

1 *Review*

## 2 **A closer look into the role of protein tau in the** 3 **identification of promising therapeutic targets for** 4 **Alzheimer's disease**

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14 **Abstract:** One of the most commonly known chronic neurodegenerative disorders is Alzheimer's  
15 disease (AD) that manifests the common type of dementia in 60-80% of AD cases. From a clinical  
16 standpoint, a patent cognitive decline and a severe change in personality, as caused by a loss of  
17 neurons, is usually evident in AD with about 50 million people affected in 2016. The disease  
18 progression in patients is distinguished by a gradual plummet in cognitive functions, eliciting  
19 symptoms like memory loss, and eventually requiring full-time medical care. From a  
20 pathophysiological standpoint, the defining characteristics are intracellular aggregations of hyper-  
21 phosphorylated tau protein, known as neurofibrillary tangles (NFT) and depositions of amyloid  $\beta$ -  
22 peptides ( $A\beta$ ) in the brain. The abnormal phosphorylation of tau protein is attributed to a wide gamut  
23 of neurological disorders known as tauopathies. In addition to the hyperphosphorylated tau lesions,  
24 neuroinflammatory processes could occur in a sustained manner through astro-glial activation,  
25 resulting in the disease progression. Recent findings have suggested a strong interplay between the  
26 mechanism of tau phosphorylation, disruption of microtubules, and synaptic loss and pathology of  
27 AD. The mechanisms underlying these interactions along with their respective consequences in Tau  
28 pathology are still ill-defined. Thus, in this review, (1) we highlight the interplays existing between  
29 Tau pathology and AD and, (2) take a closer look into its role while identifying some promising  
30 therapeutic advances including state of the art imaging techniques.

31 **Keywords:** Protein tau, Alzheimer's disease, Neurodegenerative disease. Synaptic dysfunction,  $A\beta$ -  
32 peptides, tau-imaging

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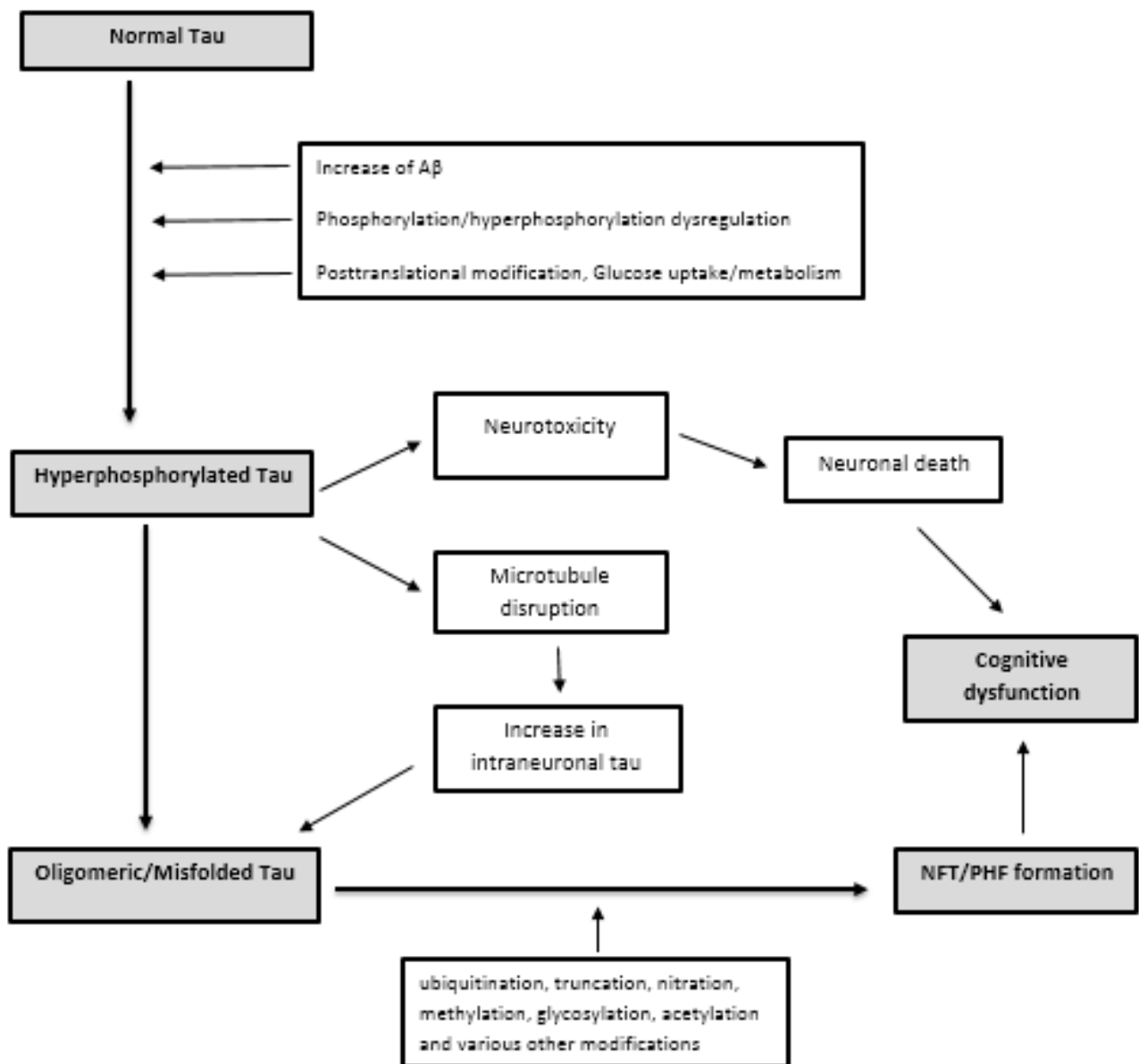
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### 35 **1. Introduction**

