

HENNOVATION: Learnings from Promoting Practice-Led Multi-Actor Innovation Networks to Address Complex Animal Welfare Challenges within the Laying Hen Industry

L. van Dijk^{1,2}, H.J. Buller², H.J. Blokhuis⁵, T. van Niekerk⁴, E. Voslarova⁷, X. Manteca⁶, C.A. Weeks³ and D.C.J. Main¹

1. Royal Agricultural University, Cirencester, Gloucestershire, United Kingdom

2 College of Life and Environmental Sciences, University of Exeter, Exeter, United Kingdom

3. Bristol Vet School, University of Bristol, Langford, Bristol, United Kingdom.

4. Wageningen Livestock Research, Wageningen, The Netherlands.

5. Department of Animal Environment and Health, Swedish University of Agricultural Sciences, Uppsala, Sweden

6. Department of Animal and Feed Science, School of Veterinary Science, Universitat Autònoma de Barcelona, Spain

7. Department of Animal Protection, Welfare and Behaviour, Faculty of Veterinary Hygiene and Ecology, University of Veterinary and Pharmaceutical Sciences Brno, Czech-Republic

Corresponding Author: Lisa van Dijk email: lisa.williamsvandijk@rau.ac.uk

SUMMARY

HENNOVATION was an EU funded project that aimed to explore the value of networks of laying hen farmers and within the laying hen processing industry, supported by scientists, to improve the health and welfare of laying-hens. During the 32-month project, the project team supported 19 networks in 5 countries and several networks generated new ideas and tested them in their commercial context. The project demonstrated that these networks led by farmers and industry practice can generate practical and effective solution to animal welfare problems. Greater attention should be given to enhance and support this type of practice-led networks in future strategy and policy initiatives for animal health and welfare improvement.

ABSTRACT

The Hennovation project, an EU H2020 funded thematic network, aimed to explore the potential value of practice-led multi-actor innovation networks within the laying hen industry. The project proposed that husbandry solutions can be practice-led and effectively supported to achieve durable gains in sustainability and animal welfare. It encouraged a move away from the traditional model of science providing solutions for practice, towards a collaborative approach where expertise from science and practice were equally valued. During the 32-month project, the team facilitated 19 multi-actor networks in 5 countries through 6 critical steps in the innovation process: problem identification, generation of ideas, planning, small scale trials, implementation and sharing with others. The networks included farmers, processors, veterinarians, technical advisors, market representatives and scientists. The interaction between the farmers and the other network actors, including scientists, was essential for farmer innovation. New relationships emerged between the scientists and farmers, based on experimental learning and the co-production of knowledge for improving laying hen welfare. The project demonstrated that a practice-led approach can be a major stimulus for innovation with several networks generating novel ideas and testing them in their commercial context. The Hennovation innovation networks not only contributed to bridging the science-practice gap by application of existing scientific solutions in practice but more so by jointly finding new solutions. Successful multi-actor, practice-led innovation networks appeared to depend upon the following key factors: active participation from relevant actors, professional facilitation, moderate resource support and access to relevant expertise. Farmers and processors involved in the project were often very enthusiastic about the approach, committing significant time to the network's activities. It is suggested that the agricultural research community and funding agencies should place greater value on practice-led multi-actor innovation networks alongside technology and advisor focused initiatives to improve animal welfare and embed best practices.

KEYWORDS: practice-led, innovation, networks, laying hen, industry.

1. INTRODUCTION

There is a current reassessment of agricultural innovation policies in Europe with a growing policy interest in more 'bottom-up', practice-led, collaborative approaches to innovation [1]. These practice-led approaches respond to the demand for innovation to solve local problems using practical knowledge and creativity at the farm level [2]. Akrich et al. (p202) [3] argue '*the evaluation of the disadvantages and advantages of an innovation is entirely in the hands of the users: it depends on their expectations, their interests, on the problems which they raise*'; in short, their practice. Although practical local knowledge is an essential foundation for local innovation, this alone is rarely enough to generate it [4-6]. To enable innovation requires creating space for joint learning and knowledge sharing through innovation networks which bring together different actors, with different (forms or sources of) knowledge including science [7-9]. Klerkx et al. (390) [10] also emphasise the importance of networks for innovation: '*innovation is considered the result of a process of networking and interactive learning among a heterogeneous set of actors, such as farmers, input industries, processors, traders, researchers, extensionists, government officials, and civil society organizations*'.

For many, practice-led innovation is held in opposition to science-led innovation developed by research scientists following an experimental research design. Practice-led innovation distinguishes itself from more top-down approaches to agricultural innovation that focus on individual farmer behaviour change, as it is generated by networks (or communities) of practice through a collective process [1,8]. These networks bring together farmers, researchers and other local territorial or sectoral actors, combining different types of knowledge, science and practice, and co-generating new knowledge [11]. Knickel *et al.* (p886) [12], indicate that innovation processes '*are the outcome of collaborative networks where information is exchanged and learning processes happen*'.

