

Article

A Systematic Approach to Evaluating and Benchmarking Robotic Hands - The FFP Index

Anand VAZHAPILLI SURESHBABU ¹, Giorgio METTA ² and Alberto PARMIGGIANI ²

¹ Laboratory for Product Development and Lightweight Design, Technische Universität München; anand.suresh@tum.de

² Fondazione Istituto Italiano di Tecnologia;

* Correspondence: anand.suresh@tum.de; Tel.: +49-1525-790-5628

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1 **Abstract:** The evaluation of robotic hands is a subjectively biased, complex process. The fields
2 pertaining to robotic hands are human-centric in nature, making human hands a good standard for
3 benchmark comparisons of robotic hands. To achieve this, we propose a new evaluation index, where
4 we evaluate robotic hands on three fronts: their form, features and performance. An evaluation on
5 how anthropomorphic robotic hands are in basic mobility, and appearance constitutes the “Form”,
6 while features that can be read, changed and actuated for effective control of robotic hands constitutes
7 the “Features”. We derived these key features from an extensive analysis of robotic hands in literature.
8 Finally, the robotic hands carry out a series of tasks that evaluate their “Performance”. An individual
9 score for each category is drawn and we carry out a three-pronged analysis. We also propose an
10 additional feature in the form of price to provide context when analysing multiple hands.

11 **Keywords:** robotic hands; benchmarking; prosthesis

12 1. INTRODUCTION

13 The analysis of end effectors or robotic hands has been a problem as old as robotic hands
14 themselves. Every robotic hand ever built has been done so for a specific purpose. In most cases, the
15 tests have often been tailored to facilitate and highlight the end user experience. This has led to the
16 lack of a balanced vision when it comes to the design and evaluation of robotic hands. There is a
17 distinct lack of a standard set of tests that can evaluate robotic hands in a holistic fashion. Aspects of
18 a robotic hand’s form, its features, the controllable parameters of its sensor and actuators and their
19 capabilities all play a key role in the evaluation of a robotic hand. The performance of a robotic hand is
20 a sum of all the aforementioned characteristics and is an important part in the analysis of a robotic
21 hand, but is not the only one.

22 A lot of different benchmarks and evaluation indices, mostly focused on grasping capabilities of
23 the hand, based on the work done by Cutkosky[1] and Feix et al.[2], were proposed. Most tests focus
24 on the dexterity of robotic hands under the context of robust grasping.

25 Furthermore, there exist numerous methods that evaluate various aspects of robotic hands.
26 One such famous method is in the benchmarking of anthropomorphism and dexterity in robotic
27 hands proposed by Biagiotti et al.[3] in 2004, where they provide separate evaluation indices for both
28 anthropomorphism and dexterity. There also exists a number of practical assessment tests for human
29 hands such as the SHAP evaluation [4].

30 1.1. Benchmarks in literature

31 An exhaustive list of all the benchmarking tests in robotic hands is explored in the paper by
32 Quispe et al. [5]. Some of the key evaluation indices that inspired the proposed index are discussed
33 below.

34 1.1.1. Anthropomorphism index

35 The work from Biagiotti et al.[3] tries to quantify anthropomorphism in robotic hands and tries
 36 to answer if it is better to call a hand anthropomorphic if it fits the form better without replicating
 its functions or vice-versa. It takes into account the kinematics of the hand, its contact surfaces and

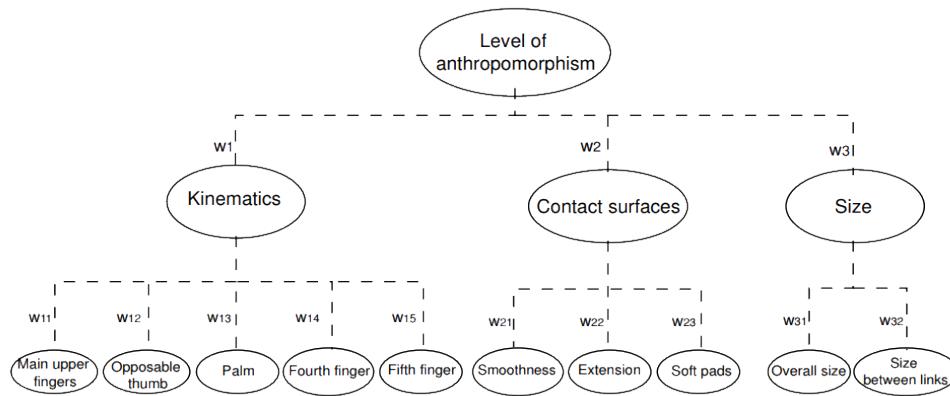


Figure 1. The anthropomorphism index proposed by Biagiotti et al. [3], this gives a good intuitive idea about key factors that could help characterize anthropomorphism in hands.

37 size, with a weightage for each as shown in Fig 1. It breaks down each of these three categories into
 38 sub-components with a weight for each.
 39

40 1.1.2. Dexterity index

41 A separate index in the same paper defines dexterity as the amount of useful work that can be done
 42 with a presented hand. It gives weights to each kind of prehensile, non-prehensile and manipulation
 43 tasks that can be done by the robot. Since these tasks are a combination of the morphological
 44 features, the sensors, control algorithms etc., it provides a good idea about what features make
 a hand "dexterous".

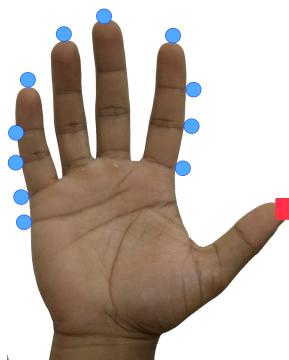


Figure 2. Kapandji test. A score for thumb and hand dexterity.

45

46 1.1.3. Kapandji test

47 Differently from the previously mentioned types of evaluation, the Kapandji test or Kapandji
 48 score was originally proposed by Ibrahim A. Kapandji [6] in 1985 as a tool for assessing the opposition
 49 of the thumb based on where the thumb tip (in red) can touch various parts of the hand (in blue). It is a
 50 self-contained test which makes use of the human hand's natural mobility to measure thumb dexterity.
 51 This test has been used in various robot hands [7] [8] as a way of evaluating the dexterity of the thumb.

52 1.2. Analysis

53 In Biagiotti et al. [3], the question of what it means to be anthropomorphic is given as a
54 combination of the form, features and the control paradigms incorporated into a hand. But they
55 are considered intangible from one another. However, they are still largely quantifiable parameters
56 with a few key features.

57 As explored in the paper by Quispe et al. [5], most of the evaluation indices are strictly based
58 on the performance of robotic hands. Individual tests, such as the Kapandji test, are very useful in
59 considering the dexterity of the thumb, but do not contribute to a holistic assessment of the hand. One
60 of the best evaluation method for robotic hands was discussed in [3] but even that evaluation is not
61 easily quantifiable and is broken up in parts. In the case of prosthetic hands, a simpler method was
62 put forth by the SHAP test [4], wherein the evaluation is done by performing specific tasks which are
63 then validated via an online tool that rates performance.

64 Considering all these validation tools, a new form of evaluation index is proposed that is
65 performance oriented, while also considering the hardware and control capabilities of the hand.

66 This paper is divided into the following sections. In Sec.2 a review of the state of the art in robotic
67 hands is discussed and a classification of robotic hands in general is defined. In Sec.3, the proposed
68 benchmarking index is introduced and key features in successful robotic hands are identified. In Secs.4,
69 5 and 6 the different parameters involved in the evaluation are discussed in detail. An evaluation and
70 analysis of the proposed index is then done using the iCub hand as an example in Sec.8 and the final
71 remarks and conclusion is given in Sec.9.

