

1 *Review*

2 **Biogenesis and function of small non-coding RNAs derived from** 3 **eukaryotic ribosomal RNA**

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18 **Abstract:** The advent of RNA-sequencing (RNA-Seq) technologies has markedly
19 improved our knowledge and expanded the compendium of small non-coding
20 RNAs, most of which derive from the processing of longer RNA precursors. In this
21 review article, we will discuss about the biogenesis and function of small
22 non-coding RNAs derived from eukaryotic ribosomal RNA (rRNA), called rRNA
23 fragments (rRFs), and their potential role(s) as regulator of gene expression. This
24 relatively new class of ncRNAs remained poorly investigated and
25 underappreciated until recently, due mainly to the a priori exclusion of rRNA
26 sequences – because of their overabundance – from RNA-Seq datasets. The
27 situation surrounding rRFs resembles that of microRNAs (miRNAs), which used to
28 be readily discarded from further analyses, for more than five decades, because we
29 could not believe that RNA of such a short length could bear biological
30 significance. As if we had not yet learned our lesson not to restrain our
31 investigative, scientific mind from challenging widely accepted beliefs or dogmas,
32 and from looking for the hidden treasures in the most unexpected places.

33 **Keywords:** biogenesis; microRNAs; ribosomal RNA-derived fragment (rRF);
34 ribosomes; small ribosomal RNA (srRNA); ribosomal DNA (rDNA); small RNAs.

35

36 **1. Introduction**

37 The ribosomes are ribonucleoprotein (RNP) complex assemblies required for the
38 translation of all proteins¹⁻². Each ribosome is composed of ribosomal RNA (rRNA),
39 constituting its functional core, and ribosomal proteins. In eukaryotes, ribosomes
40 consist of four rRNAs and approximately 80 ribosomal proteins arranged into two
41 subunits: 60S and 40S³. During the ribosome biogenesis process, eukaryotic rRNAs
42 are usually synthesized by the RNA polymerase I (RNA Pol-I) in the nucleolus,
43 giving rise to a single rRNA precursor: the 45S rRNA⁴. This long primary transcript
44 contains several different rRNAs separated by spacer regions, known as internal
45 transcribed spacers (ITS; ITS1 and ITS2). Indeed, three of the four mature rRNAs
46 (18S, 5.8S and 28S rRNAs) originate from this common 45S precursor, while the 5S
47 rRNA is synthesized by RNA polymerase III (RNA pol-III)⁵⁻⁶.

48 Mammalian rDNA genes code for rRNAs that are generally comprised of
49 several hundreds of transcription units organized in tandem repeats and clustered
50 on a number of chromosomal loci⁷⁻⁹. For example, in humans, there are
51 approximately 300–400 rDNA repeats in five clusters on chromosomes 13, 14, 15,
52 21 and 22⁸, potentially explaining the rRNA sequence variability. Although the
53 rRNA sequence of the 18S, 5.8S and the 28S may contain variations, consensus
54 motives can be found¹⁰⁻¹¹. The rRNA secondary structure is also extremely well
55 conserved in eukaryotes, thanks to a strong selection pressure¹²⁻¹³. Being the main
56 component of ribosomes and acting at the core of their function¹⁴, rRNA expression
57 is finely controlled, from the regulation of transcription to their biogenesis^{7, 15-17}.
58 Other processes involved in the rRNA maturation can also be regulated, such as
59 biochemical modifications of their RNA sequence¹⁸⁻²⁰ or incorporation into the
60 ribosomal complex^{15, 21}.

61 Nevertheless, it has been shown that distinct ribosomal genes (rDNA) are
62 expressed at different stages of development, leading to the incorporation of
63 alternative forms of rRNA with heterogeneous sequences into ribosomes²²⁻²³. It is
64 admitted that embryogenesis is a tightly regulated process, in which the control of
65 expression of specific proteins, at key embryonic stages, is important²⁴. Thus, the
66 heterogeneity found in ribosomes may allow this regulation by favoring
67 translation of specific sets of mRNAs into proteins. Both the type of proteins
68 present in the RNP complex^{3, 25-28} and the rRNAs may contribute to this regulation,
69 as in some alternative pathways during the eukaryotic rRNA maturations^{4, 21, 29}. In
70 addition, splicing of some rRNA transcripts may be decoupled and lead to the
71 production of new rRNA intermediates (e.g. 43S and 26S)³⁰. Furthermore, the first
72 rRNA variants described are at the 5' end of the 5.8S rRNA³¹. Thus, two forms of
73 5.8S rRNA exist: the 5.8S short (5.8SS) and the 5.8S long (5.8SL), which differ in size
74 by an extension in the 5' end of 7 or 8 nucleotides (nt)^{15, 28, 32}. Accordingly, similarly
75 to ribosomal proteins, some of these rRNA variants may play a role in ribosome
76 heterogeneity^{20, 28, 33}.

77 Since the discovery of the first small silencing RNA in 1993³⁴, a noteworthy
78 number of small RNA classes has been discovered, including microRNAs
79 (miRNAs)³⁵, small interfering RNAs (siRNAs)³⁶⁻³⁷ and Piwi-associated small RNAs
80 (piRNAs)³⁸⁻³⁹, all of which exerting important roles in various biological processes⁴⁰.
81 More recently, additional new classes of small non-coding RNAs have been
82 discovered in the wake of the next-generation sequencing (NGS) revolution⁴¹,
83 which markedly expanded our knowledge of small RNAs. For instance, a class of
84 small RNAs originating from small nucleolar RNAs (snoRNAs) has been identified
85 to function like miRNAs⁴². Such studies have fueled interest in small RNAs that
86 could derive from other non-coding RNAs, such as transfer RNA (tRNA)⁴³⁻⁴⁶,
87 snoRNA^{42, 47-48}, and rRNA⁴⁹. The importance of ncRNAs in cellular regulatory
88 mechanisms, especially during ribosome biogenesis, and their contribution to
89 ribosome heterogeneity, both compositional and functional,²⁰ raised a particular
90 interest in this field of study.

