

1 *Communication*

2 Fullerene Aggregation in Thin Films of Polymer 3 Blends for Solar Cell Applications

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9

10 **Abstract:** We report on the effects of the film morphology on the fluorescence spectra for a thin film
11 including a quinoxaline-based co-polymer (TQ1) and a fullerene derivative (PC₇₀BM). The ratio
12 between the polymer and the fullerene derivative, as well as the processing solvent were varied.
13 Beside the main emission peak at 700 nm in the fluorescence spectra of thin films of this phase-
14 separated blend, a broad emission band is observed with a maximum at 520 - 550 nm. The intensity
15 of this emission band decreases with an increasing degree of mixing in the film and becomes most
16 prominent in thicker films, films with high PC₇₀BM content, and films that were spin-coated from
17 solvents with lower PC₇₀BM solubility. We assign this emission band to aggregated PC₇₀BM.

18 **Keywords:** Fullerene aggregation; Organic solar cells; Fluorescence; Organic photovoltaics

19

20 1. Introduction

21 The interest for polymer based solar cells has grown rapidly during the last two decades. This
22 technology shows several advantages, all related to its easy manufacturing by solution-based
23 processes, compared to other solar energy harvesting technologies. Today single junction polymer
24 solar cells of binary blends have reached a record efficiency of about 14 % [1-2] and tandem cells even
25 higher, above 17% [3].

26 The photoactive layer consists of a mixture of an electron donating polymer and an acceptor
27 molecule. One of the most studied class of acceptor molecules is fullerene derivatives, such as [6,6]-
28 phenyl-C₆₁-butyric acid methyl ester (PC₆₀BM) or [6,6]-phenyl-C₇₁-butyric acid methyl ester (PC₇₀BM),
29 but more recently non-fullerene acceptor molecules or polymers gain in popularity. The donor and
30 acceptor material are typically processed together from a chlorinated and/or aromatic solvent into a
31 thin liquid film. The morphology of the donor/acceptor active layer is formed during deposition
32 when the solvent evaporates and can later be altered through post-production treatments [4,5]. The
33 morphology will affect the charge transfer from the donor to the acceptor, as well as the charge
34 transport to the electrodes and is, consequently, a crucial factor determining the solar cell
35 performance.

36 When a polymer/fullerene blend phase separates, polymer-rich and fullerene-rich domains are
37 formed. The degree of phase separation will depend on several factors, *e.g.* the solubility of the two
38 materials in the solvent [6,7], their mutual miscibility [8,9], and the rate of drying [10,11]. The
39 interaction between the fullerene derivative and the conjugated polymer, as for instance expressed
40 by the Flory-Huggins interaction parameters, plays a significant role in the phase separation [8]. By
41 varying the solvent used for deposition, the solubility and the drying kinetics are affected
42 simultaneously, and the change in morphology is complicated to predict [6,12-15]. Microscopy
43 techniques, such as atomic force microscopy (AFM), are very suitable to study domain structures of
44 50 nm up to several micrometre in diameter. For systems where liquid-liquid phase separation
45 prevails, coarser domain structures will be achieved on if the drying time is prolonged by *e.g.* slower
46 spin-coating speeds [10,11] or solvent annealing. Blends of a quinoxaline-based donor polymer (TQ1)

47 [16] and PC₇₀BM (Figure 1) [6, 17, 18], has shown to be a material combination that yields solar cell
48 efficiencies up to 7% [19].

49 In order to access information about the structures on the molecular scale, it is of importance to
50 combine microscopy techniques with spectroscopy, e.g. fluorescence spectroscopy, which yields
51 information on the molecular scale. Here we report on the aggregation of PC₇₀BM, as studied by
52 fluorescence spectroscopy. Fluorescence spectroscopy has successfully been used for characterisation
53 of these [17,18] or other [20,21] blends earlier. The optical properties are correlated with the phase
54 separated domain structure as imaged by AFM.

55 2. Materials and Methods

56 PC₇₀BM (purity 99%) was purchased from Solenne, The Netherlands. TQ1 was prepared
57 according to previously published procedures [16]. TQ1 had a number-average molecular weight of
58 $M_n \sim 34\,000$ (polydispersity index ~ 2.7) as measured with size exclusion chromatography with a
59 polystyrene standard. Chlorobenzene (CB) and chloroform (CF) (analytical grade) were purchased
60 from Merck, *ortho*-dichlorobenzene (*o*DCB) (analytical grade) was purchased from Sigma-Aldrich.
61 All solvents were used as received.