72 2. ROBOTIC HANDS

73 Performing an exhaustive study of all robotic hands in literature is a near impossible task and is
74 not the scope of this study. However, a study on the key characteristics of some of the most prolific
75 robotic hands was done and is discussed below:

76 2.1. Survey of the state of the art

77 To extract key features in robotic hands, they are first classified into major categories. Classification
78 in hands can depend on a number of parameters. But since most hands are built with an end user
79 need in mind, this study classifies hands depending on the end purpose. Robotic hands can be built
80 to be sold as part of a package or standalone systems, either commercially or for research. They also
81 feature predominantly in limb rehabilitation, prosthetics or simply to be functional prototypes to
82 exhibit advances in technology. Thus, depending on the purpose they are built for, they are categorized
83 into the following:

- 84 • Robotic research
- 85 • Commercial
- 86 • Prosthetic

87 Each type will be discussed briefly in the following sections.

88 2.1.1. Research

89 Research hands are typically prototypes that are developed to realize novel concepts or part of
90 a specific research objective. It often focuses on a single feature and does not need to adhere to the
91 "commercial viability" of a product. It can be further classified into humanoid hands (which are part of
92 a humanoid robot) or standalone hands.

93 **Standalone hands:** They are typically designed to be multi-purpose hands. The technology used
94 in these hands are usually generic enough to be tested across various platforms. Hands like the Shadow
95 hand[9], the DLR HIT II hand[10], the KU Hybrid hand[11] and the UB hands[12] are examples of
96 such hands.

97 Humanoid robot hands: These are developed as part of a humanoid robot. They are specific in
98 their needs, i.e; they are in accordance with the needs of the robot. Some examples of humanoid robot
99 hands include that of the TwendyOne robot[13], the RoboRay hand[14], the iCub hand[15] and the R1
100 hand[16].

101 2.1.2. Commercial

102 They are hands that can be standalone, prosthetic or part of a humanoid robot. But they all have
103 similar objectives, which are: easy to produce, robust and cost-effective. They usually have a good
104 weight to payload ratio and employ relatively simplistic manufacturing practices. They tend to opt
105 for commercial off the shelf (COTS) components for the sake of production in big numbers. Some
106 examples of commercial robot hands include The Shadow Hand[9], the UNIPI hand[17] etc.

107 2.1.3. Prosthetic

108 Prosthetic hands are aimed at restoring partial or complete mobility to people who have lost hand
109 function either through accident, paralysis, amputation or other means. Although prosthetic hands
110 can technically fall under both the commercial or research section, we still keep them as a separate
111 category because they are fundamentally different in their use case and also since they have human in
112 the loop.

113 Prosthetic hands usually share a list of desired features with robotic research hands such as having
114 a combination of high functionality, durability, and affordability whilst being lightweight and highly
115 anthropomorphic in nature. Self-contained robotic hands are preferred in prosthetics since remote
116 actuation is most often not an option and depends highly on the level of amputation.

117 All the aforementioned types of hands can be either self-contained (comprising all required
118 actuators and electronics in a single structure) or remotely actuated (the electronics or actuators or
119 transmission systems are all placed outside the main structure of the hand).

120 3. FFP EVALUATION INDEX

121 From the previous sections, it can be seen that the usefulness and versatility of a robotic
122 end-effector depend not only on the diversity of grasps it can accomplish but also in its form
123 and complexity of the control methods required to achieve them. All the robotic hands that were
124 studied usually had specific features that were required due to the user needs of the platform under
125 investigation. However, an objective benchmark is necessary to provide guidelines which aid in
126 making a particular end-effector platform better while also acting as a guideline for best practices in
127 the design of these hands.

128 Another important factor that should be taken into account for hand evaluations is human-robot
129 interaction (HRI) that is of the utmost importance when incorporating communication and interaction
130 aspects into hands.

131 Also of importance is the type and amount of sensors that need to be incorporated into the hand.
132 Myoelectric hands have been on the rise in prosthetics, they tend to focus on a more natural input
133 from the user. While in humanoid robotics, the use of force-feedback and tactile sensing is increasing
134 in importance as ways of handling objects better, once they are grasped.

135 3.1. Proposal

136 This article proposes the **FFP index** or the **Form-Features-Performance index**, wherein different
137 aspects of the hand can be evaluated and compared. As shown in Fig.3, the FFP index is composed of
138 multiple sub-categories.

139 The three main categories in this evaluation are weighted equally as a first step. This gives an
140 idea of how a given hand performs in each of the dedicated categories of Form, Function and its
141 Performance. And provides a relative comparison of each category to the other two.

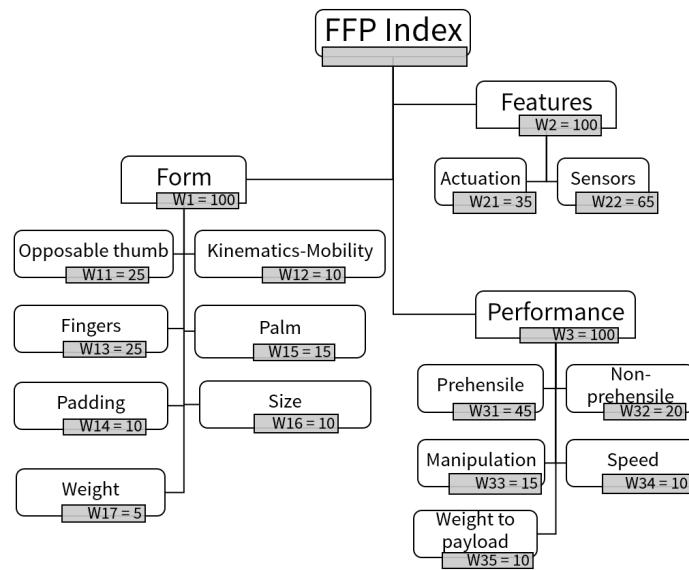


Figure 3. The Form-Features-Performance index - A new way of evaluating research hands

142 This relative comparison is achieved by assigning dedicated weights to the sub-categories in each
 143 of the three categories as and how important it is deemed by the user. By doing this, an estimate of the
 144 amount of importance that goes into the design of each aspect of the hand can be determined. The
 145 main motivation behind this type of evaluation is to act as a good guideline for building effective
 146 hands and to draw a common denominator across all the robotic hands that are available in the market.
 147 By drawing a common baseline, the comparison of different types of robotic hands is made easier.

148 *3.2. Identifying the key parameters*

149 The designers of robotic end-effectors typically need to address several performance trade-offs,
 150 like limitations on size and weight versus performance. A general comparison of some of the key
 151 trade-offs needs to be done to better understand how every key parameter influences every other
 152 parameter in the study of end-effectors. Following is a list of the typical trade-offs encountered in hand
 153 design processes

154

- 155 • Number of actuators vs. Number of joints
- 156 • Hand weight vs. Payload
- 157 • Hand weight vs. number of actuators
- 158 • Number of sensor inputs to number of actuators

158 *3.2.1. Number of actuators to number of joints*

159 The rise of underactuation in recent years in robotic hands can be attributed to the improvement
 160 of the quality of manufacturing methods, to innovative materials and novel tools. One of the biggest
 161 problems in underactuation has traditionally been the one of precise control. This issue has been
 162 addressed in recent years with the implementation of sensors which provide information needed
 163 for robust control. Looking into literature, it is seen that higher the number of actuated DOF, the
 164 simpler it is to control effectively. This, in turn, also makes the hands more complicated to design.
 165 Another note of interest is that most of the grasps as defined by [18] can, in theory, be performed by a
 166 single grasp action by a hand with 2 DOF. While a more dexterous hand is needed to go beyond these

