

1 Article

2 Effects of a 120Hz high-frequency acceleration device 3 on orthodontic discomfort

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10

11 **Abstract:** Evaluation of the effects of a high-frequency acceleration (HFA) device on patient pain
12 response to orthodontic forces. A multi-centered trial investigating pain sensitivity to orthodontic
13 forces on 75 subjects at 4 study centers. Subjects underwent clear aligner treatment, with or without
14 adjunctive HFA and documented their pain intensity using the validated NRS10 numeric rating
15 scale. In-Office and At-Home ratings were measured separately for each subject for immediate and
16 extended effect evaluations. Use of HFA devices in conjunction with clear aligner orthodontic
17 treatment demonstrated significant reduction in subjects' recorded pain ratings vs controls within 5
18 minutes of aligner exchange, ($p = 0.006$) and significant reduction in recorded pain ratings vs
19 controls over a 7-day period following aligner exchange ($p = 0.018$). A 99.6% daily compliance rate
20 with at home use of the HFA device was recorded for all subjects in the study. HFA significantly
21 reduces pain attributed to orthodontic force. HFA delivers clinically significant immediate pain
22 relief, and clinically significant extended pain relief over the 7 days following adjustment.

23 **Keywords:** Orthodontics Clear Aligner, Pain; Vibration, High-Frequency, HFA.

24

25 1. Introduction

26 With increasing awareness and acceptance of orthodontic treatment by the general population
27 has come a concomitant reduction in patience with both the discomfort and length of treatment
28 associated with treatment. Specifically, both the fear of pain frequently associated with treatment
29 and the length of time in treatment are the concerns most often cited by potential patients as the
30 barriers to treatment acceptance. A survey of orthodontic patients indicated that 58.3% cited
31 orthodontic pain as their primary complaint, followed by treatment duration [1]. Jones and Chan
32 concluded that compliance with orthodontic treatment may be predicated on the amount of
33 discomfort and pain experienced at the onset of treatment [2]. Burstone reported two types of pain
34 associated with orthodontic force application, immediate and delayed. The immediate response
35 being attributed to compression and the delayed response to hyperalgesia of the periodontal
36 ligament [3,4]. New technologies that address patient concerns and potential barriers to accepting
37 treatment are increasingly in demand. Pulse vibration technology has come to the forefront as an
38 adjunctive technology that may significantly reduce both, patient pain to orthodontic forces and the
39 length of time in treatment.

40 Pulse vibration has been studied at various force levels and vibrational frequencies with mixed
41 results [5-12]. Previous literature, studies, and clinical trials have demonstrated that vibration at
42 low-frequency (below 45 HZ) was not effective at reducing orthodontic pain associated with fixed
43 braces or removable aligners [5-8]. However, Lobre et al. did report effectiveness in reducing pain in
44 patients in fixed appliances at a low-frequency [9]. In contrast, pain reduction emanating from
45 dental origin has been reported when a high-frequency (above 90 HZ) device was used [10]. Further,
46 research investigating the effect of high-frequency as compared to low-frequency with painful

47 temporomandibular disorders demonstrated high-frequency to have a significant reduction in pain,
48 whereas the low-frequency had no significant effect [11].

49 A possible mechanism for pain reduction with vibration is the "gate control" theory, which
50 suggests that pain can be reduced by simultaneous activation of nerve fibers that conduct non-
51 noxious stimuli [13]. Another possibility is that the pain-relieving effects of vibration may be effected
52 by increasing vascularity and reducing areas of ischemia, and through the simultaneous activation
53 of large diameter sensory nerve fibers [14,15]. Use of NSAIDs to manage pain in conjunction with
54 orthodontic tooth movement has been shown to decrease prostaglandin synthesis leading to a
55 decrease in the inflammatory cytokines and chemokines that promote the bone resorption process,
56 and thus may negatively impact the rate of tooth movement [16,17].

