1 Article

The application of ATR-FTIR spectroscopy and the reversible DNA conformation as a sensor to test the effectiveness of Platinum(II) anticancer drugs

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Abstract: Platinum(II) complexes have been found to be effective against cancer cells.

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Cisplatin curbs cell replication by interacting with the deoxyribonucleic acid (DNA), eventually leading to cell death and reducing cell proliferation. In order to investigate the ability of platinum complexes to affect cancer cells, two examples from the class of polyflurophenylorganoamidoplatinum(II) complexes were synthesised and tested on isolated DNA. The two compounds trans-[N,N'-bis(1,2,3,5,6-pentafluorophenyl)ethane-1,2-diaminato(1-)](2,3,4,5,6-pentafluorobenzoato)(pyridine)platinum(II) (PFB), and trans-[N,N'-bis(1,2,3,5,6-pentafluorophenyl)ethane-1,2-diaminato(1-)](2,4,6trimethylbenzoato)(pyridine)platinum(II) (TMB) were compared with cisplatin through their reaction with DNA. Attenuated Total Reflection Fourier Transform Infrared (ATR-FTIR) spectroscopy was applied to analyse the interaction of the Pt(II) complexes with DNA in the hydrated, dehydrated and rehydrated state. These were compared with control DNA in acetone/water (PFB, TMB) and isotonic saline (cisplatin) under the same conditions. Principle Component Analysis (PCA) was applied to compare the ATR-FTIR spectra of the untreated control DNA with spectra of PFB and TMB treated DNA samples. Disruptions in the conformation of DNA treated with the Pt<sup>(II)</sup> complexes upon rehydration were mainly observed by monitoring the position of the IR-band around 1711 cm<sup>-1</sup> assigned to the DNA base-stacking vibration. Furthermore, other intensity changes in the phosphodiester bands of DNA at ~1234 cm<sup>-1</sup> and 1225 cm<sup>-1</sup> and shifts in the dianionic phosphodiester vibration at 966 cm<sup>-1</sup> were observed. The isolated double stranded DNA (dsDNA) or single stranded DNA (ssDNA) showed different structural changes when incubated with the studied compounds. PCA confirmed PFB had the most dramatic effect by denaturing both dsDNA and ssDNA. Both compounds, along with cisplatin, induced changes in DNA bands at 1711, 1088, 1051 and 966 cm<sup>-1</sup> indicative of DNA conformation changes. The ability to monitor conformational change with infrared spectroscopy paves the way for a sensor to screen for

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40 41 Keywords: Platinum; DNA; IR

new anticancer therapeutic agents.

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#### 1. Introduction

The Pt<sup>(II)</sup> complexes, carboplatin, cisplatin and oxaliplatin have been approved worldwide for the treatment of cancerous tumours[1-4]. Cisplatin was approved as an anticancer drug by the U.S Food and Drug Administration in 1978[5]. Soon after it became a wide spectrum anticancer agent because of its activity against non-small lung cancer, head, breast and neck cancers [6,7], in addition to its specific use in testicular and ovarian cancer [4]. The interaction of cisplatin with deoxyribonucleic acid (DNA) inside the nucleus causes cell death and apoptosis. Previous studies have found that the interaction occurs between cisplatin and the DNA bases, especially guanine-N(7) but also adenine-N(3) [8]. The interaction of cisplatin with DNA has been studied extensively using X-ray crystallography and NMR spectroscopy in addition to Liquid Chromatography Mass Spectroscopy (LCMS) [5,9,10]. Resonant inelastic X-ray scattering (RIXES) spectroscopy has mapped the hydration of cisplatin and its binding to adjacent guanine bases of DNA [11]. The cisplatinresistance of some cancer types and dose-limiting side effects such as nephrotoxicity, peripheral neuropathy, vomiting, renal and visual impairment, restrict its application [12]. These effects have led to a search for novel Pt<sup>(II)</sup> complexes with increased stability that destroy cancer cells with less side effects [13]. The side effects are postulated to result from non-selective reactions of cisplatin with other biomolecules such as proteins and phospholipids as well as interactions of the drug with healthy tissues leading to dose limiting nephrotoxicity [13,14].

Because the main target of the platinum complexes is DNA, understanding the effect of these drugs on DNA conformation is essential [8,15]. After hydrolysis of the chloride leaving group the complex is in a form in which it can interact with DNA [11,16-18]. It was found that cisplatin binds to double stranded (ds) DNA through coordination by the guanine base at the N7 position, leading to changes in the DNA structure [11,16,19]. Studies performed on DNA using X-ray crystallography showed that the interaction of cisplatin with DNA causes the DNA helix to unwind and distort [11,19]. The interaction between the DNA and the drug can be studied with infrared (IR) spectroscopy because the method is very sensitive towards DNA conformational changes. Thus, the technique provides a useful tool to explore the effects of drugs on the conformation of this fundamentally important biological molecule [20].

"Rule breakers" which do not conform to the usual structure/activity rules for platinum(II) complexes [21-23] represent an approach to overcome the current deficiencies of clinical drugs. One such class are platinum(II) organoamides [Pt(NRCH<sub>2</sub>)<sub>2</sub>(py)<sub>2</sub>] (R=polyfluorophenyl; py= pyridine), of which [Pt{N(p-HC<sub>6</sub>F<sub>4</sub>)CH<sub>2</sub>}<sub>2</sub>(py)<sub>2</sub>] (Pt103) is the lead compound. It is active *in vitro* against both cisplatin active and resistant cells and against some *in vivo* tumours [12,24] and has been shown to have greater cellular uptake and a large number of DNA inter-strand cross links compared with cisplatin [25]. Recently, RIXES spectroscopy demonstrated that Pt103 initially reacts preferentially with adenine bases of DNA [26], thus offering an explanation for the difference in biological properties from cisplatin. Pt103 is unusual as an anticancer active molecule with four nitrogen donor atoms and is a "rule breaker" because of no N-H bonds on the nitrogen donor atoms.

