

1 Article

2 Integrative analysis of global gene expression 3 identifies opposite patterns of reactive astrogliosis in 4 aged human prefrontal cortex

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10

11 **Abstract:** Prefrontal cortex (PFC) is one of the brain regions with more prominent changes in human
12 aging. The molecular processes related to the aging cognitive decline and mood changes are not
13 completely understood. In order to improve our knowledge, we integrated transcriptomic data of
14 four studies of human PFC from old people -58-80 years old- compared with young people -20-40
15 years old- using a meta-analytic approximation combined with molecular signature analysis. We
16 identified 1816 differentially expressed genes -561 up-regulated and 1256 down-regulated-.
17 Pathway analysis revealed down-regulation of synaptic genes with conservation of gene expression
18 of other neuronal regions. Additionally, we identified up-regulation of markers of astrogliosis with
19 transcriptomic signature compatible with A1 neurotoxic astrocytes and A2 neuroprotective
20 astrocytes. Response to interferon is related to A1 astrocytes and the A2 phenotype is mediated in
21 aging by activation of SHH pathway and up-regulation of metallothioneins I and genes of the family
22 EZR -ezrin, radixin, and moesin-. The main conclusions of our study are the confirmation that in
23 aged PFC there is a global dysfunction of the synapses and we reported for the first time opposite
24 phenotypes of astrogliosis because of brain aging.

25 **Keywords:** Prefrontal cortex aging, meta-analysis of transcriptomic, synapsis aging, reactive
26 astrogliosis

27

28 1. Introduction

29 Aging is the physiological and morphological decline of individuals with the passing of time, which
30 increases their susceptibility to diseases such as cancer, diabetes, neurodegenerative and
31 cardiovascular disorders, and ultimately increases their vulnerability to death. It has become a
32 public health problem since life expectancy has increased, with a consequent world population
33 aging [1].

34 The brain undergoes functional alterations during aging. The age-related changes do not show a
35 unique pattern across different individuals [2]. At the same age, some people exhibit characteristics
36 of a healthy aging, but others manifest diminishing motor, sensory and cognitive abilities, in
37 addition to increased risk of suffering neurodegenerative and neuropsychiatric diseases. The
38 prefrontal cortex (PFC) seems to be morphological and functionally more vulnerable to the effects
39 of aging compared with others areas [3]. Molecular and cellular responses to aging have been
40 described, for example, neurons show deregulation of transmission, formation, and elimination of
41 synapses. In astrocytes has been reported an increase of the activation with aging. Recently was
42 identified up-regulation of genes of reactive astrocytes that are induced by neuroinflammation in

43 brain mouse [4]. However, the complete molecular mechanisms related to normal human brain
44 aging are not completely understood.

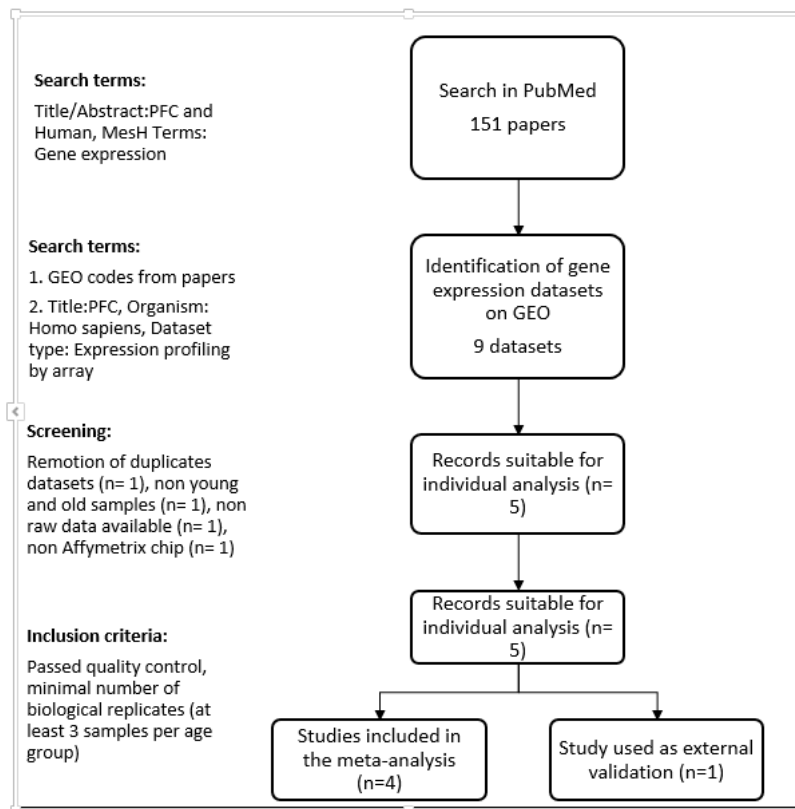
45 Transcriptomic studies have been successful to identify some of the specific processes described
46 before [5-8]. However, they have limitations, main restrictions of those kinds of analysis is the inter-
47 individual variability of the aging process, the complexity of getting samples from human's brains
48 and the intrinsic technical variation of the transcriptomic methodologies. We hypothesize that
49 combining independent studies by meta-analysis could help us to identify the central and common
50 process associated with PFC aging avoiding the non-general process specific of a particular dataset.

51 We combined by meta-analysis the PFC gene expression profile from two different age groups -58-
52 80 years and 20-40 years- from four independent studies. We selected those range of ages given that
53 until the forties it had been described that genes expression maintain a homogeneous pattern with a
54 low rate of change, and after this period, the changes begin to rise through several decades to
55 become homogeneous again around sixties. Bioinformatics analysis of the result of the meta-
56 analysis suggests that in older individuals the neuronal activity declines without necessarily
57 presenting cell death or massive neuron dysfunction. Clusters of genes with pre-synaptic and
58 post-synaptic functions are down-regulated and over-represented, especially for glutamate, and
59 gamma-aminobutyric acid (GABA) neurons. Additionally, the signature analysis identified the
60 presence of reactive astrocytes in aged PFC. This astrogliosis is characterized by the presence of up-
61 regulation of genes specific for two different types of reactive astrocytes: A1 and A2. Neurotoxic A-
62 like 1astrocytes were recently described in the brain of old rats [4]. But, this is the first time, in our
63 knowledge, that molecular signature of neuroprotective A2 astrocytes are identified in aging of the
64 human PFC.

65 2. Materials and Methods

66 2.1. Data Selection

67 We performed an advanced search in the National Center for Biotechnology Information (NCBI)
68 GEO database (<http://www.ncbi.nlm.nih.gov/geo/>) to identify studies analyzing global gene
69 expression in the human prefrontal cortex. The advanced search tool was used with the keyword
70 PFC (prefrontal cortex) and studies were limited to *Homo sapiens* as the organism and expression
71 profiling by array as the dataset type. We included studies which met the following conditions: (1)
72 it was performed using any version of Affymetrix chips, (2) studies analyzed at least three samples
73 in each age group (old: 58-80 years old, young: 20-40 years old), (3) the raw data were available, and
74 (4) they passed quality control. We excluded one RNA-Seq study because we wanted to maintain a
75 platform-controlled heterogeneity. The schematic overview of search strategy and selected entries
76 is presented in the Figure 1. and the characteristics of the included studies are shown in the Table 1.