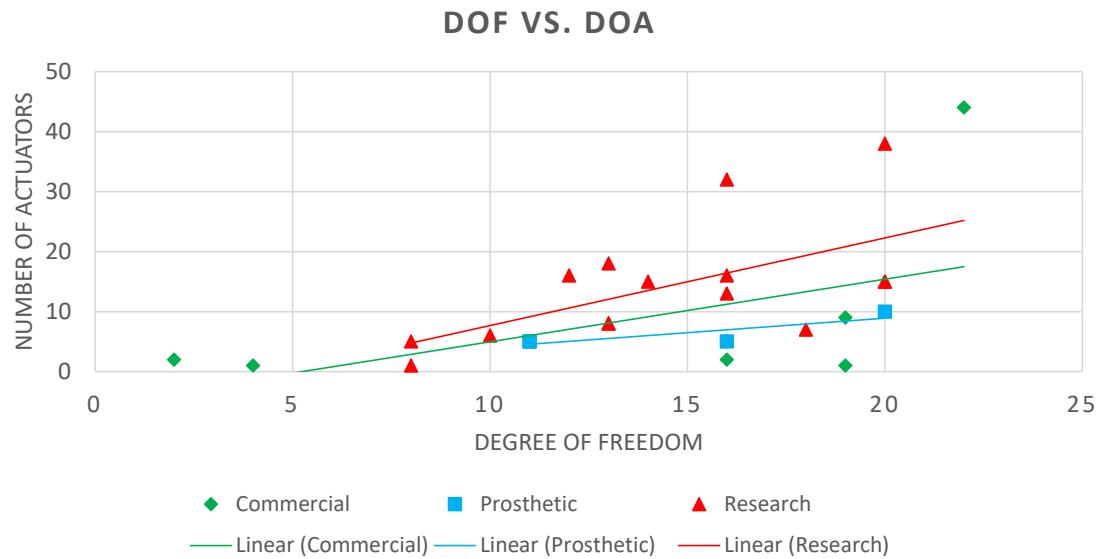


Figure 4. Comparison - type of actuation in robotic hands. It can be seen that robotic research hands usually prefer a higher degree of actuation while commercial hands due to cost constraints are reduced, while they are further reduced in prosthetic hands due to an added weight factor.

functions, this gives an interesting insight on how futile it potentially is to evaluate the effectiveness of a robotic hand just on a set of grasping criteria. It can be seen from the Fig. 4, that completely actuated or over-actuated hands tend to be research hands, such as the DLR hand and the UB-IV hand. Some exceptions exist, like the remotely actuated Shadow hand, that is a commercial product. Prosthetic hands require the system to be lightweight, and integrating more actuators tends to increase the complexity of the hand and its weight greatly.

From Fig.4, we see the robotic hands that lie on the line of commercial hands usually have fewer actuators than the number of joints that it controls. Prosthetic hands tend to be on the lower side in the number of degrees of freedom and actuation, they are usually underactuated with a single actuator since they have human in the loop to compensate and adjust for any shortcomings in sensing and control. Research hands are usually equipped with a sensor suite and are usually remotely actuated, which makes underactuation a better choice. They are usually in the range of 10-20 joints. However, they vary greatly when it comes to the actuation method employed with an even distribution of fully actuated, over-actuated and underactuated mechanisms.

Novel distribution and transmission mechanisms as used in [7] and [19] can be employed to distribute the actuation forces and to actuate the joints in a pre-planned fashion thus reducing the need to over-actuate a given system.

3.2.2. Hand weight to the Payload

The hand weight is greatly influenced by factors such as the number of actuators, number of joints, transmission system, materials used etc. Since the weight can depend on a number of factors, a good metric could be the weight in relation to its payload. The human hand can exert significant loads mostly thanks to its tightly integrated and powerful musculo-skeletal structure. A direct comparison of robotic system with their human counterpart, in this case, would be difficult.

A better baseline for comparison would be to take the hands that have been explored in literature and to calculate the median range of the payload-to-weight ratio; this value ranges approximately from 1 to 1.5 (See Fig.5). Simply put, a good robotic hand should be able to lift more than it weighs. Most robotic hands cluster in the 0.5[kg] to 2[kg] range for both weight and payload.

It can be observed that the weight of prosthetic hands is not significantly different from their quoted payload. This is due to the fact that prosthetic hands need to be lightweight in order to be

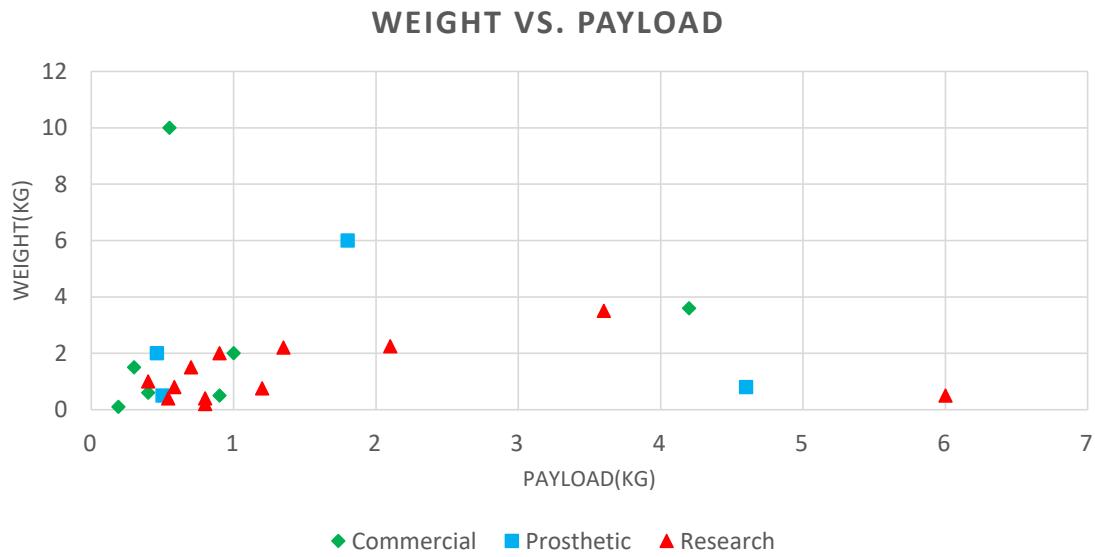


Figure 5. Comparison between number of actuators and number of joints in robotic hands

useful to the wearer since weight would lead to user fatigue. Moreover, in most cases, prosthetic hands are not remotely actuated.

Robotic research hands do not suffer from this limitation. They can be remotely actuated and hence allows for displacement of the actuators. Interestingly, even though they have a distinct advantage in this case, they still suffer from a poor payload-to-weight ratio. Limitations in actuation strategies, motor capabilities and transmission systems are some of the notable causes for this drawback. Another important factor is cost since the complexity and performance levels of all the aforementioned factors are limited by cost.

It can be seen that the payload-to-weight ratio for robotic hands tends to slightly higher than the one of commercial or prosthetic hands. In these cases the average payload is between 0.2[kg] to 1[kg]. The commercial hands which performed above the average were hands with fluidic actuation (without the pump weight taken into consideration). From these observations it can be concluded that a good hand payload-to-weight ratio is between 1 and 1.5.

3.2.3. Hand weight to the number of actuators

Another interesting analysis is the comparison of the hand weight to the number of actuators being employed. Even underactuated hands tend to be heavy if motors are housed within the hand. For a fair comparison, when we refer to weight, we include any type of actuation system, be it remotely actuated or self-contained (as mentioned in literature). Another interesting factor in this would be the transmission systems in the actuation methods. Even in underactuated hands, there is a high level of coupling between joints. As can be observed in Fig.6, we can see that the robotic research hands are consistently heavier than their prosthetic counterparts due to this type of added transmission. The hands with the lowest values on this scale are the commercial self-contained hands since they focus on cost reduction and ease of manufacture.

It can be noted that the weight of the hand and the number of actuators play no significant role in the ratio. All self-contained and remotely actuated hands cluster together in the graph, which shows that no matter how the number of actuators in robotic hands plays no real role in determining the weight-payload ratio in the system. This could also be due to the layout of the transmission systems, the type of actuation, the distribution mechanism (in underactuated hands) and the type of sensors that are employed in the hand, to name a few.

