

1 Article

2 Determining Oil Palm Stands Age Using Multi 3 Temporal Images Analysis

4 A.C. Fitrianto^{1,2,*}, D.M. Yuwono^{2,3}, Arif Darmawan^{1,4}, and Koji Tokimatsu¹

5 ¹ Department of Transdisciplinary Science and Engineering, Tokyo Institute of Technology, 2-12-1
6 Oookayama - Meguro-ku -Tokyo

7 ² Geospatial Information Agency (BIG), Jl. Raya Jakarta Bogor km. 46 Cibinong-Bogor - Indonesia

8 ³ School of Earth and Environmental Science, The University of Queensland-Australia

9 ⁴ Agency for the Assessment and Application of Technology (BPPT)

10 * Correspondence: aangtimor@gmail.com; Tel.: +62 817257681

11

12 **Abstract:** In the oil palm industry, stands age is an important parameter to monitor the sustainability
13 of cultivation, to develop the growth yield model, to identify the disease or stressed area, and to
14 estimate the carbon storage capacity. This research is focused to estimate and distinguish oil palm
15 stands age based on crown/ canopy density obtained using Forest Canopy Density (FCD) model
16 derived from four indices as follows; Advanced Vegetation Index, Bare Soil Index, Shadow Index,
17 and Thermal Index. FCD model employs multi temporal image analysis resulting four classes of oil
18 palm stands age categorized as seed with FCD value of 29 - 56% (0 years), young with FCD value of
19 56 - 63% (1 - 9 years), teen with FCD value of 63 - 80% (10 - 15 years), and mature with FCD value
20 of > 80% (> 15 years). Minimum canopy density value is 29% even in the zero years old indicates
21 incomplete land clearance or the type of seed planted in the land.

22 **Keywords:** remote sensing, multi-temporal, landsat, age, canopy, FCD.
23

24 1. Introduction

25 Demand and research for renewable energy development have been intensified since the
26 escalating price of crude petroleum in the recent years. Renewable energy such as biodiesel has the
27 potential to replace fossil fuel in the future. Biodiesel is defined as the mono-alkyl esters of long-chain
28 fatty acids derived from vegetable oils such palm oil, rapeseed, and soybean [1]. Palm oil is the second
29 most traded vegetable oil crop in the world after soy, and over 90% of the world's palm oil exports
30 are produced by Malaysia and Indonesia [2]. This biomass has high potential of turning into
31 renewable energy. Empty Fruit Bunch (EFB) and Mesocarp Fiber (MF) is the highest contributor of
32 oil palm biomass. Oil palm biomasses can be transformed into three types of biomass energy: i.e. bio-
33 products, bio-fuels, and bio-power. The energy output of oil palm is almost three times higher
34 comparatively to soybean and rapeseed oil [3].

35 Palm oil is the largest agricultural industry in Indonesia with the total harvested oil palm area
36 grew from 4.1 million ha in 2006 to an estimated 8.9 million ha in 2015 [4]. Solid waste in crude palm
37 oil industry can be predicted by the assumption of about 20% of Fresh Fruit Bunch (FFB) of oil palm
38 [5]. It means the potential source for energy especially EFB is abundant. On the other hand, utilization
39 of oil palm by product is not in a significant way. EFB, shells, and fibers as a solid waste in crude
40 palm oil industry does not utilized as an alternative energy resources to generate electricity. Mostly,
41 EFB will be treated as manure but this is not effectively reducing the number of solid waste in palm
42 oil industry due to high cost and low density.

43 Oil palm stands age is an important parameter to be considered in palm oil industry which are
44 commonly have an economical lifespan up to 25 years and the production starts about 2 years old
45 and reach optimum production at the age between 6–10 years after planting [6]. Age also one of

46 important factors to influence fruit bunch production. Therefore, it is essential to determine the age
47 of oil palms because of the several reasons such as i) to monitor the sustainability of oil palm
48 cultivation, ii) to develop the growth yield model, iii) to identify the disease or stressed area of oil
49 palm plantation, and iv) to estimate the carbon storage capacity of the oil palm plantation [7].

