

1 Article

2 Synthesis of Nanocrystalline-doped ZnO with Al³⁺ 3 and Ni²⁺ by Sol-gel Method Coupled by Ultrasound 4 Irradiation

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19 **Abstract:** Zinc oxide is one of the most important semiconductor metal oxides and
20 one of the most promising n-type materials, but its practical use is limited because
21 of both its high thermal conductivity and its low electrical conductivity. Numerous
22 studies have shown that doping with metals in ZnO structures leads to the
23 modification of the band gap energy. In this work, Al-doped ZnO, Ni-doped ZnO,
24 and undoped ZnO nanocrystalline powders were prepared by sol-gel method
25 coupled with ultrasound irradiation. The doping concentration in ZnO was 1.0 at.%
26 of Al and Ni. Influence of Al³⁺ and Ni²⁺ ions in the ZnO network are explored in this
27 paper. X-ray Diffraction (XRD), Raman Spectroscopy, Nitrogen Adsorption (BET
28 method), X-Ray Fluorescence (XRF) and Field Emission Scanning Electron
29 Microscopy (FESEM) analyses demonstrated the incorporation of metal ions
30 (aluminum and nickel) into the ZnO wurtzite structure. The crystallite size of the
31 sample was decreased from 24.5 nm (ZnO) to 22.0 nm (ZnO-Al) and 21 nm (ZnO-
32 Ni).

33 **Keywords:** Ultrasound irradiation; Doped; Zinc Oxide; Nanocrystalline; Powders

34

35 1. Introduction

36 Zinc oxide (ZnO) is a binary II-VI semiconductor compound with a hexagonal
37 wurtzite structure and n-type electrical conductivity with a direct energy wide band
38 of 3.37 eV and an exciton binding energy of 60 meV [1,2]. This semiconductor has
39 high chemical, mechanical and thermal stabilities at room temperature, a low
40 electrical constant, high electrochemical coupling index, wide range of radiation

41 absorption and high photostability, all of which make it attractive for potential use
42 in electronics, optoelectronics and laser technology [3].

43

44 ZnO is a transparent ceramic conducting oxide with interesting electrical and
45 optical properties. Doped ZnO powders have several applications that include their
46 use as a photocatalyst, ferromagnet, semiconductor, and piezoelectric and solar
47 cells. This material has low resistivity and good optical gap energy at low
48 temperature and is transparent in the visible region of the electromagnetic spectrum
49 [4].

50

51 Many studies have been devoted to analyzing doped ZnO due to its several
52 applications: solar cells, sensors, photoelectronic devices, diodes (LEDs), UV lasers,
53 photocatalysts, field emitters, and spintronic and piezoelectric devices. Foreign
54 metallic ions incorporated into the ZnO crystal lattice can modify the electronic
55 properties of this semiconductor. On the other hand, some research have focused on
56 doped ZnO films and powders prepared by several techniques such as sol-gel
57 process [5], chemical bath deposition technique [6] solvothermal method [7],
58 coprecipitation [8], hydrothermal synthesis [9], sputtering [10], spray pyrolysis
59 method [11], microwave method [12], sonochemical method [13], mechanochemical
60 method, microemulsion and combustion synthesis [3]. Incorporation of aluminum
61 and nickel ions into ZnO can be achieved by different methods. Rajeh et al. studied
62 the conductivity of doped ZnO using different metallic ions of group II and III (In^{3+} ,
63 Al^{3+} , Ga^{3+} , B^{3+} , Cu^{2+} , Cd^{2+} and Ni^{2+}) and suggested that conductivity is attributed to
64 native defects or oxygen vacancies and zinc interstitial defects [14]. Transition
65 metals doped with ZnO have been potential candidates to modify the electronic
66 band structure and study their applications in semiconductor spintronic devices,
67 spin polarized light emitting diodes, magnetic tunnel junctions, and photovoltaic
68 cells and sensors [15,16]. Metallic ion doping increases the number of free electrons
69 by replacing Zn^{2+} in the ZnO crystal lattice structure.

