

## Article

# AIR POLLUTION DISPERSION MODELLING USING SPATIAL ANALYSES

Jan BITTA <sup>1,2</sup> , Irena PAVLÍKOVÁ <sup>1,2,3</sup>, Vladislav SVOZILÍK <sup>2,3,4</sup> and Petr JANČÍK <sup>1,2,3</sup>

<sup>1</sup> Department of Environmental Protection in Industry, Faculty of Metallurgy and Material Engineering, VSB - Technical University of Ostrava, 17.listopadu 15/2172, 708 33, Czech Republic, Kat616@vsb.cz

<sup>2</sup> Institute of Environmental Technology (IET), VSB - Technical University of Ostrava, 17.listopadu 15/2172, 708 33, Czech Republic

<sup>3</sup> Joint Institute for Nuclear Research (JINR), Joliot-Curie 6, 141980 Dubna, Moscow region, Russia, svozilik@jinr.ru

<sup>4</sup> Institute of Geoinformatics, Faculty of Mining and Geology, VSB - Technical University of Ostrava, 17.listopadu 15/2172, 708 33, Czech Republic

\* Correspondence: Kat616@vsb.cz; Tel.: +420 597 324 346

† Current address: Affiliation 3

‡ These authors contributed equally to this work.

**Abstract:** The air pollution dispersion modelling via spatial analyses (Land Use Regression – LUR) is an alternative approach to the air quality assessment to the standard air pollution dispersion modelling techniques. Its advantages are mainly much simpler mathematical apparatus, quicker and simpler calculations and a possibility to incorporate other factors affecting pollutant's concentration. The goal of the study was to model the PM10 particles dispersion modelling via spatial analyses in Czech-Polish border area of Upper Silesian industrial agglomeration and compare results with results of the standard Gaussian dispersion model SYMOS'97. Results show that standard Gaussian model with the same data as the LUR model gives better results (determination coefficient 71% for Gaussian model to 48% for LUR model). When factors of the land cover and were included into the LUR model, the LUR model results were significantly improved (65% determination coefficient) to the level comparable with Gaussian model. The hybrid approach combining the Gaussian model with the LUR gives superior quality of results (65% determination coefficient).

**Keywords:** Pollution dispersion; PM10; air quality; Land Use Regression; Symos'97

## 1. Introduction

### 1.1. Particulate pollution

The PM (Particulate matter) is called a mixture of solid or liquid both organic and anorganic substances in the air. It mainly consists of sulfates, nitrates, ammoniac, salts soot, mineral particles, metals, bacteria, pollens and water. Particles of diameter smaller than 10  $\mu\text{m}$  (PM<sub>10</sub>) have severe health effects because they may get into lungs or even join the blood stream [19], [20], [16].

