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2 **Adaptive Multi-Scale Entropy Fusion De-hazing 3 based on Fractional Order**

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9 **Abstract:** This paper describes a proposed fractional filter-based multi-scale underwater and hazy
10 image enhancement algorithm. The proposed system combines a modified global contrast operator
11 with fractional order-based multi-scale filters used to generate several images, which are fused
12 based on entropy and standard deviation. The multi-scale-global enhancement technique enables
13 fully adaptive and controlled colour correction and contrast enhancement without over exposure of
14 highlights when processing hazy and underwater images. This in addition to
15 illumination/reflectance estimation coupled with global and local contrast enhancement. The
16 proposed algorithm is also compared with the most recent available state-of-the-art multi-scale
17 fusion de-hazing algorithm. Experimental comparisons indicate that the proposed approach yields
18 better edge and contrast enhancement results without halo effect, colour degradation and is faster
19 and more adaptive than all other algorithms from the literature.

20 **Keywords:** Fractional order calculus-based multi-scale contrast operator; hybrid local-global
21 contrast enhancement; underwater image enhancement processing; hazy image contrast
22 enhancement; entropy guided fusion

23

24 **1. Introduction**

25 Hazy and underwater images share similar characteristics in terms of reduced visibility and low
26 contrast due to the nature of image formation [1] [2]. Several single image-based enhancement and
27 restoration models and algorithms have been proposed to solve this problem [1] [2]. However, they
28 work with varying degrees of success at the cost of increased structural and computational
29 complexity. Consequently, there are relatively few digital hardware realizations and reduced real-
30 time prospects for such schemes due to high computational cost.

31 In this work we propose a fractional order-based algorithm for enhancement of hazy and
32 underwater images. The algorithm utilizes an improved global contrast operator, which performs
33 colour correction while a fractional order, multiscale spatial filter-based scheme performs localized
34 enhancement. In the filter kernel is implemented using fractional calculus and combined with global
35 contrast operators for further enhancement. Furthermore, the scheme is incorporated into a partial
36 differential equation-based flow to further improve results and control over the enhancement
37 processes. We then compare results with other algorithms from the literature and show that the
38 proposed system is effective with the fastest execution time.

39 The paper is outlined as follows; the second section provides the background, motivation and
40 key contributions of the proposed system. Section three presents the proposed algorithms for both
41 underwater and hazy image enhancement in addition to solutions to problems and modifications.
42 Section four presents and compares the results (obtained using the proposed system) to other
43 algorithms from the literature. The fifth section explicitly compares the proposed approach against a
44 recent algorithm from the literature, further strengthening the justification of the proposed scheme.
45 The final section presents the conclusion.

46

47 **2. Materials and Methods**48 *2.1 Underwater image processing algorithms*

49 Underwater image processing algorithms can be classified as either restoration, enhancement or
50 colour correction- and illumination normalization-based approaches [2] and range from medium to
51 high computational and structural complexity. The restoration-based algorithms incorporate de-
52 blurring and de-hazing processes using either Weiner [3] deconvolution or dark channel prior (DCP)-
53 based techniques respectively [2]. Examples include algorithms by Galdran et al [4], Li et al [5], Li
54 and Guo [6], Zhao et al [7], Chiang and Chen [8], Wen et al [9], Serikawa and Lu [10], Carlevaris-
55 Bianco et al [11], Chiang et al [12], etc. Conversely, the enhancement-based algorithms do not employ
56 any models derived from physical phenomena or prior image information [2]. They utilize
57 statistical/histogram-based or logarithmic contrast enhancement/stretching and colour correction
58 techniques in their formulation. Examples include works by Iqbal et al [13], Ghani and Isa [14], Fu et
59 al [15], Gouinaud et al [16], Bazeille et al [17], Chambah et al [18], Torres-Mendez and Dudek [19],
60 Ahlen et al [20] [21], Petit et al [22], Bianco et al [23], Prabhakar et al [24], Lu et al [25] and Li et al [5].
61 Recently, entropy and gradient optimized underwater image processing algorithms based on partial
62 differential equations were developed [26] [27] and yielded effective and automated enhancement
63 surpassing results from previous algorithms.

64 The illumination normalization-based algorithms attempt to resolve uneven lighting issues in
65 the acquired underwater images scenes. The algorithms in this class include works by Prabhakar et
66 al [24], Garcia et al [28], Rzhanov et al [29], Singh et al [30] and Fu et al [15].

67 *2.2 Hazy image processing algorithms*

68 Hazy image processing also deals with visibility restoration of image scenes degraded by
69 weather conditions and can be multi- or single-image based solutions [31]. Furthermore, hazy image
70 processing algorithms can also be classified as either restoration or enhancement-based schemes. In
71 the restoration-based hazy image processing, the de-hazing process is based on the hazy image
72 formation model [31]. The objective is therefore to obtain the de-hazed image from the input hazy
73 image. The algorithms in this class include the popular DCP method by He et al [32], which has been
74 adopted and modified in various forms and a review of several DCP-based methods can be found in
75 [1].

76 Other schemes include works based on segmentation [33] [34] [35], fusion [36] [37], geometry
77 [38], Weighted Least Squares [39], variational [40] [41] [37] [42] and regularization approaches [34]
78 using sparse priors [43] and other boundary constraints [44], biological retina-based model [45] and
79 multi-scale convolutional neural networks [46]. The enhancement-based hazy image processing
80 method is based on directly obtaining the by-product of radiance scene recovery through visibility
81 restoration by contrast enhancement/maximization. The algorithms in this category utilize contrast
82 limited adaptive histogram equalization (CLAHE), histogram specification (HS) [47] and Retinex [48]
83 [49] [50]. Additionally, some of these algorithms combine dark channel priors and transmission map
84 extraction with contrast enhancement for refinement. However, consistently good results are not
85 guaranteed as some images will depict colour fading/distortion and darkening of regions in addition
86 to over-enhancement of sky/homogeneous regions. Thus some threshold and segmentation-based
87 algorithms [33] [34] [35] [51] have been developed to solve the peculiar problems of these algorithms.
88 Furthermore, recently developed algorithms using partial differential equations (PDEs) and gradient
89 metric-based optimization were developed [52] [53] to avoid the usage of DCP-based stages and
90 multiple (and manual adjustment of) parameters. Recently, an Artificial Multiple-Exposure Image
91 Fusion (AMEF) de-hazing algorithm was proposed by Galdran [54], which represents the current
92 state-of-the-art.