57 To date, patient compliance with the use of vibration devices remains a potential issue. A recent
58 vibration study cited compliance at 17 days per month with a device requiring 20 minutes daily use
59 [18], while another vibration study cited patient compliance as an issue despite daily reminder calls
60 throughout the trial period [19]. The investigator suggested that future research could possibly be
61 directed toward shortening the obligatory 20-minute vibration period in order to increase patient
62 compliance.

63 Accordingly, it is hypothesized that an HFA device that requires a significantly reduced 5-
64 minute treatment schedule will meet with greater patient compliance with the device and will
65 subsequently be effective in reducing orthodontic pain or discomfort when compared to control
66 subjects not receiving adjunctive vibration treatment.

67 2. Materials and Methods

68 This multi-centered, observational trial investigated the reported pain associated with
69 exchanging aligners of 75 patients at 4 independent study centers. The inclusion and exclusion
70 criteria are summarized in Table 1.

71

Table 1. Inclusion and exclusion criteria for study participation

Inclusion criteria	Exclusion criteria
a) Male and Female.	a) Subjects who indicated treatment with anti-inflammatory medications, or pain medications on pain diary, were excluded from the study.
b) Received aligner treatment during the study period of February 1, 2016 to February 1, 2017.	b) Caries.
c) Default velocity programmed on aligners.	c) Gingivitis.
d) Effective oral hygiene.	d) Periodontal therapy or treatment with periodontal medication within 6 months prior to initiating aligner treatment.
e) Healthy periodontal tissues.	
f) Completed pain ratings scale.	

72

73 All subjects were active orthodontic patients undergoing Invisalign (Align Technology, San
74 Jose, CA) clear aligner orthodontic treatment, with or without adjunctive HFA treatment, and
75 documented their pain/discomfort experiences with the validated Numeric Rating Scale (NRS-10)
76 ranging from 1 (no pain) to 10 (worst pain). The HFA device used was VPro (Propel Orthodontics,
77 Ossining, NY) designed to deliver a cyclical (vibrational) force with a frequency of 120Hz for 5
78 minutes per day. All Clincheck treatment plans were prescribed at default aligner velocity. Subject
79 charts were consecutively selected from the clinical records of patients that contained pain
80 assessments, with no age restrictions during a treatment period from February 1, 2016 to February 1,
81 2017. Per trial protocol, the consecutive enrollment by treatment initiation date continued until a
82 total of 12 patients treated with HFA (Experimental Group) and 12 treated without the use of HFA
83 (Control Group) were obtained from each study center or until the potential subject pool of each
84 investigator was exhausted. Neither racial nor ethnic differences were considered in this trial. For

85 the In-Office Assessment I, subjects in both groups, were instructed to advance 2 aligners from their
 86 current aligner, to ensure all subjects experienced some degree of pressure to record relative change
 87 to sudden orthodontic adjustment. (i.e., if patient presented wearing aligner 5, then aligner 7 was
 88 inserted). Baseline discomfort/pain was assessed in the office immediately following placement of
 89 the new aligner for both groups. Change in discomfort/pain was assessed in the office 3 minutes
 90 after insertion, and 5 minutes after insertion. For the At-Home Assessment II, a continued
 91 observation from the same sample of subjects whom completed Assessment I was conducted.
 92 Subjects in both groups were instructed to record their discomfort beginning with the first day of
 93 their next aligner change, immediately after aligner insertion as baseline, then each day for 7
 94 consecutive days. The distribution of subjects enrolled is summarized in Table 2.
 95

Table 2. Distribution of subjects enrolled

Subject groups		In-Office		At-Home	
		Aligner	Aligner + HFA	Aligner	Aligner + HFA
Subject enrollment	Completed assessments	31	44	23	39
Sex	Male	9	22	8	20
	Female	22	22	15	19

96

97 2.1 Sample Size

98 A total sample size of up to 24 subjects from each practice (12 per group), to a total of 96 subjects
 99 from four study centers were requested for this trial. The sample size was selected to yield 90%
 100 power to detect a difference if the true population difference (effect size) is equal to 2/3 of a standard
 101 deviation unit.

