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# MIXED-STATE IONIC BEAMS: AN EFFECTIVE TOOL FOR COLLISION DYNAMICS INVESTIGATIONS

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**Abstract:** The use of mixed-state ionic beams in collision dynamics investigations is examined. Using high resolution Auger projectile spectroscopy involving He-like ( $1s^2\ ^1S$ ,  $1s2s\ ^3\ ^1S$ ) mixed-state beams, the spectrum contributions of the  $1s2s\ ^3S$  metastable beam component is effectively separated and clearly identified. This is performed with a technique that exploits two independent spectrum measurements under the same collision conditions, but with ions having quite different metastable fractions, judiciously selected by varying the ion beam charge-stripping conditions. Details of the technique are presented together with characteristic examples. In collisions of 4 MeV  $B^{3+}$  with  $H_2$  targets, the Auger electron spectrum of the separated  $1s2s\ ^3S$  boron beam component allows for a detailed analysis of the formation of the  $1s2s(^3S)nl\ ^2L$  states by direct  $nl$  transfer. In addition, the production of hollow  $2s2p\ ^1\ ^3P$  doubly- and  $2s2p^2\ ^2D$  triply-excited states, by direct excitation and transfer-excitation processes, respectively, can also be independently studied. In similar mixed-state beam collisions of 15 MeV  $C^{4+}$  with  $H_2$ , He, Ne and Ar targets, the contributions of the  $1s^2$ ,  $1s2s\ ^3\ ^1S$  beam components to the formation of the  $2s2p\ ^3\ ^1P$  states by double-excitation,  $1s \rightarrow 2p$  excitation and transfer-loss processes can be clearly identified, facilitating comparisons with theoretical calculations.

**Keywords:** zero-degree Auger projectile spectroscopy; mixed-state beams; metastable states; He-like states; Li-like states; Be-like states; cascade feeding; electron transfer excitation; electron excitation; electron transfer; hollow states; SIMION.

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## 1. Introduction

Over the past four decades considerable attention has been paid to the study of ion-atom collisions using highly charged ion projectiles at various accelerator facilities [1,2]. This interest has been driven primarily by the need for a basic understanding of atomic collisions processes such as electron transfer, excitation and ionization and their combinations which lead to the production of excited states of matter [3–7]. In particular, high resolution studies of projectile ions can provide *state-selective* information with important practical bearing on controlled thermonuclear fusion, laboratory and astrophysical plasmas, ion beam tumor therapy, as well as the development of new ion sources, the promotion of new and improved accelerator technology and the creation of vuv and x-ray lasers [8,9]. Existing theories that have successfully predicted total cross-sections for these processes can now be tested to the next order of sophistication and accuracy by comparing to *state-selective differential* cross section measurements. Furthermore, few-electron systems as found on highly-charged ions provide some of the simplest testing grounds for studying the many-particle problem at large, and in particular, the role played by electron-electron interactions [10–20]. By limiting the number of electrons on the projectile and by using simple targets such as He or  $H_2$ , considerable simplification of the

34 collision system is attained. Thus, the study of these fundamental atomic collision processes, becomes  
35 considerably more trackable from both the experimental and the theoretical point of view.

36 The charge state  $q$  of the ionic beam, and therefore the number  $N_p^e$  of electrons ( $N_p^e = Z_p - q$ )  
37 and participating shells carried into the collision, can be conveniently selected offering the necessary  
38 conditions for initiating and observing specific atomic processes. In general, the lighter the projectile  
39 (smaller projectile atomic number  $Z_p$ ), the fewer the important channels available for ionization,  
40 capture or excitation of the projectile. Furthermore, light projectiles also have small fluorescence  
41 yields, but much larger Auger electron yields, thus making the use of high resolution Auger projectile  
42 spectroscopy, particularly attractive for their investigation [21,22].

43 In ion accelerators, the extracted ionic beam is usually selected magnetically for a particular charge  
44 state  $q$  and kinetic energy, as required by the experiment. However, magnetic selection cannot separate  
45 the electronic configurations of particular ionic charge states which, due to their particularly long  
46 lifetimes, survive to the target resulting in collisions of mixed-state ionic beams consisting of more than  
47 just the ground state. A well-known example are the He-like ( $1s^2\ ^1S$ ,  $1s2s\ ^1,3S$ ) mixed-state beams. These  
48 additional metastable beam components offer the opportunity of studying dynamic collision processes  
49 in new ionic environments already having an initial K-shell vacancy. Such pre-excited ionic beams  
50 are presently used in collisions with electrons, atoms or photons since they allow for the population  
51 of states not readily accessible from the ground state. So far the use of pre-excited long-lived states,  
52 has been successfully used in high resolution projectile electron spectroscopy investigations, as for  
53 example in single [23–25] and double [26] electron transfer, excitation [27], transfer-excitation [14,  
54 28–31], the production of triply-excited states [32] and superelastic scattering [33,34]. In addition,  
55 long-lived  $1s2s\ ^3S$  states, have also been used in a variety of other atomic physics investigations  
56 including the study of electron impact ionization [35,36], tokamak high energy charge-exchange [37]  
57 and edge impurities [38], electron capture and excitation [39], slow collisions of quasi symmetric heavy  
58 systems [40], beam–two-foil spectroscopy [41] and even two-electron quantum entanglement [42].

59 Here, we examine the use of He-like ( $1s^2\ ^1S$ ,  $1s2s\ ^1,3S$ ) mixed-state ionic beams and their role in  
60 collision dynamics investigations using zero-degree Auger projectile spectroscopy (ZAPS). First, we  
61 review the production processes of mixed-state He-like, as well as Be-like ionic beams, the lifetimes of  
62 their metastable components and the methods for determining their metastable fractions. Then, we  
63 present a method for separating the contributions from the ground and metastable components and  
64 report on  $KLn$  Auger spectra separated from just the  $1s2s\ ^3S$  component obtained in collisions 4 MeV  
65  $B^{3+}$  with  $H_2$  targets. Finally, we investigate the various processes contributing to the formation of the  
66  $2s2p\ ^1,3P$  states in collisions of mixed-state 15 MeV  $C^{4+}$  with  $H_2$ , He, Ne and Ar targets.

## 67 2. Production of metastable states

68 Highly charged ions are readily produced by passing a lower charge state beam through a thin  
69 foil or gas, where additional electrons can be stripped from the ion, thus increasing its charge state. In  
70 tandem Van de Graaff accelerators, hereafter called TANDEM, these projectile electron strippers are  
71 found inside the accelerator terminal, where the initially negatively charged ion beam is converted  
72 to a positively charged beam and further energy boosted in the second stage of acceleration. These  
73 positively charged ion beam has a Gaussian-like charge state distribution centered around the mean  
74 charge state, depending on the energy of the ion beam during the stripping process as well as the  
75 stripping medium. The higher the energy of the beam and the density of the medium, the higher the  
76 mean charge state attained [43,44]. Various computer codes have been developed providing accurate  
77 results for these charge state distribution such as ETACHA [45], CHARGE [44,46–48] and TARDIS [49]  
78 and references therein. The desired charge state and energy are then selected by means of analyzing  
79 and switching magnets, as well as the necessary dipole magnetic focusing elements and then delivered  
80 to the experimental area. In order to produce more intense few-electron or even bare ion beams  
81 additional stripping points are provided after the beam exits the accelerator known as *post-strippers*.

