1 Article

Transcriptomics as precision medicine to classify *in vivo* models of dietary-induced atherosclerosis at

4 cellular and molecular levels

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13 Abstract: The central promise of personalized medicine is individualized treatments that target 14 molecular mechanisms underlying the physiological changes and symptoms arising from disease. 15 We demonstrate a bioinformatics analysis pipeline as a proof-of-principle to test the feasibility and 16 practicality of comparative transcriptomics to classify two of the most popular in vivo diet-induced 17 models of coronary atherosclerosis, apolipoprotein E null mice and New Zealand White rabbits. 18 Transcriptomics analyses indicate the two models extensively share dysregulated genes albeit with 19 some unique pathways. For instance, while both models have alterations in the mitochondrion, the 20 biochemical pathway analysis revealed, Complex IV in the electron transfer chain is higher in mice, 21 whereas the rest of the electron transfer chain components are higher in the rabbits. Several fatty 22 acids anabolic pathways are expressed higher in mice, whereas fatty acids and lipids degradation 23 pathways are higher in rabbits. This reflects the differences between two translational models of 24 atherosclerosis. This study validates transcriptome analysis as a potential method to precisely 25 identify altered cellular and molecular pathways in atherosclerotic disease, which can be used to 26 individualize treatment even in the absence of genetic data.

Keywords: atherosclerosis, coronary aortic disease, gene set enrichment analysis, heart disease,
 Apoe mouse, transcriptomics, RNA-seq analysis, pathway enrichment analysis, mouse, precision
 medicine, New Zealand White rabbit

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31 1. Introduction

32 Precision medicine is the ability to classify individuals according to their underlying 33 susceptibility, prognosis, or targeting potential treatment response. Unlike DNA sequencing 34 technology that focuses on the genome, RNA sequencing produces the snapshot of the full 35 transcriptome, and has the capability to fulfill precision medicine to classify patients at both 36 molecular and cellular levels. Development of RNA sequencing pipelines is important for 37 implementation of transcriptomics as precision medicine [1], which can be used successfully to 38 classify patient attributes and predict therapeutic response and ultimate outcomes. Classifying 39 patients based on symptoms is limited because symptoms often arise from numerous origins or 40 multimodal pathways, as the case with atherosclerosis.

Atherosclerosis is a costly disease in the United States, at \$9 billion per year in hospitals stays
[2], and its related morbidities, such as heart attack and stroke, totaling for \$43.5 billion of total

43 hospital costs per year [3,4]. Atherosclerosis is a silent disease as initially there are no symptoms as 44 the artery narrows from the gradual accumulation of plaques, which consist mainly of fat, 45 cholesterol and calcium, and often harbor bacteria [5]. While its etiology is complex, inflammation, 46 arising either from lifestyle factors like stress, obesity, illness, or allergens, is currently proposed as 47 one of the initial triggers for atherosclerosis [6]. The current working model suggests plaques may 48 build up in the arterial epithelial wall after damage; these plaques harden, narrowing the arteries 49 and restricting blood flow. As oxygenated blood flow decreases over time, by middle age symptoms 50 begin to emerge, depending upon the location of atherosclerotic plaques, which provoke stroke, 51 peripheral artery disease, kidney problems, heart disease and coronary artery disease [5]. While the 52 exact cause underlying atherosclerosis is unknown, there are many associated risk factors that 53 increase its likelihood because of damage inflicted to arterial epithelial lining. These risk factors are 54 smoking tobacco products, diet, age, family history and genotype [7-9]. Notably, many of factors are 55 related to metabolism and energy regulation, such as excessive body weight, obesity, elevated 56 circulating glucose from either insulin resistance, pre-diabetes, and diabetes, suggesting that energy 57 balance and regulation is a necessary, but not critical, component in triggering atherosclerosis [10]. 58 In fact, as childhood obesity rates have risen during past few decades, likewise the incidence of 59 atherosclerosis in youth increased [11].

60 Current research focuses on the molecular, cellular, and physiological origins of 61 atherosclerosis and its pathology. Fundamental questions focus on environmental and genetic 62 triggers proximal and ultimate causes inducing artery damage, the development of plaques and its 63 dynamic remodeling that may lead to rupture and formation of blood clots. These vascular events 64 cause two of the major morbidities and mortalities consequences of atherosclerosis, ischemic stroke 65 and heart attack. Given the complex, multimodal disease, one needs reliable model systems to 66 replicate and experimentally test concepts and new therapeutics based on emerging knowledge of 67 the integrated systems underlying it ultimate cause and proximal mechanisms inducing it pathology 68 and symptomology.

69 Biomedical researchers in both clinical and basic settings need to choose models that 70 recapitulate the specific characteristics of disease, and its pathology, under scientific scrutiny. 71 Transcriptomics is a robust method to measure the common and unique pathways among different 72 translational models. Depending upon the hypothesis and biomedical question, researchers need to 73 choose a model system to detect changes in the target molecular and cellular pathways. Thus, 74 transcriptomics can classify individual and simultaneously facilitate discovery, testing, and 75 validation of new therapeutics for patients with specific characteristics at cellular and molecular 76 levels one needs to choose a system to detect changes in the target molecular, cellular and 77 physiological pathways.