36 Originally, tau was recognized as a protein in the cytoplasm and was tagged with the role of  
37 stabilizing microtubules. Tau is coded by the microtubule associated protein tau (MAPT) gene and is  
38 usually abundant in neuronal cells. It has shown six different isoforms in neuronal cells because of  
39 differential splicing and is associated with multi-faceted functions even though some of these  
40 functions are not clearly understood [1,2]. This protein has been shown to play a critical role in  
41 Alzheimer's disease (AD) pathology and could be the future in terms of treating AD and engendering  
42 new therapeutic targets. Several studies have tried and unfortunately failed to successfully target the  
43  $A\beta$ -peptide buildup in the brain. Recent studies indicate that it may as well be the case that the  $A\beta$   
44 pathology becomes significant many years after tau aggregations start to form in an AD patient [3,4].

45 These findings provide impetus for shifting the focus from the A $\beta$  pathology to the role of protein  
46 tau in AD, so that effective strategies for treating AD may be identified [4–6].

47 Primarily, tau facilitates the assembly of microtubules and the regulation of their stability, thereby  
48 eventuating cytoskeleton maintenance, organelle axonal transport and overall neuronal morphology  
49 [7–9]. In addition, tau is pivotal in stabilizing genomes and protecting DNA integrity [10–12]. With  
50 normal human aging, the brain becomes vulnerable to neuronal tauopathies and increased  
51 accumulation of protein tau in glial cells. Primary age related tauopathy (PART) and aging related  
52 tau astrogliopathy (ARTAG) are recently introduced neuropathological entities. The morphological  
53 spectrum of tau immunoreactivity as present in glial cells of the aging human brain is described by  
54 ARTAG, regardless of the existence of any concurrent neurological disorders [13]. Neurofibrillary  
55 Tangles (NFT) are hyperphosphorylated tau protein aggregates most commonly known as a primary  
56 marker of Alzheimer's disease. NFT are abundantly present in neurons of old-aged individuals as  
57 described by PART, with cognitive changes ranging from normal to amnesic [14]. The majority of  
58 Tau proteins are located in the axons while the dendrites consist of a smaller proportion  
59 physiologically distributed in them. Tau has been previously implicated in synaptic plasticity,  
60 although their post synaptic function still remains imprecise [15–19]. In addition to axons and  
61 dendrites, Tau also possesses functional roles in the nucleus where they are involved in the regulation  
62 of transcriptional activity and DNA/RNA maintenance under various physiological conditions  
63 [11,20–22]. Recent study findings signify Tau's role as a signaling molecule in the regulation of the  
64 brain insulin pathway where it is implicated in the inhibition of phosphatase and tensin homolog  
65 (PTEN) [23,24]. Various etiological factors contribute to the abnormal phosphorylation of tau and  
66 subsequent NFT generation and cognitive dysfunction. A schematic of such mechanisms is outlined  
67 in Figure 1.



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69 Figure 1. Proposed mechanism of NFT generation leading to cognitive dysfunction by  
 70 hyperphosphorylated tau

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## 72 2. Tau Hyperphosphorylation in AD

73 The miscellaneous attributes and interactions of Tau with its protein analogues are governed by  
 74 phosphorylation, ubiquitination, truncation, nitration, methylation, glycosylation, acetylation and  
 75 various other post-translational modifications (PTMs) [25,26]. The microtubule binding region and  
 76 proline-rich domain of Tau pertain 85 putative phosphorylation sites [27,28]. These phosphorylation  
 77 sites are identified through the use of mass spectroscopy or phosphor-specific antibodies [29,30].  
 78 Phosphorylation states in tauopathies are governed by a number of serine/threonine/tyrosine kinases  
 79 as well as phosphatases [29]. The intriguing process of tau phosphorylation in AD comprises of tau  
 80 phosphorylation early in the pathogenesis, formation of the epitopes, initiation of structural changes  
 81 that promote the activity of secondary kinases; thus following a hierarchical process. A number of  
 82 studies have demonstrated that the epitopes detected by the antibody AT100 and recognizing paired-

