

1 Article

2 The role of the cell volume-area ratio in 3 thermodynamic analysis of the cancer growth control 4 for *in vitro* experiments

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12 **Abstract:** From a thermodynamic point of view, living cell life is no more than a cyclic process. It
13 starts with the newly separated daughter cells and restarts when the next generations grow as free
14 entities. In this cycle the cell changes its entropy. In cancer the growth control is damaged. In this
15 paper we analyze the role of the volume-area ratio in cell in relation to the heat exchange between
16 cell and its environment in order to point out the effect on the cancer growth. The result holds to a
17 possible control of the cancer growth based on the heat exchanged by the cancer towards its
18 environment, and the membrane potential variation, with the consequence of controlling the ions
19 fluxes and the related biochemical reactions. This second law approach could represent a starting
20 point for a possible future support for the anticancer therapies, in order to improve their
21 effectiveness for the untreatable cancers.

22 **Keywords:** Biothermodynamics; Complex systems; Thermodynamics of biological systems;
23 Biophysical resonance.
24

25 1. Introduction

26 Living cells live in an environment which slowly changes its chemical and physical properties.
27 In mammalian animals, organs and tissues are generally endowed with a homeostatic capability,
28 which is characterized by internal thermal regulation.

29 A living system can be defined as an open system, so non-equilibrium thermodynamics, can be
30 applied just to living systems [1].

31 Cancer is no more than a living cell with a different growth behaviour in relation to the cell we
32 consider normal. At a definite phase of its life any cancer cell divides into two cells. The size of the
33 single cell at the beginning of division can vary [2]; consequently, also the relative size of the two
34 daughter cells can vary, but lower and upper bounds on cell volume have been observed [3].

35 Living cell life, cancer included, is a cyclic process, which starts with the newly separated
36 daughter cells and restarts when the next generations grow as free entities. Consequently,
37 thermodynamics of cycle processes can represent a good tool for the analysis of cancer. In
38 thermodynamics is fundamental the definition of the control volume: in this context it could be
39 useful to consider the single cell as the observed control volume, but the usual experimental setup
40 doesn't allow us to introduce such approach, so we are forced to observe a multitude of single cells
41 and consider this multitude as a complex cooperative system of single cells. This system, considered
42 as a single cell or as the cooperative system of all cells in a culture, is no more than an open system
43 from a thermodynamic point of view, so, energy and matter flow through the border of the system,
44 while biochemical and biophysical transformations occur within the system, with a related net

45 production of entropy. The environment of the system considered is composed by the suspending
46 aqueous solution of cell nutrients, the substances discarded by the cells, the gaseous atmosphere
47 above the suspending solution. Consequently, the bio-system results composed of:

- 48 • The cell membrane, which delimits the volume of the cell, controls inflows and outflows of
49 molecules;
- 50 • The cytoplasm which is an aqueous solution of molecules that fills the cell interior;
- 51 • The organelles, suspended in the cytoplasm.

52 The system may contain many substances not initially present in its environment. Many
53 enzymes found in fragments of cytoplasm membranes are often not directly accessible to system
54 environment, while, in the environment, the concentrations of some molecular species decrease in
55 time, and nutrients must flow into the system in order to allow the biochemical reactions to occur
56 and produce macromolecular cell components, with a related increase of the living cell mass and
57 volume [4]. These biochemical reactions require energy. This energy is obtained from the same
58 reactions, which involve the nutrients, with a related waste of heat towards the living cell
59 environment. The net effect of all these biochemical reactions is to reduce the entropy of the system,
60 with an increase of the entropy generation in the environment [5].

61 From a thermodynamic point of view, the living system is an open system.

62 The analysis of the chemical species and of their reactions in the living cells have led to some
63 sequences which start with nutrient molecules and end with the formation of living cells substance
64 and waste molecules and waste heat. Too numerous reaction sequences are considered to exist.
65 Moreover, many molecules can be part of more than one sequence, providing coupling of sequences.

66 The characteristics of the living systems have been determined by using batch cultures, grown
67 at the optimum temperature for the species employed by the experimenter, even if these
68 experimental method presents disadvantages because the living system is forced to live in a
69 continually changing environment, due to supply and decrease of the environmental concentration
70 of nutrients related to the cells growth, while both the environmental concentration of the waste
71 molecules and the waste heat rise proportionally.