50 Likewise, accurate and reliable information of oil palm production is very important and needed
51 for oil palm management in making decision especially regarding information about plant quality,
52 phenology, health, and yield prediction. Currently, all of oil palm information depend on traditional
53 method of sample surveys in the field [5]. The lack information in oil palm management caused by
54 the dependence of data collection on traditional method of sample surveys in the field that was not
55 effective, cost and time consuming. One technique that can be used for monitoring and predicting
56 EFB production in large scale area is remote sensing.

57 Several remote sensing studies have been conducted to estimate oil palm stands age. The
58 researchers adopted some methodologies such as linear regression, object-based image analysis
59 (OBIA) including by using various remote sensing data such as Landsat Thematic Mapper and
60 Worldview-2. Phenology characteristics unique to a particular species (e.g., rubber, oil palm) and
61 allow to differentiate from similar cover types such as natural forest, can be used as one approach to
62 map plantations using optical data [8].

63 McMorrow [9],[10] adopted Landsat Thematic Mapper and linear regression method to estimate
64 the age of oil palm plantations and found a better result at 1 -10 years old oil palm as compared to
65 other age groups [7]. Single age classes also cannot be accurately estimated at the pixel level in this
66 method. The use of generalized age classes (<5, 6-10, 11-15, >15 years) and post prediction averaging
67 to stand age classes made the accuracy was improved, but errors remain unacceptably high,
68 especially for old classes [10]. Srestasathiern and Rakwatin [11] proposed the use of vegetation index
69 that much powerful in distinguish oil palm and non-oil palm objects.

70 Ibrahim et.al [5] also employed Landsat TM to estimate oil palm age and developed a regression
71 model to distinguish age class using different variable such as vegetation indices and the leaf area
72 index. The results indicated a high correlation of using Landsat TM and the relationship between
73 Landsat TM bands and the age classes of the oil palm plantation. In addition, other factors such as
74 canopy, chlorophyll, and ground reflectance can be used to distinguish oil palm stands age class. [7].

75 Different from two previous researchers, Chemura [12] adopted high resolution satellite
76 imagery (Worldview-2) to investigate oil palm age. Object based image analysis (OBIA) method was
77 conducted to identify the crown area resulting an empirical function. Estimating oil palm age from
78 OBIA delineated crown area was showed that estimating oil palm age from this approach for the age
79 of younger oil palm (less than 8 years) will overestimated and underestimated older stands.

80 Several studies were conducted using remote sensing technique especially Forest Canopy
81 Density (FCD) model but most of them used for forest management and monitoring. Rikimaru, et.al
82 [13] used this model to map tropical forest cover density. In 2006, [14] conducted research to estimate
83 forest canopy density in a forest corridor linking the Himalayan middle mountains to the Royal
84 Chitwan National Park in Chitwan district Nepal, and tried to compare FCD model with three other
85 methods that are artificial neural network, multiple linear regression, and maximum likelihood
86 classification. Akike and Samantha [15] studied Forest Canopy Density (FCD) model to estimate
87 forest canopy density of the proposed deforestation site in Wafi-Golpu Project site, Papua New
88 Guinea. Himayah, et.al [16] also conducted forest reclamation priority of natural disaster areas at
89 Kelud Mountain, East Java using FCD Model.

90 The focus of this research is to estimate and distinguish oil palm stands age based on crown/
91 canopy density obtained from FCD model. Many factors can be used to explain the spectral response
92 of vegetation including interaction of radiation with canopy. Corley (1973) [5] explained that light
93 intensity below the canopy is important caused by oil palm canopy closure starts at 4 years-old stand.
94 By 20 years-old, the change of leaflets horizontal angle becomes less and more vertical. This condition
95 makes the canopy gap fraction is greater and the ground cover will have more contribution to light
96 intensity below the canopy. Multi-temporal satellite image analysis of oil palm plantation area also

97 has been performed in this research. This step is very useful for monitoring and detailing information
 98 of oil palm stands age, and to investigate their correlation with canopy density.