70

71 This paper aims at doping ZnO samples with Al^{3+} and Ni^{2+} by sol-gel method
72 assisted by ultrasound irradiation, also referred to as sonochemistry. The physical
73 phenomenon responsible for the sonochemical process is acoustic cavitation due to
74 sonic radiation generated implosive collapse of bubbles increasing the temperatures
75 (5000 to 25,000 K). For this reason, the ultrasound can break the bond of substances;
76 make reaction times shorter in comparison with the conventional heating. The
77 process starts with the creation of the nucleation center for their later growth until
78 the obtained the nanocrystalline materials.

79

80 The samples were annealed at 450 °C to improve their physical properties and
81 raise its crystallinity. We studied the effect of metallic ion substitution on the
82 structural network and morphological properties of ZnO, as the effect of ultrasound
83 irradiation in the process sol-gel for obtained nanomaterials. By doing a Rietveld

84 refinement of the ZnO crystal structure, we found that incorporating Al³⁺ and Ni²⁺
85 into ZnO slightly changed cell parameters and network volume.
86

87 2. Materials and Methods

88 2.1 Synthesis of powders

89
90 Every chemical reagent used in the experiments was obtained from commercial
91 sources as guaranteed-grade reagents and used without further purification. ZnO,
92 ZnO-Al and ZnO-Ni were prepared using zinc acetate (Zn(CH₃COO)₂, Baker ACS),
93 ethanol (C₂H₅OH, J. T. Baker, 99.6%), hydroxide ammonium (NH₄OH, Aldrich,
94 28%), nickel (II) chloride hydrate Puratronic (NiCl₂ • 6H₂O, Alfa Aesar, 99.995%) and
95 aluminum chloride (AlCl₃, Fermont, 99.4%). A zinc acetate solution in an ethanol
96 and water mixture 50% (0.5 M) and NH₄OH was added dropwise with rapid
97 magnetic stirring to achieve a pH 8.0 as some papers have reported that crystallinity
98 and morphology are affected by pH value[17]. Next, this mixture was magnetically
99 stirred for 1 h, and lastly, ultrasound irradiation over 30 min at intervals of 2 s was
100 applied. In a similar procedure, ions of the corresponding salts were incorporated in
101 2 at. %. An ultrasonic processor from Sonics & Materials Inc. (Newton, CT, USA)
102 was used and operated at 750 W and 20 kHz. The resulting homogeneous solutions
103 were filtered, and the products were washed with ionized water and ethanol several
104 times to eliminate salts obtained from co-precipitation processes. The obtained gel
105 was kept at room temperature to allow gelification over 72 h until a xerogel was
106 attained. Then, the samples were ground in an agate mortar and heated in air in
107 alumina crucibles in a muffle furnace at 450 °C.

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110 2.2 Characterization

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112 XRD measurements were carried out using a Bruker D8 Discover with CuKα1
113 radiation ($\lambda_{\alpha 1} = 1.5406 \text{ \AA}$). Data were collected over the 2θ range of 20-80 ° with a
114 step size of 0.02. Phase composition of the samples was determined using the
115 Powder Diffraction File PDF+4 from the ICDD (International Centre of Diffraction
116 Data) [18]. Phase identification and Rietveld refinement of cell parameters were
117 determined using the High Score Plus Software, including Powder Diffraction File
118 PDF+4 from the ICDD. Input data for the Rietveld refinement (space group, cell
119 parameters and atomic positions) were taken from T. M. Al-Saaid et al. [19]. A
120 background was modeled by a polynomial approach, and a pseudo-Voigt function
121 was used for the profile form. Then, a zero shift; a scale factor; unit cell parameters;
122 U, W, V profile coefficients; and shape and asymmetric parameters were refined.

123

124 Al³⁺ and Ni²⁺ content in the doped powders were determined by wavelength-
125 dispersive X-ray fluorescence (WDXRF) using a Bruker S8 Tiger spectrometer. The
126 quantification of ions metals was realized with the Quant-Express method previous

127 calibration of equipment. This measurement was carried out after preparation of 5
128 mm in diameter pellets with an applied pressure of 3.5 t cm².

129

130 Optical transmission spectra were recorded using a Varian Cary 400 Scan
131 Spectrophotometer equipped with a Harrick DR accessory in a wavelength range of
132 200-800 nm. Teflon was used as a standard for the baseline. Raman spectra were
133 measured with a spectrophotometer.