83 helical filaments (PHF) are attributed to the sequential phosphorylation by GSK3 $\beta$  and PKA at Ser214  
84 and Thr212, in addition to Thr 205, Ser202, Ser199 and Thr205 phosphorylation [31,32]. Immune cells  
85 can recognize the epitopes generated by Tau phosphorylation. The activation of Tau is enhanced by  
86 the expression of Tau by microglial cells [28]. However, the detailed processes resulting in Tau  
87 phosphorylation still remain to be explored but accordingly, structural changes promote its  
88 detachment from microtubules thereby, producing soluble free tau in high quantities. This gives rise  
89 to different degrees of neurotoxicity as Tau hyperphosphorylation esteems a gradual self-assembly  
90 of Tau, transforming into oligomeric forms and PHF through the disease progression [29].  
91 The enhancement of tau phosphorylation arises from the activity of a number of tyrosine (Tyr)  
92 kinases and some serine/threonine (Ser/Thr) kinases. The casein kinases, Ser/Thr kinases GSK-3 $\beta$ , and  
93 cyclin-dependent kinase 5 (cdk5) phosphorylate tau in AD and are instrumental in the progression  
94 of the disease. Researchers have also regarded them as efficient therapeutic targets that hold  
95 significant promises against tau-induced toxicity[29,33]. In general, Tau is phosphorylated at a  
96 greater number of sites by proline-directed kinases as compared to phosphorylation by kinases that  
97 are not directed by proline. However, such kinases (e.g. PKA/calcium/calmodulin kinase II)  
98 phosphorylates tau at very few sites but they facilitate the progressive tau phosphorylation by  
99 kinases that are proline-directed, namely GSK-3 $\beta$  and cdk5 [34,35]. Abnormal tau phosphorylation is  
100 a key player in AD progression and pathogenesis. In different brain regions, the phosphorylation  
101 patterns of numerous proteins are altered synergistically; thus transitioning to a symptomatic state  
102 of the disease. A large number of abnormally phosphorylated Tau are crucial in synaptic function  
103 and cytoskeletal maintenance[36]. In addition to phosphorylation of tau at 42 residues, GSK-3 $\beta$   
104 regulates various other cellular processes and is a key player in the pathogenesis of AD[29]. Table 1  
105 highlights some major enzymes that cause tau phosphorylation at various Ser/Thr sites. In various  
106 animal models, GSK-3 $\beta$  has shown to stimulate phosphorylation of tau in neuronal cell cultures,  
107 promote the formation of tangle-like filaments, eventuate tau hyperphosphorylation, resulting in  
108 cognitive decline[37–39]. Presenilin 1 – a  $\gamma$ -secretase complex modulates the regulation of tau  
109 phosphorylation mediated by GSK-3 $\beta$ . Presenilin 1 also depicted enhanced ability to bind and  
110 stimulate tau-directed kinase activity by GSK-3 $\beta$  in AD-related mutations[40]. In diverse  
111 neurodegenerative conditions including AD, GSK-3 $\beta$  facilitates cell apoptosis. This is facilitated by  
112 the proapoptotic stimuli that affect the distribution of GSK-3 $\beta$  within the cells, thereby initiating the  
113 cell death signaling networks. In human neuroblastoma cell line SH-SY5Y, studies have shown that  
114 GSK-3 $\beta$  is localized primarily in the cytosol, however the post-apoptotic intercession facilitates its  
115 aggregation in the nucleus where it interacts with nuclear substrates [41]. NFT-tau pathogenesis in  
116 AD progresses in a spatio-temporal manner[42–45]. This is strikingly different from the process of  
117 the deposition of A $\beta$  plaque where the pattern of localization and quantity is of little significance in  
118 the pathogenesis of AD, thereby corresponding to the gradual cognitive decline [46,47]. The loss of  
119 neurons is more profound as compared to NFT formation in the AD brain[47].

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130 Table 1. Some major enzymes and their sites of Tau phosphorylation

Enzyme	Phosphorylation sites	Reference
PKA	Ser-195, Ser-198, Ser199, Ser-202, Ser-214, Ser-235, Ser-258, Ser-262, Ser-324, Ser-356, Ser-409, Ser-412, Ser-413, Ser422, Ser-435, Thr-205, Thr-212, Thr-217, Thr-231,	[48-53]
PKB/Akt	Ser-214, Thr-212	[54]
PKC	Ser-258, Ser-293, Ser-324, Ser-352	[55]
PKN	Ser-214, Ser-258, Ser-320, Ser-352	[55]
AMPK	Ser-262, Ser-396, Ser-404, Thr-231	[56,57]
CDK5	Ser-199, Ser-202, Ser-214, Ser-235, Ser-396, Ser-404, Thr-181, Thr-205, Thr-212, Thr-217, Thr-231	[58,59]
ERK 1/2	Ser-46, Ser-199, Ser-202, Ser-235, Ser-396, Ser-404, Ser-422, Thr-50, Thr-153, Thr-181, Thr-205, Thr-212, Thr-217	[60]
GSK-3 $\beta$	Ser-46, Ser-184, Ser-199, Ser-202, Ser-214, Thr-50, Thr-181, Thr-205, Thr-212, Thr-217, Thr-231	[59,61-63]

131 **Abbreviations: PKA, Protein kinase A; PKB, Protein kinase B; PKC, Protein kinase C; PKN, Protein**  
 132 **kinase N; AMPK, Adenosine monophosphate-activated kinase; CDK5, Cyclin-dependent**  
 133 **kinase; ERK, Extracellular signal-regulated kinase; GSK-3 $\beta$ , Glycogen synthase kinase-3 $\beta$**

