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# A New Structural Health Monitoring Strategy Based on PZT Sensors and Convolutional Neural Network

Mario A. de Oliveira<sup>1\*</sup>, Andre V. Monteiro<sup>1</sup>, Jozue Vieira Filho<sup>2</sup>

<sup>1</sup> Department of Electrical and Electronic, Mato Grosso Federal Institute of Technology, Cuiabá 78005-200, Brazil; andrevmonteiro.eng@gmail.com (A.V.M.);

<sup>2</sup> Department of Engineering, São João da Boa Vista Campus, São Paulo, Brazil; jozue.vieira@unesp.br (J.V.F.);

\* Correspondence: mario.oliveira@cba.ifmt.edu.br; Tel.: +55 6533181511

**Abstract:** Preliminaries Convolutional Neural Network (CNN) applications have recently emerged in Structural Health Monitoring (SHM) systems focusing mostly on vibration analysis. However, the SHM literature shows clearly that there is a lack of application regarding the combination of PZT (Lead Zirconate Titanate) based method and CNN. Likewise, applications using CNN along with the Electromechanical Impedance (EMI) technique applied to SHM systems are rare. To encourage this combination, an innovative SHM solution through the combination of the EMI-PZT and CNN is presented here. To accomplish this, the EMI signature is split into several parts followed by computing the Euclidean distances among them to form a RGB (red, green and blue) frame. As a result, we introduce a dataset formed from the EMI-PZT signals of 720 frames, encompassing a total of 4 types of structural conditions for each PZT. In a case study, the CNN-based method was experimentally evaluated using three PZTs glued onto an aluminum plate. The results reveal an effective pattern classification; yielding a 100% hit rate which outperforms other SHM approaches. Furthermore, the method needs only a small dataset for training the CNN, providing several advantages for industrial applications.

**Keywords:** SHM, Electromechanical Impedance, Piezoelectricity, Intelligent Fault Diagnosis, Machine Learning, CNN, Deep Learning.

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## 1. Introduction

One of the most important and promising applications for Structural Health Monitoring (SHM) systems is the aeronautics industry. Global aviation is growing rapidly promising even increased prospects for growth in the future. As discussed in [1] the world commercial aircraft fleet increased on average by 1.8% during 2010 reaching more than 25,000 new aircraft in operation. In addition, there are many old aircrafts that are still operating in the global air space [2-3]. It is important to mention that aged aircraft structures are predominantly made of aluminum and consequently monitoring the integrity of those metallic structures constitutes an increased demand for the aeronautics industry. Accordingly, new SHM methods may reduce considerably the maintenance cost and enable much more structural safety. Driven by new services in the area of structural analysis, SHM is developing in order to adapt academic work to practical SHM systems. Hence, NDE (Non-Destructive Evaluation) methods have extensively been proposed in recent years, as these methods allow the application of various types of structures and consequently the identification of various types of damage.

In SHM, physical or mathematical models are very useful for dynamic structural analysis, however, most of the real applications present some type of nonlinearity originated from geometric features, type of material or boundary conditions, which leads to complex and expensive models.

Owing to that, many authors have avoided working on structural modeling making use of techniques which allow the damage identification directly from the structural responses obtained from sensors signals. On this subject, the Electromechanical Impedance (EMI) technique plays an important role within the NDE methods. The EMI technique uses low-cost, small, and lightweight piezoelectric (PZT-Lead Zirconate Titanate) transducer glued onto the structure [4]. This technique is well-known in the literature [5-11]. In the past, different SHM methods, based on Neural Networks (NN), had been widely investigated in order to evaluate structural conditions. Many SHM applications were proposed focusing on the Multi-Layer Perceptron and Backpropagation algorithms [12-14]. Subsequently, new classes of NN such as Probabilistic Neural Network (PNN) and Fuzzy ARTMAP Network (FAN) took place. These methods have been shown successfully on various structures. For example, methods based on PPN, applied to damage identification in SHM, were addressed in [15-17]. In the same way, FAN methods were investigated in [10], [18-25].

More recently the Convolutional Neural Network (CNN) has exploded in popularity and real-world applications. The CNN simply provides a new class of NN which uses the concept of deep learning. CNN is one of the most recent major breakthroughs in the area of computer vision, speech recognition, biomedical systems and natural language processing [26-27]. Unlike an ordinary NN, the layers of CNN can arrange neurons in three dimensions: width, height, and depth. Accordingly, some CNN applications have successfully emerged in the SHM field focusing mostly on vibration analysis as summarized next. Many CNN applications in SHM systems have focused on vibration analysis for monitoring faults on rotating machinery. For example, [28] proposed a fast and accurate motor condition monitoring and early fault detection system using 1D-CNN. Similar approaches were also addressed in [29-30]. Likewise, [31] proposed a method to address fault diagnosis based on CNN directly on raw vibration signals. The authors mentioned that the model works well in noisy environments and performs well when the working load changes. Similarly, a 1D-CNN vibration-based method was applied to damage detection and localization in real-time from the raw acceleration signals [32]. The method was applied to large-scale test structures. In [33], the authors proposed to incorporate sensor fusion by taking advantages of the CNN structure to achieve higher and more robust diagnosis accuracy. They analyzed both temporal and spatial information of the raw data from multiple sensors for the training process of the CNN. They pointed out that their method, compared with traditional approaches which use manual feature extraction, results in superior diagnosis performance. In [34], the authors proposed a CNN based approach (LiftingNet) to learn features adaptively from raw mechanical data without prior knowledge. The authors highlighted that the advantages in applications are the ability to classify mechanical data sampled under different rotating speeds and achieving high classification accuracy with considerable noise present. Although all those above approaches obtained good results, none of them focused on using PZT-EMI based method to identify structural damage. In [35], proposed a wireless sensor networks based method which takes advantage of an individual training 1D CNN for each wireless sensor in the network in a format where each CNN is assigned to process the locally-available data only, eliminating the need for data transmission and synchronization. That method operates directly on the raw ambient vibration condition signals without any filtering or preprocessing. In the same way, in reference [36], the authors proposed an enhanced CNN-based approach that requires only two measurement sets regardless of the size of the structure in order to overcome the limitation of training CNNs which predominantly requires a significant amount of

measurements especially if applied to large-scale systems. They pointed out that their method was able to successfully estimate the actual amount of damage for the nine damage scenarios of the benchmark study.

Recently a single CNN application emerged in the SHM field focusing on video processing [37]. Therein, the authors proposed to analyze individual video frames for inspection of crack in a nuclear power plant via CNN and Naive Bayes Data Fusion. They pointed out that their framework achieves 98.3 of hit rate. Despite the good results, they mentioned that one disadvantage is that CNN needs substantial training data (e.g., more than 100,000 samples) to make the training converge and prevent overfitting. Another disadvantage is that the computations of CNN rely heavily on GPU (Graphics Processing Unit). Conclusively, the SHM literature shows clearly that there are no details for the combination of PZT-EMI based method and CNN when applied to monitor structures, underscoring the novelty of the approach presented here.

Unlike existing studies, the major contribution of this work consists of a novel strategy for damage detection via the combination of the EMI-PZT based technique and the CNN algorithm. The proposed methodology was experimentally tested based on the EMI technique. The validation of the proposed methodology was carried out in an aluminum plate which contains three attached PZT patches. The damage scenarios were simulated by gluing a small metallic nut at three different positions. The results, therefore, showed that it can identify various structural conditions with accuracy, reliability, and efficiency. In summary, the main contributions of this paper are:

- We proposed a novel method suitable for mechanical data analysis. A method which takes advantage of the combination of the EMI-PZT based method along with CNN.
- A way of converting PZT response, based on the EMI technique, to RGB frame constitutes a novel approach;
- Frames were computed through a wide range of frequency instead of choosing only the best range in which the EMI presents higher sensitivity. This issue provides an important advantage because that task is very difficult;
- An unpublished frame dataset encompassing a total of 4 types of structural conditions for each PZT is introduced;
- An enhanced method which requires only a small dataset for training the CNN without using GPU. Furthermore, only three epochs are needed to yield 100% of hit rate.