82 A significant operational advantage of the gas strippers is that they do not suffer damage, as do the  
83 foils, particularly at the lower stripping energies. Aside from this, the use of gas strippers, as opposed  
84 to foils, has certain advantages for high resolution electron spectroscopy in ion-atom collisions. In gas  
85 stripping the ions suffer less straggling than in foil stripping [50]. Thus, the gas-stripped ion beams  
86 have a narrower energy distribution which is evident in the broadening of the observed projectile  
87 Auger lines in high resolution measurements.

88 Selection of a charge state at a certain energy may result in more than one ionic electron  
89 configurations as these cannot be magnetically separated. Indeed, few-electron ionic beams are  
90 typically delivered in the ground state and additional long-lived, metastable components. This is a  
91 general feature not only encountered in TANDEM accelerators [51,52], but also in storage rings [53,54],  
92 as well as other lower energy highly charged ion sources [35], even though the production mechanisms  
93 can be quite different depending on the type of ion source. Clearly, a desirable feature would be  
94 to have a variable and controllable amount of metastable beam. Such a feature could be used to  
95 readily distinguish between ground state and metastable state contributions. This can be readily  
96 accomplished in storage rings, where the metastable states can be allowed to die out by storing the  
97 ions long enough [54].

98 As an example, we may refer to the case of He-like ionic beams that are delivered in a mixed  $1s^2\ ^1S$   
99 ground and  $1s2s\ ^3S$  metastable states (omitting a very small fraction of  $1s2s\ ^1S$  component surviving  
100 at the target area). By performing two different measurements with beams of appreciably different  
101 metastable fraction [55,56], the contributions from either the ground state or the metastable state can  
102 be extracted [57]. The amount of metastables may vary, and thus be controlled, in the case of the gas  
103 stripping depending on the stripping energy [55]. In the case of foil stripping, the thickness, as well  
104 as the atomic number of the foil affects the metastable fraction [55,58–62]. To our knowledge, there  
105 are no available codes that predict the fraction of metastable ions, even though much of the required  
106 information is already used to compute the equilibrium charge states [63]. Needless to say, such a code  
107 would be extremely useful for researchers.

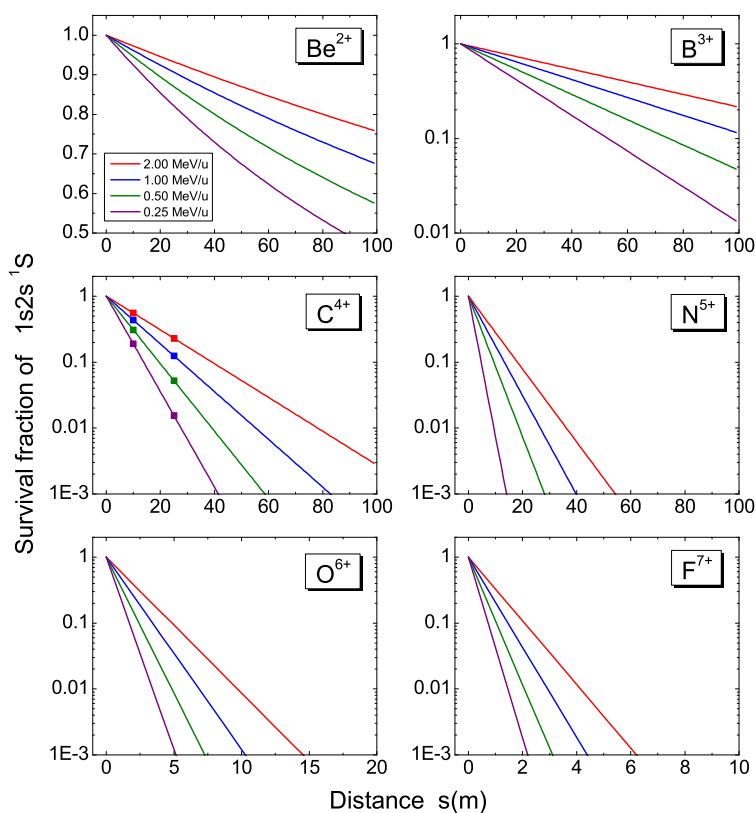
### 108 3. Lifetimes of metastable states

109 The mixed-state content of the ion beam complicates absolute cross section measurements since  
110 the accurate determination of the beam content is also required. However, metastable beams offer  
111 access to additional population channels that are not readily available from the ground state beams.  
112 For example, the  $1s2s2p\ ^4P_J$  state populated in collisions of He-like ionic beams with gas targets is  
113 solely populated from the metastable  $1s2s\ ^3S$  beam component by single electron capture. Population  
114 by the  $1s^2\ ^1S$  component is extremely unlikely as it requires much less effective, higher-order processes.

115 To fully exploit such metastable components in collision experiments, knowledge of not only the  
116 initial metastable fraction content is required, but also the lifetime of the states involved, in order to  
117 compute the content at the target. For very long-lived states ( $\sim$ ms) this remains unaffected from the  
118 production area to the target. However, for metastable states of shorter lifetimes their population at  
119 the target may well be affected. Depending on the geometry of the experimental setup the surviving  
120 fraction at the target can be estimated, as for example for the He-like beams that are delivered in  
121 both  $1s2s\ ^3S$  and  $1s2s\ ^1S$  metastable states. The lifetimes of these states have been investigated in the  
122 literature and are known to drop rapidly with increasing projectile atomic number  $Z_p$  [64,65]. Similar  
123 behavior has been observed for the Be-like  $1s^22s2p\ ^3P$  metastable state also of interest in this work.  
124 Indicative theoretical lifetimes for the above states are reproduced in Table 1.

**Table 1.** Indicative theoretical lifetimes (in s) of the metastable He-like  $1s2s\ ^1S$ ,  $1s2s\ ^3S$  (from Refs. [64,65]) and Be-like  $1s^22s2p\ ^3P_1$  states (from Refs. [66,67]) for  $3 \leq Z_p \leq 10$ .

$Z_p$	$1s2s\ ^1S$	$1s2s\ ^3S$	$1s^22s2p\ ^3P_1$
3	$5.1 \times 10^{-4}$	$4.9 \times 10^1$	-
4	$5.5 \times 10^{-5}$	$1.8 \times 10^0$	-
5	$1.1 \times 10^{-5}$	$1.5 \times 10^{-1}$	$9.8 \times 10^{-2}$
6	$3.0 \times 10^{-6}$	$2.1 \times 10^{-2}$	$9.7 \times 10^{-3}$
7	$1.1 \times 10^{-6}$	$3.9 \times 10^{-3}$	$1.7 \times 10^{-3}$
8	$4.3 \times 10^{-7}$	$9.6 \times 10^{-4}$	$4.4 \times 10^{-4}$
9	$2.0 \times 10^{-7}$	$2.8 \times 10^{-4}$	$1.4 \times 10^{-4}$
10	$1.0 \times 10^{-7}$	$9.2 \times 10^{-5}$	$5.3 \times 10^{-5}$



**Figure 1.** The surviving fraction of the  $1s2s\ ^1S$  metastable state as a function of the ion beam traveling distance  $s$  for various elements with  $4 \leq Z_p \leq 9$  and typical projectile energies of 0.25–2 MeV/u. Lifetimes are from Ref. [64]. For these He-like ions the survival of the much longer lived  $1s2s\ ^3S$  metastable state (not shown) is practically 100% over the same distances.