#### 134 2.1. GSK-3 $\beta$ inhibition in tau

135 Tau hyperphosphorylation and NFT formation is a direct outcome of GSK-3 $\beta$  mediated cognitive  
 136 decline. The first sign of the disease is identified by the moderate somatodendritic accumulation of  
 137 nonfibrillar tau that is conformationally altered[64]. Though it is well established that tau's function  
 138 in the stabilization of microtubules is attenuated by its hyperphosphorylation, however its  
 139 constructive part in tau aggregation still remains ill-defined. Studies have previously emphasized  
 140 that hyperphosphorylation has a positive correlation with PHF formation, however recent  
 141 investigations have suggested that just hyperphosphorylation is not sufficient enough for the  
 142 formation of fibrils, although increased phosphorylation promotes oligomer formation[65,66].  
 143 Apolipoprotein E (ApoE) is a class of proteins that are involved in the metabolism of fats in the body  
 144 with attributed importance in AD. In addition to influencing the accumulation and removal of A $\beta$ ,  
 145 isoforms of ApoE can condition tau and microtubule through modulation of signal transduction  
 146 pathways that are responsible for tau kinase activity [67]. In a study conducted by Hoe et al., the  
 147 treatment of primary neurons with three different ApoE isoforms showed decreased aggregation of  
 148 phosphorylated tau, increased levels of unphosphorylated tau, inhibited phosphorylation of GSK-3 $\beta$   
 149 and altered the localization pattern of tau in neuronal cells through extracellular interactions[67].  
 150 ApoE isoforms might also bind tau specifically and thereby inhibit tau phosphorylation. GSK-3  
 151 mediated tau phosphorylation is increased by isoform ApoE4 due to less specific binding of tau[68].  
 152 In addition, truncated forms of ApoE (present in the AD brain) facilitate the generation of inclusions  
 153 that are NFT-like and comprise of high molecular weight phosphorylated neurofilaments and also  
 154 phosphorylated tau[69]. The expression and activity of protein phosphatases 1,2A,2B and 5 (PP1,  
 155 PP2A, PP2B, PP5) are altered in the AD brain[29,70]. Phosphoprotein phosphatases PP1, PP2A, PP2B,  
 156 and PP5 dephosphorylates tau at variegated sites with PP2A being the key player in tau  
 157 dephosphorylation with downregulated activity in the AD brain[70-72]. GSK-3 $\beta$  activation gives rise  
 158 to increased accumulation of the inhibitor-2 of protein phosphatase-2A (I 2<sup>PP2A</sup>) and thereby  
 159 decreases the activity of PP2A. The increase in I 2<sup>PP2A</sup> inhibits PP2A activity and thereby  
 160 hyperphosphorylates tau. Conversely, the downregulation of I 2<sup>PP2A</sup> reinstates the activity of PP2A  
 161 and attenuates the accumulation and phosphorylation of tau, inhibits GSK-3 $\beta$  through the activation

162 of PKA, improves cognitive functions, and dendritic plasticity in studies conducted with human tau  
163 transgenic mice. Thus, with increased phosphorylation, decrease in the phosphatases activities can  
164 potentially induce hyperphosphorylation of tau[71,73,74].

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### 166 2.2. Connection between tau hyperphosphorylation and A $\beta$

167 Tau protein phosphorylation has a strong connection with soluble A $\beta$  and this is well depicted in AD  
168 pathology. A $\beta$  plaques disrupt neuronal excitability and thereby induce axonal bloating and neurite  
169 breakage, thus decreasing spine density[75]. There are accumulating scientific evidences that  
170 implicate the role of soluble A $\beta$  in the induction of phosphorylation of tau protein with GSK-3 $\beta$   
171 identified as an important link between A $\beta$  and tau pathologies[76–81]. As A $\beta$  oligomers accumulate,  
172 they downstream Akt survival signaling pathways through inhibition of the phosphatidylinositol-3-  
173 kinase (PI-3K), likewise to GSK-3 $\beta$  activation and subsequent tau phosphorylation[40,82]. According  
174 to studies conducted in the AD brain by Jin et. al, natural A $\beta$  dimers at sub-nanomolar concentrations  
175 can instigate tau hyperphosphorylation at AD-specific sites. They can also disrupt the organization  
176 of microtubules and invoke neuritic dystrophy[77]. In other studies with soluble A $\beta$  oligomer  
177 treatment, hippocampal rat neurons resulted in incorrect localization of tau in the dendritic spines,  
178 thereby developing synaptic dysfunction[83]. In the somatodendritic compartment, investigation of  
179 localized early changes post AD treatment resulted in missorting of endogenous tau. The regions  
180 prevalent with missorted tau had local elevation of Ca<sup>2+</sup>, loss of microtubules, decreased  
181 mitochondrial density, and increased tau phosphorylation at AD-Tau specific site[84]. Lloret et al.  
182 has showed that A $\beta$  upregulates calcineurin 1 (RCAN1) expression while the enhanced RCAN1 levels  
183 facilitate increased tau phosphorylation through two different mechanisms. Firstly, RCAN1 impedes  
184 the activity of calcineurin, which takes part in tau dephosphorylation, and secondly, RCAN1  
185 upregulates the activity of GSK-3 $\beta$ . Therefore, overexpression of RCAN1 has a strong connection to  
186 AD neuropathology[85–87]. Porta et al. conducted studies in primary neurons that exhibited  
187 significant defiance to cell death under oxidative stress conditions that can be regressed by  
188 overexpression of RCAN1 in knockout mice[88]. A $\beta$ 42 oligomers might induce stress in the  
189 endoplasmic reticulum where the released Ca<sup>2+</sup> activates GSK-3 $\beta$  and subsequently enhances tau  
190 phosphorylation[89]. A $\beta$  species that are neurotoxic may bind to the cysteine-rich domain of the Wnt-  
191 binding site and thus impede the canonical Wnt pathway, thereby further modulating the activity of  
192 GSK-3 $\beta$ [90].