The remainder of the paper is organized as follows. Firstly, the main theoretical fundamentals are addressed. Secondly, the proposed method, highlighting the combination of the EMI-PZT along and the CNN algorithm, is presented. Next, the results followed by a comparison with other SHM approaches are presented. Finally, the paper concludes by highlighting remarks on the proposed approach.

## 2. Theoretical Fundamentals

### 2.1. Structural health monitoring systems

Structural Health Monitoring (SHM) systems have become a crucial element in maintenance and inspection activities in the industry, with special emphasis on aeronautical engineering, aerospace, civil, maritime and other related fields. Owing to the high level of safety required, the aeronautical industry has demanded high investments in order to guarantee an adequate operating condition in aircrafts. According to [38], SHM systems could significantly reduce maintenance costs, as the damage could be detected in early stage, accounting for 27% of the cost of its life cycle.

In SHM, the damage is characterized by changes in the dynamic response of the structure due to variations in stiffness, mass, energy dissipation, mechanical impedance and/or geometric properties of the structure [39]. Hence, the concentration of various damages in a structure can lead to failures compromising the operation of the entire system. In general, the term “integrity” is the condition of the structure that allows its proper operation with satisfactory performance. In this context, structural integrity is the borderline condition between safety and failure of structural components [40].

SHM systems are characterized by their ability to detect, locate, quantify and estimate the life of the structure according to the occurred damage [41]. However, according to [7] when incorporating smart materials (PZT, magnetostrictive strain, shape memory alloys, etc.) into the detection system, three more levels should be considered: self-diagnosis of structural damage, structural self-repair, and a simultaneous system of control and monitoring. In SHM, NDE (Non-Destructive Evaluation) methods have extensively been proposed in recent years, as these methods allow the application of various types of structures and consequently the identification of various types of damage. NDE methods have been applied based on different techniques such as: acoustic emission, Eddy current, radiography, thermography, shearography, Lamb waves, and electromechanical impedance [40]. Wherein, the Electro-Mechanical Impedance (EMI) technique plays an important role due to this technique makes use of a low-cost Piezoelectric Transducer (PZT) attached to the monitored structure [4]. In this technique, several structural responses are collected to evaluate the structure considering its dynamic condition through a forced excitation via PZT patches. It is remarkable that the same PZT is also used as a sensor to collect structural responses for further processing.

Considering the use of the EMI technique, piezoelectric materials play important roles due to these materials can be used as passive and/or active elements. These materials cover a large range of frequency (from a few Hz up to GHz). Low-frequency applications are covered mainly by the polycrystalline materials (ceramics, polymers or composites). In turn, crystals and thin films are the most used in high-frequency applications [42]. PZT ceramics have the following advantages: good electromechanical coupling, good stability, high stiffness, linear response to low-cost electric field [43]. Among the various types of piezoelectric materials, PZTs have shown very efficiently, being able to convert about 80% of the mechanical energy into electric energy [43].

From a practical point of view of applying the EMI technique in SHM systems, the PZT transducers are glued into the monitored structure by high stiffness adhesive glue based on cyanoacrylate or an epoxy resin. From that, a coupling is established between the structure and the transducer PZT enabling to monitor variations of the mechanical impedance of the structure by measuring the electrical impedance of the PZT [4]. Hence, exciting the PZT using a sinusoidal source  $V_x$  (with amplitude  $V_P$  and angular frequency ( $\omega$ )) will produce a current  $I$  with amplitude  $I_P$  and phase  $\Psi$ . The electrical impedance of the PZT ( $Z_E(\omega)$ ) is given as follows [4]:

$$Z_E(\omega) = \frac{V_x}{I} = \frac{1}{j\omega a} (\bar{\epsilon}_{33}^T - \frac{Z(\omega)}{Z(\omega)+Z_a(\omega)} d_{3x}^2 \hat{Y}_{xx}^E)^{-1}. \quad (1)$$

where  $Z_a(\omega)$  and  $Z(\omega)$  represent the mechanical impedances for the transducer and monitored structure, respectively. In Eq. (1),  $\bar{\epsilon}_{33}^T$ ,  $\hat{Y}_{xx}^E$ ,  $d_{3x}^2$ , and  $j$  represent dielectric constant, Young's modulus, electric field constant, geometric constant and imaginary unit respectively. Note from Eq. (1) that any variation in terms of the structural impedance will cause changes in the electrical impedance of the PZT patch and this, in turn, causes changes in the EMI signatures. Extra details of how PZT impedance is related to the structural condition via the EMI technique can be explored in the following references [4], [7], [44-47].

## 2.2. The Convolutional Neural Network

The Convolutional Neural Network (CNN) is a deep linear network inspired by the functioning of the visual cortex of mammals. Its first version was proposed by [48] and was conceived inspired

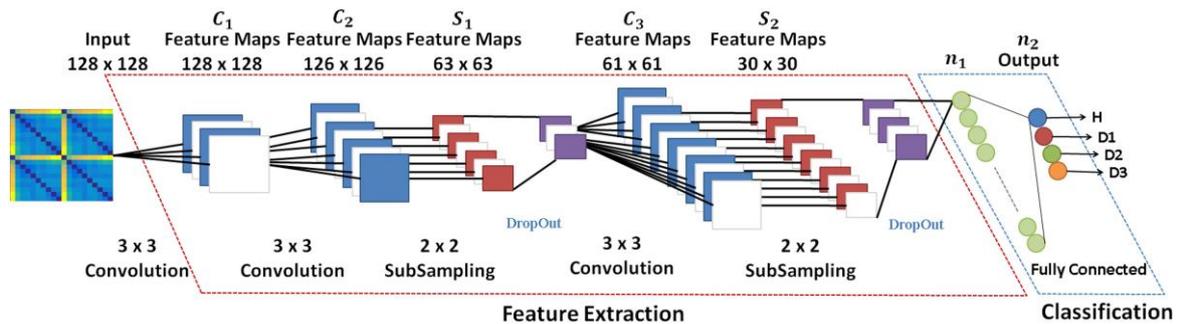
by the work of [49]. Posteriorly, [50] proposed an enhanced CNN architecture by incorporating processes of supervised learning through the backpropagation method. Yet [51] proposed the LeNet network, which can be considered the first architecture to present all features of the current CNN. Following Google's involvement in the competition promoted by ImageNet, the largest database of image classification, CNN has become the state-of-the-art for image classification [52]. This made CNN popularity increase and, consequently, the amount of published work grew up proportionally. The main trend in the modeling of CNN is towards the use of ever deeper networks [52].

The fundamental difference between an "ordinary" neural network and a CNN consists of the fact that CNN uses the convolution operation instead of the multiplication of the array of neurons in at least one of its layers [53]. In the image processing, where the image is a two-dimensional matrix, the convolution operation is very useful for edge detection, image smoothing, attribute extraction, among other applications. As a consequence, the convolution operation reduces the size of the original image due to the difference in the filter size. However, this reduction can be overcome by using the well-known zero padding technique.