77

78 Figure 1. Workflow of the data selection. Search in PubMed and GEO database identified nine
79 datasets involving transcriptomic analysis of PFC in humans. After remotion of duplicates and
80 quality control, five datasets were selected in this research.

GEO code	Brain region	Samples (Old/Young)	Platform	Reference
GSE53987	Pre-frontal cortex	4/4	Affymetrix Human Genome U133 Plus 2.0 Array	PMID: 25786133
GSE11512	Dorsolateral prefrontal cortex	4/8	Affymetrix Human Genome U133 Plus 2.0 Array	PMID: 19307592
GSE17612	Brodmann area 10: anterior prefrontal cortex	7/3	Affymetrix Human Genome U133 Plus 2.0 Array	PMID: 19255580
GSE17757	Superior frontal gyrus region of the prefrontal cortex	4/3	Affymetrix Human Gene 1.0 ST Array	PMID: 20647238
GSE71620	Brodmann area 11	48/39	Affymetrix Human Gene 1.1 ST Array	PMID: 26699485

81 Table 1. Description of studies included in the analysis. GEO: Gene Expression Omnibus; Young are
82 samples from people between 20-40 years old, Old are samples from people from 58-80 years old.
83 GSE71620 was used as an external validation dataset.

84

85 2.2. Quality control, batch effect adjustment and data preprocessing

86 All datasets underwent quality control (QC) using the QC module from ArrayAnalysis.org [9] to
87 evaluate each microarray. Several parameters were used to detect low-quality samples, as a
88 virtual reconstruction of the image, the signal comparability and array correlation. Low-quality
89 microarrays were eliminated for the subsequent analysis.

90 Data preprocessing was performed using limma R/Bioconductor software package [10]. The
91 probesets were summarized, and the data were normalized and then log 2 transformed using the
92 RMA algorithm. Since Affymetrix chips have several probes for the same gene, the most
93 informative probe (that one showing the highest variability across the experimental groups) was
94 kept and the others were discarded.

95 In order to improve the statistical power and comparability of samples from the same dataset, a
96 batch effect correction was performed using empirical Bayes methods implemented with ComBat
97 [11].

98 2.3. Data integration by meta-analysis

99 Datasets selected for integration had a similar experimental design, sample size, and chemistry.
100 These datasets were then merged using a modified Fisher's combined p-value meta-analysis
101 implemented through MetaDE R package [12], as was described by Rhodes et al. [13]. For each
102 gene in every dataset, a p-value was determined by a t-test, after a p value modified (Pmod) was
103 calculated by multiplying the $-\log_{10}$ (p-value) times $\log_{1.5}$ (absolute fold change). Xiao et al. [14]
104 described in detail the p-value modification using this methodology. This modification allows the
105 p-value to be enriched with the FC magnitude and provides better control of false positives. The
106 Pmods of each gene in all datasets were combined using the Rhodes methodology.

107 2.4. Biological interpretation

108 DAVID (<https://david.ncifcrf.gov/>) was used to identify the functions of the selected differentially
109 expressed genes (DEG). The Kyoto Encyclopedia of Genes and Genomes (KEGG) and gene
110 ontology biological function pathways databases were chosen for the over-representation analysis.
111 Pathways with p-values lower than 0.05 were selected as enriched.

112 2.5. Signature analysis

113 Over-representation and under-representation analysis were performed using the hypergeometric
114 test as is implemented in the over-representation enrichment analysis described in WebGestalt [15].
115 Molecular signatures of specific cells, region of cells or molecular phenotypes were mined from the
116 public literature. Signatures were interrogated against lists of DEG in order to identify if there are
117 more -over-representation- or fewer -under-representation- genes from the signature in the DEG
118 than expected by chance.

119 3. Results

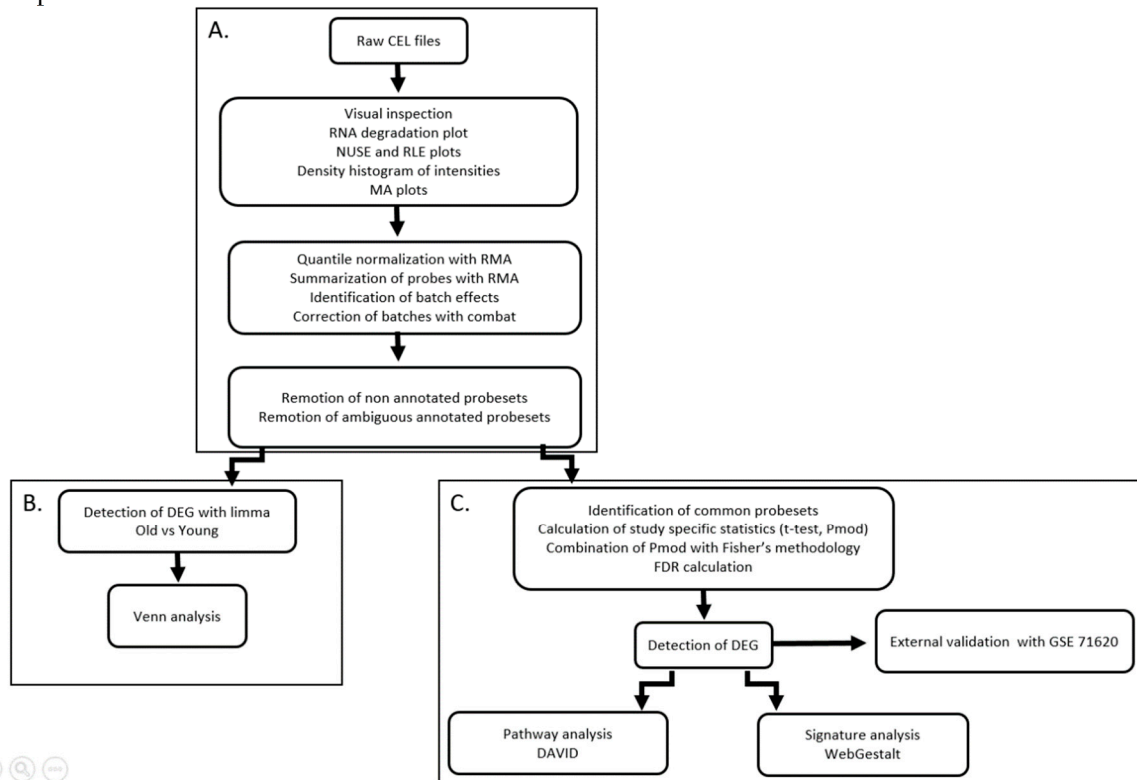
120 3.1. Data selection

121 After the PubMed and GEO omnibus database search, five studies met the inclusion criteria (Fig. 1):
122 GSE53987 [16], GSE11512 [17], GSE17612 [18], GSE17757 [19] and GSE71620 [5]. All selected studies
123 were performed using the Affymetrix platform, in humans, with at least three biological replicates
124 for each experimental group -old, young- and they were from different regions of the PFC (Table 1).
125 The first four studies were used to perform the meta-analysis and the last one was used for external
126 validation of the meta-analysis. GSE71620 was selected as validation study because it had a large
127 number of biological replicates. We considered that results obtained by the integrative analysis of

128 several small and independent studies which are concordant with the one single big study, implies
 129 that the conclusions of the integrative analysis are robust.

