


Article

Development of a Disaster Management Assessment Model Using Resilience Engineering Techniques and Infectious Disease Disaster Management Capacity Assessment

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Abstract: Safety management assessment systems for national level units' in South Korea focus on responding capacity to cope with impending accident occurrence and danger occurrence. Since the four stage systems for prevention-preparation-response-recovery, which are core elements of national disaster management, assess the capacities by item such as those of individuals, disaster management departments, institutions, and management networks, there is no assessment function for the organic operation states of the entire systems. Therefore, for efficient disaster management, systematic evaluation indices that will enable active pre-checks in departments in organizations should be developed in place of the existing simply checking methods. In this study, an assessment model that will enable active disaster management centered on practice was developed using resilience engineering techniques. This model consists of disaster management items from the viewpoint of proactive responses instead of prevention. A total of 56 items that constitute four capacities; which are prediction (13 items), monitoring (14 items), proactive response (15 items), and safety learning (14 items) capacities were adopted in this model through Delphi analysis. Institutional capacities for infectious disease disaster management were evaluated based on this model and the resultant scores were prediction 4.41, monitoring 4.63, proactive response 4.69, safety learning 4.56 out of the full score of 5.0 points with an overall average of 4.51. This is an excellent capacity management score comparable to the score 4.57 of diagnosis of similar capacities by the WHO_JEE (The Joint External Evaluation) in 2017. In fact, in 2015, when infectious disease capacity management was poor, in case of MERS (Middle East Respiratory Syndrome) infectious disease spread in South Korea, 36 patients died and 6,729 patients were isolated. However, through capacity reinforcement, in the case of MERS occurrence in South Korea in September 2018, a management capacity that prevented spread was shown as one confirmed case was completely cured in 10 days and 21 contacts were isolated and tested negative. Therefore, this capacity management assessment model is judged to be usable in enhancing disaster response and management capacities.

Keywords: Resilience engineering; Disaster management; Assessment model; Capacity diagnosis; Infectious disease

1. Introduction

The patterns of disasters in modern society show the tendency of spread of damage due to unexpected accidental disasters and the lack of crisis management ability even in the case of predictable disasters. Not only in the case of physical disasters such as floods and fires but also in the case of biological disasters such as infectious diseases and livestock epidemics, damage due to predictable or

32 unpredictable disasters is gradually increasing and the areas of damage are expanding from local areas
33 to international areas. To respond to such disasters, disaster management and evaluation techniques
34 have been applied by industries and government agencies since the 1930s, and are more actively
35 utilized in modern society [1].

36 General disaster management systems in South Korean government agencies have been
37 established as four-stage systems consisting of prevention, preparation, response, and recovery stages.
38 However, unlike the original intent to reduce accident occurrences and enhance safety functions,
39 these disaster management systems have been fossilized as established procedures to standardize
40 existing systems to identify and respond to disasters. These existing disaster management systems
41 have limitations in detecting errors or vulnerabilities as they rely on only analyzed data [1].

42 As disaster management systems become larger and the relationships and cooperation systems
43 among related institutions and stakeholders become more complicated, the relationships between
44 internal and external elements for disaster management should be organically activated. Therefore,
45 in order to secure safety throughout the society, instead of the existing fixed disaster management
46 assessment systems, resilience engineering (hereinafter referred to as "RE") based disaster management
47 assessment techniques that can more clearly resolve problems and improve systems has begun to be
48 utilized in earnest beginning from Europe. Disaster resilience provides a framework for understanding,
49 assessing and managing disaster risk [2,3]. The greatest advantage of this RE is that it does not fix
50 basic RE for organizational capacity assessment and diagnostic tools and apply the tools to systems
51 but provides functions to constantly find holes, errors, new disasters, and problems and revise and
52 supplement them from long-term perspectives [4]. Hong Kong's integrated disaster resilience scored
53 4.2 out of 5, indicating a satisfactory performance of the integrated performance of disaster resilience
54 [5].

55 WHO_JEE is a voluntary, collaborative, multi-sectoral process to assess a country capacity to
56 prevent, detect and rapidly respond to public health risks occurring naturally or due to deliberate or
57 accidental events [6]. The JEE tool – International Health Regulation (IHR, 2005) is intended to assess
58 a country capacity to prevent, detect, and rapidly respond to public health threats independently of
59 whether they are naturally occurring, deliberate, or accidental. The purpose of the JEE process is to
60 measure country specific status and progress in achieving the target [7].

61 Therefore, this study is intended to analyze existing domestic and abroad disaster management
62 assessment systems and develop a model to assess the disaster management using RE techniques. In
63 addition, models developed for infectious disease disaster management in South Korea were applied
64 and the results were compared and assessed with the results of the WHO_JEE assessment model [8].