91 These studies range from the small RNAs like the snoRNA¹⁸ (HBII-95, HBII-234,
92 etc.) which contribute to the rRNA maturation, notably by induce biochemical
93 changes on the rRNA sequence; to the large RNAs like the nucleolar-specific
94 lncRNA (LoNA), which can suppress rRNA transcription and reduce rRNA
95 methylation⁵⁰. These two examples illustrate how by changing rRNA methylation
96 level ncRNAs can modulate ribosome biogenesis and contribute to the ribosome
97 heterogeneity by acting in specific environments (localization and times)⁵¹⁻⁵².

98 During eukaryotic evolution, ribosomes have considerably increased in size,
99 forming a surface-exposed ribosomal RNA (rRNA) shell of unknown function⁵³.
100 This surface may be an interface for interacting proteins, as suggested by the
101 identification of hundreds of ribosome-associated proteins (RAPs) from categories
102 including metabolism and cell cycle, as well as RNA- and protein-modifying
103 enzymes that functionally diversify mammalian ribosomes²⁷. rRNA sequences may
104 also be modified, as the presence of ufmylation suggests²⁷, or be cleaved to form
105 new functional small ncRNA species. Therefore, the interplay between RAPs,
106 biochemical changes and generation of new small ncRNAs may provide an
107 additional layer of regulation and govern one of life's most ancient molecular
108 machines involved in protein expression^{52, 54}.

109 rRNAs (~80%), mRNAs (~5%) and tRNAs (~15%) are the most abundant RNA
110 molecules found in mammalian cells. Despite their relatively high enrichment and
111 potential function, the small ribosomal RNA-derived fragments (rRFs) are usually
112 removed as a by-product of RNA degradation from RNA-sequencing (RNA-seq) or
113 small RNA-seq analyses^{55,56}. Similarly, the full-length rDNA sequences are not
114 included in human and mouse genome assembly, which represents an important
115 gap in genome information⁹.

116 Nevertheless, over the past few years, scientists have begun investigating the
117 existence, role and function of specific small rRFs, which will be the topic of this

118 review article. Whereas rRNA plays a role in ribosome heterogeneity, rRFs may be
119 involved in the control of translation, albeit not excluding other important
120 biological functions. Here, we will discuss about the discovery, biogenesis,
121 protein-binding capacity and function of small ncRNAs derived from rRNA.

122 **2. Small RNA derived from ribosomal RNA**

123 *2.1. Fragments of the 28S ribosomal RNA*

124 2.1.1. Discovery: cleavage, localization and expression pattern

125 The 28S rRNA is the longest rRNA and forms the large subunit (LSU) of
126 eukaryotic cytoplasmic ribosomes⁵⁷. The mature 28S rRNA is generated from the
127 45S rRNA upon cleavage into 32S pre-rRNA, which finally matures into the 28S
128 rRNA after endonucleolytic and exonucleolytic processing³⁰. Mature 28S rRNA
129 may also be produced through the endonucleolytic processing of the 41S rRNA to
130 a 36S intermediate⁵⁸. Since they are mediated by endo- and exonucleases, which are
131 often implicated in the generation of small ncRNAs, these parallel processing
132 pathways might lead to the generation of functional and biologically relevant small
133 rRNA-derived ncRNAs.

134 Wei et al.⁴⁹ have shown that up to 64.0 to 70.0% of rRFs were distributed to the
135 human rDNA region encoding the 28S rRNA, as compared to 16.1–22.4% and 4.5–
136 7.0% for the 18S and 5.8S, respectively. Therefore, the majority of rRFs mapped to
137 the 28S rRNA, which is consistent with its larger size, suggesting that rRFs may be
138 produced during nonspecific rRNA degradation. In this case, one would expect the
139 rRF sequences to be randomly distributed along the 28S rRNA. So, when Chen and
140 collaborators found that rRFs were significantly enriched at the 5' and 3' ends of
141 the 28S rRNA gene, in the *Amblyomma testudinarium* model and human cell lines⁵⁹,
142 the hypothesis that rRFs were generated by a specific endonucleolytic cleavage
143 process, rather than a random exonucleolytic digestion⁵⁹, gained more credibility.
144 The rRF3 series were significantly more highly expressed than the rRF5 series in
145 this study. Moreover, they demonstrated the biological significance of one specific
146 rRF3⁵⁹.

147 The 28S rRNA may also be the subject of atypical processing events, and give
148 rise to known classes of small ncRNAs. In 2013, a study revealed that a number of
149 non-canonical miRNAs mapped to ribosomal RNA molecules, with 1% of
150 annotated miRNAs mapping to mature rRNA sequences. Whereas miR-2182
151 originates from the 45S rRNA precursor, miR-5102, miR-5105, miR-5109 and
152 miR-5115 are produced from the 28S rRNA³⁵. In mice, a total of 10 miRNAs are
153 rRFs, and 62 rRFs perfectly match piRNA sequences, including piR-16, piR-38,
154 piR-170 and piR-171 (Figures 1 and 2)⁶⁰. Therefore, these findings – including the
155 overlap of rRFs with miRNAs and piRNAs – support the idea that rRFs are not
156 generated from random degradation of rRNAs.