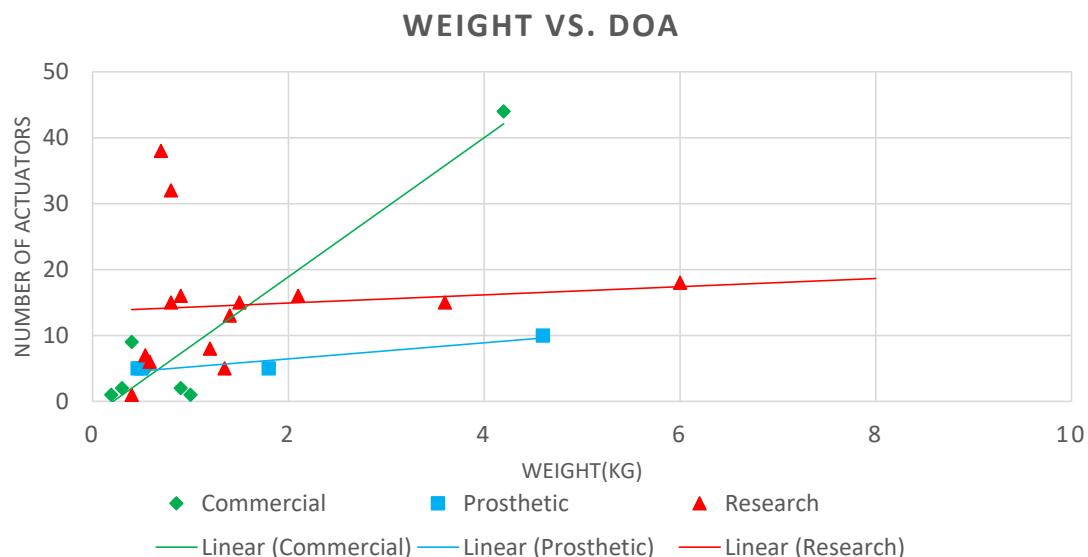


Figure 6. Comparison between hand weight and number of actuators in robotic hands over time

225 3.2.4. Number of inputs to number of actuators

226 Although a statistical analysis of this is harder since the exact number of sensor inputs is not
 227 completely defined for every robotic hand in literature, it would be interesting to consider the number
 228 of different type of sensors that are put into the robotic system. Although adding more actuators is
 229 not necessarily always better, providing a large amount of sensory data is almost always beneficial
 230 to improve the control of the robotic hand. This holds especially true in underactuated hands, that
 231 are, in general slightly under served because of the problems that arise in controlling them. Having
 232 enough sensory data to make the state of the robotic hand fully observable gives the advantage to
 233 underactuated systems over completely or over-actuated hands. This approach is also supported by
 234 recent advances in machine learning techniques and deep neural learning systems which require more
 235 inputs to compensate for minor disadvantages in the hardware system.

236 3.3. Key features

237 Also, rating a hand strictly by its anthropomorphic constraints seems primitive, since function
 238 precedes the form. Some robotic hands that perform exceptionally well for a dedicated purpose might
 239 fall low on the form scale and vice versa. Hence, an exhaustive evaluation of a robotic hands' features
 240 and form should go along with its performance characteristics.

241 4. FORM

242 Form, in this case, is defined as the level of anthropomorphism present in the robotic hands.
 243 Typically, it consists of five unique digits that aim at replicating the look of the human hand. It usually
 244 has an opposable thumb and has similar size and weight ratios to that of the human hand. Biagiotti
 245 et al.[3] define anthropomorphism in robotic hands as "*the capability of a robotic end-effector to mimic*
 246 *the human hand, partly or totally, as far as shape, size, consistency, and general aspect (including colour,*
 247 *temperature, and so on) are considered*".

248 Anthropomorphism is a key factor in prosthesis since subjects wearing it have a degree of comfort
 249 for the human form. In some cases depending on race and demographics, it is not considered the most
 250 crucial factor when it comes to deciding for or against its use in prostheses according to the work done
 251 by Biddiss et al.[20]. According to the survey[20], the most prominent factor for prosthesis rejection
 252 was that users considered themselves as having more or less the same level of functionality without the
 253 prostheses or it was too heavy or hot or that the feedback obtained from it was limited or non-existent.

254 Only about 70% of the total users said that the look was even a factor and the level to which it was
255 a factor, was around 1 (on a scale from 0 to 3, 3 being most important). This shows anthropomorphism
256 is not the highest on the list of requirements even in prosthetics, according to this study.

257 Research hands installed on humanoid robots are highly function-specific. Since the
258 anthropomorphic shape is not always chosen in humanoid robots, it is considered very low on
259 its importance scale and almost always is preceded by its functionality. This does not mean that the
260 form doesn't matter whatsoever. Some key features in the form of hands are deemed important and
261 are explained below.

262 4.1. Opposable thumb

263 In the book by Napier [21], thumb opposition is defined as "*probably the single most crucial*
264 *adaptation in our evolutionary history..*" and that loss of thumb opposition could "*..put back 60 million*
265 *years in evolutionary terms..*". Thumb opposition is perhaps the most important movement of the human
266 hand and is a major underlying factor when it comes to any kind of skilled actions performable by the
267 hand.

268 As seen in literature, almost all hands have an opposable digit that takes the role of the thumb
269 or its part in opposition. Human hands have a highly articulated thumb. An articulated thumb can
270 facilitate apprehension tasks by moving out of its default opposable position, either through rotation,
271 abduction/adduction or a combination of the two movements.

272 How the hand performs this opposition is unique for each robotic hand. The best score of 25%
273 is assigned to the presence of an opposable, articulated thumb. The presence of a single position (no
274 rotation, but opposable) thumb is assigned a score of 10%, and a manually articulated thumb which
275 requires human intervention to lock its position is assigned a score of 15%, while the lack of a thumb
276 results in zero rating.

277 4.2. Kinematics

278 Hand kinematics can be broken down to three key components in any hand, namely: mobility,
279 stability and strength. Stability during loading without compromising its mobility is essential for the
280 hand to achieve the various digital positions for activities. Mobility is usually defined by the DH
281 parameters, wherein the hands' joint properties such as the DOF and its range of motion and its Degree
282 of actuation (DOA) etc., all play a part. A very useful metric of DOF vs. DOA is even discussed in
283 the "Features" section. But the DOF is key to define the mobility aspect in the anthropomorphic shape
284 of a robotic hand. A hand incapable of producing a range of configurations, cannot be strictly called
285 anthropomorphic. Hence there arises a need to define how much mobility in the robotic hand can
286 relate to the anthropomorphism of the said hand.

287 4.2.1. Kinematics through gestures

288 One solution would be to evaluate mobility in robotic hands by making it undergo a series of
289 gestures. This lets the hand to be evaluated without having it constrained under payload restrictions.
290 Having it undergo a range of gestures also provides the hand with a set of motion primitives that
291 relates to the Human-Robot Interaction (HRI) aspects of the robotic hand. The other two functions of
292 stability and strength are later tested in the "Performance" section of this paper.

293 4.3. Fingers

294 The finger refers to a type of digit, an organ of manipulation and sensation found in the hands
295 of humans and other primates [22]. Fingers can be flexed or straightened at their respective joints
296 placed between phalanges. They can also move side to side with respect to the centre of the hand; this
297 movement is called abduction/adduction. Fingers in a human hand refer to four individual digits and
298 a thumb. But it need not necessarily be the case in a robot hand. For this evaluation, a weight of 20% is
299 assigned to a robotic hand that has all five fingers and an appropriate number of phalanges that is

300 classical for a human hand. The breakdown of the different aspects that influence to the form of the
301 finger is discussed below.

302 **Joints per finger:** The number of joints per finger is deemed extremely important since it provides
303 the hand with conformance to shapes. It also acts as a contact surface for gripping and a support
304 structure for grasps. A minimum of two joints is considered important in most cases for effective
305 grasping.

306 A score of 10% is given if the hand has a minimum of two active joints(physically distinct); a score
307 of zero is given otherwise.

308 **Number of fingers:** From literature, it can be seen that hands can perform very well with just
309 two[23] or three[24] digits. Some of them even outperform some of the anthropomorphic robotic
310 hands. The performance also highly depends on the control and overall achievable posture of the
311 robotic hand. In essence, robotic hands need not necessarily be anthropomorphic, but an increased
312 number of fingers (be it in underactuated or fully actuated hands) provide a larger grasping surface
313 and increases conformity to shapes.

