

1 Article

2 A Stress-Strain Model for Unconfined in 3 Compression considering the Size Effect

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9 **Abstract:** In this study, the model proposed by Yang et al. to generalize the stress–strain model for
10 unconfined concrete with consideration of the size effect is expanded. Sim et al.'s compressive
11 strength model that is based on the function of specimen width and aspect ratio was used for the
12 maximum stress. In addition, a strain at the maximum stress was formulated as a function of
13 compressive strength by considering the size effect using the regression analysis of datasets
14 compiled from a wide variety of specimens. The descending branch after the peak stress was
15 formulated with consideration of less dissipated area of fracture energy with the increase in
16 specimen width and aspect ratio in the compression damage zone (CDZ) model. The key
17 parameter for the slope of the descending branch was formulated as a function of specimen width
18 and aspect ratio, concrete density, and compressive strength of concrete considering the size effect.
19 Consequently, a rational stress–strain model for unconfined concrete was proposed. This model
20 explains the trends of the peak stress and strain at the peak stress to decrease and the slope of the
21 descending branch to increase, as the specimen width and aspect ratio increase. The proposed
22 model agrees well with the test results, irrespective of the compressive strength of concrete,
23 concrete type, specimen width and aspect ratio. In particular, the proposed model for the stress–
24 strain curve rationally considered the effect of decreasing peak stress and increasing the
25 descending branch slope, with the increase in specimen width and aspect ratio.

26 **Keywords:** stress-strain model; size effect; fracture energy; softening

27

28 1. Introduction

29 The stress–strain relationship for unconfined concrete is a fundamental material property for
30 the design and analysis of structural elements [1–3]. Generally, stress–strain relationship is affected
31 by the type and compressive strength of concrete, as well as the maximum diameter of aggregate,
32 specimen width, and aspect ratio in the descending branch from the crack propagation in fracture
33 zone [4–7]. To study this trend, researchers [5, 8–10] proposed concrete compressive strength models
34 with the size effect in various approaches based on the fracture energy theory. Bažant and Planas [8]
35 reported that concrete compressive strength was considerably affected by the specimen width,
36 indicating that it decreased by 10%, as the specimen width increased by twice. Sim et al. [5]
37 emphasized that the decreasing rate of concrete compressive strength with the increase in the
38 specimen width in a normal weight concrete (NWC) was more notable than that in lightweight
39 concrete (LWC). In particular, because cracks at the failure zone for LWC pass through lightweight
40 aggregate particles, the crack band zone is more localized in LWC than NWC. Hence, the size effect
41 of concrete on the peak stress and descending branch behavior that is directly related to crack
42 propagations in the failure zone could be more notable in LWC than NWC [5]. However, for the size
43 effect of concrete on the descending branch from the crack propagation in a localized crack band
44 zone, only few studies have been conducted. In particular, very little literature on the size effect in
45 LWC is available. Furthermore, the existing proposed models [6, 7] for the stress–strain relationship

46 regarding the size effect of concrete on the descending branch, are typically determined from NWC
47 test datasets, rather than from LWC, with limited ranges of variables.

48 Markeset and Hillerborg [6] generalized the compression damage zone (CDZ) model to
49 consider the size effect of concrete on the descending branch using a function of strain dissipated by
50 the shear band including the fracture energy. However, the primary factors to the descending
51 branch behavior in Markeset and Hillerborg's model are drawn from the limited ranges of variables.
52 In addition, this model is limited for a practical equation because the information about the strain of
53 the starting point for the softening behaviour is not available. Samani and Attard [7] considered the
54 size effect of concrete by applying the CDZ model [6] to the descending branch in Attard and
55 Setunge's model [11]. As the Samani and Attard [7]'s model has an identical strain model of shear
56 band as Markeset and Hillerborg's [6], information regarding the material property factors is
57 necessary to predict the stress-strain relationship. In addition, the descending branch of these
58 models does not fully consider the effect of aggregate property on crack propagation and the
59 localized fracture zone. For example, in LWC, the contribution of stress transfer at the crack plane
60 for aggregate interlocking action is little [5] because most of the cracks at the failure plane pass
61 through lightweight aggregate particles. In addition, the strength and elastic modulus of aggregate
62 such as magnetite in heavyweight concrete (HWC) are typically higher than those of NWC, which
63 can cause a wider fracture zone by crack propagation. Hence, the size effect of LWC and HWC could
64 be different from that of NWC because the size effect on concrete depends on areas of failure and
65 crack propagation in the fracture zone. However, as the existing models [6, 7] incorporating the size
66 effect of concrete on the descending branch were derived from limited NWC test datasets, limited
67 number of studies for the size effect of concrete using light and heavyweight aggregates are
68 available.

69 The objective of this study is to propose a model for the stress-strain curve considering the size
70 effect of various concrete types. In this model, the basic formula for the stress-strain curve and the
71 key parameter that determines the slopes of the ascending and descending branches established by
72 Yang et al. [1] was used to generate a complete nonlinear curve. The concrete compressive strength
73 model proposed by Sim et al. [5] that considers the size effect was used for the peak stress. The strain
74 at the peak stress was generalized with a simple equation using the regression analysis of datasets
75 compiled from specimens with various ranges of specimen width, aspect ratio, concrete compressive
76 strength, and density. In the softening behavior of the descending branch in the stress-strain
77 relationship, the size effect and fracture energy were considered using Markeset and Hillerborg's
78 CDZ model [6]. The key parameter for the softening behavior was determined from the secant
79 modulus joining the origin and $0.5 f'_{SE}$, where f'_{SE} is the compressive strength of concrete
80 considering the size effect. The strain model at $0.5 f'_{SE}$ to determine the key parameter was
81 generalized with various ranges of variables using regression analysis. Finally, the key parameter
82 that determines the slope of the descending branch was formulated as a function of specimen width
83 and aspect ratio, concrete density, and concrete compressive strength, considering the size effect
84 using parametric numerical analysis. The accuracy of the proposed model was evaluated using a
85 normalized root-mean-square error obtained from the comparisons of predicted curves with the
86 test results.

87 2. Database

88 To formulate the material properties, Yang et al. [1] compiled 3295 datasets for the elastic
89 modulus of concrete (E_c), 415 datasets for strain at the peak stress (ε_0), and 96 datasets for strain at
90 50% of the peak stress ($\varepsilon_{0.5}$) in the descending branch. In the compiled datasets, the concrete
91 compressive strength (f'_c) and density (ρ_c) varied from 8.4 MPa to 170 MPa and from 1200 kg/m³ to
92 4500 kg/m³, respectively. To apply the effect of concrete type to the empirical formulation, the
93 datasets were divided into LWC, NWC, and HWC according to ρ_c . The concrete density (ρ_c)
94 varied from 1200 kg/m³ to 2000 kg/m³ for LWC, from 2000 kg/m³ to 2500 kg/m³ for NWC, and from
95 2500 kg/m³ to 4500 kg/m³ for HWC. The datasets compiled by Yang et al. [1] were all measured from

96 a cylinder of diameter 100 mm and height 200 mm. Hence, the datasets compiled by Yang et al. [1]
 97 lack the test results for the size effect of concrete. To compensate for this, additional test results for
 98 the size effect of concrete were compiled. The compiled additional test results [4, 5, 23–25] for the
 99 stress–strain relationship were 38 datasets for LWC, and 20 datasets for NWC, as shown in Table 1.
 100 In the datasets, specimen width (d), aspect ratio (h/d), f'_c , and ρ_c were varied from 50 mm to 350
 101 mm, 0.5 to 5.5, 4 mm to 20 mm, 17.1 MPa to 90.2 MPa, and 1500 kg/m³ to 2464 kg/m³, respectively.
 102 The specimen width (d) and h/d were varied from 100 mm to 350 mm and 1 to 2 for LWC, and 50
 103 mm to 100 mm and 0.5 to 5.5 for NWC, respectively. Meanwhile, the datasets still lack the test results
 104 for the size effect on HWC, because the relevant test results are not available in the literature. It is
 105 noteworthy that the reliability of the proposed model for the stress–strain relationship of HWC was
 106 evaluated based only on datasets compiled by Yang et al. [1].
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Table 1. Distribution of parameters in the dataset for stress–strain curves.