There are three important distinctive features on CNN compared to other RNs: shared weights, spatial/temporal subsampling, and local receptor fields [51]. The shared weight enables the network to learn only a smaller set of filters that can be applied to all the regions of the image, instead of learning specific weights for each region of the image, increasing the power of generalization of the network [54]. The subsampling procedure in the CNN is usually conceived in the pooling layer (down-sampling). This concept was first introduced by [50]. For that, in the pooling layer is computed the Maxpooling for an image region followed by creating an array of these maximums. Thus, it eliminates non-maximum values, reducing both the size of the data representation and the computation required for the next layers [53].

The third distinctive feature is the existence of local receptor fields. In the classical Neural Network (NN) each input value of each layer is completely connected to the input values of the previous layer (fully connected). Hence, the NN needs to perform several multiplications to find the connected neuron activation, requiring a great computational power mainly for images that have many connected neurons. On contrary, as in natural images, the adjacent pixels tend to be more strongly correlated than the distant pixels, the CNN is architected for that each filter learns on only one sub-region of the data received from the previous layer [54]. This allows increasingly complex patterns to be modeled from combinations of simple local operations [53]. In addition to these important properties, other computational resources are used to avoid overfitting and training time of CNN. For example, the dropout consists of randomly removing half of the neurons from the hidden layers at each iteration of the training procedure. This technique also gives the network the ability to learn more robust parameters, since a neuron cannot depend on the specific presence of other neurons.

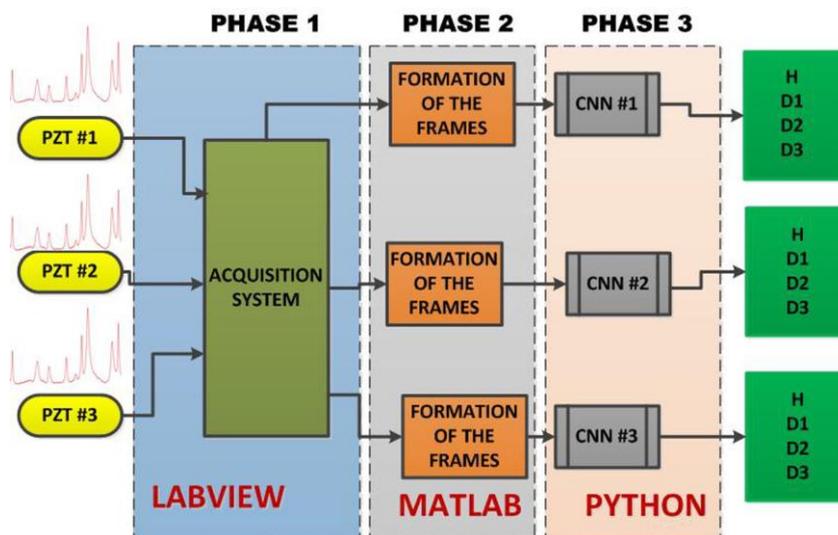
In summary, CNN networks are composed of convolution layers, which involve the convolution process and the pooling process, in addition to using the concept of local receiver fields to optimize the image processing; layer normalization, which involves the dropout process and other processes used to improve network performance; and the fully connected layer responsible for sorting. Figure 1 shows a general architecture for the CNN. The first part of the network consists of the convolution (C1, C2, etc.) and subsampling (S1, S2, etc.) layers. Basically, these layers are responsible for extracting the network features. The second part of the network consists of the normalization and fully connected layers. This block is used as images classifier after the image has passed through the feature extraction block. The data entries of each hidden layer form a set of feature maps obtained by processing the data in the previous layer. The feature maps do not require the preprocessing of the image, which is a process that usually requires higher computational power, playing a fundamental role in the advantage of the use of this type of network in image processing. Extra details about CNN and deep learning are shown in previous studies learning [48], [55-56].



**Figure 1.** A general architecture for the CNN highlighting the layers.

### 3. Proposed Method

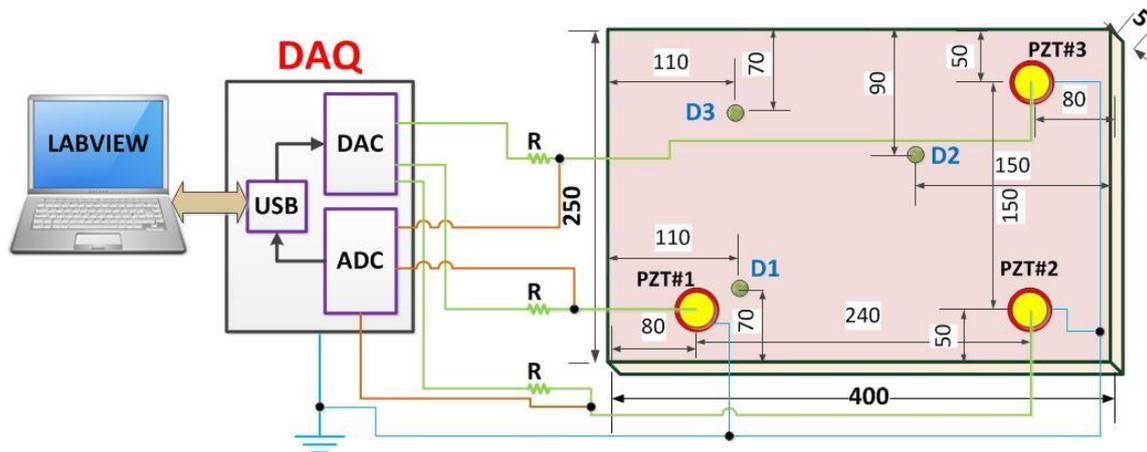
The Figure 2 shows the proposed framework for the methodology based on the EMI-CNN applied to identify structural damage. The methodology consists of three phases as described in the following subsections. In phase 1, impedance signals are obtained based on the EMI principle. For this, three PZTs (called PZT#1, PZT#2 and PZT#3) considering four different structural conditions (Healthy (H), Damage 1 (D1), Damage 2 (D2) and Damage 3 (D3)) were considered. Further details about the experimental set up are presented in the next subsection. In phase 2, Euclidean Distances (ED) were computed from the structural response signals in order to form frames. Those frames were used to form a dataset for both the training and test phases. In phase 3, the dataset was used as inputs for the CNN. Each CNN is responsible for recognizing four different structural conditions: H, D1, D2, and D3.



**Figure 2.** Proposed framework for structural damage detection, based on the CNN algorithm, including all three phases.

#### 3.1. Phase 1: Acquisition of the EMI signals

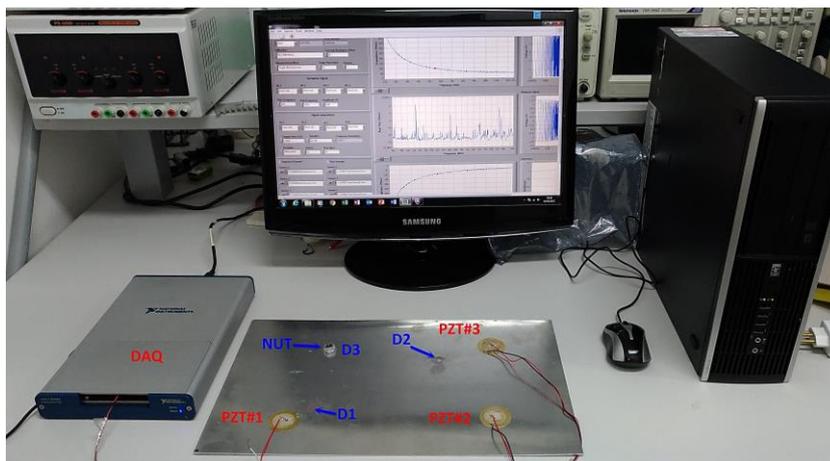
In order to obtain the structural response signals, we developed a method based on the EMI technique. EMI requires that the structure is excited through a PZT at low amplitude considering over a wide frequency range to produce a forced excitation of the structure [4]. Each PZT acts as actuator and sensor at the same time. In our example, an aluminum plate of size 400 mm x 250 mm x 5 mm was suspended in both tips using fishing lines in order to simulate free-free boundary conditions. Three PZT disks (called PZT#1, PZT#2, PZT#3) were bonded onto the structure at three different positions as illustrated in Figure 3.