78 We developed a resource guide for transcriptomics and bioinformatics to use gene expression 79 levels to substantiate biochemical, biological, cellular, molecular, and physiological changes across 80 clinical, experimental, and model systems [1]. In this current study, we employed our guide as a 81 proof-of-principle example for suitability, feasibility, and practicality of comparative transcriptomics 82 to detect and evaluate gene expression overlap to reveal both common and unique biological, 83 cellular, and molecular pathways and gene networks in a translational model between two species, 84 apolipoprotein E (Apoe) null mice and New Zealand White rabbits, of coronary atherosclerosis 85 induced by high fat and high cholesterol diets.

86 2. Materials and Methods

- 87 2.1. Experimental models
- 88 2.1.1. Mice

89 RNA-seq data used in this study were published (BioProject ID: PRJNA371776; [12]), and we 90 used control mouse samples only (experiment IDs: SRX2544726, SRX2544727, SRX2544728). The 91 following brief description of samples is from the original report [12]. The male mice have mixed 92 genetic background of C57BL/6J, C57BL/6N, 129S4 and FVB/N due to breeding in of multiple 93 transgenes and floxed alleles, and their genotype is *Col15a1*^{wt/wt}, *Myh11*-CreER^{T2}, 94 ROSA26-STOPflox-eYFP, Apoe-/-. Six-week-old male mice were treated with tamoxifen to induce 95 CreER^{T2} translocation to the nucleus in *Myh11*-CreER^{T2}-expressing tissues, where it excises the stop 96 in ROSA26-STOP^{flox}-eYFP [13] locus allowing for expression of YFP. Absence of Apoe (from 97 B6.129P2-Appetm1Unc/J, Jax[®] Mice Stock No: 2052) leads to marked increase in total plasma cholesterol 98 levels that are unaffected by age or gender [14], which makes B6.129P2-Apoe^{tm1Unc}/J mice a popular 99 model in atherosclerosis research. Mice were placed on a Western diet consisting of 21% milk fat and 100 0.15% cholesterol for 18 weeks. Mice were euthanized by CO₂ inhalation, and their brachiocephalic 101 arteries, aortic arch, and carotid arteries dissected and flash frozen in liquid nitrogen. Total RNA 102 was extracted using TRIzol, and sequencing library prepared using Illumina kit with ribosomal 103 reduction and strand specificity.

104 2.1.2 Rabbits

RNAseq data were published (BioProject ID: PRJNA274427; [15]). In our study, we used high fat, high cholesterol-fed New Zealand White (NZW) rabbits aorta RNAseq data only (experiment IDs: SRX864779, SRX864780, SRX864782, SRX864783) [15]. Briefly, four NZW rabbits were fed with a cholesterol-rich diet containing 0.3% cholesterol and 3% soybean oil for 16 weeks. Aortic arches were collected for RNA extraction. For the library preparation, 3 μg total RNA was used. Library preparation was performed with the TruSeq RNA LT V2 Kit.

111 There was no information about the sex of the animals for these RNAseq data. To identify sex, 112 we used the genomic sequence from OryCun2.0 genome assembly harboring Xist gene, and an EST 113 (CU464548) from rabbit pre-implantation embryo SSH library [16] corresponding to the transcript of 114 Y-chromosome-linked Ddx3y gene, to query rabbit RNAseq datasets using SRA BLAST [17]. The 115 gene, Ddx3y, being Y-linked, is expressed exclusively in males, whereas Xist is expressed from 116 inactive X-chromosome in females [18]. All four datasets contained Ddx3y reads (average 350 reads 117 per dataset), and did not contain reads corresponding to Xist. From these data, we concluded all four 118 RNAseq datasets were for aortic arches from male rabbits.

- 119 2.2 RNA-seq analysis
- 120 2.2.1 Overall transcriptomics strategy

Our analysis (Figure 1) is based on a collection of robust, publicly available tools for deep
 transcriptome analysis; most were created to be used by researchers with only moderate
 bioinformatics experience. We provide detailed description of each step below.

124 2.2.2 Genome alignments

FASTQ sequence data were downloaded from the European Nucleotide Archive (ENA;
 <u>https://www.ebi.ac.uk/ena</u>). Sequence alignments were performed using RNA STAR [19] tool from



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Figure 1. Gene Expression Analysis Pipeline. RNAseq data for each biological replicate of mouse and rabbit aortas were aligned to the GRCm38 (mouse) and OryCun2.0 (rabbit) genome assemblies using STAR [19]. Datasets of splice sites for alignment of spliced reads were obtained from ENSEMBL. Gene expression data were extracted from the files of aligned reads using featureCounts [21], normalized to CPM values, and quality control of replicates was performed with ClustVis [23]. Expression data for 15,179 established orthologous genes were extracted, and analyzed in two ways. In the first approach, we categorized all orthologs by expression status into three groups, expressed in mouse, expressed in rabbit, or expressed in both species. In the second approach, transformed expression data were used to test differences in gene expression levels between mice and rabbits.