99 2. Materials and Methods

100 2.1. Study Area

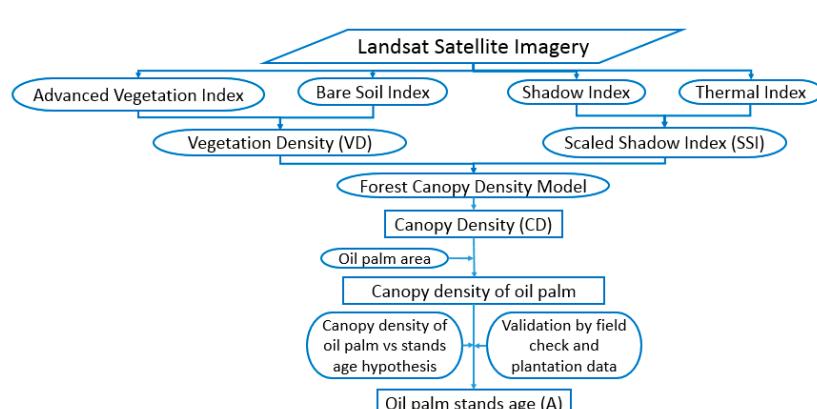
101 Study area of this research is located at PT. Perkebunan Nasional (PTPN) VIII as national
 102 plantation company of Indonesia. PTPN VIII is one of national plantation companies of Indonesia
 103 that was established under Government Regulation no. 13 of 1996. Cikasungka farm is one of PTPN
 104 VIII farms located in Bogor District with total area around 3,500 ha. This farm has six division that
 105 are Cimulang, Cindali, Cikasungka, Toge, Bolang, and Cigelung. In this research, only three division
 106 that are Cimulang, Cindali, and part of Cikasungka with large area around 1,700 ha was chosen.

107 2.2. Datasets

108 In this research, we used Landsat satellite image time series path/ row 122/ 065 from 2003 until
 109 2018 except 2011 caused by massive cloud coverage on the image data on the research area. The
 110 satellite images data consist of Landsat 7 ETM that was acquired on May 2nd, 2003; Landsat 5 that was
 111 acquired on April 29th, 2005; July 24th, 2007; and July 29th, 2009; also Landsat 8 OLI that was acquired
 112 on July 8th, 2013; August 17th, 2016; June 17th, 2017; and April 1st, 2018.

113 2.3. Forest Canopy density (FCD) Model

114 The age of oil palm stands was predicted using remote sensing technique based on canopy
 115 density. Forest canopy density (FCD) model was utilized as essential method to estimate stand age.
 116 This model involved bio-spectral phenomenon modelling and analysis utilizing data derived from
 117 four indices as follows Advanced Vegetation Index (AVI), Bare Soil Index (BSI), Shadow Index (SI),
 118 and Thermal Index (TI) [17]. The result of four indices integration in this modelling is percentage of
 119 canopy density in each pixel. Zero percent is no vegetation and 100 percent is very high density.
 120



121 **Figure 1.** Forest canopy density model

122 Advanced Vegetation Index (AVI) was used to measure green vegetation. In remote sensing field,
 123 healthy vegetation is characterized by high absorption and low reflectance in visible region. On the
 124 other hand, they will have low absorption and high reflectance in near infrared wavelength. AVI has
 125 been calculated using Equation 1 for Landsat 5 and 7ETM or Equation 2 for Landsat 8 OLI.

$$AVI = ((B4 + 1) * (256 - B3) * (B4 - B3))^{1/3} \quad (1)$$

$$AVI = ((B5 + 1) * (65536 - B4) * (B5 - B4))^{1/3} \quad (2)$$

126 Similar to AVI, Bare Soil Index (BI) is normalized indices that used to separate vegetation and
 127 their background. This index have opposite result with AVI because this is used to detect soil, it

128 means near infrared wavelength have low reflectance due to absorption by soil moisture. BI has been
 129 calculated using Equation 3 for Landsat 5 and 7ETM or Equation 4 for Landsat 8 OLI.

$$\frac{(B5 + B3) - (B4 + B1)}{(B5 + B3) + (B4 + B1)} \times 100 + 100 \quad (3)$$

$$\frac{(B6 + B4) - (B5 + B2)}{(B6 + B4) + (B5 + B2)} \times 100 + 100 \quad (4)$$

130 The value of BI will have range of value from zero to 200 for each pixel which is highest
 131 possibility of green leaves or canopy density if close to zero and non-vegetation surface or bare soil
 132 if the value close to 200.