Practice-led approaches to agricultural innovation have not evolved overnight, and since the 1960s different pathways to agricultural innovation have emerged from more top-down Transfer of Technology approaches, to more system-oriented approaches: Farming System Research, more participatory Agriculture Knowledge and Innovation Systems and Agricultural Innovation Systems promoting innovation networks [13,14], summarized in table 1 and described in more detail by Schut *et al.* [15] The direction of knowledge exchange has shifted from top-down towards bottom-up and joint learning amongst scientists and the farming community. This shift in approach is evident in previous animal health and welfare initiatives. For example, a traditional top-down advisory approach was used for a lameness initiative for dairy heifers based on HACCP principles aimed to provide farm-specific advice to farmers based on the latest scientific research [16]. A more consultative approach was adopted in both the AssureWel [17] and Healthy Feet Projects [18]. The latter approach also aimed to utilise social marketing principles to maximise the engagement of the farming community. A more participatory bottom-up approach has been promoted within the Stable School methodology [19]. One of the first examples of the use of an Agricultural Innovation System (AIS) approach emerged with the work done by Klerkx *et al.* [10] on the development of a new laying hen system, the Rondeel system, by a multi-actor innovation network in The Netherlands and the work done using co-innovation platforms for heifer rearing in New Zealand [20].

Table 1. Overview of the four approaches to agricultural innovation, (based on [15]).

Approach	Transfer of technology (TT)	Farming systems (FS)	Agricultural knowledge and information systems (AKIS)	Agricultural innovation systems (AIS)
Originally proposed	1950s–1980s	1980s–1990s	1990s–2000s	2000s–onwards
Key-objectives	Transfer, diffusion and adoption of technology	Contextualise agricultural research and technology	Build local capacities, empower farmers	Enhance systems capacity to generate and respond to change
Flow of innovation	Top-down	Top-down	Bottom-up	Multi-directional
Key intervention approach	Technology dissemination through extension Use mass media to facilitate adoption	Farmer consultation to inform research Surveys to develop farm typologies, modelling of innovation impact	Conduct participatory research Implement joint learning activities	Establish and implement multi-actor innovation platforms
Role of farmers	Adopters of technologies	Adopters of knowledge and technologies Source of information	Experimenters Experts	Partners Entrepreneurs Part of innovation network
Role of research and researchers	Developers of knowledge and technologies	Experts	Capacity builders Facilitators of learning	Actors to enhance innovation capacity in the system Members innovation network
Example of animal health & welfare initiatives	Heifer Lameness Control Plans (Bell et al., 2009)	AssureWel, (Main et al., 2012), Healthy Feet Project	Stable Schools (Ivemeyer, et al, 2015)	Hennovation Thematic network (reported here)

Such practice-led innovation is derived directly from the ‘*rooted*’ experiences of ‘*doing*’ their practice, to cope with and adapt to the challenges faced in every-day as well as strategic contexts [21]. Paradoxically, this in-practice and on-farm demand for innovation is rarely seen as a major driving force for applied animal welfare science research. The call for innovation in these practice-led approaches does not emerge from scientific research processes but emerges from the social interactions and the cultural context of individual farmers and their management practices operating within their communities. Ultimately, the knowledge of farmers becomes seen more ‘*on an equal footing*’ with scientific knowledge [22,23]. How to enable these practice-led processes both in impacting upon applied animal welfare science-driven innovation and in delivering practical solutions to improve animal health and welfare on farm by providing relevant/effective science-driven support is a central concern of this paper.

2. THE HENNOVATION PROJECT

Using the egg-laying-hen sector as a case study, the EU H2020 Hennovation thematic network aimed to test mechanisms to enable practice-led innovation through the establishment of innovation networks of farmers and within the laying hen processing industry, supported by scientists. The Hennovation project promoted a multi-directional flow of knowledge, with farmers or industry leading the activity at a local level, and scientific researchers and other actors supporting the innovation capacity of the network. These networks were established and facilitated to proactively search for, share and use new ideas to improve hen welfare, efficiency and sustainability. The policy context for the Hennovation project hinged around the European Innovation Partnership for Agricultural productivity and Sustainability (EIP-AGRI) that was launched by the European Commission in 2012 as part of the European Union's strategy 'Europe 2020' with the goal of fostering a competitive and sustainable agriculture and forestry that 'achieves more and better from less'. One of the key objectives of this strategy was the strengthening of research and innovation through a new approach to innovation, the '*interactive innovation model*'. Within EIP-AGRI a '*multi-actor approach*' is advocated which '*means that projects must focus on real problems or opportunities that farmers, foresters or others who need a solution ('end-users') are facing. It also means that partners with complementary types of knowledge – scientific, practical and other – must join forces in the project activities from beginning to end*'. The EIP-AGRI policy also includes funding for thematic networks that have been promoted as a tool to 'collect existing scientific knowledge and best practices on the chosen theme, and facilitate their use' and 'develop easily understandable material for practice, such as info sheets in a common format and audio-visual material' [24]. The interest in practice-led innovation was driven from the perception of a significant gap between scientific research and the adoption of applied science into farm practice [22].

The Hennovation project proposed that solutions to practical problems in the laying hen industry can be practice-led and effectively supported to achieve durable gains in sustainability. The EU laying hen sector was chosen as a case study because of the complexity of the market and legislative contexts of egg production including consumer animal welfare *interest in the sector* [25], changes in the supply associated with mandatory method of production labelling (Commission Regulation (EC No 589/2008) and legislation changes associated with cage systems (Council Directive 1999/74/EC). In this complex environment, many laying hen producers across the EU were dealing with similar animal health and welfare challenges such as the prevalence of welfare issues related to injurious pecking [26] and the handling, transport and slaughter of end of lay hens. By focusing on practice-led, grass root innovation and its articulation with existing science and market-driven actors, this project aimed to explore the opportunity for practice-driven or practice-led innovation to deliver practical solutions within the animal production industry.

