAD51- and *DMC1*-mediated meiotic recombination. These mouse models provide new opportunities to examine RAD51 paralog function that has not been possible with the canonical RAD51 paralogs.

In addition to lack of animal models for the canonical RAD51 paralogs, creating mouse embryonic fibroblasts (MEFs) from these knockout embryos has been challenging. Suggesting *RAD51C* and *RAD51D* are essential, MEFs could not be derived from *RAD51C*^{ko/ko} or *RAD51D*^{ko/ko} mice and conditional *RAD51C* knockout MEFs could not be propagated [86, 87]. In contrast to *RAD51C*^{ko/ko} and *RAD51D*^{ko/ko}, *XRCC2*^{ko/ko} MEFs were created and found to exhibit fewer RAD51 foci following ionizing radiation-induced DNA damage, and increased mitomycin C sensitivity with fewer sister-chromatid exchanges [90]. Most intriguingly, even a *XRCC2*^{ko/+}

heterozygote knockout displayed genetic instability [90]. This result has important clinical implications for *XRCC2* mutation carriers.

Interestingly, *Trp53* knockout slightly extended the embryonic development of *RAD51B*, *RAD51C*, and *RAD51D* knockout mice (Table 1) [86, 88, 91]. The greatest rescue is observed with *XRCC2* knockout mice where *Trp53* knockout extended development 6 days [61]. These results are particularly interesting in the context of ovarian cancer where RAD51 paralog germline and somatic mutations are found in p53 deficient tumors [92, 93]. In this context, p53 disruption could enable growth with RAD51 paralog deficiency. Although mouse models result in embryonic lethality, mouse embryonic fibroblasts (MEFs) have been derived from three of the RAD51 paralog knockout mice in a p53-deficient background (*RAD51C^{ko/ko}/Trp53^{ko/ko}*; *RAD51D^{ko/ko}/Trp53^{ko/ko}*; *XRCC2^{ko/ko}/Trp53^{ko/ko}*) [61, 86, 91, 94]. These MEFs exhibit defects consistent with decreased RAD51 loading or activity (Summarized in Table 1). For example, *RAD51D^{ko/ko}/Trp53^{ko/ko}* MEFs have decreased mitomycin C-induced sister chromatid exchanges (SCEs), which result from RAD51-mediated crossover events [91]. This is further supported by a decrease in RAD51 foci formation after irradiation (IR) in both *RAD51C^{ko/ko}/Trp53^{ko/ko}* and *RAD51D^{ko/ko}/Trp53^{ko/ko}* MEFs [86, 91]. These RAD51 paralog deficient MEFs are chromosomally unstable with increased chromatid breaks, gaps, and exchanges [86, 91]. In addition to genetic instability, RAD51 paralog disruption in combination with p53 results in extreme sensitivity to the DNA crosslinking agent mitomycin C (MMC) [61, 86, 91, 94]. Severe sensitivity to crosslinking agents is a defining feature of cells derived from Fanconi anemia (FA) patients and unsurprisingly, *RAD51C* (FANCO) and *XRCC2* (FANCU) mutations have been uncovered in FA or FA-like patients [95-98].

RAD51 paralog knockout hamster, chicken, and tumor cell lines

The most progress in understanding the role of mammalian/vertebrate RAD51 paralogs has come from studies in hamster (CHO) and chicken (DT40) cell lines where the RAD51 paralogs are not essential for survival [57, 80, 99-102]. Both CHO and DT40 cells have mutant p53 likely enabling the deletion of RAD51 paralogs to be tolerated in culture [101, 103]. Chinese hamster ovary (CHO) cells lacking XRCC2 (*XRCC2*^{-/-}, irs1-ionizing radiation sensitive 1) have the greatest sensitivity to MMC but are also sensitive to IR, UV, and ethyl methanesulphonate (EMS) [57, 58]. CHO cells lacking XRCC3 (*XRCC3*^{-/-}, irs1SF) also exhibits increased sensitivity to IR and fails to form RAD51 foci after damage [58, 102, 104]. Like CHO cells, DT40 (derived from B-lymphocytes) cells are p53 deficient and tolerate loss of any of the five canonical RAD51 paralogs [80, 101]. DT40 RAD51 paralog knockouts exhibit genomic instability as revealed by spontaneous chromosomal breaks, a reduction in MMC-induced SCEs, and decreased IR-induced RAD51 foci [80, 101]. Furthermore, each RAD51 paralog DT40 knockout cell line shows increased sensitivity to DNA damaging agents such as IR, MMC, and cisplatin [80, 101]. These DT40 cell lines have been complemented with human or mouse cDNA which rescued genome instability phenotypes in *RAD51B*^{-/-}, *RAD51D*^{-/-}, *XRCC2*^{-/-}, and *XRCC3*^{-/-} [80, 101]. Interestingly, *RAD51C*^{-/-} DT40 could not be complemented with human RAD51C and this has limited RAD51C functional analysis [80]. Since their initial characterization, these cell lines have complemented and confirmed the results obtained from the mouse models.