62 Solubility measurements

63 Saturated solutions of PC₇₀BM were prepared in the three solvents. After equilibration, the
64 solutions were thoroughly centrifuged and an aliquot of known volume of the supernatant was
65 withdrawn. The saturation concentrations were estimated by gravimetric analysis of the dry content
66 after complete solvent evaporation.

67 Thin film preparation

68 Thin films were spin-coated from CF (12.5 mg/ml), CB (20 mg/ml), or *o*DCB (25 mg/ml) solutions.
69 Concentrations in brackets are the total material concentration in solution in which the ratio between
70 TQ1 and PC₇₀BM was altered. Solutions were gently heated prior to spin-coating. For fluorescence
71 spectroscopy and AFM, silicon wafers were used as substrates, cleaned using the standard RCA-
72 method [22-24], without the final HF-etching step, so that a clean and hydrophilic surface is achieved.
73 The film thickness was approximately 90 nm (± 10 nm), as measured by AFM, if nothing else is stated.

74 Steady-state fluorescence measurements

75 Steady-state fluorescence spectra were collected on a SPEX FL3-11 TAU fluorimeter, purchased
76 from Gammadata (Sweden). Measurements were performed on thin films in front-face mode at 22°
77 angle relative to the incident excitation light, in order to prevent reflected excitation light. The
78 excitation wavelength was 380 nm. All samples were kept in the dark prior to the measurements and
79 the spectra were recorded at room temperature and in ambient air.

80 Atomic force microscopy (AFM)

81 Images were collected in tapping mode with a Nanoscope IIIA Multimode AFM (Bruker) using
82 a TESPA-V2 n-doped silicon cantilever.

83 3. Results and Discussion

84 3.1. Solubility of PC₇₀BM

85 The solubility of PC₇₀BM in *o*DCB was determined by gravimetric analysis to be 66 mg/ml,
86 compared to 56 mg/ml in CB and 34 mg/ml CF [18]. The vapour pressures of the solvents are 0.14
87 kPa, 1.5 kPa, and 26.3 kPa for *o*DCB, CB, and CF, respectively [25,26].

88 3.2. Fluorescence measurements

89 To study the relationship between morphology and optical properties, both the solvent for
90 deposition and the ratio between TQ1 and PC₇₀BM were altered. It has been shown earlier that the
91 phase separated domains become larger when the fraction of PC₇₀BM is increased in the blend [17]
92 and that the domain size depends on the choice of solvent, for films with equal thickness [6]. Hansson
93 *et al.* have shown, by STXM measurements, that the composition of the phase separated domains is
94 rich in PC₇₀BM and, by AFM imaging, that the domains are larger when CF is used as solvent than

95 when *o*DCB is the solvent [6]. The AFM images shown in Figure 2 show that the phase-separated,
96 PC₇₀BM-rich, domains become larger when a less good solvent for the fullerene derivative is used, in
97 line with the earlier findings. This is more pronounced for the 1:3 blend than for the 1:1 blend in the
98 series of decreasing PC₇₀BM solubility, *i.e.* *o*DCB, CB, and CF. Emission spectra, Figure 3, obtained
99 upon excitation at 380 nm, of the blend films show, apart from the main TQ1 emission peak at 700
100 nm [17,18], a very broad emission band with a maximum between 520 nm and 550. For pure TQ1 in
101 solution, this emission band is absent when TQ1 is dissolved in CF, CB or *o*DCB [18], which is also
102 found for pure TQ1 films (spectrum not shown). The only emission in the visible region from TQ1
103 dissolved in those solvents is found around 650-700 nm, thus slightly blue-shifted in comparison with
104 the emission from TQ1 films [17,18]. For TQ1:PC₇₀BM blend films, we have shown that when the
105 PC₇₀BM-rich domains grow, the intensity of the emission band between 500 and 550 nm increases
106 [18].