157 The first small ncRNA species known to derive from the 28S rRNA was
158 discovered in the filamentous fungus *Neurospora crassa* in 2009⁶¹. Assigned to the
159 siRNA family, they are now known as qiRNAs (QDE-2-interacting small RNAs).
160 QDE-1 and QDE-3 proteins, together with OsRecQ1 and OsRDR1, are required and
161 play critical roles in qiRNA biogenesis⁶¹⁻⁶². qiRNAs have been shown to mediate
162 gene silencing in the DNA damage response (DDR) pathway, and are induced by
163 DNA-damaging agents [ethyl methanesulphonate (EMS and UV-C) (Figure 1).
164 qiRNA expression has been reported to be affected in diabetes⁴⁹, where unique and
165 redundant reads of rRFs peaked at different sizes for normal samples compared to
166 the diabetic ones⁴⁹.

167 In plants, other rRFs, called phased small interfering RNAs (phasiRNAs), have
168 been discovered (Figure 2)³⁶. They are normally regulated by miRNAs³⁶. The study
169 reporting their existence revealed that some LSU-rRNAs (28 and 5.8S) could also
170 generate phasiRNAs, suggesting that some rRNAs may be processed through the
171 PHAS siRNA biogenesis pathway³⁶.

172 Finally, the longest rRF originating from the 28S rRNA, as reported among
173 other rRFs in an RNA-seq study of *Zebrafish* development, measures 80 nt²²⁻²³. This
174 80-nt rRF, known as rRF3, maps to the 3' end of the 28S rRNA sequence. Notably,
175 rRF3 is relatively more abundant in the egg and adult tissue, compared to other
176 embryonic stages²²⁻²³ and differ in 5 nucleotides. As part of 28S rRNA which can
177 form a stem-loop structure. Thus, this rRF3 can reverse-complement bind to the 3'
178 end of another complete 28S rRNA molecule. In this context, rRF3 may provide a
179 protective hairpin, which could be part of a feedback loop for 28S rRNA
180 degradation.

181 2.1.2. Sequence, length and structure

182 Previously described qiRNAs are approximately 20–21 nt in length and form a
183 hook structure⁶¹. For phasiRNAs, 50% of the 21-nt PHAS loci are in rRNA or
184 repeats, and five are annotated as LSU-rRNA (Figure 1)³⁶. In Wei et al. (2013), the
185 RNA-seq data analysis from human samples revealed that the most abundant rRF
186 was 21-nt long⁴⁹. Thus, in most of the models and studies, the length of most rRFs
187 is around 20-21 nt, a size comparable to miRNAs and other classes of small RNAs
188 (e.g. piRNA, qiRNA, siRNA, tFR).

189 The 28S rRNA sequence giving rise to rRF3 is involved in a stem-loop structure;
190 a small rRF3 ncRNA can thus reverse-complementary bind to the 3' end of another
191 complete 28S rRNA molecule²². This mode of recognition may regulate the stability
192 and expression of the 28S rRNA, or favor the formation of RNA duplexes that are
193 more susceptible to cleavage by endonucleases (e.g. Dicer), along a process that
194 produces new small rRNA-derived ncRNAs.

195 Finally, the two rRFs (maternal and somatic) reported by Locati and al.²³ exhibit
196 major differences in terms of primary sequence and secondary structures,
197 suggesting that they may be processed differently, associate to different ribosomal
198 proteins and base pair with different mRNAs. As explained above, this could be
199 part of the mechanisms underlying ribosomal heterogeneity and differential
200 translation regulation.

201 2.1.3. Function and protein binding

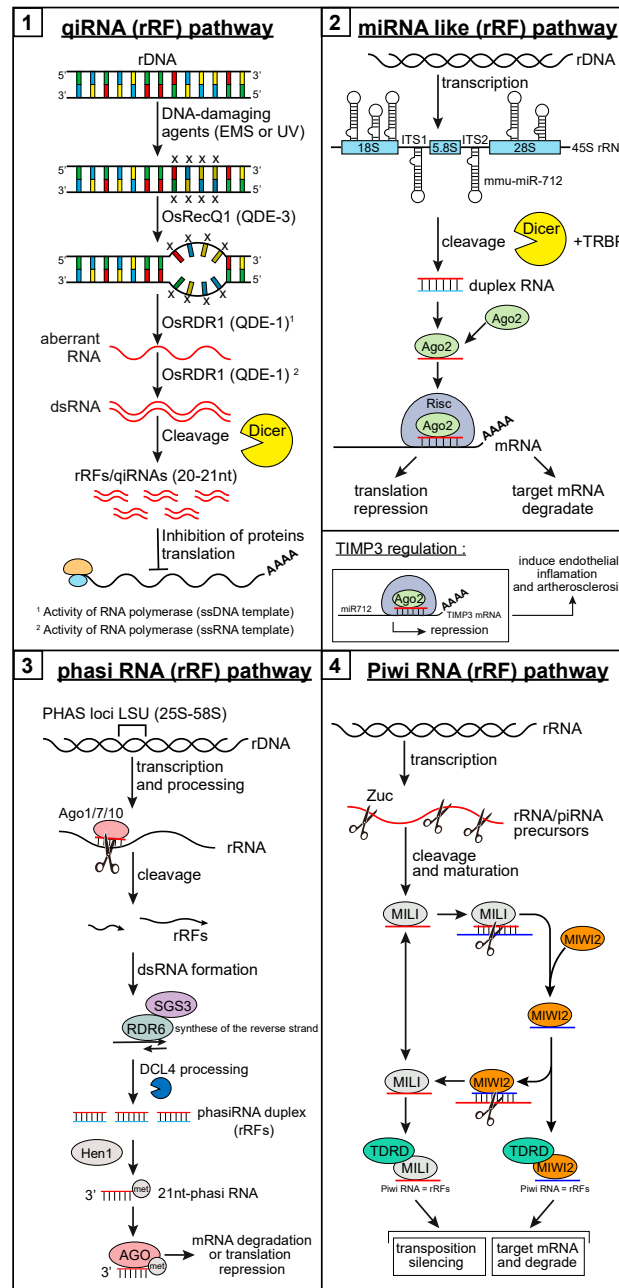
202 shRNA-induced depletion of the 28S rRF3, in the H1299 cell line, significantly
203 increased cell apoptosis and inhibited cell proliferation⁵⁹. Moreover, rRF3 depletion
204 resulted in a significant decrease of H1299 cells in the G2 phase of the cell cycle.
205 Although the mechanisms involved in rRF5 and rRF3 biogenesis remain unclear,
206 these results support the functionality of rRFs⁵⁹.