In contrast to other vertebrate models, knockdown of RAD51 paralogs in human cancer cell lines (HeLa, HT1080, MCF7 and U2OS) has been challenging. For example, siRNA depletion of any

individual RAD51 paralog de-stabilizes its binding partners (i.e. siXRCC2 decreases expression of endogenous RAD51D) and siRNAs have variable levels of knockdown efficiency [74, 105]. RAD51C is particularly problematic as it is a member of both the BCDX2 and CX3 complexes and its depletion destabilizes members of both sub-complexes [105]. The stability dependency between the RAD51 paralogs makes understanding their unique contributions particularly difficult. Furthermore, knockdown of RAD51C is highly toxic to HeLa cells as measured by plating efficiency, and delays cell cycle progression from G₁ phase into S and G₂ phases [105].

The importance of each human RAD51 paralog in HR has been demonstrated in MCF7 and U2OS cell lines by measuring HR following an endonuclease-induced DSB and by monitoring cells for DNA damage sensitivity [74, 105]. Consistent with an HR function, the elevated IR-sensitivity of RAD51C-depleted HeLa cells was specific to S/G₂ cell cycle phase when HR is most active while G₁ phase cells were equally sensitive as controls when other repair pathways predominate [105]. Furthermore, increasing evidence suggests that the RAD51 paralog subcomplexes likely have non-overlapping roles. For example, siRNA knockdown of RAD51D, which disrupts the BCDX2 complex specifically, results in decreased RAD51 foci formation following IR exposure whereas siRNA knockdown of XRCC3, which disrupts the CX3 complex specifically, does not [74]. Concurrent siRNA knockdown of both RAD51B and RAD51D does not further impair RAD51 foci formation upon IR treatment [74]. These results suggest that the CX3 and BCDX2 complexes function independently. Furthermore, BCDX2 likely acts upstream of RAD51 whereas the CX3 complex may function after RAD51 filament formation [74]. This result is contradictory to in vitro work suggesting that CX3 has an early function in RAD51 filament assembly [63, 71]. Suggesting BRCA2 recruitment is independent of the RAD51

paralogs, depletion of either BCDX2 or CX3 does not impair BRCA2 foci formation after IR [74]. Altogether, these cell-based studies support the notion that the RAD51 paralogs might function during both pre- and post-synaptic filament assembly (Figure 3).

D. The RAD51 paralogs function at replication forks

The RAD51 paralogs also play critical roles at damaged replication forks [106]. RAD51 paralog disruption leads to sensitivity to genotoxic agents that cause replication-associated damage such as the alkylating agent methylmethane sulfonate (MMS) [86, 107]. Alkylation damage is primarily repaired through the base excision repair pathway (BER); however, if the replication fork encounters a BER DNA processing intermediate, these DNA intermediates can slow or even collapse replication forks [108]. Similar to agents that directly induce DSBs, both RAD51C^{ko/ko}/Trp53^{ko/ko} and RAD51D^{ko/ko}/Trp53^{ko/ko} MEFs are sensitive to MMS (Table 1; Deans and West, 2011)[86]. Similarly, knockdown of the human Shu complex members, SWS1 or SWSAP1 also increases MMS sensitivity and reduces RAD51 foci formation [62, 65]. Interestingly, the Shu complex function is specific to these types of lesions as SWS1 or SWSAP1 knockdown cells do not exhibit sensitivity to IR [62]. This is consistent with the role for the yeast Shu complex in tolerance of MMS-induced DNA damage during S phase [83].