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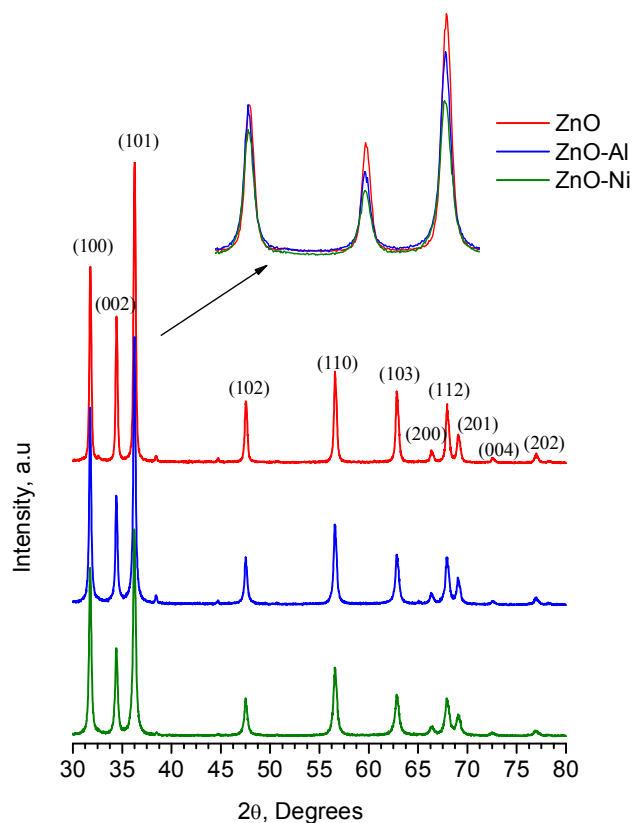
135 BET surface area was measured by nitrogen adsorption at 77 K using an
136 Autosorb-1 after out-gassing at 398 K for 12 h. Morphological evaluation of the
137 samples was carried out with a Field Emission Scanning Electron Microscopy
138 measurement, FESEM (Hitachi SU-70) PL. Samples were excited by 375 nm using a
139 NanoLog Spectrofluorometer Horiba NanoLog.

140

141 3. Results and Discussion

142 3.1 Structure from XRD Patterns and Raman Spectra

143 To investigate the influence of Al³⁺ and Ni²⁺ doping on the crystalline structure
144 of ZnO, nanoparticles were measured by X-ray diffraction (XRD). Figure 1 shows
145 the XRD powder pattern of ZnO, ZnO-Al and ZnO-Ni compared with PDF files of
146 hexagonal (00-0361451) reported phases for ZnO. Diffraction peak characteristics
147 correspond to the hexagonal wurtzite structure of pure ZnO in both doped samples
148 with a preferential orientation along the (101) plane in every sample, as reported by
149 Guruvammal et al.[20]. The insert in Figure 1 shows the main peaks (101), (002) and
150 (101), where we can observe a shift towards lower angles and decreased intensity
151 peaks due to an increased microstrain Ashokkumar [21] in doping ions Al³⁺ and Ni²⁺.
152 These results indicate that the presence of metal ions affects crystallite size [22].



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Figure 1. XRD powder patterns of ZnO and doped ZnO (Al³⁺ and Ni²⁺). PDF file of hexagonal (00-0361451). The inset shows the broadening and decrease of main peaks.

156

157 Katiyar et al. reported that the peak broadening is attributed to stress or particle
 158 size variation due to the presence of other atoms in the network [23]. As there are
 159 no diffraction peaks attributed to Al and Ni, related secondary phases are observed,
 160 implying that the metal ions might substitute for the Zn atoms [24]. Theoretically,
 161 since the ion radii have a coordination number of 4 (tetragonal site), Al³⁺ (0.053 nm)
 162 and Ni²⁺ (0.069 nm) are smaller than those of Zn²⁺ (0.074 nm) [22,25]. These ions
 163 should be able to substitute for Zn²⁺ ions in a ZnO matrix. Therefore, we propose
 164 that Al³⁺ and Ni²⁺ are all well diffused in the ZnO lattice and doping has no
 165 substantial effect on the hexagonal wurtzite structure.