102

103 2.2 Statistical Analysis

104 The primary analysis compared change in pain ratings from baseline, to the pooled average
 105 across the post-baseline intervals, resulting in a single number per subject for Assessment I, and a
 106 second value for Assessment II. These values were then compared between the HFA treated subjects
 107 and the controls with t-tests for independent samples. Supplemental testing included
 108 between-group t-tests at each time point for illustrative purposes. Tests for sex differences were
 109 made by inspecting the treatment by sex interactions in 2-way ANOVAs. A significance criterion of
 110 $p < 0.05$ was applied throughout.

111

112 2.3 Ethical Considerations

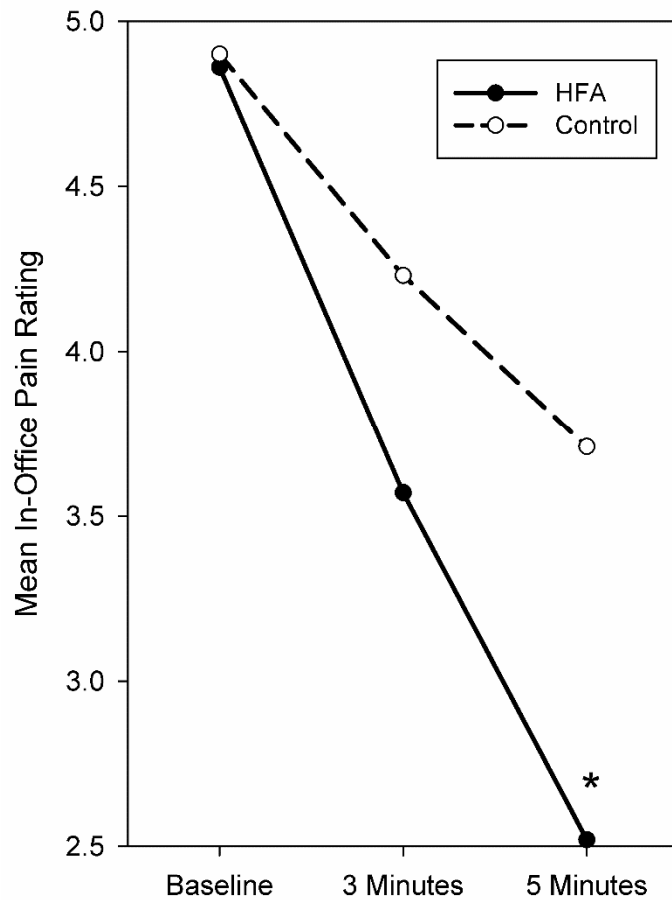
113 This protocol was submitted and approved by an Institutional Review Board (IRB) prior to trial
 114 initiation. Data gathered from each of the subjects were coded to ensure subject confidentiality and
 115 privacy.

116 3. Results

117 3.1 Assessment I: In-Office 5-Minute Immediate Effect NRS-10 Pain Rating

118 Data were obtained from 75 subjects (31 male/44 female). The experimental group was
 119 comprised of 44 subjects (22 male/22 female) and underwent aligner treatment with HFA. The
 120 control group was comprised of 31 subjects (9 male/22 female) and underwent aligner treatment
 121 alone. Complete in-office ratings were available for all subjects recorded as baseline after advancing
 122 aligners, at 3 minutes, and 5 minutes following aligner placement. The HFA group declined 1.82
 123 points (SD=1.47) while the Control group declined 0.94 points (SD=1.05) a difference that was

124 statistically significant ($p=0.006$). The mean in-office pain ratings at each time point are graphically
 125 illustrated in Figure 1, and in Table 3.



126

127 **Figure 1. In-Office 5-Minute Immediate Effect NRS-10 Pain Ratings.**

128 * = Statistically significant $P<0.05$

129

Table 3. Pooled average reduction from baseline in Assessment I Immediate Effect NRS-10 Pain.