	Range	–20	20–40	40–60	60–80	80–100	Total
f'_c	LWC	4	26	8	0	0	38
	NWC	2	3	10	0	5	20
	Range	–50	50–100	100–200	200–300	300–400	Total
d	LWC	0	34	1	2	1	38
	NWC	3	17	0	0	0	20
	Range	0.5–1	1–2	2–3	3–4	4–6	Total
h/d	LWC	3	35	0	0	0	38
	NWC	4	5	3	4	4	20
	Range	4	4–10	10–15	15–20	20–	Total
d_a	LWC	1	2	2	33	0	38
	NWC	0	14	0	6	0	20

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110 3. Model Generalization

111 3.1. Basic approach

112 The stress–strain relationship for unconfined concrete in compression is a parabola with an
 113 ascending and descending branch, and a vertex at the peak stress [1–3]. This shape can be
 114 generalized using the following equation [1].

$$115 \quad y = \frac{(\beta_1 + 1)x}{x^{\beta_1 + 1} + \beta_1} \quad (1)$$

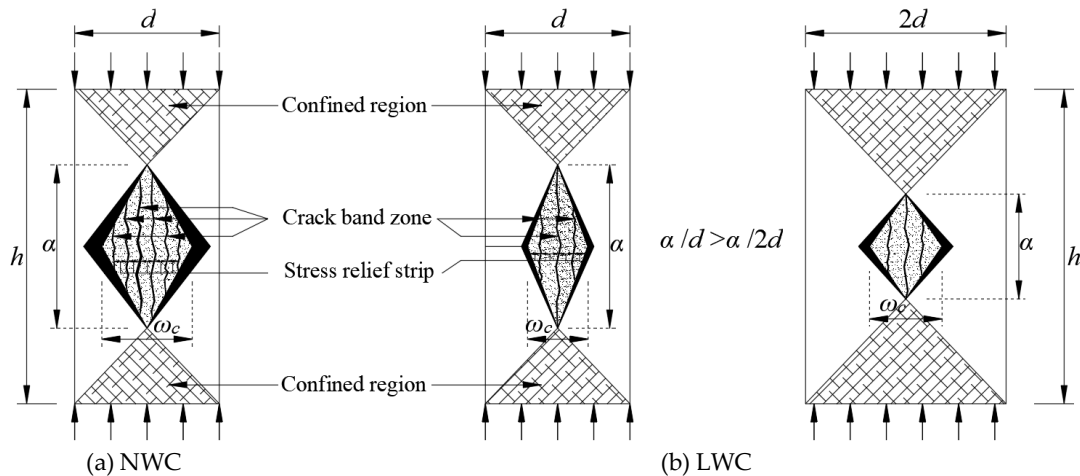
116 where $y = f_c / f'_c$ is the normalized stress, $x = \varepsilon_c / \varepsilon_0$ is the normalized strain, f_c and ε_c are the
 117 concrete stress and strain at some point in stress–strain curve, respectively, and β_1 is the key
 118 parameter that determines the slopes of the ascending and descending branches. The ascending
 119 branch can be determined from E_c , which is defined as the slope of the line joining the origin and
 120 40% of the peak stress. In addition, the descending branch can be determined from the secant
 121 modulus joining the origin and $0.5 f'_c$ [1]. In accordance with Yang et al.'s model [1], the equation for
 122 the key parameter β_1 that determines the slopes of the ascending and descending branches can be
 123 expressed as follows.

$$124 \quad 0.4(X_a)^{\beta_1 + 1} + (0.4 - X_a)\beta_1 - X_a = 0 \quad \text{for } \varepsilon_c \leq \varepsilon_0 \quad (2)$$

$$125 \quad (X_d)^{\beta_1 + 1} + (1 - 2X_d)\beta_1 - 2X_d = 0 \quad \text{for } \varepsilon_c > \varepsilon_0 \quad (3)$$

126 where $X_a = 0.4 f'_c / E_c \varepsilon_0$ and $X_d = \varepsilon_{0.5} / \varepsilon_0$.

127 Bažant [9] proposed the crack band zone by a crack width with micro cracks propagation for
 128 concrete failure. In addition, Bažant [9] idealized the crack band width as a function of d_a , assuming
 129 that micro cracks propagated the interfaces between aggregates and pastes. Sim et al. [5] proposed a
 130 smaller area of crack band zone in LWC than NWC as shown in Fig. 1, based on the crack band
 131 theory [9]. This model includes the effect of reduced area of the crack band zone caused by the cracks
 132 at the failure zone passing through lightweight aggregate particles, and also considers the size effect
 133 on concrete due to the decrease in α/d as d increases, where α is the crack length. Sim et al. [5]
 134 derived the equation for the compressive strength of concrete (f'_{SE}) considering the size effect from
 135 the energy balance, in that the strain energy for concrete deformation dissipated by the crack band
 136 zone equals the total energy consumed by the band of the axial splitting micro cracks. The proposed
 137 model is as follows.



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Figure 1. Idealized crack band zone at peak stress based on concrete fracture mechanics

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$$f'_{SE} = \left[\frac{A_1 \sqrt{\frac{1}{k} \left(\frac{h}{d}\right)^{X_4}}}{\left[1 + B_1 \frac{d}{d_a^{X_1}} \left(\frac{\rho_c}{2300}\right)^{-X_2}\right]^{0.5}} \right] f'_c \quad (4)$$

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where A_1 is $\sqrt{\frac{nk_1 X_3}{F_2} \left(1 - \frac{E_c}{E_t}\right)}$, n is number of micro cracks in the band, B_1 is $\frac{F_1}{F_2}$, F_1 is $\frac{\partial F}{\partial \alpha_1}$,

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F_2 is $\frac{\partial F}{\partial \alpha_2}$, F is $f(\alpha_1, \alpha_2)$, α_1 and α_2 are the modification factors to account for the volume of

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the crack band zone, E_t is the strain-softening modulus, and X_1 , X_2 , X_3 , and X_4 are

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experimental constants. In Eq. (4), f'_c indicates the compressive strength of concrete measured in a

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reference specimen with d of 150 mm and h/d of 2. From Eq. (4), this indicates that f'_c is

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considerably affected by the functions of d , h/d and ρ_c [5, 8–10]. Sim et al. [5] determined the

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functions of A_1 , B_1 , $d_a^{X_1}$, k , X_2 , and X_4 in Eq. (4) from 1509 datasets with LWC and NWC test

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results, and proposed as follows.

