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2 *Article*3 **Follow the water in its high-subcritical state**4 **Marie-Paule Bassez**

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9 **Abstract:** The expression "*Follow the water*" is used in order to recognize inside the universe, life as it
10 exists on Earth. It is shown here that the expression "*Follow the water in its high-subcritical state*" can
11 be used in order to recognize the components of life which form prior to the emergence of life. This
12 specific state of water leaves signatures inside the minerals which are produced during high-
13 subcritical water/rock interaction.

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15 **Keywords:** anoxic iron oxidation; abiogenic ferric iron; high-subcritical water; alkaline; ferric
16 oxides; ferric silicates; amorphous silica; origin of life; fluid inclusions; geobiotropy;

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18 **1. Introduction**

19 Liquid water being an essential component of living organisms and of their environment, the
20 strategy for the search for life in the universe is currently summarized in the expression "follow the
21 water" which was chosen by NASA in the year circa 2000 as the new scientific approach for Mars
22 exploration [1]. Recently I published an article which demonstrates that the specific state of water
23 called high-subcritical has to be considered in the synthesis of molecules which constitute the living
24 organisms and that it can be recognized in the evolution of the minerals [2]. After a study of liquid
25 water [3 and refs herein] and envisioning that not only water in its liquid state, but water in its
26 different states can be the appropriate medium to conduct chemical reactions which finally lead to
27 biological molecules, I became interested in the structure of water under pressure and in the
28 supercritical state [4-6] and I started to visualize rocks as reactants and not only as catalysts [6]. In
29 2008, I proposed experiments considering the rock peridotite or its constituents olivine and pyroxene,
30 and the dissolution of apolar molecules in supercritical water [7]. Since 2013, I consider the state of
31 water which is called high-subcritical and I present a new path for the synthesis of ferric minerals in
32 anoxic conditions and for the concomitant chemistry which leads to macromolecules of life [8]. I
33 conclude here in the present new article, that part of the search for life and its components can be
34 specified in the expression "follow the water in its high-subcritical state". The interaction of water in
35 this high-subcritical state and not above, with ferrous silicates containing rocks, produces ferric
36 oxides, ferric silicates and molecular hydrogen, which form in anoxic conditions and at alkaline pH.
37 When water contains carbon dioxide and dinitrogen, macromolecules of amino-acids and
38 components of life can form. Therefore, the observation of such ferric minerals with an anoxic origin
39 can lead to the conclusion that molecules of life were possibly synthesized in a chemical path which

40 was concomitant to the evolution of the rock and that I conceptualize with the term geobiotropy [9,
41 10].

42 2. Methods and Materials

43 As I show below in the paragraph on the third process, in the section 3, CO appears to be the
44 essential starting molecule for the synthesis of biological molecules. While trying to answer the
45 question "how CO is produced within the geological world?" I realized in 2009, that CO can be obtained
46 from hydrogenation of CO₂ not only in the gas phase, but also in high-subcritical water. The question
47 became "how H₂ is produced in high-subcritical water?" and in my role of a physical-chemist I conducted
48 a bibliographical search for Pourbaix E-pH diagrams which could have been drawn for this state of
49 water. In 2013, I presented my analysis of such diagrams [8]. The conclusion was that H₂ and thus
50 CO can form following the hydrolysis of the iron endmembers of olivine and pyroxene and also that
51 ferric minerals can form without the presence of oxygen which is a breakthrough in the
52 understanding of minerals which form in anoxic conditions as on Early Earth or extraterrestrial
53 objects. In my OLEB'2018 article, I introduce in this context of the formation of ferric minerals, the
54 analysis of diagrams drawn for the solubility of quartz, around the critical point of water. The present
55 article is a summary of part of my precedent articles and presentations, with the goal of searching for
56 life in the universe with the consideration of water in its high-subcritical state.

57 Therefore, the method is a logical association and composition of my theoretical analyses of
58 diagrams which are based on experimental results and published by other scientists.

59 3. Results and Discussions: Four processes in high-subcritical water

60 Four processes which all occur in high-subcritical water contribute to produce both the ferric
61 minerals which are observed in Archean rocks and the related geobiotropic molecules of life.