314 Keeping this in mind, a score of 10% is assigned for the overall evaluation score for five fingers
315 (four fingers, one thumb), and 5% for three or four-fingered (minimum of two fingers, one thumb) end
316 effectors and zero otherwise.

317 4.4. Padding

318 The soft padding on the palm and fingers in the front side of the human hand is called the glabrous,
319 it is tightly stretched with flexure lines in specific areas to accommodate folding and stretching. The
320 glabrous is covered by papillary ridges or fingerprints, which provide the necessary friction and also
321 acts as a sensor to micro-vibrations. This also one of the most difficult features to mimic in robotic
322 hands. This kind of soft-padding is considered important since it allows for conformance to objects and
323 also gives rise to compliance. Friction surfaces also provide the hand with extra support during both
324 prehensile and non-prehensile tasks.

325 Therefore, it is clear that the presence of compliant paddings and friction surfaces are very useful
326 in providing a good grasp. A weight of 5% is assigned for the presence of soft padding and 5% to a
327 dedicated friction surface such as a commercial gripper material, or high-density neoprene, to name a
328 few. This adds up to 10% for this sub-category of the evaluation.

329 4.5. Palm properties

330 The size, shape and functionality of the palm also play key roles in defining the anthropomorphism
331 in robots.

332 4.5.1. Closed vs. Open palm

333 Another feature which has been sparingly explored in the evaluation of robotic hands is the
334 approach of the hand to a grasp. Human hands have an offset thumb making the hand more "open" in
335 its approach. Traditional grippers and a number of hands in literature, however, have an opposing
336 digit in the centre of its palm. This could also be defined as the classical gripper form versus the
337 anthropomorphic/semi-anthropomorphic hand.

338 The human hand tightly integrates with the motion of the rest of the body to perform a wide
339 range of actions. Actions such as opening doors can be highly complex and require the coordinated
340 motion of the entire torso. The same holds true for humanoid robots. Hence, the approach to handling
341 objects and its planning is a lot easier when the thumb is offset or the palm has more space to approach
342 the object and wrap around it.

343 The offset thumb also provides increased, effective contact surfaces for object grasping and
344 manipulation. Sometimes, this can be compensated with longer digits and decreased palm surface,
345 but this makes in-hand manipulation more difficult.

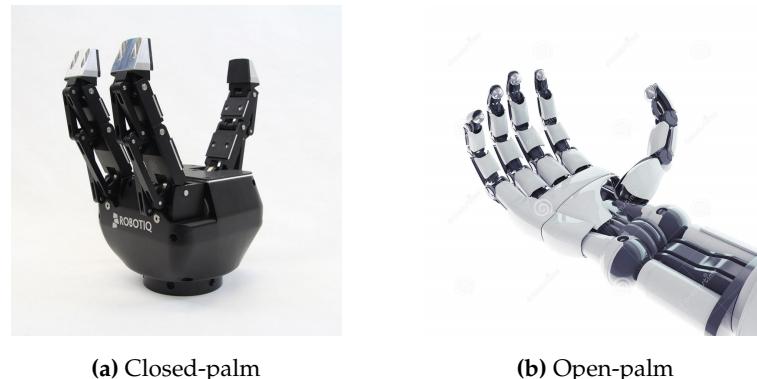


Figure 7. The different configurations of the hand, on the left is the closed palm (seen from the side - picture courtesy:Robotshop), with a central thumb as compared to the offset thumb in the right, which constitutes an open palm design.

346 Therefore the type of palm design warrants a separate, albeit small weight in the evaluation scale.
 347 It is given 10% of the total Form evaluation score for an open palm, with an offset thumb design while
 348 a no score for closed palm, since the anthropomorphic form is favoured in humanoid robotics and
 prostheses.

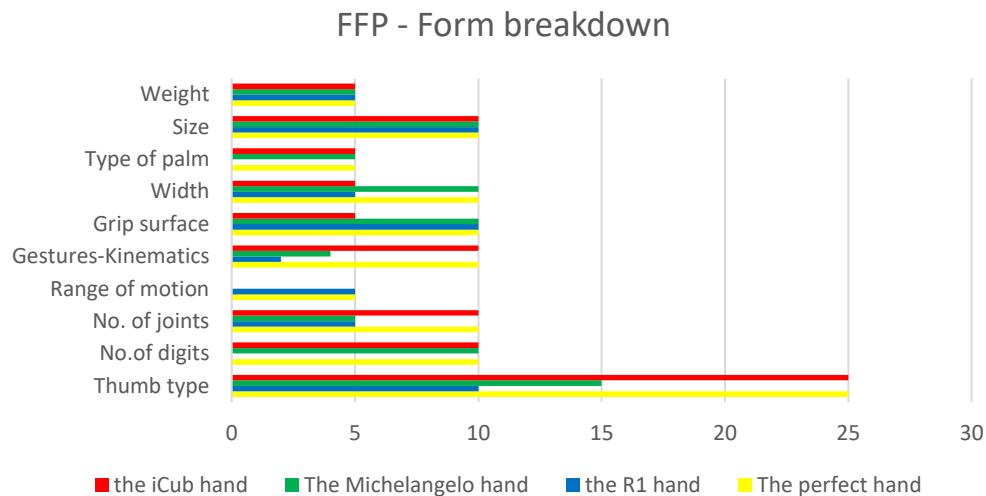


Figure 8. Comparison of Form between the Michelangelo, iCub and the R1 hand

349

350 4.5.2. Width of opening

351 Another essential parameter to the approach of grasps in robotic hands is the hand width opening
 352 in robotic hands[25]. This can be defined as the maximum distance between the tip of the index or
 353 middle finger (or digit closest to the thumb in case of closed type hands) to the tip of the thumb in
 354 opposable position.

355 4.6. Size

356 For prosthetic robotic hands, it is only natural to have a human hand size or a proportional size to
 357 whom the prosthetic is being fit. Same holds true for humanoid robots. A hand proportional to the
 358 size of the robot, keeping with human proportions should be selected. Human hand proportions can
 359 vary due to a number of reasons as explored in Markze et al.[26]. For the purposes of this work, the
 360 size here refers to a proportional scaling for the height of the 95th percentile hand as referred to by

Table 1. The "Form" weight distribution in the FFP index

Form	Score
Opposable thumb	Fixed
	Manual Multiple
	Actuated Multiple
Weight to payload	25
	15
	15
Fingers	Joints per finger
	Number of fingers
	10
Padding	Range of motion
	Soft pads
	5
Palm structure	Friction pads
	Type of palm
	5
Size of the hand	Width
	10
	10

361 Tilley[27]. This feature is assigned a weight of 10% in the Form part of the evaluation, if the hand is
 362 within $\pm 15\%$ of its proportional human size and a no score for all other values.

363 **4.7. Weight**

364 Hand weight plays a smaller role in determining anthropomorphism. But it should not differ
 365 widely from that of the human hand. The average human hand is around 0.58% of total body
 366 weight[28]. The average human weight (mass) is 70kg (154 lbs), so the average hand weighs 406g (0.89
 367 lbs). This can be defined as a ratio to the human body weight. The human hand weighs about 0.6% of
 368 the human body weight.

369 For the purposes of this study, any hand that falls under 3% of the total body weight of the subject
 370 should be considered anthropomorphic. This is due to the fact that human arm and hand weight
 371 average around 2.5% among all humans[28] and actuation in robotic hands is often housed in the
 372 forearm.

373 **5. FEATURES**

374 Features or control features in this context is intended to signify the parameters that would
 375 enhance the amount of data available to control the hand effectively. This means that the robotic
 376 system should have all tools to make itself completely deterministic, fully observable and actuated at
 377 its disposal. Control features are also weighted separately, along with Form and Performance.