Group	In-Office	
	Aligner	Aligner + HFA
Pain Reduction	0.94	1.82
SD	1.05	1.47
P	0.006*	

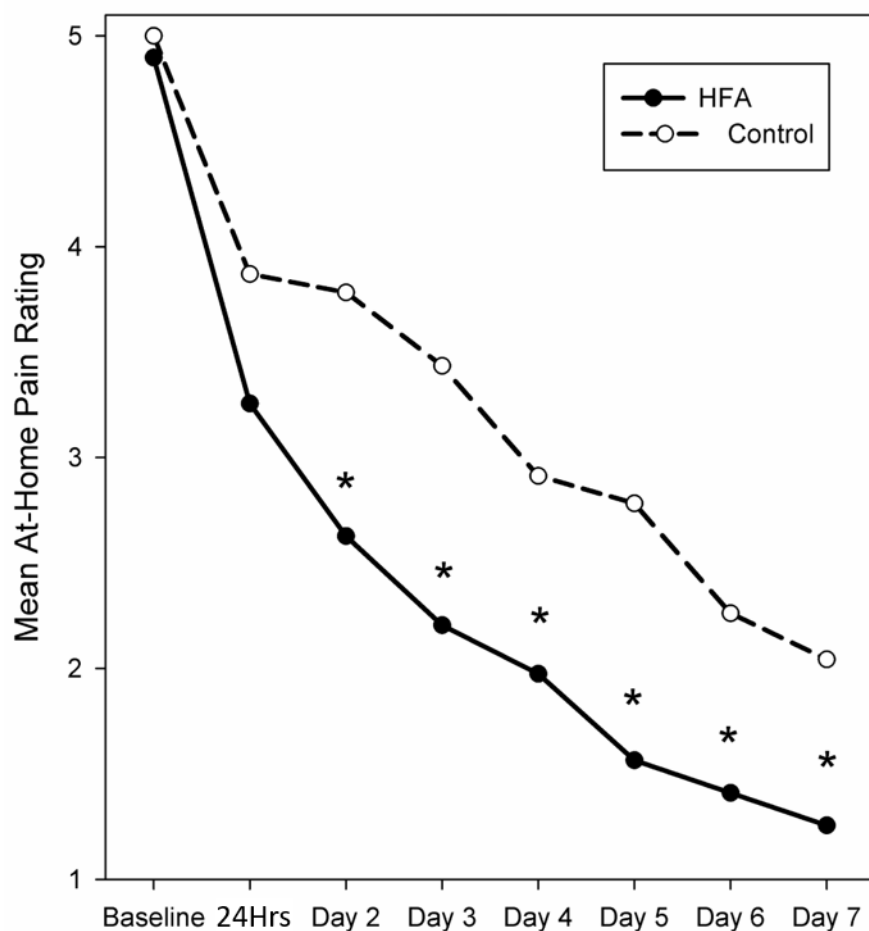
130

* = Statistically significant $P<0.05$

131 *3.2 Assessment II: At-Home 7-Day Extended Effect NRS-10 Pain Rating*

132 Data were obtained from the same pool of candidates whom completed Assessment I. 39
 133 subjects (20 male/19 female) who underwent HFA treatment, and 23 (8 male/15 female) controls
 134 returned at-home daily NRS-10 pain surveys. There were 542 data points recorded of the 544
 135 expected (99.6% complete) for these pain ratings. The two missing data points were imputed by

136 linear interpolation for the adjacent days in each case. The HFA-treated group declined 2.86 points
 137 (SD=1.78) while those with the control treatment declined 1.73 points (SD=1.72), a difference that was
 138 statistically significant ($p=0.018$). The mean at-home pain ratings at each time point are graphically
 139 illustrated in Figure 2, and in Table 4. One investigator did not obtain at-home pain ratings for his
 140 control subjects. If the HFA-treated subjects for that investigator were excluded from the trial data,
 141 the HFA group decline was 2.80 points (SD=2.03) which remained a significantly greater decline
 142 than that of the controls ($p=0.049$). Neither adverse events nor unexpected adverse reactions
 143 associated with the use of the investigational vibrational device were reported.