65 2. Analysis of Disaster Management Assessment System

66 2.1. Domestic disaster management assessment system

67 Domestic disaster management assessment systems include national critical infrastructure disaster
68 management assessment, actual disaster management state assessment, and national general safety
69 diagnosis. National critical infrastructures refer to those physical and human systems that can have
70 major effects on human life, properties, and national economy in cases where their functions are
71 paralyzed such as energy, information and communications, traffic and transportation, finance, health
72 and medical service, nuclear power, environment, drinking water, important government facilities and
73 pursuant to article 25-2 of the Framework Act on the Management of Disasters and Safety (hereinafter
74 'the framework act'), facilities that should be constantly managed for protection of national critical
75 infrastructures are called "national infrastructures" [9]. Pursuant to article 22 of the framework act,
76 the Ministry of Public Administration and Security assessed 118 institutions, 271 facilities in nine
77 areas (energy, information and communications, traffic and transportation, finance, health and medical
78 service, nuclear power, environment, important government facilities, and drinking water) [10]. The
79 national critical infrastructures disaster management assessment consists of four items; response

80 capacity, work continuity management, situation management, and score addition/deduction and has
 81 been carried out by checking whether the relevant institutions are equipped with basic elements of
 82 safety management in relation to the four items.

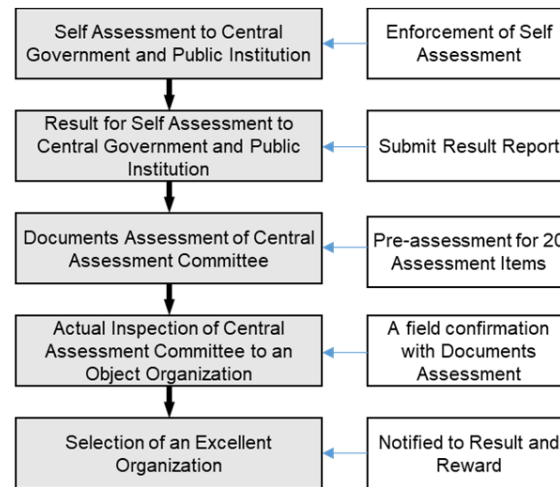


Figure 1. Process of state of disaster management evaluation

83 The disaster management state evaluation was carried out at the beginning of 2005 to construct
 84 advanced national disaster management systems. Pursuant to article 33-3 (public announcement of
 85 actual state of disaster management, etc.) of the framework act and article 42-2 of the enforcement
 86 ordinance of the same act, in 2017, balance assessments by disaster safety type of 317 institutions (19
 87 central departments, 55 public institutions, 226 cities) centered on common indices were carried out.
 88 This disaster management state evaluation consists of five processes and the progression procedure,
 89 method, and contents are as shown in Figure 1. The evaluation indices for central departments and
 90 public institutions are divided into five categories; personal ability, disaster management department
 91 capability, disaster management network capability, agency capacity, and score addition/deduction
 92 [11].

Table 1. Comparison of Disaster Management Assessment Model

Assessment Type	Disaster Management Assessment Based National System	Disaster Management Evaluation
Indicator	1. Response 2. Business Continuous 3. Situation Management 4. Others	1. Personal Ability 2. Disaster Management Department Capability 3. Disaster Management Network Capability 4. Agency Capability
How to Proceed	It is a method to check whether the basic elements of safety management are provided for nine categories of national infrastructure by fragmented items.	Whether the basic elements of safety management are provided for central government agencies, public agencies, local governments, and written evaluation and on-site inspection

93 The general safety diagnosis has been carried out since 2015 in order to raise the level of national
 94 safety and public awareness, to secure social safety, and to promote the development of the safety
 95 industry. The responsible bodies are the central government, local autonomous entities, and public
 96 institutions and the targets of checks are the facilities subject to legal obligations to check and the
 97 implementing bodies according to the laws and systems for the relevant departments.

98 Table 1 shows a comparison of the index systems and methods of national critical infrastructure
 99 disaster management assessments and disaster management failure evaluation. The targets of the
 100 national critical infrastructure disaster management assessments are national infrastructures, mainly
 101 equipped with index systems for response capacity, work continuity, correlation management, and
 102 score addition, etc. The disaster management state evaluation is a little different from the national
 103 critical infrastructure disaster management assessments as it evaluates the capacities of individuals,

104 management departments, networks, and institutions of the central departments, public institutions,
105 and cities [11].

106 2.2. Overseas disaster management assessment system

107 After experiencing major disasters such as the 911 terror in 2001, Hurricane Katrina in 2005, and
108 Hurricane Sandy in 2012, the United States developed new policies and related technologies that can be
109 operated efficiently in the field to construct the most advanced systems in the global sector of disaster
110 safety management. After the Hurricane Katrina disaster in 2005, President Obama issued Presidential
111 Policy Directory (PPD) number eight to present a new framework to the Department of Homeland
112 Security in relation to the prevention, protection, mitigation, response, and recovery systems to be
113 prepared for the occurrence of emergency situations. The gist of this directory is to reduce the disaster
114 response authorities originally concentrated on local governments while reinforcing the functions of
115 the federal government, especially the Department of Homeland Security and The Federal Emergency
116 Management Agency so that the central government and the local governments construct an integrated
117 response system [12].

