

1 Article

2 **Retrocausal Quantum Effects from Broken Time Reversal Symmetry**3
4 **Rajat Kumar Pradhan***5 Postgraduate Department of Physics and Applied Physics,
6 Bhadrak Autonomous College, Bhadrak, Odisha, india, 756100. rajat@iopb.res.in7 * Correspondence: rajat@iopb.res.in, Tel.: +91-943-781-81968
9 **Abstract:** Quantum effects arising from manifestly broken time-reversal symmetry are investigated using
10 time-dependent perturbation theory in a simple model. The forward time and the backward time Hamiltonians
11 are taken to be different and hence the forward and backward amplitudes become unsymmetrical and are not
12 complex conjugates of each other. The effects vanish when the symmetry breaking term is absent and ordinary
13 quantum mechanical results such as Fermi Golden rule are recovered.14 **Keywords:** Time reversal; Retro-causality; Fermi Golden rule; Perturbation theory
1516 **1. Introduction**17 Time reversal invariance has been a contentious issue [1, 2] in non-relativistic quantum mechanics since its first
18 description given by Wigner [3]. The Schrodinger equation $i\hbar(\partial\psi/\partial t) = H\psi$ is not invariant under
19 $t \rightarrow -t$ and for conservation of transition probabilities requires it to be taken along with complex conjugation.
20 Due to the hermiticity of the Hamiltonian the conjugate Schrodinger equation $-i\hbar(\partial\psi^*/\partial t) = H\psi^*$
21 represents the evolution of the conjugate state in backward time. But, in standard Quantum Mechanics (QM),
22 both ψ and ψ^* are always treated on equal footing as they contain identical information about the system,
23 though ψ^* is hardly ever given an independent and explicit interpretation separately from ψ , except in
24 Cramer's transactional interpretation [4]. Aharonov, Bergmann and Lebowitz (ABL) [5] developed the
25 time-symmetric version of QM, called the two state vector formalism (TSVF) using the forward evolving state
26 $|\phi\rangle$ and backward evolving quantum state $\langle\psi|$ as equal players in the determination of probabilities of
27 measurement of an observable Q by the ABL rule:

28
$$\Pr(Q = q_n) = \frac{|\langle\psi|P_n|\phi\rangle|^2}{\sum_j |\langle\psi|P_j|\phi\rangle|^2} \quad \dots (1)$$

29 where q_n is the nth eigenvalue of Q . This formula reduces to the usual Born rule of standard QM when there
30 is no post-selection. Here the state of the system is described completely by the two-state vector $\langle\psi||\phi\rangle$ and
31 $P_j = |\phi_j\rangle\langle\phi_j|$ is the usual projection operator for the j th state [6].32 A causally symmetric Bohm model has been proposed by Sutherland [7] wherein time-symmetry is utilized to
33 explain quantum non-locality while maintaining Lorentz invariance. Time reversal symmetry however is
34 contrary to our experience since we remember the fixed past and can only surmise on the uncertain future, and
35 hence the forward-evolving physical state $|\phi\rangle$ and the backward-evolving conjugate state $\langle\psi|$ cannot have
36 equal significance. The entropic, cosmological and psychological arrows of time do point to manifestly broken
37 time reversal invariance in nature and so do the CP-violating weak interactions, though the magnitude of the
38 effect is very small in the latter case. Effects of PT symmetric non-hermitian interactions that violate P as well as

39 T symmetry have also been studied in the literature [8] in various systems. Pegg [9] has analysed
 40 retro-causality in quantum measurements and has shown that it violates only the weak causality principle and
 41 hence cannot be used for physical signal transmission.

42 In this note, we study the effects of manifestly breaking time-reversal invariance using standard
 43 time-dependent perturbation theory by introducing a small T-breaking coefficient in the interaction term for the
 44 backward-evolving states. It turns out that retro-causation can be seen to be the effect (rather than the cause)
 45 of non-locality at a more fundamental level.