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$$f'_{SE} = \left[\frac{0.9 \sqrt{\left(\frac{h}{d}\right)^{-0.6}}}{\left[1 + 0.017d \left(\frac{\rho_c}{2300}\right)^{-1}\right]^{0.5}} + 0.63 \right] f'_c \quad (5)$$

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In the model of Sim et al. [5], Eq. (5) considers a function of ρ_c that reflects the trend that the

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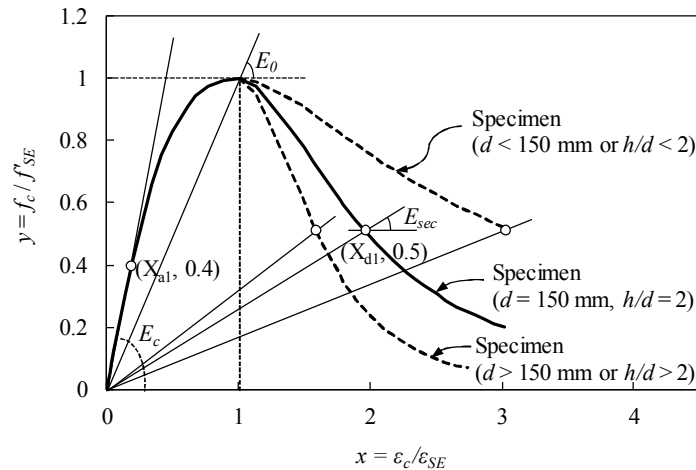
size effect is more notable in LWC than NWC. The stress-strain relationship is shown in Fig. 2, using

153 Eq. (5) for the peak stress. In Fig. 2, the key parameter β_1 can be determined with the following
 154 equations.

$$155 \quad 0.4(X_{a1})^{\beta_1+1} + (0.4 - X_{a1})\beta_1 - X_a = 0 \quad \text{for } \varepsilon_c \leq \varepsilon_{SE} \quad (6)$$

$$156 \quad (X_{d1})^{\beta_1+1} + (1 - 2X_{d1})\beta_1 - 2X_{d1} = 0 \quad \text{for } \varepsilon_c > \varepsilon_{SE} \quad (7)$$

157 where $X_{a1} = 0.4f'_{SE} / E_c \varepsilon_{SE}$, $X_{d1} = \varepsilon_{SE0.5} / \varepsilon_{SE}$, ε_{SE} is the strain at the peak stress considering the
 158 size effect, and $\varepsilon_{SE0.5}$ is the strain at $0.5 f'_{SE}$ after the peak stress. In Eq. (1), the key parameter β_1
 159 that determines the ascending and descending branches requires information about the functions of
 160 E_c , ε_{SE} , and $\varepsilon_{SE0.5}$, as expressed in Eq. (6) and (7).

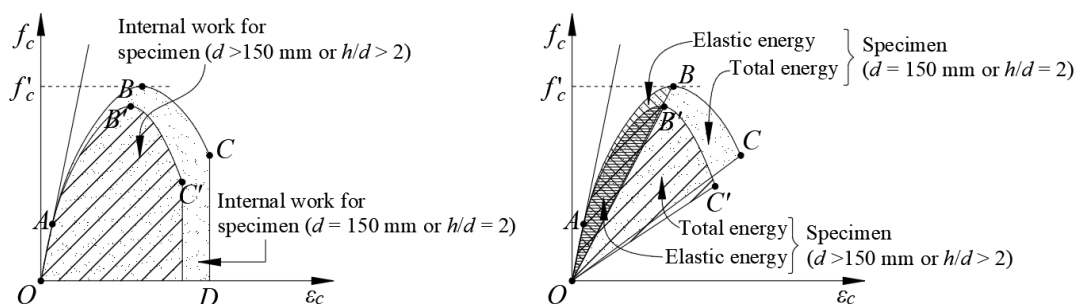


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 162 **Figure 2.** Generalization of compressive stress–strain curve of concrete considering the size effect

163 3.2 Determination of β_1 in ascending branch

164 The size effect on concrete is based on the crack band theory indicating the crack width with
 165 the propagation of micro cracks, as shown in Fig. 3. It implies that the behavior of the points of 'O'
 166 and 'A' without any cracks in the graph is not affected by the size effect. In addition, Taylor and
 167 Broms [26] assumed that the first point of decreasing stiffness in the stress–strain relationship is
 168 identical to an occurrence point of bond crack and reported that this point was between 30% to 42%
 169 of f'_c from the test results. Considering E_c is typically defined at $0.4 f'_c$ [27], the size effect of
 170 concrete is slight because no micro crack is likely to occur within $0.4 f'_c$. Consequently, Yang et al.'s
 171 model [1], a function of f'_c and ρ_c as follows, was used for E_c .

$$172 \quad E_c = 8470(f'_c)^{1/3}(\rho_c / 2300)^{1.17} \quad (8)$$



173
 174 **Figure 3.** Fracture energy for concrete failure.

175 Meanwhile, as shown in Fig. 2, Eq. (5) can be expressed as follows from the relation of f'_{SE}
 176 and E_0 .

$$177 \quad \varepsilon_{SE} = \left[\frac{0.9 \sqrt{\left(\frac{h}{d}\right)^{-0.6}}}{\left[1 + 0.017d \left(\frac{\rho_c}{2300}\right)^{-1}\right]^{0.5}} + 0.63 \right] \frac{f'_c}{E_0} \quad (9)$$

178 Consequently, ε_{SE} can be expressed as follows.

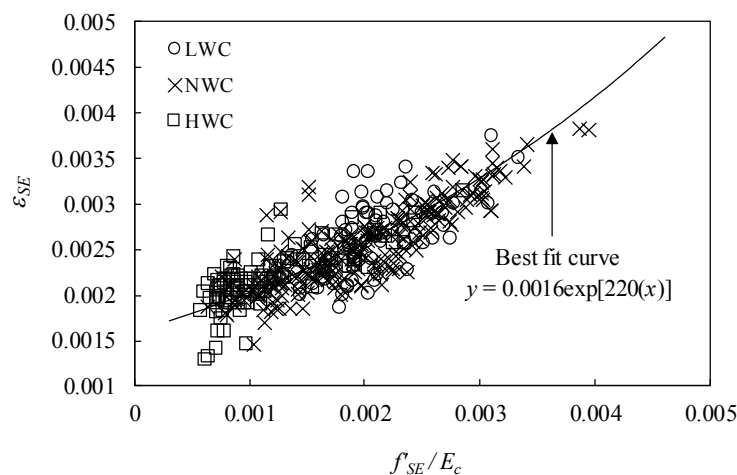
$$179 \quad \varepsilon_{SE} = f'_{SE} / E_0 \quad (10)$$

180 where E_0 is the secant modulus joining the origin and the peak stress. Eq. (10) shows that
 181 ε_{SE} is fully affected by the functions of f'_{SE} and E_0 . However, a dataset or predicted model for
 182 E_0 is not available. Hence, E_0 can be expressed as follows using a certain relation with E_c , as
 183 shown in Fig. 2.

$$184 \quad \varepsilon_{SE} = \chi \left(f'_{SE} / E_c \right) \quad (11)$$

185 where χ is a coefficient to account for the relation of E_0 and E_c , which can be determined
 186 from the test results. From the datasets compiled in this study, Eq. (11) can be expressed as follows
 187 (Fig. 4).

$$188 \quad \varepsilon_{SE} = 0.0016 \exp[220(f'_{SE} / E_c)] \quad (12)$$



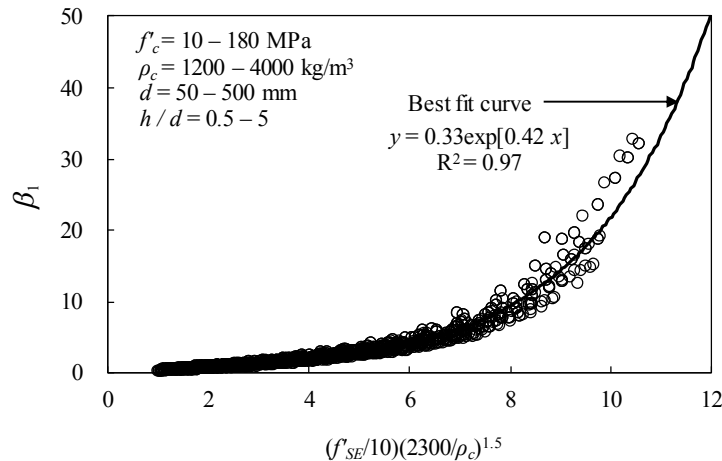
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Figure 4. Nonlinear regression analysis for ε_{SE} .