**Figure 3.** Representation of the general diagram for the acquisition system (dimensions in millimeters) [25].

Subsequently, a chirp signal sweeping from 20 kHz up to 110 kHz with an amplitude of 3V was used to excite the PZT/structure. The acquisition system (DAQ) was developed in LABVIEW software and used here to excite and obtain the structure responses [8]. This system is pictured in Figure 4. The resistor  $R$  was set to  $1\text{ k}\Omega$ , in order to limit the electric current through the PZT patch. Using that system, a set of measurements for the pristine structural condition was determined. These measures were stored to form the baseline set (B). Each PZT response signal was sampled at a rate of 1 MS/s.

Later, a new set of measurements, considering the same structural condition, was carried out to form a new data set for the undamaged structure. Next, three separate damage cases were separately simulated by gluing a metallic nut of about 10g at three different positions in the structure (Figs. 2 and 3). From the structural measurements, three sets of data were obtained for each PZT patch (called D1, D2, and D3). In total there were 720 EMI signatures (60 for each structural condition). The time interval between two consecutive samples was 30s. The environmental temperature of the room was kept constant to  $22^{\circ}\text{C}$  throughout the experiment. The EMI signals were used to form RGB frames.

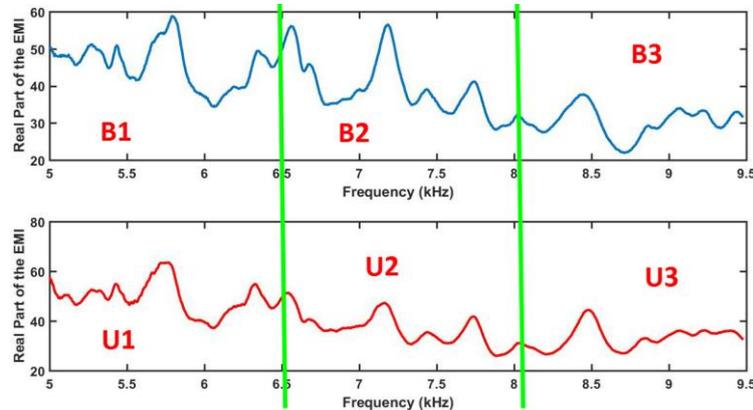


**Figure 4.** Experimental set up including: aluminum plate containing three PZT patches, DAQ and computer running the acquisition software [25].

### 3.2. Phase 2: Formation of the frames

As stated earlier, the SHM literature shows clearly that there is not a combination of PZT based-methods and CNN due to the difficulty in obtaining images/videos from the PZT responses. As a consequence, there is a lack of using CNN along with the EMI technique applied to monitor structures. To overcome that, this paper introduces an innovative way of forming frames from

PZT-EMI signatures as explored in detail next. We first divided the real part of the EMI into several parts as illustrated in Figure 5. For example, Figure 5 shows two EMI signatures for the baseline (top) and unknown (bottom) conditions. Each signal was equally divided in three parts forming six parts in a total. Those parts were named as S1, S2 and S3 for the baseline signature and U1, U2 and U3 for the unknown condition.



**Figure 5.** Division of the EMI signals for the baseline (top) and unknown (bottom) structural conditions before computing ED.

Using MATLAB®, Euclidean Distances (EDs) were computed among all EMI parts using the Minkowski algorithm as follows:

$$Ed(B1, B1) = \sqrt{\sum_{j=1}^n (B1_j - B1_j)^2} . \quad (2)$$

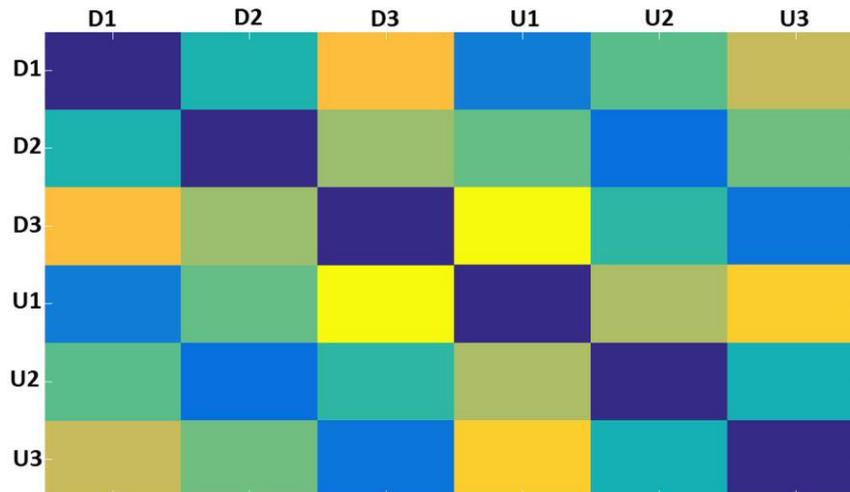
$$Ed(B1, U1) = \sqrt{\sum_{j=1}^n (B1_j - U1_j)^2} . \quad (3)$$

where, B1 and U1 are the baseline and unknown structural conditions, respectively. This procedure was repeated among all parts in order to form an ED-matrix. Considering the example case, Figure 6 sums up all possible combinations of the ED into an ED-matrix.

|    | B1          | B2          | B3          | U1          | U2          | U3          |
|----|-------------|-------------|-------------|-------------|-------------|-------------|
| B1 | Ed (B1, B1) | Ed (B1, B2) | Ed (B1, B3) | Ed (B1, U1) | Ed (B1, U2) | Ed (B1, U3) |
| B2 | Ed (B2, B1) | Ed (B2, B2) | Ed (B2, B3) | Ed (B2, U1) | Ed (B2, U2) | Ed (B2, U3) |
| B3 | Ed (B3, B1) | Ed (B3, B2) | Ed (B3, B3) | Ed (B3, U1) | Ed (B3, U2) | Ed (B3, U3) |
| U1 | Ed (U1, B1) | Ed (U1, B2) | Ed (U1, B3) | Ed (U1, U1) | Ed (U1, U2) | Ed (U1, U3) |
| U2 | Ed (U2, B1) | Ed (U2, B2) | Ed (U2, B3) | Ed (U2, U1) | Ed (U2, U2) | Ed (U2, U3) |
| U3 | Ed (U3, B1) | Ed (U3, B2) | Ed (U3, B3) | Ed (U3, U1) | Ed (U3, U2) | Ed (U3, U3) |

**Figure 6.** ED-matrix formed after computing ED from the EMI signatures.

It is important to highlight that the principal diagonal of the ED-matrix is zero because the method computes EDs for the same part of the signals there. This matrix is formed for each PZT-EMI signature and this will be used to form a frame. In this paper, the baseline signature is always used in the first part of the ED-matrices. Each element of ED-matrix was transformed into a RGB (red, green, and blue) scale in order to form a RGB frame with three dimensions (width, height, and depth). This procedure was easily run in the developed MATLAB software. Figure 7 shows its correspondent RGB frame for the previous example (Figure 7). Each obtained frame has a width, height, and depth of 895, 656 and 3, respectively.