166

167 The nominal values of crystallite size were determined with a module of PDF+4
 168 2018 (Power Diffraction File) using every diffraction peak. Crystalline sizes of 22.0
 169 nm for ZnO-Al and 21.0 nm for ZnO-Ni, which were small than that of ZnO (24.5
 170 nm) obtained under the same conditions, were observed. P.K. Sharma et al. reported
 171 the decreased in crystallite size due to of the incorporation of a foreign impurity
 172 promoted the suppression of nucleation and subsequent growth rate due to ions
 173 metals. By doing a Rietveld refinement of the crystal structure of samples, we found
 174 that the Al³⁺ and Ni²⁺ incorporation into ZnO slightly changed the cell parameters.
 175 Furthermore, Table 1 shows the refined cell parameters for doped samples, which

176 take the ZnO sample as a reference point. We considering only the hexagonal phase
177 carried out this refinement.

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179

180

Table 1. Table 1. Composition, structural and textural characteristics of the prepared samples.

Sample					Composition (by XRF)			Structural parameters		Textural parameters	
	a [Å]	c [Å]	V [Å ³]	R_{wp} [%]	% Zn	% O	% Ni, Al	Crystallite size t (nm)	Band gap E_g (eV)	S_{BET} (m ² g ⁻¹)	Average pore diameter (nm)
ZnO	3.252 (2)	5.209 (4)	47.72	8.45	75.0	25.0	0.00	21.0	3.60	22.0	68.0
ZnO/Al	3.252 (3)	5.211 (2)	47.35	8.27	71.0	29.2	0.80	22.0	3.44	23.0	33.0
ZnO/Ni	3.252 (1)	5.211 (5)	46.10	8.34	72.0	28.4	0.80	24.5	3.39	9.0	36.0

181 S_{BET} : specific surface, R_{wp} : weighted profile R-factor

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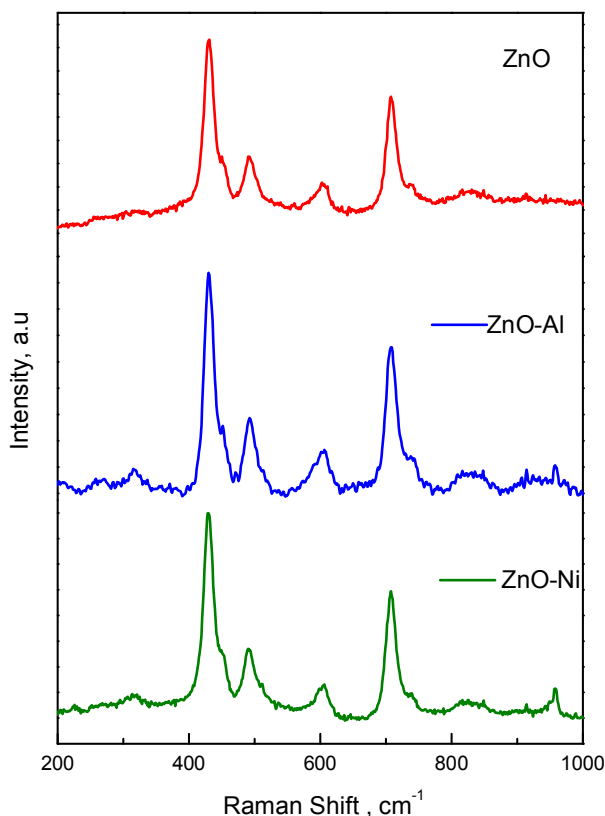
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184 The percentage of Al³⁺ and Ni²⁺ content incorporation into the ZnO structure was
185 1.0 at.%. We suggested that structure of ZnO only accepted approximately 50% of
186 the nominal value in compare to the initial 2 at.%.

187

188 Raman spectra were recorded (Figure 2) under the same conditions for every
189 sample. This technique is known to be susceptible to the local structure of ions, and
190 it is now generally accepted that the positions and half-widths of the hexagonal
191 bands are influenced by the preparation method, impurities and oxygen vacancies
192 [26,27] Raman active modes of the wurtzite structure (space group P63mc) in the
193 ZnO phase have six first-order vibrational modes, named A_1 , E_1 , $2E_2$ and $2B_1$, and are
194 expected to appear near the point of their first Brillouin zone [28]. Raman spectra of
195 ZnO, ZnO-Al and ZnO-Ni showed peaks at approximately 429 cm⁻¹, 490 cm⁻¹, 604
196 cm⁻¹ and 707 cm⁻¹. An irrelevant broadening of the ZnO-Al and ZnO-Ni bands can
197 be observed in comparison to the pure ZnO. The broadening of band E_1 may occur
198 due to oxygen vacancies originated by the incorporation of Al³⁺ and Ni²⁺ ions into
199 the hexagonal lattice. This result is agreed with the reported Luthra and Sayari
200 [22,29].