378 **5.1. Actuation**

379 Fully observable systems compensate for the drawbacks to underactuated systems to a great
 380 extent keeping this in mind, actuation features are given a slightly lower weight than sensor system.
 381 Actuators have a completely different set of constraints. Human hands vary greatly in their speed
 382 and grip force and are capable of reaching up to 400 N in everyday tasks. This kind of actuation
 383 characteristics is next to impossible with the current motors in the market if they are to be housed within
 384 the hand. Hence, remote actuation seems to be the stop-gap solution for now. Finding miniaturized
 385 actuators that would fit into the finger phalanges or at least into the palm of the hand, is a constraint
 386 apparently shared with nature. This necessitates the use of actuators placed in the forearm and using
 387 tendons to transmit forces to the finger joints in many cases.

388 **Speed:** Human-like speed in normal every day grasping is not impossible to achieve, as is the case
 389 with grasping force. It is however mandatory to 'react' quickly and to perform grasps in an efficient
 390 manner. Hence it is given a weight of 15% for complete flexion and extension is carried out within one
 391 second. A score of 5% is given for a speed anywhere between 1 to 1.5 seconds for flexion/extension
 392 which is near human speed, and a no score for anything below those prescribed speeds.

393 **DOF vs. DOA:** This is a difficult parameter to evaluate considering the efficacy of the system
 394 depends if the system has enough sensors and adequate controllable parameters to make the system

395 fully observable. Keeping this in mind, a weight of 10% is assigned to underactuated hands, while
 396 a completely actuated robotic hand is given a score of 20% while over-actuated systems are given a
 397 full score of 25%. There also exists some robotic hands in which you have more actuators than the
 398 systems' degrees-of-freedom, these are called over actuated systems. In the case of robotic hands, the
 399 advantages they provide is typically to control to a great extent the impact an force load response of
 400 the system and is case-specific, but the marginal advantages do warrant a slightly better score than
 401 that of fully actuated systems.

402 *5.2. Sensors*

403 Sensors are what robotic systems use to get useful data of its own state or the environment it is
 404 placed in. It can be partially or fully observable to play any role in the control of the hand. Robotic
 405 hands are basically puppets without the use of sensors since open loop control of robotic hands requires
 406 a human in the loop all the time. Hence, sensors play a major role in the development of a hand, and
 407 some of the key types of sensors are discussed in the following sections. The category as a whole
 408 carries an increased weight of 60% as compared to actuation in the features part of the evaluation. The
 409 breakdown of the weights in each of the sub-categories is as shown in Tab.2.

Table 2. The "Features" weight distribution in the FFP index

	Features	Score
Actuation	Fixed Speed/Power	5
	Variable Speed/Power	10
	DOF vs. DOA	25
Sensors	Joint/Position	20
	Force/Torque	15
	Tactile/Touch	20
	Others	10

410 **Joint / Position:** Joint position sensing is critical in robotic hands as they give feedback on the
 411 link and joint position in the robot's workspace. In underactuated hands, it also provides feedback
 412 on the grasp as it conforms to the shape of the objects being handled. In the absence of force sensors
 413 (which is usually the case), it can prove vital to grasp quality.

414 **Force / Torque:** A very useful feature to have in robotic hands is information on the amount of
 415 force being applied by the hand on its environment or even the forces and torques that are present
 416 within the system itself. This can provide useful information on tendon tension in tendon actuated
 417 hands, it can provide force and torque parameters that are critical in force-closure and form-closure
 418 control. It can also be key in aiding human-robot interaction and promoting safety. In the case of
 419 prosthetic robotic hands, myoelectric sensor inputs are used for intent learning and are also considered
 420 to be part of this category and carry the same weight of 15% as that of force feedback, even though it is
 421 a sensor that gives an input for actuation response rather than being a status monitor affected by the
 422 actuation.

423 **Tactile / Touch:** An expansive review of tactile sensors was done by [29]. The minimum functional
 424 requirements for a robotic tactile sensing system mimicking human manipulation was summarised as:

- 425 • Detect the contact and release of an object.
- 426 • Detect lift and replacement of an object.
- 427 • Detect shape and force distribution of a contact region for object recognition.
- 428 • Detect contact force magnitude and direction for maintaining a stable grasp during manipulation.
- 429 • Detect both dynamic and static contact forces.
- 430 • Track variation of contact points during manipulation.
- 431 • Detect difference between predicted and actual grip forces necessary for manipulation.
- 432 • Detect force and magnitude of contact forces due to the motion of the hand during manipulation.
- 433 • Detect tangential forces due to the weight and shape of the object to prevent slip

434 Hence any type of feedback sensor that fulfils most or all of the above requirements, falls under
 435 the category of tactile feedback. It is essential for the above-mentioned reasons. And as technology
 436 advances in this direction, there has been an increasing amount of robotic hands that has been
 437 incorporating this as part of their design [15][30][31].

438 **Others:** This could be sensors providing information on temperature, olfactory, vision, any type
 439 of depth sensing, stress, strain, twist etc. These are considered tertiary level sensors and although
 440 they might be function specific and aid in enhancing the features of the robotic hand, they are not
 considered to be mandatory as a general guideline.

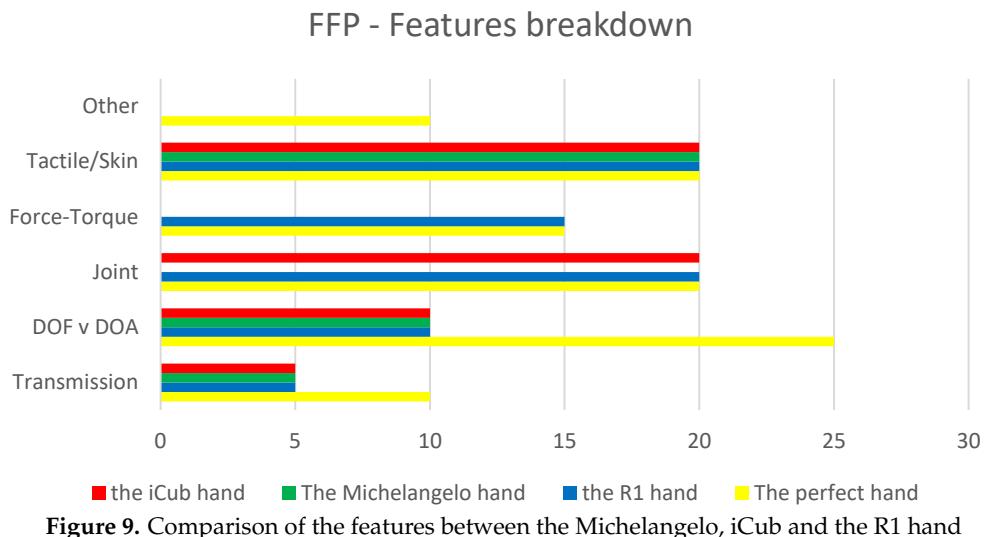


Figure 9. Comparison of the features between the Michelangelo, iCub and the R1 hand

441

442 6. PERFORMANCE

443 The most common way of grading hands has been to make it grasp objects of different shapes
 444 and sizes. The first attempts to make a standard set of grasps that well define and categorize a hand
 445 grasp comes from [1] which was later improved upon by [2],[32].

446 Biagiotti et al. [3] state that "Grasping is intended as constraining objects inside the end-effector
 447 with a configuration that is substantially invariant with time (the object is fixed with respect to the
 448 hand workspace), while internal manipulation means controlled motion of the grasped object inside
 449 the hand workspace, with constraint configuration changing with time." By this definition, simply
 450 grasping an object is not sufficient to completely grade all of the qualities in a robotic hand. Some
 451 benchmarking techniques also suggest carrying out manipulation tasks and non-prehensile tasks.

452 6.1. Performance benchmarking in literature

453 When it comes to evaluation of hands, grasping of objects is the standard. However, there does
 454 not exist a standardized set of objects that are grasped in these evaluations. Therefore, there does not
 455 exist a uniform comparison across platforms. There exists a variety of objects' models: some use 3D
 456 model meshes, some provide just images while some others give real-world objects in the form of
 457 commercial kits that can be bought or in the form of a shopping list that can be used for buying the
 458 objects from any commercial outlet.

459 These grasping benchmarks supposedly represent the set of objects that best encompasses all
 460 types of grasps or even tasks that a robotic hand should perform. The more famous ones are from
 461 GeorgiaTech and ones from Yale University. A review of all available benchmarks was carried out by
 462 [33]; the interested reader is invited to consult it for further details.