**Figure 7.** Obtained frame from two random PZT-EMI signatures.

As observed in Figure 7, the obtained frame presents regular symmetry over and under the principal diagonal. Once the structural condition varies, the frame colors will turn accordingly. As a consequence, each frame will be subtly different for each structural condition and such differences will be perceived by the CNN algorithm. It is important to mention that we form a corresponding frame for each PZT-EMI signature. Furthermore, the proposed methodology assembles frames through a wide frequency range instead of choosing only the best range in which the EMI technique presents higher sensitivity, as is the case in standard EMI approaches. This is an advantage because it eliminates the difficult task of searching for the most sensitive frequencies [57]. From the assembled frames a frame dataset with 720 frames formed from the EMI-PZT signals, encompassing a total of 4 types of structural conditions for each PZT is formed. Table 1 shows how the dataset is distributed for PZTs #1 and #2. The distribution for PZT#3 is similar. This dataset is used as input to feed the CNN algorithm.

**Table 1.** Distribution of number of frames, formed from the PZT-EMI signals for PZTs #1 and #2, into the dataset.

| <b>Structural Conditions</b> | <b>PZT #1</b>     |                  | <b>PZT #2</b>     |                  |
|------------------------------|-------------------|------------------|-------------------|------------------|
|                              | <b>Training</b>   | <b>Test</b>      | <b>Training</b>   | <b>Test</b>      |
| Healthy (H)                  | 36                | 24               | 36                | 24               |
| Damage 1(D1)                 | 36                | 24               | 36                | 24               |
| Damage 2(D2)                 | 36                | 24               | 36                | 24               |
| Damage 3(D3)                 | 36                | 24               | 36                | 24               |
| <b><i>Total</i></b>          | <b><i>144</i></b> | <b><i>96</i></b> | <b><i>144</i></b> | <b><i>96</i></b> |

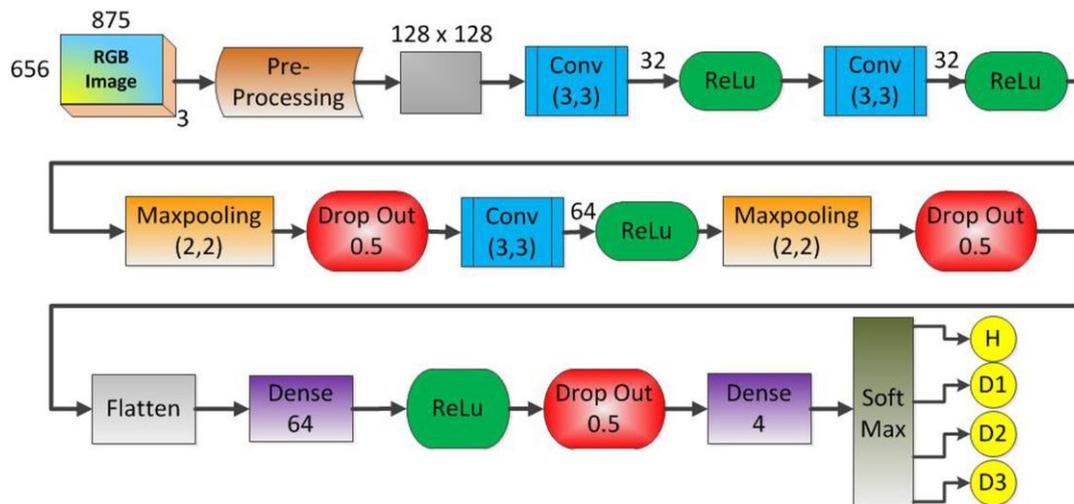
### 3.3. Phase 3: CNN-based Damage Detection Method

As aforementioned, the CNN forms a new class of Neural Networks (NN) which uses the concept of deep learning [48], [55-56]. The CCN takes advantage of the fact that the input consists of images/videos and they constrain the architecture in a more sensible way. Unlike an ordinary NN, the layers of a CNN have neurons arranged in three dimensions: width, height, and depth. According to [55], the CNN architecture was designed to ensure some degree of shift, scale and distortion invariance. Further, each unit in a layer is organized in planes which all units share the same set of weights. The set of outputs of the unit in a given plane is called a feature map. Hence, a full convolutional layer is composed of several feature maps with different weight vectors. As a

consequence, several features can be extracted at each location in the image [55]. A sequential implementation of the feature maps consists in scanning the image with a single unit that has a local receptive field and stores the states of this unit at the corresponding position on the feature map. The kernel (filter) of the convolution process is used to connect weights used by the units into the feature maps [55]. It is fair to say that the recent success of the CNN architecture can be largely attributed to the strong emphasis on modeling multiple levels of abstractions.

In order to evaluate structural conditions, this approach proposes a framework for the CNN as shown in Figure 8. The method uses one CNN architecture like that for each PZT sensor. The CNN is fed with the obtained frames computed from the impedance signatures under various structural conditions (last subsection). The pre-processing block is the first step to be considered. This block consists of three steps of image processing. The first step is to read and convert the RGB image to a grayscale. Hence, the three-dimensional image is converted to a two-dimensional in order to reduce the processing time for the CNN. Additionally, the image is resized from  $875 \times 656 \times 3$  pixels to  $128 \times 128 \times 1$  pixels. The second step, a grayscale image is converted to a feature vector that contains all representatives' characteristics of the given image. This vector can be n-dimensional, however, we choose one-dimension to reduce the processing time. The final step normalizes the dataset, where zero corresponds to the mean value, into the characteristics space and adapts them to the demanded standards for the used processing library.

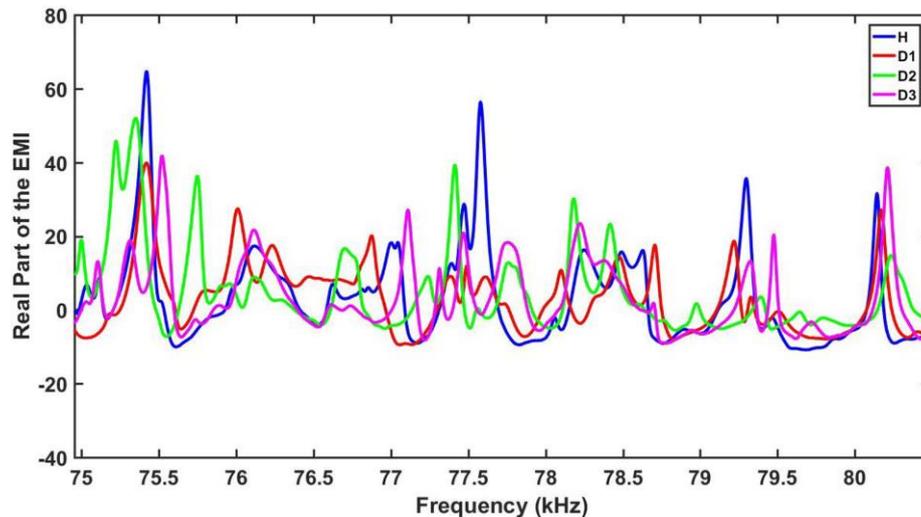
A brief explanation of the most significant characteristics of the architecture shown in Figure 8 CNN is stated next. Firstly, the grayscale image [ $128 \times 128 \times 1$ ] was applied to the first Conv module. This module is composed of 32 filters (kernel size of  $3 \times 3$ ), resulting in a volume such as [ $126 \times 126 \times 1$ ]. It is important to mention that this Conv block applies the zero-padding algorithm aiming to avoid losses. Other Conv modules can be understood similarly except they do not take advantage of the zero-padding algorithm. Next, the Maxpooling size was set to  $2 \times 2$  whilst the Drop Out was set to 0.5 in order to reduce the possibility of over-fitting and improve the generalization of the CNN. In order to optimize the weights of the training algorithm, this approach used the popular RMS (Root Mean Square) backpropagation algorithm wherein the weights were changed according to the gradient descent direction of an error. The Soft Max block outputted four structural conditions: H, D1, D2, and D3.