### 193 2.3. A $\beta$ -facilitated increase in tau phosphorylation in animal models

194 At larval stage, injection of A $\beta$ 42 in the hindbrain ventricle of zebrafish embryos produced a decline  
195 in cognitive functions and enhanced GSK-3 $\beta$  site-specific tau phosphorylation. A potent GSK-3 $\beta$   
196 inhibitor – Lithium Chloride was successful in reversing these specific behavioral and molecular  
197 effects[80]. Chabrier et al. has shown that double-transgenic mouse models that express low levels of  
198 arctic mutant A $\beta$  imitates the soluble A $\beta$  levels consequent with early AD. Soluble A $\beta$  promote the  
199 decline of cognitive functions and also influence tau progression significantly[91]. Studies conducted  
200 on triple transgenic (3 $\times$ Tg-AD) mice also reaffirmed that with increased aggregation of A $\beta$  oligomers  
201 and pathological tau forms are exist together[92]. It is understood that in AD, A $\beta$ -induced tau  
202 pathology treatment with  $\gamma$ -secretase modulators also attenuates phosphorylated tau levels in animal  
203 models [92,93]. Specifically, protein kinase Akt phosphorylates GSK-3 $\beta$  at Ser9 and thereby instigates  
204 its inhibition in physiological conditions[38,94]. In the prevention of A $\beta$ -induced long-term  
205 potentiation (LTP) inhibition, both caspase-3 and GSK-3 inhibitors were effective, thus underlying  
206 the potential of targeting GSK-3 in the prevention of cognitive impairment in AD.

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### 210 3. Tau mediated neurotoxicity, secretion and inter-cellular transfer:

#### 211 3.1. Neurotoxicity from tau

212 Characterization of tau species accountable for AD pathogenesis and neurotoxicity is of significant  
213 interest in the field. Post-mortem studies conducted in AD patients have proven a strong correlation  
214 between the density of NFTs and respective cognitive impairments[95,96]. Pontecorvo et al. and Choi  
215 et al. have recently used tau Positron Emission Tomography (PET) tracers to conduct imaging studies  
216 involving selective tau species that mimic tau pathology and the progression of the disease as  
217 described by the Braak stages. Their findings suggested a strong, positive association between the  
218 decline of cognitive functions and tau aggregation, with implied harmful effects of insoluble tau  
219 [97,98]. In human tau transfected HEK293 cell lines, NFT disrupted cell metabolism, like proteasome  
220 activity[99]. PHF-Tau obtained from the brains suffering from AD interacted with the 20S-subunit of  
221 this proteasome, thereby inhibiting the activity[100]. NFT-mediated decrease of the activity of this  
222 proteasome led to an aberrant protein accumulation, thus initiated a network of processes, ultimately  
223 leading to the death of neurons [101]. As observed in AD, the post-synaptic localization of pathologic  
224 Tau may be attributed to neurotoxicity as well. Dendritic tau was seen to communicate with proto-  
225 oncogene tyrosine-protein kinase Fyn *in vivo*, thereby facilitating A $\beta$  toxicity through Fyn/NMDA  
226 receptors (NR)/PSD95 coupling that are known for promoting excitotoxicity[16]. The level of native  
227 soluble tau and its physiological functions are attenuated by the pathological aggregation of Tau,  
228 thereby inducing resultant inimical effects. Therefore, loss of function of Tau results in the disruption  
229 of the network of microtubules, RNA/DNA integrity, axonal transport, cell signaling and impaired  
230 signaling of insulin the AD brain [23].

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#### 232 3.2. Tau secretion

233 For a long time, it was accepted that irrespective of the neurotoxicity caused by tau, the marked  
234 increase in the levels of extracellular cerebrospinal fluid (CSF)-tau was the consequence of a passive  
235 release of pathologic Tau from dead neurons in AD patients. In healthy individuals, this passive  
236 secretion of pathologic Tau generated ghost tangles, even at low levels in CSF[102]. In recent times,  
237 more captivating observations have identified Tau secretion as more of an active process[103,104].  
238 Accordingly, in the late stages of AD, an end long decline in the levels of CSF-tau that were  
239 phosphorylated at the Thr181 site were seen to give rise to neuronal death[105]. Studies conducted  
240 in WT mice without any pre-existing neurodegeneration depicted physiological Tau secretion upon  
241 neuronal activity after the stimulation of  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid  
242 (AMPA) receptors[106,107]. *In vitro*, it was observed that the shortening at the Asp421 site and  
243 subsequent hyperphosphorylation of tau favors its secretion[108]. Exosome-associated tau had been  
244 identified in the CSF of AD patients [109,110]. The immune system can detect extracellular Tau and  
245 subsequently initiate an antigen-driven immune response. In a study conducted in the mouse model  
246 of Tauopathy rTg4510 with mutated P301L or WT, tau prompts strong humoral immune responses  
247 followed by anti-tau antibodies[111]. In healthy individuals that are prone to recognizing  
248 pathological tau, circulating tau-specific antibodies were detected that can block *in vitro* tau  
249 aggregation through the cytosolic Fc receptor TRIM21[112,113]. Thus, it is understood that in order  
250 to obtain successful tau-immunotherapy and attenuated AD progression, identification of the most  
251 immunogenic epitopes of tau and their respective interplay with the immune system remain  
252 imperative[114].