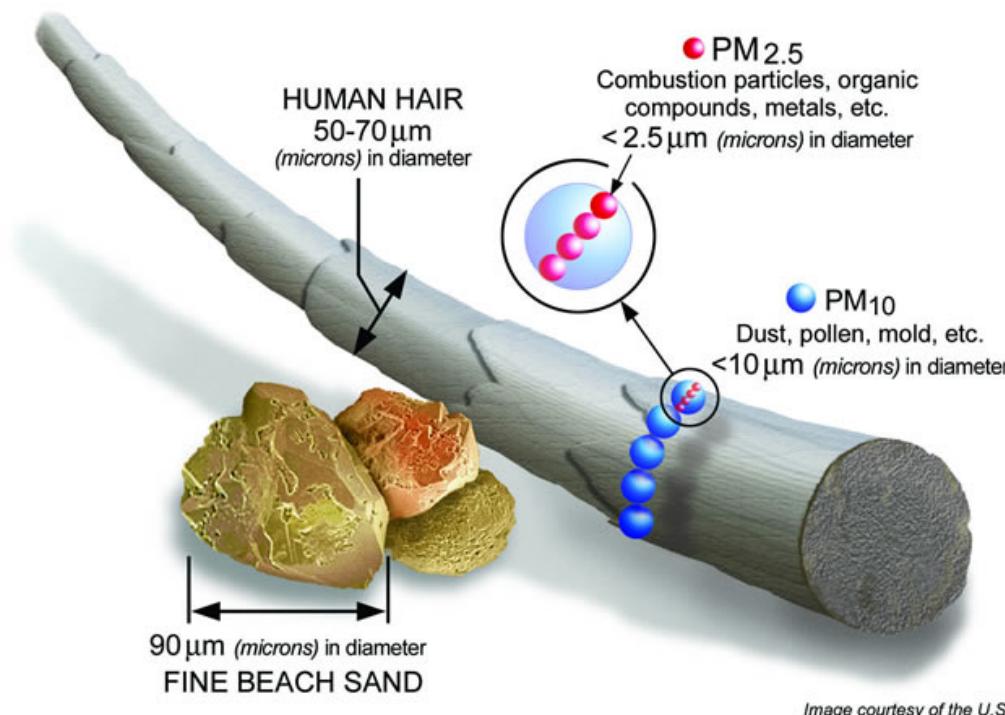


Image courtesy of the U.S. EPA

**Figure 1.** Illustration of PM10 and PM2.5 size [19]

Natural PM<sub>10</sub> sources are forest fires, dust storms, volcanic processes, erosion or sea water [3], [11]. Large part of PM<sub>10</sub> has anthropogenic origin [22]. It consists of combustion processes (thermal power plants, heating, internal combustion engines), industrial processes like coking, blast furnaces, steelworks, sinter plants, cement production or mineral extraction, dust resuspension from roads and agriculture (soil erosion) [16], [17], [9], [8]. Recent research indicates non-existence of a minimal threshold concentration value for human health effects [3]. Factors influencing health effects are particles' size and geometry, their chemical composition, physical properties, concentration and time of exposure. Particles greater than 10  $\mu\text{m}$  are caught by ciliated epithelium of upper respiratory tract and have low health impact. Particles smaller than 10  $\mu\text{m}$  cumulate in bronchi and lungs and cause health issues. Particles smaller than 1  $\mu\text{m}$  possess the biggest health threat because they may get into alveoli and frequently contain adsorbed carcinogenic substances. The PM<sub>10</sub> inhalation damages mainly heart and lungs and is a cause of premature death of people with heart or lung disease, cancer, fibrosis, allergic reactions, asthma, lung insufficiency, heart attacks, respiratory tract irritation and cough [19], [20], [16], [3], [11]. There are two legal