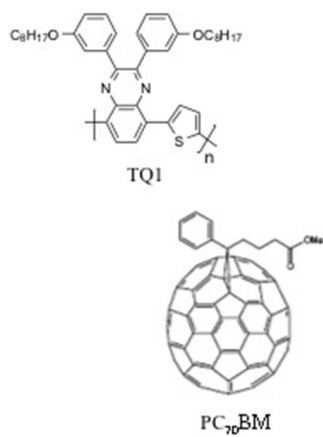
107 A similar trend in the emission peak as in the previous studies is observed if the amount of
108 PC₇₀BM was increased (Figure 3a) or if a less good solvent for PC₇₀BM (CF) is used (Figure 3b).
109 Further, the maximum of the 500-550 nm emission band shifts to longer wavelengths, *i.e.* from
110 approximately 520 nm to 550 nm, Figure 3. Cook *et al.* showed that films of pure PC₆₀BM give rise to
111 a broad emission band at approximately 500 nm, which is absent when the fullerene derivative is
112 well distributed in a polystyrene matrix (1:7 fullerene:polystyrene) [27]. They assign this emission to
113 the occurrence of aggregated PC₆₀BM. In addition, Jamieson *et al.* observed this peak in films of blends
114 with a high fullerene content in a semi-conducting polymer matrix relevant for solar cell applications
115 [28]. The emission peak observed in the present PC₇₀BM-based blends seems to be similar to the one
116 reported for PC₆₀BM. This series of results indicates that the 520-550 nm emission band in strongly
117 phase-separated TQ1:PC₇₀BM is likely to emanate from aggregated PC₇₀BM, and that samples with
118 larger domains include a larger portion of aggregated PC₇₀BM. The nature of these aggregates is not
119 clear from these results. Earlier literature suggest that nanocrystals of fullerenes can be formed upon
120 spin-coating [29]. Probably, the shift from 520 nm to 550 nm in emission maximum is due to a gradual
121 development of the aggregates from a less organised cluster of PC₇₀BM molecules (in films coated
122 from CB and in films with 1:1 ratio) to more compact aggregates in films with 1:3 ratio or in films
123 prepared from CF. For the present results, we cannot draw conclusions about the location of the
124 PC₇₀BM aggregates, even though it is likely to assume that they are formed inside the PC₇₀BM-rich
125 domains.

126 To further underpin this interpretation, films of the 1:3 TQ1:PC₇₀BM blend with different
127 thicknesses (50-130 nm) were prepared [11] by changing the spin-coating speed, (Figure 4). Thicker
128 films, resulting from slower spin-coating, demonstrated larger PC₇₀BM-rich domains, as shown in
129 the AFM images (Figure 4a). The corresponding emission spectra (Figure 4b) show that when the
130 film thickness is increased from 90 nm to 130 nm, the intensity of the emission band increases and
131 the emission maximum shifts from 520 nm to about 540 nm. Decreasing the film thickness from 90
132 nm to 50 nm does not have a significant effect on the emission band. This confirms that the domains
133 have to reach a certain size before the aggregate emission becomes detectable.

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135 3.3. Figures

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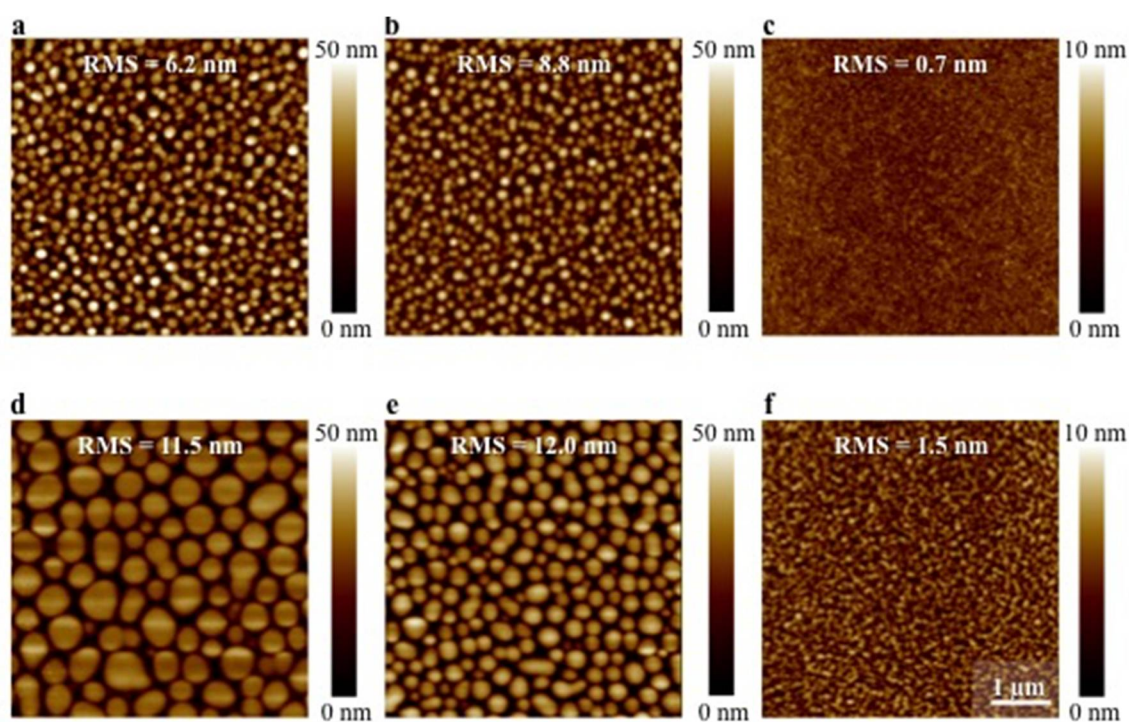