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Figure 2. Raman spectra of ZnO, ZnO-Al and ZnO-Ni samples obtained by sol-gel method assisted by ultrasound irradiation.

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206 3.2 Optical properties

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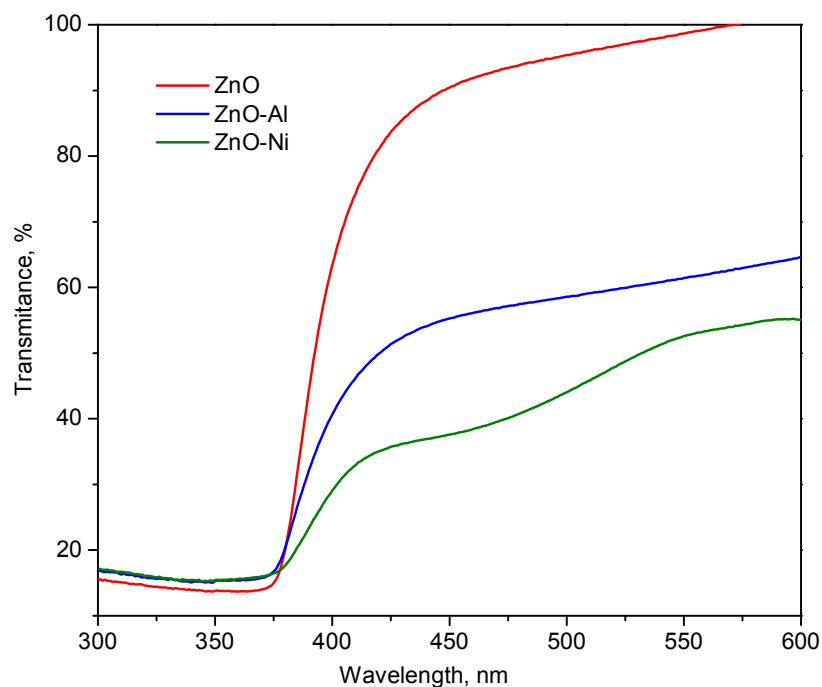
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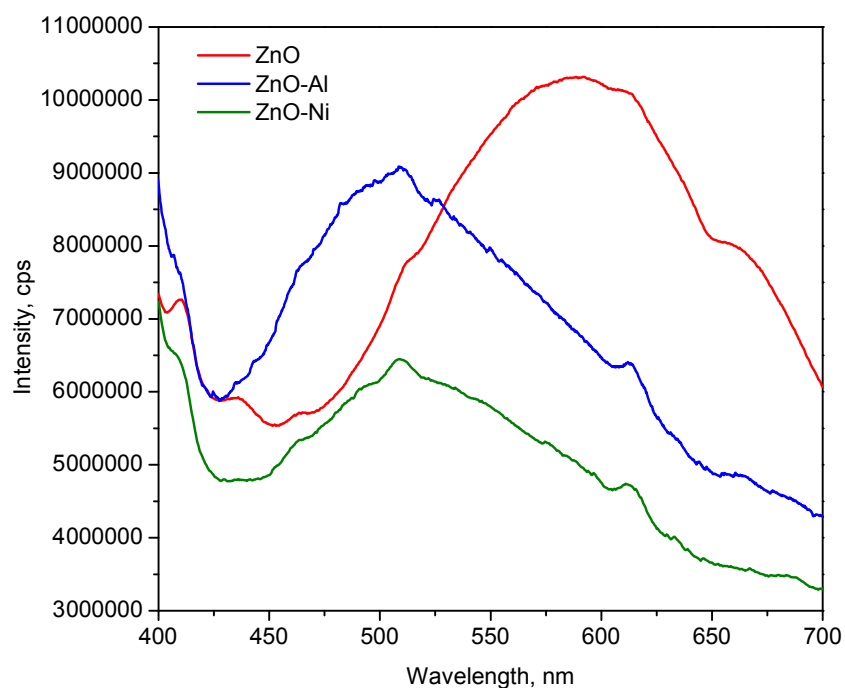
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UV-Vis DRS spectra of the powders are shown in Figure 3. Spectra indicate the reflection percentage as a function of the band gap energy in the samples synthesized via ultrasound. The band gaps determined for ZnO and doped ZnO were 3.60 (ZnO), 3.44 (ZnO-Al) and 3.39 (ZnO-Ni) eV. This shift to the blue may be attributed to quantum confinement effects [16,30]. In doped ZnO samples, the absorption band gap was shifted to higher wavelengths due to interband transitions from the valence band A_{2g} to the T_{2g} level of Ni^{2+} ($3d^8$, $3A_{2g}$, $3T_{2g}$) by Ni^{2+} . Most authors observed that transition metal ions might additionally introduce d-d transitions into the UV-Vis spectra if the samples were present in a suitable oxidation state [1,27,31]. However, Al^{3+} increases free electrons by replacing Zn^{2+} in the ZnO network structure, hence generating conductivity in the semiconductor [24].