In mammalian cells, emerging new roles in replication fork protection and restart have been identified for other RAD51 mediators such as BRCA2 [109, 110]. Fiber spreading methods have revealed that BRCA2 protects replication forks from MRE11-mediated degradation [109]. RAD51 has also been implicated to have a role in fork protection [111]. Direct evidence for a

role of the human RAD51 paralogs at replicative damage has only recently been investigated [106]. A study by Somyajit et al examined the consequences of loss of three RAD51 paralogs, RAD51C, XRCC2, and XRCC3, using RAD51 paralog CHO mutant cell lines and HeLa cell knockdowns [106]. Suggesting that RAD51C, XRCC2, and XRCC3 protect replication forks, RAD51 paralog-deficient cells have increased MRE11-mediated degradation of nascently replicated DNA which is observed by DNA fiber spreading (Figure 3C). This implicates both the BCDX2 and CX3 complexes as being involved in replication fork protection [106]. Unlike XRCC2, CX3 is uniquely important for replication fork restart and this activity depends on their Walker A motifs [106]. This result suggests that the RAD51 paralog subcomplexes may play unique functions during repair of replication damage [106].

RAD51 MEDIATORS AND DISEASE

Since HR is a high-fidelity DSB repair mechanism, mutations in HR genes are particularly deleterious to cells. The importance of maintaining this repair pathway is highlighted by the link between mutations in HR genes and several cancer-associated genetic diseases. Defects in HR genes cause many genetic syndromes, such as ataxia-telangiectasia, Nijmegen break syndrome, Fanconi anemia, and Bloom's syndrome [112]. Specifically, the RAD51 mediators and their interaction partners are heavily correlated to diseases defined by genomic instability that predispose individuals to cancer.

A. Genetic syndromes linked to RAD51 mediators

While defects in HR genes are linked to several genetic syndromes, the RAD51 mediators are most closely associated with Fanconi anemia (FA) [113]. FA affects many systems of the body causing bone marrow failure, anemia, congenital abnormalities, and cancers amongst other clinical conditions [113]. Most notably these patients have an early predisposition to several cancers of the blood, bone marrow, and solid tumors, which vary by complementation group [113, 114]. FA is diagnosed by a sensitivity to the crosslinking agent mitomycin C (MMC) or diepoxybutane (DEB) resulting in chromosomal breaks and radials [98, 113]. This MMC sensitivity is due to defects in inter-strand crosslink (ICL) repair either in removal of the crosslink itself or in downstream HR steps [113]. FA is caused by single gene defects in any one of these factors and are named for their complementation groups (A-W to date)[98, 115]. Importantly RAD51 and its mediators make up a significant portion of these complementation groups including BRCA2 (FANCD1), PALB2 (FANCN) RAD51C (FANCO), RAD51 (FANCR), and XRCC2 (FANCU) [98]. The addition of RAD51, RAD51C, and XRCC2 to the FA family has been quite recent likely due to the availability and decreased cost of DNA sequencing. For example, recessive truncation mutations in XRCC2 identified a new FA group FANCU [97]. While RAD51C is classified as a FANC complementation group, it does not share all of the phenotypes that present in other FA subtypes. It is therefore considered a Fanconi-like syndrome [95, 96]. Two distinct cases have been reported thus far which have interesting and significant differences. The first case had biallelic point mutations (R258H), whereas the second had two distinct mutant alleles, a point mutation (R312Q) and a splice variant [95, 96]. While biallelic mutations can cause individuals to develop FA, heterozygous RAD51C FA carriers are predisposed to cancer [96]. It is interesting to note that all the members of the PALB2-RAD51-RAD51C-BRCA2 complex are FA genes as well as cancer-associated. While the function of this

complex is unknown, it is tempting to speculate that perhaps there is a commonality among these proteins that has yet to be experimentally addressed. Since the RAD51 paralogs interact with each other to function in complexes and their stability is intimately intertwined, it is possible that more FA patients with mutations in the RAD51 mediators may be identified. The emerging role of RAD51C and potentially other RAD51 paralogs in ICL repair is still an emerging field of study, and we have yet to determine if these proteins are playing roles upstream of canonical HR during ICL repair.

B. Cancers associated with defects in homologous recombination

While patients with biallelic mutations in RAD51 and its mediators have been identified in FA patients, monoallelic germline mutations in RAD51 mediators are correlated to predisposition to cancer [92, 116]. This is thought to be frequently caused by a somatic loss-of-heterozygosity (LOH) event where the second functional copy of the gene is deleted, resulting in genomic instability and cancer development [117, 118]. The most common cancer associated mutations are found in BRCA1 and BRCA2 and can be both germline and somatic. *BRCA1* and *BRCA2*, initially named as breast cancer susceptibility genes 1 and 2, are heavily associated with breast and ovarian cancers [116, 117, 119]. As such, these genes are routinely screened for in women and men with a family history of breast or ovarian cancer [119]. BRCA1 mutations account for about 16.3% of ovarian cancers and BRCA2 mutations account for 6% of ovarian cancers [116]. *BRCA1/2* mutations are somewhat less frequent in breast cancers accounting for 5.5-6.1% of patients [119]. Interestingly, overall mutation frequency of *BRCA1/2* decreases with age in breast cancer patients, suggesting deleterious mutations in *BRCA1/2* are found in earlier-onset cancers