125 In Figure 1, we show the surviving fraction of the  $1s2s\ ^1S$  metastable state as a function of the ion  
 126 traveling distance  $s$  for various low- $Z_p$  elements and projectile energies of 0.25–2 MeV/u typical for  
 127 a TANDEM accelerator. It should be pointed out that the zero distance  $s = 0$  may refer to either: (i)  
 128 the terminal inside the TANDEM tank, where the stripping of the incoming negative ion takes place,  
 129 or (ii) the post-stripper location when higher charge states are needed. Clearly, the  $1s2s\ ^1S$  fraction is  
 130 considerably reduced, even for small distances ( $s < 20$ m), except for beryllium and boron, where larger  
 131 distances should be considered. Alternatively, the fractions of the  $1s2s\ ^3S$ , as well as the  $1s^22s2p\ ^3P$   
 132 metastable states, will remain practically constant over the whole range of  $Z_p = 4 - 9$  due to their  
 133 much longer lifetimes.

134 For our ZAPS setup, currently operational at the Athens 5.5 MV tandem Van de Graaff accelerator  
135 at the National Center for Scientific Research "Demokritos" under the atomic physics with accelerators:  
136 projectile electron spectroscopy (APAPES) initiative [68], the interaction region is located at a distance  
137 of  $s_1 = 25.4$  m from the terminal stripper and of  $s_2 = 10$  m from the post-stripper. The surviving  
138 fractions of  $1s2s^1S$  metastable state are indicated in Figure 1 for the case of carbon. In addition,  
139 assuming that the  $1s2s^3S$  and  $1s2s^1S$  states are statistically produced in a 3 : 1 ratio, the actual fraction  
140 of the  $1s2s^1S$  beam compared to that of the  $1s2s^3S$  beam will be further reduced at the production  
141 point by a factor of three.

#### 142 4. Mixed-state ionic beams - Content determination

143 Several techniques can be found in the literature for determining the metastable fraction of  
144 multicharged ion beams in various types of facilities. These include: (1) The ion beam attenuation  
145 technique [69], where the abundance of excited states in ion beams (25-100 eV  $O^+$  and  $O^{2+}$ ) was  
146 investigated based on the attenuation of an ion beam during passage from an ion source to a collector  
147 through a chamber containing a gas, the pressure of which could be varied, and in which the different  
148 states of the ions suffer different attenuations. The metastable fraction is determined by measuring  
149 the attenuated ion beam current at distances corresponding to lifetimes of the order of  $20\mu s$ . No  
150 information about the configuration of the metastable ionic states was provided. (2) The target K x-ray  
151 yield (or beam-foil) technique [58,59], where the metastable fraction of a state is determined based on  
152 the ratio of target K x-ray yields from collisions with three different ionic species at various charge states.  
153 (3) The photon-particle coincidence technique [70], where the photon from the decay of the  $1s2s^3S$   
154 metastable state of a neutralized  $He^+$  ion beam was detected in coincidence with the subsequently  
155 singly ionized ion beam. (4) The comparison to similar experimental measurements. For example,  
156 normalization to the corresponding ground-state Auger electron spectra [71] or normalization between  
157 photoionization and dielectronic recombination cross sections through the detailed balanced principle  
158 [72]. (5) The measurement of relative Auger electron yields from doubly excited Li-like states formed  
159 in collisions of mixed-state He-like ions with  $H_2$  targets [55,57,60–62]. (6) The normalization to model  
160 calculations [35,73–76], where measured cross sections are normalized to theory, as for example, in the  
161 normalization of electron impact ionization measurements to convergent close coupling calculations  
162 [35].

163 Next, we shall discuss in more detail, our results for He-like and Be-like ion beams produced in  
164 TANDEM accelerators in the context of our development of method 5 above.

##### 165 4.1. $1s2s^3S$

166 In Ref. [58], Schiebel *et al* reported on the first determination of the  $1s2s^3S$  fraction in  $Si^{12+}$  ions.  
167 They measured the K x-ray yield of the state at a certain distance from the production area and  
168 determined the fraction based on the theoretical value of the lifetime of the state. In their report they  
169 also introduced another technique involving the measurements of the K x-ray yields of Ar targets  
170 for incoming  $Si^{(11-13)+}$  ions. Their technique applies to nearly symmetric collisions, where K-shell  
171 to K-shell vacancy-transfer cross sections are large. Their results for both approaches agree within  
172 statistics showing the fraction to depend on both the thickness, as well as the atomic number of the foil.  
173 The fraction linearly increases with collision energy over the investigated range. In a similar study,  
174 Terasawa *et al* used  $F^{7+}(1s^2^1S, 1s2s^1,^3S)$  mixed-state ion beams to determine the metastable fraction  
175 based on target K x-ray data obtained from the bombardment of the  $F^{7+}$  beams on thin Ti layers  
176 evaporated on carbon foils [59]. They showed that the metastable fraction follows a slow increase with  
177 collision energy reaching a plateau of  $\sim 30\%$  around an energy of 2 MeV/u.

178 In Ref. [70], the fraction of metastable  $He(1s2s^3S)$  produced by electron capture neutralization  
179 of slow 25–90 keV  $He^+$  ions in  $H_2$  gas was measured by photon-particle coincidence. The study  
180 showed that the fraction of metastable ions reached as high as 70% at lower energies. In Ref. [35], the  
181 metastable fraction was determined in electron impact ionization experiments for  $Li^+$  mixed-state ions.