pollution limits for PM<sub>10</sub>. The 24-hour average limit is 50  $\mu\text{g}/\text{m}^3$  which can be exceeded no more than 35 times per year. The annual average concentration limit is set as 40  $\mu\text{g}/\text{m}^3$  [13], [14].

### 1.2. Land Use Regression modelling

The Land Use Regression (LUR) modelling is an empirical modelling approach which is based on multivariate linear regression. It combines pollution monitoring data with spatial variables describing vicinity of monitoring sites which are typically obtained via spatial analyses in Geographic Information Systems (GIS). The result of the analyses is the linear model

$$[\text{Pollution}] = [\text{Coef\_1}] * [\text{Factor\_1}] + [\text{Coef\_2}] * [\text{Factor\_2}] + \dots + [\text{Coef\_n}] * [\text{Factor\_n}] \quad (1)$$

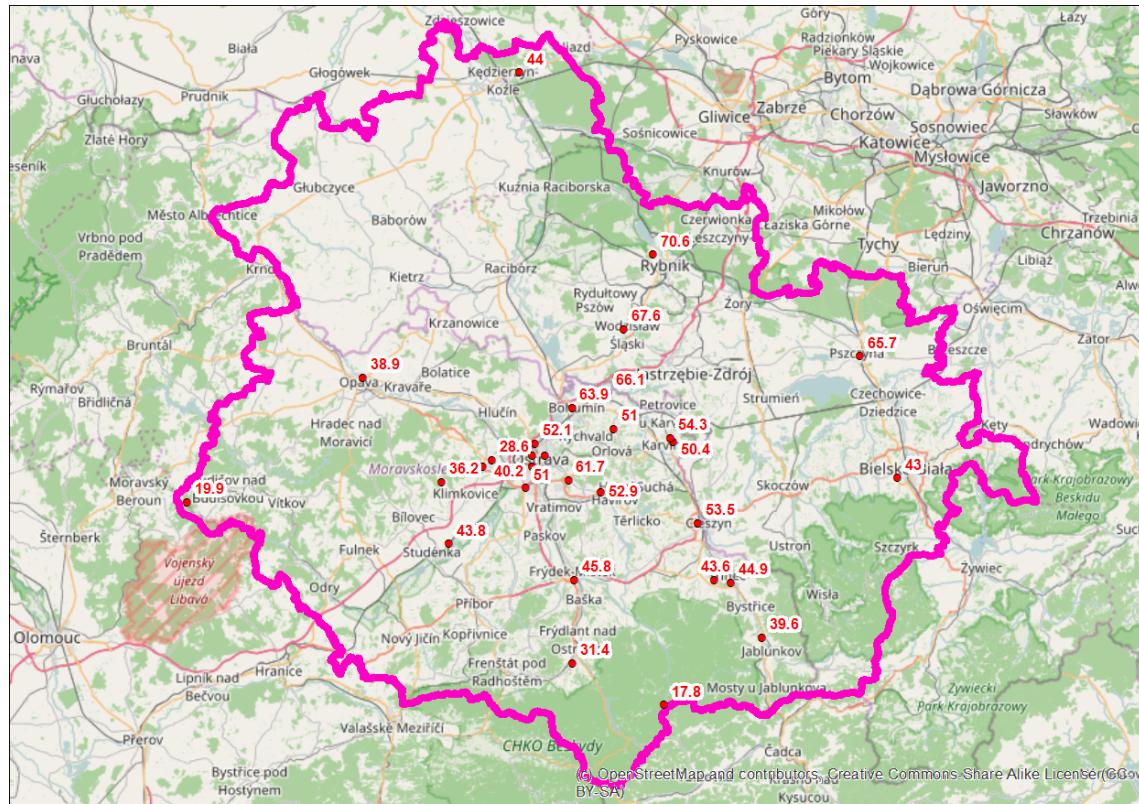
where  $[Factor\_*]$  are selected spatial factors and  $[Coef\_*]$  are regression coefficients obtained from the linear regression analysis at the pollution monitoring sites. The empirical model can be then used to estimate spatial distribution of the PM10 pollution in the area of interest. The LUR model was first used for the air pollution monitoring in the SAVIAH (Small Area Variations in Air quality and Health) project. This approach was used to study NO<sub>x</sub> concentrations in three European cities – Amsterdam, Huddersfield and Prague. The successful application of the LUR in the SAVIAH project model spurred its usage in further studies in European countries and in the rest of the world [10], [15], [12].