118 PPD-8 is five national disaster preparation goals consisting of prevention, protection, mitigation,
119 response, and recovery that focused on the reinforcement of organizational capacities to be effectively
120 prepared for and preemptively respond to disasters. The capability-based planning based on these core
121 capacities for national disaster management is not limited to certain disaster situations or scenarios
122 and enables flexible responses even in situations that cannot be easily predicted (Figure 2) [12].

123 After the damage by Hurricane Sandy in 2012, the United State recognized the necessity to
124 maintain the functions of urban systems and introduced the concept of resilience in earnest. New York
125 City constructed the New York City Panel on Climate Change (NPCC2) and presented a measure to
126 reinforce resilience through the 'committee for preparation for climate and resilience measure' [13].

127 In addition, a resilience reinforcement related policies began to be introduced mainly by the US
128 Department of Housing and Urban Development and public participation inviting projects that linked
129 the concept of resilience with recovery and regional development policies were implemented to induce
130 active participation of local residents. Representative resident participatory resilience policies include
131 the design competition titled 'Rebuild by Design' and the '\$1 billion National Disaster Resilience
132 Competition' [14]. In addition, to construct diverse central departments' cooperation systems, the
133 Partnership for Sustainable Communities (PSC), which is a pan-department level partnership, was
134 established in 2009 and diverse departments are currently participating in it including not only
135 the Department of Housing and Urban Development but also the Federal Emergency Management
136 Agency, the Environment Protection Agency, the Department of Transportation, and the Department
137 of Agriculture. In particular, in order to reinforce disaster prevention resilience, the 'Task Force on
138 Climate Preparedness and Resilience' was established in 2013 and the 'Climate Resilience Toolkit' was
139 developed and is supplied to support local governments' and private sector's analysis of resilience.

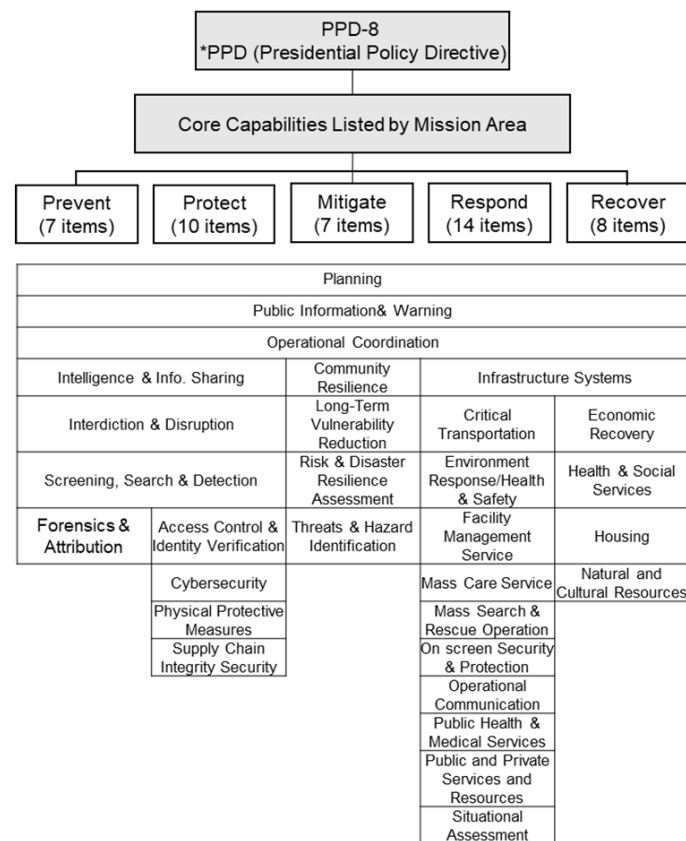


Figure 2. Process of PPD-8 by Federal Emergency Management Agency

140 2.3. Resilience engineering analysis

141 Past accident analysis and danger management evaluation were based evaluation centered on
 142 causal analysis after accident occurrence and the recognition of fundamental problems. This evaluation
 143 method has a shortcoming of being unable to find rational causes of failure in the stages ranging
 144 from prevention to proactive response stages. On reviewing accident analysis and risk assessment
 145 methods by safety paradigm based on times, it can be seen that risk assessment methods have been
 146 developed from those based on the mechanical viewpoint of safety against the causes of accidents to
 147 those based on human, organizational, and system viewpoints. For instance, mechanical factors related
 148 assessment methods include Failure Mode and Effects Analysis (FMEA), Hazard and Operability
 149 Analysis (HAZOP), Fault Tree, and FMECA. The assessment methods were developed from accident
 150 analysis with the domino theory based on human factors in the 1930s to mechanical defect element
 151 analysis in the 1950s, organizational danger management methods in the 1980s, and FRAM and STAMP,
 152 which are human and physical system analysis tools, were developed and have been applied since
 153 the mid-2000s. New paradigms should be applied to assess the ability of organizational resources
 154 to improve the ability to suppress the occurrence of accidents and to ensure safety from a long-term
 155 viewpoint. The contents of comparison of traditional paradigms and new paradigms in relation to
 156 safety and disasters are as shown in Table 2 [1].