130 3.2. Quality control, batch effect adjustment and data preprocessing

131 All arrays involved in the analysis were evaluated for the quality of several parameters (Fig. 2). The
 132 data quality was determined through RNA degradation ratios, relative log expression and
 133 normalized unscaled standard errors using the Arrayanalysis.org platform [9]. Low-quality arrays
 134 were removed and the complete datasets were analyzed again to reassess the quality of the remaining
 135 samples.



136 

137 **Figure 2. Study workflow.** Study was performed in three connected modules. (A) Quality control and
 138 preprocessing of individual studies. (B) Each dataset was analyzed individually using limma Lists of
 139 DEG were compared by Venn analysis. (C) Datasets were combined by meta-analysis. The DEG were
 140 analyzed by pathway over-representation with DAVID and signature analysis was performed using
 141 the WebGestalt algorithms. Results of the meta-analysis were validated by comparison with an
 142 external dataset (GSE71620)

143

144 Following this process, a principal component analysis (PCA) plot was calculated for each dataset in
 145 order to detect outliers and to identify the unbiased distribution of the samples. Additionally, the
 146 scanning date was identified to detect batch effects. A batch correction was performed using ComBat.
 147 After batch correction, PCA plots were recalculated to check the modification in the distribution of
 148 the samples. All datasets had batch effects and all datasets were batch corrected. Two samples were
 149 removed from GSE71620 because there were outliers, Table 1 summarize the studies and samples
 150 after the mentioned processes.

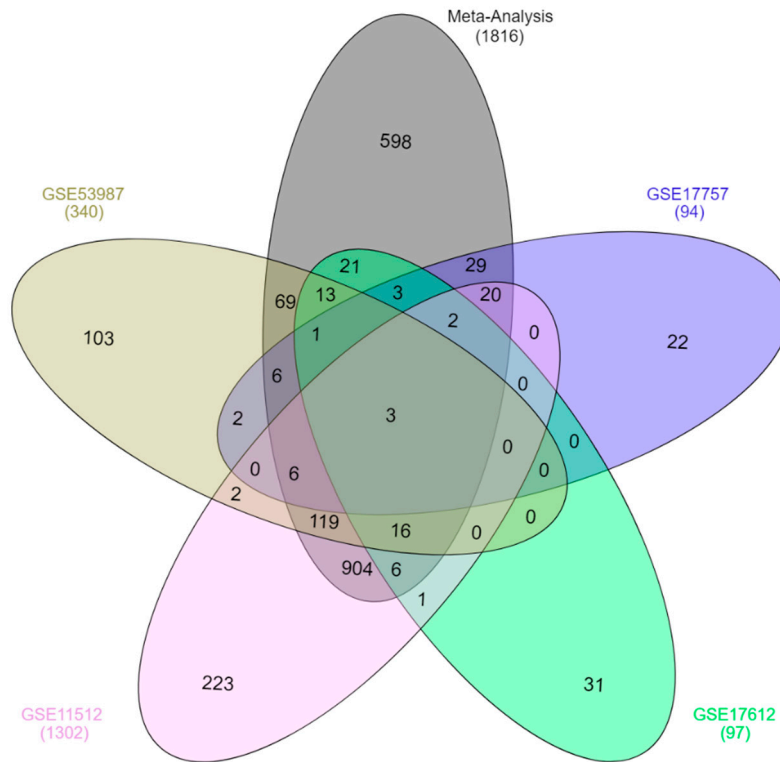
151

152 3.3. Data integration: meta-analysis of gene expression in old vs. young PFC

153 The meta-analysis methodology used in the integration of the four studies was designed to increase
 154 the statistical power of the individual datasets and provided a strong list of DEG consistently de-
 155 regulated across all the comparisons [20]. In order to determine how the meta-analysis was able to
 156 identify genes that were not recognized by the individual datasets, and to spot the number of genes
 157 that were not consistently differentially expressed across the individual analyses, a Venn diagram
 158 with the DEG from each individual analysis and from the meta-analysis was calculated using
 159 InteractiVenn [21]. Figure 3 shows the DEG in each individual analysis compared with the DEG after

160 the meta-analysis. The meta-analysis identified most of the genes in each individual analysis and was
 161 able to detect 598 additional genes. Given that the meta-analysis combined the magnitude of the
 162 change in expression, the direction of the change and the level of statistical significance, the detected
 163 DEG had the same direction of change across all the datasets in the analysis. A complete list of DEG
 164 is presented in Table S1. When the DEG were divided into down-regulated and up-regulated genes
 165 (Table 2), down-regulated genes outnumbered the up-regulated ones in a ratio of 2.2:1.

166 A.



167

168 B.

Study	DEG	In Meta-analysis	Proportion	Out Meta-analysis	Proportion
GSE53987	341	238	0.70	103	0.30
GSE11512	1302	1079	0.83	223	0.17
GSE17612	97	66	0.68	31	0.32
GSE17757	94	72	0.77	22	0.23

169 **Figure 3. A) Venn diagram of DEG identified from the individual analysis (GSE11512,**
 170 **GSE17612, GSE53987, GSE17757) and from the meta-analysis.** The meta-analysis identified 1218
 171 genes that were identified by the individual analysis -intersection of the black ellipse with others four
 172 ellipses- and 598 additional genes that were not identified in any individual analysis. **B) Number and**
 173 **proportion of DEG from individual analysis and detected by the meta-analysis.** In Meta-analysis
 174 means the DEG of individual analysis that were preserved in the meta-analysis, Out Meta-analysis
 175 means the DEG from individual analysis that were not present in the meta-analysis.

	Meta-analysis	GSE71620	Common genes	Proportion of common genes
DEG	1816	5120	1141	0.63
Up-regulated	561	2076	339	0.60
Down-regulated	1256	3044	783	0.62

Total genes	6895	18989	6895
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176 **Table 2. Summary of number and direction of the change of DEG detected in the analysis.** Up-
 177 regulated genes are genes with an increase in the expression in old samples compared with young
 178 samples and down-regulated genes are genes with lower expression in old samples compared with
 179 young samples. Total genes represent the number of genes in each analysis. Common genes are the
 180 genes identified simultaneously in both analyses -Meta-analysis and GSE71620-. The proportion of
 181 common genes are the proportion of genes in common between the meta-analysis and the validation
 182 dataset (GSE71620). Those genes had the same direction of change.