133 Shadow characteristics are defined by *shadow index (SI)* as spectral information because crown
 134 arrangement of oil palm trees will have shadow pattern which affects spectral responses. The young
 135 age will have low value of SI compare to the old oil palm trees. The shadow index is derived from
 136 the low radiance of visible bands of Landsat 8 OLI image [7]. SI has been calculated using Equation
 137 5 for Landsat 5 and 7ETM or Equation 6 for Landsat 8 OLI.

$$SI = ((256 - B1) * (256 - B2) * (256 - B3))^{1/3} \quad (5)$$

$$SI = ((65536 - B2) * (65536 - B3) * (65536 - B4))^{1/3} \quad (6)$$

138 *Thermal Index (TI)* was used to check if the black or shadow is real shadow not black soil. Land
 139 surface that is close to shadow will have low temperature because leaf surface blocks and absorbs
 140 energy from the sun. The source for this index comes from thermal band of Landsat 5 or 7 (band 6)
 141 and Landsat 8 OLI data (band 10 and band 11). TI has been calculated using Equation 7 and 8.

$$L_I = M_I * Q_{cal} + A_I \quad (7)$$

142 where:

143 L_I = Top of Atmosphere (TOA) radiance in (Watts/m²*sradi*um)
 144 MI = Band specific multiplicative rescaling factor from the metadata
 145 (RADIANCE_MULTI_BAND_x, where x is the band number)

146 Qcal= Quantized and calibrated standard product pixel values (DN)

147 AI = Band specific additive rescaling factor from the metadata (RADIANCE_ADD_BAND_x, where
 148 x is the band number)

$$T = K_2 / \ln\left(\frac{K_1}{L_I} + 1\right) \quad (8)$$

149 where:

150 T = at-satellite brightness temperature (0K)

151 K2 = band specific thermal conversion constant from metadata (K2_CONSTANT_BAND_x; where
 152 x is band number)

153 K2 = band specific thermal conversion constant from metadata (K1_CONSTANT_BAND_x; where
 154 x is band number)

155 LI = product of the radiance formula

156
 157 *Forest Canopy Density (FCD)* value was shown as percentage of canopy density. Integration of
 158 four indices was used in the model to calculate canopy density. AVI and BSI was integrated to
 159 produce vegetation density (VD). The processing method employed principal component analysis.
 160 This result showed that AVI and BSI have high negative correlation. Higher AVI value have low
 161 value in BSI because canopy density or vegetation cover is high and no bare soil or open surface at
 162 there. After that, VD will be set in the percentage scaling from zero to a hundred percent point.

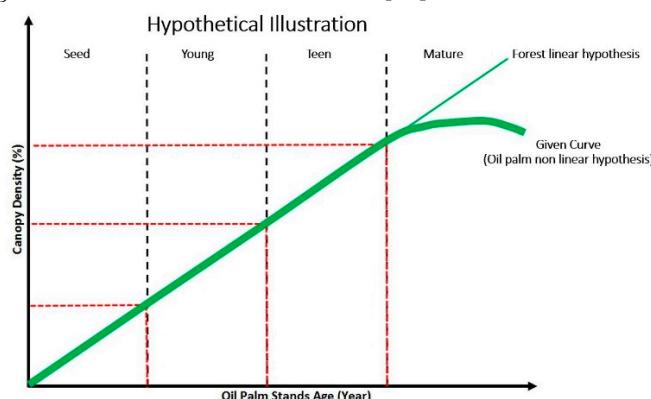
163 Another calculation is scaled shadow index (SSI) derived from linear transformation of SI. In
 164 areas where the SSI value is zero (low) corresponds to oil palm plantation that have lowest shadow
 165 value or minimum canopy density, opposites with areas where the SSI value is 100, which means has
 166 high canopy density. VD and SSI were integrated to achieve FCD value using Equation 9. FCD was

167 used to estimate oil palm stands age with the assumption that older stands will have higher
 168 percentage of canopy density.

$$FCD = (VD * SSI + 1)^{1/2} - 1 \quad (9)$$

169 In this research, we have assumption if some variable was equal for all area such as soil type,
 170 planting pattern, type of fertilizer, and another plantation management. It means only oil palm stands
 171 age have significantly contribute to canopy density differentiation. Oil palm plantation management
 172 have big correlation with canopy density is pruning tree branches. Many plantations have this policy
 173 to keep the amount of sunlight in that plantation and it will make the dense of oil palm trees canopy
 174 will different compare with natural oil palm trees.