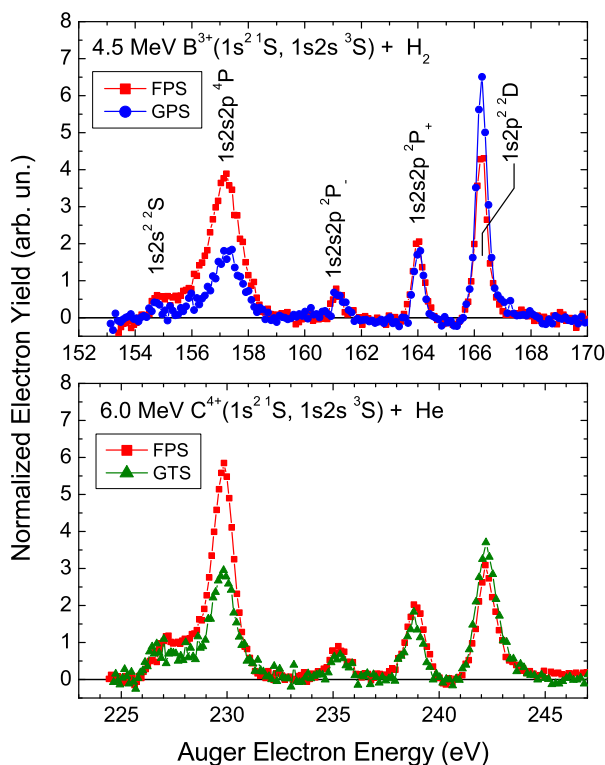
182 The data were compared to theoretical convergent close coupling calculations resulting in a  $1s2s\ ^3S$   
 183 metastable fraction of 13%. Similar studies were also reported for other ions as well [76]. Recently, the  
 184 photoionization measurements for mixed-state  $C^{4+}$  ions were compared to dielectronic recombination  
 185 cross sections of  $C^{5+} + e^-$  through the principle of detailed balance [72]. The metastable fraction of  
 186 10.8% was thus determined in very good agreement with calculations based on relativistic many-body  
 187 perturbation theory.

188 In Ref. [60] an alternative method was proposed based on the measurement of relative Auger  
 189 electron yields from doubly excited  $1s2l2l'$  states formed in collisions of  $B^{3+}$  mixed-state ions with  $H_2$ .  
 190 The method is based on the assumption that the  $1s2s2p\ ^4P$  state is exclusively produced by capture  
 191 to the  $1s2s\ ^3S$  metastable state, while the  $1s2p^2\ ^2D$  is primarily produced from the  $1s^2\ ^1S$  by resonant  
 192 transfer and excitation (RTE). The method does not suffer from experimental parameters uncertainties  
 193 as it incorporates the ratio of the  $^4P$  and  $^2D$  peaks in the same spectrum. However, it utilizes theoretical  
 194 calculations for the cross sections of RTE and electron capture, as well as modeling calculations for the  
 195 corrected yield detection of the long-lived  $1s2s2p\ ^4P$  state. It was shown that the metastable fraction  
 196 produced using foil stripping remained practically constant near 25% over the incident energy range  
 197 of 0.85-9 MeV. In comparison, the fraction produced using gas stripping showed a strong dependence  
 198 on the incident beam energy reaching the maximum of 25% around the collision energy of 5 MeV. The  
 199 method was also applied to low atomic number  $Z_p$  elements with  $4 \leq Z_p \leq 9$  [61].

In a similar approach, based on the same assumptions, Benis *et al* [62] proposed a different method  
 that used the ratio of the yields of the  $^4P$  and  $^2D$  lines in the same spectrum, but did not involve any  
 theoretical cross sections of the  $^4P$  and  $^2D$  states or any model calculations for the solid angle correction  
 due to the long decay of the  $^4P$  state. Actually, the critical assumptions are that the  $^4P$  and  $^2D$  lines  
 result only from the  $1s2s\ ^3S$  metastable and  $1s^2\ ^1S$  ground states, respectively, without any additional  
 conditions about the particulars of the population processes involved. Instead, the technique requires  
 two independent measurements of the same electron spectrum at the same collision energy, but using  
 mixed beams having quite different  $1s2s\ ^3S$  metastable beam fraction in each. Then, the metastable  
 fraction is determined only by the normalized yields  $Z$  of the  $^4P$  and  $^2D$  peaks as:

$$f_{3S}^{[i]} = Z^{[i]}(^4P) \frac{Z_1(^2D) - Z_2(^2D)}{Z_1(^2D)Z_2(^4P) - Z_2(^2D)Z_1(^4P)}, \quad i = 1, 2, \quad (1)$$

200 where  $i = 1, 2$  refers to the high and low metastable fractions, respectively. Thus, the method does  
 201 not suffer from uncertainties arising either from theoretical calculations or experimental parameters.  
 202 The only requirement is that the two spectra have appreciably different fractions. Typical spectra  
 203 used in such fraction determinations are shown in Figure 2. The boron spectra were obtained after  
 204 colliding the TANDEM delivered  $B^{2+}$  beam with thin carbon foils (foil post-stripping, FPS) or with  
 205 Ar gas targets (gas post-stripping, GPS). The carbon spectra were obtained either with FPS of the  $C^{3+}$   
 206 ions on thin carbon foils or stripping the incident  $C^-$  beam inside the terminal with  $N_2$  gas targets (gas  
 207 terminal stripping - GTS).



**Figure 2.** Auger KLL spectra obtained in collisions of 4.5 MeV  $B^{3+}$  with  $H_2$ , as reported in Ref. [62], and of 6.0 MeV  $C^{4+}$  with He. The  $B^{3+}$  and  $C^{4+}$  beams were produced: [Red squares] After post-stripping the incident  $B^{2+}$  and  $C^{3+}$  beams in thin carbon foils (FPS). [Blue dots] After post-stripping the incident  $B^{2+}$  beam in Ar gas (GPS). [Green triangles] after stripping the incident  $C^-$  beam in the accelerator terminal in  $N_2$  gas (GTS). A smaller ratio of  $^4P$  to  $^2D$  yields implies a smaller metastable fraction.

208 The obtained fractions are summarized in Table 2. It is seen that the fraction obtained for carbon  
 209 is much smaller than that for boron. An explanation for this was proposed in Ref. [61] considering  
 210 the K-vacancy sharing between the projectile and the target. Indeed, in the near-symmetric stripping  
 211 process (i.e.  $C^{4+}$  traversing carbon foils) the K-vacancy transfer probability is close to 1/2 [77]. In  
 212 this case, the K-vacancy on an incident metastable  $C^{4+}(1s2s^3S)$  ion will be transferred to the stripper  
 213 carbon atom, leaving approximately half of the projectile ions in the ground state. For ions other than  
 214 carbon, the more asymmetric K-vacancy transfer probability for other He-like beams is about an order  
 215 of magnitude smaller and thus the reduction of the corresponding metastable fraction is negligible.

**Table 2.** Results of the experimental determination (using Eq. 1) of the  $1s2s^3S$  metastable fraction  $f_{3S}$  on target. FPS: foil post-stripping, GPS: gas post-stripping, GTS: gas terminal stripping. The uncertainties of the fractions are given in the adjacent parentheses.

Stripping method	Incident ion	Stripping energy MeV	Final energy MeV	$f_{3S}$ %
FPS	$B^{2+}$	4.5	4.5	42(10)
GPS	$B^{2+}$	4.5	4.5	18(5)
FPS	$C^{3+}$	6.0	6.0	16(3)
GTS	$C^-$	1.2	6.0	7(2)

216 We note that for GTS at low enough stripping energies, the metastable fraction can be quite small,  
 217 almost negligible, compared to the fraction obtained by foil stripping. Such a situation is shown in  
 218 Figure 4. This extreme condition resulting in the biggest differences between the fractions of each

219 measurement will give the most accurate determination of the metastable fraction. In Section 5.1 we  
 220 shall analyze the particular information offered by such spectra. As a final comment, the methods of  
 221 Refs. [60,62,78] are clearly much easier to apply since they involve running the same ion beam, while  
 222 only varying the stripping conditions, rather than measuring the target K x-rays for H-like, He-like  
 223 and Li-like ions separately to obtain the fraction as used in Ref. [59].