93 Physical methods depend on prior image information obtained by capturing the image scenes
94 at different times under varying conditions using physical hardware/optical equipment such as
95 cameras and lighting rigs [2]. They may also incorporate multi-image processing schemes for either
96 hazy or underwater images. However, consistently good results are not assured due to the

97 unpredictable nature of weather and aquatic medium conditions. Also the cost of such hardware
98 imaging systems is prohibitive and are usually not universally applicable. Such schemes are fully
99 listed and described in work by Li et al [5]. Single-image-based software implementations offer the
100 best outcome when factors such as cost, time, replicability and convenience are considered since they
101 do not necessarily require prior knowledge of the environment or image acquisition process for
102 operation [2] [5]. Thus, the scope of this work is limited to single-image-based enhancement of both
103 hazy and underwater images.

104 The primary motivation for this work is to develop fast, practical and effective algorithms for
105 underwater and hazy image enhancement that are amenable to hardware implementation for real-
106 time operation.

107 2.3 Key contributions and features of proposed scheme

108 The key contributions and features of this work include:

- 109 • A modified global contrast enhancement and a multi-scale illumination/reflectance model-based
110 algorithm using fractional order calculus-based kernels.
- 111 • Relatively low- complexity underwater image enhancement algorithm utilizing colour
112 correction and contrast operators.
- 113 • Frequency-based approach to image de-hazing and underwater image enhancement using
114 successive, simultaneous high frequency component augmentation and low frequency
115 component reduction.
- 116 • Feasible hazy and underwater image enhancement algorithm for relatively easier hardware
117 architecture implementation utilizing fractional order calculus-based filters.
- 118 • Avoidance of dark channel prior based stages and iterative schemes by utilizing combined
119 multi-level convolution using fractional derivatives.

120 3 Proposed algorithms

121 Underwater image enhancement usually involves some colour correction/white balancing in
122 addition to contrast enhancement process, usually a local/global operation. The first step to reducing
123 the need for such involved local processing was to avoid the over-exposure of bright regions while
124 enhancing the dark regions. Initial logarithmic solutions were ineffective and flattened the images in
125 addition to fading colours. Thus, a new formulation for the global contrast operator had to be devised
126 to achieve this objective. We present the modification and realization of the improved global contrast
127 operator and spatial filter based system for processing underwater and hazy images. Furthermore,
128 the simplified scheme using integer and fractional calculus is presented in the form of spatial masks
129 based on the Grunwald-Letnikov definition [55].

130 3.1 Selection and modification of global contrast operator

131 Previously, extensive experiments were conducted (to determine effectiveness) on several
132 contrast stretching algorithms [26]. Due to adjustable nature of the high and low values by adjusting
133 the percentiles, the contrast stretching (CS) algorithm appeared to be much more versatile than the
134 other algorithms. However, it works best for faded low-contrast images but not so well for
135 underwater images since it does not perform adequate colour correction unless applied iteratively.
136 Conversely some of the other algorithms were too harsh, had no effect or minimal impact on most
137 underwater images, while others resulted in colour bleeding. The selected algorithms such as the
138 piecewise linear transform (PWL) [56] and the gain offset correction (GOC) [57] were selected for
139 incorporation into effective PDE -based formulations [26] [27]. This was because some underwater
140 images responded better to GOC2 (due to its mainly colour correction ability) than to PWL (due to

141 its generality) and vice versa. Thus, there is the need to develop a global contrast operator that would
 142 merge the advantages of both GOC2 and PWL while mitigating their weaknesses.

143 Since the linear contrast stretch (similar to the PWL and GOC) does not utilize any edge
 144 enhancement features or region-based methods, it does not enhance noisy edge artefacts. However,
 145 several of these contrast stretching algorithms lead to oversaturation of already bright regions of the
 146 image (whitening out/over-exposure). This is in addition to a thresholding effect when applied to
 147 images with bimodal histogram. The linear contrast can be applied to both greyscale and colour
 148 images with excellent results similar to the PWL. However, the PWL method also suffers from the
 149 thresholding of images when there are distinct regions of dark and light intensity, leading to whitening
 150 out of bright areas. This is because it truncates values at upper and lower limits to maximum and
 151 minimum possible pixel values in the image without taking into account pixels in those regions, the
 152 linear contrast stretch seeks to expand the range based on the surrounding pixels in the distribution.

153 Underwater image enhancement usually involves some colour correction/white balancing in
 154 addition to contrast enhancement process, which is usually a local/global operation. The GOC2
 155 algorithm adequately processed underwater images, which required mild colour correction and
 156 contrast enhancement and thus avoided overexposure of highlights unlike most other tested contrast
 157 enhancement algorithms [26]. This necessitated the incorporation of a local contrast operator such as
 158 the CLAHE, which though effective, further added to the computational complexity of the algorithms
 159 and introduced additional parameters. The first step to reducing the need for such involved local
 160 processing was to avoid the over-exposure of bright regions while enhancing the dark regions. Initial
 161 logarithmic solutions were not effective and flattened the images in addition to fading colours; thus,
 162 a new formulation for the global contrast operator had to be devised to achieve this objective.

163 3.1.1 Gain offset correction-based stretching (GOCS)

164 The expression for the GOC algorithm [57] is given as shown in (1);

$$165 I_{o_{GOC2}} = \left[\frac{L-1}{I_{max} - I_{min}} \right] (I_i - I_{min}), \quad (1)$$

166 The contrast stretching algorithm is given as;

$$167 I_{o_{CS}} = \left[\frac{I_{max} - I_{min}}{I_{high} - I_{low}} \right] (I_i - I_{low}) + I_{min}, \quad (2)$$

168 In (4) and (5), $I_{o_{GOC2}}$ and $I_{o_{CS}}$ are the enhanced images using GOC and CS respectively, I_{max} , I_{min}
 169 are maximum and minimum pixel intensities in the input image, I_i , L is the number of grey intensity
 170 levels ($L = 256$ for unsigned integer, eight-bit-per-pixel (uint8, 8bpp) image format), while I_{low} and
 171 I_{high} are the lower and upper percentiles of the image pixel intensity distribution normally set at 5%
 172 and 95% respectively.