[119]. Mutations in these genes are diverse and range from single amino acid changes to methylation silencing of promoters [116, 119, 120]. More recently, mutations in additional RAD51 mediators are increasingly being identified and screened for in the clinic (BARD1, BRIP1, PALB2, RAD51C, RAD51D) [116, 119, 121]. HR gene mutations are particularly abundant in breast, ovarian, and endometrioid cancers but have also been found in other cancers such as pancreatic and colon [116, 122-124]. A number of the RAD51 mediators have now been added to the more comprehensive breast and ovarian cancer screening panels (i.e. PALB2, RAD51C, RAD51D, XRCC2). Due to the technical challenges in studying these proteins described above, the vast majority of the identified mutations are variants of unknown significance. However, hundreds of epidemiology studies have tried to correlate specific RAD51 paralog mutations with cancer predisposition using population studies. HR-deficiency is most prevalent in ovarian carcinomas and an HR-deficient mutational signature is found in approximately 20% of breast cancers [125]. In the most lethal type of ovarian cancer, high-grade serous carcinomas, up to 51% of tumors are HR-deficient from either inherited or somatic mutations or promoter methylation [116, 120, 126]. It has been estimated that 3% of hereditary ovarian cancer patients have a mutation in RAD51C whereas 5% have a mutation in RAD51D [116]. Standard of care for HR-deficient ovarian cancer patients includes aggressive surgery and a combination of platinum and taxane chemotherapy [116, 127, 128]. However, the five-year survival rate is only 30% and within 12 months, 30-40% of patients relapse [128]. To remedy these startling statistics, it is essential to target these patients with targeted chemotherapy. There remains a critical need to identify all HR-deficient tumors to determine who will most benefit from therapies used to currently treat BRCA1/2 patients.

C. Therapeutic strategies for HR-deficient breast and ovarian cancers

New treatment strategies targeting DDR factors have shown promising success in clinical trials [126, 129]. These therapies can effectively kill cancer cells with defects in DNA repair through synthetic lethality [126]. However, understanding which tumors will be vulnerable to a specific therapy is central to determining efficacy of a drug for an individual tumor. This precision medicine approach requires detailed molecular analysis of variants of unknown significance (VUS) to determine if the target gene is truly deleterious and a good candidate for targeted therapy. Synthetic lethality with the HR pathway has proved especially effective for *BRCA*-deficient cancers. Similarly, emerging evidence suggests these therapies will also be efficacious in targeting other HR gene defects. In addition to traditional chemotherapeutic drugs that induced DNA damage, HR-deficient tumors are currently being targeted with small molecule inhibitors of Poly (ADP-ribose) polymerase (PARP), DDR signaling molecules, and NHEJ factors [118]. These inhibitors have had varying levels of success, and some have already been introduced to the clinic while others remain in various stages of clinical testing as described below.

Synthetic lethality in BRCA and BRCA-like cancers

Synthetic lethality induces cell death by the loss of two somewhat redundant functions that alone would have been viable, such as the impairment of two DNA repair pathways that respond to the same DNA lesions [126]. Synthetic lethality can be achieved through numerous targeting strategies in cancer cells with a genetic loss-of-function mutation. Cancer cells typically lose

some component of the DDR pathway that has enabled genomic instability and has therefore been selected for as it allows the tumor to grow [129-131]. This loss-of-function is specific to the tumor and causes the tumor cells to have greater dependence on remaining pathway(s) for survival relative to normal cells. An effective strategy in specifically targeting cancer cells for cell death takes advantage of DDR impairment in the tumor by pharmacologically impairing a complementary pathway that becomes essential for cell survival [129, 130]. The protection of normal cells from this same lethality is an advantage to traditional chemotherapies that induce DNA damage in all cells [129, 130].