72 Considering the chemical reaction at constant pressure and temperature the Gibbs Free Energy
73 could seem the selected function for the study of the steady states of the living systems, but its
74 decrease as a criterion for occurrence of a spontaneous evolution is limited to the complex
75 phenomena which occur at constant temperature and pressure inside the living system. But, a
76 general objective function for the analysis of the living systems is required. Moreover, considering
77 that the system wastes energy, and mass, it generates irreversibility, so the general criterion for
78 study its spontaneous evolution is the entropy generation related to the changes of the system.
79 Entropy generation always increases in any spontaneous and irreversible evolution.

80 The aim of this paper is to analyze deeply the use of the heat role in the study of the cancer
81 systems. Indeed, recently we have used the entropy generation to introduce a thermodynamic
82 approach to the analysis of the cancer system in order to design a possible support to the present
83 anticancer therapies. But, the thermodynamic approach introduces a new viewpoint for the analysis
84 of the living systems. This paper wishes to explain and improve the thermodynamic formulation of
85 this approach, with the second aim to highlight how to support the biomedical sciences in the
86 comprehension of the possible thermodynamic support to the present anticancer therapies.

87 2. Materials and Methods

88 Life involves organisational and thermodynamic processes, which tend towards the maximum
89 conversion of available energy [1-8]. The biochemical reactions produce or consume external
90 metabolites, and connect internal metabolites, at constant concentrations in the cells at their steady
91 states. In order to do so, cells must exchange energy and matter through their membrane. Indeed,
92 many processes such as replication, transcription and translation, require fluxes of ions and
93 molecules which are driven by the endogenous electric fields and accumulate in the nm-thin layer of
94 water [11,14,15]. These fluxes of ions induce biochemical reactions within cells and tissues.

95 Moreover, the 1931 Nobel laureate Otto Warburg proved that cancer cells are fermentative,
 96 pointing out that this was the consequence of a metabolic injury [16]. In normal cells, mitosis is
 97 synchronized with cell growth for cells to maintain their size during replication. Even if the tumor
 98 behavior is more complex and it is probably based also on genetic structures of the cells, the results
 99 of Warburg highlights the important role of energy conversion in cells. From a thermodynamic point
 100 of view, this result can represent the starting point of the analysis; indeed, the genetic processes have
 101 the consequence of regulation for the cell behavior, but, if we consider the cell as a black box, as
 102 usually done in thermodynamics, then the genetic regulation is no more than the “mind” of the cell,
 103 without any direct consequence on the thermodynamic balances. Indeed, the thermodynamic
 104 approach evaluates the life cycle of the cell by considering only the energy and mass fluxes balances
 105 during the whole cycle of cell life, and not considering the gene activities, but evaluating only their
 106 consequences expressed by the energy conversion in cell.

107 Living cells metabolism implies flows of matter and heat into and out of the cells. What are the
 108 consequences of these fluxes and metabolic reactions? To answer to this question, we consider the
 109 Gibbs free energy variation in time, due to the mass fluxes. It results [12,17-19]:

$$110 \quad \frac{dG}{dt} = \dot{W} + \sum_{in} \dot{n}_{in} \tilde{\mu}_{in} - \sum_{out} \dot{n}_{out} \tilde{\mu}_{out} + T_0 \dot{S}_g \quad (1)$$

111 where G is the Gibbs free energy, \dot{W} is the useful power done by the cell, \dot{n} is the molar flow,
 112 $\tilde{\mu} = \mu + Ze\phi$ is the molar electrochemical potential, with μ chemical potential, Ze charge of the
 113 ion considered, and ϕ cell membrane electric potential, T_0 is the temperature of the cell
 114 environment, t is the time, *in* means inflow, *out* means outflow, and \dot{S}_g is the entropy
 115 generation rate, defined as [12,17-19]:

$$116 \quad \dot{S}_g = \frac{dS}{dt} - \frac{\dot{Q}}{T_0} - \sum_{in} \dot{n}_{in} \bar{s}_{in} + \sum_{out} \dot{n}_{out} \bar{s}_{out} + \sum_f \dot{n}_f \bar{s}_f \quad (2)$$