463 A major shortcoming in any kind of grasping dataset is that it is inherently biased or too expansive,
 464 which in turn does not give a very intuitive view on the overall performance of the robotic hand under
 465 evaluation.

466 Keeping all this in mind, a list of objects that are used in daily events in a domestic environment
 467 was made. This was done by sourcing research done by GeorgiaTech [34] and their study with ALS
 468 patients, objects used daily in a home environment and objects most often used in elderly care homes.

469 For performance metrics, the weights are assigned as shown in Tab.?? for all sub-categories.

470 *6.2. Prehensile*

471 The prehensile tasks are based on the work done by [1] while proposing a new set of objects for
 472 grasping. We came up with a list of 65 objects which uses all types of grasps as enlisted by [1][32]. It
 473 also tries to balance a wide range of textures and weights on real-world objects which are also used in
 474 everyday life.

475 In [35], Feix et al. define a successful grasp as "A grasp is every static hand posture with which
 476 an object can be held securely with one hand, irrespective of the hand orientation". For the sake of
 477 simplicity the type of grasps were divided into either Power, Intermediate or Precision grasps.

Table 3. The "Performance" weight distribution in the FFP index

	Performance	Score
Prehensile	Power	20
	Intermediate	10
	Precision	15
	Simple	15
Non-prehensile	Complex	10
	Gestures	5
	Translation	5
Manipulation	Shift	5
	Rotation	5
Speed		10

478 **Power:** The power grasp encompasses grasps that require both large and intermediary grasp
 479 forces. These type of grasps focus on the stability of the grasp and are usually imparted on larger objects
 480 that need a secure clamping action. These are most often used in scenarios where the object being
 481 grasped needs to be moved from one place to the other and usually does not involve manipulation of
 482 the object.

483 **Intermediate:** These are the "in-between" state of grasps that are represented within the taxonomy
 484 since it is between power and precision in its state [36].

485 **Precision:** Precision grasps require lower force and higher accuracy in positioning and control. It
 486 is typically characterized with thumb opposition to the distal joints of the other fingers. These are used
 487 in the grasp and manipulation of smaller objects.

488 *6.3. Non-prehensile*

489 Non-prehensile manipulation is generally categorised as the handling of any objects without
 490 straight grasping. This kind of manipulation might be done in a number of ways such as: pushing,
 491 squeezing, twirling, tapping, rolling etc. A set of non-prehensile tasks are also proposed in the
 492 following sections based on everyday activities.

493 **Simple:** This kind of tasks involves displacement of a single object placed within or outside the
 494 hand from its current state, eg: lifting a cup.

495 **Complex:** These are manipulation tasks that involve interaction between two distinct objects
 496 excluding the hand, and changing their current state eg: pouring water into a glass.

497 **Gestures:** With the rise in Human-Robot and Human-Agent interaction, non-verbal
 498 communication has become an important part of the development of robotic hands. A simple set of

499 gestures is hence recommended in the FFP index to evaluate the basic gesture performing capabilities
 500 of the hand. Since hand gestures vary according to culture, fields and regions; five distinct hand signals
 which are universally accepted for their respective action intents are listed.

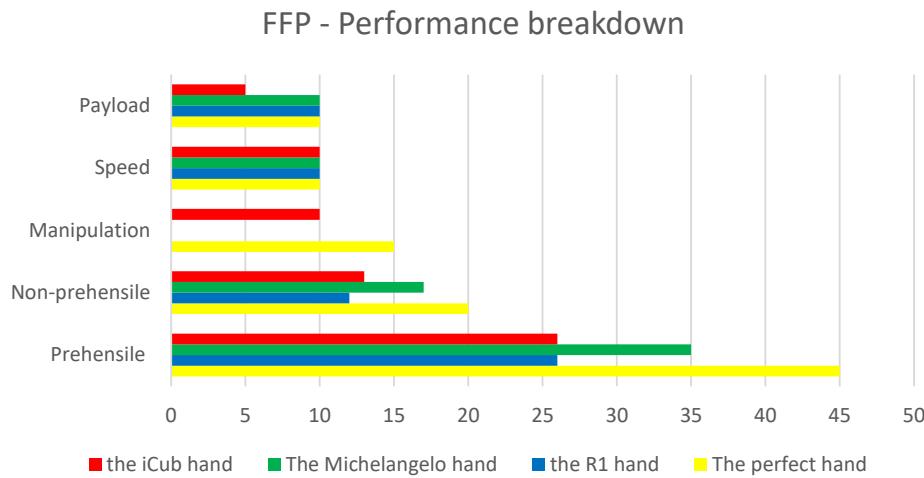


Figure 10. Comparison of the performance metrics between the Michelangelo, iCub and the R1 hand

501

502 6.4. In-hand Manipulation

503 A major distinction from most performance metrics of robotic hands is that the emphasis in
 504 the in-hand manipulation aspects of the hand. Since this aspect of performance analysis is heavily
 505 dependent on the control robustness of the system, we limit it to a few simple tasks which can be done
 506 using open loop position control.

507 **Translation:** It is the ability to move objects from the fingertips to the palm or vice-versa.

508 **Shift:** It is the ability to move an object in a linear manner with the fingertips

509 **Rotation:** It is the ability to turn an object around the pads of the fingers and thumb

510 6.5. Tool use

511 Additionally, tool use in robotic hands is a useful yet redundant task when it comes to the design
 512 of robotic hands for prehension and manipulation tasks as they involve, to a great extent, robustness
 513 in control. The tasks listed in the Appendix are recommended but not necessary in the FFP evaluation
 514 of robotic hands.

515 6.6. Weight to payload

516 The weight of the hand compared to the payload it is capable of carrying, is an important factor
 517 to the design of robotic hands. It also plays a significant role in the design of humanoid robots since
 518 the final articulation and manipulation skills depend on the end-effector of the robot.

519 It is considered to be a key design parameter in prosthetics according to the survey done by [20].
 520 In humanoid robotics, a heavy hand can increase the cantilever effect along the arm and can make the
 521 robot structurally weaker. In this evaluation scheme, what is referred to as "weight" also includes the
 522 actuation method (be it remote or self-contained). On the other hand, "payload" refers to the maximum
 523 weight that can be held by the hand given only its actuators and transmission system.

524 An adequately well-balanced ratio of 1.5:1 is adopted from the analysis done in Sec.3. Hence, if
 525 the ratio is 1.5 or lower, the hand gets a perfect score of 20%. If the ratio is 1.5 or lower excluding the
 526 actuators from the weight but higher otherwise (remotely actuated hands), the weight is cut by half to
 527 10% and a no score for all other values.

528 7. PRICE ADDENDUM

529 This section is aimed at providing an additional criterion for the evaluation, wherever it is deemed
 530 necessary. This is not considered to be a necessary part of the evaluation and remains only to show
 531 how similar performances across the varied categories can be contextualised when provided with a
 price to cost ratio for the hands being rated.



Figure 11. The iCub 2 hand.

532 In this case, all hands are compared against the most expensive robotic hand being evaluated, to
 533 provide a reference. Hence the most expensive robotic hand gets rated at a 100 per cent while all the
 534 other hands' cost are scaled accordingly.

536 8. FFP EVALUATION OF THE ICUB HAND

537 The iCub hand is used as a preliminary baseline to evaluate other hands and to compare against.
 538 The evaluation is done by means of an online questionnaire form¹ where users can fill in a questionnaire
 539 based on the hand that is being evaluated. The hand will be benchmarked if the user sends video proof
 in the form of pictures and videos to the authors.