175 Another assumption used in this research was non linier assumption [18][19] caused by the
 176 characteristic of oil palm stands after 20 years old is different, the diameter of the canopy or stem
 177 leaves increases is equal with growing phases, but the direction is different which stem leaves will
 178 grow with smaller angle and tend to lead downwards [20].



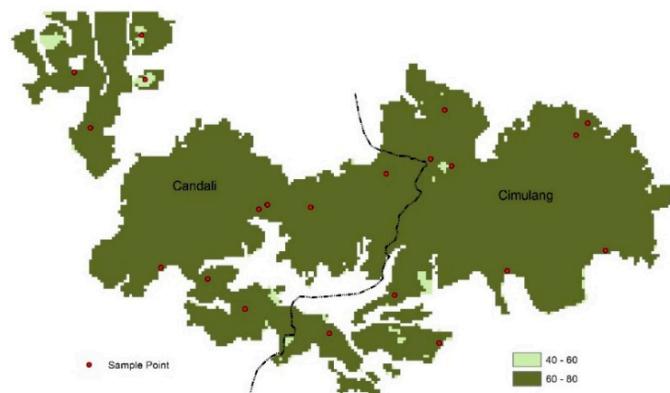
179
 180 **Figure 2.** Correlation of canopy density with the age of oil palm trees

181 Multi-temporal satellite image analysis of oil palm plantation area also has been conducted in
 182 this research. This step is very useful for monitoring and detailing information of oil palm trees age
 183 and to know their correlation with canopy density.

184 **3. Results**

185 *3.1. Single date image analysis*

186 This research was conducted from April 2017 until May 2018 and divided into two research
 187 areas. Cimulang and Candali area was used as first area for field check. Based on image satellite
 188 analysis from Landsat 8 OLI acquisition date on August 17th 2016, FCD model showed value range
 189 from 49.4 – 74.6 % in this area. To evaluate the accuracy of FCD model, we conducted field check and
 190 divided into 5 class that are 0-20%, 20-40%, 40-60%, 60-80%, and more than 80% to make it easier to
 191 located sample point.



192
 193 **Figure 3.** Canopy density of oil palm trees based on Landsat 8 OLI 2016 analysis

194 Field surveys in Cimulang and Candali area was conducted on October 2017 with 21 sample
 195 points that was spread into two class that are 40-60% and 60-80%. In every sample point, we used
 196 drone to take pictures from the air with coverage area of area 30m x 30m, this was chosen to make
 197 sample area has similar size to Landsat 8 OLI pixel size. Based on the field check result, almost all
 198 Candali and Cimulang area was covered by class 60-80% of canopy density and only one area in the
 199 class more than 80% of canopy density. Two sample points with 40-60% of canopy density caused by
 200 the influence from cloud shadow and built up area. The result of accuracy test based on field check
 201 data in this area is accuracy 85.7% and shown in table 1.
 202
 203

Table 1. Accuracy test FCD model in Candali and Cimulang area

Classification data	Field Data					Total Row	Commission	User Accuracy
	0 - 20	20-40	40-60	60-80	>80			
0 - 20%	0					0	0	0
20-40%		0				0	0	0
40-60%			0	2	1	3	100	0
60-80%				18		18	0	100
>80%					0	0	0	0
						18		
Total Column	0	0	0	20	1	21		
Omission (%)	0	0	0	10	100			
Producer Accuracy	0	0	0	90	0			85.71

204
 205 Cikasungka area was used as second area for field check. Based on image satellite analysis from
 206 Landsat 8 OLI with acquisition date on June 17th, 2017, FCD model shows in this area have value from
 207 57.7 – 79.5 %, but only one pixel show value less than 60% of canopy dense.



208
 209 **Figure 4.** Canopy density of oil palm trees based on Landsat 8 OLI 2016 analysis

210 Field surveys in Cikasungka area was conducted on March 2018 with 16 sample point that was
 211 only one class that is 60-80%. In every sample point, we used drone to took picture from the air with
 212 large area 30m x 30m, this was choose to made sample area have same size with Landsat 8 OLI pixel.