The potential value of practice-led innovation networks was explored by recruiting and working closely with two types of innovation networks in the laying hen sector; local on-farm networks led by groups of producers and national and international off-farm networks led by transporters and hen processors. These two 'models' of innovation networks (on-farm and off-farm) were selected to explore the roles of different actors (farmers, veterinary surgeons, farm advisors, scientists, egg buyers, certification schemes), different scales of networks (small farm group vs. larger industry network) and different external drivers (legislation, consumer pressure, market forces, productivity and profitability). Prospective network members in the five participating EU Member States (UK, Sweden, Netherlands, Spain & Czech-Republic) were recruited by direct contact or via the existing collaborative networks of the project partners.

3. MATERIALS AND METHODS

The Hennovation project adapted a participatory action research approach to explore and test mechanisms to facilitate and enhance practice-led innovation. Stimulating a dynamic practice-led innovation process was expected to: 1) identify innovation needs and potential solutions 2) exchange knowledge and seek consensus on needs and solutions 3) test technical and economic viability of solution and 4) lead to wider application and sharing of solutions [27]. The research methodology, based on Moschitz *et al.* (2015), included a reflection and action process at the facilitator's level and a co-learning process at the network level. A facilitators' coordinator supported the facilitators and acted as '*reflexive monitor*', probing the way the facilitators worked and their underlying assumptions through reflection workshops [20,28].

In total 11 facilitators from the five participating countries were recruited to support the innovation networks. All had university degrees related to animal health and welfare or veterinary sciences with experience of working in the livestock sector: some had experience of the laying hen sector. The facilitators had a varying degree of experience in collaborative research projects, some had little or no previous experience whilst others had facilitated more collaborative research processes, though not necessarily focusing on innovation by farmers. The facilitators developed the skills and techniques of facilitation by participating in the exercises during four facilitator-focused workshops arranged at critical stages of the project. Tools such as network mapping, Venn diagram for stakeholder analysis and the learning history [29,30], were used to monitor network performance and self-reflection by facilitators, with the idea that the facilitators could also use these tools for self-reflection with their networks.

A framework for the adaptive management and facilitation of practice-driven innovation was developed by and with facilitators during the first facilitators workshop. Through a series of workshop exercises, the facilitators charted the distinct stages, or 'process steps' towards innovation. This on-going process enabled facilitators to develop a shared understanding of an innovation network's support needs and effectiveness i.e. 'network health' [31]. Initially the facilitators identified six key steps in the innovation process:

1. The identification of the need for innovation; shared problem/opportunity,
2. The generation (and assessment) of innovative ideas which could provide potential solutions,
3. The selection of an innovative idea and plan of action to 'test' the idea including resources required in terms of time, external expert support and money,
4. The practical 'testing' / development of the idea on-farm, during transport or at the slaughter house,
5. The implementation and upscaling of the innovation in practice,
6. Finally, the wider dissemination of the innovation amongst the sector.

It is worth noting that although the framework is presented stepwise, the innovation process is rarely linear, and the time allocated for each step cannot be predicted [32]. Moreover, it depended, amongst other things, on a variety of other factors such as network capacity and the nature of the intended innovation itself. The challenge in the development of the framework was that on the one hand it needed to provide enough structure to be useful for the facilitator whilst on the other hand it needed to be generic and flexible enough to accommodate the diversity and unpredictability of the process [32]. Further discussion of each step, within the facilitation workshops, led to the development of more detailed guiding questions / criteria (table 3). These were developed as a tool to guide the facilitation of the innovation process and to stimulate facilitator learning in managing the process in the field. In addition to individual reflection by each network facilitator, participants were also asked to compare

the progress and functioning of each network and identify why similarities or differences in performance emerged.

An essential role of the network facilitator, apart from guiding the network through the innovation process and promote learning, was the linking with different support actors including science-driven support actors. The involvement of science-driven support actors such as applied animal welfare scientists, veterinarians and technical advisors was an essential part of the innovation process combining different types of knowing and creating a diversity of knowledge [11] It was envisaged that scientific knowledge was brought to the network based on the networks demand for this knowledge at any particular stage in the innovation process. As also Mathe *et al.* (2016 p9) [33] emphasise, innovation is a dynamic learning process in which '*static articulation of demand and supply of innovation support services is inadequate.*'

4. RESULTS

4.1 RECRUITMENT AND DESCRIPTION

The project recruited a total of 19 multi-actor networks across the Czech-Republic, The Netherlands, Spain, Sweden, and the United Kingdom. Fifteen networks focused on finding practical solutions to problems related to feather (or injurious) pecking on-farm. Four further networks focused on practice-led innovation on the handling and use of end-of lay hens. Depending upon the time taken to recruit groups the networks were active for up to 18 months during the project. Most networks held face to face meetings although some networks occasionally used conference calls to overcome the logistical challenges of a geographically dispersed network. The project team avoided pre-defining the term '*network*' to allow for various routes to network formation [34]. Networks were based upon pre-existing farmers group (n=2), or pre-existing larger groups connected to egg packer or veterinary practices (n=8) or were newly established as part of the project (n=9). The network size of the on-farm networks varied from three to 25 members (table 2). There were 124 active members participating at some stage in the 19 networks although for some networks the numbers that attended each meeting varied. Six networks included at least some organic farmers, 3 networks included some producers with furnished cages and the majority (12) included farmers with free-range or barn (aviary) systems. In several on-farm networks, apart from farmers, other network actors, such as veterinarians and field support staff of the egg packers, were part of the actual network. Whilst in other on-farm networks these actors were not part of the actual network but provided specific support relevant for the topic addressed by the network. The four end of lay networks included those actively involved in the slaughter and transport of end of lay hens.

Table 2. Overview of innovative ideas tested by the on-farm & off-farm innovation networks.