157 The functions of new paradigms refer to the organizational level ability to suppress accidents and
 158 improve safety from a long-term viewpoint. The disaster safety management items include items to
 159 evaluate whether functions for actual safety management act instead of the checking method. The
 160 viewpoint of existing paradigms is different because it is to evaluate whether facilities, systems, and
 161 documents have been prepared and the levels of human and functional performances. The standard
 162 assesses relative abilities and pursues progressive securing of insufficient matters. Assessment results

163 are fed back to establish long-term improvement plans to pursue improvement at the organizational
164 and institutional levels.

Table 2. Inter-comparison of new change paradigm in disaster management

Assessment	Traditional Paradigm	New Paradigm
Function	Preparations to reduce human and property damage in accidents to occur	The ability of organizational resources to prevent accidents and improve safety capability from a long-term perspective
Item	Preparatory state for performing specified safety maintenance function	Ultimately, diagnose whether the function is working to maintain safety and improve safety management capability.
View	Assessment of what is based on facilities, systems, documentation, infrastructure assessment and hardware	Diagnose what you are doing and what level you are performing
Standard	Evaluate whether you meet regulatory requirements or not.	Relative ability is assessed, and progressive improvement
Feedback	Notification of immediate response to intellectual objections to the evaluation results	Establish long-term improvement plan based on diagnosis and improvement, and improve organization and institutional level

165 3. Study Method

166 3.1. Disaster management assessment model based on resilience engineering

167 RE-based disaster management assessment construction methods were schematized as shown in
168 Figure 3. A disaster management assessment model derives assessment items through RE application
169 literature in the first stage, selects assessment items after the first Delphi survey, and thereafter conducts
170 the second Delphi survey to collect and analyze the opinions of the expert group in the second stage. In
171 this stage, assessment and index items by core function in each capacity area are derived. In the third
172 stage of disaster management, the interconnectedness, redundancy, and objectivity of the assessment
173 items are secured through the development of an analysis model. In the fourth stage, the third Delphi
174 survey is conducted to derived detailed assessment items through opinion collection, revision, and
175 reflection on the assessment items and selection criteria derived through the second Delphi survey. In
176 the process of progression of the first to third Delphi analysis, detailed assessment items, the contents
177 of assessment, and basic legal systems such as the laws, systems, and self-regulations of disaster related
178 institutions should be checked. Therefore, during the process, information such as the grounds of facts
179 due to the participation of administrative workers of disaster relevant institutions and the present
180 situation of administration should be provided.

181 3.2. Delphi analysis

182 Delphi analysis is a method to derive collective consensus based on the principle of
183 decision-making as a logical basis when accurate information on the problem to be estimated is
184 necessary. The Delphi method consists of three progression methods: (1) Repetition of procedures
185 and controlled feedback, (2) Anonymity of respondents, and (3) The procedure for statistical group
186 response. In this study, the response panel was organized only with experts and persons in charge in
187 the related fields.

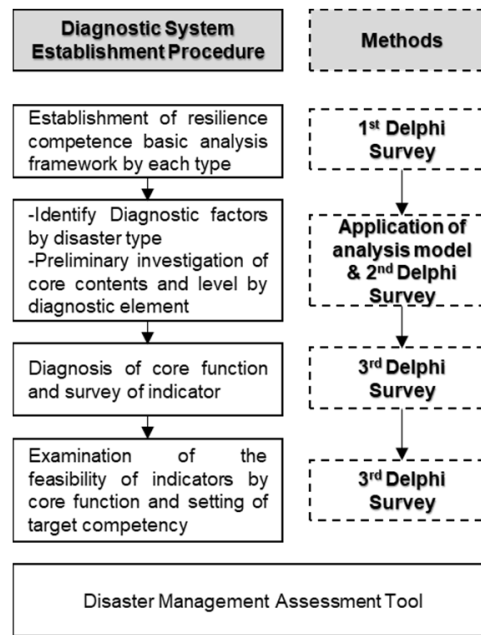


Figure 3. Flowchart of disaster management assessment tool based on resilience engineering