128 within Galaxy platform [20]. Reads were aligned to the respective reference genomes (GRCm38, 129 a.k.a. mm10, for mouse data; OryCun2.0 for rabbit data) using the following parameters: RNA STAR 130 version: 2.6.0b; single-end or paired-end reads: paired; gene model (gff3, gtf) file for splice junctions: 131 yes (see below); length of the genomic sequence around annotated junctions: 100; count number of 132 reads per gene: false; additional output parameters (formatting and filtering): no; other parameters: 133 default. Gene model files for splice junctions, i.e. coordinates of known mouse and rabbit transcripts 134 (in GTF format), were downloaded from ENSEMBL ftp site (release 92). To calculate gene 135 expression, we counted the numbers of reads aligned to regions flagged as exons in GTF files using 136 the program featureCounts [21] version 1.6.0.6 from within Galaxy using the following parameters: 137 gene annotation file: yes; output format: Gene-ID "\t" read-count; create gene-length file: False; 138 count fragments instead of reads: Disabled; only allow fragments with both reads aligned: False; 139 exclude chimeric fragments: True; GFF feature type filter: exon; GFF gene identifier: gene_id; report 140 on feature level: False; allow read to contribute to multiple features: False; count multi-mapping

reads/fragments: Enabled; assign fractions to multi-mapping reads: True; minimum mappingquality per read: 12; minimum bases of overlap: 30.

143 2.2.3 Data normalization and quality control

144 To account for the impacts depth of sequencing, which affects read numbers of individual 145 transcripts, we use normalized expression data, specifically counts per million (CPM) [22], to 146 perform quality comparison of datasets. To calculate CPM values, we used the following formula:

147 $E_{g,s} = 1,000,000 \times C_{g,s} / T_s$

148 where $E_{g,s}$ is a CPM value of a gene in a biological replicate; $C_{g,s}$ is the number of reads mapping to all 149 exons of this gene in this biological replicate; T_s is the total number of reads aligned (anywhere in the 150 genome) from this biological replicate (i.e., the number of aligned reads in RNASTAR output 151 "binary alignment map" bam files). This procedure also transforms data from counts to continuous 152 scale.

For quality control, we used ClustVis [23], a tool for clustering of complex data such as RNAseq, based on principal component analysis, and visualization of results. Any samples that fall outside of 95% confidence interval on two-dimensional PCA plot are flagged as outliers and removed from further analysis.

157 2.2.4 Data filtering and transformation

158 RNAseq data requires a cutoff threshold to avoid numerous false positives caused by 159 over-dispersed values at the low CPM values and we used a cutoff of 5 CPM, corresponding to a 2 160 FPKM threshold typically used in RNAseq analysis pipelines [22]. To filter out the genes with 161 extreme low expression, specifically less than 5 CPM expression, we use average CPM values for a 162 gene across all mice, and again for rabbit, samples. The union of two gene lists was used for further 163 analysis. For data transformation, we use logarithm to the base of 2 for the CPM values. To avoid the 164 logarithm of zero exception, all zero values are replaced with a minimal non-zero value in a given 165 RNAseq dataset [24] (i.e., CPM value corresponding to the read count of 1). For calculating Z-scores, 166 we used the formula

168 where $x_{s,g}$ is a logarithm to the base of 2 of the CPM value of a gene (g) in a sample (s), and μ and σ

are the average and standard deviation, respectively, of the logarithm to the base of 2 for geometric

170 means of CPM values for each gene across all mouse or rabbit samples [25,26].

171 2.3 Establishment of mouse-to-rabbit orthology.

172 To establish phylogenetic relationship among mouse and rabbit genes, we have downloaded all 173 mouse protein sequences, and all rabbit protein sequences, from ENSEMBL ftp site (release 92) [27]. 174 We used ENSEMBL as a source because each protein sequence in ENSEMBL is cross-annotated to a 175 corresponding gene, which ensures precise ID mapping. Using reciprocal BLAST approach, we 176 performed 1) BLASTP comparison of each rabbit protein to all mouse proteins, 2) extracted the top 177 result in each search (i.e., mouse protein which is a potential homolog) and compared it to all rabbit 178 proteins, 3) extracted the top result in this search, 4) translated protein IDs to ENSEMBL gene IDs. 179 BLAST analysis was performed using a stand-alone NCBI BLAST+ package (version 2.7.1) for 180 Windows [28]. One-to-one homology indicates orthology, and mouse and rabbit genes were flagged 181 as orthologs, if and only if, the genes in steps 1) and 3) above were the same, and no mouse gene in 182 step 2) paired with more than one rabbit gene. Finally, each ENSEMBL gene ID of a mouse ortholog 183 was converted to Mouse Genome Informatics (MGI; [29]) gene ID using MGI Batch Search tool [30]. 184 We established 15,179 orthologous pairs among mouse and rabbit genes (Supplementary Table 1).