213 Based on the result of field check, almost all of Cikasungka area covered by class 60-80% of canopy
 214 dense. One pixel in image analysis that was shown 40-60% of canopy dense caused by the influence
 215 from built up area.. The result of accuracy test based on field check data in this area had accuracy
 216 100% and it was shown in table 2.
 217
 218

Table 2. Accuracy test FCD model in Cikasungka area

Classification data	Field Data					Total Row	Commission	User Accuracy
	0 - 20	20-40	40-60	60-80	>80			
0 - 20%	0					0	0	0
20-40%		0				0	0	0
40-60%			0			0	0	0
60-80%				16		16	0	100
>80%					0	0	0	0
						16		
Total Column	0	0	0	16	0	16		
Omission (%)	0	0	0	0	0			
Producer Accuracy	0	0	0	100	0			100

219
 220 In the field check, we also tried to get secondary data such as planting years map. Based on the
 221 field data and secondary data, all of research area have planting years from 2002 until 2005 with
 222 distribution area was shown in Figure 5.

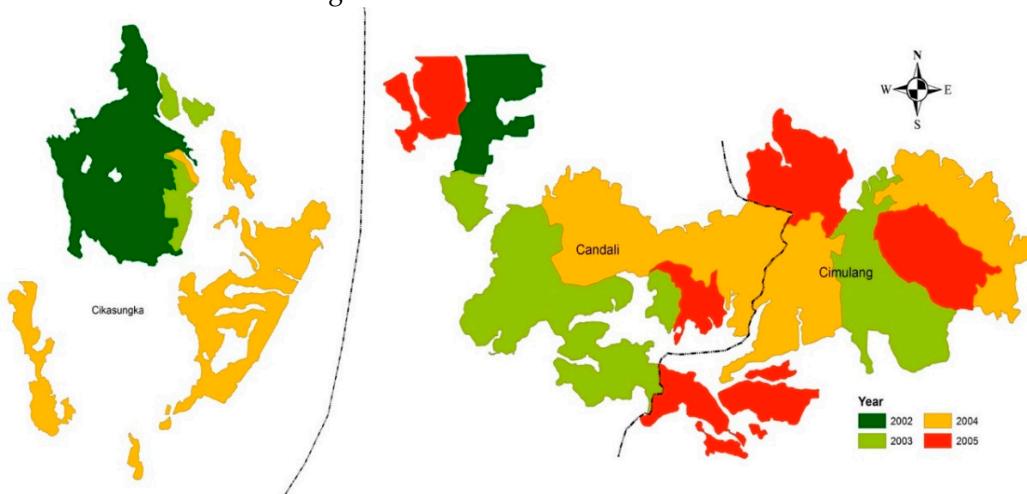


Figure 5. Planting years in research area

223
 224 Based on planting year map and image satellite analysis, combining with non-linear assumption,
 225 we tried to classify oil palm trees age and their correlation with canopy density and the results was
 226 shown in table 3.
 227
 228

Table 3. Correlation stands age with canopy density

No	Class	Stands Age	% Canopy Density
1	Seed	0 - 4	0 - 11
2	Young	4 - 9	11 - 41
3	Teen	9-15	41 - 80
4	Mature	15 - 25	> 80

229
 230 Source: Plantation Education Agency, 2013 with modification

231 3.2. Multi temporal image analysis

232 Multi-temporal satellite image analysis of oil palm plantation area also has been conducted as
 233 one step to evaluate and detailing information of oil palm trees age and to know their correlation
 234 with canopy density compare with single date image analysis.

235 The secondary data shown that planting years in research area started from 2002 until 2005 and
 236 all of area was planted during October until December or in wet season. Based on this information,
 237 we tried to analyze time series data which are acquisitioned during May 2003 until April 2018 which
 238 are consist of Landsat 7 ETM that was acquired on May 2nd, 2003; Landsat 5 that was acquired on
 239 April 29th, 2005; July 24th, 2007; and July 29th, 2009; also Landsat 8 OLI that was acquired on July 8th,
 240 2013; August 17th, 2016; June 17th, 2017; and April 1st, 2018. Combination of time-series image analysis
 241 and planting years was shown in table 4.