207 qiRNAs have been shown to be required for the DDR and repair pathway in
208 rice⁶¹⁻⁶². In another study, qiRNAs from 28S rRNA were very closely related to
209 piRNAs, and potentially work as small guide RNAs (Figure 1)⁶³. The differential
210 expression of the qiRNAs in diabetes samples suggests their possible involvement
211 in the pathophysiology of the disease. Wei et al.⁴⁹ have shown that overexpression
212 of these particular rRFs could impact expression of the key gluconeogenic enzyme
213 genes PEPCCK and G6Pase, by modulation of their promoter activity and also that
214 of PPAR gamma, which regulates lipid and glucose metabolism. Furthermore, the
215 authors described a negative effect of these rRFs on intracellular ATP level, which
216 is also downregulated in patients with type 2 diabetes. These results suggest that
217 rRFs may participate to a biological processes related to metabolic diseases⁴⁹. An
218 involvement of these rRFs in multiples pathways as p53 signaling pathway or other
219 pathways involved in PUMA transcriptional activation have been shown.
220 Moreover, they detected an effect of rRFs on ERK pathway including the
221 phosphorylation of ERK1/2, p90RSK, Elk-1 and p70S6K. ERK pathway plays an
222 important role in the transmission of cellular proliferation and developmental
223 signals, then rRFs seems to modulate in a broad range of biological processes and
224 signaling pathways.

225 Studies involving Argonaute (Ago) protein immunoprecipitation, followed by
226 high-throughput sequencing, on various species, including *Arabidopsis*, *Drosophila*
227 models and human cell lines, revealed that rRFs co-immunoprecipitated with Ago1
228 and Ago2⁶⁴⁻⁶⁷. The size distribution of the rRFs bound to Ago proteins were mainly
229 around 20–22 nt, suggesting that rRFs may be part of, and mediate their function
230 via, Ago complexes⁴⁹, just like miRNAs. Notably the rRF expression profile and
231 distribution patterns seemed to be tissue specific⁴⁹, suggesting that Ago•rRF
232 complexes may be cell- or tissue-specific.

233 Also similar to miRNAs, phasiRNAs encoded by PHAS play important
234 regulatory roles by targeting protein coding transcripts in plant species³⁶.

235 Generally, phasiRNA could be associated with the AGO proteins, to repress the
236 translation or contribute to the mRNA target degradation. Like miRNAs,
237 phasiRNAs serve as guide to recognize the target RNA (Figure 1). In this way,
238 another 28S rRFs co-immunoprecipitated with tRNase ZL in human kidney 293
239 cells and could work as small guide RNAs (sgRNAs) for tRNase ZL in vivo as well
240 as in vitro⁶³. The existence of small RNAs derived from 28S rRNA with functional
241 properties has been demonstrated in several studies, as discussed above. The small
242 rRF ncRNAs have been raising significant interest among the scientific community,
243 mainly because of the potentially high abundance of these small RNAs
244 (comparable to that of their rRNA precursors) as well as their possible involvement
245 in gene regulatory mechanisms. New studies have demonstrated the presence of a
246 diverse array of RAPs on ribosomes^{52, 68} that may be capable of generating other
247 rRFs and, as the example of phasiRNA³⁶, using rRFs into a RNP complex of
248 regulation.



249

250 **Figure 1. The biogenesis and function of rRFs.** (1) QiRNA/rRF pathway
 251 discovered in fungi (*Neurospora crassa*)⁶¹, and recently found in plants, flies
 252 and mammals^{64, 69-71}. These rRFs originate from rDNA after DNA damage,
 253 which is detected by OsRecQ1 (RecQ DNA helicase homologue/QDE-3). This
 254 leads to recruitment of OsRDR1 (RNA-dependent RNA polymerase [RdRp]
 255 homologue/QDE-1) at the ssDNA site, production of aberrant RNA (aRNA)
 256 from ssDNA and conversion of the aRNA into double-stranded RNA
 257 (dsRNA) via its RdRp activity. The dsRNA substrate is processed by Dicer
 258 into qiRNA rRFs, which then serves as guide RNA to repress mRNA
 259 translation. (2) Native rRNAs harbor miRNA sequences, which may be
 260 generated under specific conditions (e.g., stress). These miRNAs may be
 261 located in ITS1, as hsa-miRNA-663 in humans⁶⁰, or in ITS2, as