191 The key parameter β_1 that determines the slope of the ascending branch can be solved by
 192 substituting Eq. (8) and Eq. (12) into Eq. (6). The key parameter β_1 was calculated using the
 193 Newton–Raphson method, identical to Yang et al.'s model [1]. Finally, the key parameter β_1 was
 194 formulated using the analytical parametric study. Because E_c is not affected by the size effect
 195 while ε_{SE} is affected by it, the ranges of variables f'_c , ρ_c , d , and h/d were selected to be from
 196 10 MPa to 180 MPa, 1400 kg/m³ to 4000 kg/m³, 50 mm to 500 mm and 0.5 to 5, respectively, from the
 197 parametric study. From the analytical results, a statistical optimization was performed to generalize
 198 the key parameter β_1 that determines the slope of the ascending branch as follows (Fig. 5).

$$199 \quad \beta_1 = 0.33 \exp[0.42(f'_{SE}/10)(2300/\rho_c)^{1.5}] \quad \text{for } \varepsilon_c \leq \varepsilon_{SE} \quad (13)$$



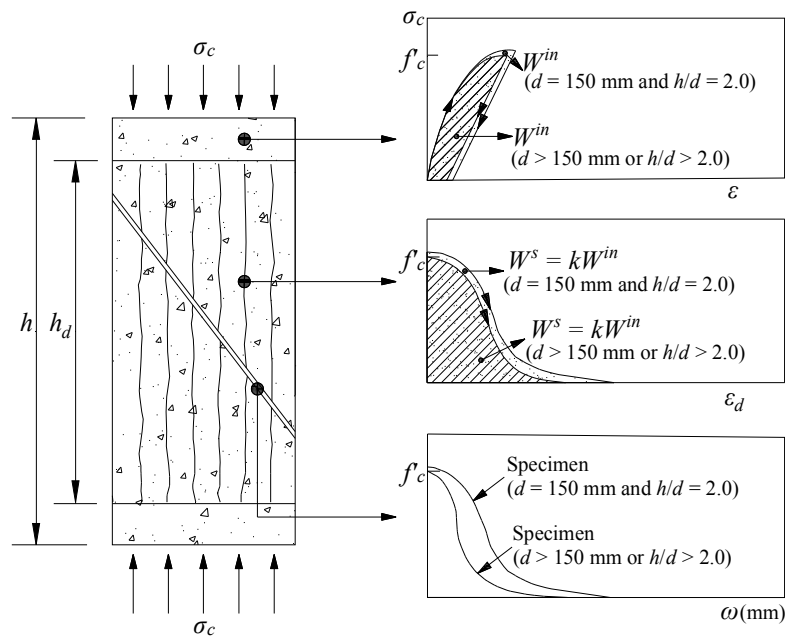
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Figure 5. Formulation of key parameter β_1 in ascending branch

202 Eq. (13) shows that the slope of the ascending branch increases with the increase in f'_{SE} or
203 decrease in ρ_c . Consequently, the slope of the ascending branch includes the size effect of concrete
204 with the generalization of a function of f'_{SE} , considering the parameters d and h/d .

205 3.3 Determination of β_1 in descending branch

206 The descending branch behavior after the peak stress is determined from the localized
207 deformation developed in the damaged or failure zone, while the undamaged zone elastically
208 unloads [6]. Hence, the undamaged zone is generated only when h is greater than the damaged
209 zone height (h_d). From this finding, Markeset and Hillerborg [6] idealized the CDZ model
210 generated from the longitudinal tensile splitting cracks and diagonal tensile band crack in the
211 damaged zone (Fig. 6). According to Markeset and Hillerborg [6], the total strain ε_c in the softening
212 behavior is the sum of the strain during the unloading region after the peak stress in the
213 undamaged zone, the strain while tensile splitting crack occurs in the longitudinal direction, and
214 the strain caused by the diagonal shear band (ω/h).



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216
217

Figure 6. Compression damage zone (CDZ) model [6]

$$218 \quad \varepsilon_c = \varepsilon + \varepsilon_d(h_d/h) + \omega/h \quad \text{for } h > h_d \quad (14.a)$$

$$219 \quad \varepsilon_c = \varepsilon + \varepsilon_d + \omega/h \quad \text{for } h \leq h_d \quad (14.b)$$

220 where h_d is the height of the region propagated by the longitudinal tensile splitting cracks.
 221 ω is the localized deformation assumed as between 0.4 and 0.7 for NWC, and less than 0.3 for
 222 LWC. Assuming that the strain (ε_d) in the region propagated by the longitudinal tensile splitting
 223 cracks is proportional to the fracture energy (G_F), it can be proposed as follows.

$$224 \quad \varepsilon_d = \left[\frac{2kG_F}{\gamma(1+k)f'_c} \right] \left(\frac{f'_c - f_c}{f'_c} \right)^{0.8} \quad (15)$$

225 where k is the factor based on the material properties that can be assumed as 3 for NWC, and
 226 1 for LWC. γ is the factor with specimen height that can be assumed as 1.25 mm for d_a of 16 mm.
 227 As expressed in Eq. (15), the CDZ model proposed by Markeset and Hillerborg [6] includes a
 228 function of G_F , in the descending branch. However, both k and ω , require calibration according
 229 to various concrete types because they are based on the material properties, which is too
 230 demanding for a practical application. Furthermore, because γ is proposed only for a d_a of 16
 231 mm, the use of a practical equation is limited for other specimens with larger aggregate. Hence, to
 232 obtain information about these factors, a comprehensive test is required with various influencing
 233 parameters including concrete type, d , h/d , and d_a . To improve Markeset and Hillerborg's
 234 model [6], the key parameter β_1 by Yang et al. [1] was applied to the descending branch behavior.
 235 The peak stress from Eq. (5) by Sim et al. [5] and $\varepsilon_{SE0.5}$ from Eq. (14) are used to produce the
 236 following equation.

$$237 \quad \varepsilon_{SE0.5} = \left(\varepsilon_{SE} - \frac{f'_{SE}}{E_c} \right) + \left(\frac{2kG_F}{\gamma(1+k)f'_{SE}} \right) \left(\frac{f'_{SE} - 0.5f'_{SE}}{f'_{SE}} \right)^{0.8} \frac{h_d}{h} + \frac{\omega}{h} \quad \text{for } h > h_d \quad (16.a)$$

$$238 \quad \varepsilon_{SE0.5} = \left(\varepsilon_{SE} - \frac{f'_{SE}}{E_c} \right) + \left(\frac{2kG_F}{\gamma(1+k)f'_{SE}} \right) \left(\frac{f'_{SE} - 0.5f'_{SE}}{f'_{SE}} \right)^{0.8} + \frac{\omega}{h} \quad \text{for } h \leq h_d \quad (16.b)$$