242

243 **Table 4.** Combination of time-series data and planting years

Stands	Satellite Image		Planting Year
	Age	Type	
0	Landsat 7 ETM	2-May-03	2002
1	Landsat 5 TM	29-Apr-05	2003
		24-Jul-07	2005
2	Landsat 5 TM	29-Apr-05	2002
		24-Jul-07	2004
3	Landsat 5 TM	25-Jul-07	2003
		29-Jul-09	2005
4	Landsat 5 TM	25-Jul-07	2002
		29-Jul-09	2004
5	Landsat 5 TM	29-Jul-09	2003
6	Landsat 5 TM	29-Jul-09	2002
7	Landsat 8 OLI	8-Jul-13	2005
8	Landsat 8 OLI	8-Jul-13	2004
9	Landsat 8 OLI	8-Jul-13	2003
10	Landsat 8 OLI	8-Jul-13	2002
		17-Aug-16	2005
11	Landsat 8 OLI	17-Aug-16	2004
		17-Jun-17	2005
		17-Aug-16	2003
12	Landsat 8 OLI	17-Jun-17	2004
		1-Apr-18	2005
		17-Aug-16	2002
13	Landsat 8 OLI	17-Jun-17	2003
		1-Apr-18	2004
14	Landsat 8 OLI	17-Jun-17	2002
		1-Apr-18	2003
15	Landsat 8 OLI	1-Apr-18	2002

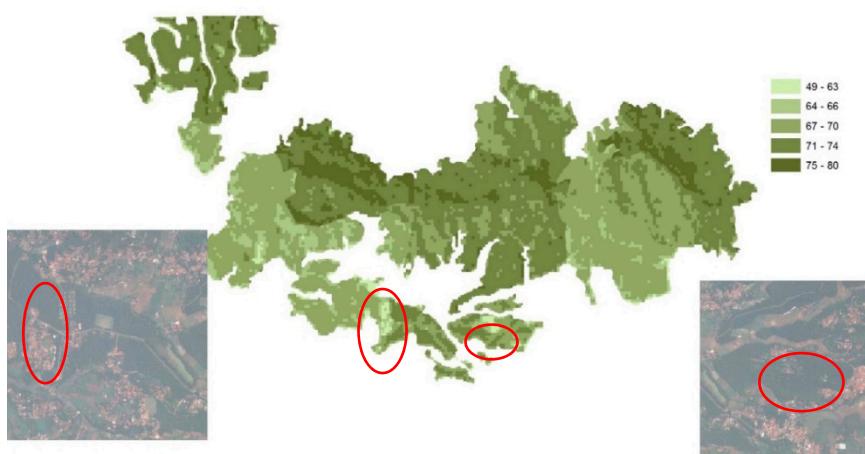
244

245 Based on planting year map and multi-temporal image satellite analysis, combining with non-
 246 linear assumption, we tried to classify oil palm trees age and their correlation with canopy density
 247 and the results was shown in table 5.
 248

249 **Table 5.** Correlation stands age with canopy density

No	Class	Stands Age	% Canopy Density
1	Seed	0	29-56
2	Young	1 - 9	56-63
3	Teen	10-15	63 - 80
4	Mature	15 - 25	> 80

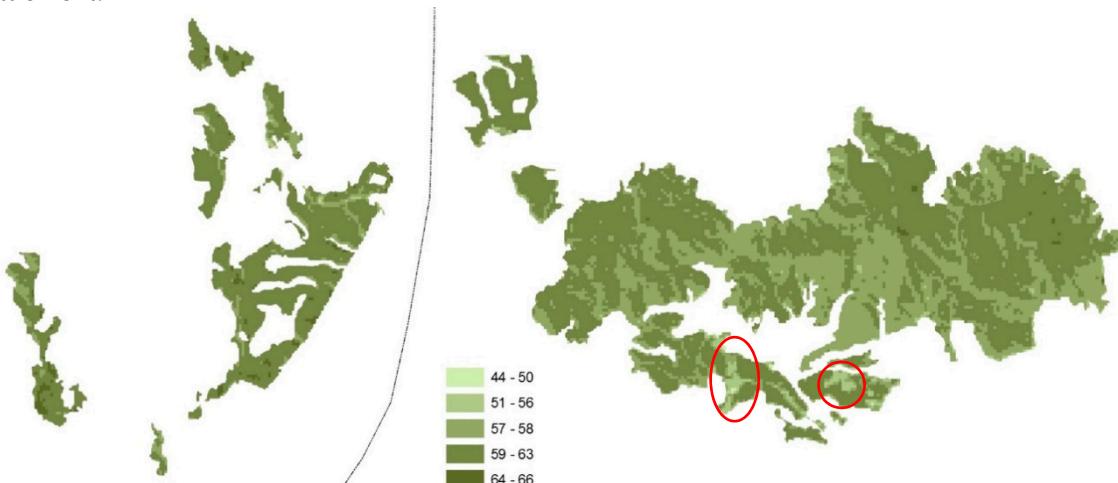
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251
252