262 mmu-miRNA-712 in mice⁷². In *Opium poppy*, two and three miRNAs are
263 present in the 18S and 28S rRNAs, respectively⁷³. These miRNAs/rRFs follow
264 the non-canonical miRNA pathway and repress translation of its mRNA
265 targets. For example, in mice, tissue inhibitor of metalloproteinase 3 (TIMP3)
266 mRNA is repressed by miR-712. TIMP3 being an inhibitor of MMP2/9
267 (matrix metalloproteinase-2/9) and of ADAM 10/12 (disintegrin and
268 metalloproteinase 10/12) expression⁷², its repression induces endothelial
269 inflammation and atherosclerosis. (3) In the phasiRNA/rRF pathway, the
270 large subunit (LSU) loci of rDNA are transcribed into phasiRNA precursors
271 (pre-phasiRNAs). A miRNA incorporated into AGO1 (or 7 or 10) effector
272 complexes guides endonucleolytic cleavage of the pre-phasiRNA⁷⁴,
273 generating two rRFs, one of which acts as an RDR6 template, leading to the
274 production of dsRNA. DCL4 processes the dsRNA, and produces
275 phasiRNAs that are methylated (Met) by HEN1⁷⁵. Once incorporated into
276 AGO1-loaded RISC, phasiRNAs/rRFs (21 nt) guide cleavage of homologous
277 mRNAs⁷⁶, illustrating the importance and biological significance of rRFs. (4)
278 In the PIWI-piRNA/rRF pathway, some piRNA/rRF precursors are produced
279 from rDNA. In the primary processing pathway, piRNA precursor are
280 transcribed, exported to the cytoplasm, processed by Zuc and methylated by
281 the methyltransferase Hen1⁷⁷. The resulting mature piRNAs are selected and
282 loaded onto MILI protein (in mouse, PIWI or AUB in *Drosophila*), which can
283 enter the secondary processing pathway (the ping-pong cycle).
284 MILI-piRNA/rRF complexes mediate cleavage of piRNA precursors and
285 transposon (and protein-coding) transcripts, which silences transposon and
286 gene expression at the post-transcriptional level⁷⁸. These cleavage products
287 are then loaded onto MIWI proteins (in mouse, Ago3 in *Drosophila*), which
288 share functional features with MILI-piRNA/rRF complexes. The piRNA
289 biogenesis pathways are well conserved across species, such as *C. elegans*,
290 fish and mouse. MILI/PIWI-piRNA complexes are involved in translational
291 regulation by interacting with polysomes⁷⁹, mRNA cap-binding complex
292 (CBC, in mice), and mRNA deadenylase (DeA, in *Drosophila*)⁸⁰. MILI/PIWI
293 proteins and piRNAs regulate the expression of genes and transposons at
294 both transcriptional and post-transcriptional levels. EMS, ethyl
295 methanesulfate; UV, ultraviolet.

296 2.2. Fragments of the 18S ribosomal RNA

297 The biogenesis pathway leading to the formation of the 18S rRNA is different
298 from that of the 5.8S and 28S rRNAs⁸¹⁻⁸²; rRFs derived from the 18S rRNA may thus
299 be generated through different mechanisms and have different regulation
300 modalities⁵⁹.
301

302 2.2.1. Discovery: Cleavage, localization and expression pattern

303 The most abundant maternal-type rRF detected during *Zebrafish*
304 developpement²² comes from the 5' end of the 18S rRNA and measures 21 nt. The
305 most abundant somatic-type rRFs, however, originate from the 5.8S rRNA, with
306 some rRFs originating from the 18S rRNA. The most abundant rRF derives from
307 the 5' end of the 18S rRNA and is 130 nt long; it may either exert a function *per se* or
308 be the precursor of the 21-nt rRF detected in the maternal-type, along a process
309 resembling that of primary miRNAs giving rise to mature miRNAs²².

310 Interestingly, a group of clustered non-canonical miRNAs derive from
311 pre-rRNA (Figures 1 and 2), and three of these miRNAs were mapped to the 18S
312 subunit: miR-2914, miR-2916 and miR-2910⁷³.

313 2.2.2. Structure, localization and expression

314 The 130-nt rRF ncRNA derived from the 18S rRNA, as described above, has a
315 secondary structure with a stem and a complex hinge with three smaller hairpins²².
316 In fact, this rRF can form a stem-loop structure potentially similar to other
317 functional ncRNAs, such as tRNAs⁸³ and snoRNAs⁸⁴, which represents a further
318 clue in the search for evidence that rRFs are not mere degradation products.

319 2.2.3. Function and protein binding

320 It has been reported²² that both the guide and passenger strands of rRFs can
321 associate with Ago proteins, suggesting that the 21-nt rRF RNAs may function like
322 miRNAs and regulate gene expression⁸⁵; as many as 532 putative target transcripts
323 of rRFs have been identified²².

324 The existence of rRNAs and of rRFs suggest dual molecular functions^{35, 60, 73, 86}.
325 As reported for tRNAs⁴⁶, rRNAs may either function as mature rRNAs inside
326 ribosomes or be processed into smaller fragments and act in a miRNA-like fashion.
327 Indeed, such rRNA transcript units were shown to harbor as many as five different
328 miRNAs, which, upon their release, are able to directly repress the expression of
329 hundreds of genes at the post-transcriptional level. Finally, these clustered
330 miRNAs were differentially expressed in different tissues, suggesting that rRNA
331 processing into rRFs may be placed under specific spatio-temporal control⁷³.

332 2.3. Fragments of the 5.8S ribosomal RNA

333 The existence of two forms of 5.8S rRNA, with 7 or 8 different nt at their 5' end,
334 is widely described in eukaryotes^{15, 31-32, 87}. Although the ratio between the two
335 forms varies from one organism to another, the shorter form of 5.8S rRNA (5.8Ss) is
336 predominant over the longer form (5.8SL), as it accounts for 80% of the total^{30, 81, 87}.
337 The short and long forms of 5.8S rRNA derive from different biosynthetic
338 pathways, revealing the heterogeneity in the cleavage and processing of this

339 RNA^{15, 57, 88}, which may lead to the release of small non-coding RNA fragments that
340 have yet-to-discover biological roles. In this section, we will describe the small
341 non-coding RNAs resulting from the 5.8S rRNA, their origin, sequence and
342 cleavage, but also the proteins they are associated with, their expression pattern
343 and their function.