62

63 First, the oxidation of ferrous iron into ferric iron can occur at alkaline pH, 9.5 to 14, when water
64 reaches temperatures 300°C - 350°C and pressures 10 - 25 MPa. The combination of these values is
65 called high-subcritical. They are below the supercritical point of water which has the values 374°C
66 and 22.1 MPa for pure water. At these conditions, the density of water is ca 700 – 600 kg/m³. I conclude
67 in this possible chemical process through the analysis of Pourbaix diagrams which are drawn for the
68 system Fe-H₂O at 10⁻⁶ and 10⁻⁸ mol/kg concentrations of dissolved ionic species, by W. Cook and R.
69 Olive in 2012 [11]. The calculations of the thermodynamic parameters used for these diagrams are
70 based on the revised Helgeson-Kirkham-Flowers, R-HKF, model extended to water densities as low
71 as 200kg/m³ [11 & refs herein]. The Pourbaix diagrams which are drawn for supercritical water at
72 400°C, 25 MPa, 10⁻⁶, 10⁻⁸ and 10⁻¹¹ mol/kg of dissolved ionic species and 167 kg/m³ density, does not
73 show this ferrous oxidation.

74 Concomitant to the oxidation of iron in high-subcritical water, molecular hydrogen is released.
75 In other words, the production of ferric iron in high-subcritical water and not above, does not require
76 to consume oxygen, instead it is hydrogen which is produced.

77

78 Second, the solubility of SiO₂ shows a turn-over at the critical point of water. Calculations based
79 on the Fournier & Potter 1982 equation show that at 23 MPa "the SiO₂ solubility increases to a value of
80 0.087 wt% at 350°C and drastically decreases to 0.0081 wt% at 450°C" as described by R. Smith and Z.
81 Fang in 2011 [12 & refs herein]. This abrupt change is observed in earlier diagrams for the solubility
82 of quartz in water, drawn with experimental data and the R-HKF equations of state [13 & refs herein].

83 High-subcritical water also controls the content in amorphous silica versus quartz. Indeed, as
84 shown by P. Karasek et al. in 2013, at 23 MPa, the solubilities of amorphous and crystalline silica both
85 increase with T with an abrupt decrease at the critical point. However, at the critical point of water,
86 the solubility of amorphous silica appears to approximately double the solubility of quartz, while at
87 25°C, the ratio of amorphous silica to quartz seems to be ca 18 [Fig.3&4 in 14].
88

89 Third, the hydrogenation of CO₂ by molecular hydrogen occurs not only in the gas phase as
90 described by P. Sabatier in 1902 [15] but also in high-subcritical water, producing dissolved carbon
91 monoxide, CO, at 250°C-300°C and 25MPa, as shown in the experiment conducted by Q. Fu and W.
92 Seyfried in 2009 [16].

93 This CO is the necessary element in the synthesis of macromolecules of amino-acids in anoxic
94 conditions, as observed by G. Schlesinger and S. Miller in 1983 [17] and by K. Kobayashi et al. in 1990
95 [18]. In the continuation of Kobayashi experiments, in 2004, Y. Takano irradiated, with 3 MeV protons
96 at room temperature, a gas mixture of 350 torr CO, 350 torr N₂ and 20 torr H₂O provided by an under
97 layer of liquid water [19]. A transmission electron microscopy, TEM, image of the dried irradiated
98 products, scaled at 0.5 μm, shows aggregated particles [Fig.2 in 19]. *Following irradiation, products*
99 *were recovered in 5 mL of pure water and subsequently freeze dried.* The ca 0.3 mg yellow-brown residue
100 was pyrolyzed in helium at 765°C for 10 s and afterwards analyzed with GC-MS and SIM. The most
101 intense peaks of the gas chromatogram correspond to urea (NH₂)₂CO, acetamide CH₃CONH₂, 2-
102 butanamine C₂H₅CH(NH₂)CH₃, guanidine (NH₂)₂C=NH, and to the branched cyclic compounds:
103 dimethylpyrazine C₆H₈N₂, imidazole or pyrrazole C₃H₄N₂, 2-furanone C₄H₄O₂, pyridinecarbonitrile
104 C₆H₄N₂, ethenylpyridine C₇H₇N, 1H-pyrrole-3-carbonitrile C₅H₄N₂, methylpyrimidine C₅H₆N₂,
105 aniline? C₆H₇N, benzonitrile C₆H₅CN, styrene C₈H₈... A list of 36 identified compounds is given by
106 Takano et al. [19].