117 where \dot{Q} is the heat power exchanged, \bar{s} is the molar specific entropy, and f means formed. This
 118 equation highlights as these flows cause entropy variation; moreover, these fluxes imply also a great
 119 number of chemical reactions within the cells, accompanied by entropy generation. Now, we
 120 introduce the equation (2) into the equation (1) obtaining:

$$121 \quad \frac{dG}{dt} = \dot{W} - \dot{Q} + \sum_{in} \dot{n}_{in} (\tilde{\mu}_{in} - T_0 \bar{s}_{in}) - \sum_{out} \dot{n}_{out} (\tilde{\mu}_{out} - T_0 \bar{s}_{out}) + \sum_f \dot{n}_f \bar{s}_f + T_0 \frac{dS}{dt} \quad (3)$$

122 From a thermodynamic point of view, the cell life is no more than a thermodynamic stationary
 123 state, at constant environmental temperature and pressure, and this can be obtained by introducing
 124 the two conditions [12,17-19]:

$$125 \quad \begin{cases} \frac{dG}{dt} = 0 \\ \frac{dS}{dt} = 0 \end{cases} \quad (4)$$

126 which hold to the equation:

$$127 \quad \dot{Q} = \dot{W} + \sum_{in} \dot{n}_{in} (\tilde{\mu}_{in} - T_0 \bar{s}_{in}) - \sum_{out} \dot{n}_{out} (\tilde{\mu}_{out} - T_0 \bar{s}_{out}) + \sum_f \dot{n}_f \bar{s}_f \quad (5)$$

128 which expresses the fundamental role of heat fluxes between the cell and its environment.

129 The equation (5) is very difficult to be numerically evaluated, because it implies the knowledge
 130 of all the balance at the second member of the equation for each cell. So, we must find an alternative
 131 way to evaluate the cell heat flux.

132 The heat flux is no more than the heat power exchanged by the cell and its environment. We can
 133 consider that, inside the experimental setup usually used in the biophysical and biochemical
 134 analysis of cells, the heat flux is exchanged by convection with the suspending aqueous solution
 135 around any cell, so we can write:

$$\dot{Q} = \alpha A (T_{cell} - T_0) = \alpha \frac{V}{\langle R \rangle} (T_{cell} - T_0) \quad (6)$$

137 where α is the coefficient of convection, $A = V / \langle R \rangle$ is the surface area of the cell, which changes
 138 with the phases of the development of the cell, V is the volume of the cell, $\langle R \rangle$ is the volume/area
 139 ratio, a parameter which influences the chemical reaction time and the fluxes through the cell
 140 membrane, and $(T_{cell} - T_0)$ is the difference of temperatures between the cell temperature and the
 141 environment temperature. The term $A = V / \langle R \rangle$ is the geometric shape of the cell in relation to
 142 convection. We must introduce this quantity because at a stage of his life a cell has a definite volume,
 143 but it can change its shape in relation to its duplication phase at that time. Indeed, in eukaryotic cells,
 144 the main control process occurs at the G1/S transition, in late S (DNA synthesis) phase, at mitosis (M)
 145 entry and at the metaphase to anaphase transition. Any process is controlled by the
 146 cyclin-dependent kinases, regulated by the oscillatory expression of G1 and G1/S-cyclins, S-cyclins,
 147 and M-cyclins. The transition between metaphase to anaphase is triggered by the
 148 anaphase-promoting complex/cyclosome (APC/C). Mitogens stimulate the entry into the cell cycle
 149 from a quiescent (G0) phase. Exit from mitosis can lead to differentiation, apoptosis, or return to
 150 quiescence [20]. These mechanisms are altered in neoplastic cells.

151 Now, introducing this last equation (6) in the equation (5) we can obtain:

$$\langle R \rangle = \frac{\alpha (T_{cell} - T_0) V}{\dot{W} + \sum_{in} \dot{n}_{in} (\tilde{\mu}_{in} - T_0 \bar{s}_{in}) - \sum_{out} \dot{n}_{out} (\tilde{\mu}_{out} - T_0 \bar{s}_{out}) + \sum_f \dot{n}_f \bar{s}_f} \quad (7)$$

153 which highlights how the cell adapts its volume/area rate in order to optimize the cell membrane
 154 fluxes to obtain the work it needs. But, conversely, this geometric rate controls also the heat
 155 exchange. Indeed, we consider the heat balance for the system under analysis as follows:

$$\rho_{cell} V c_{cell} \frac{dT_{cell}}{dt} = A \alpha (T_{cell} - T_0) \quad (8)$$

157 where ρ_{cell} is the cell mass density, and c_{cell} is the specific heat of the cell. It follows that:

$$\frac{d \ln(T_{cell} - T_0)}{dt} = \frac{\alpha}{\rho_{cell} c_{cell}} \frac{1}{\langle R \rangle} \quad (9)$$

159 with the result that greater is the volume-area ratio lower is the thermal exchange, being α , ρ_{cell}
 160 and c_{cell} approximately constant.