183
 184 The external validation dataset, GSE71620, was analyzed individually with limma: 48 samples from
 185 people between 60-80 years old were compared with 39 young samples -people from 20-40 years old-
 186 to detect the DEG (Table S2). Out of a total of 18,989 genes, 5,120 (27%) had an FDR lower than 0.05.
 187 Similar to the meta-analysis findings, there were more down-regulated genes than up-regulated
 188 genes (1.5:1). In order to have evidence of the reproducibility of the results of the meta-analysis, both
 189 lists of DEG were compared (Table 2). More than 60% of the DEG were shared between the meta-
 190 analysis and GSE71620, and the majority of them had the same direction of change with aging. Only
 191 19 of 1.141 DEG (2%) had an opposite direction of change. That constitutes a very good overlapping
 192 between both analyses, as it is usual to find a very small proportion of common DEG (lower than
 193 10%) when different datasets are analyzed in an independent way [22].
 194

195 3.4. Functional analysis of old PFC

196 To identify the biological functions of the selected DEG in the meta-analysis, we performed a
 197 pathway analysis in DAVID using KEGG and Gene Ontology Biological process (GOBP) pathways
 198 databases. Pathways in KEGG and GOBP have several genes in common. Following this, we used
 199 the DAVID cluster tool to identify a set of non-overlapping pathways over-represented in the list of
 200 DEG. In order to have a better comprehension of the involvement of the pathways in PFC aging, we
 201 performed independent analyses with both up-regulated and down-regulated genes. Table 3 shows
 202 the pathways over-represented in the down-regulated genes and Table 4 shows the pathways over-
 203 represented in the up-regulated genes. Tables S3, S4 presents the list of all genes identified in each
 204 pathway.

Cluster 1

Category	Term	Count	FE	PValue
KEGG_PATHWAY	Glutamatergic synapse	34	4.1	1.34E-12
KEGG_PATHWAY	Dopaminergic synapse	34	3.7	4.41E-11
KEGG_PATHWAY	Circadian entrainment	28	4.1	2.48E-10
KEGG_PATHWAY	GABAergic synapse	26	4.3	5.21E-10
KEGG_PATHWAY	Cholinergic synapse	24	3.0	2.78E-06
KEGG_PATHWAY	Serotonergic synapse	22	2.8	3.25E-05

Cluster 2

Category	Term	Count	FE	PValue
GO_BP	potassium ion transmembrane transport	19	2.4	9.52E-04

Cluster 3

Category	Term	Count	FE	PValue
KEGG_PATHWAY	Circadian entrainment	28	4.1	2.48E-10
KEGG_PATHWAY	Oxytocin signaling pathway	26	2.3	1.34E-04
KEGG_PATHWAY	cGMP-PKG signaling pathway	25	2.1	7.13E-04

KEGG_PATHWAY	Long-term depression	13	3.0	9.54E-04
KEGG_PATHWAY	Gap junction	16	2.5	0.001
KEGG_PATHWAY	Inflammatory regulation of TRP channels	16	2.3	0.004

Cluster 4

Category	Term	Count	FE	PValue
KEGG_PATHWAY	Nicotine addiction	15	5.2	3.27E-07

205 **Table 3. Pathways significantly over represented in down-regulated DEG in Old vs Young PFC.**
 206 The pathways were clustered by genes in common. P.Values were corrected by multiple comparisons
 207 with the DAVID methodology. Count is the number of down-regulated DEG, FE the fold enrichment,
 208 it means the additional times there are more DEG in the pathway than expected by chance.

Cluster 1

Category	Term	Count	FE	PValue
KEGG_PATHWAY	Mineral absorption	10	6.1	2.76E-05
GO_BP	cellular response to cadmium ion	6	11.5	1.19E-04
GO_BP	negative regulation of growth	6	10.3	2.13E-04
GO_BP	cellular response to zinc ion	6	10.3	2.13E-04

Cluster 2

Category	Term	Count	FE	PValue
GO_BP	positive regulation of cellular protein catabolic process	4	11.9	0.004
GO_BP	regulation of organelle assembly	3	24.5	0.005
GO_BP	positive regulation of protein localization to early endosome	3	19.6	0.009
GO_BP	establishment of endothelial barrier	4	8.2	0.01
GO_BP	positive regulation of early endosome to late endosome transport	3	12.3	0.023

Cluster 3

Category	Term	Count	FE	PValue
GO_BP	negative regulation of smoothened signaling pathway	5	8.6	0.002
GO_BP	dorsal/ventral pattern formation	6	6.1	0.003
GO_BP	smoothened signaling pathway	6	2.8	0.059

Cluster 4

Category	Term	Count	FE	PValue
GO_BP	response to interferon-beta	4	14.5	0.002
GO_BP	response to interferon-gamma	5	6.8	0.006
GO_BP	negative regulation of viral genome replication	5	4.1	0.031
GO_BP	response to interferon-alpha	3	9.8	0.036

209 **Table 4. Pathways significantly over represented in DEG up-regulated in Old vs Young meta-**
 210 **analysis.**The pathways were clustered by genes in common. P.Values were corrected by multiple
 211 comparisons with the DAVID methodology. Count is the number of up-regulated DEG, FE the fold

212 enrichment, it means the additional times there are more DEG in the pathway than expected by
213 chance.

214
215 Down-regulated pathways were located in four clusters. Cluster 1 had 34 genes which were
216 annotated in synapse pathways. Glutamatergic synapses had a higher and more significant
217 proportion of down-regulated genes, followed by dopaminergic and GABAergic synapses.
218 Cholinergic and serotonergic synapses had a lower level of enrichment and higher p values. Cluster
219 2 had 19 genes annotated in the potassium ion transmembrane transport pathway and Cluster 3 was
220 enriched in genes related to inter-cell communication.

221 Up-regulated pathways were aggregated in four clusters (Table 4). Cluster 1 had 10 genes annotated
222 in mineral absorption, cellular response to cadmium ion and cellular response to zinc ion. Those
223 pathways were over-represented mainly because there were seven metallothionein genes which were
224 up-regulated and annotated in those pathways. Cluster 2 was composed of positive regulation of
225 cellular protein catabolic process and regulation of organelle assembly, among others. It was
226 enriched in genes of the EZR family -ezrin, radixin, and moesin-. Cluster 3 contained smoothed
227 signaling pathways, related to the sonic hedgehog (SHH) pathway. Finally, Cluster 4 was enriched
228 in response to the interferon pathway.

229

230 3.5. Identification of cell types responsible for aging changes

231 Since PFC is a complex tissue with a combination of several types of cells, and it has been described
232 by previous reports that different PFC cells have different responses in aging [6]. We wondered which
233 types of cells underwent greater alteration during PFC aging. We hypothesized that cells with major
234 modifications in aging would have an over-representation of cell type specific genes in the list of
235 DEG. Then we used the list of specific markers for neurons, oligodendrocytes and astrocytes
236 identified by Cahoy et al. [23] (Table S5) to perform an enrichment signature analysis. The results of
237 the analysis are shown in Table 5. On the list of down-regulated genes from the meta-analysis, there
238 are 1.91 times more down-regulated genes from neurons than expected and 2.04 times fewer down-
239 regulated genes from astrocytes. On the list of up-regulated genes, there are 6.67 times fewer up-
240 regulated genes from neurons than expected and 1.77 times more up-regulated genes from astrocytes.
241 With these results, it is possible to conclude that, in old PFC, there is a down-regulation of specific
242 neuron genes and an up-regulation of astrocyte genes. The number of up-regulated and down-
243 regulated DEG specific to oligodendrocytes were those expected by chance -non-significant p-value-
244 , meaning that there were no important differences in the function of those cells between old and
245 young samples.

ID	Down-regulated		Up-regulated	
	EF	Pvalue	EF	Pvalue
Neuron	1.91	0.00E+00	-6.67	0.00E+00
Oligodendrocyte	-1.33	1.00E+00	-1.15	9.56E-01
Astrocyte	-2.04	0.00E+00	1.77	0.00E+00

246

247 **Table 5. Signature analysis of specific markers of neuron, oligodendrocyte and astrocyte.** EF means
248 enrichment factor, it is the number of times that there is more genes down-regulated or up-regulated
249 than expected by chance. Positive EF means there is an over-representation of genes of cell type,
250 negative EF means an under-representation of genes of cell type.