107 The same irradiation experiment was conducted in 2012 by Kurihara et al. [20]. An aqueous
108 solution of the irradiated products was injected in a flow reactor at temperatures 150°, 200°, 250°,
109 300°, 350°, 400°C and 25 MPa and quenched in cold water at 0°C. Aggregates, 10 μm in size, start to
110 form at 200°C and are mainly observed at 250°C and above, with larger-sized aggregates at 300°C
111 and above. For the 300°C products, the carbon X-ray absorption near-edge structure (XANES) and
112 the nitrogen XANES spectra, recorded at the Lawrence Berkeley National Laboratory, show the peak
113 of aromatic carbon and imine which do not appear for the room temperature product. Both 300°C
114 and room temperature spectra show the peaks of nitrile, carbonyl in amide, carbonyl in ester, amide
115 or amino groups.

116 In 2012, Y. Takano observed the dried yellow-brown irradiated products, with scanning electron
117 microscopy, SEM, and atomic force microscopy, AFM. The SEM image, scaled at 1 μm, shows micro-
118 and submicro-structures including filaments and aggregated spheres. Analyses were conducted on
119 the hydrolyzed fraction obtained at ambient temperature. They show a great variety of amino-acids
120 and a racemic mixture of D,L-alanine, which proves the absence of biotic contamination. The analysis
121 is discussed by M.-P. Bassez in [21].

122 Carbon monoxide was also used in 2012 by S. Pizzarello in a mixture of CO, NH₃ and H₂ at 370°C
123 to synthesize amino-acids [22] and in 2003 by H. Hill and J. Nuth in a mixture of CO, N₂, H₂ at 300°C
124 to synthesize precursors of amino-acids [23] as described in [2].

125 Therefore, organic functional groups which are necessary for the chemistry of the components
126 of life are present in high-subcritical conditions, 300°C & 25 MPa as demonstrated by the above
127 described experiments. Since fluid inclusions inside rocks are closed thermodynamic systems which
128 permit the concentration of the molecules H₂O, H₂, CO₂, N₂ and their chemical reactions, I propose
129 an hypothesis for the synthesis of the components of life as follows: when water is enclosed in the
130 inclusion at high-subcritical conditions, CO can be synthesized. Macromolecules essential for the
131 components of life can afterwards form, as described above, either with heat or through excitation
132 with gamma rays from rocks which contain radioactive elements and which are located in the vicinity
133 of the inclusion.

134
135 The fourth process to consider is the interaction of alkaline high-subcritical anoxic water with
136 rocks which contain ferrous silicates. This interaction combines the three above processes. The
137 oxidation of ferrous iron occurs with release of H₂, and SiO₂ dissolves. I show since 2013 that the rocks
138 which contain ferrous silicates evolve in alkaline high-subcritical anoxic water and produce ferric
139 minerals such as ferric trihydroxide, the ferric oxide hydroxides goethite and lepidocrocite, the ferric
140 oxide hematite and ferric silicates such as greenalite. Minnesotaite is the iron analog of talc which
141 forms by dehydration of the Mg-serpentine chrysotile in a solution supersaturated in silica. As talc,
142 minnesotaite can form by dehydration of the Fe-serpentine greenalite in a solution supersaturated in
143 silica. Since high-subcritical water dissolves easily silica, it can become saturated in silica and induce
144 the dehydration of greenalite into minnesotaite. Stilpnomelane and riebeckite which contain much
145 less (-OH) groups than minnesotaite can most probably form also through further dehydration of
146 greenalite. Thus, high-subcritical water appears to be the adequate medium to produce ferric oxides
147 hydroxides, ferric oxides, ferric silicates, amorphous silica and quartz with a ratio of amorphous
148 silica/quartz up to 2. The concomitant production of H₂ can be used to form CO and components of
149 life as in the third process.