#	Network	On-farm / Off farm solution tested	Active members	Support actors
1	UK-SB	Different range covers (shelters, cover crop and trees) to encourage birds out onto the range.	5	3
2	UK-NWE	Sand as an alternative litter substrate to reduce stress and increase natural behaviour and consequently reduce of injurious pecking.	7	4
3	UK-EE	Different colours LED lighting in different areas of the shed to promote natural behaviour and reduce feather pecking.	5	4
4	UK-WB	Ozone treatments of the air in the sheds to reduce poultry red mite infestation.	7	4
5	UK-SWE	The use of a probiotic to improve the gut-health of laying hens.	6	4
6	SE-CS	The variation in amino acid levels in different food batches and how this affect laying hens.	7	1
7	SE-WS	Compare different ways to measure ammonia in stable and how the climate and management routines affect the air quality.	4	3
8	SP-SI	Simple low costs traps to monitor the development of Poultry Red Mites in cage systems and develop a monitoring protocol.	6	5
9	SP-SO	Alpacas on farm within an outdoor range to reduce the number of attacks on hens from predators.	5	5
10	CZ-CO	Various discussions on management factors including nutrition, flock density, red mites and an innovative substrate to reduce wet litter.	3	2
11	CZ-CI	The use of new biocide spray against red mites, which has been introduced to Czech market.	4	5
12	NL-SYS	The use of different litter type, cut rapeseed straw and cut fibre hemp and its effect on hen behaviour, feather pecking, animal health and red mites.	6	4
13	NL-MID	The most favourite pecking block for laying hens with the highest longevity and efficacy	11	1
14	NL-DIV	Lighting characteristics on farms with different lighting systems, including light spectrum, and the effect on egg shell quality in laying hens with intact beaks early in the laying period.	25	13
15	NL-IPM	Practical protocol for Integrated Pest Management (IPM) of poultry red mites (PRM)	3	1
16	UK-EoL	The use of trolleys to load birds at their 'home' cage in the house and wheel this outside to transfer the drawers full of birds into the transport module in order to avoid carrying birds upside down.	6	3
17	NL-EoL	Supplementing drinking water with a heat-stress reducing product a day before end of lay.	7	1
18	SE-EoL	Promoting the use (consumption) of End-of-Lay hen meat to increase its value and public demand.	5	1
19	CZ-EoL	More frequent replacement of lids on transport crates to reduce injury rates (broken bones and bruising) in transported hens.	2	2

4.2 NETWORK ACTIVITY

During the project, the Hennovation networks tackled a range of technical challenges through the development of different types of innovations. Most ideas tested were incremental, some were more radical, yet both were equally valued and important to increase motivation and build the capacity of the network to innovate. Alongside technical ‘*hard*’ or product innovations (e.g. new type of litter material to reduce stress and encourage natural behaviour or the use of alpacas in organic systems to reduce predation), a variety of often less expected and sometimes unintended ‘*soft*’ innovations (i.e. processes and protocols) also emerged through these networks (e.g. a new way of marketing low valued hen meat and new relationships between production chain actors such as pullet rearers). This process led to innovation on both individual as well as collective network levels. Some ideas developed and tested were innovative in a specific farm context (for example the use of different range covers, sheds, cover crop and trees to encourage birds out onto the range) though not necessarily innovative for the laying-hen sector. Others had a potential to have a great impact on the sector (for example the use of trolleys when catching hens and immediately placing them into the drawers in which they are transported to the processing plant).

Facilitators used the framework to reflect on the functioning of their network as they moved through the innovation process and presented this reflection during the reflection workshops in May 2016, November 2016 and May 2017. The final scoring reported during the facilitator’s workshop in May 2017 is presented (table 3). The facilitators were asked to reflect on whether intervention was required, on what level and what kind of intervention was necessary to move the innovation process of the network forward.

Table 3. Level of engagement / activity within each of the 19 networks as perceived by the network's facilitator during the final workshop (May 2017).

	Not completed	Low	Moderate	High
General indicators relevant to the function of each network				
0.1 Level of participant enthusiasm and energy of the network	0	1	4	14
0.2 Level of participant trust and knowledge sharing within the network	0	1	4	14
0.3 Level of facilitators intervention (rate of intervention facilitator)	0	7	8	4
0.4 Level or frequency of interaction with support actors (engagement of the relevant actor at the right time)	0	5	6	8
Overall general engagement	0 (0%)	14 (18%)	22 (29%)	40 (53%)
Step 1 Problem identification				
1.1 Level of clarity of purpose and shared objective as a network	0	0	4	15
1.2 Level of agreement on network function (e.g. decision making, common rules, reaching consensus etc.)	0	2	4	13
1.2 Degree to which the problem identified was based on shared need (common problem)	0	1	5	13
1.3 Market or other actors value the problem (relevance)	0	0	3	16
1.4 Capacity of network to find practical solutions to the problem identified (perceived capacity of the network by the facilitator)	0	1	6	12
Overall engagement in step 1	0 (0%)	4 (4%)	22 (23%)	69 (73%)
Step 2 Generation of ideas				
2.1 Level of which the idea/solution is shared by the network	0	1	3	15
2.2 Feasibility of the idea (includes financially viable, based on ADAS tool)	10	0	4	5
2.3 Level of diversity of knowledge (resources) used: science, advisor's input, practical experience etc.	0	2	3	14
2.4 Capacity of network to trial the practical solutions selected (perceived capacity of the network by the facilitator)	0	1	4	14
Overall engagement in step 2	10 (13%)	4 (5%)	14 (18%)	48 (63%)
Step 3 Action planning & resource mobilization				
3.1 Robustness of innovation action planning including time-frame and task division (everyone knows what is happening, when and by whom)	2	4	3	6
3.2 Level of clarity on anticipated result (research question) and system or criteria in place for to measure and monitor the results (e.g. viability)	1	1	9	8
3.3 Level of resources the members within the network commit towards trialling.	1	7	4	7
3.4 Level of external support (whether scientific, from industry or technical)	1	4	5	9