144

145 **Figure 2. At-Home 7-Day Extended Effect NRS-10 Pain Ratings.**146 * = Statistically significant $P < 0.05$

147

Table 4. Pooled average reduction from baseline in Assessment II Extended Effect NRS-10 Pain.

Group	At-Home	
	Aligner	Aligner + HFA
Pain Reduction	1.73	2.86
SD	1.72	1.78
<i>P</i>	0.018*	

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* = Statistically significant $P < 0.05$ 149

3.3 Sex Differences

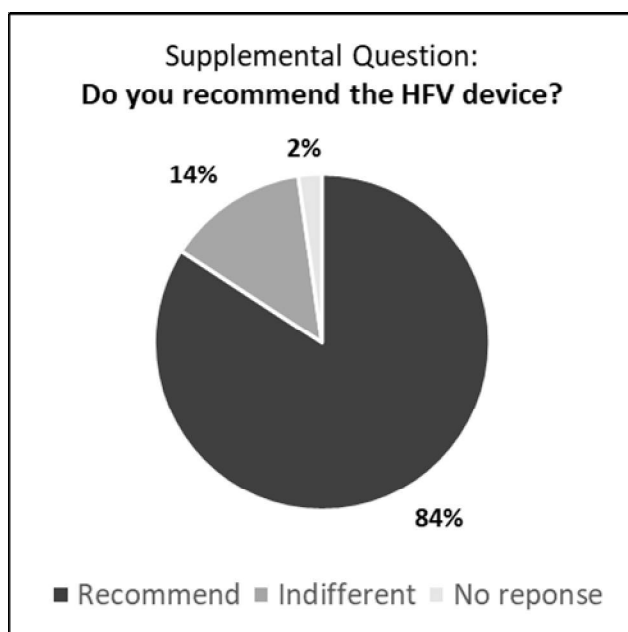
150 Two-way ANOVAs were used to test for sex differences in the efficacy of the HFA device as
151 compared to controls. If there was a differential response by sex, it would express as an interaction
152 effect in these ANOVAs. The treatment by sex interaction was not significant for either in-office
153 ($p=0.395$) or at-home ($p=0.143$) data. Accordingly, no sex differences in efficacy of the device were
154 detected.

155

156

3.4 Supplemental Question to Subjects

157 Subjects treated with HFA all had experience with aligner treatment prior to initiation of the
158 trial. When asked if they would recommend the HFA device, 37 of 44 subjects (84%) indicated that
159 they would either recommend or strongly recommend its use; 6 (14%) were indifferent, and 1 (2%)
160 did not respond. Figure 3.



161

162

4. Discussion

163 Pain management remains a significant concern in orthodontic treatment with 90% of patients
164 reporting their experience as painful, and nearly 1 in 3 patients considering ceasing treatment due to
165 pain [20]. The literature is replete with evidence of the negative impact that discomfort and pain has
166 on patient compliance with the orthodontic treatment regimen and is a major factor in missed
167 orthodontic appointments [2,21-23]. Importantly, pain associated with orthodontic treatment is often
168 underestimated by clinicians. A study by Krukemeyer [21], investigating immediate in-office
169 orthodontic pain, and at-home pain for 2 days following orthodontic adjustment reported that
170 clinicians significantly underestimated pain immediately following the last appointment by 43%,
171 while 58.5% of patients agreed or agreed strongly with the statement "I have pain for days after an
172 appointment." With the orthodontic profession significantly increasing its use of removable
173 orthodontic appliances in the form of clear aligner treatment, managing patient discomfort and pain
174 effectively is critical to patient comfort and compliance. Keim [24] reported that 'pain management
175 and, even more important, pain prevention are given short shrift in many orthodontic training
176 programs'. Krishnan [23], further stated that, "Many patients as well as parents consider initial lack
177 of information about possible discomfort during treatment to be a major cause of the poor

178 compliance exhibited." Krukemeyer suggested that patients' initial attitude towards orthodontic
179 treatment should be understood during the diagnostic phase itself and should be discussed with the
180 patients in all its reality [21]. Pre-emptively addressing spoken or unspoken concerns of patients as
181 they relate to discomfort with options to manage it at the initial consultation may lead to a better
182 patient experience and improved compliance with treatment.