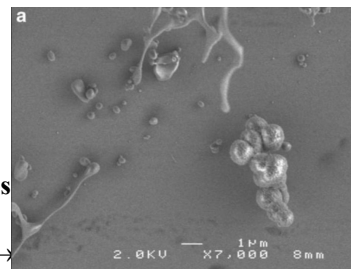
150
151 Therefore, the state of water which is basic (pH ~9.5–14) and high-subcritical (300 °C–350 °C, 10–
152 25 MPa) appears to permit the transformation of the rocks which contain ferrous iron, into ferric
153 minerals and associated biological molecules. Anoxic alkaline water in its high-subcritical state seems
154 to be an essential component for the synthesis of ferric minerals in anoxic conditions and to the
155 concomitant synthesis of components of life inside fluid inclusions located within the rocks. It is
156 important to notice that this process occurs in the absence of oxygen. The above described four
157 chemical processes are summarized in the concept of geobiotropy which is visualized in Fig.1 and
158 which leads to the expression "*Follow the water in its high-subcritical state*". Indeed, water has to be
159 followed in order to recognize, in the universe, life as it exists on Earth, and water in its high-
160 subcritical state has to be followed in order to recognize the components of life which form prior to
161 the emergence of life.

162

300°-350°C 10-25MPa

Follow the water in its high-subcritical state

1. $\text{Fe}^{\text{II}}(\text{OH})_3^-_{\text{diss}} + \text{H}_2\text{O}_{\text{high-subcritical}} \rightarrow \text{Fe}^{\text{III}}(\text{OH})_4^-_{\text{diss in hscw}} + 1/2\text{H}_2_{\text{diss in hscw}}$ pH~9.5-14
no Fe^{III} above Tc (374°C, 22.1MPa) (Bassez 2013)
2. $3 \text{SiO}_2_{\text{quartz}} \rightarrow \text{SiO}_2_{\text{diss quartz}} + \sim 2 \text{SiO}_2_{\text{amorph}}$ (Shock et al. 1989) (Smith&Fang 2011) (Karasek et al. 2013)
no SiO₂_{diss} above Tc
3. $\text{CO}_2_{\text{diss}} + \text{H}_2_{\text{diss}} \rightarrow \text{CO}_{\text{diss}} + \text{CH}_4_{\text{diss}}$ 250°-300°C, 25MPa, catalyst:Fe₃O₄ (Fu & Seyfried 2009)
4. $\text{Fe}_2\text{SiO}_4_{(\text{s})} + \text{H}_2\text{O}_{(\text{hsc})} \rightarrow \text{SiO}_2_{\text{diss Q}} + \text{SiO}_2_{\text{amorph silica}}$
→ **Ferric oxides:** goethite, hematite + + H₂(diss in hscw)
→ **Ferric silicates:** greenalite (Fe^{II},Fe^{III})₂₋₃Si₂O₅(OH)₄
minnesotaite (Fe^{II},Fe^{III})₂Si₄O₁₀(OH)₂
(Fe^{II},Fe^{III})₂₋₃Si₂O₅(OH)₄ + SiO_{2(diss)}} → (Fe^{II},Fe^{III})₂Si₄O₁₀(OH)₂ + H₂O
→ H₂
H₂+CO₂→CO; CO_g+H₂O_g+N_{2g}+γ, protons..→**organic functional groups**
(Kobayashi et al.1990, room T) (Takano et al. 2004, 765°C,10s)
(Kurihara et al. 2012, 300°C, 25MPa, 2min) (Bassez, Takano, Kobayashi 2012) →



163

164 **Figure 1.** The concept of geobiotropy which represents the transformation of ferrous silicates containing rocks
165 in alkaline high-subcritical anoxic water, for the production of ferric minerals and macromolecules of amino-
166 acids. The equations show the dissolved products, but are not all equilibrated.

167

168 4. Conclusion

169

170 With the four chemical processes described above, I try to demonstrate that the specific state of water
171 which is called high-subcritical leaves signatures inside the minerals which are produced during high-subcritical
172 water/rock interaction. In other words, the interaction of alkaline high-subcritical anoxic water with rocks which
173 contain ferrous silicates composes a mineralogy and biology oeuvre for the synthesis of ferric minerals and
174 components of life. From the numbers emerges the form. Observations of these mineral signatures inside rocks,
175 lead to the conclusion that components of life may have formed at that location, thus preceding the emergence
176 of life.

177

178

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