173 The faults of the GOC lie in the statistics such as maximum and minimum pixel intensity values
 174 utilized in its computation. Since an image which is already utilizing its full dynamic range will not
 175 be affected by such statistics, we needed to realize a more influential statistic. The contrast stretching
 176 operator utilizes lower and upper percentiles of the image intensity distribution for its computation
 177 and as a result, does not suffer over-exposure effects and performs adequate contrast enhancement.
 178 Conversely, the GOC performs sufficient colour correction but minimal contrast enhancement. Thus,
 179 by replacing the maximum and minimum pixel intensity values with the upper and lower percentiles
 180 in the formulation, we can realize a new formula for the global contrast operation as;

181
$$I_{o_{GOCs}} = \left[\frac{L-1}{I_{high} - I_{low}} \right] (I_i - I_{low}), \quad (3)$$

182 Initial experiments using the 5th and 95th percentiles led to some pixels being over-exposed and
 183 as we widened the range between the percentiles, the results improved and in some cases, settled on
 184 the 1st and 99th percentiles for best results. Increasing the range to its maximum yields a result similar
 185 to GOC as expected since the high and low percentiles now become the maximum and minimum
 186 pixel intensity values. The GOCS is related to the CS in the following form;

187
$$I_{o_{CS}} = I_{o_{GOCs}} (I_{max} - I_{min}) + I_{min}, \quad (4)$$

188 *3.2 Proposed multi-scale local contrast operator*

189 We present the development of the multi-scale algorithm for local contrast enhancement, which
 190 replaces the CLAHE used in previous work, drastically reducing complexity and run-time.

191 *3.2.1 Modified spatial filter-based enhancement*

192 Given a filter-based approach to contrast enhancement and illumination correction [53];

193
$$I_o(x, y) = I_{HPF}(x, y) + [I_{LPF}(x, y)]^k, \quad (5)$$

194 Where the high-pass filtering operation was expressed as;

195
$$I_{HPF}(x, y) = -\nabla I(x, y) \text{ or } -\nabla^2 I(x, y) \quad (6)$$

196 And the low-pass filtering was expressed as;

197
$$I_{LPF}(x, y) = I(x, y) + \nabla I(x, y) \text{ or } I(x, y) + \nabla^2 I(x, y) \quad (7)$$

198 And using the isotropic heat diffusion equation;

199
$$\frac{\partial I(x, y)}{\partial t} = -\nabla^2 I(x, y) \quad (8)$$

200 The high-pass and low-pass filtering operations is redefined as;

201
$$I^{t+1}(x, y) = I^t(x, y) - \nabla^2 I(x, y) \Delta t \quad (9)$$

202 and

203
$$I^{t+1}(x, y) = I^t(x, y) + \nabla^2 I(x, y) \Delta t \quad (10)$$

204 This was further expanded into PDE-based formulations [53] as;

205
$$I_o(x, y) = -\nabla I(x, y) + [D - 1]^{1-k} \{I(x, y) + \nabla I(x, y)\}^k \quad (11)$$

206
$$I_o(x, y) = -\nabla^2 I(x, y) + [D - 1]^{1-k} \{I(x, y) + \nabla^2 I(x, y)\}^k \quad (12)$$

207 Subsequently, fractional derivative-based re-definitions for high- and low-pass filtering of
 208 arbitrary order α are obtained as;

209
$$I_{HPF}(x, y) = -\nabla^\alpha I(x, y) \quad (13)$$

210 and

211
$$I_{LPF}(x, y) = \int_{\Omega} I_{HPF}(x, y) d\Omega = - \int_{\Omega} \nabla^\alpha I(x, y) d\Omega = I(x, y) + \nabla^\alpha I(x, y) \quad (14)$$

212 Leading to the expression;

213
$$I_e(x, y) = -\nabla^\alpha I(x, y) + [I(x, y) + \nabla^\alpha I(x, y)]^k \quad (15)$$

214 We further extend the application to hazy image enhancement as;

215
$$U(x, y) = I_{max} - I(x, y) \quad (16)$$

216
$$U_e^\alpha(x, y) = -\nabla^\alpha U(x, y) + \left[- \int_{\Omega} \nabla^\alpha U(x, y) d\Omega \right]^k \quad (17)$$

217
$$I_e^\alpha(x, y) = U_{e_{max}}^\alpha - U_e^\alpha(x, y) \quad (18)$$

218 In the latter expressions of eqn. (16) to (18), $U(x,y)$ is the inverted image, I_{max} is the
 219 maximum pixel intensity of the input image, $I(x,y)$, $\nabla^\alpha U(x,y)$ is the fractional derivative of the
 220 inverted image and $\int_{\Omega} \nabla^\alpha U(x,y) d\Omega$ denotes the fractional order integral. Additionally, $U_e^\alpha(x,y)$ is
 221 the enhanced inverted image using fractional order-based operations and $U_{e_{max}}^\alpha$ is the maximum
 222 pixel intensity of $U_e^\alpha(x,y)$ while $I_e^\alpha(x,y)$ is the de-hazed image using fractional order-based
 223 operations. Additionally, we wish to reduce the computational load of computing both the derivative
 224 and the integral, especially in the fractional order-based version. Thus, we simple obtain the fractional
 225 integral of the input image and subtract it from the original image and multiply by the appropriate
 226 factor to obtain the fractional order derivative. This saves resources especially on digital hardware
 227 implementations since only one operator is utilized and re-used. This is easily expressed as;

$$I_{HPF}(x,y) = I(x,y) - I_{LPF}(x,y) \quad (19)$$

$$I_o(x,y) = \gamma[I(x,y) - I_{LPF}(x,y)] + [I_{LPF}(x,y,t)]^k \quad (20)$$

230 Which gives the expressions in both integer and fractional order calculus as;

$$I_o(x,y) = \gamma \left[I(x,y) - \left\{ \int_{\Omega} \nabla I(x,y) d\Omega \right\} \right] + \left[\int_{\Omega} \nabla I(x,y) d\Omega \right]^k \quad (21)$$

$$I_o(x,y) = \gamma \left[I(x,y) - \left\{ \int_{\Omega} \nabla^\alpha I(x,y) d\Omega \right\} \right] + \left[\int_{\Omega} \nabla^\alpha I(x,y) d\Omega \right]^k \quad (22)$$

233 The scheme for hazy image enhancement can also be updated accordingly without much effort.

234 3.2.2 Multi-scale illumination/reflectance contrast enhancement (Multi-IRCES)