46 2. Breaking T-invariance by hand

47 Let the general physical state $|\phi(t_i, t)\rangle$ for a system evolve forward in time from initial time t_i by the

48 forward-evolution Hamiltonian $H_F = H_0 + H'$ while the general backward evolving state $\langle\psi(t_f, t)|$

49 evolves by the backward evolution Hamiltonian $H_B = H_0 + (1 + \lambda)H' = H_F + \lambda H'$ from a final time t_f where,

50 λ is a small real-valued (in general time-dependent) dimensionless parameter that determines the extent of
 51 T-violation. Standard time-dependent perturbation theory of QM will be recovered when $\lambda = 0$. H_0 is the
 52 unperturbed Hamiltonian of the system having orthonormal eigen states defined by: $H_0 |n\rangle = E_n |n\rangle$. Note
 53 that both H_F and H_B are self-adjoint but they are not adjoints of each other, precisely because of the presence
 54 of the T-violating parameter λ via the additional interaction term in H_B .

55 Such distinct evolutions by different forward and backward Hamiltonians have been studied by Hahne [10]
 56 using direct sum of the forward and backward Hilbert spaces as the state space. Here we examine the effects of
 57 introducing a time-dependent (in general) parameter λ in the perturbation Hamiltonian for the backward
 58 evolution, somewhat as a simple hidden variable, which affects the quantum mechanical transition
 59 probabilities in a retrocausal manner.

60 Our aim is to find out the probability that if the system was in a given eigenstate $|i\rangle$ of H_0 at t_i , what is the

61 probability that it will be found in the eigenstate $|f\rangle$ at time t_f due to the different evolutions of the

62 forward and backward evolving states. Further, using its dependence on the T-violating parameter λ , can we
 63 bring in a reasonable change in the spectrum of transition probabilities, thereby reducing quantum
 64 indeterminism? We consider some simple applications.

65

66 3. Modified Transition Probabilities

67 The transition probability in standard QM is calculated by the applying Born rule viz. taking modulus squared
 68 of the amplitude for the forward transition:

$$69 \Pr(i \rightarrow f) = \text{Amp}(i \rightarrow f) \times \{\text{Amp}(i \rightarrow f)\}^* \dots (2)$$

70 In view of T-symmetry in standard QM, we can write the backward transition amplitude as:

$$71 \text{Amp}(f \rightarrow i) = \{\text{Amp}(i \rightarrow f)\}^* \dots (3)$$

72 And, hence the probability can be written as:

$$73 \Pr(i \rightarrow f) = \text{Amp}(i \rightarrow f) \times \text{Amp}(f \rightarrow i) \dots (4)$$

74 In the model considered here, since the forward and backward amplitudes are not in general conjugates of each
 75 other due to broken T-symmetry, there will be a λ -dependence of the probabilities. Following Cramer[11, 12],
 76 this can be explained as stemming from the interaction of the system with the backward travelling advanced

77 waves (Confirmation echoes) from the future state, which can affect the transition probabilities during the
78 interval $[t_i, t_f]$.

79 The forward amplitude for $i \neq f$ and to first order in the interaction H' , is given by [13]:

$$80 \quad A_{mp}(i \rightarrow f) = \frac{1}{i\hbar} \int_{t_i}^{t_f} e^{i\omega_{fi}t'} H'_{fi}(t') dt' \dots (5)$$

81 where, $\hbar\omega_{fi} = E_f - E_i$ and $H'_{fi}(t') = \langle f | H'(t') | i \rangle$ is the matrix element of the interaction H'
82 connecting the initial and final states in the forward time direction.

83 Following the same way, the backward amplitude is given by:

$$84 \quad A_{mp}(f \rightarrow i) = -\frac{1}{i\hbar} \int_{t_f}^{t_i} e^{-i\omega_{fi}t'} \{1 + \lambda(t')\} H'_{if}^*(t') dt' \dots (6)$$

85 Using eq. (4), the probability then becomes:

$$86 \quad Pr(i \leftrightarrow f) = Pr_{QM} + Pr_{retro}(\lambda) \dots (7)$$

87 where the first term is the standard quantum mechanical probability for the transition while the second term is
88 the additional retrocausal λ -dependent contribution to the probability. For this reason, the argument on the
89 LHS has been signified with a left-right arrow. Some special cases of interest can now be considered:

90 (a) If λ is a constant independent of time, then the probability becomes:

$$91 \quad Pr(i \leftrightarrow f) = (1 + \lambda) Pr_{QM} \dots (8)$$

92 If we can somehow have control over the parameter λ , we can deselect final states $|f'\rangle$ other than the single
93 final state $|f\rangle$ by choosing $1 + \lambda_{f'} = 0$ for all such states, thereby maximizing the probability of, and
94 selecting, the state $|f\rangle$ by retrocausal means.