### 253 3.3. *Tau inter-cellular transfer*

254 A characteristic arrangement pattern of NFT lesions in AD progression is observed during the post-  
255 mortem of AD brains where lesions begin in the transentorhinal cortex, then subsequently  
256 progressing to the hippocampus and thus affecting the temporal cortex [42,97,98,115]. This distinct  
257 progression sequence suggested a strong link between the observed clinical symptoms and relevant  
258 affected areas, thereby underlining its pivotal role in synaptic dysfunction[96,116,117]. Experimental  
259 investigation of the propagation of Tau pathology was done in transgenic P301S mice where the  
260 findings suggested the enhanced NFT accumulation of NFT in wild-type (WT) mice occurred in a  
261 time- dependent manner. In the P301S mice model of tauopathy, trans-cellular generation of tau in a  
262 prion-like state was observed [118,119]. Tau seeding was observed as an early demonstration that are  
263 present in multiple regions of the brain regions and are linked to cognitive decline and subsequent  
264 disease progression[118]. Furthermore, insoluble Tau propagated more efficiently, showing no  
265 visible signs of neurodegeneration, thus advocating that the different molecular forms of tau exist for  
266 neurotoxicity and progression [120,121]. Trans-synaptic shift of wild type dephosphorylated tau can  
267 also be depicted using a lentiviral approach[122]. Finally, another study revealed the crucial role of  
268 microglial cells in the propagation of Tau through two models of tauopathy: (1) adeno- associated  
269 virus (AAV) expressing mutated P301L tau and (2) P301S mice [123]. The findings suggested that  
270 microglial cells successfully phagocytose the aggregated tau proteins and their resulting exosomal  
271 secretion is communicable to neurons. Thus, tau propagation is inhibited by the pharmacological  
272 exhaustion of microglial cells and exosomes; underlying the instrumental functions of microglia in  
273 tau propagation and postulate it as an effective target in attenuating AD progression.

### 274 4. **Role of glial cells in AD pathology**

275 In addition to tau and A $\beta$  pathologies, neuroinflammatory responses involving the accumulation of  
276 reactive astrocytes and microglia very close to the amyloid deposits is another histological feature of  
277 AD. Astrocytes supply neuronal energy in the healthy brain, participate in synaptic function,  
278 instigate synaptic pruning, and modulates neurotrophic factor release [124,125]. Throughout  
279 neuroinflammatory instances, however, Tumor Necrosis Factor (TNF $\alpha$ ), activated microglia-driven  
280 IL-1a, and C1q release favored the formation of reactive astrocytes known as A1. The ability to  
281 facilitate the formation of synapses and other normal functions are absent in A1 astrocytes, however,  
282 by secreting harmful factors, they induce neuronal death in the CNS [126,127]. In the AD brain, a  
283 greater proportion of A1 astrocytes were observed to produce complement protein C3, thereby  
284 asserting that this gain of toxic functions attributed to the harmful effects as there was a gradual loss  
285 of physiological properties as well [128]. In AD, morphological changes in astrocytes are instigated  
286 by neuronal tau misfolding, thereby cementing their inflammatory role through Glial fibrillary acidic  
287 protein (GFAP) regulation and subsequent secretion of pro-inflammatory factors[129,130]. In order  
288 to recreate the pathological features of astrocytic tau, transgenic mice were created that  
289 overexpressed the human tau gene [131]. Studies demonstrated that these mice develop Tau  
290 pathology in an age-dependent manner in astrocytes, and are implicated in focal neuron loss and also  
291 the disruption of the blood-brain-barrier. These phenomena further bolster the importance of reactive  
292 astrocytes in the variegated processes of different tauopathies.