251

252 3.6. Identification of specific neuronal regions with enrichment of down-regulated genes in aged 253 PFC

254 Next, we explored which neuronal zones were more represented in the list of down-regulated genes
255 (Table 6A). To do that we used well established markers of different zones of the neuron (Table S6).
256 As expected according to the pathway analysis (Table 3), there were more down-regulated genes
257 from postsynaptic (Enrichment factor, EF=3.07) and presynaptic (EF=2.13) regions than expected by

258 chance. Interestingly, markers from other neuronal regions such as the nucleus, cytoplasm, dendritic
 259 cytoplasm or axonal cytoplasm were not over-represented. Taken together, these results suggest that,
 260 in aging, there is a specific down-regulation of synapses with less alteration in the other neuronal
 261 regions.

262 We discriminate the location of the DEG annotated in synapses to have a better delineation of the
 263 synapses de-regulation (Table 6B). We found that GABAergic synapse and glutamatergic synapse,
 264 the two main type of synapses in PFC had alteration in the expression of genes in presynaptic and
 265 postsynaptic regions. Interestingly, the other synapses have specific down-regulation of gene
 266 expression only in postsynaptic markers (Table S7).

A.	ID	Down-regulated	
		EF	Pvalue
	Neuron Postsynaptic	3.07	2.26E-02
	Neuron Presynaptic	2.13	4.30E-02
	Neuron Dendritic Axonal Citoplasmatic	1.73	2.41E-01
	Neuron Nuclear Citoplasmatic	-1.09	6.73E-01
	Growth Cone Markers	-2.38	9.32E-01

267

268 B.

	Presynaptic	Postsynaptic	Total
GABAergic synapse	15	24	26
Glutamatergic synapse	19	20	34
Dopaminergic synapse	0	34	34
Serotonergic synapse	0	21	22
Cholinergic synapse	7	24	24

269 **Table 6. (A) Signature analysis of specific neuronal zones in the down-regulated DEG.** EF means
 270 enrichment factor, it is the number of times that there is more genes down-regulated or up-regulated
 271 than expected by chance. Positive EF means there is an over-representation of genes of cell type,
 272 negative EF means an under-representation of genes of cell type. (B) Discrimination of DEG in
 273 presynaptic and postsynaptic region. Genes annotated in synapsis were located in pre-synaptic or
 274 postsynaptic region according KEGG database.

275

276 3.7. Identification of pathway enrichment in aged PFC astrocytes

277 Astrocyte cells had the most over-representation of specific markers in the analysis of up-regulated
 278 genes, suggesting that, in aging, there is increased activation of astrocytes. Several studies describe
 279 different ways to induce reactive astrogliosis: ischemic stroke (MCAO: middle cerebral artery
 280 occlusion) induces activation of astrocytes with a neuroprotective phenotype (A2 astrocytes), while
 281 inflammation (LPS: endotoxin LPS from *Escherichia coli* O55:B55) activates astrocytes with
 282 neurotoxic properties (A1 astrocytes) [24,25]. Additionally, methamphetamine induces premature
 283 senescence [26] and astrocyte activation [27]. We mined the transcriptional signatures of the kinds of
 284 activated astrocytes previously described and compared them with our list of DEG. Table 7
 285 summarizes the results of the over-representation analysis. The A1A2 signature comprises the up-
 286 regulated genes in activated astrocytes in general; up-regulated genes in the meta-analysis had 10
 287 times more of those genes than expected. The down-regulated genes did not have any of those genes.
 288 This result shows that, in aging, not only is there an enrichment of astrocyte markers but those
 289 astrocytes are also active. Signatures for protective (MCAO astrocytes) and detrimental (LPS
 290 astrocytes) astrocytes were highly enriched too, with around five times more genes than expected by

291 chance. The methamphetamine signature was not over-represented in either down-regulated or up-
292 regulated genes.

ID	Down-regulated		Up-regulated	
	EF	Pvalue	EF	Pvalue
A1A2	NA	NA	10.13	1.99E-06
LPS astrocyte (A1)	-4.76	0.004	4.83	2.82E-05
MCAO astrocyte (A2)	-2.63	0.021	5.41	7.96E-07
Methamphetamine	1.21	0.288	-1.79	0.113

293 **Table 7. Signature analysis of several signatures of activated astrocytes.** A1A2 is the signature of
294 astrocyte activation. EF means enrichment factor, it is the number of times that there is more genes
295 down-regulated or up-regulated than expected by chance. Positive EF means there is an over-
296 representation of genes of cell type, negative EF means an under-representation of genes of cell type.
297

298 Finally, the pathway analysis (Table 4) found that SHH was statistically significantly up-regulated.
299 The SHH is a complex pathway and a recent report indicates that it is important in the interaction
300 among neurons and astrocytes [28]. We analyzed the SHH pathway in aging PFC more deeply. The
301 SHH pathway is modulated by three transcription factors: GLI1/2/3, then we selected the
302 transcriptional targets of GLI transcription factors using the TF2DNA database [29] (Table S8). In
303 order to determine if the activation of the SHH pathway was limited to astrocytes, we performed the
304 over-representation analysis with all the transcriptional targets of GLI (Gli total) and using the
305 specific astrocyte genes (Gli astrocyte). Gli1/2/3 target genes were not over-represented in the list of
306 DEG, but the specific astrocyte Gli1/2/3 targets were over-represented in the list of up-regulated genes
307 with an EF of 3.18, 2.51 and 3.45, respectively (Table 8). Additionally, those lists of genes were under-
308 represented in the list of down-regulated genes.

ID	Down-regulated		Up-regulated	
	EF	Pvalue	EF	Pvalue
Gli1 astrocyte	-1.69	0.013	3.18	2.59E-07
Gli1 total	1.12	0.034	1.02	0.471
Gli2 astrocyte	-2.17	0.001	2.51	0.001
Gli2 total	1.04	0.306	1.2	0.953
Gli3 astrocyte	-2.63	0.021	3.45	0.002
Gli3 total	1	0.528	-1.14	0.258

309 **Table 8. Signature analysis of targets of GLI transcription factors in DEG.** Gli1 total means that all
310 the transcriptional targets of Gli1 were interrogated against the list of DEG. Similarly, Gli2 and Gli3
311 means the transcriptional targets of the same transcription factor. Gli1 astrocyte, Gli2 astrocyte and
312 Gli3 astrocyte means that only the transcriptional targets present in the list of specific markers of
313 astrocyte were used.

314 This section may be divided by subheadings. It should provide a concise and precise description of
315 the experimental results, their interpretation as well as the experimental conclusions that can be
316 drawn.