185 Expression data for these 15,179 orthologs were used in all comparative studies.

- 186 2.4 Statistical and Gene/Pathway Enrichment Analyses.
- 187 2.4.1 Categorization by expression status

188 To split genes in three groups by their expression status (present in mouse only, present in 189 rabbit only, or present in both species), we generated the list of genes that are expressed at a level of 190 at least 5 CPM in at least one species (averaged across samples). Within this list, genes which were 191 expressed at the level of 5 CPM or higher in mice, but less than 5 CPM in rabbits, were categorized as 192 "mouse-only"; genes expressed at the level of 5 CPM and higher in both species were categorized as

- 193 "common"; and the rest were categorized as "rabbit-only".
- 194 2.4.2 Statistical analysis of gene expression differences

195 To compare two different animal models of atherosclerosis using data from different 196 laboratories, RNAseq data were normalized and transformed as described above. For gene 197 expression analysis, we used t-test module of scipy.stats [31] in Python on z-score data to find 198 significant expression differences. To control for multiple testing, we used Benjamini-Hochberg 199 correction [32] implemented in StatsModels package for Python [33]. For downstream analysis, 200 genes with FDR *q* < 0.1 and t-test *p* < 0.01 were considered significantly different.

201 2.4.3 Visual Annotation Display (VLAD) analysis

202 VLAD, accessible via MGI web portal, is a powerful tool to find common functional themes in 203 the lists of genes by analyzing statistical over- or underrepresentation of ontological annotations 204 [34]. Currently, users can choose among Gene Ontology (GO) [35] and Mammalian Phenotype 205 Ontology (MP) [36] annotations for mouse genes, Gene Ontology annotations for human genes, or 206 upload a file of own annotations (in open biomedical ontology [37] 'obo' format). Unlike other 207 packages for ontological enrichment, VLAD allows analysis of more than one query (i.e., several lists 208 of genes may be analyzed and visualized simultaneously), as well as permits user to provide own 209 "universe set", i.e. gene list to test queries. For our studies, the "universe set" was the list of all 210 orthologous gene pairs (Supplementary Table 1). For GO analysis, we searched for 211 overrepresentation among terms with experimental evidence (i.e., codes EXP, "Inferred from 212 experiment"; IDA, "Inferred from direct assay"; IMP, "Inferred from mutant phenotype"; TAS, 213 "Traceable author statement"). For MP categories, we searched only among terms with the following 214 evidence codes: IMP, "inferred from mutant phenotype"; TAS, "stated by author"; and EE, "shown 215 by experimental evidence".

216 2.6 BioCyc analysis

217 BioCyc is a collection of Pathway/Genome Databases (PGDBs), which link biochemical 218 pathways, reactions, and compounds with genes and proteins on the species level, as well as 219 software tools to analyze these connections [38]. We used MouseCyc database [39] available on MGI 220 portal to analyze gene lists via Metabolism \rightarrow Cellular Overview \rightarrow Omics Viewer tool of 221 MouseCyc.

- 222 **3. Results**
- 223 3.1. Overall statistics of datasets.

On average, 80% of rabbit reads, and 90% of mouse reads, aligned to OryCun2.0 and GRCm38 assemblies, respectively (Supplementary Table 2). Lower alignment rate for rabbit samples is likely due to incomplete coverage of the genome in OryCun2.0 assembly. Of 53,801 mouse genes represented in the mouse GTF file, 29,338 genes had coverage of at least one read in at least two of the three RNASeq datasets. For rabbit data, of 23,669 genes, 16,307 had coverage of at least one read in at least two of the four RNASeq datasets. Count data for each sample and species (Supplementary 230 Tables 3 and 4) were filtered to include 231 only orthologous genes between 232 mouse and rabbit species, because this 233 step allows direct comparison 234 between the models of atherosclerosis. 235 Count data for each gene were 236 normalized to the total number of 237 aligned reads per sample, and count 238 per million (CPM) values were used to 239 quality control these RNAseq samples 240 using ClustVis [23]. As expected, this 241 analysis (Figure 2) revealed largest 242 variability among data (Principal 243 Component 1) arising from species 244 differences, while Principal 245 Component 2 mainly reflects 246 variability among biological replicates. 247 We detected no outliers among the 248 samples and proceeded with further 249 analysis.



Figure 2. Principal Component Analysis of gene expression data. Principal components were calculated by singular-value decomposition. X axis (Principal Component 1) and Y axis (Principal Component 2) account for 61.9% and 13% of variation, respectively. Prediction ellipses denote probability at 0.95, a new observation from the same group will fall inside the ellipse. N = 7 gene expression datasets.

250 3.2. Categorization of gene expression.

251 RNAseq data tend to be over-dispersed at very low 252 CPM values, and requires certain cutoff threshold to avoid 253 numerous false positives [22]. We chose a cutoff of 5 CPM, 254 which approximately corresponds to 2 FPKM threshold 255 typically used in RNAseq analysis pipelines. When applied 256 to data, we were able to categorize genes in three distinct 257 groups (Figure 3), those whose expression is present in 258 mice and absent in rabbits (1,337 genes); those with 259 common expression (7,172 genes); and those present in 260 rabbits but absent in mice (1,218 genes). The fact that 75% 261 of genes are common reflects similarity of gene expression 262 programs in the aortas between these two models of 263 atherosclerosis. Complete list of categorized genes is in 264 Supplementary Table 5.