235 The central idea is that by further decomposing a low-pass filtered image and enhancing the
 236 details at each level and recombining the results, we would obtain much finer local enhancement.
 237 Additionally, using the fractional order reduces or minimizes the issue of noise enhancement as high
 238 frequency components are amplified at each stage, further reducing or minimizing the low frequency
 239 components at each stage. Since the haze is a low frequency phenomenon, we expect that such effects
 240 would be greatly reduced after processing without enhancing noise. The entropy and standard
 241 deviation measures are utilized to select the best outcome for the processed image in terms of the
 242 value of the exponent, k . The mathematical expressions for the algorithm are as shown in (23) to (29);

$$I_i(x,y) = I_{HPF_i}(x,y) + [I_{LPF_i}(x,y)]^k; i = 0, 1, \dots, N-1 \quad (23)$$

$$I_{A_k}(x,y) = \frac{1}{N} \sum_{i=0}^{N-1} I_i(x,y); k = 2 \quad (24)$$

$$I_{B_k}(x,y) = \frac{1}{N} \sum_{i=0}^{N-1} I_i(x,y); k = 0.5 \quad (25)$$

$$e_{A_k} = \text{entropy}(I_{A_k}); e_{B_k} = \text{entropy}(I_{B_k}) \quad (26)$$

$$\sigma_{A_k} = \text{std}(I_{A_k}); \sigma_{B_k} = \text{std}(I_{B_k}) \quad (27)$$

$$f(x,y) = \begin{cases} I_{A_k}(x,y), & e_{A_k} > e_{B_k} \text{ or } \sigma_{A_k} > \sigma_{B_k} \\ I_{B_k}(x,y), & e_{A_k} < e_{B_k} \text{ or } \sigma_{A_k} < \sigma_{B_k} \end{cases} \quad (28)$$

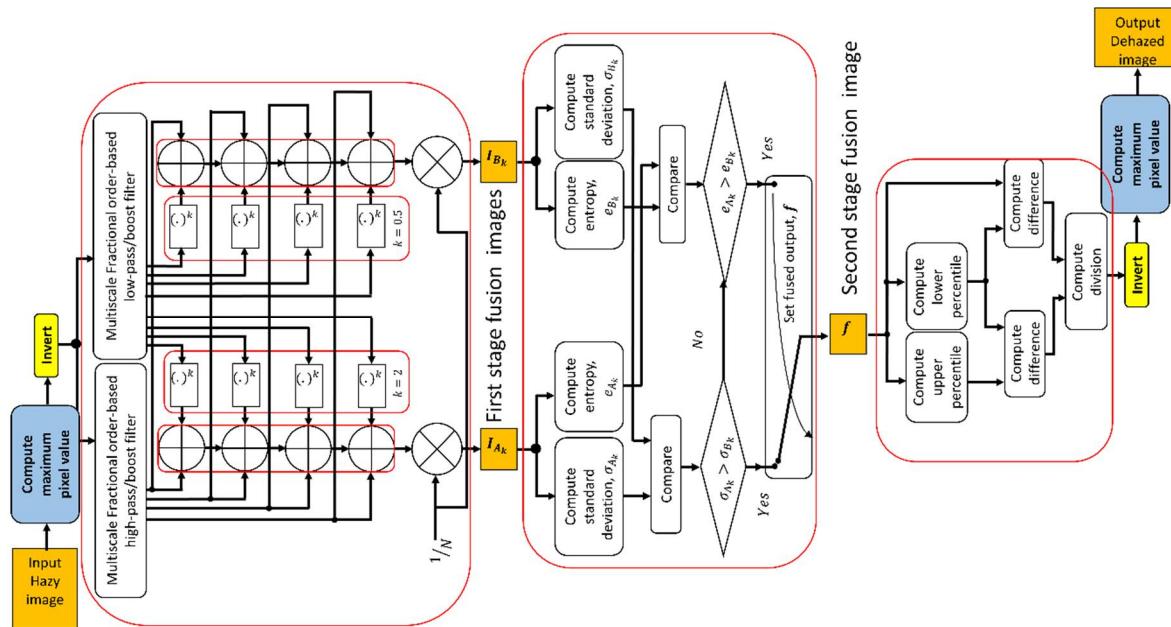
$$f_o(x,y) = \text{GOCS}[f(x,y)] \quad (29)$$

250 In eqn. (23), $I_i(x,y)$ is the enhanced image at level i and N is the number of decomposition
 251 levels, while $I_{HPF_i}(x,y)$ and $I_{LPF_i}(x,y)$ are high-pass and low-pass filtered images obtained at level
 252 i . Based on experiments, we set $N = 5$. The obtained level images are then aggregated to obtain the

253 final images, I_{A_k} or I_{B_k} for the different values of the power factor, k in (24) and (25). The values
 254 for the power factor are chosen to be multiples of two (2) due to hardware design considerations to
 255 enable fast computation by bit shifting.

256 The respective entropies (e_{A_k} , e_{B_k}) and standard deviations (σ_{A_k} , σ_{B_k}) of the aggregated images
 257 are computed (in eqns. (26) and (27)) and used to decide the best image outcome, $f(x, y)$ in (28),
 258 which is then processed with the modified global contrast enhancement algorithm to obtain the final
 259 output image, $f_o(x, y)$ in (29). This is based on the simultaneous multi-level high frequency
 260 component (edges and details) enhancement and multi-level low frequency component attenuation.

261 All processing operations are achieved with spatial filter kernels using fractional order-based
 262 calculus, which slightly increases computation cost but also yields better results in terms of balanced
 263 edge enhancement. However, we can also save on computation by utilizing integer-order-based
 264 calculus for the kernel coefficients, though results will be more drastic. The diagram of the proposed
 265 algorithm for enhancement of both hazy and underwater images is shown in Fig. 1. All processing
 266 operations are achieved with spatial filter kernels using fractional order-based calculus, which
 267 slightly increases computation cost but also yields better results in terms of balanced edge
 268 enhancement. However, we can also save on computation by utilizing integer-order-based calculus
 269 for the kernel coefficients, though results will be more drastic.