**Figure 8.** Architecture of the proposed CNN to identify structural damage.

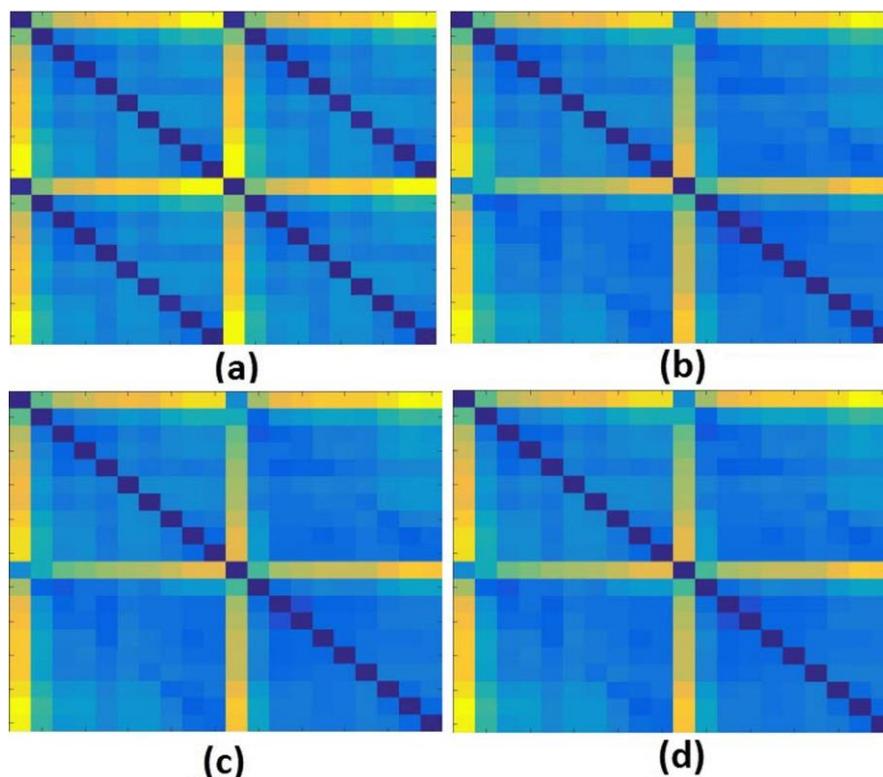
#### 4. Experimental Results

In order to evaluate the proposed methodology, this section presents the results obtained considering the experimental set up described above. First structural response signals were obtained from the various structural conditions and positions of damage on the structure, through PZTs using the above-mentioned acquisition system. Sample signals are presented in Figure 9. For brevity, only the real part of the impedance for PZT#1 is shown.



**Figure 9.** Real part of the EMI, for PZT#2, considering various structural conditions (H, D1, D2 and D3).

Signatures are shown for four different structural conditions: healthy (H), damage 1 (D1), damage 2 (D2) and damage 3 (D3). As observed, the damage insertion will cause changes in the electrical impedance of the PZT and this, in turn, causes changes in the EMI signatures. Those changes happen in both magnitude and frequency. For the majority of the cases, the structural change causes only subtle variations in the EMI signatures needing methods more precise that are able to identify such variations automatically. Secondly, the obtained structural response signals were divided as shown in Figure 5. Next, Euclidean distances (ED) were computed from the response signals, as in Eqs. (2) and (3) and as following those values are put onto the ED-matrix (Figure 6). From the formed ED-matrix, each ED value is transformed to the RGB frame. Figure 10 illustrates a set of frames formed from the EMI signatures for PZT#2.

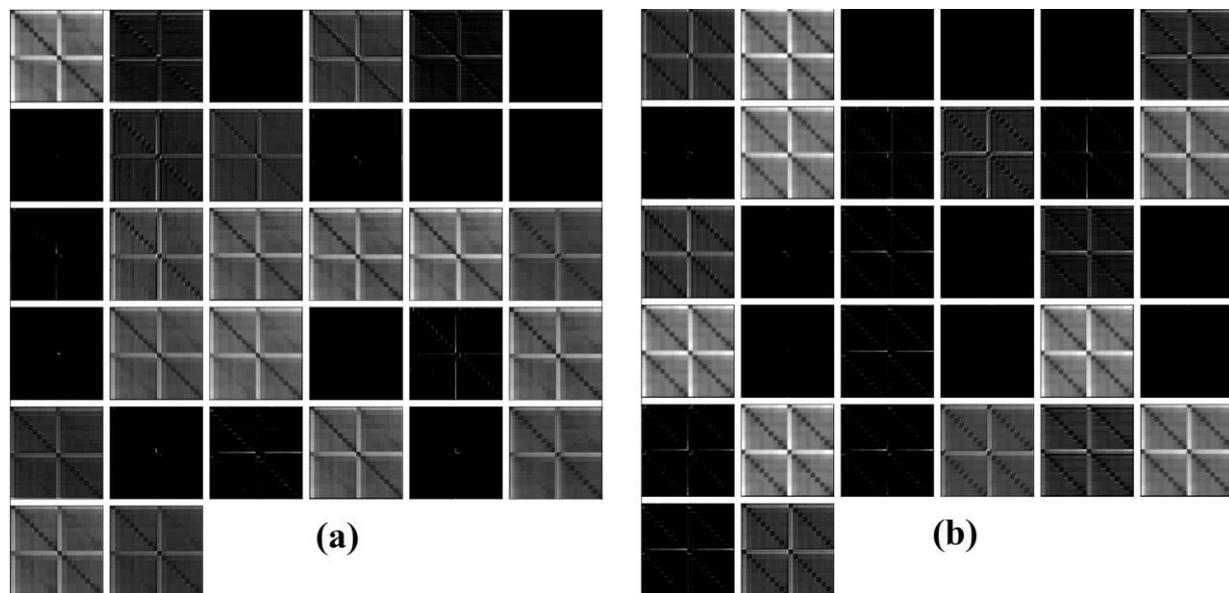


**Figure 10.** Set of frames formed from the EMI signatures for PZT#2: (a) baseline with Healthy (H); (b) baseline with D1; (c) baseline with D2; (d) baseline with D3.

The frame showed in Figure 10(a) is formed by computing EDs for signatures for the baseline with healthy (H) structural conditions. Similarly, Figures 10(b), (c) and (d) present the formed frames considering the baseline with D1, baseline with D2 and baseline with D3, respectively. As observed in Figure 10, a substantial difference among the frames for healthy and damaged conditions is perceptible, mainly from the second diagonal. This diagonal is only presented for the healthy condition. There are also subtle differences, almost imperceptible by human eyes if we analyze the frames brightness. In contrast, taking into account the frames for the damaged structural conditions, such differences are visually misperceived demanding a very precise algorithm to overcome that. In this sense, this approach applies the CNN algorithm to bring up those subtle differences in order to provide precise and reliable damage detection, as shown next. It is important to highlight that the obtained frames are used to form a dataset for training and testing procedures, which are used as input to the CNN algorithm (Table I).