Figure 6. Canopy density of oil palm trees 10-15 years old

253 This figure shows that oil palm trees from 10 until 15 years old will have canopy density around
 254 63 – 80%. The other class in this figure (49-63%) was shown as settlement. This condition also seen in
 255 the young class that was from one until nine years old. The value from 44-56% as known as
 256 settlement.



257
258

Figure 7. Canopy density of oil palm trees 1-9 years old

259 **4. Discussion**

260 All of research area, Candali, Cimulang, and Cikasungka, based on single-date image satellite
 261 analysis and field check survey shows that oil palm trees in that area have age around 10 – 14 years
 262 old. This also as evidence for their correlation with canopy density. In this class, all of oil palm trees
 263 age have 60-80% of canopy dense and area with value less than 60% as known as settlement.

264 Based on classification from Plantation Education Agency of Indonesia, we can divide into four
265 class even from this agency they divided into five class. The last class for old age from 20-25 years
266 old was union into mature class caused by non-linear assumption that was characteristic from oil
267 palm trees after 20 years old is different, the length of canopy or steam leaves increases is equal with
268 growing phases, but the direction is different which steam leaves will grow with smaller angle and
269 tend to lead downwards[18][19].

270 To evaluate and specify the class from single data analysis, we employed multi temporal image
271 analysis. The result shows if the number of class for oil palm trees age are still divided into four class
272 that were seed only for zero old, young for one until nine years old, teen for 10 until 15 years old, and
273 mature for more than 15 years old.

274 The canopy density value from one until nine years old, even starts from 34 until 65% but mostly
275 have 56-63 % of canopy dense. The value below 56 caused by settlement or near with settlement, and
276 for value more than 63 caused by their location near with other plantation such as rubber. It was very
277 difficult to separate young class due to the absence of oil palm trees with age between one until nine
278 years old in the field.

279 Limitation from this research area the absence of oil palm trees with age between one until nine
280 years old also more than 15 years old in the field. This made we cannot evaluate the density value for
281 that stands age in the field.

282 Future research will be better to conduct in oil palm plantation area that they have large range
283 in diversity of stands age. In line with that, this research also can be evaluate for other oil palm
284 plantation with different condition such as soil type, precipitation, contour, and planting
285 management

286 5. Conclusions

287 This research was conducted to applied FCD model as one tools to estimate the age of oil palm
288 trees. The result for single date data and multi temporal image analysis was shows if there is no
289 obvious difference in the number of classes, which are only have four class that are seed, young, teen,
290 and mature.

291 Generally, FCD model can be used as a tool to estimate the age of oil palm trees even though it
292 was difficult to separate the class of one until nine years old and more than 15 until 25 years old.
293 From this research we can see if in the oil palm plantation we cannot found canopy density less than
294 29% even in the zero years old. This could be indicates that plantation was not optimize in land
295 clearance or this phenomenon happen caused by the type of seed of oil palm trees that was like a
296 young trees.

297 6. Patents

298 **Author Contributions:** Fitrianto and Tokimatsu conceptualized and designed the project; Fitrianto and Yuwono
299 executed image processing and analysis, Darmawan performed statistical analysis, Fitrianto, Yuwono, and
300 Darmawan wrote the paper, Tokimatsu supervised research and edited the manuscript.

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306 of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, and in the
307 decision to publish the results.

308

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