219
220 **Figure. 3.** Optical transmission spectra of ZnO and doped ZnO nanoparticles

221
222 In Figure 4, photoluminescence spectra of the undoped and doped ZnO samples
223 show a broad and intense emission spreading from 425 to 650 nm with an emission
224 at 590 nm, while doped ZnO samples show that a shift at 509 nm band is attributed
225 to the presence of metal ions introduced by crystal defects such as oxygen vacancies
226 because there are changes in the electronic band structure. This is agreed with
227 Samadi et al, they reported that the oxygen vacancies enhanced the photo generation
228 of electron-hole separation efficiency [1].

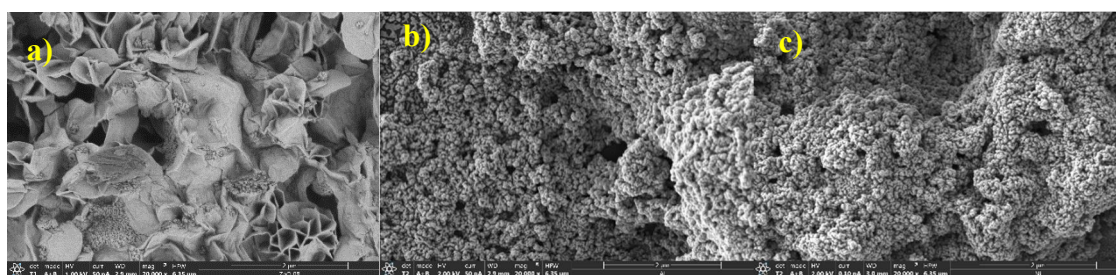


230
231 **Figure. 4.** Photoluminescence spectra of ZnO and doped ZnO (Al^{3+} and Ni^{2+}) nanoparticle samples.

232 3.3 Surface morphological studies

233 Figure 5 shows the FESEM micrograph of ZnO, where we can see that the oxide
234 semiconductor was formed by aggregates and sheets. In contrast, ZnO-Al and ZnO-
235 Ni samples show agglomerate formations of sphere-shaped nanoparticles. We
236 suggest that metal ions changed the surface shape of ZnO nanoparticles, the crystal
237 size [1] and the mechanisms aggregation of nanoparticles. Therefore, SEM images
238 implied that ion incorporation was one of the role factors affecting the surface
239 morphology.