We have recently shown by FTIR methods [27] [28] that Pt103 interacts with DNA in aqueous acetone prior to hydrolysis. Such an interaction presumably involves H-bonding.

In an attempt to provide more opportunity for H-bonding with DNA, we reacted Pt103 with pentafluorobenzoic acid and 2,4,6-trimenthylbenzoic acid giving the two compounds namely *trans*-[N,N'-bis(1,2,3,5,6-pentafluorobenzole))ethane-1,2-diaminato(1-)](2,3,4,5,6-pentafluorobenzoato)(pyridine)platinum(II)

(PFB) and *trans*-[N,N'-bis(1,2,3,5,6-pentafluorophenyl)ethane-1,2-diaminato(1-)](2,4,6-trimethylbenzoato)(pyridine)platinum(II) (TMB). (Figure 1). PFB and TMB, contain N-H bonds (consistent with structure-activity rules) and therefore should lead to enhanced H-

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bonding with DNA. We now report an investigation of the interaction of **PFB** and **TMB** with DNA by ATR-FTIR spectroscopy

**PFB TMB** Pt103 py = pyridine

Figure 1: Chemical structures of PFB, TMB and cisplatin.

In examining how these Pt<sup>(II)</sup> complexes affect the DNA conformation we performed a series of ATR-FTIR studies monitoring hydrated, dehydrated and rehydrated DNA-drug combinations compared to untreated control DNA in solvent mixtures.

Watson and Crick originally detected the polymorphism of the DNA 60 years ago. Later, Franklin and Gosling indicated that the DNA forms two different conformations, namely the hydrated B-DNA form and the dehydrated A-DNA form[29].

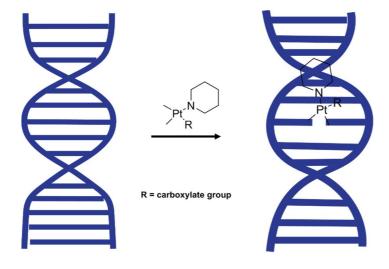


Figure 2: DNA interaction with Pt<sup>II</sup> and conformation change

The DNA conformation is also sensitive towards pH, temperature, counter ion and base pair sequences [30], which were kept constant in this study. In the absence of drugs that interact with DNA, the DNA conformation reversibly goes from B-DNA in the hydrated state to A-DNA in the dehydrated state and back to B-DNA upon rehydration. It was hypothesised that the effect of Pt(II) compounds could be investigated by studying the dynamical conformation change from the A-DNA form to the B-DNA form in the presence and absence of Pt<sup>(II)</sup> novel complexes upon rehydration. Hydration and electrostatic interactions are considered major factors leading to the transition. Disruption of these electrostatic interactions can be monitored by recording FTIR spectra of DNA before and after rehydration.

The drugs were mixed with calf thymus dsDNA and ATR-FTIR spectra were measured to investigate changes in FTIR bands that are concomitant with changes in the DNA conformation. Repeated measurements of FTIR spectra were performed to obtain a series of spectra of the dehydrated samples, starting with the hydrated sample, which was deposited in an aqueous state onto the ATR crystal and left until the water stretching mode at ~3650 cm<sup>-1</sup> disappeared. Then each sample was exposed to the gradual addition of water, which initiated the process of rehydration and was monitored by ATR-FTIR spectroscopy.

## 2. Materials and Methods

- 134 2.1 Biological compounds
- 135 Double stranded calf thymus DNA (mol. Wt. 8-15 kb; 42% GC content) (Sigma St louis,
- MO, USA), single stranded calf thymus DNA (Sigma St louis, MO, USA), acetone (Merck 136
- 137 KGaA, Darmastadt, Germany), Tris-HCl buffer (2-amino-2-hydroxymethyl-propane-1,3-
- 138 diol hydrochloride) (Merck KGaA, Darmstadt, Germany), hydrochloric acid (HCl) 32%
- 139 (Ajax Fine Chemicals Pty Ltd, NSW, Australia). The Pt103 was prepared by the reported 140 method.[31]