239 where ε_{SE} , E_c and f'_{SE} are known values. In eq. (16), the first term in the right is moved to
 240 the left side and can be arranged as follows.

$$241 \quad \varepsilon_{SE0.5} - \left(\varepsilon_{SE} - \frac{f'_{SE}}{E_c} \right) = \left(\frac{2kG_F}{\gamma(1+k)f'_{SE}} \right) (0.5^{0.8}) \frac{h_d}{h} + \frac{\omega}{h} \quad \text{for } h > h_d \quad (17.a)$$

$$242 \quad \varepsilon_{SE0.5} - \left(\varepsilon_{SE} - \frac{f'_{SE}}{E_c} \right) = \left(\frac{2kG_F}{\gamma(1+k)f'_{SE}} \right) (0.5^{0.8}) + \frac{\omega}{h} \quad \text{for } h \leq h_d \quad (17.b)$$

243 The factors of k and ω could be intimately related to ρ_c because they are based on the
 244 aggregate property. In addition, γ is related to the specimen height and proportional to the
 245 average space of the longitudinal tensile splitting cracks. These indicate that γ is closely related to

246 d and h/d . Test results of $\varepsilon_{SE0.5} - \left(\varepsilon_{SE} - \frac{f'_{SE}}{E_c} \right)$ according to d , h/d , and ρ_c are shown in Fig. 7.

247 In Fig. 7 (a), $\varepsilon_{SE0.5} - \left(\varepsilon_{SE} - \frac{f'_{SE}}{E_c} \right)$ linearly decreased with the increase in d , indicating that it

248 decreased by approximately 18% for specimens with h/d of 2, and approximately 31% for
 249 specimens with h/d of 1, when d increased by 3 times. $\varepsilon_{SE0.5} - \left(\varepsilon_{SE} - \frac{f'_{SE}}{E_c} \right)$ almost linearly

250 decreased with h/d increased, irrespective of f'_c . $\varepsilon_{SE0.5} - \left(\varepsilon_{SE} - \frac{f'_{SE}}{E_c} \right)$ ranged between 0.0026 to

251 0.0049 for specimens with h/d of 2, and 0.0018 for specimens with h/d of 5.5, indicating that it

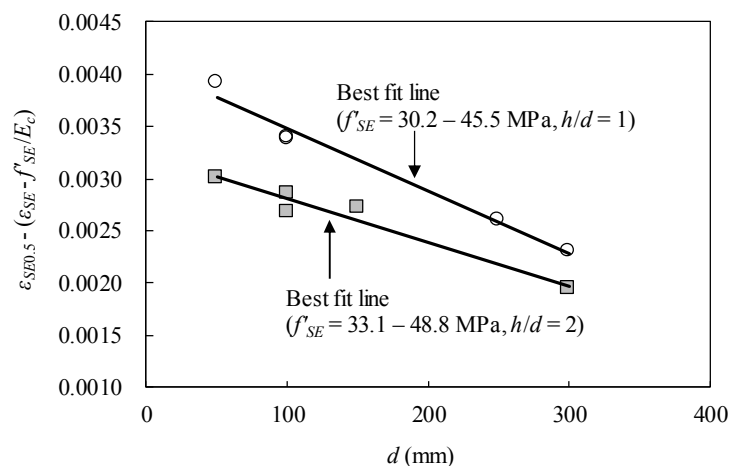
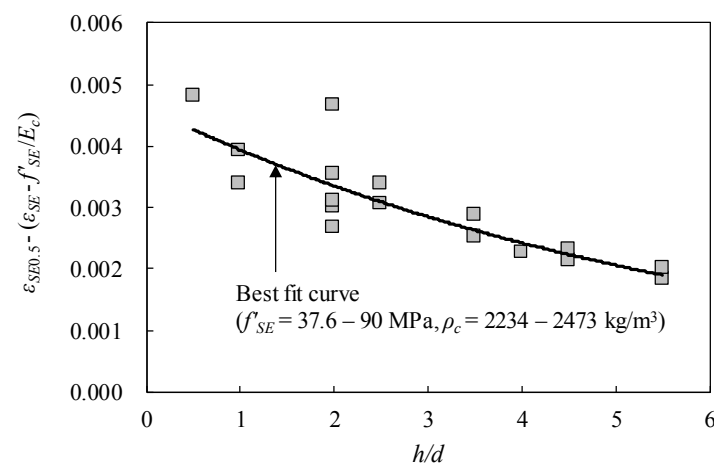
252 decreased by 30% to 60% when h/d increased by 2.75 times. In addition, $\varepsilon_{SE0.5} - \left(\varepsilon_{SE} - \frac{f'_{SE}}{E_c} \right)$
 253 increased with the increase in ρ_c , and its increasing rate according to f'_c was almost constant.
 254 $\varepsilon_{SE0.5} - \left(\varepsilon_{SE} - \frac{f'_{SE}}{E_c} \right)$ ranged between 0.002 to 0.0038 for LWC ($\rho_c =$ less than 2000 kg/m³), and 0.0029
 255 to 0.0044 for HWC ($\rho_c =$ more than 2500 kg/m³). These imply that the descending branch behavior
 256 in the stress–strain relationship for unconfined concrete is considerably affected by functions of d ,
 257 h/d , and ρ_c . Based on this analysis, $\varepsilon_{SE0.5} - \left(\varepsilon_{SE} - \frac{f'_{SE}}{E_c} \right)$ was generalized as functions of G_F , f'_{SE} ,
 258 h/d and ρ_c (Fig. 8), using regression analysis from the test results [4, 5, 12–25] for 45 datasets for
 259 LWC, 85 datasets, for NWC, and 24 datasets for HWC.

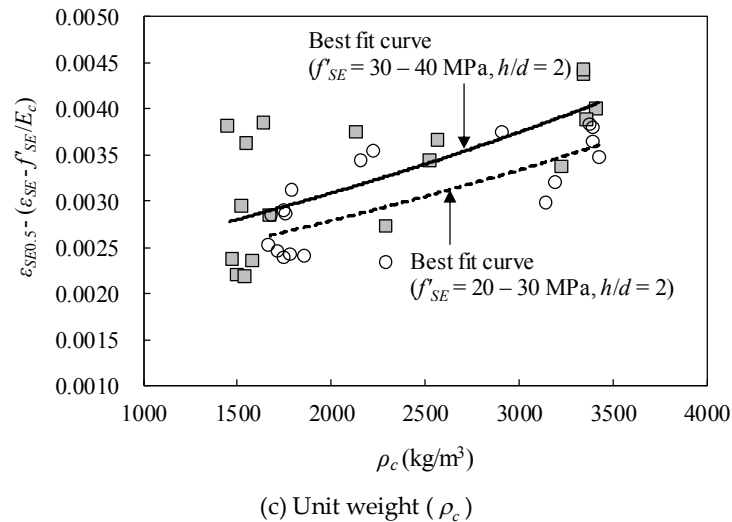
$$260 \quad \varepsilon_{SE0.5} - \left(\varepsilon_{SE} - \frac{f'_{SE}}{E_c} \right) = 0.0028 \exp \left[1.8 \times 1000 \left(\frac{G_F^{0.4}}{f'^{1.4}_c d^{0.6}} \left(\frac{h}{d} \right)^{-1.2} \left(\frac{\rho_c}{2300} \right)^2 \right) \right] \quad (18)$$