Overall engagement in step 3	5 (7%)	16 (22%)	21 (29%)	30 (42%)
Step 4 Practical trialling and development				
4.1 Level and rate of innovation - action plan leads to action.	5	1	4	9
4.2 Willingness to discuss and share within the network successes and failures (to learn from failures)	4	2	3	10
Overall engagement in step 4	9 (24%)	3 (8%)	7 (18%)	19 (50%)
Step 5 Implementation and up-scaling				
5.1 Level of satisfaction of members with regard to relevance and affordability of solutions developed.	9	0	1	9
5.2 Number of network members applying the innovation as common practice across their farm.	14	0	2	3
5.3 Network members' pride of what they achieved (wanting to share and scale -up the innovative idea).	9	1	0	9
Overall engagement in step 5	32 (56%)	1 (2%)	3 (5%)	21 (37%)
Step 6 Dissemination				
6.1 Network has actively sought to disseminate innovation beyond network members	12	2	1	4
6.2 Innovation has been subsequently adopted by other actors and bodies	19	0	0	0
Overall engagement in step 6	31 (82%)	2 (5%)	1 (3%)	4 (11%)

Although the scoring (High, Medium and Low) between the facilitators was not standardised, this basic summary of the results across the networks provides some insight on the perceived functioning of networks by the facilitator. The facilitators perceived that the level of enthusiasm and energy of the network members was generally high (14/19 networks) as well as the trust and knowledge sharing between network members (14/19 networks). Considering the high level of participant engagement, it is not surprising that the level of facilitator intervention required was relatively low, with only 4 of the 19 networks requiring high levels of facilitator intervention. Analysis of the progress of each network on the six steps shows that in May 2017, all networks were active, with all but one network completing action planning (step 3). For those networks that had completed or were working at a particular step, there was generally high engagement. Facilitators attributed a high score to two-thirds of all the criteria, although this ranged from 42% for step 3 (action planning) to 84% for step 5 (implementation & upscaling). There was some indication that the financial feasibility of the innovation was not always well understood with only 5 networks assessed as completing the financial evaluation as part of a cost-benefit calculator provided by the project. Ten networks were scored as at least some satisfaction with the “relevance and affordability of solutions developed” and “pride of what they achieved”. Although only 5 networks had been assessed as applying the innovation as “common practice” across the members of the network. Despite the relatively short time scale of the project 7 networks actively sought to disseminate innovation beyond network members (step 6).

As expected for a project with a limited time scale the extent of the trials varied in each group. For example, five networks were able to investigate the feasibility of an innovation with a further two networks also able to design a detailed trial to examine an innovation. Nine networks were able to undertake pilot studies with some initial results, with a further three networks producing results that were expected to be publishable in a peer-reviewed journal. In terms of the agreed next steps within each network, six networks believed there was sufficient evidence to influence future management decisions. Nine networks wished to continue further trials with a further two networks keen to seek further financial support for trial work beyond the end date of Hennovation.

4.3 INNOVATION SUPPORT ACTORS

Most networks involved support actors at some stage during the project (table 2). A total of 66 support actors were involved across the 19 networks. The support actors included market actors (egg packer, certification inspector or advisors), supply chain actors (feed, pullet rearer, hatcheries), technical experts (lighting technology, parasites, hen behaviour), poultry advisors, veterinarians, government representatives, industry representatives and university researchers. The support actors involved in the networks undertook the following broad roles:

- a) Industry support actors bring legitimacy to the practice led innovation and connectivity within the industry to enable the upscaling of innovation and relevance beyond its original development;
- b) Scientific support actors bring expertise, conceptual understanding and normative knowledge to the networks to facilitate the development of practice-led innovation, and
- c) Technical support actors bring technical material and practical resources to the network to provide practical support to facilitate legitimate innovation.

External support actors varied in the level of their engagement with the networks. Some worked predominantly through the facilitator without attending network meetings (for example, those working with the SE-SW Network in Sweden). Others were more closely involved, attending meetings and offering network farmers advice and assistance directly. Some facilitators (for example, the facilitator working in the Czech-Republic) considered their own home institutions (in most cases a University) as

a support actor rather than a network member. Off-farm end of lay networks differed from the on-farm networks in the structure of their support. For these, the networks themselves comprised of a variety of industry actors. The Dutch End of Lay Network (NL-EoL), for example, was formed of an ‘inner circle’ of industry actors who met regularly, and an ‘outer circle’ of further industry actors who were involved less directly in the network. Support actors were invited by network members directly or invited by the network facilitator, and these actors generally supported the networks on a voluntary basis. Some came in on an ad-hoc basis to provide specific knowledge, others supported the network for a longer period; for example, a veterinarian supported a network during data collection in The Netherlands.