As discussed above, *BRCA*-deficient cancer cells typically arise through a LOH event that enables tumor growth through an increased tolerance for genomic instability [118, 129]. However, the surrounding cells still maintain one normal copy of the *BRCA* gene and are therefore considered *BRCA*-proficient [118]. The tumor then relies on alternative repair pathways while the surrounding tissue can still use HR. Therefore, a drug impairing only the HR alternative pathways will have little effect on normal cells limiting its toxicity [129]. This difference between the two tissue types provides an opportunity for targeted therapy. By increasing the damage burden of the cell through classical chemotherapy and/or inhibiting back-up repair pathways (SSA or alt-NHEJ) through small molecules, the tumor cells can be specifically targeted [22]. This minimizes the impact on normal cells while effectively killing cancer cells [132]. This concept has produced a variety of therapies to treat *BRCA* and *BRCA-like* cancers [126]. It is interesting that HR-defective tumors are sensitive to PARP inhibitors which was designed to target PARP1 and increase ssDNA breaks which are thought to become DSBs in a replicative context [129]. New findings suggest that PARP1 might also play a critical role

during Okazaki fragment ligation during replication to facilitate repair [133]. Currently, HR-deficient cancers are primarily clinically specific to *BRCA*-deficient cancers as other HR factors are less well characterized in a clinical setting but could benefit from the same strategies.

Chemotherapeutics currently in the clinic

Classically, ovarian cancers have been treated with platinum-based chemotherapeutics and more recently triple-negative breast cancer patients have increased progression free survival using platinum therapy [134]. *BRCA1/2*-deficient tumors show increased sensitivity to platinum-based therapy resulting in an improved overall survival [118, 128]. Platinum-based drugs including cisplatin and carboplatin are effective inducers of inter- and intra-strand cross links that require ICL and subsequently HR repair to resolve [113, 134]. In *BRCA1/2*-deficient tumors, HR is incapable of resolving ICLs and DSBs will be repaired by error-prone alternative pathways leading to cell death in the tumor cells. The increased DNA damage is much more toxic to the HR-deficient tumor cells than to the surrounding HR-proficient cells. While few large scale studies have shown the same trends for *BRCA1/2*-independent HR gene mutations, emerging studies show similar platinum sensitivities for mutations in HR genes including *RAD51C* and *RAD51D* [135]. While initially effective, subsequent platinum-resistance often leads to recurrence of the tumor [116]. In this scenario, ovarian cancer patients have now been approved for use of PARP inhibitors [136].

PARP inhibitors (PARPi) are small molecule inhibitors that have recently been approved for platinum-sensitive relapsed and platinum-resistant ovarian cancers harboring *BRCA1* or *BRCA2*

mutations [129, 132, 136]. PARPi in HR-deficient tumor cells are much more toxic than in surrounding HR-proficient tissue. The relationship between PARP and HR is complex as PARP is a single-strand break repair factor. PARPi range in their ability to trap PARP on DNA through the competitive binding of the inhibitor where NAD⁺ activates PARP releasing it from the DNA [129, 137]. However, when PARP dissociation from DNA is inhibited, it becomes trapped on DNA and will block DNA replication [129]. This replication block generates stalled or collapsed replication forks that require HR for repair. It is possible that PARP inhibition may also function by preventing Okazaki fragment ligation and this would require HR repair for removal [133]. If HR is impaired, as occurs in *BRCA*-deficient tumor cells, more deleterious HR alternative pathways repair the damage and lead to rampant genome instability and eventually cell death [129]. Currently, Olaparib and Rucaparib are two PARPi approved for use in the United States [138]. These drugs show different levels of PARP trapping on the DNA where niraparib, olaparib, and rucaparib are medium trappers relative to other PARPi such as talazoparib and veliparib which are stronger or weaker trappers [137]. Success of these drugs in platinum-resistant ovarian cancers holds promise for expanded approval for a larger set of ovarian cancers as well as breast cancers [129]. Currently, clinical trials are testing non-*BRCA* HR deficient tumors that have other HR gene mutations including *RAD51C* and *RAD51D* which show PARPi response [139].

One of the major problems in treating ovarian cancers with PARPi is acquired resistance to the PARPi therapy due to a strong drive for reversion mutations and therefore restoration of HR. The first-line treatment of combined platinum and PARPi may be able to more effectively eliminate the tumor before resistance can arise. Recently, the acquisition of PARPi-resistance has been

investigated in *BRCA1*-deficient cancer cells and has also been observed in RAD51C and RAD51D patients [93]. In addition to reversion mutations restoring BRCA1 function directly, mutations in other genes that restore HR through alternative mechanisms have also been uncovered. For example, in BRCA deficient tumors, additional loss of 53BP1 or the shieldin genes rescues HR-deficiency by allowing the HR pathway to be engaged [14-17]. These results suggest that PARPi may be more effective when used in combination rather than as a monotherapy. In this scenario, a weaker PARP trapper or a reduced dose may be necessary to minimize cytotoxicity.