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        2.2 Platinum compounds synthesis
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        The platinum complexes PFB and TMB were synthesized as shown in Figure 1.
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        2.2.1 Synthesis of PFB
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        [N,N'-Bis(2,3,5,6-tetrafluorophenyl)ethane-1,2diaminato(1-
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        )](pentafluorobenzoato)(pyridine)platinum(II)] (PFB)
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         [N,N'-Bis(2,3,5,6-tetrafluorophenylethane-1,2-diaminato)](2-dipyridineplatinum(II)
        (Pt103) (0.20 g, 0.28 mmol) was treated with) pentafluorobenzoic acid (0.060 g, 0.28
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        mmol) in diethyl ether (160 mL) and was stirred and irradiated by light (halogen lamp 500
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        W) for 240 min. The crude product was isolated by evaporation to dryness and dissolved in
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        a minimum amount of acetone and passed through a 15 cm neutral alumina column with ethyl
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        acetate: light petroleum: acetone 1:1.5:0.1 as eluent. The yellow band indicative of the
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        product was collected and the solution evaporated to dryness. Diethyl ether ~2 ml and
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        petroleum ether ~5 ml were added and cooled. The compound produced bright vellow
        crystals. Yield 0.090 g, 38%, m.p. 174 °C (dec). (Found: C, 37.5; H, 1.3; N, 5.1.
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        C<sub>26</sub>H<sub>12</sub>F<sub>13</sub>N<sub>3</sub>O<sub>2</sub>Pt requires C, 37.2; H, 1.4; N, 5.0 %). <sup>1</sup>HNMR.:2.92-3.19, br m, 3H, CH<sub>2</sub>NH
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        and CH<sub>2</sub>N; 4.07, m, 1H, CH<sub>2</sub>NH; 6.36, m, 1H, p-HC<sub>6</sub>F<sub>4</sub>N; 7.36, t, 2H, H3, 5 (py); 7.54, m,
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        1H, p-HC<sub>6</sub>F<sub>4</sub>NH; 7.91, t, 1H, H4(py); 8.35, br, 1H, NH; 8.63, d with <sup>195</sup>Pt satellites, <sup>3</sup>J<sub>H H</sub> 5
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        Hz <sup>3</sup>J<sub>H,Pt</sub> 39 Hz, 2H, H2,6(py). <sup>19</sup>FNMR.: -140.8, m, 2F, F3,5(p-HC<sub>6</sub>F<sub>4</sub>NH); -144.85, m, 2F,
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        F2,6(O<sub>2</sub>CC<sub>6</sub>F<sub>5</sub>);-145.1, m, 2F, F3,5(p-HC<sub>6</sub>F<sub>4</sub>N); -146.9, brm, 2F, F2,6(p-HC<sub>6</sub>F<sub>4</sub>NH);-153.3,
        m, 2F, F_{2,6}(p-HC_6F_4N); -158.4, t, {}^{3}J_{F,F} 20 Hz, 1F, F_{4}(O_2CC_6F_5), -164.5, m,
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        2F,F3,5(O<sub>2</sub>CC<sub>6</sub>F<sub>5</sub>). UV/Visible spectrum \lambda max (\epsilon): 390 nm (2.2x10<sup>3</sup>). IR spectrum: 3104m,
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        3075sh, 2962w, 2868w, 2647w, 2324w, 2113w, 1982w, 1920w, 1659vs, 1631vs, 1583w,
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        1528s,1493s, 1473s, 1452s, 1427w, 1414w, 1405w, 1361vs, 1336m, 1285w, 1260s, 1216w,
        1180m, 1158m, 1133m, 1101m, 1082s, 1047w, 1019s, 990vs,935m, 897m, 869m, 843w,
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        819w, 799w, 786vs, 758m, 716s, 694w, 667w, 641w cm<sup>-1</sup>. Mass spectrum: m/z 840 [33, M<sup>+</sup>];
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        762 [1, (MH-pv)^+]; 629 [25, (M-C_6F_5CO_2)^+].
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        2.2.2 Synthesis of TMB
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         [N,N'-Bis(2,3,5,6-tetrafluorophenyl)ethane-1,2diaminato(1-)](pyridine)(2,4,6-
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        trimethylbenzoato)platinum(II) (TMB), Pt 103 (0.20 g, 0.28 mmol) and 2,4,6-
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        trimethylbenzoic acid (0.050 g, 0.28 mmol) were stirred together in diethyl ether (160 mL)
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        and irradiated by light (halogen lamp 500W) for 240 min. Evaporation, dissolution in acetone
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        and chromatography as for PFB above. Diethyl ether and petroleum ether were added to the
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        residue and cooled. The compound gave bright yellow crystals. Yield 0.080 g, 36%, m.p. 165
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          C (dec). (Found: C, 44.0; H, 3.1; N, 5.5. C<sub>29</sub>H<sub>23</sub>F<sub>8</sub>N<sub>3</sub>O<sub>2</sub>Pt requires C,43.9;H,2.9;N,5.3%).
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        1H NMR: 1.57, s, 6H, o-CH<sub>3</sub>; 1.66, s, 3H, p-CH<sub>3</sub>; 2.96-3.25, brm, 3H, CH<sub>2</sub>N, and CH<sub>2</sub>NH;
        4.14, m, 1H, CH<sub>2</sub>NH; 6.24, m, 1H, p-HC<sub>6</sub>F<sub>4</sub>N; 6.55, s, 2H, H<sub>3</sub>,5(Ph); 7.33, t, 3JH,H 7Hz,
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        2H, H3,5(py); 7.65, m, 1H, p- HC<sub>6</sub>F<sub>4</sub>NH; 7.88, t, <sup>3</sup>JH, H 8Hz, 1H, H4(py); 8.64, d with <sup>195</sup>Pt
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        satellites, <sup>3</sup>J<sub>H,H</sub> 5 Hz, <sup>3</sup>J<sub>H,Pt</sub> 38 Hz, 3H, H2,6(py) and NH. <sup>19</sup>FNMR.: -140.7, m, 2F, F3,5; (p-
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HC<sub>6</sub>F<sub>4</sub>NH); -145.3, m, 2F, F3,5 (p-HC<sub>6</sub>F<sub>4</sub>N); -146.1, m, 2F, F2,6 (p-HC<sub>6</sub>F<sub>4</sub>NH); -154.1, m,

- 2F, F2,6 (p-HC<sub>6</sub>F<sub>4</sub>N). UV/Visible spectrum  $\lambda$  max ( $\epsilon$ ): 376nm (2.2x10<sup>3</sup>). IR spectrum: 187
- 3454w, 3080mw, 3048w, 3032w, 3010w, 2982w, 2943w, 2862w, 2323w, 2167w, 2113w, 188
- 189 1981w, 1916w, 1739vw, 1632w, 1608vs, 1581w, 1523vs, 1492s, 1467mw, 1453s, 1443s,
- 190 1351vs, 1288mw, 1266m, 1245w, 1213w, 1177m, 1157m, 1123s, 1076m, 1044s, 1019w,
- 936vw, 895vs, 869m, 856w, 841w, 831m, 791m, 767m, 743w, 716mw, 696s, 674w, 663w, 191
- 629mw cm<sup>-1</sup>. Mass spectra: m/z 792 [33, M<sup>+</sup>]; 714 [25, 192  $(MH-py^{+})$ ], 629
- 193  $[20, M-C_6H_2Me_3CO_2^+], 179 [(80, p-HC_6F_4NHCH_3)^+].$

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# 2.3 Preparation of DNA solutions

Double stranded calf thymus DNA (1 mg/mL) and single stranded calf thymus DNA (0.75 mg/ml) solutions were obtained from Sigma Chemical Company and prepared in 10 mM Tris-HCl buffer at pH 7.4 and stored at 8 °C. The stock solutions of 6 mM and 3 mM of both **PFB** and **TMB** were prepared in water/acetone (10% v/v) mixture and stored at room temperature in the dark. The colour of the fresh solution was bright vellow. These solutions remained stable for a few months. UV-Vis spectra and cyclic voltagrams were acquired to confirm the stability of these drug solutions.