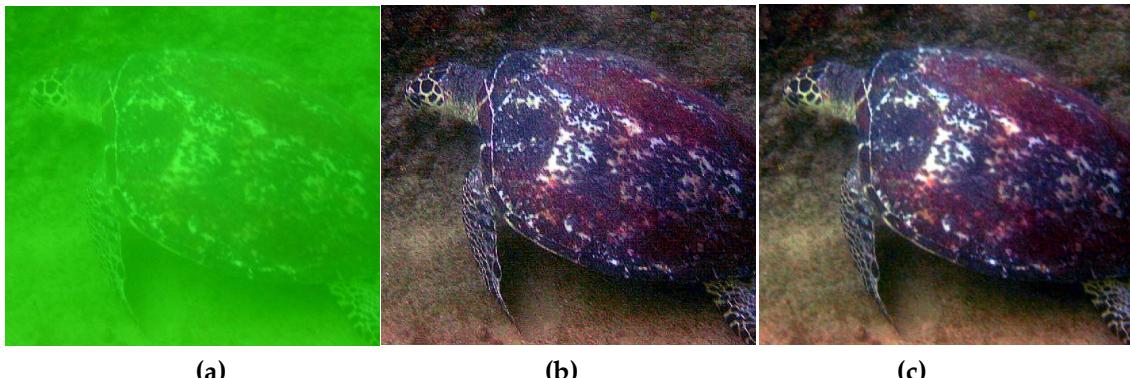
270

271 **Figure 1.** Proposed algorithm (PA) for enhancing hazy and underwater images

272 3.2.3 Preliminary results

273 After testing several images, it was discovered that some images were better enhanced when
 274 using the 5th and 95th percentiles rather than the 1st and 99th percentiles. The representative images of
 275 these two groups include those unaffected by wide ranges while the other exhibits over-exposure for
 276 narrow ranges. This was partly the reason that the PWL approach was utilized in previous work [27].
 277 Thus, one approach would be to devise a means of selecting the appropriate percentiles for these two
 278 groups of images. A simple compromise was to set the range between the 2nd and 98th percentiles.
 279 However, we would still be faced with the issue of outlier images, which resist colour correction
 280 attempts. Thus, the need for the localized operator to aid in the detail recovery in the otherwise over-

281 exposed regions when global contrast operations are performed. In Fig. 2, a sample result of the
 282 algorithm is shown for high-pass and high-boost configurations. The latter is used to minimize edge
 283 and noise over-enhancement, while the former improves details in the processed images as shown.



284
 285 (a) (b) (c)

286 **Figure 2.** (a) Underwater image enhanced with (b) PA using high-pass and (c) high-boost fractional
 287 filter settings

288 *3.3 Problems and solutions*

289 The initial developed scheme worked extremely well for underwater images and several hazy
 290 images. However, problems were observed in other hazy images. These issues included colour
 291 fading, distortion, discolouration, image darkening, inadequate haze removal, and over-enhanced
 292 edges. Thus, we devised solutions to some of these problems. The colour correction routine was
 293 omitted and the output, $f(x, y)$ was reformulated as;

294
$$f(x, y) = \frac{I_{A_k}(x, y) + I_{B_k}(x, y)}{2} \quad (30)$$

295 This improved results and resolved colour distortion in the affected hazy images, though there
 296 was some colour fading in RGB and HSI/HSV versions. Thus, we utilized the red-green-blue-
 297 intensity/value (RGB-IV) formulation [58] to improve colour rendition, which resulted in colour
 298 enhancement but with dark images. We also investigated the use of CLAHE to improve local contrast,
 299 resulting in drastic improvements. However, enhanced images also exhibited halo effects and colour
 300 distortion, which persisted despite combination with the multi-scale IRCES algorithm. Furthermore,
 301 there was drastic colour loss/fading using CLAHE in addition to increased computational
 302 complexity, defeating the initial objective of the proposed approach. Thus, alternatives were
 303 considered to resolve these issues.

304 Wavelet-based fusion of $I_{A_k}(x, y)$ and $I_{B_k}(x, y)$ using mean, minimum or maximum
 305 configurations was implemented. Good results were observed in images with mostly uniform haze.
 306 Conversely, sky regions were degraded in hazy images with uneven haze or considerable sky
 307 regions. Furthermore, dark bands and outlines were observed around edges in some processed
 308 images. Overall, image results were inconsistent using this scheme. Thus we reformulated the multi-
 309 scale algorithm after extensive analysis.

310 Redundant frequencies, which were unnecessary in hazy image enhancement results were
 311 observed. This was due to the nature of the generation of the two combined images; $I_{A_k}(x, y)$ and
 312 $I_{B_k}(x, y)$, leading to unbalanced contributions of frequency components. Constant varying of weights
 313 for both images and corresponding results led to inconsistent results. Thus, a more formalized,
 314 systematic approach was required. Based on analysis of the Fourier Transform of the images, we

315 require subtle enhancement of the high frequency components and a drastic reduction of the
 316 contributions of the low frequency components. This informed the reformulation of the multi-scale
 317 algorithm for hazy images as;

$$318 \quad I(x, y) = U_{max} - U(x, y) \quad (31)$$

$$319 \quad \{I_{LPF_i}(x, y), I_{HPF_i}(x, y)\} = \text{decompose}(I(x, y)) \quad (32)$$

$$320 \quad S_{LPF_i} = \sum_{x=0}^{N-1} \sum_{y=0}^{M-1} I_{LPF_i}(x, y) \quad (33)$$

$$321 \quad S_{HPF_i} = \sum_{x=0}^{N-1} \sum_{y=0}^{M-1} I_{HPF_i}(x, y) \quad (34)$$

$$322 \quad S_{total} = S_{LPF_i} + S_{HPF_i} \quad (35)$$

$$323 \quad p_{LPF_i} = \frac{S_{LPF_i}}{S_{total}}; \quad p_{HPF_i} = \frac{S_{HPF_i}}{S_{total}} \quad (36)$$

324 In the expressions, (31) to (36), $U(x, y)$ and $I(x, y)$ are the original and reversed hazy image,
 325 respectively, while U_{max} is the maximum pixel intensity value of the image; $I_{LPF_i}(x, y)$, $I_{HPF_i}(x, y)$,
 326 S_{LPF_i} and S_{HPF_i} are the low- and high-pass filtered images of level (or scale), i and their respective
 327 summations. The terms S_{total} , p_{LPF_i} , p_{HPF_i} are the total sum, and percentage of low and high
 328 frequency components, respectively. In order to balance the high and low frequency components, we
 329 create new constants, c_1 and c_2 to be dependent on each other using the percentages;

$$330 \quad c_1 = \frac{1}{p_{LPF_i}}; \quad c_2 = \frac{1}{c_1} \quad (37)$$

331 After evaluation of the two constants, we use the expression to obtain the enhanced level image
 332 as;

$$333 \quad I_i(x, y) = c_1 [I_{HPF_i}(x, y)] + [I_{LPF_i}(x, y)]^{c_2} \quad (38)$$