The practice-led innovation approach aimed to reduce the gap between science and practice and scientific knowledge and information was integrated into the innovation process in a variety of ways. Some facilitators supported the network in doing background literature review such as in the case of UK-NWE network; some facilitators shared scientific journal articles with the network members; and other facilitators summarised an area of science into short, practical summaries for their network. In many networks, the facilitator brokered expertise through inviting a scientist or technical advisor to discuss a specific topic of interest such as in the case of the NL-MID network and the SP-SI network. The different strategies for the integration were effective to a greater or lesser extent. Some facilitators indicated it was quite challenging to make the scientific information relevant for the network. Some networks indicated they valued gaining the tailored scientific information which could be applied on farm whilst others did not find this as useful. Where trial work was undertaken relevant outcome measures were used. These included productivity parameters (e.g. egg production and mortality), welfare measures (e.g. feather scores and Welfare Quality parameters) and environment indicators (e.g. ammonia and presence of red mite in). One or more individuals in each group tended to take the lead in providing scientific support. For at least nine networks the facilitators provided this scientific support. For seven networks another researcher with specialist expertise provided this input. For other networks a range of individuals provided scientific support including veterinarians, consultants, advisors, and suppliers. Interestingly the interaction of the networks with these support actors, specifically the scientists, created a mutually beneficial relationship which in some countries has led to new working practices between scientists and farmers based on experimental learning and co-production of knowledge. In the Czech-Republic, the university involved in the project has decided to continue to support the trialling of farmers and in Spain they decided to continue using the practice-led approach to promote practice led innovation to improve welfare of pigs.

5. DISCUSSION

Promoting innovation in agriculture is and will remain a major policy priority considering the major food security challenges that we will face in the future. The multi-actor practice-led innovation networks that have been supported in the Hinnovation project have demonstrated that a practice-led approach involving market and science-based actors can be a significant stimulus for innovation and make a meaningful contribution to these challenges. Several networks generated novel ideas and tested them in their commercial context. Alongside material or technical innovations (such as new type of litter material to reduce stress and encourage natural behaviour or the use of alpacas in organic systems to reduce predation), a variety of often less expected and sometimes unintended ‘soft’ innovations also emerged through these networks. These were related to protocol or process (e.g. a new way of monitoring Poultry Red Mite infestation and new relationships between value-chain actors, for example the pullet rearer). The complexity and the novelty of some of the innovations was significant. The farmers and processors involved in the project were often very enthusiastic, committing significant time and in some cases financial investment to the group’s activities. This is well summarised by the Hinnovation Project Advisory Board’s comment that ‘*The focus on producer-led innovation is what*

makes the project unique and important, bridging the gap between policy and science, and producer and industry needs and realities'. The board suggested that *'The Hennovation project shows there is interest for producer-led innovation and that the industry is willing to contribute their time and effort to engage in both the facilitation process and the development and implementation of innovative practice.'*

The practice-led approach used here is not a new concept. A *'farmer first'* approach was advocated for those supporting resource-poor agriculture in developing countries [35]. The *'farmer first'* approach was a participatory methodology where farmers were supported to a) analyse b) consider choices and c) to experiment on their own situation. Outsiders (or external actors as described in this paper) were encouraged to support these three stages by acting as a) 'convenor, catalyst, advisor' b) 'searcher, supplier, travel agent' c) 'supporter and consultant'. These descriptions of the farmer and outsider roles could well have been used to summarise the activities of the network members, facilitators and support actors in the Hennovation project. The proponents of the farmer first approach suggested that a participatory approach was necessary in the resource-poor production systems where *'simple and high input packages do not fit well with the small scale, complexity and diversity of their farming systems'*. Our suggestion is that current livestock production in the EU also needs more than simple solutions. The complexity of each farm and their production system, producing products for very different markets means that advisory services must adopt a more flexible approach.

During the project the network facilitators and social scientists reflected upon the factors that were promoting effective practice-led innovation. At the second facilitation workshop, network facilitators listed the factors they perceived as enabling practice-led innovation. These were discussed and sorted as a group and a list of 13 enabling factors were developed [27]. These were sorted into three categories: conditions to work effectively as a network, conditions for innovation, and conditions for successful application in practice. In broad terms it was the engagement, enthusiasm and expertise of the network members that is the fundamental resource for agricultural innovation. Realisation of this potential requires active participation from relevant actors, professional facilitation, moderate resource support and access to relevant expertise.

The innovation networks were supported by science-driven support and many networks valued the contribution of tailored scientific and technical knowledge to help address their specific husbandry challenges. The interaction between the farmers and applied animal welfare scientists (along with other actors such as veterinarians and technical advisors) is essential for farmer innovation. New relationships emerged between animal welfare scientists (science) and farmers (practice) based on experimental learning and the co-production of knowledge for improving animal welfare. In this way, the relationship between science and practice moved away from the more instrumental researcher-farmer relationship to a more collaborative one working in co-innovative partnerships to jointly develop local integrated innovations for complex problems. The scientists performed a more supporting role to the innovation process and, in addition to providing knowledge, often also provided an enabling or facilitating role. Innovation facilitation was seen as quite different from the role of providing knowledge and technical support to farmers and this required different skills including curiosity in understanding the complexity of husbandry challenges and market requirements, confidence in their ability to support an evidence-based process and sufficient humility to recognise the value of practical experience. This facilitating role was at times quite challenging for the scientists as it requires a shift in attitude and new experiences on the part of the scientists, not necessarily those gained through their academic career.