265To identify meaningful pathways among common and266species-specific lists, we analyzed the lists for enrichment of267specific Gene Ontology annotations using VLAD268application [34] (Figure 4A-C). We identified a total of 472269significantly overrepresented Biological Process, 167270Cellular Component, and 21 Molecular Function categories271(p < 0.01, q < 0.1; Supplementary Table 6). Most of the



Figure 3. Categorization of genes by expression status. Among orthologs expressed at an average \geq 5 CPM threshold in at least one species, 7,172 genes were designated as "common"; 1,337 genes whose expression was above threshold in mice, but below threshold in rabbits were designated as "mouse-only"; and 1,218 genes whose expression was above threshold in rabbits, but below threshold in mice were designated as "rabbit-only".

272 overrepresented Biological Process and Cellular Component categories (428 and 99, respectively), 273 and all Molecular Function categories, were from the common expression group. All top 25 274 categories in Biological Process category are related to "GO:0008152 Metabolic process" category, 275 being either more specific, descendant metabolic related-categories or regulators of these metabolic 276 processes (Figure 4A). Interestingly, among common genes in this category, one-third are associated 277 with the "MP:0002127 abnormal cardiovascular system morphology" phenotype (see below), which 278 is significantly higher than expected. Among top Cellular Component categories, several were for 279 species-specific groups. Surprisingly, among mouse-only genes, categories "GO:0097458 neuron 280 part" and "GO:0044456 synapse part", were significantly overrepresented (Figure 4B). Seventeen of 281 these genes (Add2, Cacna1b, Dagla, Kcna1, Ldlr, Mapk10, Mapt, Ngf, Ngfr, Nrcam, Nrxn1, Prom1, Scg2,



Figure 4. Gene Ontology (GO) enrichment analysis for mouse, rabbit, and common using categorization of expression levels. For each GO module, Biological Process (A), Cellular Component (B) and Molecular Function (C), only the top 25 significant terms with lowest p-values are shown. The box size reflects its relative statistical significance with the largest box with the lowest p value and the colored bar within the box indicates the proportion of contribution to a specific gene set (purple: Rabbit, red: Mouse, blue: Common). Arrows connecting boxes represent different types of relationship among GO terms. For more detail and interactive module, see Supplemental Table 6 and Supplemental HTML1.

Snap25, Syt1, Uchl1, Uhmk1) are also associated with "MP:0002127 abnormal cardiovascular system
morphology" category. Moreover, corresponding mouse-only genes were also enriched in
"GO:0022008 neurogenesis" category, its descendants, and related processes, such as "GO:0007411
axon guidance" and "GO:0048812 neuron projection morphogenesis" (Supplementary Table 6).
Among rabbit-only genes, the overrepresented category is "GO:0005739 mitochondrion" and its
descendants (Figure 4B, Supplementary Table 6).

We have also explored Mammalian Phenotype (MP) Ontology annotations using the same strategy (Figure 5). A total of 1,049 MP categories were significantly overrepresented, again most of them (1,000) were in the common genes group (Supplementary Table 7). As expected, "MP:0005385 cardiovascular system phenotype" and it's descendant category, "MP:0002127 abnormal cardiovascular system morphology" discussed above, were among top 25 overrepresented



Figure 5. Mammalian Phenotype (MP) ontology enrichment analysis for mouse, rabbit, and common using categorization expression levels. For each MP category only the top 25 significant terms with lowest p-values are shown. The box size reflects its relative statistical significance with the largest box with the lowest p value and the colored bar within the box indicates the proportion of contribution to a specific gene set (purple: Rabbit, red: Mouse, blue: Common). Arrows connecting boxes represent different types of relationship among MP terms. For more detail and interactive module, see Supplemental Table 7 and Supplemental HTML2.

293 categories (Figure 5) found no significantly overrepresented MP categories among rabbit-only genes.

- 294 Among mouse-only genes, we again found MP categories related to neuronal function, such as
- 295 "MP:0005386 behavior/neurological phenotype", "MP:0003633 abnormal nervous system
- 296 physiology". Due to the nature of hypergeometric statistical test employed by VLAD, in both GO
- and MP analyses, broad gene categories tend to dominate the top tiers of low *p*, low *q* values and
- 298 more narrow, descendent gene categories tend to be located lower on the list. For example, 7 genes
- are currently annotated to the category "MP:0011572 abnormal aorta bulb morphology" (*Fbn1, Lox,*
- 300 Lrp1, Smarca4, Tgfb2, Tgfbr1, Tgfbr2), and all 7 genes are present in the common group of genes;
- 301 however, because of relatively high p and q, this category may be easily overlooked in its 995th



Figure 6. MouseCyc, enrichment tool for biochemical pathway analysis and visualization, using gene expression levels for mouse vs. rabbit samples. The tool depicts all the reactions and pathways with mouse only genes in red, and rabbit-only genes in purple.