334 The level images are subsequently summed to obtain the enhanced image as shown in (39);

$$335 \quad f(x, y) = \frac{1}{D-1} \sum_{i=0}^{D-1} I_i(x, y) \quad (39)$$

336 The de-hazed image, $U'(x, y)$ is obtained by inverting the image as shown;

$$337 \quad U'(x, y) = f_{max} - f(x, y) \quad (40)$$

338 Based on experiments, we set c_1 and c_2 as 1.21 and 0.8264 respectively since they are always
 339 constant. These are the default values for balanced enhancement of high and low frequency
 340 components to avoid visual artefacts. However, the values may be increased or decreased gradually
 341 for maximum visual effect in certain images. This new formulation solves the edge over-
 342 enhancement, colour distortion and halo effect problem. The results are shown in Fig. 3 for processed
 343 images using previous and improved configurations of PA. Note the elimination of the colour
 344 distortion and reduced degree of noise enhancement for images in Fig. 3(b) compared to Fig. 3(a).

345 The estimated computational complexity of the proposed approach is given as; $O(NMw^2D)$ for
 346 D levels using spatial window size, w of fractional order-based filter for an image with N rows and
 347 M columns. Additionally, the algorithm can be speeded up by exploiting symmetric convolutional
 348 structures to reduce the number of multiplications and additions.



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(a)



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352

(b)

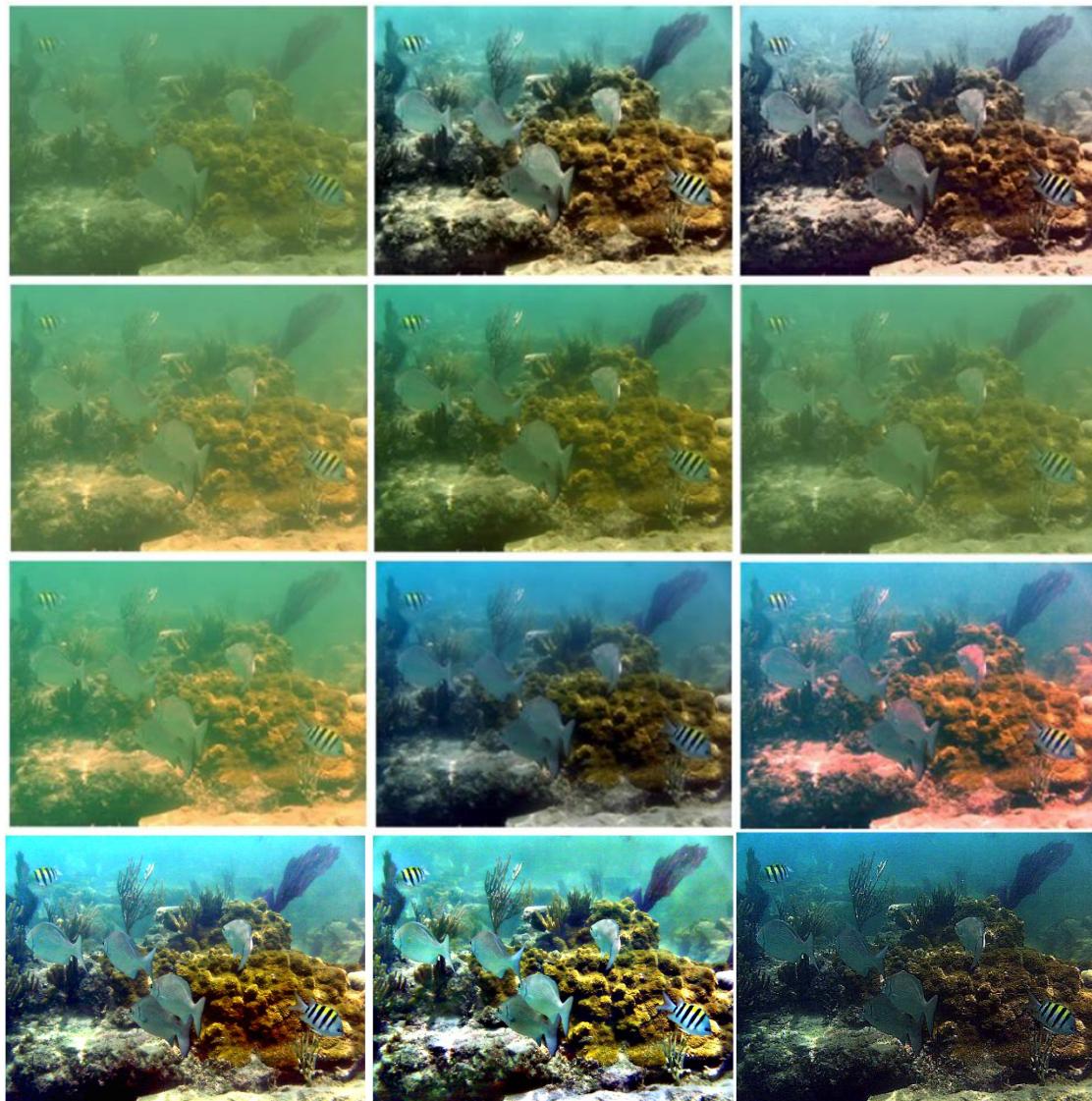
353 **Figure 3.** Processed images using (a) previous configuration (b) improved configuration of PA354 **4. Results**

355 We present the result comparisons of the proposed approach (PA) with other algorithms from
 356 the literature. We utilize metrics such as entropy (E), (relative) average gradient (RAG) [59], global
 357 contrast factor (GCF) [60], colourfulness or colour enhancement factor (CEF) [61] for underwater
 358 images. For hazy images, we utilize the RAG, ratio of visible edges, Q_e [1] and saturation
 359 parameter/percentage of black or white pixels, σ [1] to evaluate results. Higher values indicate better
 360 results for the first two metrics while lower values imply improvement for the last metric. The
 361 hardware specifications of the computing platform are: PC with Intel® Core i7-6500U x64-based
 362 processor at 2.5GHz/2.59GHz, 12 GB RAM running 64-bit OS (Microsoft® Windows™ 10 Home) and
 363 NVIDIA® GeForce™ 940M GPU with compute capability of 5.0.