95 (b) If H' is a constant perturbation turned on at $t_i = 0$, then the probability is:

$$96 \quad Pr(i \leftrightarrow f) = Pr_{QM} + \frac{|H'_{fi}|^2}{i\hbar(E_f - E_i)} (1 - e^{i\omega_{fi}t_f}) \int_{t_f}^0 \lambda(t') e^{-i\omega_{fi}t'} dt' \dots (9)$$

97 Now, if λ does not depend on time, then the formula again reduces to (8) with Pr_{QM} given by the well-known
98 oscillatory formula:

$$99 \quad Pr_{QM} = \frac{4 |H'_{fi}|^2}{|E_f - E_i|^2} \sin^2 \left[\frac{(E_f - E_i)t_f}{2\hbar} \right] \dots (10)$$

100 From eq. (8) and eq. (10), one then obtains a modified Fermi golden rule containing the multiplicative factor
101 $(1 + \lambda)$, for the transition rate to the state $|f\rangle$ within the group of states $\{|f\rangle\}$ with energies nearly
102 equal to the initial energy E_i and having density of states $\rho(E_f)$:

$$103 \quad w_{i \leftrightarrow f} = (1 + \lambda) w_{i \rightarrow f} = (1 + \lambda_f) \frac{2\pi}{\hbar} |H'_{fi}|^2 \rho(E_f) \dots (11)$$

104 where, in the last step we have introduced the state dependence of λ by writing it as λ_f to signify future state
105 selection.

106 (c) For a harmonic perturbation of the form: $H' = V e^{i\omega t} + h.c.$ turned on at $t_i = 0$ and with constant λ , the
 107 transition probabilities for emission ($E_f = E_i - \hbar\omega$) and absorption ($E_f = E_i + \hbar\omega$) are given
 108 respectively by:

$$109 \quad w_{i \leftrightarrow f} = (1 + \lambda) w_{i \rightarrow f} = (1 + \lambda_f) \frac{2\pi}{\hbar} |V_{fi}|^2 \delta(E_f - E_i \pm \hbar\omega) \quad \dots (12)$$

110 This formula is also applicable to find the transition probabilities for electric dipole transitions for an atom
 111 interacting with an applied electromagnetic field.

112 4. Discussion

113 In the above simple extension of quantum mechanical perturbation theory, we have interpreted the conjugate
 114 amplitudes as the backward time (retrocausal) amplitudes for a process by introducing a retro-causality
 115 parameter λ . We have shown that if the parameter is independent of time, then the transition probabilities are
 116 modified and the probabilities remain real and we have true retrocausal influences on the system. However, if
 117 λ is time-dependent, then as is evident from eq. (9), the standard quantum mechanical formulae will be
 118 modified non-trivially depending on the exact nature of the dependence and probabilities will not remain real
 119 and will have an additional imaginary part which is difficult to interpret. It has been argued [7] that negative
 120 probabilities can be accommodated as long as the system is in transit, and when it approaches a measurement
 121 instant, the probabilities return to the interval [0,1]. This argument can be applied to cases in which some states
 122 are deselected by choosing $\lambda_f = -1$, so that the probability for the retro-causally selected state becomes ~ 1 .

123 5. Conclusion

124 The validity of the model depends on whether we are able to detect retrocausal influences and whether the
 125 parameter λ can be controlled by some means. For this, we must have temporal non-locality in some sense,
 126 since the final state must be known with greater degree of certainty in advance in order for us to influence the
 127 system in the backward time sense from the future. This in some sense has already been investigated [14] and
 128 encouraging results have been obtained using weak measurements [15] in the TSVF. In the model discussed
 129 here which is in terms of standard quantum mechanical perturbation theory, the uncertainty of the future state
 130 must correspondingly decrease as signified by the parameter λ becoming ~ 1 for that state and ~ 0 for the rest of
 131 the states. There must be probability flows from rest of the final states to the intended one making it more
 132 certain as an outcome than when λ is absent. It turns out that causal symmetry by itself cannot explain "true"
 133 retrocausal influences, which bring in more certainty of the realisation of the state. In contrast, the causal
 134 symmetry in the transactional model, Sutherland's Bohmian model as well as in the TSVF will always keep
 135 intact the quantum mechanical probability assignments. Truly retrocausal influences via some kind of breaking
 136 of the T-symmetry as attempted here opens up new possibilities. How to exploit this is a matter to be taken up
 137 in future work.

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