344 2.3.1. Discovery: Cleavage, localization and expression pattern

345 RNA-seq data, obtained from developing *Zebrafish*²², unveiled the existence of
346 two distinct fragments of the 5.8S rRNA, which correspond to the 5' and 3' halves
347 of the 5.8S rRNA. The rRF originating from the 5.8S rRNA 5' end measures 75–76
348 nt or 74 nt, according to whether they come from maternal or somatic cells,
349 respectively²³. The length of the 5.8S rRNA 3' end rRF is 74 nt in maternal cells and
350 81 nt in somatic cells²²⁻²³. These fragments are relatively long for non-coding
351 RNAs⁶⁷; although they are longer than miRNAs⁶⁸ or piwiRNAs³⁸, they have a
352 length similar to other small ncRNAs, such as tRNA fragments (tRFs)^{43, 46, 89}, and
353 snoRNAs. Interestingly, tRNA cleavage into tRFs has often been described as a
354 stress-dependent phenomenon⁹⁰⁻⁹⁴, and many authors describe a role for these tRFs
355 in translational regulation⁹⁵⁻⁹⁸. One can thus imagine that a similar phenomenon
356 may occur for the 5.8S rRNA and lead to the production of long and shorter rRFs
357 under stress conditions⁹⁹⁻¹⁰⁰.

358 Because the rRFs mentioned above are not the only ones originating from the
359 5.8S RNA in eukaryotes; some forms are shorter and more abundant^{59, 99-100}, and are
360 possibly generated by a process similar to the one described for miRNAs and
361 involving one or more Dicer-like endoribonucleases. For instance, the highly
362 abundant rRFs discovered in *Piper nigrum*⁷⁷, originating from the 5' end of the
363 5.8SL rRNA and representing the largest subset of rRFs of 23 nt⁹⁹.

364 The majority of the 20-nt long fragments deriving from the mature sequences of
365 tRNAs, rRNAs, snoRNAs and small nuclear RNAs (snRNAs), are produced, in a
366 specific cleavage pattern, from the 5' or 3' end¹⁰⁰⁻¹⁰¹. The 5' or 3' end origin seems to
367 be different according to the tissues, development stages²² or environment¹⁰¹. Li et
368 al.¹⁰¹ have shown that the rRFs derived from 5.8S, 18S and 28S rRNAs are
369 generated upon cleavage of either the 5' and 3' end, with a preference for a 3' end
370 origin in human cells. Interestingly, most of the prominent clustered rRFs are
371 coming from the 5.8S, rather than the 18S or 28S, rRNA^{22, 59, 99}, which is surprising
372 given that the 5.8S rRNA is the shortest of the three. In plants, the 5' end rRF
373 cluster (rRF5) from the 5.8S rRNA is the most abundant, whereas the proportion of
374 rRFs from the internal and 3' end (rRF3) of 5.8S rRNA is much lower⁹⁹. Similarly, in
375 eggs and in adult tissues of *Zebrafish*, the 5.8S rRF5 is 3 and 4 times more abundant
376 than the rRF3, respectively²². It differs from the rRFs of the 18S and 28S rRNAs,
377 which are mainly produced by cleavage at the 3' extremity²². In human cells and in
378 ticks, rRF5 and rRF3, from both the 5.8S and 28S rRNAs, derive from either
379 extremity, but more from the 3' end⁵⁹; the most abundant rRFs are 33 nt and 29 nt

380 long, and belong to the rRF3 series⁵⁹. The higher abundance of rRFs derived from
381 the 5.8S rRNA, compared to the 18S and 28S rRNA-derived fragments, suggests
382 that these rRFs may be protected from degradation and stabilized through their
383 association with proteins. The relative abundance and cross-species conservation
384 of the rRFs generated⁵⁹, as well as the bioactivity of RNA sequences of similar size,
385 prompt the need for further investigations into the molecular and biological role
386 and function of rRFs.

387 Interestingly, three regions of 5.8S rRNA can form helices by base pairing with
388 the 28S rRNA¹⁰². The longest interaction occurs between the 3' end of 5.8S and the
389 5' end of 28S rRNA¹⁰³, whereas the last two helices form between the 5' end of 5.8S
390 and the 3' extremity of 28S rRNA. These helices may be recognized and processed
391 by endoribonucleases to generate rRFs from both 5.8S and 28S rRNAs. Similarly to
392 tRFs, termini-specific processing and asymmetric stabilization could be observed
393 for rRNAs, snoRNAs and snRNAs^{93,101}. The level of rRF3 and rRF5 produced differ
394 according to the species, environment, aging or developmental stage.

395 A 5' consensus 5.8S rRF sequence of 22 nt was found in all seed plants
396 (Spermatophytes)⁹⁹. The major cleavage was observed at the cytosine (C) in all
397 species belonging to the *Poaceae* family. The rRF5 variants harboring a C as the
398 terminal nucleotide ranged from 21 to 25 nt in length, and were the major form of
399 rRF5 produced during pathogenic infection. Together, these results suggest that
400 the 5.8S rRNA precursor may be cleaved at the C, to generate the small ncRNA
401 rRF5, during the formation of 5.8S rRNA in pathogen-stressed plants. The rRF
402 sequences produced by the 5.8S rRNA are also well defined and conserved,
403 suggesting that rRF generation is a finely tuned process, and that rRFs may fulfill
404 conserved function(s) as yet to be determined.

405 A new small ncRNA, mapping to the 5' region of rRFs and called cosRNAs
406 (clustered organellar short RNA fragments), were recently identified¹⁰⁴. These rRFs
407 are between 18 and 40 nt in length, and could be generated by endonucleolytic
408 cleavage along rRNA maturation. Importantly, cosRNAs do not exhibit a random
409 distribution, as expected for a stochastic RNA degradation process, but were found
410 specifically enriched at selected 5' ends of rRNA. Together, these data further
411 support that specific small ncRNAs could be derived from rRNA.