302 position when sorted by *p*-value despite being statistically significant (Supplementary Table 7). The 303 whole continuum of overrepresented categories can be further explored in the provided interactive

304 html files (GO: Supplementary HTML1; MP: Supplementary HTML2).

To identify potential differences in biochemical pathways affected, we analyzed the mouse-only and rabbit-only genes using MouseCyc, a database and tool for biochemical pathway analysis and

307 visualization [39] (Figure 6). This analysis 308 reveals mouse-only genes are involved in 309 mitochondrial electron transfer chain 310 (specifically cytochrome b), fatty acids 311 and lipids degradation, and fatty acids 312 and lipids biosynthesis. Rabbit-only 313 genes are more prominent in glycolysis, 314 γ -glutamyl cycle, and several nucleosides 315 and nucleotides biosynthesis pathways. 316

317 3.3. Differential gene expression

318 To discover quantitative changes in 319 gene expression between mouse and 320 rabbit models of atherosclerosis, mouse 321 and rabbit count data for genes with 322 average ≥5 CPM expression in at least 323 one species were normalized to CPM 324 value, except for a count of 1 read was 325 added to all zero count values (a.k.a. a 326 "pseudo count" [24]); CPM values were 327 log2-transformed, and z-scores were 328 calculated against mouse and rabbit



Figure 7. Data transformation from raw counts to Z-scores. Mouse and rabbit raw count data (A) for genes with average \geq 5 CPM expression in at least one species were normalized to CPM value (B), CPM values were log2-transformed (C), with a CPM value corresponding to 1 read was added to all zero values (a.k.a. "pseudo count" [24]); z-scores (D) were calculated using geometric means from log2-transformed data for individual gene expression levels in mouse and rabbit datasets.

329 references for expression, gene which were 330 log₂-transformed geometric means of individual gene 331 expression levels (CPM) in mouse and rabbit datasets, 332 respectively (Figure 7). This procedure accounts for 333 potential differences in sequencing depth between 334 samples (Figure 7A) and changes the distribution of 335 gene expression values from approximately negative 336 binomial (Figure 7B) to normal (Figure 7C), and then 337 harmonizing resulting distributions (Figure 7D) for 338 further statistical testing. Gene expression levels were 339 compared using t-test, and corrected for multiple 340 testing. Subtraction products of z-score means between 341 mouse and rabbit samples serve as the quantitative 342 measures of the difference in expression of individual 343 genes. This procedure revealed 1,441 genes were 344 expressed relatively higher in rabbits, while 1,587 genes 345 were expressed higher in mice (p < 0.01, FDR q < 0.1; 346 Figure 8, Supplementary Table 5). The 6,699 genes with 347 no significant difference in expression between mice 348 and rabbits were designated as common.



Figure 8. Subtraction plots of z-score means between mouse and rabbit samples for expression of individual genes. Similar number of genes were expressed in species-specific manner, rabbits (purple, 1,441 genes) vs. mouse (red, 1,587 genes, p < 0.01, FDR q < 0.1). Genes with no significant difference in species expression between were designated as common (blue, 6,699 genes).

349 GO enrichment analysis (Figure 9 A-C) revealed 225 significantly overrepresented Biological 350 Process, 101 Cellular Component, and 12 Molecular Function categories (p < 0.01, q < 0.1). Of these, 351 89 Biological Process categories were overrepresented among genes expressed at higher levels in mice; no overrepresented Biological Process categories were found for rabbit; 136 categories were overrepresented among common genes. Among overrepresented Cellular Component categories, 58 were for common genes, and 20 and 23 categories were overrepresented for genes with higher expression in mice and rabbits, respectively (Supplementary Table 8). Among overrepresented Molecular Function categories, 151 were for common genes, and one each was for genes with higher 357 expression in mice and rabbits, respectively. "GO:0008152 Metabolic process" was again 358 significantly overrepresented among common genes. However, differential expression analysis 359 proves to be more fine-tuned to experimental system because, e.g., "GO:0019222 regulation of 360 metabolic process" is overrepresented among genes upregulated in the mouse (Figure 9A), rather 361 than common genes in the previous analysis (Figure 4A). The topology and nodes overlapped for 362 Cellular Component categories, and "GO:0005739 mitochondrion" was again overrepresented 363 among genes with higher expression in rabbit (Figure 9B). This analysis also revealed genes with 364 "GO:0003712 transcription coregulator activity" (Figure 9C; this group includes Aebp2, Arnt, Atf7ip, 365 C1d, Cbfa2t2, Crebbp, Ctnnb1, Ddx5, Gon4l, Hcfc1, Hipk2, Hr, Jmy, Kat2b, Kdm5a, Limd1, Mkl2, Myocd, 366 Naca, Ncoa2, Ncoa3, Ncor2, Nrip1, Nsd1, Rad54l2, Raly, Rbm39, Scai, Sin3a, Tbl1xr1, Tcf4, Trim28, Trrap, 367 Ube3a, Zfp281) have higher expression in mice. Of these genes, six are annotated with "MP:0005385 368 cardiovascular system phenotype" (Arnt, Crebbp, Ctnnb1, Mkl2, Myocd, Naca, Ncor2, and Trim28). To 369 navigate through all overrepresented GO categories, please see Supplementary HTML3.