### 1.3. Gaussian dispersion modelling

Gaussian dispersion models assume an emission transport from continuous pollution sources in homogenous wind field without spatial limits. The transport itself is in the model provided by the convection by wind and via turbulence diffusion which is described statistically by Gaussian distribution. Spatial limitations, mainly the terrain, are included into model by correction coefficients. Gaussian dispersion models are commonly used for long term (f.e. annual) average concentrations modelling. The dispersion is calculated for a set of standard meteorological conditions and summed, weighted by probability of occurrence of such conditions. The most commonly used Gaussian dispersion models are CALINE3 (Benson, 1979) and ADMS-Urban [1]. The SYMOS'97 model [18] is a reference pollution dispersion model in the Czech Republic. It is a Gaussian model which calculates pollution dispersion of both gaseous and particulate pollutants from point, linear and area pollution sources. The model takes into account both dry and wet deposition as well as chemical reactions during transport.

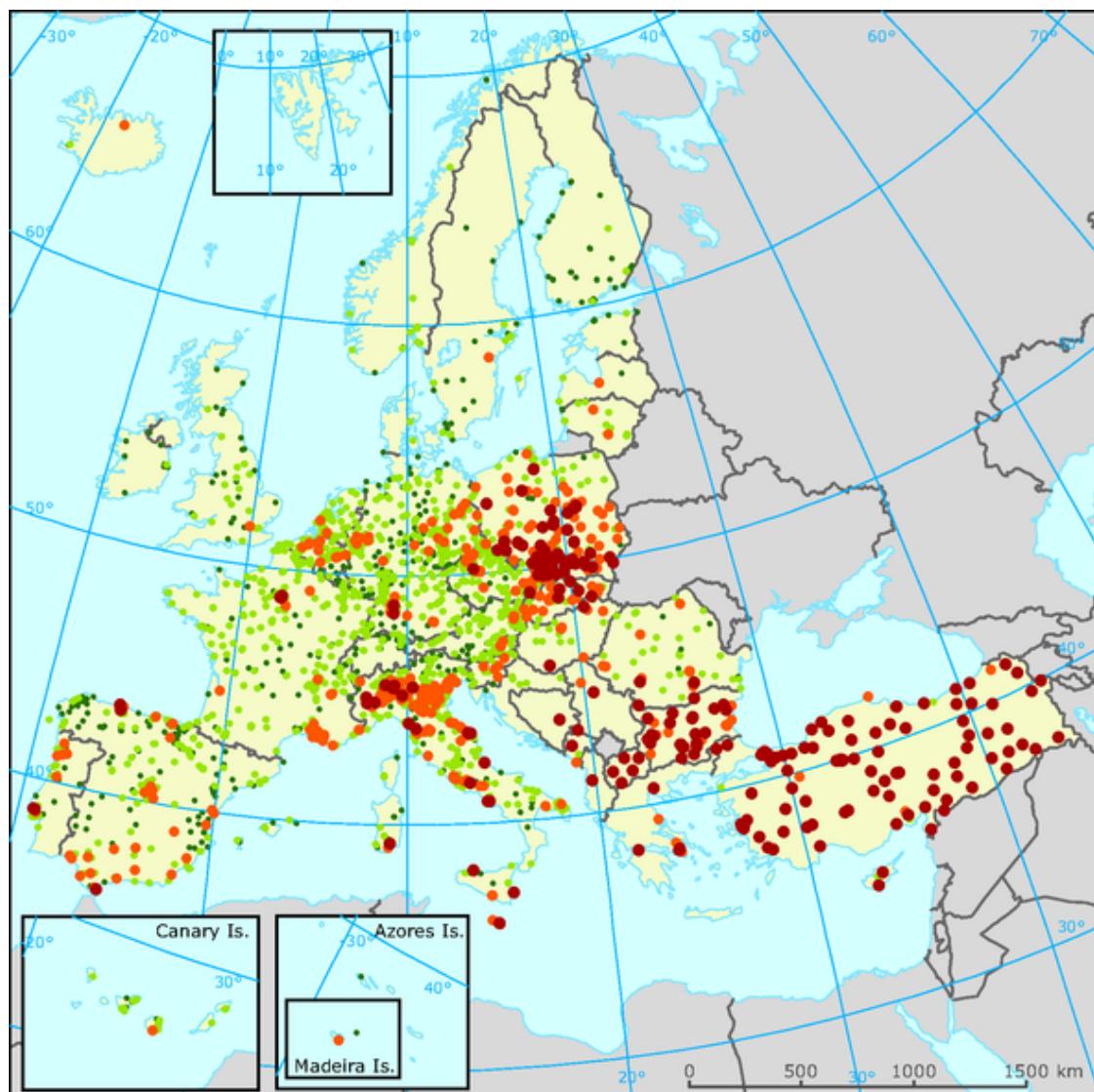
## 2. Data sources

The study area was selected to match the area of the Air Silesia project [2]. All air pollution source and monitoring data used in the study were purchased from published results of the Air Silesia project and are relevant to the year 2010. The Air Silesia project was focused on collecting the air pollution data and assessment of the air quality in the border region of the Upper Silesian industrial region. The following Fig. 2 shows the study area and the annual mean PM10 concentrations [ $\mu\text{g}/\text{m}^3$ ] at the pollution monitoring stations



**Figure 2.** The study area and pollution monitoring stations with annual average of PM10

There are 2.5 million inhabitants in the study area which is one of the most air polluted regions of the EU (Fig. 3). The most severe pollutants in the region are particulates, polycyclic aromatic hydrocarbons (PAH) and heavy metals (As, Cd, Hg). High level of pollution concentrations are determined by the combination of several key factors – high population density, presence of heavy industries (coal mining and processing, iron and steel production, heavy chemistry), coal energetics (utility and industrial scale to domestic use scale) and unfavorable basin-like terrain configuration.



**Annual mean particulate matter (PM<sub>10</sub>) 2010, based on daily average with percentage of valid measurements  $\geq 75\%$  in  $\mu\text{g}/\text{m}^3$**

•  $\leq 20$  • 20–31 • 31–40 • > 40

■ Countries/regions not included in the data exchange process

Figure 3. Annual mean PM10 concentrations in 2010 [6].