412 A large group of piRNAs and endogenous small interfering
413 RNAs (endo-siRNAs), produced upon rRNA processing, have been identified as
414 "unconventional" small ncRNAs with regulatory functions¹⁰⁵. These rRFs are
415 particularly abundant in spermatozoa. Notably, the most abundant rRF comes
416 from the 5' end of the 5.8S rRNA, measures 23 nt in length and is present at >4
417 million reads in the spermatozoa samples¹⁰⁵; such an abundant rRF likely plays a
418 role in gametes.

419 The 5.8S rRNA participates to ribosome translocation and thus exerts an
420 essential role in protein synthesis^{14, 106}. The formation of 5.8S rRNA may give rise to
421 rRFs that may regulate 5.8S rRNA function in mRNA translation, by (i) interfering
422 with the liaison between the 5.8S and the 28S rRNAs, (ii) impairing the function of
423 ribosomal proteins, and/or (iii) exerting a different function as part of another RNP
424 complex. The abundance of 5.8S rRNA in cells and the different pathways involved
425 in its processing are expected to yield relatively high levels of rRFs, which may
426 mediate important regulatory functions, and possibly contribute to ribosome
427 heterogeneity by interacting with the translation machinery elements^{105, 107-109}.

428 2.3.2. Structure, localization and expression

429 In silico analysis of *Arabidopsis thaliana* 5.8S rRNA predicts a secondary structure
430 composed of hairpins and of a non-canonical miRNA-like short hairpin precursor,
431 to which the second most abundant class of 5.8S rRFs could be mapped⁹⁹. Locati et
432 al.²³ discovered that the cleavage site lies in a loop at the exact location where the
433 maternal-type 5.8S rRNA sequence has an AC insertion, as compared to the
434 somatic one²². This process is similar to the cleavage of the tRNA anticodon loop,
435 by an endoribonuclease, yielding tRNA 5' and 3' halves¹¹⁰⁻¹¹². Lately, the 5' and 3'
436 halves, resulting from the 5.8S rRNA cut, were found to display rather strong
437 secondary structures, showing long stable stems²². Once the 5.8S rRNA is cut, the 5'
438 half (rRF5) has only two 28S rRNA binding regions, and the 3' half (rRF3) one.
439 Regarding these two or one potentials binding region between the two rRFs and
440 the 28S rRNA, we could imagine a competition effect of these rRFs on the 5.8S and
441 28S rRNA hybridization. For example, this competition may slow down the LSU
442 speed association.

443 Concerning expression patterns, rRNA-derived sequences were more abundant
444 than snoRNAs and snRNAs, but less abundant than tRFs in human and mouse
445 cells¹⁰⁰. Several observations indicate that cleavage of tRNAs and rRNAs is induced
446 by various stresses^{93, 95-97, 100}. Wang et al.¹⁰⁰ found that 8,822 srRNAs were responsive
447 to heat stress, and that production of srRNAs from tRNAs, 5.8S rRNAs and 28S
448 rRNAs was more specific than that from the 5S rRNAs and 18S rRNAs¹⁰⁰. Although
449 maternal-type 5.8S rRNA is degraded during the late stages of embryogenesis, the
450 level of 5.8S rRFs is relatively unaffected, suggesting that these rRFs are stabilized
451 and are not by-products of normal 5.8S rRNA degradation.

452 2.3.3. Function and protein binding

453 RNA-seq analysis of Argonaute co-immunoprecipitation experiments¹¹³⁻¹¹⁵ in
454 *Arabidopsis thaliana* and *Oryza sativa* revealed an association between 5.8S rRF5
455 variants and Argonaute complexes⁹⁹, such as Ago1 to Ago9⁹⁹. Specific Argonaute
456 association may confer specialized function to 5.8S rRF5s, support their
457 functionality and suggests that they may have a gene regulatory role similar to
458 miRNAs. However, unlike miRNAs, rRF maturation neither depends on Dicer or

459 Droscha-mediated processing, nor does it rely on DGCR8 activity¹⁰¹. Interestingly,
460 studies have found an association between rRFs and some proteins, such as PIWI
461 proteins for qiRNA or piRNA, and their potential involvement in
462 post-transcriptional regulation of mRNA transcripts (Figure 1)⁴⁰.

463 Together, these results suggest that rRFs may exert important functions in
464 fundamental mechanisms through their association with specific proteins, as each
465 rRF may exert a specialized function depending on its incorporation into specific
466 RNP complexes.

467 2.4. Fragments of the ITS1 and ITS2 RNAs

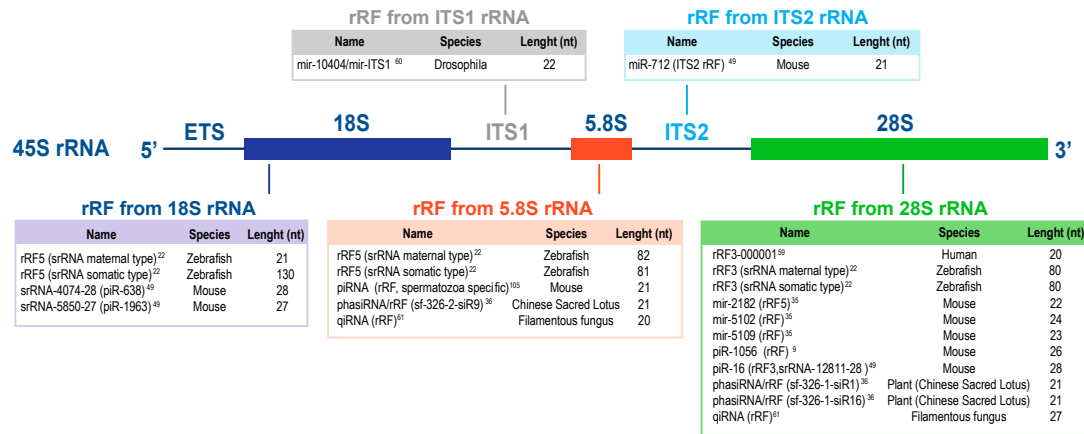
468 Deep sequencing analysis of small RNAs that emanate from the highly repetitive
469 rDNA arrays of *Drosophila* revealed the existence of small RNAs deriving from
470 internal transcribed spacer (ITS) of rRNA⁶⁰. The authors also identified a novel,
471 deeply conserved and widely expressed noncanonical miRNA mapping to the ITS1
472 region of rDNA⁶⁰, which was not identified previously due to bioinformatics filters
473 removing such repetitive sequences.