188 In this study, methods such as self-written opinion collection and re-opinion survey after result
 189 editing among the standard Delphi method, which are the most common Delphi processes, were
 190 applied. Three Delphi surveys were conducted and in the first survey, panelists' choices and opinions
 191 of open-ended questions were collected. In the second survey, some items were deleted and modified
 192 through the evaluation of the degree of convergence based on the open-ended questions in the
 193 first survey. In the third survey, the final degree of convergence was evaluated for open - ended
 194 questions after item deletion and modification. Here, to ensure the homogeneity of the panel, which is
 195 respondents, and to prevent deviations of the panel, the respondents were asked to participate in the
 196 surveys from the initial opinion collection stage to the re-opinion survey stage. Since Delphi prediction
 197 relies on the subjective judgment of the expert panel to estimate the parameter values or propositions,
 198 subjective probability methods should be applied. Since Bayesian decision analysis evaluates the
 199 probability distribution in the sample space as a process of confidence in uncertain propositions the
 200 degrees of convergence were divided into 0-1 according to the Delphi procedure and those propositions
 201 that had the value of the degree of convergence of opinions not smaller than 0.9 (maximum value 1)
 202 were regarded to have converged. The expert panelist selection convergence evaluation index (P_B for
 203 open-ended questions is calculated by the following Equation 1 and is determined according to the
 204 range of the convergence evaluation index (B_i).

$$P_B = \frac{\sum B_i}{P_n B_{Max}} \times 100 \quad (1)$$

205 where, B_i is the convergence evaluation index of individual expert panelists and P is an expert
 206 panelist. In this study, the experts panel was composed of a total of 15 panelists consisting of 6 persons
 207 from central departments relates to the field of disasters and 9 external experts.

208 **4. Result**

209 *4.1. Delphi analysis results*

210 *4.1.1. First Delphi analysis*

Table 3. Example of Interview Checklist for Diagnosing Disaster Management Assessment

Diagnostic elements	Is the level of utilization of internal and external knowledge appropriate for future disaster prediction?
Knowledge Utilization	Optional <ul style="list-style-type: none"> (1) No activity at all (2) There is no reason (law or regulation) on the utilization of knowledge and activities, but it is a partial utilization activity by the department and the person in charge. (3) It is presented on the minimum task assignment and regularly - it is not regular but it does not reflect the timely use of knowledge. (4) There are documented and documented documents related to periodical and irregular use of knowledge, so there is no immediate reflection stage. (5) Documents and supporting documents related to non-periodical/periodical knowledge utilization are provided in laws and regulations, and they are reflected immediately.
	Meaning <p>It is concerned with the continuing activities of relevant legal systems, institutions, departments, and personnel involved in the utilization of internal and external knowledge of the competent ministries. This includes regular and timely knowledge for changing external circumstances, new forecasting techniques, Includes activities for utilization.</p>
	Check list <ul style="list-style-type: none"> - Confirmation of internal and external knowledge utilization activities: Confirmation of legal, institutional or departmental basis documents. - Periodic and timely reflection of knowledge utilization confirmation of preparation and timeliness of the preparation: Diagnosis of interview activities. * Reflection Timeliness: It refers to a set of prescribed work process activity levels that are systemized or applied to the field through experts or proven procedures to improve the field reflection and utilization of new knowledge both inside and outside the city for more effective pre-disaster prediction.

211 In order to select open-ended interview question, an expert panel of 15 disaster related experts was
 212 composed and capacity assessment interview items were first extracted. For prediction, monitoring,
 213 proactive response, and safety learning areas, up to 22 disaster related interview items per area were
 214 presented and a total of 40 items consisting of 73 detailed items; prediction (14 items), monitoring
 215 (20 items), proactive response (22 items), and safety learning (17 items) were derived as capacity
 216 assessment items. Examples of interview items by the field of open-ended questions are as shown in
 217 Table 3. The question items regarding knowledge utilization in the area of prediction consist of the
 218 meaning of questions, selection of relevant items, documents and legal regulations, and matters to
 219 check for business. In the can of the open-ended interview question items, all expert opinions were
 220 collected were reflected on the contents of questions, relevant meanings, matters to be selected, and
 221 matters to be checked in the form as shown in Table 3.

222 *4.1.2. Second Delphi analysis*

223 The second Delphi analysis was conducted for the 40 items consisting of 73 detailed items in
 224 the four areas extracted in the first Delphi analysis. The analysis was conducted on explanatory data
 225 considering the intent of the questions and the natures of works that must be checked during disaster
 226 management assessments. As a result, among the 73 items, the 62 items were shown to have fitness
 227 scores not lower than 0.9 and seven items were shown to have fitness scores lower than 0.9 or shown
 228 to be overlapping questions. Therefore, the overlapping question items and items with low fitness
 229 scores were reorganized to derive a total of 40 items consisting of 69 detailed items in the four areas

230 of prediction (15 items), monitoring (19 items), proactive response (20 items), and safety learning (15
231 items).