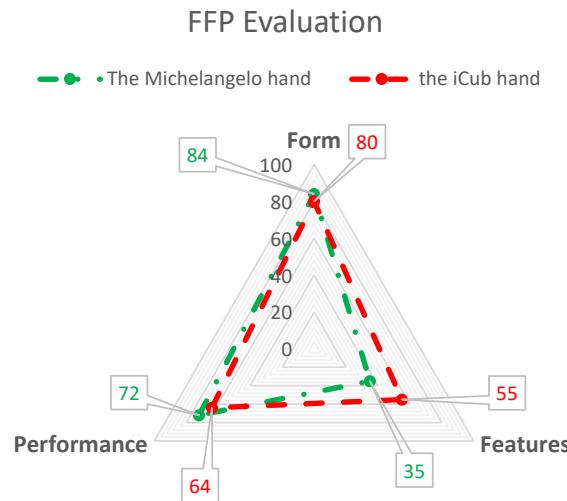


Figure 12. FFP Index evaluation of the Michelangelo hand as compared to the iCub hand

540 This was done for the iCub hand and some sample pictures of the performance characteristics are
 541 shown where the iCub is grasping a series of objects (Fig.13) and performing a series of gestures(Fig.14).

¹ available at <https://goo.gl/3q6y8r>

543 8.1. Form

544 The iCub has an open form, anthropomorphic, five-fingered and underactuated hand. It has
 545 three phalanges to each of the fingers. It has an opposable, articulated thumb. The tactile skin is
 546 covered with a dielectric layer and a second conductive layer: electrically conductive Lycra for the
 547 palms, electrically conductive silicone for the fingertips. This layer is connected to ground and enables
 548 the sensor to respond to objects irrespective of their electrical properties. This gives it a thin layer
 549 of compliant material with minimal friction properties. The iCub hand scored an 80% for its Form
 550 properties, which is a good indication that the hand is highly anthropomorphic.

551 8.2. Features

552 The iCub hand (Fig:11) has hall effect position sensors at each of its joints, it has motor position
 553 sensing and a tactile skin on its palm and fingertips. The actuation of all these joints is obtained using 9
 554 DC motors (resulting in 9 DOAs) 7 of which are embedded in the forearm and 2 in the hand. Therefore,
 555 certain DOFs are obtained by coupling different joints (either tightly or elastically) so that they are
 moved by a single motor.



556 **Figure 13.** FFP Evaluation of grasps. Some of the sample grasps that were performed as part of the
 557 FFP evaluation on the iCub

558 It has minor issues with the joint position sensing as it tends to be non-linear and is not a fully
 559 observable system. It scored a 55% on the features part of the FFP evaluation.

560 8.3. Performance

561 The performance analysis of the iCub was done on all three sub-categories. It performed well
 562 when it came to almost all types of grasps and gestures. It was, however, not the ideal hand for
 563 manipulation and non-prehensile tasks. One of the major issues being the thumb being too long to
 564 manipulate the objects in-hand effectively, since it leads to workspace occlusion. It scored a high 80%
 in its performance evaluation.

565 8.4. Other evaluated robotic hands

566 Some of the other hands evaluated include the Michelangelo hand, the R1 hand and the proposed
 567 iCub plastic hand. The evaluation results for the R1, Michelangelo and the iCub hand is shown in
 568 Fig.16. It shows the clear difference across the different platforms and also draws conclusions as to
 569 what the design priorities were during and after production.

570 All the performance tests for the prosthetic hands were done by a professional user, experienced
 571 at operating the hands: with a human in the loop, controlling the system using s-EMG sensors coupled

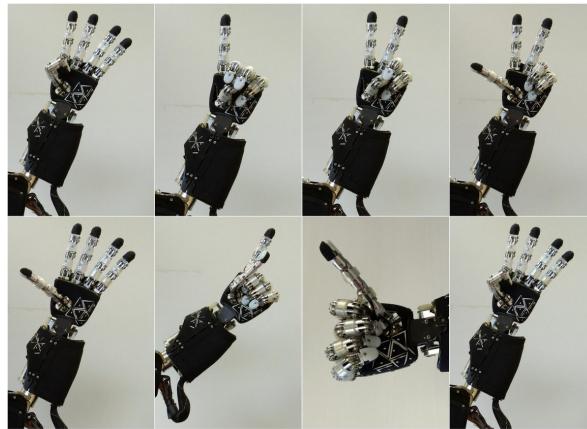


Figure 14. FFP Evaluation gestures. Some of the sample gestures that were performed as part of the FFP evaluation

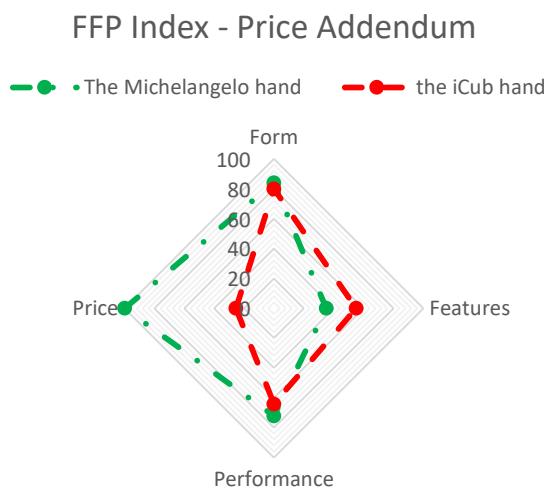


Figure 15. FFP comparison between the iCub hand and the Michelangelo Hand with the price addendum. This shows that the Michelangelo hand performs almost as well as the iCub hand at a lower cost and fewer features. This is in part due to the fact that the Michelangelo hand has human in the loop for control.

572 with a teach pendant. The R1 performance tests were done on the first version of the hand by an expert
 573 user in a controlled environment.

574 All tests were done using the price addendum to show the trade-offs done in the different designs
 575 and how they affected the end cost of the robotic hand.

576 9. CONCLUSIONS AND FUTURE WORK

577 We developed the FFP index to provide a more objective platform for evaluating robotic hands.
 578 By analyzing three different aspects of robotic hands, we were able to identify the key motivation
 579 behind the development of each robotic hand considered in this study. As illustrated in the evaluation
 580 section, it is apparent that robotic hands in prosthesis aim at optimizing weight, payload and generic
 581 grasps while trying to maintain an anthropomorphic appearance. In humanoid robotics, the form and
 582 performance vary, but sensing features are usually incorporated into the design to effectively control
 583 the robotic hand. This provides a good grading and comparison platform for robotic hands across
 584 multiple use cases. Sub-category analyses also explore the nuances among robotic hands that have the
 585 same functionality. This can be helpful as a guideline for designers when developing novel robotic
 hands.

FFP Index - Price Addendum



587 **Figure 16.** FFP comparison between the iCub, R1, and the Michelangelo hand with the price addendum.
 This shows that even though the Michelangelo hand scores the highest on both Form and Performance,
 under the context of price, the Michelangelo hand, even though a better hand performance-wise, is a
 lot more expensive. It can also be seen that the control features are lacking, making it less suited for
 research hands.

588

587 9.1. Future work

588 We evaluated the robotic hands available at our disposal. Due to the hands-on approach of
 589 the evaluation index, we were unable to evaluate a wide range of hands. We are in the process
 590 of collaborating with experts working in the field to build a bigger repository of available robotic
 591 hands in the market and their analyses from the corresponding scores. The questionnaire is limited to
 592 providing only the scores for now. A more comprehensive site that provides an in-depth analysis and
 593 a comparative study of different robotic hands, right away after data submission, is under works.

594 **Conflicts of Interest:** Declare conflicts of interest or state "The authors declare no conflict of interest." Authors
 595 must identify and declare any personal circumstances or interest that may be perceived as inappropriately
 596 influencing the representation or interpretation of reported research results. Any role of the funding sponsors in
 597 the design of the study; in the collection, analyses or interpretation of data; in the writing of the manuscript, or in
 598 the decision to publish the results must be declared in this section. If there is no role, please state "The funding

599 sponsors had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing
600 of the manuscript, and in the decision to publish the results".

601 Abbreviations

602 The following abbreviations are used in this manuscript:

603
604 MDPI: Multidisciplinary Digital Publishing Institute
605 DOAJ: Directory of open access journals
606 TLA: Three letter acronym
607 LD: linear dichroism

608

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692 **Sample Availability:** Samples of the compounds are available from the authors.