364

365 *4.1 Underwater images*

366 Results are presented in Fig. 4, which contains results from [5], amended with results from [27]
 367 and PA and show that there is a considerable contrast and edge enhancement as details are seen
 368 much more clearly with minimal haze. For the *fish* image in Fig. 4, only results by Ancuti, et al [62],
 369 Fu et al [15], Galdran, et al [4], PDE-based PWL-CLAHE (forward and reverse configuration) [27] and
 370 PA yield good results. The rest of the image results depict hazy, faded images with large degree of
 371 green colouration, while Li, et al's method [5] yields an image with reddish colouration, implying
 372 over-compensation of red channel in the processed image.



KEY

(a)	(b)	(c)
(d)	(e)	(f)
(g)	(h)	(i)
(j)	(k)	(l)

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380 **Figure 4.** (a) Original *Fish2* image processed with algorithms proposed by (b) Ancuti *et al* [62] (c) Fu
381 *et al* [15] (d) Chiang and Chen [8] (e) He *et al* [32] (f) Carlevaris-Bianco *et al* [11] (g) Serikawa and Lu
382 [10] (h) Galdran *et al* [4] and (i) Li *et al* [5], and IPA using (j) PWL-CLAHE and (k) CLAHE-PWL-AD
383 configurations (l) PA

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Based on the results, the proposed algorithm yields finer and sharper edges and details with minimal intrinsic noise due to the fractional derivative ability. The visual results are mostly reflected in the quantitative metrics shown in Table 1, with PA showing the highest AG values, indicating more visible edges and details especially on the rock face of the bottom left corner of the image

389 (image(l)). However, PDE-GOC2-CLAHE yields the highest colourfulness (C) and entropy while the
 390 method by Fu, et al gives best GCF value (though there is over-exposure in the bright regions of the
 391 rock faces in image (c)).

392

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Table 1. Comparison of IPA with various algorithms for *Fish2* image

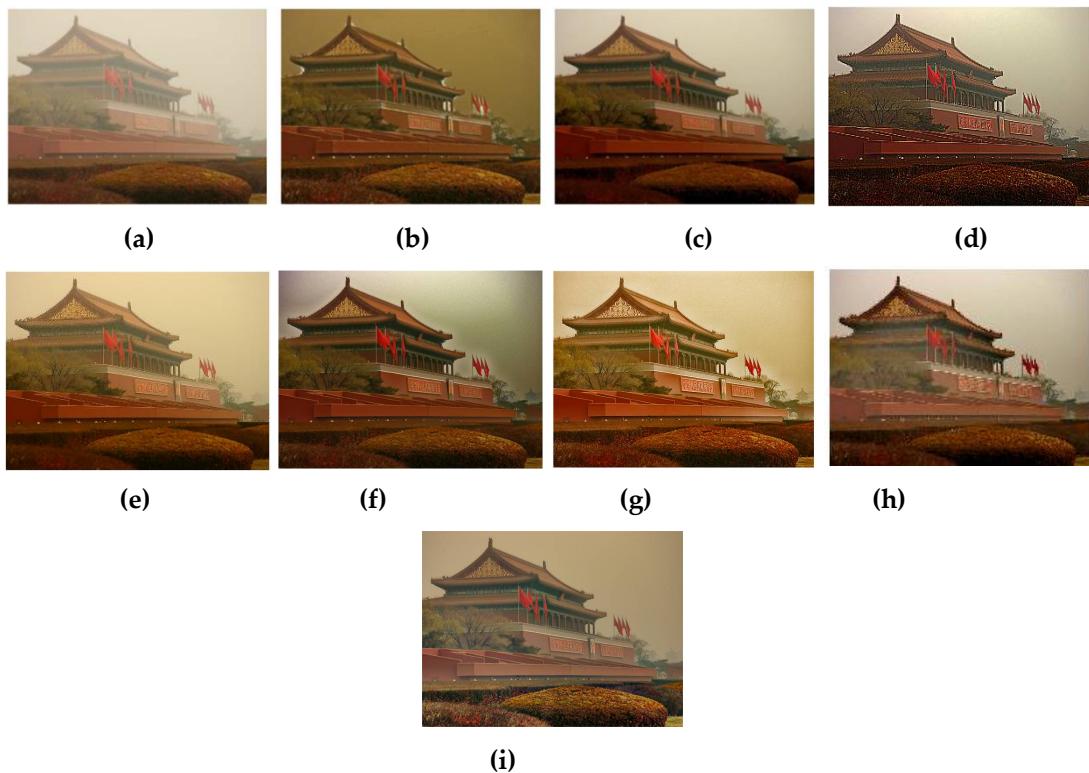
Measure s \Algos	Ancuti [62]	Bianco [11]	Chian g [8]	Fu [15]	Galdran [4]	He [32]	Li [5]	Serikawa [10]	(PDE- PWL- CLAHE) [27]	PA
Entropy	7.8438	7.1251	7.2986	7.862 8	7.6376	7.4587	7.7168	7.4531	7.8945	7.2558
GCF	9.5759	4.6944	3.9611	9.640 4	8.7299	6.372	7.0632	4.9016	8.6257	6.9014
C	54.570 4	42.312 8	54.897 5	36.33 7	64.0309 3	57.053 8	63.849	63.2207	77.5420	61.195 7
AG	9.1638	4.1501	4.1285	9.473 2	5.6937	5.174	7.6573	5.2034	10.4343	13.910 7