474 Furthermore, in the filamentous fungus model *Neurospora*, numerous qiRNAs
475 derived from the external and internal transcribed spacer regions (ETS, ITS1 and
476 ITS2) have been described⁶¹. They are about 20–21 nt long with a strong occurrence
477 of uridine (U) at their 5' end and originate from both sense and antisense strands of
478 the ribosomal DNA locus (Figure 2). The biogenesis of qiRNAs requires the
479 formation of double-stranded RNAs (dsRNAs) reminiscent of the structure of
480 miRNA duplexes^{49, 61}. Their association with the QDE-2 protein, as well as their 5'
481 and 3' end nucleotide preferences suggest that qiRNAs are specific rRNA-derived
482 RNAs, and not degradation products (Figure 1)⁶¹. qiRNA expression requires DNA
483 damage-induced aberrant RNAs (aRNAs) as a precursor, a process that depends
484 on QDE-1 and QDE-3 function. One potential role for qiRNAs in DNA damage
485 response would be to inhibit protein translation.

486 According to a study conducted by Son et al.⁷², it is now clear that miR-712 is
487 generated upon pre-ribosomal RNA cleavage by the exoribonuclease XRN1, which
488 is involved in pre-rRNA maturation^{72, 81}. In mice, the pre-miR-712 sequence is
489 embedded in the ITS2 region of the pre-rRNA⁷². The authors identified miR-712 as
490 a negative regulator of tissue inhibitor of metalloproteinase 3 (TIMP3) expression.
491 Furthermore, neutralizing miR-712 by anti-miR-712 rescues TIMP3 expression and
492 prevents disease progression in murine models of atherosclerosis. Similarly to
493 miR-712 in mice, a human-specific miR-663 could be derived from the spacer
494 region of human RN45S gene (Figures 1 et 2)⁷².

495 Like mature rRNA, the ITS1 and ITS2 sequence length increased during
496 evolution¹¹⁶. These lengthened sequences not only serve to recruit proteins and
497 enzymes involved in rRNA biogenesis, but they may also harbor the sequence of

498 functional ncRNAs, such as miRNAs or qiRNAs^{61, 72}, and participate to
 499 post-transcriptional regulation or DNA damage response. This is why ITS1 and
 500 ITS2 should be studied, not only for their role in rRNA biogenesis, but also as
 501 template sequences for the biogenesis of small ncRNAs.



502

503 **Figure 2. List of the major rRFs reported in the literature.** The rRFs have
 504 been classified beyond the provenance from the 18S, 5.8S, 28S, ITS1 or ITS2
 505 rRNA. For each rRF, the name, species of origin and length are specified.

506 3. Conclusions

507 Taken together, the studies discussed in this review article demonstrate that the
 508 28S, 18S and 5.8S rRNAs, and even the ITS1 and ITS2, produce one or more small
 509 rRFs. These rRFs are present at various, yet significant, levels in different cell types
 510 or organs, and during development, like in the oftenly described *Zebrafish*
 511 development model. The generation of these small rRFs does not appear to result
 512 from random degradation of the associated mature rRNAs. Moreover, the
 513 degradation rate of mature cytoplasmic rRNAs is generally beyond detection
 514 under normal conditions¹¹⁷, as the rRNA is first fragmented by endoribonucleases
 515 and then the resulting by-products are rapidly degraded into mononucleotides by
 516 exoribonucleases¹¹⁸⁻¹¹⁹. Small rRF detection attests of their relative stability and
 517 implies that they do not result from normal cellular ribosome turnover. The caveat
 518 has to be taken into account that the study of rRFs has been hampered, and is still
 519 hampered, by the long-set bioinformatics pipelines that consider rRFs as mere
 520 degradation products and systematically remove small RNA sequencing reads
 521 mapping to rRNAs from the data^{56, 86}.

522 Whereas the notion of degradation products is the first to come to mind when
 523 considering small rRFs, it was shown recently that tRNAs and rRNAs undergo
 524 stress-induced cleavage to produce stable rRFs products, and that this mechanism
 525 is conserved from yeast to human cells^{93-96, 98}. Interestingly, the best-described rRFs
 526 in the literature were found to be associated with proteins, such as Ago, PIWI or
 527 PHAS proteins, suggesting that they function as part of RNP complexes. While
 528 their functional and biological significance remains to be fully appreciated, we

529 might want to speculate that some rRFs may play a role in rRNA
530 processing/degradation as well as in miRNA-like pathways. Therefore, we may
531 want to consider rRNA both as a central player in the mRNA translational
532 machinery, as a constituent of ribosomes, as well as a cleavable precursor of small
533 rRFs, which may be considered as a novel class of potentially functional sRNAs
534 that may regulate gene expression post-translationally.

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