370 Analysis of Mammalian Phenotype ontologies revealed an interesting bias: of 537 371 overrepresented MP categories (p < 0.01, q < 0.1), 386 are associated with the genes higher expressed 372 in mice, and 151 with common genes; no single overrepresented MP category was associated with 373 genes expressed higher in rabbit. "MP:0010768 mortality/aging" and its descendants were the 374 prevalent categories among top 25 (Figure 10), recapitulating previous result (Figure 5). Similarly, 375 "MP:0002127 abnormal cardiovascular system morphology" was overrepresented in this analysis as 376 well (Figure 10, Supplementary Table 9). However, this analysis revealed that both of these 377 categories are overrepresented in *both* common genes and genes with higher expression in the 378 mouse (Figure 10). "MP:0001785 edema" was an overrepresented category among genes with high 379 expression in mice (Figure 10). Among these, 17 genes with higher expression in mice (Ago2, C2cd3, 380 Cflar, Ctnnb1, Flrt2, Itgav, Kmt2d, Kras, Map3k7, Mib1, Mkl2, Naca, Notch2, Pdgfra, Pkn2, Por, Wnk1)



Figure 9. Gene Ontology (GO) enrichment analysis for differentially expressed genes among mouse, rabbit, and common gene sets. For each GO module, Biological Process (A), Cellular Component (B) and Molecular Function (C), only the top 25 significant terms with lowest p-values are shown. The box size reflects its relative statistical significance with the largest box with the lowest p value and the colored bar within the box indicates the proportion of contribution to a specific gene set (purple: Rabbit, red: Mouse, blue: Common). Arrows connecting boxes represent different types of relationship among GO terms. For more detail and interactive module, see Supplemental Table 8 and Supplemental HTML3.

belong to a particularly interesting "MP:0001787 pericardial edema" (Supplementary HTML4);
 indeed, atherosclerosis and edema conditions are closely linked [40].

MouseCyc analysis of differentially expressed genes allowed completing and clarifying the biochemical pathway differences between mice and rabbits (Figure 11). For example, it made clear that in the mitochondrion, in the electron transfer chain, it is the last step (Complex IV), which is higher in mice, whereas the rest of the electron transfer chain pathway is higher in the rabbits. BioCyc analysis confirms, complements, and importantly extends the VLAD the results in the VLAD analysis of Cone Ontology data in Figure 4B and 9B to specific highermical pathways and melecular

388 analysis of Gene Ontology data in Figure 4B and 9B to specific biochemical pathways and molecules.

389 Interestingly, several fatty acids biosynthesis pathways are expressed higher in mice, while fatty 390 acids and lipids degradation pathways are higher in rabbits (Figure 10). This reflects the differences

391 between two translational models of atherosclerosis.

392 4. Discussion

393 As a proof of 394 principle of 395 precision medicine 396 at the molecular and 397 gene network level, 398 we used 399 transcriptomics to 400 classify two of the 401 most popular in vivo 402 diet-induced models 403 of coronary 404 atherosclerosis, 405 apolipoprotein Е 406 (Apoe) null mice [14] 407 and NZW rabbits 408 [41], fed with high 409 fat and high 410 cholesterol diets 411 [12,15]. This 412 comparison is а 413 suitable model to 414 evaluate strengths 415 and weaknesses of 416 transcriptomics 417 usage for precision 418 medicine in a clinical 419 setting because data 420 were generated by 421 samples from 422 heterogeneous 423 genomic population, 424 different 425 laboratories, using 426 different molecular 427 biology kits for 428 **RNAseq** library 429 preparation, and 430 sequencing 431 instruments. 432 Consequently, our 433 analysis mimics the 434 challenges of 435 meaningful

436 bioinformatics

437 evaluation across different RNAseq datasets of the same human disease. An additional challenge

438 exemplified in this study is comparison of two different species, with diverse alleles, used to model

the same condition.



Figure 10. Mammalian Phenotype (MP) ontology enrichment analysis for differentially expressed genes among mouse, rabbit, and common. For each MP category only the top 25 significant terms with lowest p-values are shown. The box size reflects its relative statistical significance with the largest box with the lowest p value and the colored bar within the box indicates the proportion of contribution to a specific gene set (purple: Rabbit, red: Mouse, blue: Common). Arrows connecting boxes represent different types of relationship among MP terms. For more detail and interactive module, see Supplemental Table 9 and Supplemental HTML4.



Figure 11. MouseCyc enrichment tool for biochemical pathway analysis and visualization using differentially expressed genes for mouse vs. rabbit samples. Color scheme corresponds to relative expression in the mouse vs rabbit data based on z-score difference.