SUMMARY

RAD51 filament formation is a central step in homologous recombination (HR) and is highly conserved throughout eukaryotes. RAD51 nucleoprotein filaments are tightly regulated by RAD51 mediator proteins which serve to aid nucleation, elongation, stability, and disassembly. New roles for RAD51 mediators show they also facilitate filament flexibility and end capping and have replication-specific functions. Importantly, mutations in the RAD51 paralogs are highly associated with hereditary breast and ovarian cancer predisposition and more recently with several other cancers including melanoma, colon, and pancreatic cancers. Despite discovery of the human RAD51 paralogs three decades ago, few advances have been made in understanding their HR function. The RAD51 paralogs are challenging to study because of the embryonic lethality observed in mouse knockout models and their low protein abundance and insolubility. Currently, there is a critical need to understand the function of the wild-type RAD51 paralogs

and determine how their mutations contribute to cancer predisposition. Here, we focused on the human RAD51 paralogs, RAD51B, C, D, XRCC2, 3, and SWSAP1, and explored their known and emerging functions, the challenges in further uncovering their roles, and their association with cancer predisposition. Future basic and clinical studies are required to uncover how the RAD51 mediators function to prevent cancer and to develop targeted therapies tumor harboring mutations in these critical regulators.

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FIGURES AND TABLE

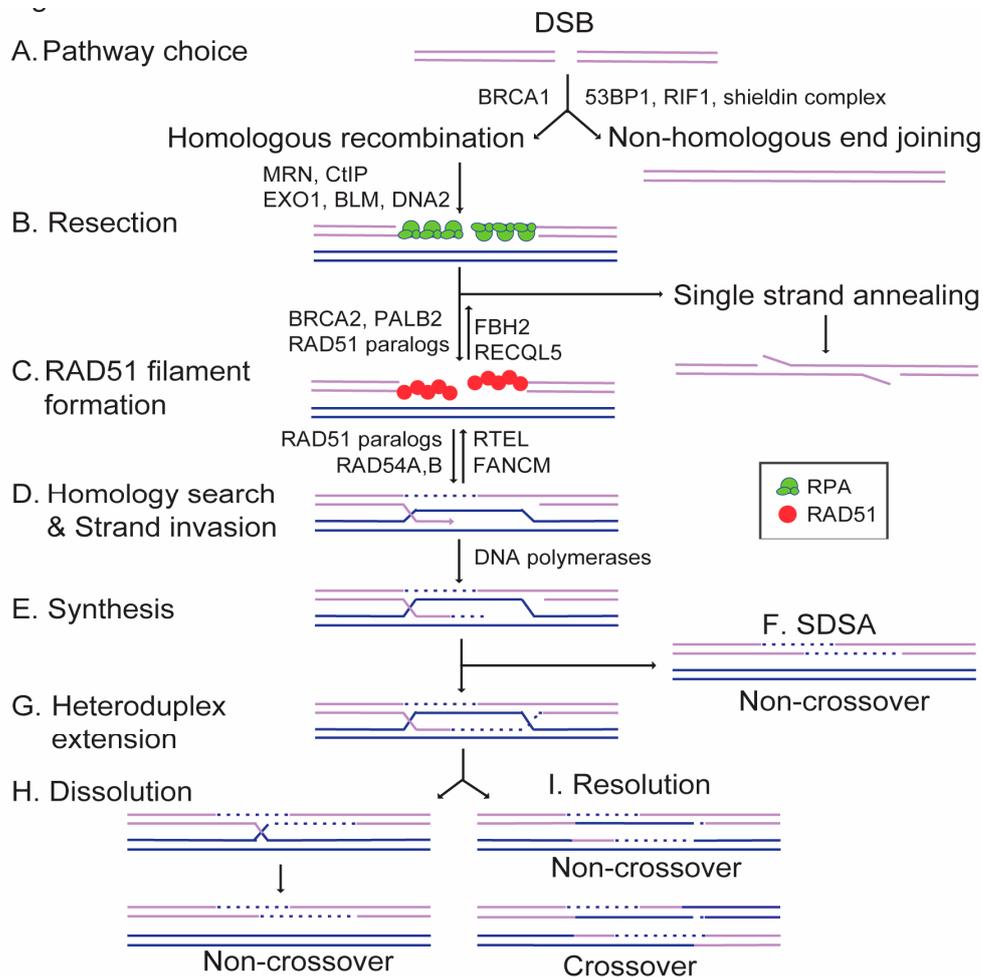
Figure 1

Figure 1. Schematic of double-strand break repair pathways and homologous recombination. After formation of a double-strand break (DSB; purple lines), cells can repair the damage through two primary mechanisms, homologous recombination (HR) using a homologous template (blue lines) or non-homologous end joining (NHEJ). **A.** Pathway choice between HR or NHEJ is mediated by BRCA1 which promotes HR and 53BP1, RIF1, and the shieldin complex which promote NHEJ. **B.** Resection by the MRN (MRE11, RAD51, NBS1) complex, CtIP, EXO1, BLM, and DNA2 creates 3' ssDNA overhangs which are coated by the trimeric replication protein A [20] complex (green circles). During canonical HR, RPA is