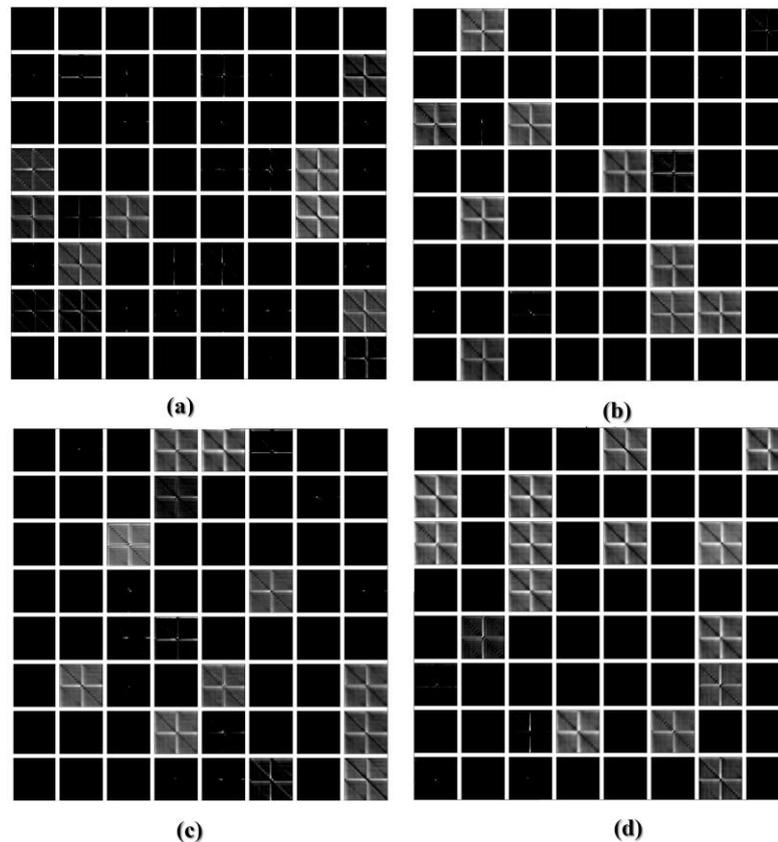
Thirdly, the CNN block is fed to the aforementioned dataset (Table I). Both training and testing phases were carried out on a Laptop running Windows 8. The Laptop has an Intel Core i5-3320M with 8GB of RAM. It is important to point out that this approach did not take advantage of any dedicated GPU. Keras along with Theano backend libraries were used to run the training and test. Those libraries were specially developed in Python for deep learning applications. The batch size was set to 8 and, after running 3 epochs the training procedure successfully converged. Three CNN blocks were designed, corresponding one for each PZT sensor.

Figure 11 shows the feature maps for the 1st CNN layer after applying 32 kernels onto a correspondent frame for PZT#2, considering D1 and H structural conditions. Analyzing Figure 11, we can realize that there are substantial differences among the feature maps for D1 and H1. Such differences are primordial to guarantee the suitability of the proposed methodology. In order to extract the most relevant features of the frame, each frame is passed by several blocks: Conv, Maxpooling, ReLu, Drop Out and so on (Figure 8).



**Figure 11.** Feature maps for the 1st CNN layer after applying 32 kernels into a PZT#2 frames for the structural conditions: (a) D1; (b) H.

Figure 12 depicts the output (feature maps) for the third Conv block (7th CNN layer) in the proposed framework (Figure 8), after applying 64 kernels onto PZT#2 frames considering H, D1, D2 and D3 structural conditions. Investigating the results presented in Figure 12 it is possible to see how PZT#2 perceives each structural condition. Further, it is clear that each frame presents outstanding distinctive features for each structural condition compared with the results presented in Figure 10, therefore, making this methodology very promising in SHM.



**Figure 12.** Feature maps for the 7th CNN layer after applying 64 kernels into PZT#2 frames for the structural conditions: (a) Healthy (H); (b) D1; (c) D2; (d) D3.

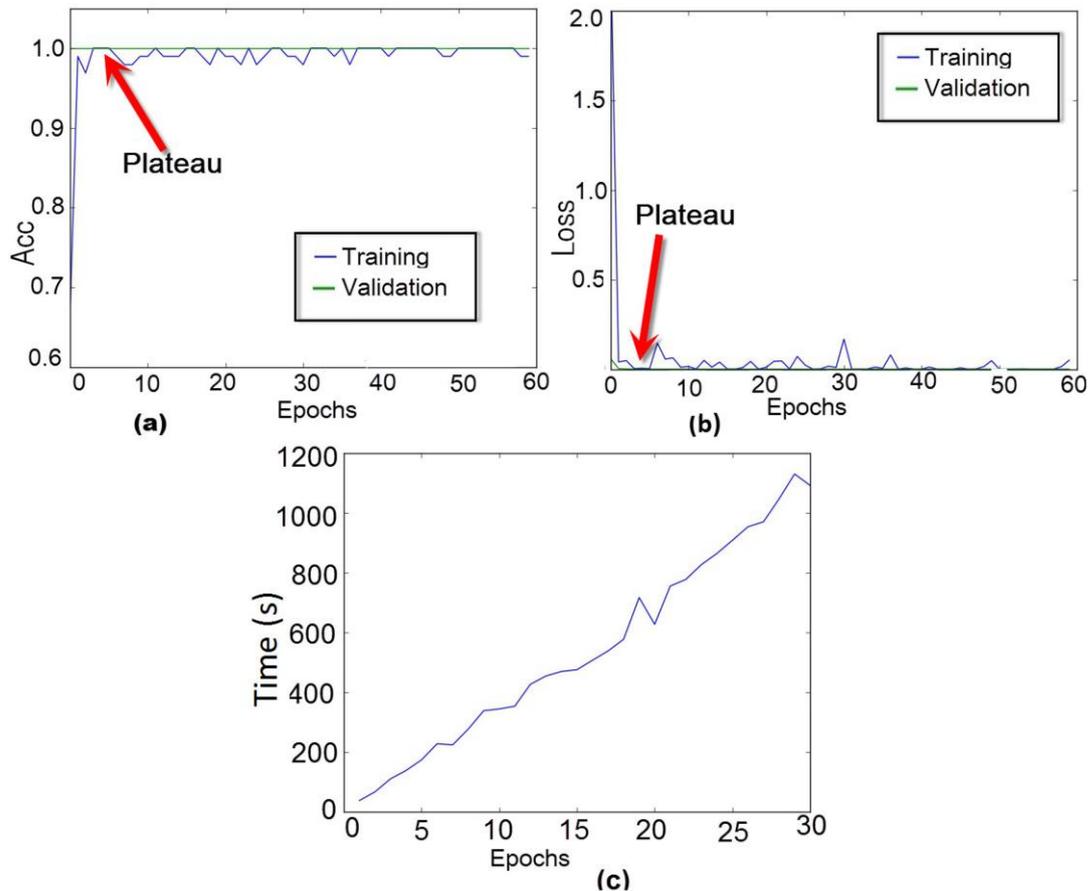
Considering that one CNN is designed for each PZT sensor and the training and testing phases have been carried out, the CNN successfully converged after running three epochs. Table II shows separately the results for each PZT. The results show that the method was effectively able to identify various structural conditions with 100% accuracy. It is important to highlight that this result was obtained using only a small dataset for training the CNN (Table I) without using any type of GPU. This may provide an excellent and reliable solution for industrial applications where the availability of structural response signals to form the training set is generally scarce.