440 In summary, from our comparative transcriptome analysis, we discovered that both in vivo 441 diet-induced models of coronary atherosclerosis, apolipoprotein E (Apoe) null mice [14] and NZW 442 rabbits [41], share a substantial overlap in dysregulated biological processes, pathways, and 443 molecules. Furthermore, our study demonstrates transcriptome analysis can discover specific 444 cellular and molecular pathways and genes with unrecognized roles in atherosclerosis. For example, 445 using the results from Gene Ontology and Mammalian Phenotype, genes associated with axonal 446 guidance (Chl1, Dpysl5, Efna5, Epha4, Epha5, Epha7, Ephb2, Fzd3, Gap43, Gli2, Isl1, Klf7, L1cam, Nfasc, 447 Ngfr, Nrcam, Plxna4, Scn1b, Sema5a, Sema6a, Tubb3, Unc5c) may have unknown roles in the pathology 448 of coronary atherosclerosis in the Appe null mouse model (Figures 4B, Supplemental Table 6). Lipid 449 metabolism is a focal area of therapeutic target testing in atherosclerosis. Both in vivo models exhibit 450 lipid metabolism derangements, albeit our comparative analysis using MouseCyc pinpoint anabolic 451 pathways are relatively higher in mice, whereas catabolic pathways are relatively higher in rabbits. 452 Overall rabbit model has relatively more common pathways than unique species-specific affected 453 pathways compared to the mouse model, as revealed by Gene Ontology, Mammalian Phenotype, 454 and BioCyc annotations.

455 One of the main points to clarify is that we applied Gene Ontology and Mammalian Phenotype 456 annotations of mouse genes to both mouse and rabbit gene sets, inferring close similarities for gene 457 functions between mouse and rabbit models of atherosclerosis. Annotation of rabbit genes is 458 underrepresented in all curated databases, therefore we used annotations of mouse genes to infer 459 upon rabbit orthologs, and thus we relied on "inferred by sequence similarity" principle for 460 ontological annotation of rabbit genes. If more experimental, rabbit gene-specific annotations 461 existed, our analysis may be more precise and resolve some of the obvious biases, such as genes with 462 higher expression in rabbits not having corresponding overrepresented Mammalian Phenotype 463 categories. If such annotations for rabbit genes existed at the same level of detail as for the mouse 464 genes, the result may have more exact and detailed results. However, since laboratory mice are a

465 predominating mammalian model system, mouse genes will have inherently better ontological 466 annotations based on experimental evidence comparing to other model species.

467 Another source of differences in gene expression between these two models of atherosclerosis 468 is their genetic difference due to the Apoe mutation in mice. For example, microarray analysis of 469 aortic endothelial cells from wild-type and Apoe-/- mice revealed ~800 differentially expressed genes 470 [42]. Likewise, many differences in our comparison may be due to the effects of Apper mutation upon 471 direct and secondary changes in gene expression from disruption of Apoe regulation. Nevertheless, 472 global similarity in gene expression between these two models of diet-induced atherosclerosis, and 473 common pathways identified by functional genomics analysis, provide a compelling example of the

474 power of transcriptomics in comparative atherosclerosis research.

475 Treatments that target molecular mechanisms underlying the physiological changes, in 476 addition to treating symptoms arising from pathophysiology, are a central promise of personalized 477 medicine - indeed, in theory genomic data can reveal specific disease-associated genotypes to 478 optimize the treatment plan [43]. Albeit, in human population only a few genotypes associated with 479 severe atherosclerosis have been identified, such as multiple alleles of apolipoprotein E (APOE, 480 Slooter 1998, Elosua 2004), angiotensin-converting enzyme I (ACE) [44] and aryl hydrocarbon 481 receptor (AHR) polymorphisms (Huang, Shui et al 2015). Importantly, many non-genetic factors, 482 such as environmental exposures [7], diet [8] and lifestyle [9], strongly affect onset, symptomology 483 and severity of atherosclerosis. This study validates transcriptome analysis as a robust alternative 484 method to identify specific cellular and molecular facets of atherosclerotic disease, which can be 485 used individualize treatment and develop novel avenues of therapeutic intervention even in the 486 absence of genetic data. Current diagnostic and medical laboratory technologies used in clinical 487 setting provide only a small snapshot into the state of disease. The untapped potential of 488 transcriptomics to personalized medicine resides within its revelations of all the local and global 489 changes to cellular, molecular, and biochemical pathways occurring from disease.

490 Supplementary Materials: The following are available online, Suppl Table 1. List of Orthologs, Suppl Table 2. 491 Aligned RNAseq Datasets, Suppl Table 3. Read Counts for Mouse Genes, Suppl Table 4. Read Counts for Rabbit 492 Genes, Suppl Table 5. Gene Expression Categorization for Statistics for Orthologs

493 Suppl Table 6. Overrepresented Gene Ontology Terms by Expression Levels, Suppl Table 7. Overrepresented

494 Mammalian Phenotype Terms by Expression Levels, Suppl Table 8. Overrepresented Gene Ontology Terms by 495 Differential Gene Expression Analysis, Suppl Table 9. Overrepresented Mammalian Phenotype Terms by 496 Differential Gene Expression Analysis and interactive modules HTML 1. VLAD GO GXSD, HTML 2. VLAD MP 497 GXSD, HTML 3. VLAD GO Diff GXD, HTML 4. VLAD MP Diff GXD.

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