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138 **Figure 1.** Chemical structure of TQ1 and PC₇₀BM.

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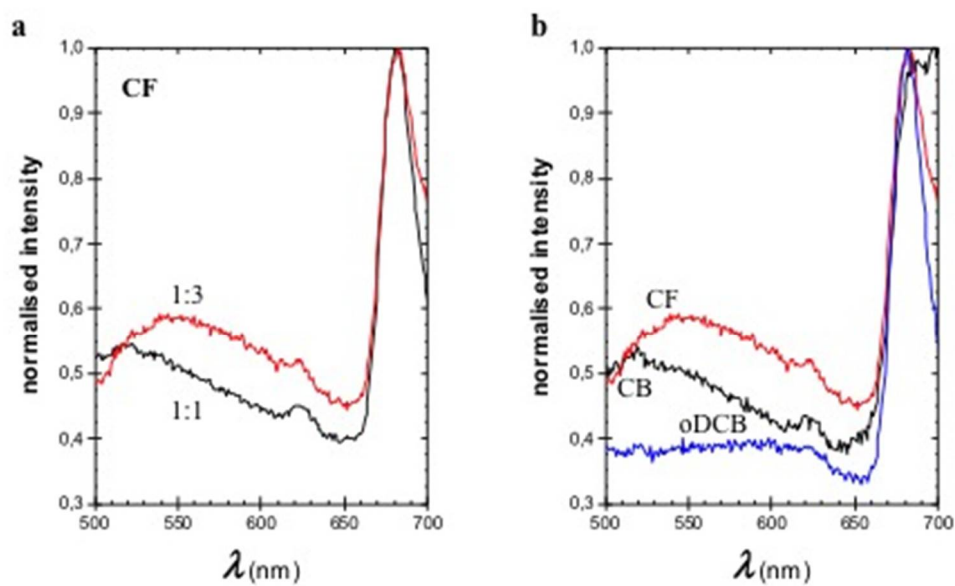
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Figure 2. AFM micrographs ($5 \times 5 \mu\text{m}$) of thin films of 1:1 TQ1:PC₇₀BM (a-c) and 1:3 TQ1:PC₇₀BM (d-f) spin-coated from CF (a,d), CB (b,e), and oDCB (c,f). Scale bar indicates $1 \mu\text{m}$. Surface roughness (RMS) as well as the corresponding height scale is added to each image.