232 4.1.3. Third Delphi analysis

233 From the 69 detailed items in 40 items in four areas presented in the second Delphi process, 56
234 detailed items with fitness scores not lower than 0.9 were selected through a survey of the degree
235 of convergence of opinions of the same experts. The individual diagnostic items were adopted after
236 revising the fitness scores based on the diagnosis assessment scale criteria to be at least 0.9. Finally, a
237 total 56 items in the areas of prediction (13 items), monitoring (14 items), proactive response (15 items),
238 and safety learning (14 items) were derived as capacity assessment items. The changes in detailed
239 assessment items in the 1st, 2nd, and 3rd Delphi analysis processes reflecting the degrees of convergence
240 of opinions are as shown in Table 4.

Table 4. Changes in Diagnostic Items through Delphi Analysis Process

	1 st Delphi (73 items)	2 nd Delphi (69 items)
Prediction	14	15
Monitoring	20	19
Respond	22	20
Learning	17	15

241 4.2. Capacity diagnosis item

242 4.2.1. Prediction

243 Prediction capacities refer to those proactive response capacities to minimize the risk of
244 accident occurrence and resultant damage through short/long-term prediction by assessment of
245 internal/external environmental factors, the composition of resources, and institutional support in
246 relation to system safety and social danger elements. Prediction capacity diagnostic elements were
247 composed of (1) Expertise, (2) Prediction frequency, (3) Information sharing, (4) Prediction model, (5)
248 Prediction time, (6) Prediction reliability, (7) Decision making, (8) Organizational consciousness, (9)
249 Prediction resource, and (10) Resource efficiency.

250 4.2.2. Monitoring

251 Monitoring can be defined as the ability to identify external environment factor variables and
252 internal safety maintenance states and immediately recognize impending disasters or the possibility
253 of accident occurrence. This includes the sensing, checking, and recovery functions to sense disaster
254 occurrence and resultant risks of damage in order to maintain safe states. Monitoring diagnostic
255 elements were composed of (1) Index list, (2) Fitness, (3) Index type, (4) Preceding assessment validity,
256 (5) Time delay, (6) Measurement type, (7) Analysis frequency, (8) Analysis suitability, (9) Effectiveness,
257 and (10) Organization support.

258 4.2.3. Proactive response

259 Proactive responses can be defined as the ability of the internal or external linked response unit
260 organizations to conduct responding activities with organized systems to reduce disaster accidents and
261 resultant damage until the stage before the expansion of the disaster into a large disaster. In a broad
262 sense, proactive responses includes the ability to conduct a series of response activities to maintain or
263 restore safe or steady states in the stage after disaster occurrence before expansion. RE perspective
264 proactive responses are to secure functions such as policies, organizations, and resource capacities with
265 the goal of preventing accident occurrence per se without focusing on the field response ability after an
266 accident occurrence. The proactive response diagnostic elements were composed of (1) Accident item,

267 (2) Selection basis, (3) Amendment appropriateness, (4) Initiation standard, (5) Behavior standard, (6)
268 Promptness, (7) Duration, (8) Resource mobilization, (9) Termination criteria, and (10) Waiting criteria.

269 4.2.4. Safety learning

270 Safety learning is the ability to enhance the safety learning capacity for personal experience and
271 knowledge, as well as the ability to expand and utilize individuals' expertise in the entire organization
272 thereby systematically accumulate the expertise into knowledge. The safety learning diagnostic
273 elements were composed of (1) Selection criteria, (2) Learning standards, (3) Learning materials, (4)
274 Classification methods, (5) Learning frequency, (6) Learning resources, (7) Rapid learning, (8) Learning
275 objectives, (9) Learning execution, and (10) Verification / operation.

276 4.3. Infectious disease disaster management capacity assessment

277 In South Korea, infectious disease disaster management is a major task of the Korea Centers for
278 Disease Control and Prevention of the Ministry of Health and Welfare. Therefore, using the disaster
279 management capacity diagnosis model developed in this study, an internal and external expert group
280 was composed to conduct field surveys and interviews on the infectious disease disaster management
281 documents of the Korea Centers for Disease Control and Prevention, laws and regulations, activities,
282 systems, and learning methods. According to the diagnosis of infectious disease disaster management
283 capacities, as shown in Table 5, the overall average of the internal and external experts was high as
284 4.51, and among the detailed capacities, proactive responses showed the highest score 4.69 followed by
285 safety learning 4.56, prediction 4.41, and monitoring 4.36. The deviation rate between the assessment
286 by external experts and self-assessment was 7.79%. Self-assessment scores were about 10.14% higher
287 on prediction and monitoring while external experts' assessment scores were about 5.83% higher on
288 proactive responses and safety learning.