261 Where the CEB-FIP model [27] for G_F that includes functions of d_a and f'_c , was used as
 262 follows.

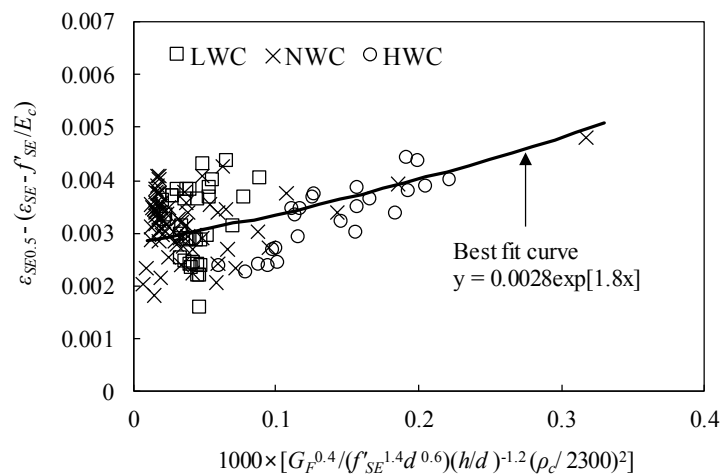
$$263 \quad G_F = G_{F0} \left(\frac{f'_c}{10} \right)^{0.7} \quad (19)$$

264

265
266(a) Width (d)267
268(b) Aspect ratio (h/d)

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270

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Figure 7. Variation in $\varepsilon_{SE0.5}$ in softening behavior

272

273

Figure 8. Nonlinear regression analysis to determine $\varepsilon_{SE0.5}$.

274 where G_{F0} is 0.025 N/mm, 0.03 N/mm and 0.05 N/mm for d_a of 8 mm, 16 mm, and 32 mm,
 275 respectively. β_1 in the descending branch can be solved using Eq. (12) and Eq. (18). The solution
 276 of β_1 in the descending branch was also calculated using the Newton–Raphson method as in the
 277 ascending branch. Finally, the key parameter β_1 was formulated using the analytical parametric
 278 study. In the analytical parametric study, f'_c , d , h/d , d_a , and ρ_c were selected from 10 MPa to
 279 180 MPa, 50 mm to 500 mm, 0.5 to 5, 4 mm to 25 mm and 1400 kg/m³ to 4000 kg/m³, respectively.
 280 From the analytical results, statistical optimization was performed to generalize the key parameter
 281 β_1 that determines the slope of the descending branch as follows (Fig. 9).

282

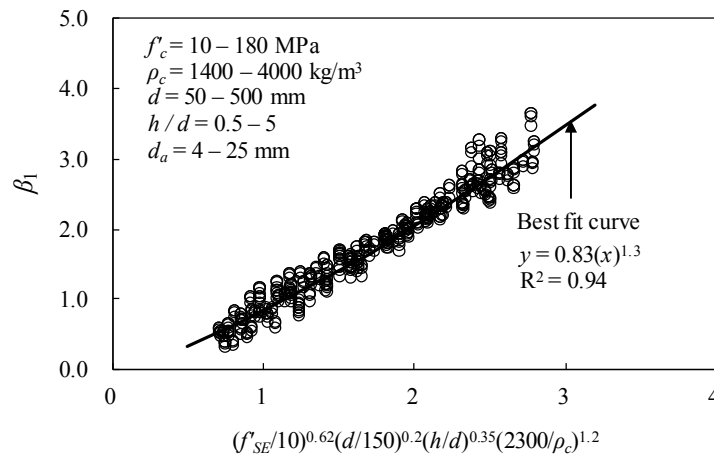
$$\beta_1 = 0.83 \left[\left(\frac{f'_{SE}}{10} \right)^{0.62} \left(\frac{d}{150} \right)^{0.2} \left(\frac{h}{d} \right)^{0.35} \left(\frac{2300}{\rho_c} \right)^{1.2} \right]^{1.3} \quad \text{for } \varepsilon_c > \varepsilon_{SE} \quad (20.a)$$

283

Finally, the stress–strain relationship for unconfined concrete can be proposed as follows.

284

$$f_c = \frac{(\beta_1 + 1) \left(\frac{\varepsilon_c}{\varepsilon_{SE}} \right)^x}{\left(\frac{\varepsilon_c}{\varepsilon_{SE}} \right)^{\beta_1 + 1} + \beta_1} f'_{SE} \quad (21)$$



285

286

Fig. 9–Formulation of key parameter β_1 in descending branch

287 where ε_{SE} is given by Eq. (12), f'_{SE} is given by Eq. (5), and key parameter β_1 is given by Eq.
 288 (13) or (20). The proposed stress–strain relationship for unconfined concrete can consider the size
 289 effect on concrete in the ascending and descending branch, using the power functions of the key
 290 parameter β_1 and f'_{SE} .

291 4. Comparisons with test results

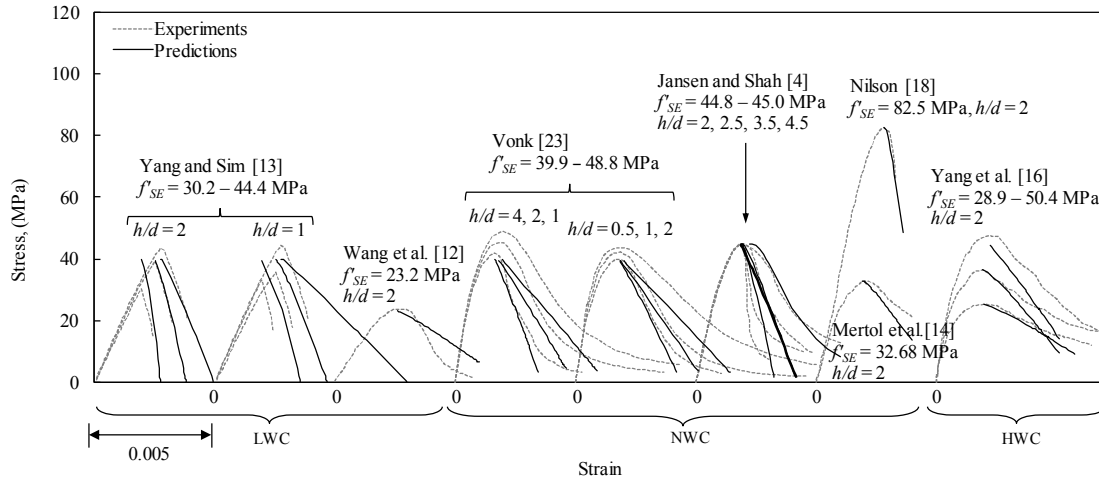
292 The test results compiled from the available literatures [4, 5, 12–25] were compared with
 293 predictions of this study and the existing models [1, 6, 7, 11]. The existing models for the strain–
 294 stress relationship proposed by Markeset and Hilleborg [6], and Samani and Attard [7] were
 295 selected as summarized in Table 2. Figure 10 shows comparisons of the predicted and measured
 296 stress–strain curves [4, 12–18]. The comparative analysis focused on the effect of d , h/d , ρ_c , and
 297 f'_c on the stress–strain curve. Table 3 summarizes the normalized root–mean–square error
 298 (NRMSE) obtained from the comparisons of test results with predictions. In Table 3, γ_m and γ_s
 299 are the mean and standard deviation of the NRMSE, respectively. It is noteworthy that the
 300 comparisons of test results with the predictions of Markeset and Hilleborg [6] were conducted only
 301 in the descending branch, because Markeset and Hilleborg’s model [6] provides the equations only
 302 for the descending branch behavior.