293



294 Microglia has an instrumental role in AD pathology and various other tauopathies. *In-vivo* two  
295 photon imaging of the microglial cells unveil very motile and diverged procedures, thus enabling a  
296 enterprising and recurrent investigation of the healthy brain [132]. Microglia is also implicated in  
297 variegated processes including synaptic plasticity, synapse elimination or neurogenesis[133,134]. In  
298 lights of A $\beta$ , the role of microglia on AD pathogenesis and progression was studied and reviewed  
299 extensively[135–137]. A complex, time-dependent effect on A $\beta$  pathology is observed in microglial  
300 cells where they release pro-inflammatory cytokines to facilitate the removal of A $\beta$  deposits  
301 implicated in the disease progression and overall neurotoxicity. During the disease course,  
302 longitudinal changes in the activation of microglia are measured using positron emission  
303 tomography (PET) scans. Among the patients that exhibited mild-cognitive impairment (MCI), in  
304 early stages an initial peak and another peak at a later stage of the disease were observed [138,139].  
305 The two peaks of activation observed using PET scans might suggest a more biphasic role for  
306 microglia, however a larger cohort of patients would be required to validate this model.  
307 Thus, the therapeutic avenues that target microglia require a solid, thorough comprehension along  
308 with better identification and classification of the disease in individual patients. The progression of  
309 AD might be influenced by locus coeruleus (LC), which is a brain structure that generates the anti-  
310 inflammatory neurotransmitter - norepinephrine (NE)[4,140]. Its degeneration promotes a dis-  
311 inhibiting effect favoring microglial activation and facilitates the inflammatory responses[141,142].  
312 Moreover, AD pathogenesis is further promoted by the infiltration of the brain by peripheral innate  
313 immune subsets. In AD patients with cerebral parenchyma, neutrophil infiltration was attributed to  
314 the resulting damage in cognition and amplified Tau/amyloid pathology as observed in 3xTg-AD  
315 mice[143,144]. In addition, the phenotype of APP models could potentially be influenced by the  
316 incorporation of circulating monocytes by the chemoattractant protein CCL2 along with its respective  
317 cognate receptor, CCR2. In Tg2576 APP mice, the exclusion of CCR2 increased the microglial  
318 accumulation around the blood vessels through the incorporation of mononuclear phagocytes from  
319 the bone marrow and blood, thereby promoting perivascular deposits of A $\beta$  [145]. Studies conducted  
320 in CCR2 deficient APP/PS1 demonstrated detrimental effects on cognitive function[145,146].  
321 Interestingly, the role of circulation monocytes in AD remains a highly debated issue since most the  
322 investigative experimental models included irradiation that compromised the blood-brain  
323 barrier[147]. Furthermore, in tau pathology, the innate immune system plays a pivotal role in the  
324 progression of the disease progression.

## 325 5. Diagnostic approaches for AD using Tau-imaging

326 Tau has been widely known as a biomarker of various neurodegenerative diseases, including  
327 AD[148,149]. Becket et al. suggested that measurable change in tau – which is also a cerebrospinal  
328 fluid (CSF) biomarker, occurs long before the clinical symptoms of AD commences[150]. Other  
329 studies attempted CSF testing of phosphorylated-tau/A $\beta$  ratio for the diagnosis of Alzheimer's  
330 disease in current clinical practice but very limited clinical uncertainties were addressed[151].  
331 Therefore, in AD, distinctive diagnosis continues to remain as an obstacle since the features are very  
332 similar to other types of dementia. Studies conducted by Inekci et al. found serum fragments of tau  
333 having an effective role in the differential diagnosis of AD[152]. However the range of accuracy for  
334 such diagnosis is limited and has a high propensity of change with different patients. Thus, there is  
335 a strong need for a better diagnostic tool in order to identify AD in early stages. *In vivo*, selective tau  
336 imaging can potentially facilitate an improved comprehension of the aggregation of tau in the AD  
337 brain, and aid in the diagnosis and treatment. Neuropathological studies have long demonstrated a  
338 strong correlation between changes in neurodegeneration, decline in cognitive function and the

339 deposition of tau in patients with AD. Therefore, selective tau imaging would eventuate the *in-vivo*  
340 investigation of said communication through the measurements of changes in tau deposit levels over  
341 the course of time. Positron emission tomography (PET) is a very useful imaging technique in current  
342 clinical practices to measure CSF A $\beta$ 42 in the brain[153,154]. The recently developed PET tracers,  
343 including [18F]-AV-1451 (also known as T807), are able to successfully bind to the aggregated tau in  
344 neurofibrillary tangles[155–161], and can noninvasively measure the degree and extent of tau  
345 pathology in the brain. [18F]-AV-1451 is one of several new diagnostic PET tracers, which is an F-18-  
346 labeled small molecule demonstrating high selective binding and affinity to tau protein aggregates  
347 [[162]. A recent preclinical study investigating 18F-AV-1451 reported that compared to healthy  
348 controls, patients with greater probability of AD exhibited regional distinct areas of uptake in the  
349 gray matter [[163]. Further, other preliminary analyses have demonstrated that [18F]-AV-1451  
350 binding is amplified in neocortical areas of AD patients when compared with patients with normal  
351 cognitive function [164–166]. Moreover, [18F]-AV-1451 binding at the cortical regions in AD could  
352 provide efficient diagnosis for staging of AD[167–170]. These studies suggest that understanding the  
353 underlying mechanisms of tau dysregulation and incorporating them as disease-specific markers  
354 could facilitate the diagnosis of preclinical AD, and might potentially lead to therapeutic treatments.  
355 Thus, for monitoring the efficacy of anti-tau therapy in AD, selective tau imaging might be the key  
356 player with instrumental roles in diagnostic, prognostic, and progression biomarker upon clinical  
357 validation.  
358