Table 5. Infectious disease management capacity diagnosis results (+ means that the results of self-assessment are higher and - means that the results of external assessment are higher)

	Self assessment	External experts assessment	Mean	Deviation rate [%]
Prediction	4.75	4.07	4.41	+11.30
Monitoring	4.63	4.09	4.36	+8.98
Respond	4.55	4.83	4.69	-4.72
Learning	4.35	4.77	4.56	-6.94

289 As shown in Figure 4, in the results of RAG (Resilience Analysis Grid) diagnostic analysis of
290 detailed items of prediction capacities, the score of information sharing (5.00) was the highest followed
291 by expertise (4.83), prediction time (4.67), decision making (4.67), prediction model (4.61), prediction
292 frequency (4.50), organizational consciousness (4.50), resource efficiency monitoring capacities (4.00),
293 prediction reliability (3.67), and prediction resource (3.67). In the results of diagnostic analysis of
294 detailed items of monitoring capacities, the score of time delay (4.84) was the highest followed by
295 analysis frequency (4.84), index list (4.67), index type (4.67), measurement type (4.59), fitness (4.50),
296 analysis suitability (4.50), organization support (4.17), preceding assessment validity (3.50), and
297 effectiveness (3.34). In the results of diagnostic analysis of detailed items of proactive responding
298 capacities, the score of amendment appropriateness (5.00) was the highest followed by initiation
299 standard (4.92), duration (4.92), accident item (4.84), resource mobilization (4.84), waiting criteria (4.59),
300 behavior standard (4.50), termination criteria (4.50), selection basis (4.50), and promptness (4.33). In
301 the results of diagnostic analysis of detailed items of safety learning capacities, the score of learning
302 standards (4.67) was the highest followed by learning materials (4.83), learning resources (4.83),
303 learning objectives (4.83), verification / operation (4.75), selection criteria (4.50), learning frequency
304 (4.50), rapid learning (4.33), learning execution (4.33), and classification methods (4.00).

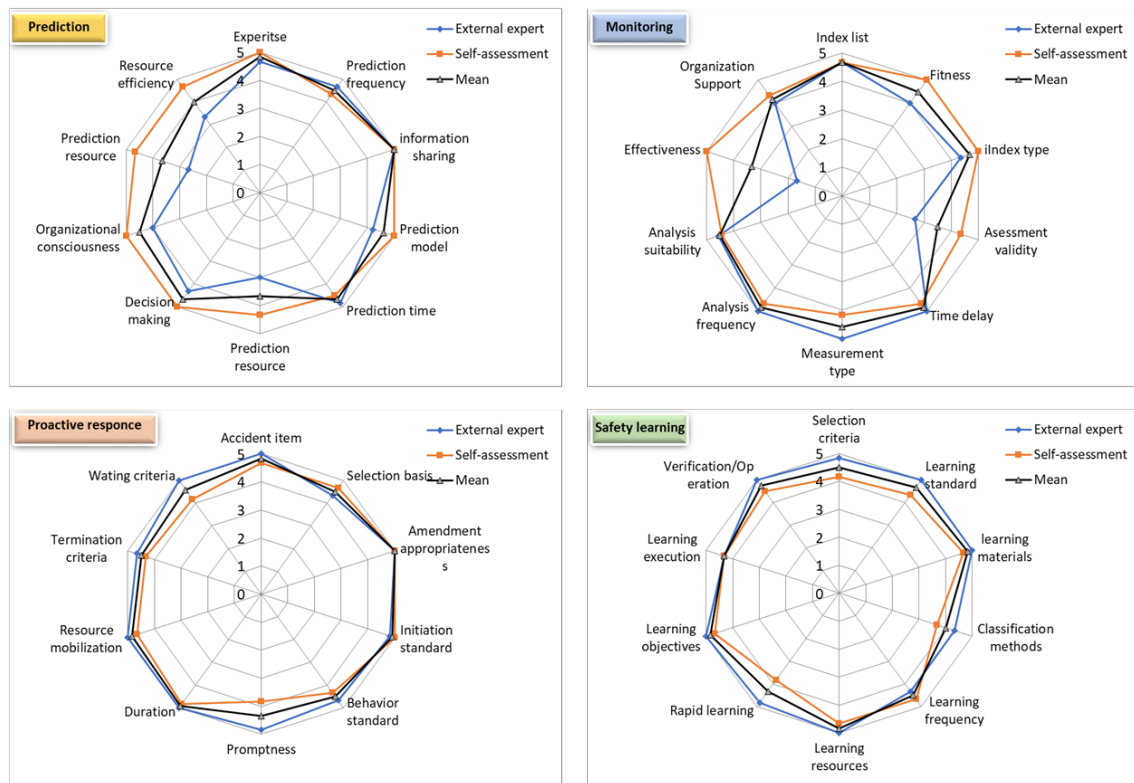


Figure 4. Results on detailed RAG diagnosis of infectious disease management capacity (Results of RAG diagnosis of each of prediction, monitoring, proactive response, and safety learning capacity)