#### 224 4.2. $1s^22s2p^3P$

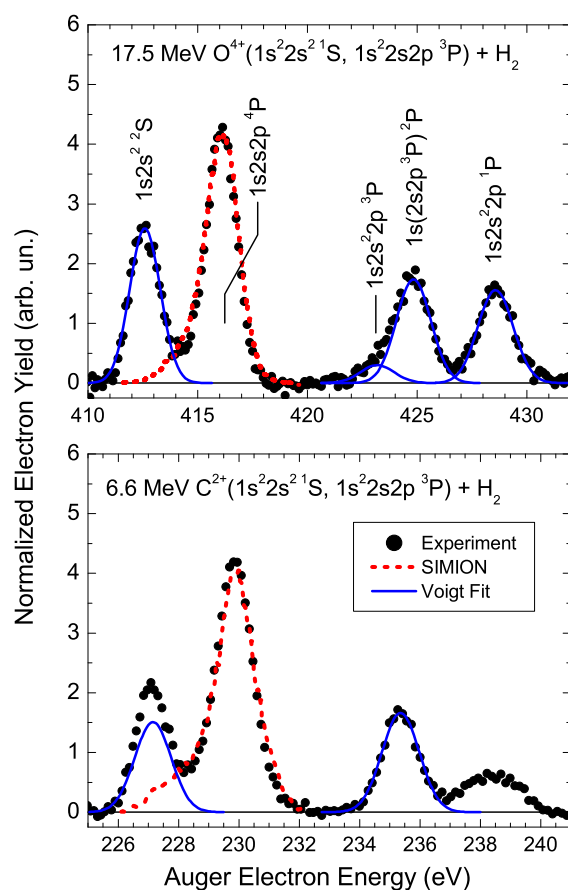
Be-like ions produced in TANDEM accelerators are delivered in the ground  $1s^22s^2\ ^1S$  and the metastable  $1s^22s2p\ ^3P_J$  states. The metastable lifetimes are in the  $\mu s$  to s range depending on atomic number  $Z_p$  and angular momentum  $J$  [79–81]. During collisions with  $H_2$  targets, the needle ionization of the  $1s$  electron [82] of the  $1s^22s2p\ ^3P$  state results in the production of the  $1s2s2p$  configuration. In the LS coupling scheme the  $2s$  and  $2p$  electrons interact strongly as parts of the same shell and are negligibly affected by the K-shell configuration. Thus, even after the  $1s$  ionization, the L-shell electrons should maintain their  $^3P$  coupling. In this spirit, the only viable states are the  $1s2s2p\ ^4P$  and the  $1s(2s2p\ ^3P)\ ^2P$ . This is very similar to what also occurs in photo-ionization of Be-like ions [81,83–86]. Similarly,  $1s$  ionization of the  $1s^22s^2\ ^1S$  ground state results in the Li-like  $1s2s^2\ ^2S$  intermediate state. Since the  $1s$  needle ionization process is not expected to depend strongly on the L-shell configuration, the K-vacancy production cross sections from the ground state and the metastable state can be expected to be equal, i.e.  $\sigma_{1s}(1s^22s^2) = \sigma_{1s}(1s^22s2p\ ^3P)$  as also assumed by Lee *et al* [15]. In addition, the production population statistics of the  $^4P$  and  $^2P_-$  states should result in the ratios  $\sigma(^4P) : \sigma(^2P_-) = 2 : 1$ , as is obvious from the multiplicity of the states. Consequently, the following ratios of the production cross sections should be valid, i.e.  $\sigma(^2S) : \sigma(^4P) : \sigma(^2P_-) = 3 : 2 : 1$ . Then the metastable fraction  $f_{3p}$  is obtained as [87]:

$$f_{3p} \equiv \left[ 1 + \frac{Z(^2S)}{Z(^4P) + Z(^2P_-)} \right]^{-1} = \left[ 1 + \frac{1}{3} \frac{Z(^2S)}{Z(^2P_-)} \right]^{-1}, \quad (2)$$

225 where  $Z$  denotes the normalized electron yields of the corresponding state in the Auger spectrum.

226 In Figure 3, we reproduce high resolution electron spectra obtained in collisions of 17.5 MeV  $O^{4+}$   
 227 and 6.6 MeV  $C^{2+}$  with  $H_2$  targets, initially reported in Ref. [87]. The measurements were performed  
 228 with our ZAPS apparatus located at the tandem accelerator facility of “Demokritos”. As can be seen,  
 229 the  $^4P$  peak has an asymmetry towards the lower energy wing. This is due to the metastability of  
 230 the state that results in its decay all the way from the gas cell to the entry of the spectrometer. This  
 231 feature strongly affects the detection solid angle as compared to a prompt state that decays inside  
 232 the gas cell. We have studied in detail this behavior and results have been reported in the literature  
 233 [88,89]. In Figure 3, the reproduction of the asymmetry in Monte Carlo type simulations, using the  
 234 ion-optics package SIMION 8.1, is presented. Moreover, the small asymmetry in the peak near 425 eV,  
 235 evident in the oxygen spectrum, is due to the additional low-intensity  $1s2s^22p\ ^3P$  Auger line. This  
 236 state can be formed from the  $1s^22s^2\ ^1S$  ground state via  $1s \rightarrow 2p$  excitation and decays promptly to  
 237 the  $1s^22p$  final state [15]. The line spectra were fitted with constant width Voigt profiles, except from  
 238 the  $^4P$  peak, and the metastable fractions were determined. In the case of carbon, a contribution of  
 239 17% for the  $1s2s^22p\ ^3P$  line was assumed, as obtained in the oxygen case. Thus, the metastable fraction  
 240 values of  $70 \pm 5\%$  and  $67 \pm 5\%$  were obtained, for the case of carbon and oxygen, respectively. It is worth  
 241 mentioning that these large fractions for the metastable  $1s^22s2p\ ^3P$  beam component, typically larger  
 242 than the ground state component for these low  $Z_p$  ions, clearly facilitates studies involving this state.





**Figure 3.** Auger KLL spectra obtained in collisions of 17.5 MeV  $O^{4+}$  and 6.6 MeV  $C^{2+}$  with  $H_2$  targets (from Ref. [87]). SIMION simulations of the  $^4P$  line distributions are shown by the short dash line in excellent agreement with the measurements. The solid lines correspond to Voigt profile least square fits of the Auger lines.