317 4. Discussion

318 4.1. General transcriptomic landscape of aging in PFC

319 The combination of old PFC vs. young PFC samples from several independent studies by meta-
320 analysis identified a list of DEG that had high overlapping with the validation dataset constituted
321 by a large number of biological replicates. The approach used in our study was able to detect genes
322 with a consistent and coherent deregulation across several independent studies. The proportion of
323 down-regulated genes vs. up-regulated genes was 2.2:1. In previous analyses of the aging

324 transcriptomic profile on different tissues and organisms, the number and proportion of down-
325 regulated and up-regulated genes was variable. Meta-analysis of the aged liver in mice found a 1:3
326 ratio of down-regulated to up-regulated genes [30]. A similar result was obtained in other analysis
327 using several aging organs -kidney, lung, brain cortex, liver- from humans, mice, and rats[7]. In
328 human lymphoblastoid cells, the proportion was 1:1 [31]. Two studies using whole blood cells
329 found a proportion close to 1.4:1 [32,33]. In a meta-analysis of human muscles, the proportion was
330 1.2:1 [34]. This variation in the proportion of the direction of de-regulated genes could be explained
331 as follows: since post-mitotic cells -such as muscle cells and neurons- accumulate DNA damage
332 over their lifespan, it is more probable that mutations in transcriptionally active genes will induce a
333 down-regulation. While mitotically, active cells with an accumulation of mutations are negatively
334 selected and removed from the tissues. Our findings support this hypothesis because the down-
335 regulated genes had an enrichment in specific markers for neurons -post-mitotic cells- and the up-
336 regulated genes had an enrichment in specific markers for astrocytes -cells with proliferative ability
337 in the nervous tissue-.

338 In order to identify which types of PFC cells were altered in the de-regulation of the transcriptome
339 of old samples compared with young samples, we performed on our list of DEG an over-
340 representation and under-representation analysis with specific markers for neurons,
341 oligodendrocytes, and astrocytes [23]. As in previous studies on the cerebral cortex [6], we found an
342 over-representation of neuronal markers in the down-regulated genes and an under-representation
343 of those markers in the up-regulated genes. Astrocyte markers had the opposite over-representation
344 results, with an enrichment of up-regulated genes and fewer down-regulated genes on the list of
345 DEG. Oligodendrocyte markers had the number of DEG that would be expected by chance. Taken
346 together, those results indicate that, in aging PFC, there is a down-regulation of neuronal genes
347 without compensatory up-regulation of other neural genes, as well as an increased expression of
348 astrocyte genes. Neuropathological studies show contradictory evidence regarding the change in
349 the number of neurons and neuroglial cells in different regions of the brain with aging. Some
350 results have pointed to a loss of neurons in the rat's prefrontal cortex [35], basal forebrain [36],
351 thalamus [37], cortex, hypothalamus, cerebellum and olfactory bulb [38]. However, other studies
352 found no modification in the number of neurons in aging. For instance, in the human substantia
353 nigra, there was no correlation between the number of neurons and age [39], and in the Rhesus
354 macaque, the number of white matter neurons did not show a correlation with age [40]. Therefore,
355 the down-regulation of neuron-specific markers could be explained as a result of a decreased
356 number of neurons or a down-regulation in the expression of genes related to a specific aging
357 phenotype. Likewise, the over-representation of astrocyte genes in the up-regulated genes is due to
358 an increase in the number of cells or their increased activation.

359 Our results, as discussed below, support that in aging, there is a down-regulation of gene
360 expression in specific neuronal zones, especially synapses, and opposite patterns of activation of
361 astrocytes.

362 **4.2. Neuron transcriptome in aged PFC: down-regulation of synapses**

363 Specific neuron markers were over-represented in the list of down-regulated genes. The analysis of
364 markers for specific neuron zones evidenced that in aging the more pronounced alteration involved
365 the synapses. Genes that codified proteins from the nucleus and cytoplasm of the neuron body and
366 neuron prolongations were not over-represented. This coincides with a previous analysis using
367 different transcriptomic data [8] and quantitative PCR [41] where the authors reported an altered
368 synaptic gene expression associated with chronological aging. The unbiased analysis of the down-
369 regulated genes showed that all types of synapses were down-regulated, and it is compatible with a
370 general dysfunction of the synaptic connectivity, modulation, and activity. When a careful analysis
371 of the down-regulated genes was performed, a similar down-regulation of presynaptic and
372 postsynaptic genes for GABAergic and glutamatergic synapses was found, indicating a similar

373 involvement of excitatory and inhibitory synapses. Interestingly, for the other types of synapses -
374 serotonergic, cholinergic and dopaminergic-, the main down-regulation was almost exclusively
375 restricted to the postsynaptic neuron. Synaptic functions do not have the same kind of alteration.
376 For example, genes related to the synthesis and binding of vesicles were down-regulated (SYP,
377 SYT1, SYN2, STX1A), while genes related with docking and transport of vesicles to the membrane
378 were up-regulated (VAMP1, SANP23) (TableS1), indicating that the presynaptic dysfunction could
379 be restricted to specific processes.

380 Even though our research was based on the analysis of transcriptomic datasets, as an additional
381 validation of our results, we found concordant results in a study using quantitative PCR in human
382 old PFC [41]. Mohan et al. reported down-regulation of interneuron and synaptic genes (calbindin,
383 somatostatin, cholecystokinin, SLC17A7), and up-regulation of VAMP1 [41].

384 4.3. Astrocyte transcriptome in aged PFC: the opposite activation

385 Astrocytes, the most abundant glial cells, are important for adequate central nervous system (CNS)
386 function. They are involved in the formation and elimination of neuronal synapses [42,43], and also
387 mediate the uptake and recycling of neurotransmitters [44]. We found that, in aging, there is an up-
388 regulation of specific astrocyte markers. These results coincide with a previous report using a
389 different source of information [6]. Current knowledge suggests that astrocyte number is preserved
390 in aging [45,46]. Therefore, the up-regulation of astrocyte markers could be explained by an
391 increase in the activation state of those cells. There are distinctive phenotypes of activated
392 astrocytes, which depend on the stimuli that induce the activation. The best-characterized
393 phenotypes of activated astrocytes are A1 and A2. Reactive astrocytes induced by LPS (A1
394 astrocytes) exhibit a phenotype that suggests they are detrimental, whereas reactive astrocytes
395 induced by ischemia (A2 astrocytes) exhibit a cellular phenotype that suggests that they are
396 beneficial or protective [24]. The A1 and A2 phenotypes share common genes that are useful for
397 identifying reactive astrocytes in general (activated A1A2 astrocytes). A study in rat brains found
398 an increase of A1-like reactive astrocytes in the hippocampus and striatum with aging [4]
399 suggesting that, in this animal model, astrocyte activation is mainly toxic and it is associated with
400 the loss of brain function. We found that, in old PFC, there is a strong up-regulation of A1A2
401 signature genes. When we analyzed what kind of activated astrocytes were present in old PFC we
402 found a similar over-representation of A1 and A2 signature genes. Additionally, since there are
403 reports linking methamphetamine abuse with the neurochemical profile of aging [47] and
404 premature cellular senescence [26], therefore we compared the molecular profile of the astrocytes
405 activated by methamphetamine abuse with our signature of old PFC, this profile was not over-
406 represented, indicating that astrocyte activation by methamphetamine does not recapitulate normal
407 aging astrocyte activation. These joint results indicate that aged human PFC seems to have patterns
408 of gene-expression compatible with astrocytes activation which is heterogeneous mixing protective
409 and toxic astrocytes.