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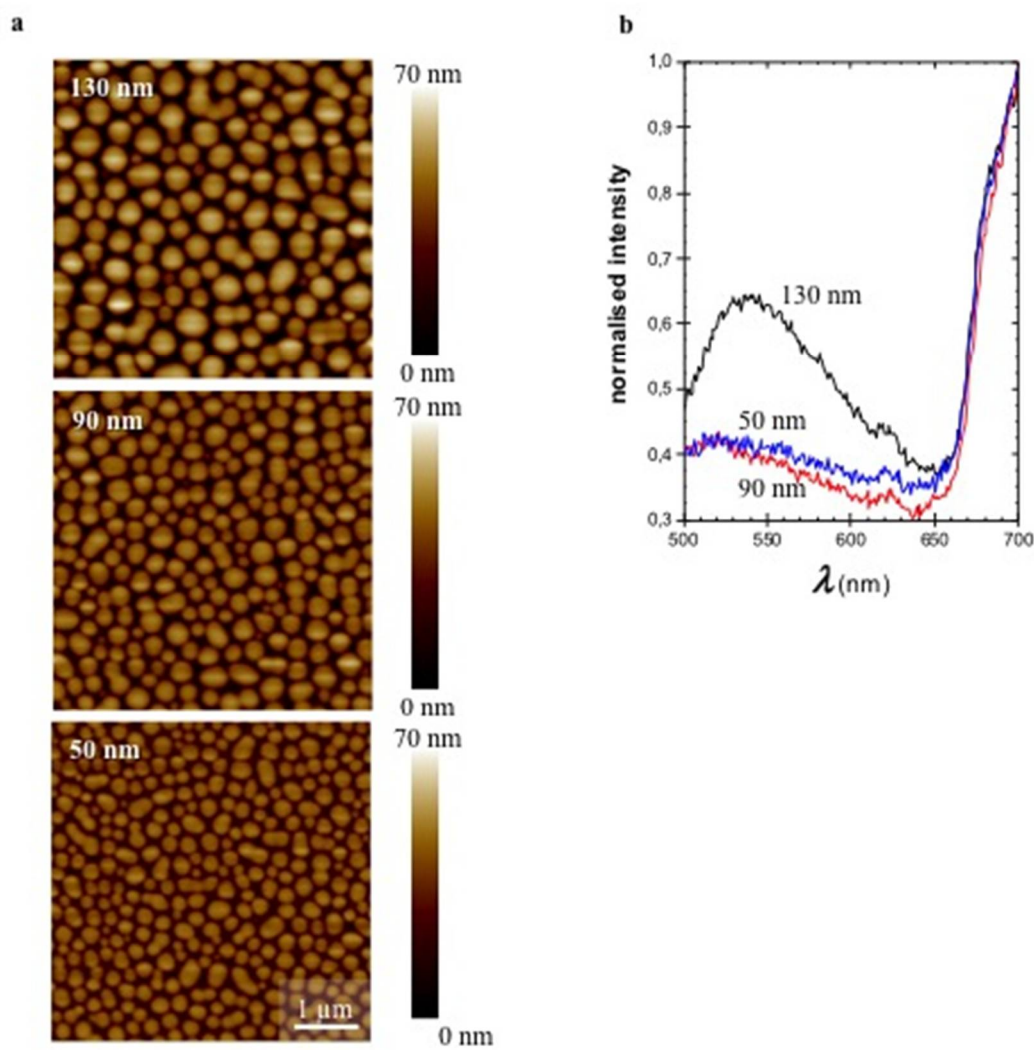
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Figure 3. Fluorescence emission spectra of thin films measured with $\lambda_{\text{ex}} = 380$ nm of (a) 1:1 (black) and 1:3 (red) TQ1:PC₇₀BM spin-coated from CF (b) 1:3 TQ1:PC₇₀BM spin-coated from CF (red), CB (black), and oDCB (blue).

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Figure 4. (a) AFM micrographs (5×5 μm) of thin films of 1:3 TQ1:PC₇₀BM spin-coated from CB with the indicated film thicknesses. A height scale is added to each image. (b) Corresponding fluorescence emission spectra for films with indicated thicknesses.

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158 4. Conclusions

159 In this study we have investigated the relationship between the detailed emission spectra
160 analysis of TQ1:PC₇₀BM blends and the morphology of these blends. Solvents with lower PC₇₀BM
161 dissolution capacity as well as an increased PC₇₀BM concentration yield larger phase-separated
162 domains. In these larger domains, PC₇₀BM aggregates are prone to be formed, resulting in a broad
163 and rather weak emission between 520 and 550 nm upon excitation at 380 nm. For better PC₇₀BM
164 solvents and at lower PC₇₀BM concentrations, the fullerene derivative stays well-mixed with the
165 polymer and no emission between 500 and 550 nm is detected. Further investigation by, e.g. high
166 resolution microscopy techniques is needed to determine the sizes and locations of these aggregates.

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