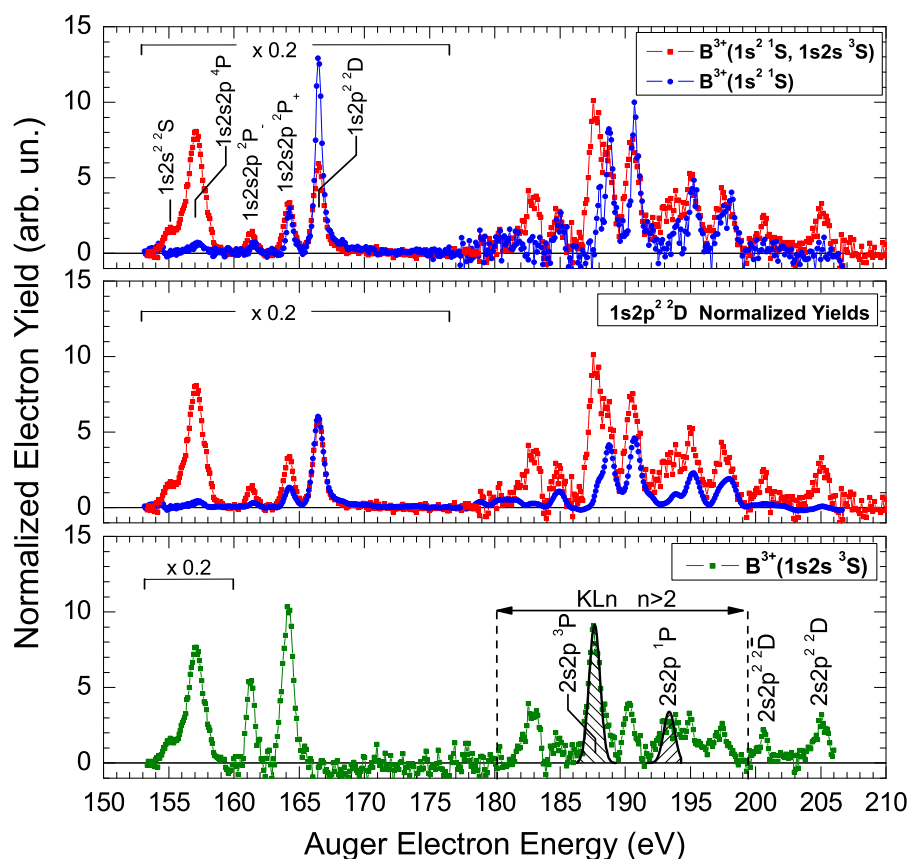
## 243 5. Case Studies: Results and Discussion

### 244 5.1. Doubly and triply excited Li-like states

245 In Figure 4 (top), we present measurements of the complete Li-like Auger spectrum obtained in  
 246 collisions of 4 MeV  $B^{3+}$  with  $H_2$  targets. As shown, the same electron spectrum was obtained in two  
 247 independent measurements at the same collision energy, but using mixed-state beams having quite  
 248 different  $1s2s\ ^3S$  metastable fractions. The high fraction spectrum was obtained with FPS, while the  
 249 low fraction with GTS, in order to maximize their difference. Judging by the very small contribution of  
 250 the  $^4P$  peak in the low fraction spectrum, it can safely be considered negligible compared to the high  
 251 value fraction. Thus, the two measurements correspond to the spectra of  $B^{3+}(1s^2\ ^1S, 1s2s\ ^3S)$  mixed  
 252 and  $B^{3+}(1s^2\ ^1S)$  pure ground states, respectively. Such fraction-controlled measurements provide the  
 253 condition for a clear separation of the contribution of the metastable component. The only necessary  
 254 requirement is to normalize the spectrum of the ground state to that of the mixed-state with respect to  
 255 a peak that is unambiguously formed by the ground state alone. In our case, this peak is the  $1s2p^2\ ^2D$   
 256 which is primarily populated by the ground state through the process of transfer and excitation. Then,  
 257 the Auger spectrum of the pure  $1s2s\ ^3S$  metastable state is obtained by a simple subtraction of the  
 258 two spectra. Application of this approach can be found in Ref. [56]. We should mention though that  
 259 the applicability of the method also highly relies on the efficient detection of the long-lived  $1s2s2p\ ^4P$   
 260 state, particularly in the low fraction case. Poor efficiency due to the geometry of the experiment may  
 261 erroneously give the impression of an almost pure ground state beam, while in fact most of the  $^4P$  is

just not detected, as it might mostly decay after the analyzer [88]. For our ZAPS setup, with its efficient two-dimensional position sensitive detector and the judicious positioning of the spectrograph with respect to the target, the detection efficiency is large enough to avoid such difficulties.

Here, we followed this method for the spectra shown in Figure 4 (top). In more detail, due to the different energy resolution of the two spectra, due to beam straggling for the FPS mixed-state spectrum, we first convoluted the ground state spectrum with the slightly larger energy resolution width of the mixed-state spectrum, and then normalized the two spectra with respect to the  $1s2p^2\ ^2D$  peak, as shown in Figure 4 (middle). Finally, after subtracting the two normalized spectra, the resulting spectrum corresponding to the  $1s2s\ ^3S$  metastable state is obtained. The result is shown in Figure 4 (bottom).



**Figure 4.** (Top) Li-like Auger spectra obtained in collisions of 4 MeV  $B^{3+}$  with  $H_2$  targets. The red squares correspond to the mixed-state ( $1s^2\ ^1S, 1s2s\ ^3S$ ) beam, while the blue dots to the almost pure ground state  $1s^2\ ^1S$ , as evident by the very small contribution of the  $^4P$  peak. The high fraction spectrum was obtained with FPS, while the low fraction with GTS. (Middle) Same as in the top graph, but here the ground state spectrum was convoluted with the slightly larger energy resolution of the mixed-state spectrum and then normalized to the  $1s2p^2\ ^2D$  line. (Bottom) Li-like Auger spectrum corresponding just to the  $1s2s\ ^3S$  metastable state. The spectrum resulted from the subtraction of the two normalized spectra of the middle graph.

The first important result of this approach is the direct separation of the two beam component contributions. The  $1s2s\ ^3S$  contributions are seen to be absent in the pure ground state spectrum and can be identified even by the naked eye when examining the two spectra in comparison. Indeed, aside from the long-lived  $1s2s2p\ ^4P$  state, the triply excited  $2s2p^2\ ^2D$  state is clearly evident in the energy region between 200 and 207 eV. This state is populated predominantly by RTE and it Auger decays either back to the  $1s2s\ ^3S$  state or to the  $1s2s\ ^1S$  and  $1s2p\ ^3P$  states (these last two are separated by less than 20 meV [32] and cannot be resolved), thus resulting in the two observed lines noted as

278  $2s2p^2D$  and  $2s2p^2\bar{D}$ , respectively. These triply excited states, cannot be straightforwardly populated  
 279 by photo-ionization, thus they were studied by our group in ion-atom collisions for isoelectronic  
 280 projectiles with atomic number  $5 \leq Z_p \leq 9$ . This investigation also resulted in some of the first tests  
 281 of R-matrix calculations for open shells, eventually bringing them into good agreement [32,90,91].  
 282 Another clearly separated Auger line is the He-like hollow state  $2s2p^3P$ , the formation of which is  
 283 discussed in section 5.2 below.