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# 2.4 Preparation of Drug solution

The two compounds PFB and TMB were prepared as stock solutions of 6.00 mM in water: acetone (10% v/v).

Stock solution calf thymus dsDNA or ssDNA (100  $\mu$ L) were added to 100  $\mu$ L of the stock solution of the Pt drug solution at room temperature and mixed for 2 min (Vortexer, Ratek Instruments Pty Ltd, Knox City, Victoria, Australia). It was wrapped tightly using parafilm to prevent liquid evaporation and incubated for 48 h at 37 °C in the dark, 3 µL aliquots of the clear solution were collected and deposited onto the ATR crystal. All experiments were carried out in triplicates. The average observed pH of the final mixtures of DNA treated with Pt drug solution or DNA control was 7.2 (± 0.04). The pH value was measured with a pH meter (Hanna Instruments Pty. Ltd., Woonsocket, Rhode Island, USA).

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#### 2.5 Instrumentation

UV-Vis spectra were acquired using a Carry 100 UV-Vis spectrometer with the Varian Carry WinUV software (Santa Clara, CA, USA) for both double strand and single strand DNA. Both solutions showed a UV band at 260 nm.[32]

ATR-FTIR spectra of DNA were acquired using a Silicon BioATR Cell II accessory (Harrick Scientific, Pleasantville, NY) coupled to a Bruker IFS Equinox55 FTIR system (Bruker Optics Pvt. Ltd, Billerica, MA, USA). The silicone ATR crystal has an inert sample interface (Teflon and stainless steel) and ca 6 µm effective pathlength between 1500 to 2000 cm<sup>-1</sup>. ATR-FTIR spectra of solid drugs were recorded using a Golden Gate single bounce diamond micro-ATR coupled to a Bruker IFS Equinox FTIR system (Bruker Optics Pvt. Ltd. Billerica, MA, USA). The diamond ATR crystal has ca 2 µm effective pathlength at 1000 cm<sup>-1</sup> and was used to record ATR-FTIR spectra for platinum compounds in the solid state. The data were processed using the Bruker OPUS software, version 6.0 (Bruker Optics Pvt. Ltd).

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#### 2.6 UV-Vis spectra of DNA

The stock solution of dsDNA calf thymus was prepared at the concentration of 1 mg/mL The UV-Vis absorbance was measured for diluted DNA solution (50× dilution). The acquisition of UV-Vis absorbance was achieved using a 5 mL quartz cell and a Carry UV-

Vis spectrometer at 260 nm. The purpose of this step is to extract the nucleotide concentration by calculating the PO<sub>2</sub><sup>-</sup> concentration as they are equivalent to each other.

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# 2.7 FTIR-ATR spectroscopy

The spectra of the solid drugs were recorded using the Golden Gate single bounce diamond micro-ATR system coupled to a Bruker IFS Equinox FTIR system (Bruker). The diamond ATR crystal has an effective pathlength of ca 2  $\mu$ m at 1000 cm<sup>-1</sup>. After cleaning the surface of the ATR crystal with distilled water and isopropanol the samples of DNA-drug solutions were recorded using the silicon ATR crystal (45 °C top plate) of the BioATRCell II, which has an inert sample interface (Teflon and stainless steel) and ca 6  $\mu$ m effective pathlength between 1500–2000 cm<sup>-1</sup>.

Three replicates were recorded for each drug-DNA sample and control. The samples included the DNA solution with Tris buffer solution, a DNA solution with a solvent (acetone:water mixture 10:1) and DNA samples mixed with drug solutions. 3 µL of each aqueous sample was placed onto the silicon ATR crystal of the BioATR cell covering the entire crystal to ensure the coverage of the 4.4 mm diameter of the crystal surface and to provide an active sampling area by forming a uniform film. In the spectral region from 4000 to 600 cm<sup>-1</sup>, 50 sample interferograms were acquired at a resolution of 4 cm<sup>-1</sup> with a zero filling of 2. The spectrum of blank silicon was acquired as the background before each sample spectrum. Before transferring the samples to the ATR crystal, they were left on the bench for a few minutes to establish room temperature. The spectra of each sample were acquired continuously over a one hour period every 60 seconds. The samples included the DNA solution mixed with saline or water/acetone as the controls and the DNA solutions treated with PFB, TMB and cisplatin, respectively. The drug was dissolved in an acetone water mixture (10:1) and incubated for 48 hours at the physiological temperature of 37 C to mimic the body temperature. 3 µL of each sample was deposited on the ATR biocell and air-dried until consistent spectra were achieved. The process was repeated to obtain a series of dehydrated samples.

The spectra showing acetone contamination observed in the first two or three spectra for each sample were excluded from the ensuing analysis.

The rehydration procedure utilised a humidifier to apply a stream of mist over each dehydrated sample. The rehydration process was monitored for each sample with 60 scans at 8 cm<sup>-1</sup> resolution until the DNA control and DNA:drug samples were fully hydrated.

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#### 2.8 Data pre-processing

All spectra were pre-processed using the PLS toolbox in MATLAB (MathWorks, Natick, MA). Second derivatives were calculated using the Savitsky–Golay algorithm with 9 smoothing points.

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### 2.9 Data analysis

Second derivative spectra were calculated and compared following treatment with different drugs during dehydration and rehydration.