The results show that promoting more participatory approaches to farmer innovation leads to a more complex interaction between science and practice. In the Hennovation project the practice-led innovation approach did not prioritise either knowledge of farm practice or science. Rather, it

acknowledged the joint contextual knowledge developed through the innovation process, which emerged as a collective rather than individual property. The practice-led approach was based on problem-solving and was responsive—and generative, rather than reductive. This was substantially different from the traditional development process of agricultural innovation, which is often reductive and largely generated at a distance. In these alternative approaches, scientists are directly involved and become part of the innovation networks, working together with the farmers to address their needs while ensuring the research is relevant to the farmers' practices. One might hope that such experiences will allow researchers to better link their own future research projects to the real needs of farmers. Although informed by science, practice-led innovation was not wholly science-driven but was empowered by the need of multiple-actors to find innovative solutions in and through practice. **Hence the Hennovation innovation networks not only contributed to bridging the science-practice gap by application of scientific solutions in practice but more so by jointly finding new solutions.**

Although the scientists involved in the Hennovation project welcomed the change in the role of researchers to a less formal, more practically relevant, and closer working relationship with practitioners; their involvement, however, was sometimes seen as problematic as they were increasingly required to demonstrate tangible and recordable outputs and clear unequivocal impact. The innovation process was no longer solely under their 'control' leading to challenges to the procedural rigor required in conventional science. Who 'owns' the knowledge was also far less straightforward. The innovative ideas identified by farmers (for example on the use of lighting and ozone in hen houses) revealed considerable opportunities for practice-led innovation processes to create valuable outcomes credible to science. Yet, this type of innovation process is not always accorded the scientific legitimacy and value it deserves given the potential it offers for high(er) research impact pathways and for valuable interchange through the active engagement of researchers in co-innovation. Within the scientific community, new ways to value this form of research and engagement, we argue, would be required to realise the potential for this approach.

6. CONCLUSION

Practice-led approaches, as developed and examined in the Hennovation project, are not a universal panacea to achieving innovation in farm animal welfare. The results of the Hennovation project demonstrate that such approaches have their place, yet they are arguably less effective where issues are more straightforward and regulatory solutions are appropriate, and they will not replace the need for traditional science-led initiatives. What we can argue however, is that a greater value should be placed on, and greater attention given to, these participatory approaches to practice-led innovation alongside more conventional innovation pathways and other welfare improvement strategies particularly in addressing complex, multi-factorial issues. More opportunities are needed to enhance the integration of such participatory approaches to practice-led innovation in future strategy and policy initiatives for animal health and welfare improvement. In particular policies should be developed that 1) promote the role of the facilitator such as specific innovation facilitator training and support within relevant agricultural and scientific institutions; 2) highlight the value of social capital within local farming communities to support and encourage innovative solutions to real-world practical issues ; 3) enable access to relatively small amounts of funding for farmer networks seeking to trial innovative activities or procedures; and 4) encourage the establishment of partnerships between industry, science and technical actors to help generate cooperative and co-innovative partnerships with farmers

ACKNOWLEDGEMENTS

The authors wish to thank the many people involved who collaborated in that research and contributed to the material for this paper especially the network facilitators: Paula Baker, Jana Jozefova, Monique

Mul, Francesca Neijenhuis, Marleen Plomp, Jessica Stokes, Deborah Temple, Claire Weeks, Anette Wichman, Jenny Yngvesson, Jiri Zak and Arnold Elson.

FUNDING

The article draws upon research and discussions conducted under the HENNOVATION project, a H2020 EU collaborative research project with six academic partners funded under the topic ‘Innovative, Sustainable and Inclusive Bioeconomy’ ISIB-2-2014/ 2015: Closing the research and innovation divide: the crucial role of innovation support services and knowledge exchange. Grant agreement no 652638.

CONFLICTS OF INTEREST

The author declares no conflict of interest.

REFERENCES

1. Brunori, G.; Barjolle, D.; Dockes, A.C.; Helmle, S.; Ingram, J.; Klerkx, L.; Moschitz, H.; Nemes, G.; Tisenkopfs, T. CAP reform and innovation: the role of learning and innovation networks. *EuroChoices* 2013, 12, 27-33.
2. Vogl, C.R.; Kummer, S.; Schunko, C. Farmers’ experiments and innovations: A debate on the role of creativity for fostering an innovative environment in farming systems. 2016.
3. Akrich, M.; Callon, M.; Latour, B.; Monaghan, A. The key to success in innovation part I: the art of interessement. *International Journal of Innovation Management* 2002, 6, 187-206.
4. Eastwood, C.; Klerkx, L.; Nettle, R. Dynamics and distribution of public and private research and extension roles for technological innovation and diffusion: Case studies of the implementation and adaptation of precision farming technologies. *Journal of Rural Studies* 2017, 49, 1-12.
5. Arkesteijn, M.; van Mierlo, B.; Leeuwis, C. The need for reflexive evaluation approaches in development cooperation. *Evaluation* 2015, 21, 99-115.
6. Lambrecht, E.; Kühne, B.; Gellynck, X. Success factors of innovation networks: Lessons from agriculture in Flanders. *Proceedings in Food System Dynamics* 2015, 390-403.
7. Spielman, D.J.; Birner, R. How innovative is your agriculture?: Using innovation indicators and benchmarks to strengthen national agricultural innovation systems; World bank: 2008.
8. Moschitz, H.; Roep, D.; Brunori, G.; Tisenkopfs, T. Learning and innovation networks for sustainable agriculture: processes of co-evolution, joint reflection and facilitation. Taylor & Francis: 2015.
9. McKenzie, F. Farmer-driven innovation in New South Wales, Australia. *Australian Geographer* 2013, 44, 81-95.
10. Klerkx, L.; Aarts, N.; Leeuwis, C. Adaptive management in agricultural innovation systems: the interactions between innovation networks and their environment. *Agricultural systems* 2010, 103, 390-400.
11. Stringer, L.; Reed, M. Land degradation assessment in southern Africa: integrating local and scientific knowledge bases. *Land Degradation & Development* 2007, 18, 99-116.
12. Knickel, K.; Brunori, G.; Rand, S.; Proost, J. Towards a better conceptual framework for innovation processes in agriculture and rural development: from linear models to systemic approaches. *Journal of Agricultural Education and Extension* 2009, 15, 131-146.
13. Hall, A. Challenges to strengthening agricultural innovation systems: where do we go from here?; UNU-MERIT: Maastricht, The Netherlands, 2007; Vol. #2007-038.
14. Klerkx, L.; van Mierlo, B.; Leeuwis, C. Evolution of systems approaches to agricultural innovation: concepts, analysis and interventions. In *Farming Systems Research into the 21st century: The new dynamic*, Springer: 2012; pp. 457-483.
15. Schut, M.; Rodenburg, J.; Klerkx, L.; van Ast, A.; Bastiaans, L. Systems approaches to innovation in crop protection. A systematic literature review. *Crop Protection* 2014, 56, 98-108, doi:<http://dx.doi.org/10.1016/j.cropro.2013.11.017>.