284 The second and possibly even more important result is the separation of the contributions of  
 285 states that can be populated from both ground and metastable components. These states appear in  
 286 both the ground and the mixed-state spectra preventing a straightforward determination of their  
 287 production cross sections in a single measurement involving only mixed-state beams. However, in our  
 288 dual measurement approach, the two contributions can be separated and production cross sections for  
 289 the  $1s2s^3S$  metastable state and the  $1s^21S$  ground state, can be safely obtained. In our spectra shown in  
 290 Figure 4, these states include the Auger KLL lines  $1s2s^22S$ ,  $1s2s2p^2P_+$  and  $1s2s2p^2P_-$  states, as well as  
 291 all the higher-lying  $KLn$  states with  $n \geq 3$  mostly of the type  $1s2s(^3S)nl^2L$ .

292 Moreover, such studies can also provide important information about secondary processes.  
 293 For example, a still open issue is the significance of the process of the selective cascade feeding of  
 294 the  $1s2s2p^4P$  state from higher lying  $1s2s2l^4L$  quartet states populated by single electron capture  
 295 in collisions of mixed-state He-like ions with gas targets. It is argued that since the population of  
 296  $1s2snl^2L$  ( $n > 2$ ) doublet states is evident in the pure  $1s2s^3S$  spectrum of Figure 2, the population of the  
 297 corresponding  $1s2snl^4L$  ( $n > 2$ ) quartet states should also be considered a strong possibility. However,  
 298 these quartet states cannot be seen [23] in the above Auger spectra since they have very small Auger  
 299 rates and therefore preferentially radiatively decay to lower-lying quartet states via much stronger E1  
 300 transitions [92], ending on the  $1s2s2l^4P$  state, thus enhancing its yield. Our group is currently actively  
 301 investigating, both experimentally and theoretically, these processes [57,78,93]. In addition, we have  
 302 also developed a new version of the two measurement technique in which we can now separate the  
 303 contributions of the ground and metastable components, even when no pure ground state is available  
 304 [57]. This new technique, similarly involves two independent measurements at the same collision  
 305 energy using mixed beams with different  $1s2s^3S$  metastable fractions. However, subtraction of the two  
 306 spectra is not viable, but rather extraction of the single differential production cross section of the Li-like  
 307 doubly excited states is obtained for both the ground and metastable components simultaneously.  
 308 Details are given in Ref. [57].

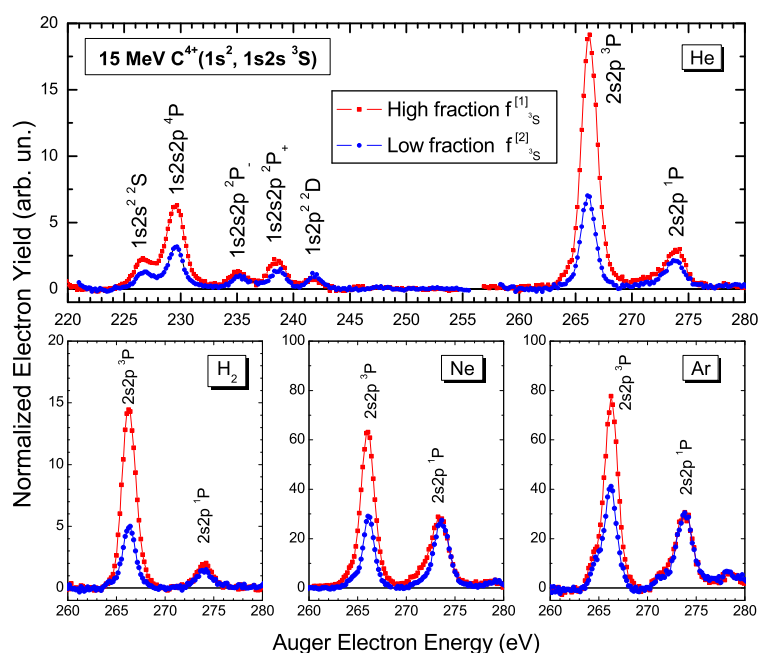
### 309 5.2. Doubly excited He-like states

310 In Figure 5 (top), we present measurements of the complete Li-like Auger spectrum obtained in  
 311 collisions of 15 MeV  $C^{4+}$  with He targets. The conditions are similar to the data shown in Figure 4, i.e.  
 312 the electron spectra were obtained in two independent measurements at the same collision energy,  
 313 but using mixed beams having different  $1s2s^3S$  metastable fractions. The high fraction spectrum was  
 314 obtained after stripping the incident  $C^-$  beam inside the terminal with thin carbon foils (foil terminal  
 315 stripping - FTS), while the low fraction with GTS. We chose this collision energy as the He-like doubly  
 316 excited states  $2s2p^{1,3}P$ , of interest here, are also quite pronounced. However, at these high collision  
 317 energies the delivered beams result only in mixed-state beams, thus preventing the use of a pure  
 318 ground state as done in the previous section. The accompanied Auger KLL spectra show the expected  
 319 behavior, i.e. that the yields for the  $^2S$ ,  $^4P$ ,  $^2P_-$  and  $^2P_+$  states, arising primarily from the metastable  
 320 component are reduced for the low fraction condition, as opposed to that for the  $^2D$  state, arising  
 321 mostly from the ground state, where the yield is increased.

322 The above fraction-controlled measurements provide valuable information about the processes  
 323 involved in the production of the doubly excited  $2s2p^{1,3}P$  states. Indeed, as can be seen in Figure 5 (top),  
 324 the relatively small reduction of the metastable percentage results in a large reduction in the yield of  
 325 the  $2s2p^3P$  state, while the yield of the accompanied  $2s2p^1P$  state remains almost unaffected. This  
 326 behavior implies that the  $2s2p^3P$  state is populated primarily by the  $1s2s^3S$  metastable state. Indeed,

327 the  $2s2p^3P$  state can be straightforwardly formed by  $1s \rightarrow 2p$  single electron excitation, a process of  
 328 large cross section due to its dipole character. On the other hand formation from the  $1s^2^1S$  ground  
 329 state would require higher order processes such as  $1s \rightarrow 2p$  excitation with spin flip and transfer loss  
 330 ( $2p$  transfer,  $1s$  loss) which are much less probable at these collision energies compared to the direct  
 331  $1s \rightarrow 2p$  excitation.