## 2.1. Air pollution data

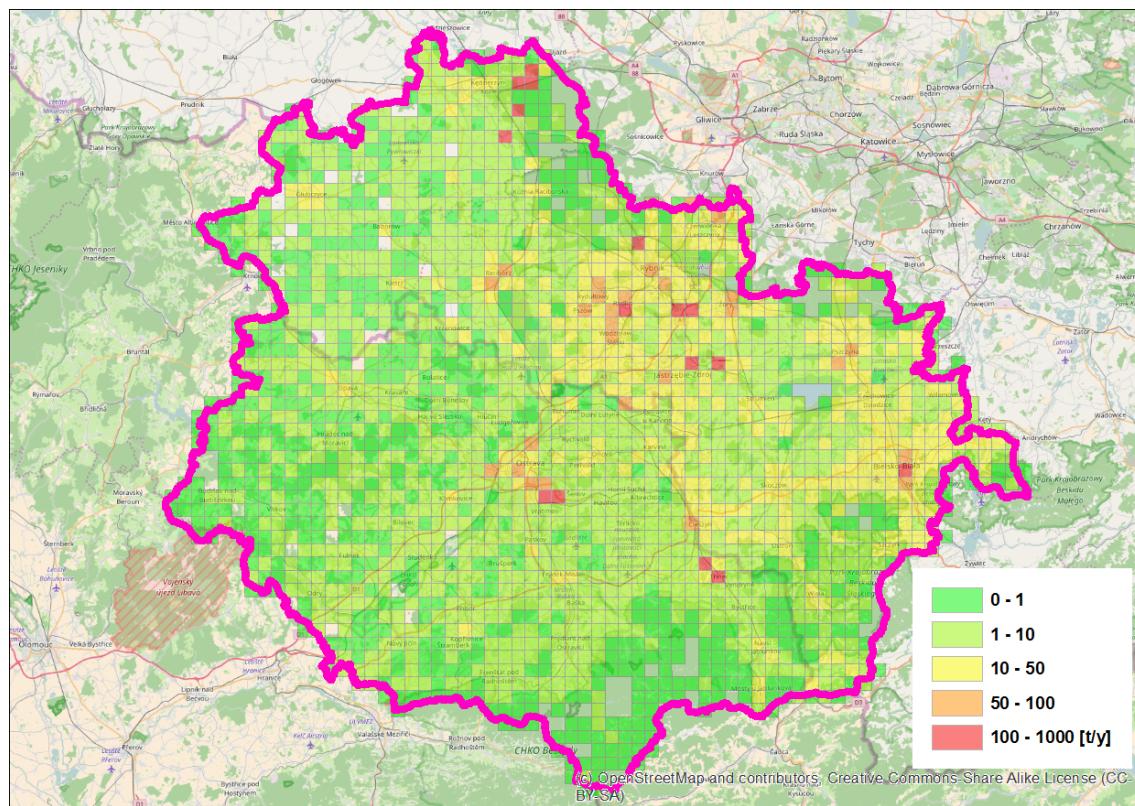
The air pollution data – yearly averages of PM<sub>10</sub> concentrations, were obtained from the yearbooks [5] of the Czech Hydrometeorological Institute and the Voivodship Inspectorate of the Environmental Protection of Silesian Voivodship [21]. There have been 27 air pollution monitoring stations in the study area measuring the PM10 concentrations (Fig. 2).

## 2.2. Pollution source data

The pollution source data were obtained from the pollution source database provided by the Air Silesia project. The data have been divided by the land of origin (Czech-Polish) and by the kind of the pollution source (industrial, domestic heating, car traffic). Brief statistics of emissions are presented in following Tab.1 and emission squares (Fig. 4)

**Table 1.** The PM10 pollution sources in the study area, (Air Silesia, 2013)

Country	Pollution sources	No. of sources	Emissions [t/y]
Czechia	Industrial	2025	2315
	Domestic heating	21824	1589
	Car traffic	56057	961
Poland	Industrial	1598	13400
	Domestic heating	33301	8610
	Car traffic	55745	911



**Figure 4.** The PM<sub>10</sub> distribution in the study area, Basemap:OpenStreetMap

## 2.3. Land Use data

The land use data were obtained from the CORINE Land Cover dataset [7] as vector datasets. There were four kinds of land cover selected for the analysis – built-up areas, forested areas, areas with grass cover and open soil-agricultural areas.

## 3. METHODOLOGIES AND RESULTS

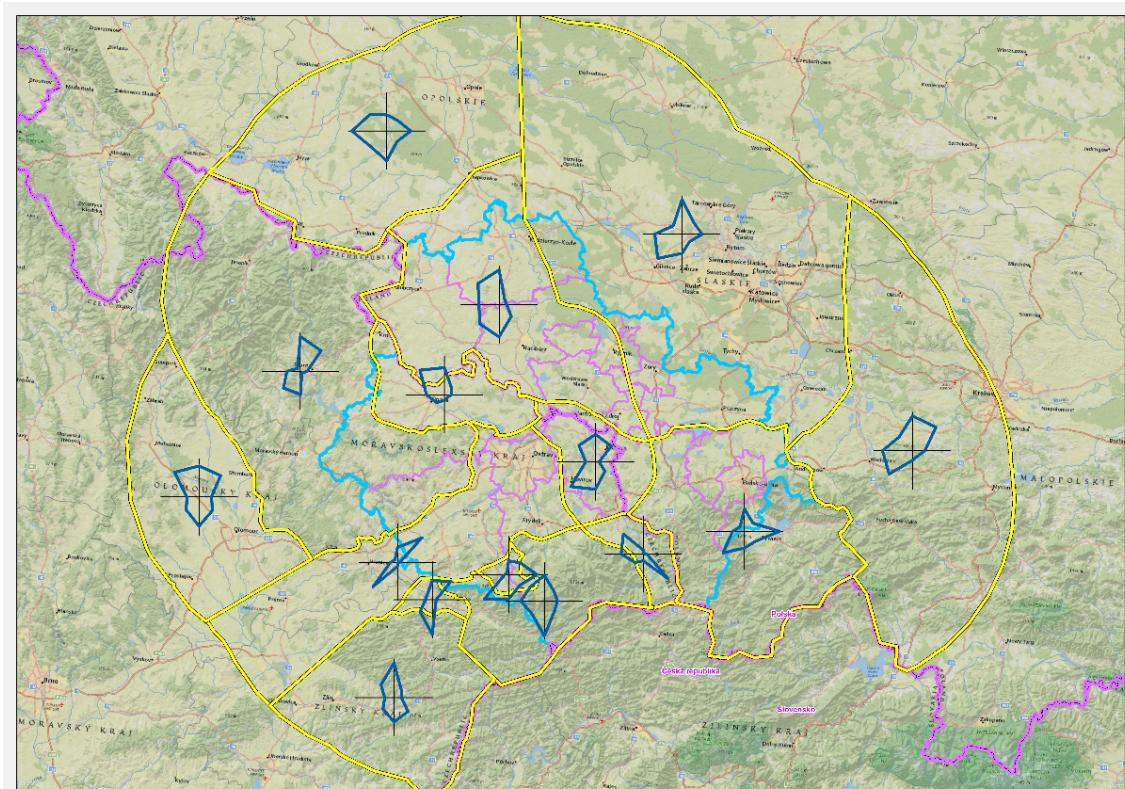
There were two basic groups of factors considered in the study – factors of pollution sources and factors of land cover. Each factor (except distance to the nearest major road) was calculated in the similar fashion. There was a buffer of the selected perimeter created around each of pollution