161 3. Results

162 The results obtained highlight the role of the volume-area ratio of the cells in relation to their
 163 heat exchange in *in vitro* experiments. This effect is fundamental when the heat exchange plays a
 164 fundamental role in the analysis of the experimental data.

165 In particular, we wish to highlight that this effect is particularly interesting in the study of the
 166 cancer growth compared with normal cell growth, because cancer presents a different metabolic
 167 cycle. This is important when we study the cancer growth control. Indeed, we can point out that the
 168 equation (9) is also the equation which links a frequency, i.e. the inverse of the time
 169 $\nu = 1/\tau = (\alpha / \rho_{cell} c_{cell} \langle R \rangle)$, to a structural and geometrical properties of the cell and its
 170 environment, in relation to the heat exchange. But, what is this frequency?

171 It is difficult to find an answer without considering the thermodynamic approach. Indeed, each
 172 system presents a proper time of answer to the external thermal perturbation. We suggest that this
 173 frequency is no more than the inverse of the cell proper time of answering to the external thermal
 174 perturbation, or the heat exchange rate. Indeed, the heat flow can be written as:

$$\dot{Q} = \frac{Q}{\tau} = Qv \quad (10)$$

where Q is the heat wasted during the cell life. Moreover, we can consider also that the ions fluxes are controlled by the cell membrane potential, which is related to the Gibbs free energy by the relation [17-19]:

$$dG = d\phi - 2.3 \frac{RT_0}{F} dpH \quad (11)$$

where R is the universal constant of gasses and F is the Faraday constant. At the stationary states, remembering the relations (4), it follows:

$$d\phi = 2.3 \frac{RT_0}{F} dpH \quad (12)$$

which links the variation of the cell membrane electric potential to the variation of the pH, related to the ions fluxes. Conversely, we can try to force a variation of the pH by a variation of the cell membrane electric potential.

How can we try to do so? We can induce a variation in the cell membrane electric potential by using an electromagnetic wave, with a frequency just equal to the proper frequency of our system.

The results are summarized in the Table 1. It is possible to highlight that the electromagnetic waves induce a different behavior in the cancer cells considered; indeed, they decrease their growth if compared with the cancer cells outside of the electromagnetic field, proving that there exists a forcing phenomena of heat flux control which controls the related ions fluxes and, consequently, induce a different behavior to the biosystem.

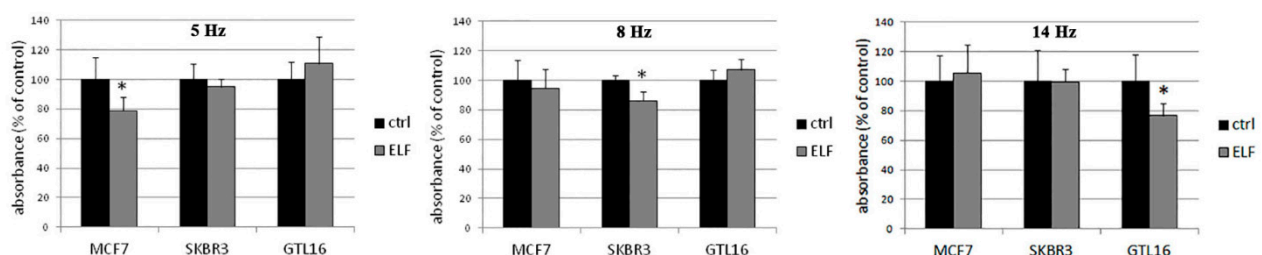
Table 1. Cell parameters and calculated ELF-EMF frequencies.