410 Due to the fact that we used whole tissue with a mixture of cells in our study, we cannot delineate
411 more precisely the proportions and specific pathways activated in each type of activated astrocyte.
412 Single cell transcriptomic analysis of astrocytes in aging samples along with phenotypic analysis of
413 this cells must be performed to answer this question.

414 However, with the pathway and signature analysis of up-regulated genes, it is possible to suggest
415 the molecular phenotype of astrogliosis in old PFC. The fact that mineral absorption was the main
416 up-regulated pathway in the top cluster of activated pathways was an unexpected result of the
417 transcriptomic analysis of the CNS. However, the pathway was statistically significant, because it
418 contained several metallothionein (MT) genes. In the meta-analysis, seven MT genes were analyzed,
419 all of which were from the MT I family, and were up-regulated in old PFC. There is an increasing
420 interest in the role of MT in normal and pathological CNS function. The MT superfamily has four

421 isoforms (I to IV); isoforms I and II are expressed in the brain, mainly in astrocytes, while isoform
422 III is expressed in neurons [48]. Metallothioneins I/II are up-regulated in astrocytes in response to
423 neuronal injury [49], and their expression is induced by several stimuli such as metals, hormones,
424 cytokines, oxidative stress and inflammation [50]. The over-expression of MTs is in general
425 protective, for example, when MTs are overexpressed, the mouse lifespan is increased [51].
426 Metallothioneins I/II play a neuroprotective role in several forms of brain injury and are able to
427 augment the regenerative capacity of astrocytes[52]. Metallothioneins I/II induce a form of
428 astrogliosis that is permissive with the neurite outgrowth and associated with decreased
429 chondroitin sulfate proteoglycan (CSPG) accumulation. CSPGs are involved in maintaining the
430 structure and function of adult neurons, and in the regulation of proliferation, migration, and
431 neurite outgrowth of neural stem cells in the brain. Aged rats show a significant increase in
432 aggrecan expression throughout the PFC and in the hippocampus [53]. We found up-regulation of
433 the expression of two CSPG genes (BCAN and CD44), and thus the up-regulation of MT I could be
434 related to an astrocyte effort to degrade the increased deposition of CSPGs as a response to synapse
435 malfunction.

436 Organelle assembly, the second cluster of up-regulated genes, includes the all three ERM family
437 proteins -ezrin, radixin, and moesin-. These proteins play a crucial role in organizing membrane
438 domains and regulating signal transduction pathways such as SHH [54]. In the brain, this family is
439 important in the regulation of plasticity and neuroprotection: ezrin (EZR) is required for the
440 structural plasticity of peripheral astrocyte processes associated with synapses [55], moesin (MSN)
441 regulates dendrite arborization and spine-like protrusion growth [56], and radixin (RDX) stimulates
442 adult neural progenitor cell migration and proliferation [57]. Activation of the three members of the
443 family promotes the migration of subventricular zone-derived neuroblasts in response to traumatic
444 brain damage [58]. In old PFC, neuronal synapse dysfunction could be sensed by the astrocytes as
445 local damage, and part of the protective response could be the up-regulation of ERM genes.
446 Activation of ERM proteins is mediated by RhoA in HeLa cells [59] and fibroblasts [60], but is
447 independent of RhoA in kidney-derived cells [61]. RhoA was not up-regulated in our analysis nor
448 in previous studies [24] of old PFC, but other Rho proteins as RhoJ and RhoU were up-regulated. If
449 those proteins can interact with ERM proteins, then it is plausible that ERM protein activation is
450 caused by other Rho family proteins in the brain and accessory proteins such as ARHGDI1, that is
451 also up-regulated in aging, but additional analysis of interaction of those proteins are necessary to
452 probe this hypothesis.

453 Smoothed (SMO) signaling pathway, the representative pathway in the third cluster of up-
454 regulated genes, is the intracellular effector of the activation of Sonic Hedgehog (SHH) pathway.
455 The SHH plays a key role in the development and patterning of the CNS. In the adult brain, SHH is
456 one of the regulators of astrocyte function and activation. Given the importance of this pathway in
457 the biology of astrocytes, we explored in detail their complete regulation in aged PFC. SHH
458 regulates the activity of the GLI transcription factor family, in which there are three members: GLI
459 1, 2 and 3, each with a different role in SHH responsive gene regulation. GLI 1 is a transcriptional
460 activator, GLI 2 is mainly a transcriptional activator with slight repressor activity, and GLI 3 is a
461 transcriptional repressor of target genes [62]. We looked to see if the transcriptional targets of each
462 GLI were over-represented on the list of DEG. When all the targets were interrogated, none of the
463 lists of GLI targets were over-represented on the list of up-regulated genes and only GLI 1 targets
464 were under-represented on the list of down-regulated genes. These results indicate that there is no
465 general deregulation of the SHH pathway in old PFC. However, when we selected the GLI targets
466 that are expressed specifically in astrocytes, there was an over-representation of GLI 1, 2 and 3
467 astrocyte targets on the list of up-regulated genes and under-representation of those targets on the
468 list of down-regulated genes. GLI 1 and 2 are transcriptional activators and GLI3 is a repressor,
469 then, there is an activation of GLI 1 and 2 and inactivation of GLI 3 in astrocytes in aging. Therefore,
470 there is an activation of the SHH pathway specifically in astrocytes.

471 Neurons in old PFC have a wide down-regulation of expression of synaptic genes, including the
472 genes related to biosynthesis, transport, and release of neurotransmitters. A study recently
473 described that neurons use SHH to control different properties of the astrocytes [28, 63]. SHH
474 stimulation of Bergmann glial cells -a type of cerebellar astrocytes- promotes glutamate detection
475 and recovery and potassium homeostasis by up-regulation of SLC1A3 (GLAST) and KCNJ10 (KIR
476 4.1) [63]. Those genes are up-regulated in old PFC, then the activation of SHH in PFC astrocytes
477 could be a protective response induced by down-regulation in the expression of neuronal synaptic
478 genes. Furthermore, SHH is also involved in neural progenitor proliferation, neovascularization,
479 and synaptogenesis [64]. SHH reduces astrocyte reactivity and the inflammatory response after a
480 brain injury [64], and astrocytes stimulated by SHH protect neurons from cell death [28]. This is
481 compatible with the finding of over-representation of the protective astrocyte signature on the list
482 of up-regulated genes.