305 5. Discussion

306 In order to secure the reliability of the capacity diagnosis assessment model proposed in this
 307 study, the results of assessment of the proposed model were compared with the results on assessment
 308 of JEE, which is an infectious disease management capacity diagnostic program of the WHO. The JEE
 309 share a number of important features, including: voluntary country participation; a multi-sectoral
 310 approach by both the external teams and the host countries; transparency and openness of data and
 311 information sharing; and the public release of reports. It also refers to the joint process during an
 312 external evaluation (envisioned to take place approximately every five years) where a team of national
 313 experts first prepares a self-assessment supplied to the external team prior to the on-site visit, and the
 314 external team uses the same tool for their independent evaluation, working together with the national
 315 team in interactive sessions [6]. WHO_JEE consists of 48 detailed items in 4 main areas, which are
 316 prevention, sensing, response, and others [7]. From 28 August to 1 September 2017, the JEE mission
 317 took place in the Rep. of Korea, where a multi-sectoral team of international and national expert jointly
 318 conducted a review of Rep. of Korea's IHR core capacities in the 19 technical areas and 48 detailed
 319 items using the JEE tool [8]. The number and contents of detailed items do not agree with the 56
 320 items of four major capacities proposed in this study when compared with each other. However, the
 321 meanings of the detailed assessment items were analyzed and compared with the four major capacity
 322 items of the model in this study. As a result, as shown in Table 6, the deviation by capacity were shown
 323 to be prediction capacity 0.26, monitoring 0.41, proactive response 0.69, and safety learning 0.17, and
 324 the deviation of the overall average was shown to be 0.06. In fact, in the case of the MERS infectious
 325 disease spreading occurred in South Korea in 2015, 36 patients died and 6,729 patients were isolated.
 326 The Korea Centers for Disease Control and Prevention has reinforced capacities such as improvement
 327 of manpower, systems, laws and systems, and preparation of learning capacity, equipment, and wards
 328 since 2015. As a result, in the present capacity management diagnosis, a score of 4.51 was given and in
 329 WHO_JEE diagnosis, a score of 4.57 was given, indicating that the management capacity is sustainable.

330 Thereafter, in the case of MERS occurrence in South Korea in September 2018, one confirmed case was
 331 recovered in 10 days and 21 contacts were isolated and judged negative indicating that the infectious
 332 disease did not spread. Therefore, this capacity management assessment model is judged to become a
 333 tool to improve and continuously maintain and manage disaster response and management capacities.
 334 However, in conclusion of WHO_JEE 2017 South Korea result, South Korea demonstrated a high level
 335 of capacity as 4.57 out of 5 in this JEE. It is important to note that having sustainable capacity across
 336 many technical areas also means there is an obligation to proactively support the other Member States
 337 in the region to maintain and strengthen their core capacities [8].

Table 6. Comparison between the mean values of the results of infectious disease management capacity diagnosis between proposed REG and WHO_JEE capacity diagnosis

	Proposed diagnosis results (Max. 5)	WHO_JEE South Korea results (Max. 5)
Prediction	4.41	4.67
Monitoring	4.36	4.77
Respond	4.69	4.00
Learning	4.56	4.83
The mean of results	4.51	4.57

338 6. Conclusion

339 For the assessment of organizations' ability to suppress accidents and enhance safety from a
 340 long-term viewpoint in place of the paradigm to be prepared against human life and property losses
 341 in impending accidents in a short-term viewpoint for efficient disaster management in the case of the
 342 occurrence of diverse disasters, practice centered disaster management assessment items are necessary.
 343 Therefore, in this study, a disaster management assessment model based on practice centered active
 344 new paradigms was presented and infectious disease disaster area capacity management assessments
 345 were conducted. As a result, the following conclusions were obtained.

- 346 • A disaster management assessment model for four stages; prediction, monitoring, proactive
 347 response, and safety learning was presented
- 348 • A disaster management assessment item selection method using the Delphi analysis technique
 349 that includes expert panel composition and opinion convergence processes was presented.
- 350 • Finally, assessment criteria for a total of 56 detailed items in four stages, which are prediction (13
 351 items), monitoring (14 items), proactive response (15 items), and safety learning (14 items), were
 352 presented.
- 353 • Infectious disease management capacity assessment was conducted and the results indicated that
 354 the capacity management was excellent with an overall average score of 4.51, which is similar
 355 to the score 4.57 of WHO_JEE sustainable disaster capacity management assessment with an
 356 deviation value of 0.06.

357 As can be seen from the results of 2015 and 2018 MERS infectious disease spread actually
 358 occurred in South Korea, the present capacity diagnosis assessment model is judged to be helpful for
 359 more objective capacity diagnosis and sustainable capacity management [8]. Based on the disaster
 360 management assessment items presented through the results of this study, institution capacity
 361 diagnoses by disaster will be conducted hereafter so the vulnerable areas and problems can be
 362 improved and supplemented.

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390 **Sample Availability:** Samples of the compounds are available from the authors.