Principle Components Analysis (PCA) was performed on spectra of hydrated samples treated with the different drugs for ssDNA and dsDNA using MATLAB (Math Works, Natick, MA). The analysis was performed in the 1400-900 cm<sup>-1</sup> region on the second derivative using Savitsky-Golay algorithm, polynomial order of 2, and 15 smoothing points then normalized using SNV and mean cantered.

#### 3. Results

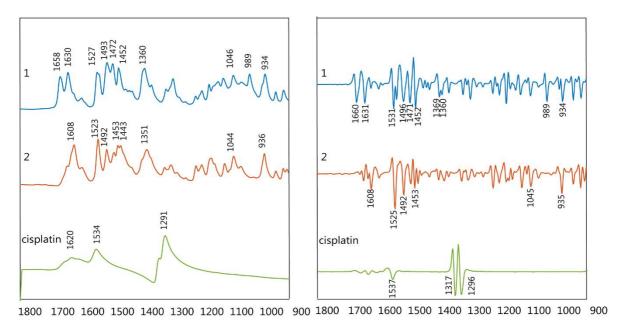
Complexes **PFB** and **TMB** were prepared by photo-induced substitution of pyridine by a carboxylate ion with concomitant protonation of one amide nitrogen (Schemel). Both gave satisfactory microanalyses and appropriate mass spectra including parent ions with the expected isotope patterns. Both <sup>1</sup>H and <sup>19</sup>FNMR spectra provided evidence of two different 2,3,5,6-tetrafluorophenyl groups. The NH resonance for **PFB** was clearly overlapped by H2,6 (py) for **2**. As the protonated nitrogen atom is chiral (H, CH<sub>2</sub>, p-HC<sub>6</sub>F<sub>4</sub>, Pt substituents), the adjacent CH<sub>2</sub> group is prochiral and gives two resonances separated by 1ppm. The <sup>3</sup>J<sub>H,Pt</sub> constants of the pyridine ligand (39 Hz) are larger than that of Pt103 (34 Hz)[31] and in the range of the [Pt{N(C<sub>6</sub>F<sub>4</sub>X<sub>4</sub>)CH<sub>2</sub>}<sub>2</sub>(py)<sub>2</sub> (X = F, Cl, Br, I, Me) complexes (33-35 Hz) where pyridine is trans to a tetrafluorophenylamide nitrogen, but are similar to those of [Pt(py)<sub>2</sub>(H<sub>2</sub>NCH<sub>2</sub>)<sub>2</sub>](O<sub>2</sub>CC<sub>6</sub>F<sub>5</sub>)<sub>2</sub> (39 Hz)[31], and [Pt{N(p-XC<sub>6</sub>F<sub>4</sub>)(CH<sub>2</sub>)<sub>2</sub>NMe<sub>2</sub>}(py)(Y)] (y = halide; X = H, F, Cl, Br, Me) (39 Hz)[33] where pyridine is trans to an amine nitrogen. Accordingly, the pyridine ligand in **PFB** and **TMB** is trans to the amine (NH) nitrogen as in Figure 1.

## Table 1. Peak positions for DNA conformational bands

| A conformation (cm <sup>-1</sup> ) | Bconformation (cm <sup>-1</sup> ) | Assignment                           |
|------------------------------------|-----------------------------------|--------------------------------------|
| 1705                               | 1712                              | Base pair carbonyl V(C=O)[34,35]     |
| 1418                               | 1422                              | C2/C3'-endo deoxyribose[36]          |
| 1275                               | 1281                              | Unidentified                         |
| 1238                               | 1225                              | Asymmetric phosphate stretching [34] |
| 1188                               | Absent                            | C3'-endo-sugar phosphate[34]         |
| 1088                               | 1088*                             | Symmetric phosphate[34]              |
| 1055                               | 1055*                             | Backbone v(C-O)[34]                  |
| 968                                | 970                               | Backbone v(C-C)[34]                  |

The drugs were tested *in vitro* and shown to be effective against leukaemia cell lines (L1210, L1210/DDP[37].

FTIR spectra of the synthesized drugs **PFB** and **TMB** were recorded in the spectral region from 1800 to 900 cm<sup>-1</sup> (Figure 2).



**Figure 3**: IR raw spectra (left) and 2<sup>nd</sup> derivative (right) of compounds **1**, **2** and cisplatin.

The  $v_{as}(COO^-)$  shows an intense band between 1658-1617 cm<sup>-1</sup> while the  $v_s(COO^-)$  appears between 1363-1341 cm<sup>-1</sup>. The v(C-F) shows two bands at ~934 and 990 cm<sup>-1</sup>, the latter resulting from vibrations characteristic of the pentafluorobenzoate group. The other bands at approximately 1495, 1047 and 1500 cm<sup>-1</sup> are characteristic of the pentafluorobenzoate