332 At this point we should also consider possible contributions from the  $1s2s^1S$  state, so far not  
 333 discussed and assumed to be negligible. Even though its fraction is in general very small ( $< 5\%$ )  
 334 and does not seem to appreciably contribute to the doubly excited KLL states, its contribution to  
 335 the  $2s2p^{1,3}P$  states should not be neglected. Indeed, the  $2s2p^3P$  could be populated from the  $1s2s^1S$   
 336 state by direct  $1s \rightarrow 2p$  excitation with spin flip, reducing significantly its cross section. Therefore,  
 337 based on the data of Figure 5 (top), we may safely state that the  $2s2p^3P$  state is primarily formed  
 338 from the  $1s2s^3S$  state by  $1s \rightarrow 2p$  single electron excitation. Alternatively, the situation for the  $2s2p^1P$   
 339 state is more complicated. The very small decrease of its Auger yield following the reduction of the  
 340 metastable part of the beam implies that the  $1s2s^3S$  state has just a small contribution. Indeed,  $2s2p^1P$   
 341 can be populated from the  $1s2s^1S$  state by direct  $1s \rightarrow 2p$  excitation, but it may also be populated  
 342 from the  $1s^2^1S$  ground state via the second order processes of double excitation ( $1s \rightarrow 2s, 1s \rightarrow 2p$ )  
 343 and/or transfer loss ( $2p$  transfer,  $1s$  loss). Transfer loss is also viable in the production of the  $1s2s^3S$   
 344 state. These second order processes, although of smaller cross section compared to the direct  $1s \rightarrow 2p$   
 345 excitation, have an increased weight due to the much higher ground state fractions they can arise  
 346 from. Therefore, the formation of the  $2s2p^1P$  state can most likely be attributed largely to the  $1s \rightarrow 2p$   
 347 excitation of the  $1s2s^1S$  state and to a lesser extent to higher order processes involving the  $1s^2^1S$  ground  
 348 and  $1s2s^3S$  metastable states. Atomic orbital close coupling (AOCC) calculations in progress confirm  
 349 these observations.



**Figure 5. (Top)** Li-like Auger spectra obtained in collisions of 15 MeV  $C^{4+}$  with He targets. The red squares correspond to the mixed-state beam with higher value for the  $1s2s^3S$  metastable fraction, while the blue dots to the lower value. The high fraction spectrum was obtained with FTS, while the low fraction with GTS. **(Bottom)** The He-like doubly excited  $2s2p^{1,3}P$  states obtained in collisions with  $H_2$ , Ne and Ar gas targets.

350 In Figure 5 (bottom), we also present measurements of the  $2s2p^{1,3}P$  states in collisions of 15 MeV  
 351  $C^{4+}$  with  $H_2$ , Ne and Ar targets. The  $H_2$  data are quite similar to the He case, as expected for simple

352 targets, and are interpreted accordingly. For the case of the more complicated targets of Ne and Ar, it  
353 is seen that the main population channel for the  $2s2p\ ^3P$  state is still the  $1s2s\ ^3S$  state, as evident by the  
354 large change in its yield following the relatively small reduction of the metastable beam percentage.  
355 The yield of the  $2s2p\ ^1P$  state though seems essentially unaffected, implying that the second order  
356 processes involving the  $1s^2\ ^1S$  ground and  $1s2s\ ^3S$  metastable states are now as significant as the  $1s \rightarrow 2p$   
357 excitation from the  $1s2s\ ^1S$  state.

## 358 6. Summary and Conclusions

359 In this work we examined the use of naturally occurring mixed-state ionic beams in atomic  
360 collision investigations. Our study primarily focused on the He-like  $1s2s\ ^{1,3}S$  and Be-like  $1s^22s2p\ ^3P$   
361 metastable states, typically delivered by TANDEM accelerators in fractions as large as 30% and 70%,  
362 respectively. These metastable states, particularly for low atomic number  $Z_p$  ions, are extremely  
363 long-lived and normally are delivered to the target without significant loss. Zero-degree Auger  
364 projectile spectroscopy was used to obtain state-selective information about the excitation state of  
365 the projectile ions after collisions with gas targets. The metastable beam fractions can be varied since  
366 they depend on stripper density, stripper medium and stripping ion energy. This dependence has led  
367 to a technique for the determination of the metastable fraction and separation of each component's  
368 contribution to the spectrum. The production of mixed-state He-like and Be-like ionic beams, the  
369 lifetimes of their metastable components and the techniques used to determine their fractional  
370 composition were reviewed. Our group has developed such a technique involving two different  
371 measurements under the same conditions, but with different metastable fractions. Corresponding  
372 results from older, as well as new measurements, were presented in detail.

373 Accordingly, we reported on studies involving the production of He- and Li-like states obtained in  
374 collisions of 4 MeV  $B^{3+}$  with  $H_2$  targets. Using mixed and pure ground state beams at the same collision  
375 energy, we showed how to separate the Auger spectrum contributions from just the metastable beam  
376 component. In this way, an Auger electron spectrum corresponding to a pure  $1s2s\ ^3S$  metastable beam  
377 is obtained, where the formation of  $1s2lnl'\ ^2L\ KLn$ , as well as the  $2s2p\ ^{1,3}P$  and  $2s2p^2\ ^2D$  doubly- and  
378 triply-excited states, respectively, are observed and discussed accordingly. In a different application,  
379 using a 15 MeV  $C^{4+}$  mixed-state ion beam in collisions with  $H_2$ , He, Ne and Ar targets, the specific  
380 role of each of the mixed-state beam components is evaluated. The various processes contributing  
381 to the formation of the  $2s2p\ ^{1,3}P$  are then much more clearly identified, facilitating the comparison to  
382 theoretical calculations.

383 To date, while it is not difficult to know whether your ion beam contains metastable states, or  
384 even measure the amount of metastable fraction using one of the methods described here, it has  
385 not yet become feasible to "dial in" the exact amount of metastable fraction you would like to use  
386 in your experiment. However, this is in principle possible once the ion source conditions or the  
387 charge-stripping conditions affecting the metastable fraction have been accurately calibrated. In a  
388 TANDEM, where the exact amount of  $1s2s\ ^3S$  fraction relies on the density of the stripper medium  
389 and the stripping energy this should be possible with the careful control of the stripper density, either  
390 foil thickness or gas pressure. In the upcoming upgrade of the "Demokritos" tandem Van de Graaff  
391 accelerator, a new ion source and an accurate pressure control system to set and monitor the terminal  
392 gas stripper pressure should allow such a calibration. Knowing accurately the specific amount of  
393 metastable content without having to measure it each time would clearly be very useful. While existing  
394 computer codes can accurately predict the ionic charge distributions following stripping [45,48,94], to  
395 our knowledge there are no codes to also explicitly predict the expected amount of metastable fractions.  
396 Clearly, this would also be a useful development. Ongoing theoretical developments on the use of  
397 three [95,96] and possibly even four active electrons in AOCC calculations of transfer and excitation  
398 processes in collisions of He-like ions in the  $1s2s\ ^{1,3}S$  state with He and H targets are presently under  
399 way and should soon provide new and interesting results.

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409 and prepared the manuscript; I.M., A.L. and S.N. participated in the experiments and the data analysis.

410 **Conflicts of Interest:** The authors declare no conflict of interest.

## 411 Abbreviations

412 The following abbreviations are used in this manuscript:

413 APAPES: Atomic Physics with Accelerators: Projectile Electron Spectroscopy  
AOCC: Atomic Orbital Close Coupling  
ZAPS: Zero-degree Auger Projectile Spectroscopy  
TANDEM: The two-stage (tandem) Van de Graaff accelerator  
414 FPS: Foil Post-Stripping  
FTS: Foil Terminal Stripping  
GPS: Gas Post-Stripping  
GTS: Gas Terminal Stripping  
RTE: Resonant Transfer and Excitation

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