16. Bell, N.; Bell, M.; Knowles, T.; Whay, H.; Main, D.; Webster, A. The development, implementation and testing of a lameness control programme based on HACCP principles and designed for heifers on dairy farms. *The Veterinary Journal* 2009, 180, 178-188.
17. Main, D.; Mullan, S.; Atkinson, C.; Bond, A.; Cooper, M.; Fraser, A.; Browne, W. Welfare outcomes assessment in laying hen farm assurance schemes. *Animal Welfare* 2012, 21, 389-396.
18. Main, D.; Leach, K.; Barker, Z.; Sedgwick, A.; Maggs, C.; Bell, N.; Whay, H. Evaluating an intervention to reduce lameness in dairy cattle. *Journal of dairy science* 2012, 95, 2946-2954.
19. Ivemeyer, S.; Bell, N.J.; Brinkmann, J.; Cimer, K.; Gratzner, E.; Leeb, C.; March, S.; Mejdell, C.; Roderick, S.; Smolders, G. Farmers taking responsibility for herd health development—stable schools in research and advisory activities as a tool for dairy health and welfare planning in Europe. *Organic Agriculture* 2015, 5, 135-141.
20. Botha, N.; Klerkx, L.; Small, B.; Turner, J.A. Lessons on transdisciplinary research in a co-innovation programme in the New Zealand agricultural sector. *Outlook on AGRICULTURE* 2014, 43, 219-223.
21. Hoffmann, V.; Probst, K.; Christinck, A. Farmers and researchers: How can collaborative advantages be created in participatory research and technology development? *Agriculture and human values* 2007, 24, 355-368.
22. SCAR. *Agricultural Knowledge and Innovation Systems towards 2020—an orientation paper on linking innovation and research*. Brussels, European Commission 2013.
23. Ashby, J. *What do we mean by participatory research in agriculture?* 1997.
24. EIP-AGRI. *Horizon 2020 multi-actor projects*. 2017.
25. Eurobarometer, S. *Attitudes of consumers towards the welfare of farmed animals*. Special Eurobarometer 2005, 229, 45-46.
26. Nicol, C.; Bestman, M.; Gilani, A.; De Haas, E.; De Jong, I.; Lambton, S.; Wagenaar, J.; Weeks, C.; Rodenburg, T. The prevention and control of feather pecking: application to commercial systems. *World's Poultry Science Journal* 2013, 69, 775-788.
27. van Dijk, L.; Buller, H.; MacAllister, L.; Main, D. Facilitating practice-led co-innovation for the improvement in animal welfare. *Outlook on Agriculture* 2017, 46, 131-137.
28. van Mierlo, B.; Regeer, B.; Van Amstel, M.; Arkesteijn, M.; Beekman, V.; Bunders, J.; de Cock Buning, T.; Elzen, B.; Hoes, A.; Leeuwis, C. *Reflexive monitoring in action. A guide for monitoring system innovation projects; 9085855993; Communication and Innovation Studies, WUR; Athena Institute, VU: 2010*.
29. Kleiner, A.; Roth, G. *Field manual for a learning historian*. MIT Center for Organizational Learning and Reflection Learning Associates 1996.
30. Wielinga, H.E.; Geerling-Eiff, F. Networks with free actors. In *Proceedings of 19th European Seminar on Extension Education*; p. 133.
31. Wielinga, E.; Zaalink, W.; Bergevoet, R.; Geerling-Eiff, F.; Holster, H.; Hoogerwerf, L.; Vrolijk, M.; Teenstra, E. *Networks with free actors: encouraging sustainable innovations animal husbandry by using the FAN approach (Free Actors in Networks): networking is sensing opportunities!*; Wageningen UR: 2008.
32. Klerkx, L.; Gildemacher, P. The role of innovation brokers in agricultural innovation systems. *World Bank. Agricultural innovation systems: an investment sourcebook, Module 2012, 3*.
33. Mathe, S.; Faure, G.; Knierim, A.; Koutsouris, A.; Ndah, H.T.; Temple, L.; Triomphe, B.; Wielinga, E.; Zarokosta, E. *AgriSpin: Typology of innovation support services. Deliverable 1.4. 2016*.
34. Kanter, R.M. *When a thousand flowers bloom: Structural, collective, and social conditions for innovation in organization. Entrepreneurship: the social science view* 2000, 167-210.
35. Chambers, R.; Pacey, A.; Thrupp, L.A. *Farmer first: Farmer Innovation and Agricultural Research*; Intermediate Technology Publications: London, 1989.