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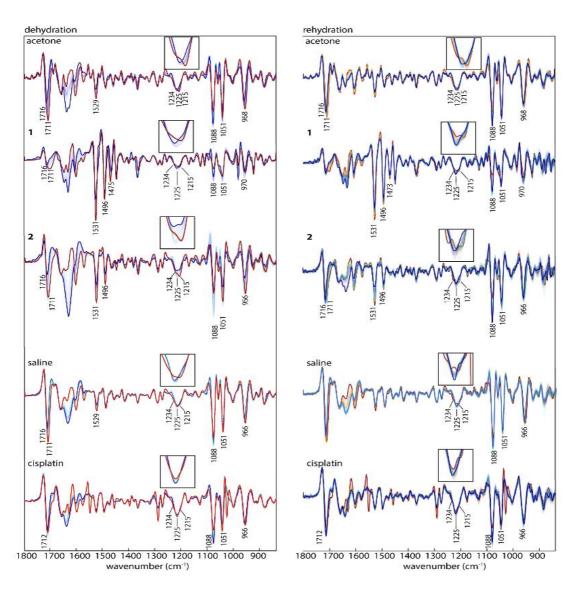
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ATR-FTIR spectra were recorded of all DNA-drug samples in the spectral region from 1800-850 cm<sup>-1</sup> where the DNA phosphodiester bands are located. Figure 2 shows the second derivative spectra during dehydration (left) and rehydration (right) from DNA treated with acetone/water or saline as the controls along with compounds PFB, TMB and cisplatin. In a previous study the dehydration and rehydration effects on the DNA conformation were especially noticeable after subtraction of water bands[38]. Here, each set of spectra from dehydrated and rehydrated mixtures for both the control and the treated DNA were preprocessed separately. The raw infrared spectra of DNA control samples and the second derivative spectra recorded during dehydration are shown in Figure 4. The raw spectra (top), show noticeable changes in the 1088 and 1051 cm<sup>-1</sup> bands. According to the literature this indicates a DNA conformation change from B-DNA to A-DNA. This change is related to a decrease in water content, which returns to the B conformation with the addition of water to the sample. Therefore the change is not considered as definitive evidence of damage to DNA. In Figure 4 the DNA in saline serves as the control. The second derivative spectra show changes in DNA during dehydration, which are more obvious than in the raw spectra because the bands are sharper and the inflection points resolved into distinct bands.

To monitor the effect of hydration on DNA conformation following incubation with the drugs, all samples were measured in the hydrated state, during drying and after rehydration. Figure 4 shows second derivative spectra of control DNA and drug-treated DNA in the hydrated, dehydrated and rehydrated state. Cisplatin also seves as a control because its mechanism of interaction with DNA is well established [39]. First, dsDNA was treated with cisplatin, dried on the ATR crystal and rehydrated with water. The same process of dehydration and rehydration was performed on another sample of DNA mixed only with saline. The cisplatin-induced effects on DNA after rehydration are highlighted in the spectra shown in Figure 4. The most affected bands were at 1716, 1225, 1088, 1051, and 968 cm<sup>-1</sup>.

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The bands in the rehydrated cisplatin-treated DNA show similar changes that occurred during the dehydration process. This indicates the damage in the DNA was influenced by the interaction with cisplatin.



**Figure 4**: IR Average spectra (second derivative) of dsDNA treated with acetone (control 1) **PFB**, **TMB**, saline (control 2) and cisplatin in the course of dehydration (left) colour-coded from blue (hydrated) to red (dehydrated) and in the course of rehydration (right) colour-coded from red (dehydrated) to blue (hydrated).

In the controls, a noticeable change in the spectra of the two bands around 1088 and 1051 cm<sup>-1</sup> is observed during dehydration: they decreased in intensity in relative to the 1051 cm<sup>-1</sup> band. While the band at 1088 cm<sup>-1</sup> is more intense in the hydrated state, the band at 1051 cm<sup>-1</sup> is more intense in the dehydrated state. According to the literature this indicates that the DNA conformation has changed from B-DNA to A-DNA[35]. This change is only related to the deficiency in water content and is a temporary change that returns back to the B-conformation upon rehydration. Therefore in this case the DNA conformation is not affected. The band at 1225 cm<sup>-1</sup> shifts and splits during dehydration and appears as two bands at 1234 and 1215 cm<sup>-1</sup> in the dehydrated A-DNA. The band at 968 cm<sup>-1</sup> increases in intensity and shifts towards 966 cm<sup>-1</sup>, while the band at 1716 cm<sup>-1</sup> shifts towards 1711 cm<sup>-1</sup>. Whereas the

- acetone treated A-DNA returns to the B-form uppon rehydration as evinced by the fact that 357 358 1088 cm<sup>-1</sup> and 1051 cm<sup>-1</sup> show similar changes to the actone:DNA mixture during
- 359 dehydration and rehydration.

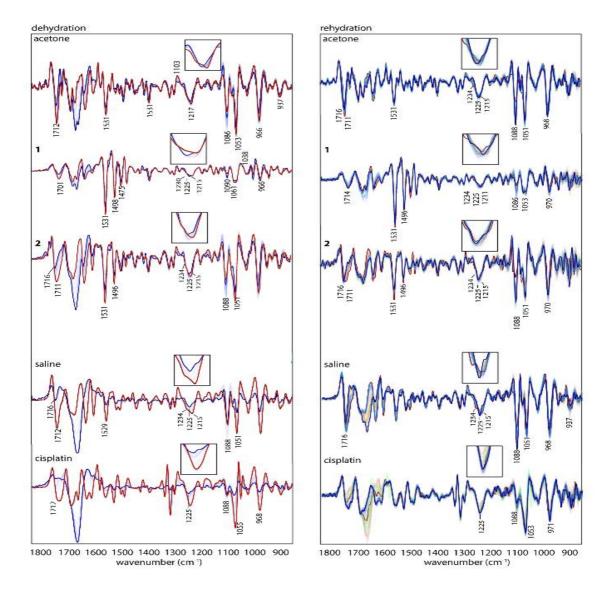
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- Drugs **PFB** and **TMB** show a mixture of B-DNA and A-DNA in the hydrated state as evinced 361
- 362 by the split of the band at 1225 cm<sup>-1</sup>. This band is assigned to the PO<sub>2</sub><sup>-</sup> asymmetric-stretching
- 363 vibration and indicates that the mode of interaction for these drugs is similar. In the
- dehydrated state for the same drugs the 1225 cm<sup>-1</sup> had a split into two bands 1215 and 1234 364
- 365 cm<sup>-1</sup>, which indicates the partial transformation into the A-DNA conformation [40]. The
- PO<sub>2</sub> band at 1225 cm<sup>-1</sup> in the control spectra in the hydrated state indicates the exclusive 366
- presence of B-DNA, while in the dehydrated the A-DNA conformation dominates the 367
- 368 spectral profile, indicated by the blue shift to 1234 cm<sup>-1</sup>. The band 1051 cm<sup>-1</sup> shows a decrease
- 369 in intensity and a red shift towards 1060 cm<sup>-1</sup> for all the drugs compared to the control DNA
- 370 where there is no effect on this band.
- 371 Another feature in characteristic DNA conformation change is the band at 968 cm<sup>-1</sup>. In the
- 372 hydrated state of the control B-DNA this band is at 968 cm<sup>-1</sup>, while it is slightly red-shifted
- towards 966 cm<sup>-1</sup> in the dehydrated state. In the dehydrated state of compounds, **TMB** and 373
- 374 cisplatin there is a decrease in intensity and a red shift towards 966 cm<sup>-1</sup> while it decrease in
- 375 intensity for PFB. In rehydration, the band keep the same situation as in the dehydration state
- 376 for compounds PFB, TMB and cisplatin.
- The base pairing mode of dsDNA at 1716 cm<sup>-1</sup> in the control DNA maintains the intensity 377
- 378 and the position in both, the hydrated and the dehydrated state, while it shows a clear change
- 379 in the treated DNA with the drugs. For dsDNA treated with **PFB** and cisplatin a significant
- decrease in intensity and a red-shift from 1716 to 1701–1711 cm<sup>-1</sup> is visible in the hydrated 380
- 381 state. That change is also observed in the dehydrated state. This indicates a partial separation
- 382 of the A-DNA double helix into single strands with weaker base pairing interactions[27].
- An intensity change of the symmetric phosphate stretching at 1088 cm<sup>-1</sup> is also associated 383
- 384 with a transformation from B-DNA to A-DNA. In the control DNA, there is no change in
- 385 this band in the hydrated state but a slight decrease in the band intensity at 1088 cm<sup>-1</sup> in
- 386 respect to the band at 1051 cm<sup>-1</sup> in the dehydrated state. The band decreased in intensity
- significantly in the hydrated state in spectra of dsDNA treated with TMB, while only a slight 387
- 388 decrease in intensity is visible in spectra of dsDNA treated with PFB.