## 359 6. Immune responses and neuroprotection in Tau pathology

### 360 6.1. Immune responses and neuroinflammation

361 Recent studies have unveiled that the incidence of Tau pathology is attributed to instigate the  
362 activation of microglia and astrocytes. Patients suffering from frontotemporal dementia (FTD) who  
363 have P301S mutation depict CD68 positive microglial cells that are activated around neurons that  
364 pertain hyperphosphorylated Tau[171]. During the regulation of cyclooxygenase-2(Cox2) and  
365 Interleukin-1 $\beta$  (IL1 $\beta$ ), incidence of a strong, neuroinflammatory response was observed. During  
366 microglial activation, GFAP astrocytes that were reactive are also observed in Pick's  
367 disease[172]. Thus, tau pathology facilitates the development of neuroinflammation. In various  
368 transgenic tauopathy models, neuroinflammatory changes in pathology and age-dependent  
369 microglial activation was seen in relevant CNS structures[129,173–175]. Activation of the innate  
370 immune response prior to the formation of hippocampal NFT implicates the involvement of soluble  
371 Tau species[175]. Recent study findings have emphasized that through the activation of  
372 inflammasome, pathological Tau could enhance the secretion of IL-1 $\beta$  [176]. Strategies that modulate  
373 Tau pathology impact immune response while the neuroinflammatory responses have been observed  
374 to impact Tau pathogenesis. Both Tau misfolding and neuroinflammatory response influences the  
375 impairment of behavior through loss of synaptic and neuronal integrity, henceforth facilitating the  
376 progression of pathological changes[177,178]. Microglia is involved in all the different steps occurring  
377 in the aggregation of tau, its propagation and subsequent alternation of synapse, and  
378 phosphorylation, rendering itself as an important therapeutic target in modulating AD pathogenesis  
379 and other related tauopathies. Adult neurogenesis can be induced by glial cells through the  
380 production of a potent inflammatory reaction that attenuates neuronal differentiation or progenitor  
381 proliferation [179]. Thus, existence of a hazardous loop between tau pathology, inflammation, and  
382 neurogenesis is evident and therefore, neuroprotective/therapeutic endeavors need to be carefully  
383 guided for AD attenuation.

## 384 6.2. Neuroprotection against AD-Tau

385 Whether the hyperphosphorylation of tau results in a toxic gain or systemic loss of function is of  
386 much debate in the field for a long time. There is substantial evidence suggesting that the  
387 accumulation of A $\beta$  is the primary causative process in AD[180]. The reticence of A $\beta$  production,  
388 attenuation of soluble A $\beta$ , and amplification of A $\beta$  removal posit promising approaches for  
389 decreasing A $\beta$  levels[181,182]. Ittner et al. has previously demonstrated a unique mechanism through  
390 which the phosphorylation of Threonine 205, a specific residue of tau that is of significance for  
391 protection against A $\beta$  induced excitotoxicity[183]. The conclusions from this study suggested that  
392 phosphorylation of tau has neuroprotective functions in certain cases. The role of tau  
393 hyperphosphorylation has been extensively discussed earlier and it is imperative that the inhibition  
394 of tau hyperphosphorylation would elucidate neuroprotective effects against AD. GSK-3 $\beta$   
395 hyphosphorylates tau and thus, there is a growing interest in employing GSK-3 $\beta$  inhibitors as  
396 neuroprotective agents[184]. Agents like valproate, neuroglobin and lithium have established  
397 efficient GSK-3 $\beta$  inhibition and thus showed promise for reducing AD progression[185–187]. A large  
398 number of kinases (e.g. Cdk5, ERK) phosphorylates tau and thus posits as potential small molecule  
399 targets in AD pathology[188]. Neuroprotective approaches resulting from studies conducted with  
400 microtubules instill the role of small molecules, which can potentially aid in the stabilization of  
401 microtubules as well as in the prevention of cytoskeletal disruption and A $\beta$ -induced toxicity[189].  
402 Histone deacetylase protein Sirtuin 6 (SIRT6) has been implicated in DNA repair and  
403 neurodegeneration where the lack of SIRT6 correlates with increased phosphorylation of  
404 tau[190,191]. Furthermore, SIRT6 depletion in the AD brain results in increased GSK-3 $\beta$  activity, tau  
405 hyperphosphorylation, and subsequent neurodegeneration[192]. Thus, therapies targeting the  
406 increased expression of SIRT6 could present an effective solution towards attenuating AD  
407 pathogenesis.

## 408 7. Conclusions

409 As AD research progresses, it is becoming evidently clear to many scientists that the role of tau in  
410 neurodegeneration is of utmost importance as we continue to solve the problems of science. The role  
411 of tau hyperphosphorylation in AD and its subsequent detrimental effects on the cognitive function  
412 and aging-related processes posit a great challenge towards the field of neuroscience. Several  
413 strategies have been implemented to combat this issue including small molecule GSK-3 $\beta$  inhibitors,  
414 phosphoprotein phosphatases, and tau immunotherapy. However, the efficacy of these methods yet  
415 remains to be validated in greater AD population. Tau therapies involving the immune system have  
416 also been proposed as a promising avenue against cognitive decline. Accurate diagnosis of AD still  
417 remains a long-standing problem, although recent advances in tau-imaging seek to provide a  
418 potential solution. Much success has been achieved in recent times with in vivo PET imaging of tau  
419 and its implication in the diagnosis of early-stage AD. The polychromatic roles of tau have the  
420 propensity to amplify further beyond the current knowledge in the field as time progresses. Better  
421 diagnosis would eventually lead towards the development of efficient therapeutic targets in AD  
422 pathology. However, more time and resources are required to further understand the processes  
423 involved in the disease progression. Future studies may possibly transpire strong therapeutic targets  
424 and thereby design effective drugs to attenuate, alleviate or possibly even cure AD.

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