303 Markeset and Hilleborg [6] idealized the CDZ model considering fracture energy, and
 304 proposed the descending branch behavior in the stress–strain relationship. In the CDZ model, the
 305 total strain is a combination of the strain (ε) in the region where the undamaged zone elastically
 306 unloads after the peak stress, the strain (ε_d) in the region propagated by the longitudinal tensile
 307 splitting cracks, and the strain (ω/h) by the diagonal tensile band crack. In the model, ε_d is based
 308 on the assumption that inelastic deformation in the damaged zone determines the descending
 309 branch behavior. To consider inelasticity in the descending branch, ε_d introduces $\left(\frac{f'_c - f_c}{f'_c}\right)^{0.8}$ as

310 expressed in Eq. (9); nevertheless, the descending branch is predicted as a virtually linear curve (Fig.
 311 10 (a)). However, the shapes of the descending branch measured in the existing test results are
 312 primarily curved rather than linear. Hence, the accuracy of Markeset and Hilleborg’s model [6]
 313 according to the concrete type fluctuates with large deviations. The values of γ_m obtained by
 314 Markeset and Hilleborg’s model [6] are 0.38 for LWC, 0.29 for NWC, and 0.28 for HWC. In
 315 particular, Markeset and Hilleborg’s model [6] generally underestimates the compressive stress of
 316 HWC. This is because this model does not consider the decreasing effect of the slope for HWC in
 317 the descending branch because the factor of k to determine ε_d according to concrete type is 3 and
 318 1, only for NWC and LWC, respectively, without considering HWC.

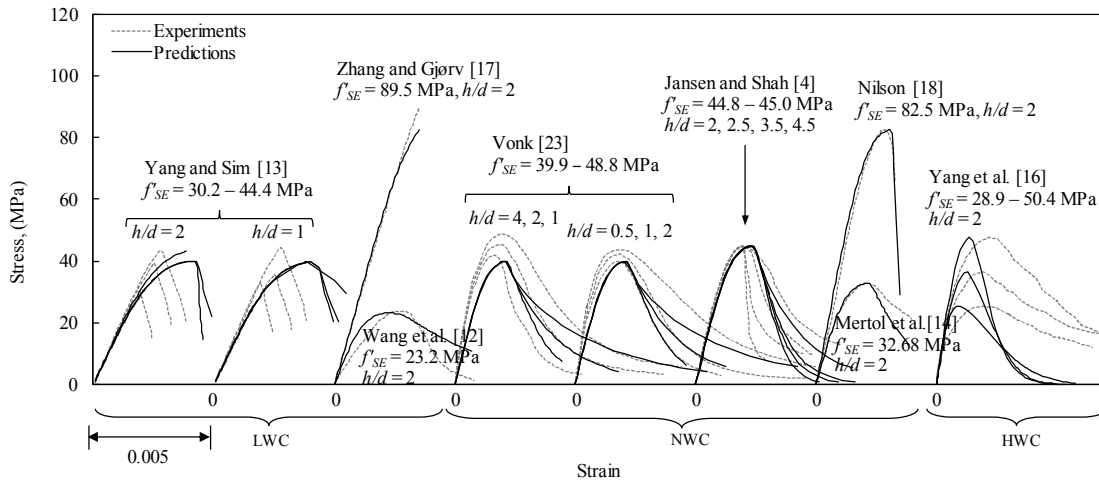
Table 2. Summary of stress–strain models considering the size effect.

Researcher	Stress–strain relationship of concrete
	Ascending branch –
Markeset and Hillerborg [6]	$\varepsilon_h = \varepsilon_0 - \left(\frac{f'_c}{E_c} \right) + \varepsilon_d \frac{2.5d}{h} + \frac{\omega}{h} \text{ for } h > 2d;$ $\varepsilon_h = \varepsilon_0 - \left(\frac{f'_c}{E_c} \right) + \varepsilon_d + \frac{\omega}{h} \text{ for } h \leq 2d; \quad \varepsilon_d = \frac{2kG_F}{\gamma(1+k)f'_c} \left(\frac{f'_c - f_c}{f'_c} \right)^{0.8};$ $G_F = 0.00097f'_c + 0.0418$
	Ascending branch
	$f_c = \left[\frac{A \left(\frac{\varepsilon_c}{\varepsilon_0} \right) + B \left(\frac{\varepsilon_c}{\varepsilon_0} \right)^2}{1 + (A-2) \left(\frac{\varepsilon_c}{\varepsilon_0} \right) + (B+1) \left(\frac{\varepsilon_c}{\varepsilon_0} \right)^2} \right] f'_c;$ $A = \frac{E_c \varepsilon_0}{f'_c}; \quad B = \frac{(A-1)^2}{0.55} - 1; \quad E_c = (3320\sqrt{f'_c} + 6900) (\rho_c / 2300)^{1.5}$
Samani and Attard [7]	$f_c = \left[\left(\frac{f_{ic}}{f'_c} \right)^{\left(\frac{\varepsilon_h - \varepsilon_0}{\varepsilon_{ic} - \varepsilon_0} \right)^2} \right] f'_c;$ $\varepsilon_{ic} = [2.76 - 0.35 \ln(f'_c)] \varepsilon_0; \quad f_{ic} = [1.41 - 0.17 \ln(f'_c)] f'_c;$ $\varepsilon_h = \varepsilon_0 + (\varepsilon_c - \varepsilon_0) \frac{h_0}{h} + \frac{(f'_c - f_c)}{E_c} \left[1 - \frac{h_0}{h} \right] + \varepsilon_d \left(\frac{2d - h_0}{h} \right) \text{ for } h > 2d;$ $\varepsilon_h = \varepsilon_0 + (\varepsilon_c - \varepsilon_0) \frac{h_0}{h} + \frac{(f'_c - f_c)}{E_c} \left[1 - \frac{h_0}{h} \right] + \varepsilon_d \left(1 - \frac{h_0}{h} \right) \text{ for } h \leq 2d;$ $\varepsilon_d = \frac{2kG_F}{\gamma(1+k)f'_c} \left(\frac{f'_c - f_c}{f'_c} \right)^{0.8}; \quad G_F = 0.00097f'_c + 0.0418$
	$\varepsilon_0 = \frac{f'_c}{E_c} \frac{\mu_1}{\sqrt[4]{f'_c}}; \quad \mu_1 = 4.26 \text{ for crushed aggregates, and } 3.78 \text{ for gravel aggregates.}$
This study	$f_c = \left[\frac{(\beta_1 + 1) \left(\frac{\varepsilon_c}{\varepsilon_{SE}} \right)^x}{\left(\frac{\varepsilon_c}{\varepsilon_{SE}} \right)^{\beta_1 + 1} + \beta_1} \right] f'_{SE}; \quad f'_{SE} = \left[\frac{0.9 \sqrt{\left(\frac{h}{d} \right)^{-0.6}}}{1 + 0.017d \left(\frac{\rho_c}{2300} \right)^{-1}} \right]^{0.5} + 0.63 f'_c;$ $\varepsilon_{SE} = 0.0016 \exp[220(f'_{SE} / E_c)]; \quad E_c = 8470(f'_c)^{1/3} (\rho_c / 2300)^{1.17}$
	Ascending branch
	$\beta_1 = 0.33 \exp[0.42(f'_{SE} / 10)(2300 / \rho_c)^{1.5}]$
	Descending branch
	$\beta_1 = 0.83 \left[(f'_{SE} / 10)^{0.62} (d / 150)^{0.2} (h / d)^{0.35} (2300 / \rho_c)^{1.2} \right]^{1.3}$