# Interaction of drugs with single stranded DNA

- 391 To initiate cell proliferation, DNA is replicated. In the course of DNA-replication, dsDNA is
- 392 unwound into ssDNA. As tumour cells have an increased proliferation rate, more ssDNA is
- 393 present compared to healthy cells. Targeting ssDNA therefore increases the selectivity of an
- 394 anticancer drug towards tumour cells. Consequently, we are particularly interested in the
- 395 interaction of the studied drugs with ssDNA. Similar to the interaction studies with dsDNA,
- ssDNA was treated with the drugs in the hydrated state and the drug-DNA interaction was 396
- 397 monitored with IR spectroscopy during dehydration and rehydration. The 2<sup>nd</sup> derivative IR
- 398
- spectra of ssDNA treated with 1, 2 and cisplatin during dehydration and rehydration are
- 399 shown in Figure 5.

389



**Figure 5**: IR Average spectra (second derivative) of ssDNA treated with acetone (control 1) **1**, **2**, saline (control 2) and cisplatin in the course of dehydration (left) colour-coded from blue (hydrated) to red (dehydrated) and in the course of rehydration (right) colour-coded from red (dehydrated) to blue (hydrated).

Spectra of ssDNA treated with **PFB** and **TMB** show more intense A-DNA conformation indicators compared to dsDNA and ssDNA treated with **PFB**. The asymmetric phosphodiester band at 1225 cm<sup>-1</sup> in the hydrated state is shifted towards 1234 cm<sup>-1</sup> indicating that **PFB** has stronger interactions with ssDNA compared to dsDNA. Thus, **PFB** might be more selective towards tumour cells compared to healthy cells.

The bands observed in both ssDNA and dsDNA spectra interacted with **PFB** and **TMB** between 1450 and 1525 cm<sup>-1</sup> are from the pentafluorogroup in the drugs as discussed above.

The water content played a role in keeping the B-like DNA conformation for ssDNA for some time during dehydration in the controls. In ssDNA treated with **PFB**, **TMB**, and

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cisplatin, the changes in DNA conformation start to appear while the samples are still in the hydrated state.

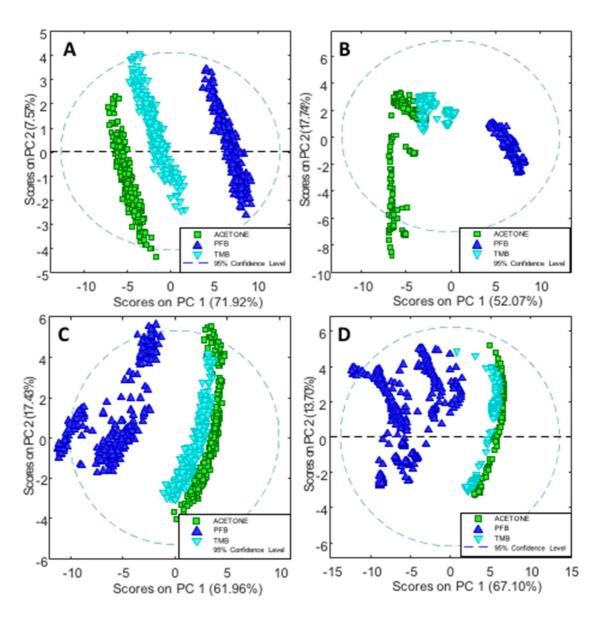
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## **Principle Component Analysis**

420 In order to further investigate the effects of PFB and TMB versus the controls, PCA was 421 applied on the second derivative in the spectral region 1400-900 cm<sup>-1</sup>. For each drug there 422 were three trials and multiple technical replicates for each trail. Cisplatin was not included in 423 the PCA because it was solvated in saline while compounds **PFB** and **TMB** were in acetone 424 thus were inappropriate to include in the same model. Figure 6A shows the PC1 versus PC2 425 scores plot depicting ssDNA treated with **PFB** and **TMB** and the non-treated DNA (control 426 in acetone/water) in the dehydrated state, while Figure 6B shows the corresponding scores 427 plot for the rehydrated ssDNA. Figure 6C shows the analogous scores plot for the dehydrated 428 dsDNA, while Figure 6D shows the scores plot for the rehydrated dsDNA with and without 429 drug treatment. In all cases, for ssDNA and dsDNA both in the hydrated and dehydrated state, 430 the TMB clusters closely to the DNA/acetone/water control whereas PFB is considerably 431 separated along PC1. The spread observed along PC2 is from different levels of hydration 432 between the technical replicates.