**Table 2.** Results for the CNN method: training and testing phases.

| Sensors | Training Accuracy | Testing Accuracy |
|---------|-------------------|------------------|
| PZT #1  | 98%               | 100%             |
| PZT #2  | 100%              | 100%             |
| PZT #3  | 100%              | 100%             |

As stated earlier, the proposed method successfully converged after running 3 epochs. This issue is further investigated in Figure 13. For that, the method was evaluated varying the number of epochs from 1 to 60 and, the accuracy and loss rates (for PZT#2), for both training and validation phases, were computed and presented in Figures 13(a) and (b), respectively. From the results, we can see that during the validation phase the accuracy rate was always constant and equal to 100%. On the other hand, during the training procedure, this rate shows significant variations. However, there is a small plateau for the third epoch. A similar analysis can be done for the loss rate showed in Figure 13 (b). The method results in a loss rate of zero for three epochs. Based on these results, we henceforth set the number of epochs to three. It is fair to mention that the number of epoch has a

straight relation to the training times as shown in Figure 13 (c). Using three epochs the training time is about 120s.



**Figure 13.** Performance analysis of the CNN for PZT#2: (a) training and validation accuracy curve of the model as a function of epoch; (b) training and validation loss curve of the model as a function of epoch; (c) Consumption time versus number of epoch for the training phase.

## 5. Comparison with other state-of-the-art solutions

In order to evaluate the performance of the proposed method, Table III shows the success rates for testing phase as a comparison of different methods, running in the same conditions. Methods based on Probabilistic Neural Network (PNN) [22], Simplified ARTMAP Fuzzy Network (SFAN) [20], [22], Savitzky-Golay (SG), Savitzky-Golay with First Derivative (SGFD) and Savitzky-Golay with Second Derivative (SGSD) were considered [25]. The SFAN, SG, SGFD and SGSD methods used setup parameters as follows:  $\rho = 0.78$ ,  $\alpha = 0.25$  and  $\beta=1$  [22], [25]. For the PNN, the spread constant ( $\sigma$ ) was set to 0.1 [22], [25]. Analyzing Table III, we can realize that the method enhanced the success rates for all PZTs sensor. For example, PZT#1 yielded an improvement of 17% and 6% compared with the SFAN-SGSD and SFAN-SGFD methods, respectively. Therefore, the enhancement of this approach over existing approaches is undoubted.

**Table 3.** Comparison of the proposed CNN-Based method with other NN approaches: Success rates obtained for the Testing Phase.

| Methods        | PZT#1   | PZT#2   | PZT #3  |
|----------------|---------|---------|---------|
| CNN            | 100.00% | 100.00% | 100.00% |
| SFAN-SGSD [25] | 83.33%  | 100.00% | 98.95%  |

|                   |        |         |        |
|-------------------|--------|---------|--------|
| SFAN-SGFD [25]    | 94.79% | 85.41%  | 88.54% |
| SFAN-SG [25]      | 83.33% | 100.00% | 98.95% |
| SFAN-ED [20],[22] | 61.41% | 98.95%  | 77.08% |
| PNN-SGSD [25]     | 75.00% | 100.00% | 98.95% |
| PNN-SGFD [25]     | 50.00% | 75.00%  | 85.41% |
| PNN-SG [22]       | 75.00% | 100.00% | 98.95% |

Training and testing times are stated next. As aforementioned, the training time is directly related to the number of epochs. Hence, Table IV shows a time consumption comparison for three different methods, considering the results for PZT#1. All methods were run, under the same conditions, on a laptop (stated earlier).

**Table 4.** Comparison among consumption times for: CNN, PNN, and SFAN.

| Methods                | Training Time (s) | Testing Time (s) |
|------------------------|-------------------|------------------|
| CNN                    | 121.10            | 7.9300           |
| SFAN [20] , [22], [25] | 0.1265            | 0.0079           |
| PNN [22]               | 1.6724            | 0.6742           |

As observed in Table IV, the SFAN based method showed the best performance in terms of both training and testing times. PNN method obtained the second place with a subtle difference in relation to SFAN. The CNN based method results in a longer time for both training and test. This is because the processing images consist of a time-consuming task as recurrently shown in the literature. Further analysis about time consumption will be stated in the next subsection.

### 5.1 Advantages and Drawbacks

The feasibility of the proposed approach is validated based on EMI-measurement datasets. The method results in an accuracy rate of 100% for all tested scenarios. Therefore, the main advantages of the proposed method can be summarized into four points.

Firstly, a new way of converting PZT response to RGB frames along with the CNN based method represents a new approach to structural health monitoring. Based on the results, the method has direct implications in terms of diminishing the percentage of false alarms whilst the damage detection is being performed.

Secondly, the major achievement in applying the method is the ability to classify structural damage with higher accuracy compared with the state-of-the-art approaches [20], [22], [25]. This is possible because the CNN applies several banks of filters in order to extract the best features that represent different structural conditions, in each frame. It potentially has direct application in the composite materials industry especially when applied to identify small damage and its progression as discussed in [10].

Thirdly, it is important to quote that this method, proves to be more reliable to detect both internal and non-visual damage compared with a method based on only video/image processing [37]. Furthermore, the method presents another important advantage compared with [37] because it does not require a GPU and can be run in an ordinary laptop, a direct consequence of the small dataset used to train the CNN.

Fourthly, the proposed method forms frames through a wide range of frequency instead of choosing only the best range in which the EMI presents higher sensitivity. This issue comprises an important advantage because that task is very difficult as pointed out in [57].

Despite the advantages, improvements of the proposed method still need to be investigated. The major problem with the current approach is the time consumption issue. As presented in Table IV, the CNN based method used substantially more time compared with the methods addressed in [25]. On the other hand, it is important to mention that if we essentially consider that the majority of the industrial applications run the training phase off-line and, that the demanded test time is 7.93s for processing 96 frames, thus it would take a meaningful time of 83ms for processing one single frame. This time seems to be impeding for real-time applications; however, in practice, the procedure of acquisition of the EMI signatures can be carried out in an even longer time frame (e.g. over minutes). Therefore, the method can be perfectly considered for many SHM applications running on real-time using an ordinary laptop.

It is also important to mention that temperature variation is a classical issue in SHM systems when using PZT sensors, which was negligible in this present analysis. Further details about the temperature influence on the EMI signatures can be further explored in references [47], [59-60]. Also, noise effects on EMI signatures were here negligible. For that, the following references are indicated [34], [61].

To date, we can only guarantee the capabilities of the method for damage detection and size/type estimation in terms of a rather large size as defined here. Smaller damage sizes will form future investigations. However, the simulated damage represents an only negligible increment of structural mass when compared to the total mass of the structure, which is compatible with real damage.

## 6. Conclusion and future work

This paper has introduced an exploration of the suitability of a CNN based method applied to monitor structural damage in aluminum structures. Accordingly, we proposed a method which takes advantage of the combination of the EMI-PZT based method and CNN. This methodology presents a new approach for SHM. Additionally to the fact of that CNN based method was proposed here for the first time, this approach consists of a reliable and innovative way of converting PZT response based on the EMI technique to RGB frame.

Based on the results, the CNN based method shows significant enhancement in terms of the overall success rate whilst the structural damage detection is carried out. As a result, a hit rate of 100% was obtained running only three epochs, which outperforms current approaches. Furthermore, the method runs only a small dataset for training the CNN without using any type of dedicated GPU. To conclude, the method identified the damage scenarios with higher accuracy, therefore, rendering this approach in a promising and useful contribution in the SHM area.

Future work will focus on the evaluation of the sensitivity of the proposed methodology to identify the progression of the structural damage in structures made of composite materials. Those materials present a higher damping coefficient compared with aluminum, demanding a more accurate method such as shown throughout the paper. Future goals, motivated by the outcomes presented in this paper, will focus on features other than the success rate for measuring the classifier efficiency such as the Kappa coefficient. Further research will be undertaken in evaluation the CNN configuration such as: image size, training dataset size, and the number of kernels to optimize the required time consumption.

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