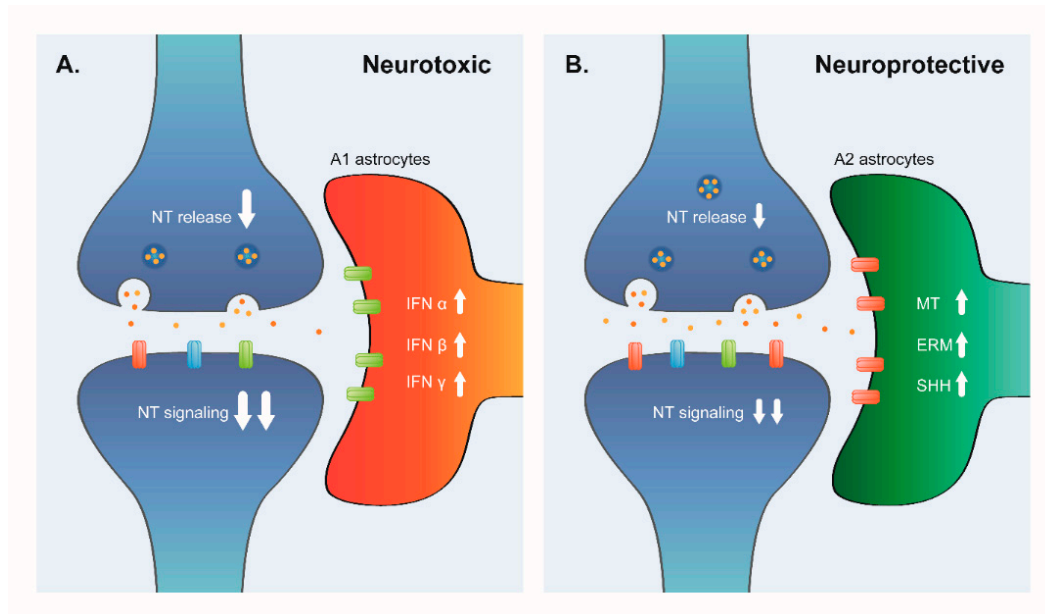
483 On the other hand, we found an over-representation of the neurotoxic astrocyte signature,
484 suggesting that there are parallel pathways of astrocyte activation inducing diverse astrocyte
485 phenotypes in brain aging. Our analysis identified up-regulation of related inflammatory pathways
486 (the Cluster 4 of up-regulated genes). This cluster consisted of the enrichment in genes annotated in
487 response to interferon alpha, beta, and gamma. In the aging brain, it is well characterized that
488 interferon signaling at the choroid plexus negatively affects brain function [65] and that the
489 interferon pathways are induced in LPS-reactive astrogliosis [24]. Inflammation is one of the
490 hallmarks of aging, and the hypothalamus integrates inflammatory responses with systemic control
491 of aging through nuclear factor κ B (NF- κ B) and microglia-neuron neuroimmune crosstalk [66, 67].
492 Inflammation is so important in aging brains, that chronic treatment with an IFN-I activator
493 contributes to the development of neurodegenerative disease in wild-type mice [68]. In the context
494 of astrocytes, neurotoxic phenotype development after exposure to LPS is characterized by the
495 induction of interferon pathways [24]. The activation of IFN pathways is also compatible with the
496 aging model that describes inflammatory astrocyte (A1) activation. Moreover, the direct analysis of
497 astrocytes in normal aging showed that one of the up-regulated pathways in mouse old brain
498 astrocytes was interferon signaling [4].

499 These results suggest that the up-regulated pathways we found were mainly due to astrocyte
500 activation and they represent two divergent astrocytes molecular y cellular phenotypes.

501 5. Conclusions

502 Meta-analysis of transcriptomic data increases the statistical power of the individual datasets and,
503 in addition, is able to identify DEG which are consistently de-regulated across the different
504 experiments. A big advantage of this approach is that the particular characteristics of each dataset
505 are unmasked and only the common processes for all datasets are revealed. In our analysis, we
506 detected that neurons are some of the most important cells affected by aging in the PFC, and, in
507 accordance with other researchers, we delineated the biggest impairment in synapse function, with
508 specific variations depending on the type of synapses. Additionally, using the over-representation
509 and under-representation analysis of curated expression signatures we identified that there are
510 heterogeneous transcriptomic profiles associated with the activation of astrocytes. We found
511 evidence of at least two different phenotypes of activated astrogliosis: A1 (neurotoxic) and A2
512 (neuroprotective). Due to our analysis design, we cannot identify the chronological order or
513 magnitude of those alterations, but the results are consistent with the normal cognitive decline
514 associated with aging. A plausible hypothesis is that neurons, post-mitotic cells accumulated DNA
515 damage for decades, and then they expressed a phenotype characterized by synapsis dysfunction.
516 As a response of that, there are activation of astrocytes in at least two different pathways: A1 and
517 A2 astrocytes.

518 We propose a model (Figure 4) where synapses in normal aged PFC are in two states: some
 519 synapses are deleteriously related to A1 astrocytes and others are protectively related to A2
 520 astrocytes. A1 astrocytes are the result of activation by aging-related inflammation and A2
 521 astrocytes could be activated as a response to the switch-off of the synapses.



522

523 **Figure 4. Model of tripartite synapse in old PFC.** In old PFC there is a down-regulation of
 524 expression of presynaptic genes in GABAergic and Glutamatergic synapses and down-regulation of
 525 post-synaptic genes in all kind of synapses. They are in two divergent environments (A) The
 526 presence of A1 astrocytes induces a neurotoxic phenotype, those astrocytes have an activation of
 527 inflammatory response represented by interferon pathways. (B) There are also A2 astrocytes in old
 528 PFC. A2 astrocytes have activation of metallothioneins, EZR and SHH pathways. Those pathways
 529 are pro-synaptogenic and neuroprotective, then, the alteration in the function of the synapses will
 530 be less severe than in (A).

531 There are several questions remaining: what is the origin of synapse down-regulation? Is the
 532 astrocytes phenotypes fixed or can they change with time or stimuli? What is the extent of A1 and
 533 A2 activation? How is the local synapse environment under A1 or A2 astrocyte regulatory control?
 534 Finally, what is the situation of this complex relationship between neurons and reactive astrocytes
 535 in neurodegenerative diseases?

536 **Supplementary Materials:** The following are available online,

537 **Supplementary table 1.** Results of the meta-analysis. It shows the result of the Pmod value for down-regulated
 538 and up-regulated genes for all genes analyzed.

539 **Supplementary table 2.** Results of the external validation. It shows the adjusted p-value after the comparison of
 540 old samples with young samples from GSE71620. Column Meta-analysis informed if the gene was down-
 541 regulated –Down-, up-regulated –Up-, without change –NoChange-, or if it was not present in the meta-analysis
 542 –No present-.

543 **Supplementary table 3.** Pathway analysis of down-regulated genes. Pathways over-represented in the list of
 544 down-regulated genes as were detected by DAVID. Clusters represent pathways with overlapping genes.

545 **Supplementary table 4.** Pathway analysis of up-regulated genes. Pathways over-represented in the list of up-
 546 regulated genes as were detected by DAVID. Clusters represent pathways with overlapping genes.

547 **Supplementary table 5.** List of molecular signatures for neurons, astrocytes and oligodendrocytes. Specific
548 markers of PFC cells –Neurons, astrocytes and oligodendrocytes-. Meta-analysis column informs if the gene was
549 down-regulated –Down-, up-regulated –Up-, without change –NoChange-, or if it was not present in the meta-
550 analysis –No present-.

551 **Supplementary table 6.** Neuron zones signatures and astrocyte signatures. List of markers of specific zones of
552 neurons, and different astrocyte molecular phenotypes.

553 **Supplementary table 7.** Localization of synaptic DEG. List of DEG annotated in synopsis and description of the
554 localization of the product of the gene in the pre-synaptic or post-synaptic zones according KEGG.

555 **Supplementary table 8.** Transcriptional targets of GLI transcription factors. List of genes annotated as
556 transcriptional targets of GLI1/2/3 in TF2DNA.

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558 C.P, D.R, D.A.; investigation, D.R; data curation, C.P.; writing—original draft preparation, C.P, D.A, S.R.;
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