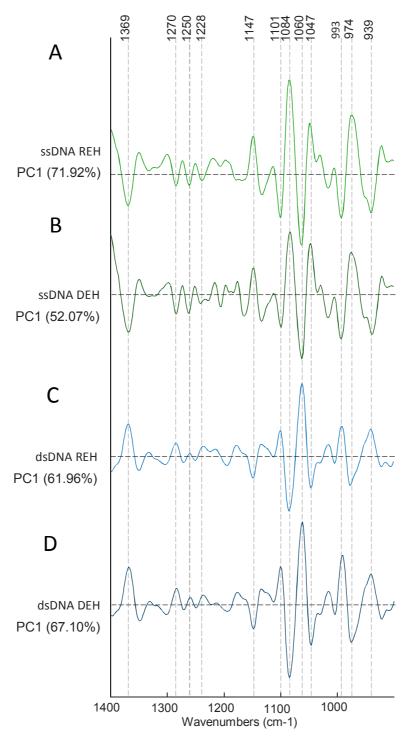
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**Figure 6. A** PC1 versus PC2 scores plot depicting ssDNA treated with the **PFB** and **TMB** and the non-treated DNA (control in acetone/water) in the dehydrated state. **B** PC1 versus PC2 scores plot for the rehydrated ssDNA with and without drug treatment. **C** PC1 versus PC2 scores plot for dehydrated dsDNA with and without drug treatment. **D** PC1 versus PC2 Scores Plot for rehydrated dsDNA with and without the drug treatment.

Figure 7A shows the loadings plot for the rehydrated ssDNA, while Figure 7B shows the loadings plot for the dehydrated ssDNA. The positive score values are correlated with the negative loadings values because the PCA was performed on the second derivitive spectra. The band at 1084 cm<sup>-1</sup> is assigned to the  $\nu_s(PO_2^-)$  from the DNA phosphodiester backbone and appears as a very strong loading associated with the control ssDNA and is absent in the negative loadings associated with the drug incubated ssDNA spectra. The 974 cm<sup>-1</sup> band also appears as a strong PC1 loading in the ssDNA control and is assigned to the dianionic phosphodiester vibration of DNA. This loading is not observed in the drug treated controls and demonstrates that both compounds PFB and TMB significantly disrupt the DNA phosphodiester backbone. Figures 7C and 7D show the loadings plots for the rehydrated and

dehydrated dsDNA. In this case the negative loadings are associated with the controls and once again show a strong  $v_s(PO_2^-)$  loading at 1084 cm<sup>-1</sup> and also a strong band at 974 cm<sup>-1</sup>, which is absent in the drug incubated dsDNA. The results confirm that the drugs are substantially disrupting the phosphodiester backbone in the dsDNA in a similar way to the ssDNA as peviously shown for Pt103 and cisplatin in cells [27]. In summary the transition from B-DNA to A-DNA is reversible when rehydrating the untreated control DNA, but it is irreversible after treating DNA with the drugs especially PFB, which has a more dramatic effect on DNA than TMB. When comparing the vibrational modes of nucleobases with the vibrational modes of the backbone, they have lower intensity and it is not possible to track the changes in vibrations of nucleobases or determine if there is any type of binding to the drugs via the DNA bases at this stage.



462 **Figure 7. A** PC1 loadings plot depicting ssDNA treated with **PFB** and **TMB** and the non-463 treated DNA (control in acetone/water) in the dehydrated state. The positive loadings are 464 associated with the controls and the negative loadings are associated with the drug inoculated 465 cells. **B** PC1 loadings plot for the rehydrated ssDNA with and without drug treatment. The 466 positive loadings are associated with the negative scores. The positive loadings are associated 467 with the controls and the negative loadings are associated with the drug inoculated cells. C 468 PC1 loadings plot for dehydrated dsDNA with and without drugs. The positive loadings are 469 associated with the drug inoculated cells and the negative loadings are associated with the 470 control cells. **D** PC1 versus PC2 loadingsplot for rehydrated dsDNA with and without the 471 drugs. The positive loadings are associated with the drug inoculated cells and the negative 472 loadings are associated with the control cells.

#### 473 4. Conclusions

- The study shows how infrared spectroscopy can be used to study the interaction between
- some platinum drugs and DNA. In was found that dsDNA and ssDNA treated with PFB and
- 476 TMB transform from B-DNA to A-DNA during dehydration and do not return back to the
- 477 B-DNA conformation upon rehydration. Untreated control DNA in acetone/water, on the
- other hand, transforms from B-DNA to A-DNA during dehydration but is able to return back
- 479 to its original conformation upon rehydration. The spectroscopic results indicate that the
- 480 platinum complexes have a similar effect to cisplatin. This indicates a similar mechanism of
- interaction with DNA. The ability of infrared spectroscopy to study conformational dynamics
- opens up a new pathway to explore DNA:drug interactions with the potential to screen for
- new therapeutic agents.
- Supplementary Materials: The following are available online at www.mdpi.com/xxx/s1,
- Figure S1: FTIR-IR absorbance spectra of the control with buffer and acetone, DNA-drug
- samples with cisplatin and PFB

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- 493 Ethical approval: This article does not contain any studies with human participants or
- animals performed by any of the authors.
- 495 **Informed consent**: Not applicable.
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