monitoring stations. The factor was then calculated as a sum, percentage or length-weighted average of the vector data cut by the buffer. Factors were calculated uniformly (U) or they were calculated as a weighted average based on wind direction probability (W). The area of modelling was split into 14 areas according to the terrain configuration. Meteorological condition in each area were represented by its own dataset (Fig. 5). In that case, buffer zones were split into 8 slices representing 8 wind directions. Factors were calculated for each slice area and final weighted factors were calculated as a weighted average of those factors where weights were probability of wind blowing from corresponding direction.

**Table 2.** Factors of pollution sources, factors of land cover

Factor	Identifier sources	Distances	Weighing	Unit
Emissions from industrial sources	IS	100,200,500,1000,2000	U,W	t/y
Length of roads	LR	100,200,500,1000,2000	U,W	m
Average traffic intensity weighted by length of road sections	TI	100,200,500,1000,2000	U,W	car/day
Emissions from domestic heating	DH	100,200,500,1000,2000	U,W	t/y
Distance to the nearest road	NR			
Grass covered land	GCL	100,200,500,1000,2000	U,W	% of area
Forested land	FL	100,200,500,1000,2000	U,W	% of area
Built-up land	BL	100,200,500,1000,2000	U,W	% of area
Open soil	OSL	100,200,500,1000,2000	U,W	% of area

For the purpose of the study, all factors were encoded. For example, the [FL\_500\_W] code means the factor of forested land cover counted for the buffer distance 500m and weighted by the wind direction probability distribution.



**Figure 5.** Wind direction probability distribution, (Air Silesia, 2013)

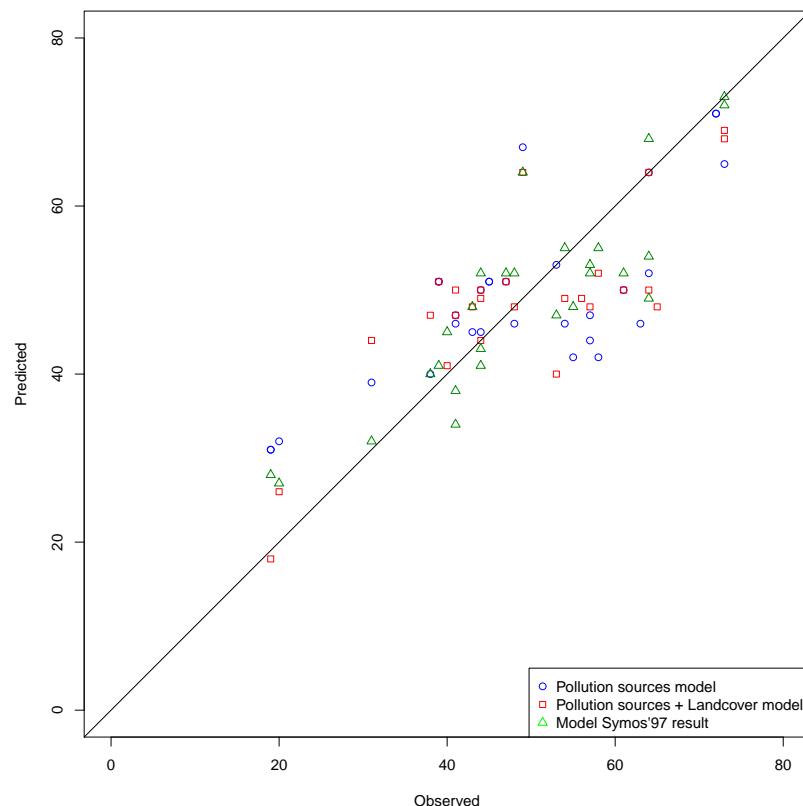
Regression analyses were performed in the Statgraphics software. The regression models were constructed for combinations of industrial sources ( $[IS_{*} *_*]$ ), traffic intensity ( $[TI_{*} *_*]$ ), domestic heating ( $[DH_{*} *_*]$ ) and nearest road ( $[NR]$ ). Regressions consisted of two steps, statistical significance/insignificance of each factor was evaluated and regression coefficients were calculated with statistically significant factors. The best statistical analysis result was a regression model:

$$[PM10\_concentration] = 30.8507 + 0.00789643 * [IS\_2000\_W] + 0.000583609 * [TI\_2000\_W] + 0.214567 * [DH\_2000\_W] + 0.01368 * [NR] \quad (2)$$

The  $R^2$  of the model is 48% and mean quadratic error of the model is  $10.59 \mu\text{g}/\text{m}^3$ . When factors of the land cover were taken into account, the resulting best linear model was constructed as

$$[PM10\_concentration] = 46.3802 + 0.00113242 * [IS\_2000\_W] + 0.203484 * [DH\_2000\_W] - 0.299948 * [FL\_1000\_W] \quad (3)$$

The  $R^2$  of the model is 65% and mean quadratic error of the model was  $8.34 \mu\text{g}/\text{m}^3$ .



**Figure 6.** Observed to predicted comparison of results

The results of the SYMOS'97 model were statistically evaluated with the measurements at monitoring sites. The  $R^2$  of the model is 71% and mean quadratic error of the model was  $7.44 \mu\text{g}/\text{m}^3$ . Both approaches, the LUR and the dispersion model, can be combined into a hybrid, two-step process where dispersion model results are used as an input data for the LUR model construction.

There were two possible input tested. The land cover data were combined with both partial results of the model and the sum of all partial results.

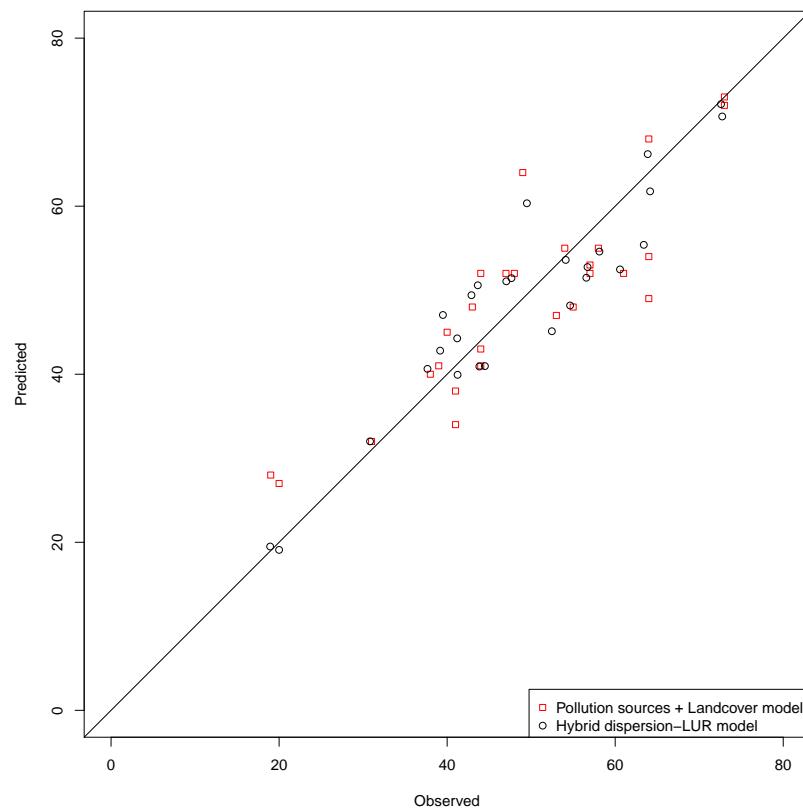
**Table 3.** Factors based on dispersion model.