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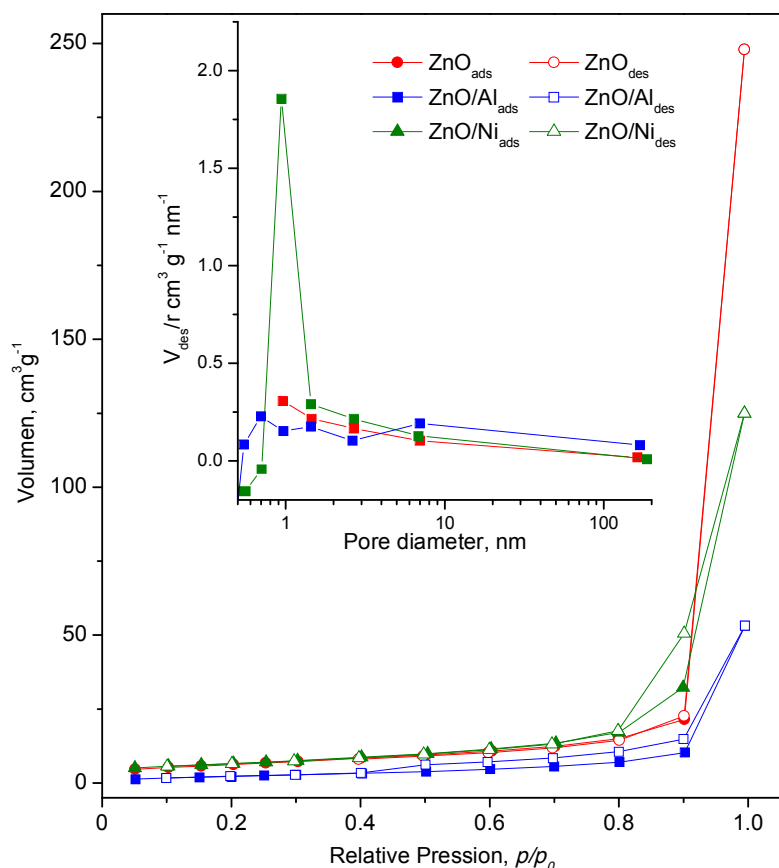
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242 **Figure 5.** FESEM images showing the morphology of nanocrystalline a) ZnO, b) ZnO-Al and c)
243 ZnO-Ni.

244 3.4 Textural properties

245

246 The effect of doping aluminum and nickel on the textural characteristics of the
247 powders prepared by sol-gel method assisted by ultrasound irradiation was
248 investigated by measuring N₂ adsorption-desorption isotherms. Figure 6 shows the
249 isotherm and pore size distribution of the doped samples, these isotherm exhibited
250 a narrow hysteresis loop type H3 and a type II isotherm that may have been related
251 to the agglomeration and slit-shaped spaces. In contrast with the pure ZnO, isotherm
252 was IUPAC type II without a hysteresis loop. We suggest that the mesoporosity
253 developed in the samples could have affected by the ultrasonic irradiation due to
254 promote the incorporation of aluminum and nickel in to network of wurzite phase.
255 The specific surface area (S_{BET}) and other textural parameters are compiled in Table
256 1. Results show a significant decrease in a pore average of doped samples according
257 to the results obtained by SEM.



258

259 **Figure. 6** Nitrogen adsorption-desorption isotherms and pore size distribution
 260 curves (inset) of ZnO, ZnO-Al, and ZnO-Ni, (filled circle, open circle). White
 261 symbols indicate adsorption, and black symbols indicate desorption

262

263

264 4. Conclusions

265 To sum up, we have researched the doped ZnO (Al³⁺ and Ni²⁺) and undoped
 266 ZnO powders obtained by sol-gel method assisted by ultrasound irradiation. The
 267 sol-gel coupled ultrasound method is efficient to obtain nanomaterials in a short
 268 time reaction and to produce homogeneous nanometric particles with high
 269 crystallinity. Metal ion incorporation into the crystal structure of ZnO induces
 270 significant changes in morphological, optical and structural properties. The optical
 271 transmittance of the powders was greater than 80%. The optical direct band gap of
 272 films decreased from 3.60 to 3.44 and 3.39 eV, respectively, by doping at 1.0 at.% of
 273 Al³⁺ and Ni²⁺. Finally, textural differences of nanocrystalline materials were observed
 274 in doped ZnO.

275

276 **Author Contributions:** M.J. Robles-Águila performed experiments and characterizations, analyzing the data
 277 and wrote the paper, M. E. Rabanal, J. Martínez Juárez and J.A Luna-López-Luna were made formal analysis
 278 and visualization, Álvaro D. Hernández de la Luz participated in the writing and final editing of the manuscript.
 279 All authors read and approved the paper.

280

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291

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