For each cell line, thirty cells in different fields were evaluated in their size. Cell volumes were estimated and used to calculate the forcing frequencies of ELF-EMF.

cell line	cell size [μm^2]	cell volume [μm^3]	Volume-area ratio	mean frequency [Hz]
MCF7	$1,993 \pm 16$	$16,468 \pm 793$	8.26 ± 0.46	5.0 ± 0.7
	$1,051 \pm 13$	$17,303 \pm 1,040$	16.45 ± 1.18	
	$2,604 \pm 21$	$42,284 \pm 2,068$	16.24 ± 0.93	
SKBR3	$1,033 \pm 11$	$1,795 \pm 97$	1.74 ± 0.11	8.0 ± 2.0
	$2,066 \pm 17$	$29,048 \pm 1,301$	14.06 ± 0.74	
	$2,454 \pm 20$	$47,594 \pm 2,168$	20.21 ± 1.05	
GTL16	$1,042 \pm 11$	$1,300 \pm 80$	1.25 ± 0.09	14.0 ± 3.0
	$1,873 \pm 15$	$2,630 \pm 140$	1.40 ± 0.09	
	$1,059 \pm 12$	$1,260 \pm 77$	1.19 ± 0.09	

197
198

Figure 1. Decreasing of growth at the proper frequency.



199 The experimental results confirm the results here obtained, in particular that the cancer cells
200 modify their behavior only if irradiated by an electromagnetic field at the proper frequency for the
201 cell line considered [21].

202 4. Discussion

203 The results, here obtained, point out the fundamental role of the cell volume-area ratio in relation
204 to the fluxes control.

205 Indeed, there is a temperature difference between the interior of a living cell and its
206 environment. This is a thermodynamic necessity for life. Sensible heat is exchanged between inside
207 and outside of the cell due to this temperature difference. This heat flow contribute to entropy
208 generation. Part of the entropy generated appears outside the cell as sensible heat. The fraction of all
209 entropy generation that appears in this form depends on the nature and number of processes
210 occurring in the cell. Consideration of the temperature difference between environment and cell
211 interior allows the introduction of non-equilibrium thermodynamics for the analysis of cells
212 behavior. Brock suggested that the stability of thermophilic organisms can be attributed to
213 membrane structure properties of these organisms [22].

214 The temperature gradient contribution to the flow of substances through the cell membranes of
215 the cell with a consequent influence on metabolic processes. The approach here suggested allows us
216 to evaluate the homeostatic cellular response to external perturbations. This answer is no more than
217 a thermo-chemical output of the cell in the environment. So, we can suggest that the thermodynamic
218 approach holds to a model of analysis of the action and reaction in terms of membrane flux
219 variation. This approach could represent a new approach to design possible support to the present
220 anticancer therapies, by introducing external fields variation, at the proper answer time, in the
221 therapeutic protocols. From the experimental results it is clear a reduction of the growth of the
222 cancer with the consequence of improving the effects of the present therapies.

223 The growth rate of cells, cancer included, at a fixed temperature is a function of both
224 composition of the medium and chemical potentials of the component substances. This represents a
225 sort of control to the growth, because there is a maximum rate at which each bio-chemical reaction
226 can occur under the existing constraints. This rate is conditioned by the volume-area ratio, because it
227 controls the ions fluxes, i.e. the fluxes of the chemical reactants.

228 So, our results show a method for the design of therapies and experiments for their analysis.
229 Indeed, the specific effect of the single frequencies has two important consequences:

- 230 • In every cell type different parameters of electromagnetic waves impact differently the energy
231 utilization and proliferation, with different inhibition effects on the cell growth;
- 232 • The same electromagnetic wave has distinct effects on different cells, with a selectivity
233 behavior.

234 5. Conclusions

235 In this paper, we have developed the analysis of a thermodynamic approach to cancer cells,
236 with particular regards to the role of the volume-area ratio in the heat exchange and the
237 consequences to the cancer cells behavior.

238 We have pointed out the existence of a proper time of answer of any cell line to the heat
239 exchange. This time results related to the cells volume-area ratio, a geometrical parameter
240 fundamental for the considerations on the fluxes and cells membrane electric potential variation.

241 Then, starting from some previous experimental results [23-26], we have obtained also an
242 experimental proof of the present results.

243 The results highlight how the irreversibility plays a fundamental role also in biophysical
244 systems; indeed, the geometrical rate is completely related to the entropy generation as it is clear by
245 introducing the relation (6) into the relation (2). This holds to a new approach to biological physics,
246 based on the first and second law of thermodynamics.

247

248 **Author Contributions:** UL developed the theoretical model, and the thermodynamic considerations. GG
249 developed the experiments and the data analysis.

250 **Conflicts of Interest:** The authors declare no conflict of interest.

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