displaced by RAD51 (red circles). Alternatively, RAD51-independent repair can occur through single-strand annealing where complementary DNA sequences anneal and flap endonuclease cleave the overhangs and the DNA ends are ligated together. **C.** RAD51 filament formation is regulated by the positive RAD51 regulators, BRCA2, PALB2, and the RAD51 paralogs. At the same time, RAD51 is negatively regulated by FBH2 and RECQL5. **D.** RAD51-mediated homology search and strand invasion then occurs and is regulated by the RAD51 paralogs and RAD54A,B. At the same time, RAD51-mediated D-loops are negatively regulated by RTEL and FANCM. **E.** The DNA polymerases then copy the missing information from the homologous template (shown in blue, a sister chromatid or a homologous chromosome). **F.** During Synthesis Dependent Strand Annealing (SDSA) the D-loop is displaced, and the DNA is resolved into a non-crossover product. **G.** If there is heteroduplex extension and a double Holliday junction formed by second-end capture then these DNA intermediates can be resolved by dissolution or resolution. **H.** Dissolution results in non-crossover products. **I.** Resolution results in both crossover and non-crossover products.

Figure 2

Mammalian RAD51 paralogs-containing complexes

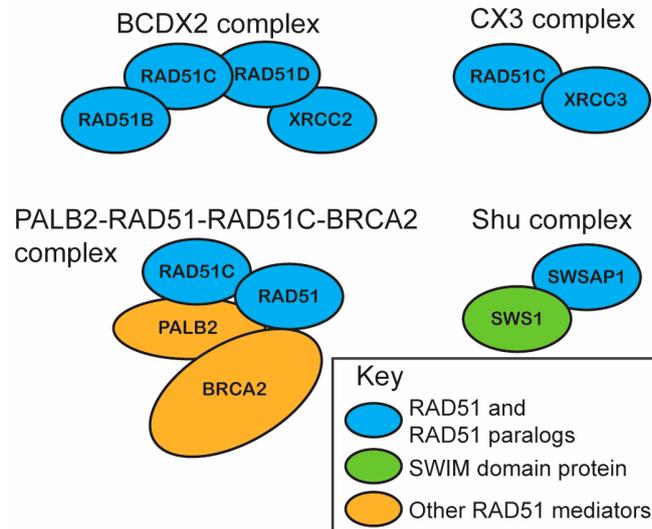
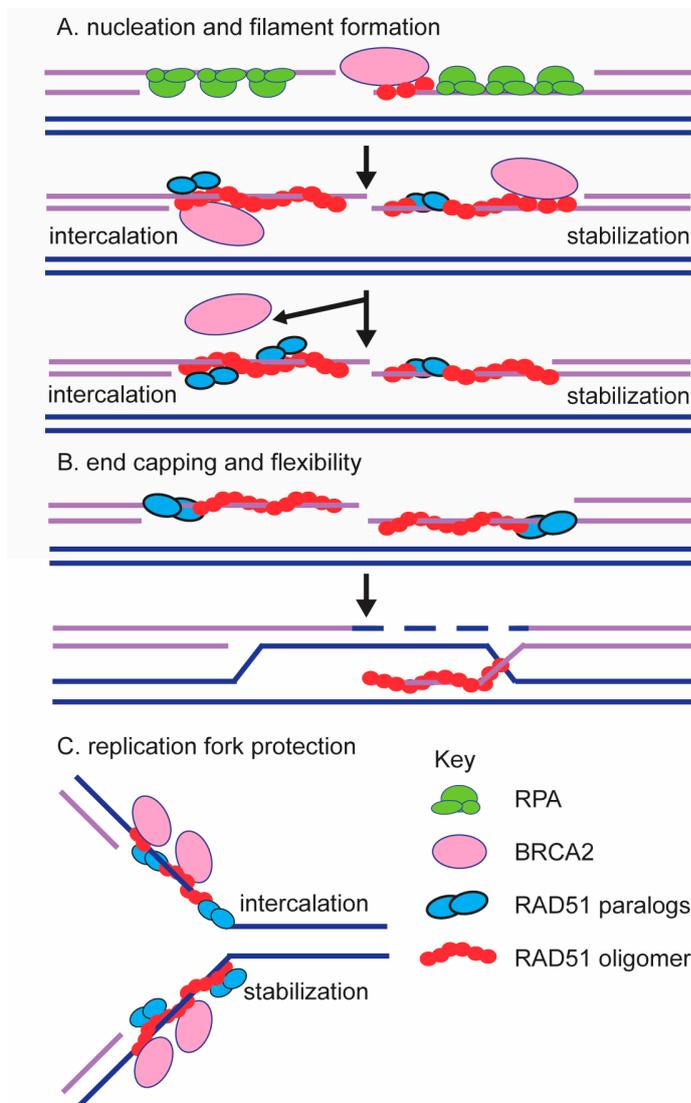