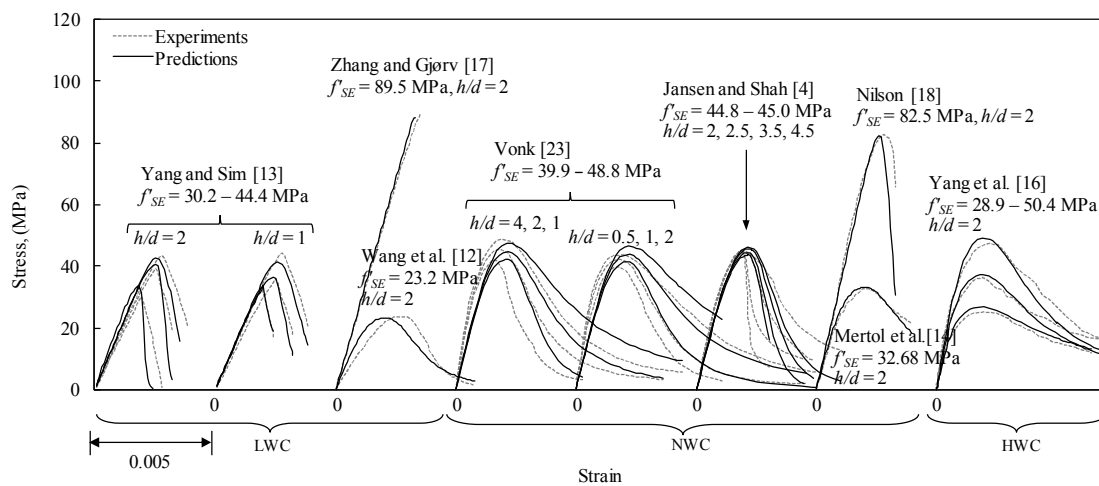
(a) Marqueset and Hillerborg [6]

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(b) Samani and Attard [7]

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(c) This study

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332

Figure 10. Typical comparisons of predicted and measured stress–strain curves

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Table 3. Comparisons of normalized root-mean-square error.

Concrete type	Aspect ratio	Statistical value	Researcher			
			Markeset and Hillerborg [6]	Samani and Attard [7]	This study	
LWC	1	γ_m	0.49	0.45	0.21	
		γ_s	0.34	0.11	0.10	
	2	γ_m	0.28	0.37	0.26	
		γ_s	0.24	0.17	0.23	
	Subtotal	γ_m	0.38	0.41	0.24	
		γ_s	0.13	0.29	0.16	
NWC	0.5~1.0	γ_m	0.32	0.23	0.13	
		γ_s	0.12	0.07	0.02	
	2~2.5	γ_m	0.29	0.20	0.16	
		γ_s	0.17	0.16	0.10	
	3.5~4	γ_m	0.24	0.33	0.36	
		γ_s	0.03	0.03	0.08	
	Subtotal	γ_m	0.29	0.22	0.18	
		γ_s	0.14	0.14	0.11	
	HWC	2	γ_m	0.28	0.71	0.10
			γ_s	0.14	0.09	0.01
Total		γ_m	0.31	0.33	0.18	
		γ_s	0.19	0.21	0.12	

334

335

336 Samani and Attard [7] applied the size effect to the equations for the descending branch

337 proposed by Attard and Setunge's model [11]. As summarized in Table 2, the descending branch

338 behavior proposed by Samani and Attard [7] is also based on the CDZ model and its strain equation

339 is similar to that of Markeset and Hilleborg's model [6]. In addition, Markeset and Hilleborg's

340 model [6] for ε_d composed of functions of k , γ , and G_F is used without modification. The

341 descending branch behavior in Samani and Attard's model [7], however, is different from that in

342 Markeset and Hilleborg [6], indicating that it is predicted as a curve with an inflection point. As

343 shown in Fig. 10 (b), the ascending branch behavior composed of functions f'_c and ρ_c in Samani

344 and Attard's model [7] is identical to that in Attard and Setunge's model [11]. In the descending

345 branch behavior of Samani and Attard's model [7], the increasing effect of the decreasing slope is

346 explained well with the increase in h/d , as shown in Fig. 10 (b). However, Samani and Attard's

347 model [7] underestimates the compressive stress for HWC. This is because, in this model, the factor

348 k related with the material property does not consider the decreasing effect of the descending349 slope for HWC. This implies that the factor k in Samani and Attard's model [7] requires

350 calibration using additional test results. In addition, because Samani and Attard's model [7] does

351 not consider the size effect on the peak stress, it overestimates the compressive strength of concrete

352 for LWC. The overestimation increased with the increase in d . The values of γ_m obtained by

353 Samani and Attard's model [7] are 0.41 for LWC, 0.22 for NWC, and 0.71 for HWC.

354 The proposed model in this study show better agreement with test results, irrespective of d ,355 h/d , concrete type, and f'_c . The values of γ_m and γ_s are 0.24 and 0.16 for LWC, 0.18 and 0.11 for

NWC, and 0.10 and 0.01 for HWC, respectively. The results are lower than those of the models of

Markeset and Hilleborg [6], and Samani and Attard [7]. The proposed values of γ_m and γ_s are 0.18 and 0.12, respectively, which are the lowest among other models. Based on the CDZ model, a rational stress–strain model for unconfined concrete considering the size effect is proposed, using the key parameter β_1 formulated by functions of f'_{SE} , d , h/d , and ρ_c .

6. Conclusions

From the proposed stress–strain relationship model for various unconfined concrete types considering the size effect based on the CDZ model, the following conclusions were derived.

(1) The existing models do not consider the increasing effect of the descending branch slope with the increase in the aspect ratio and specimen width. In particular, this trend was more notable in LWC than NWC.

(2) The elastic modulus of concrete typically defined in the elastic region where no cracks occurred was not affected by the size effect, whereas the strain at the peak stress affected by the size effect was formulated by an exponential function of f'_{SE}/E_c .

(3) The key parameter β_1 determining the slope of the ascending branch could be proposed as an exponential function of $(f'_{SE}/10)(2300/\rho_c)^{1.5}$.

(4) In the descending branch, $\varepsilon_{SE0.5} - \left(\varepsilon_{SE} - \frac{f'_{SE}}{E_c}\right)$ could be expressed as an exponential function

of $1000 \times \left(\frac{G_F^{0.4}}{f'^{1.4}_{SE} d^{0.6}} \left(\frac{h}{d}\right)^{-1.2} \left(\frac{\rho_c}{2300}\right)^2 \right)$, considering the influencing parameters of specimen width,

aspect ratio, and concrete density.

(5) The key parameter β_1 determining the slope of the descending branch reasonably considered the size effect by formulating as an exponential function of $\left(\frac{f'_{SE}}{10}\right)^{0.62} \left(\frac{d}{150}\right)^{0.2} \left(\frac{h}{d}\right)^{0.35} \left(\frac{2300}{\rho_c}\right)^{1.2}$, based on the CDZ model.

(6) The proposed model of the stress–strain relationship for unconfined concrete showed good agreements with the test results, irrespective of specimen width, aspect ratio, concrete density, and compressive strength.

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