Pollution from industrial sources	M_IS	µg/m <sup>3</sup>
Pollution from domestic heating	M_DH	µg/m <sup>3</sup>
Pollution from road traffic	M_RT	µg/m <sup>3</sup>
Sum of all sourcesc	M_SUM	µg/m <sup>3</sup>

When the dispersion model results and factors of the land cover were taken into account, the resulting best linear model was constructed as

$$[PM10\_concentration] = 28.7086 + 0.155629 * [OSL\_1000\_W] - 0.12583 * [FL\_1000\_W] + 3.50718 * [M\_IS] + 3.25479 * [M\_DH] + 6.75771 * [M\_RT] \quad (4)$$

The  $R^2$  of the model was 86% and mean quadratic error of the model was 5.56 µg/m<sup>3</sup>.



**Figure 7.** Observed to predicted comparison of results

The dispersion model provides more accurate information about pollution dispersion from pollution sources and the LUR model allows incorporation of additional variables

#### 4. DISCUSSION

The LUR model gives with the similar input data much worse results than Gaussian dispersion model ( $R^2$  48% x 71%). The LUR model was providing good estimates of the air pollution when

the pollution monitoring station was positioned within the urban environment in the vicinity of air pollution sources. On the other hand, predictions at rural and natural sites were inaccurate because the model, as constructed, is not able to take into account the long distance pollution transport. The LUR model also did not take into account other parameters of pollution sources used in Gaussian models, mainly source height, exhaust gas speed and temperature, speed and fluency of the traffic stream, etc. The pollution dispersion is also in Gaussian models more accurately described in the form of non-linear dispersion formulas. The LUR model with added land cover factors gives better predictions of PM10 concentrations ( $R^2$  65%) which are comparable but still worse than a Gaussian model. The addition of land cover factors greatly improved the quality of forecasts in natural and rural monitoring sites. The Gaussian model provided better results in industrial and urban-background monitoring sites while the LUR model with land cover factors outperformed it slightly at the rural and natural monitoring sites. The LUR model was also able to explain the reason of significant PM10 concentration underestimation by Gaussian model at three monitoring sites (Opava-Kateřinky, Věřňovice, Studénka). The LUR model showed that all three sites which are positioned close to the edge of urban areas are heavily influenced by the nearby agricultural activities and/or wind-caused reemissions and erosion represented in the LUR model by the Open soil factor. When the dispersion and the LUR model were combined, the resulting dispersion-LUR hybrid model kept more accurate information about pollution dispersion from pollution sources and was able to incorporate the effect of land cover on the PM10 concentrations. This resulted in much improved quality of the dispersion model ( $R^2$  86%). The hybrid model formula also gives more information. The road traffic emissions seem to be underestimated by a factor of 2, this may be caused by reemission of particulates which was not accounted in the model. The hybrid model formula also demonstrates the positive effect of trees on particulate pollution. The tree cover reduces the PM10 pollution by up to  $12.5 \mu\text{g}/\text{m}^3$  in the urban environment and by up to  $28.14 \mu\text{g}/\text{m}^3$  in the rural environment.

## 5. CONCLUSION

The LUR modelling is an alternative approach to the standard dispersion models. The biggest advantages of the LUR approach are relative simplicity of calculation compared with time and computational power demanding dispersion modelling and ability to incorporate factors not included in dispersion modelling. Although their results in the study did not match the quality of the Gaussian model the LUR approach should not be dismissed because they may incorporate phenomena which are usually omitted by standard dispersion models. There was also developed a hybrid dispersion-LUR model combining both approaches which gives significantly more accurate modelling results than both separate approaches.

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**Conflicts of Interest:** The founding sponsors had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, and in the decision to publish the results.

## Abbreviations

The following abbreviations are used in this manuscript:

PM	Particulate Matter
LUR	Land Use Regression
US EPA	United States Environmental Protection Agency
WHO	World Health Organization
GIS	Geographic Information Systems
SAVIAH	Small Area Variations in Air quality and Health
PAH	Polycyclic Aromatic Hydrocarbons
CHMI	Czech Hydrometeorological Institute
EEA	European Environment Agency

## Appendix A

### Appendix A.1

The appendix is an optional section that can contain details and data supplemental to the main text. For example, explanations of experimental details that would disrupt the flow of the main text, but nonetheless remain crucial to understanding and reproducing the research shown; figures of replicates for experiments of which representative data is shown in the main text can be added here if brief, or as Supplementary data. Mathematical proofs of results not central to the paper can be added as an appendix.

## Appendix B

All appendix sections must be cited in the main text. In the appendixes, Figures, Tables, etc. should be labeled starting with 'A', e.g., Figure A1, Figure A2, etc.

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**Sample Availability:** Samples of the compounds ..... are available from the authors.