Figure 2. Mammalian RAD51 paralogs-containing complexes. The BCDX2 complex is a RAD51 paralog heterotetramer consisting of RAD51B, RAD51C, RAD51D, and XRCC2. The CX3 complex is a RAD51 paralog heterodimer consisting of RAD51C and XRCC3. The PALB2-RAD51-RAD51C-BRCA2 complex consists of the RAD51 paralog, RAD51C, RAD51 itself, and two additional RAD51 mediator proteins, BRCA2 and PALB2. PALB2 acts as a scaffold in this complex by interacting with RAD51, RAD51C, and BRCA2. The Shu complex consists of a highly divergent RAD51 paralog, SWSAP1, and its binding partner SWS1. SWS1 is a member of the evolutionarily conserved Shu2/SWS1 family, which contains a SWIM domain, and interacts with RAD51 paralogs throughout eukaryotes. Blue circles indicate RAD51 or a RAD51 paralog, a green circle indicates a SWIM domain containing Shu2/SWS1 protein family member, and an orange circle indicates an additional RAD51 mediator protein.

Figure 3**Figure 3. Proposed functions for the RAD51 paralogs. A.** Nucleation and filament formation.

Traditionally, the RAD51 paralogs (blue circles) are thought to aid RAD51 (red circle) in filament formation with BRCA2 (pink circle), which nucleates RAD51 monomers onto ssDNA (purple lines). The RAD51 paralogs may act by intercalating into the RAD51 filament directly (shown on left) or by binding to the RAD51 filament to stabilize RAD51 (shown on right).

Subsequently, BRCA2 is removed as the filament is stabilized and elongated. **B.** RAD51 paralog function in RAD51 filament end capping and flexibility were recently proposed [39, 40]. These

functions would aid in RAD51 downstream activity to promote strand invasion. C. Replication fork protection function has also been proposed for the RAD51 paralogs [106]. During fork protection, the RAD51 paralogs could potentially intercalate into (top strand) or stabilize (bottom strand) the RAD51 filament at a stalled replication fork.

Table 1. RAD51 paralog knockout mice and derived MEF phenotypes

RAD51 Paralog	Complex Member	Mouse Knockout	p53^{-/-} Rescue	MEF MMC Sensitivity	MEF MMS Sensitivity
RAD51B	BCDX2	E7.5-8.5	partial	NA	NA
RAD51C	BCDX2, CX3, PALB2-RAD51-RAD51C-BRCA2	E8.5	partial	2-3 fold (p53 ^{-/-})	2-3 fold (p53 ^{-/-})
RAD51D	BCDX2	E9-E10	partial	17.6 fold (p53 ^{-/-})	6.3 fold (p53 ^{-/-})
XRCC2	BCDX2	E10.5- died at birth	yes died 6d P/N	4.5 fold (p53 ⁺)	NA
XRCC3	CX3	NA	NA	NA	NA
SWSAP1	Shu Complex (SWS1)	Viable/ Infertile	NA	NA	NA

Table 1. The phenotypes of RAD51 paralogs (RAD51B, RAD51C, RAD51D, XRCC2, XRCC3, and SWSAP1) are described. The complex where each RAD51 paralog is associated is indicated, the viability and lethality of the mouse knockout model indicated, the degree of rescue by p53 deletion is shown, and MMC or MMS sensitivity from derived MEFs indicated. Not applicable is indicated by NA. Other phenotypes noted include sister chromatid exchanges (SCE), ionizing radiation-induced RAD51 foci (IR-RAD51), and a downward arrow indicates a reduction. P/N is post-natal.

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