183 Numeric rating scales (NRS-10) as a method of measuring pain intensity in clinical
184 research are an accepted research protocol and have proven to be sensitive to measurement of both
185 acute and chronic pain [25]. Burstone has delineated two categories of orthodontic pain as being 1)
186 Immediate and 2) Delayed onset [3,4]. Burstone further describes immediate pain being derived
187 from sudden heavy forces on the tooth, e.g. hard figure of eight tie between the central incisors to
188 close a midline diastema. The delayed pain attributed to a variety of force values from light to heavy
189 and representing hyperalgaesia of the periodontal membrane peaking at 24 hours. The results of this
190 research show HFA to provide significant relief of immediate 'compressive' pain compared to
191 control which may be related to the gate theory previously described by Melzack [13]. Patients that
192 underwent adjunctive HFA treatment demonstrated a rapid reduction in pain within 5 minutes of
193 use to levels approaching no detectable pain, whereas control subjects' pain ratings demonstrated
194 moderate pain with minimal relief. Within 5 minutes of advancing 2 aligners, 60% of the HFA
195 treated patients reported complete, or near complete elimination of detectable discomfort which was
196 twice that of the control group. The HFA effects on delayed pain following aligner exchange were
197 also evident. HFA treated subjects in this trial reported significantly less pain than controls in the
198 days following adjustment which may be related to HFA reducing areas of ischemia as reported by
199 Long [14]. The HFA treated subjects composite at-home pain rating at day 7 was 1.3, with 1 being no
200 detectable pain. In fact, 77% of the HFA treated patients (30 of 39), experienced a total elimination of
201 pain and 97% (38 of 39) reported complete or near complete elimination of pain, whereas patients in
202 the control group reported higher ongoing pain that was statistically significant from the HFA
203 patients.

204 Orthodontic pain associated with tooth movement has additional ramifications that extend
205 beyond patient comfort and compliance, as it can directly impact practice efficiency and
206 profitability. Patient orthodontic pain can adversely affect referral rates of siblings, parents/spouses,
207 and friends. Moreover, unmanaged orthodontic pain often presents as increased administration
208 overhead due to extending scheduling changes, loss of revenue due to open chair time, and/or
209 additional treatment visits due to unnecessarily extended treatment.

210 This trial demonstrated significant immediate improvement, and significant extended
211 improvements in pain scores after aligner change when clear aligner orthodontic treatment is
212 combined with adjunctive high-frequency vibration. The difference between groups falls between
213 the criteria for moderate and large effects which indicates that these are clinically meaningful effects
214 [26].

215 Importantly, data from the at-home survey demonstrated a daily compliance rate with the HFA
216 device of 99.6%, which suggest that a 5-minute treatment interval is manageable and readily
217 accepted by patients. Finally, this trial demonstrated that HFA devices are effective in reducing pain
218 and discomfort attributed to orthodontic forces without the need for supplemental pharmacological
219 analgesia.

220 5. Conclusions

- 221 1. HFA significantly reduced or eliminated pain attributed to orthodontic force.
- 222 2. HFA delivered clinically significant immediate pain relief following adjustment.
- 223 3. HFA delivered clinically significant extended pain relief following adjustment.
- 224 4. A 99.6% patient compliance rate is significant and indicates that a 5-minute use of the HFA
225 device is manageable for patients of all ages.
- 226 5. As a result of their experience with reduced pain and discomfort, 84% of the test subjects
227 indicated that they would recommend or strongly recommend HFA treatment.

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229 and T.S.; writing-original draft preparation, G.B.; review and editing, J.N., G.B., J.S., and T.S.

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232 delivered lectures on its products in the past. Propel Orthodontics was permitted to review this manuscript. The
233 right to a final decision on the content and publishing was retained by all authors without requiring approval
234 from a third party.

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