394

395 *4.2 Hazy image enhancement results*

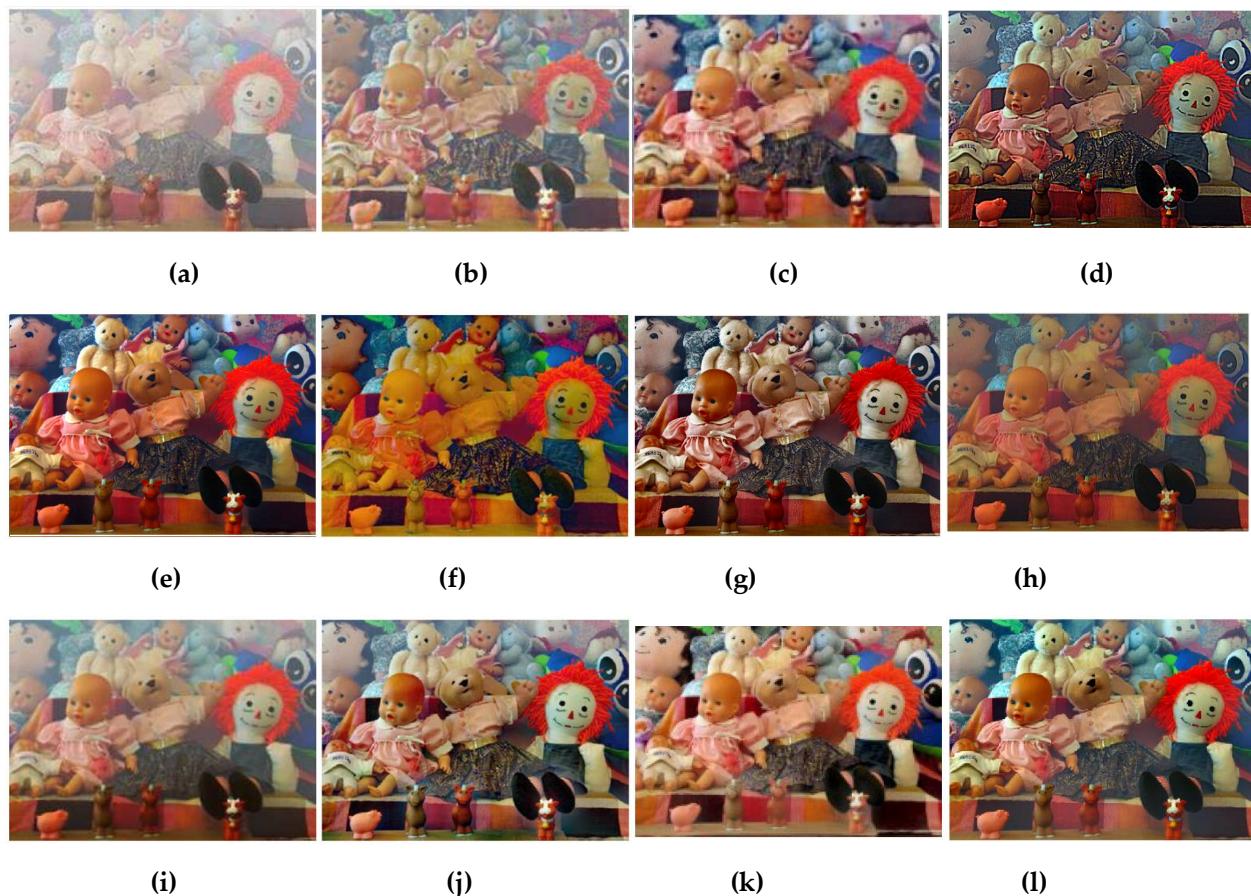
396 We also present results and comparisons for hazy image contrast enhancement with algorithms
 397 from the literature using 53 real benchmark images employed in de-hazing experiments. Also, the
 398 FRIDA3 dataset [63] [64] consisting of left and right views of 66 synthetic images was also tested. The
 399 algorithms include Tarel and Hautiere [65], Dai et al [66], Nishino et al [67], He et al [32], Galdran et
 400 al [41], Wang and He [68], Zhu et al [69], Ren et al [46], partial differential equation-based single scale
 401 Retinex GOC-CLAHE (PDE-GOC-SSR-CLAHE) [52], PDE-IRCES [53], and PA. The proposed
 402 approach (PA) is much more vivid as it enhances edges and avoids discolouration of sky regions as
 403 seen in the *Tiananmen* image in Fig. 5. Best results are observed for PA, Ren, et al [46], Zhu, et al [69]
 404 and He et al [32] (has halos) followed by PDE-GOC-SSR-CLAHE [52] (has some halos) and PDE-
 405 IRCES [53] (no halos but under-enhanced in some regions).

406 The method by Tarel and Hautiere [65] shows over-enhancement of edges and discolouration of
 407 sky region similarly to PDE-IRCES. The method by Ren, et al shows sharpened features without sky
 408 discolouration or over-enhancement similar to Zhu, et al (which is darker). The PDE-GOC-SSR-
 409 CLAHE yields considerable detail in non-homogeneous regions, while PA yields the highest detail
 410 and edge enhancement without sky discolouration or halo effects. The same is observed for the *toys*
 411 image in Fig. 6 as the image obtained from PA has the most enhanced edges and details compared to
 412 the other results. The PDE-GOC-SSR-CLAHE gives best local contrast enhancement, followed by the
 413 DCP method by He et al, and the methods by Wang, et al [68], Ren et al, Dai, et al [66] and Zhu, et al.
 414 The rest of the other image results are faded and still contain a reasonable amount of haze or have
 415 colour distortion or saturation with minimal edge enhancement.



422 **Figure 5.** (a) Original hazy image (b) Tarel, et al (c) Zhu, et al (d) PA (e) PDE-IRCES (f) He, et al (g)
423 PDE-GOC-SSR-CLAHE (h) Ren et al (i) AMEF

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431



432

(m)

433 **Figure 6.** (a) Original hazy image (b) Tarel, et al [65] (c) Dai et al [66] (d) PA (e) He et al [32] (f) Nishino,
434 et al [67] (g) PDE-GOC-SSR-CLAHE [52] (h) PDE-IRCES [53] (i) Galdran, et al (EVID) [41] (j) Wang &
435 He [68] (k) Zhu, et al [69] (l) Ren, et al [46] (m) AMEF

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437 Additionally, we present the numerical results for the available algorithm implementations
438 compared with PA in Table 2. Results indicate that RAG and ratio of visible edge values are the
439 highest for PA, followed by PDE-GOC-SSR-CLAHE, He et al and Ren, et al. Thus, these two metrics
440 indicate maximum edge enhancement corresponding to increased visibility and haze removal. The
441 value of the Canon image yields the highest RAG value and the image result (not shown) depicted
442 drastic edge and detail enhancement.

443 The PA can also be configured to process only the intensity channel for hazy images using the
444 HSI and HSV colour spaces to avoid hue distortion. However, the algorithm was initially conceived
445 in the RGB space to enable the processing of both underwater and hazy colour images without need
446 for modification. We also present the runtimes of PA in comparison with the other approaches in
447 Table 3 and Fig. 7 to further showcase the low computational complexity of the algorithm. Only the
448 method by Ren et al is fully optimized for GPU computation, with PA and other algorithms using
449 parallel computation where possible. Results indicate that PA is the fastest algorithm of all the
450 compared ones. Furthermore, the revised formulation combined with the RGB-IV does not increase
451 run-time considerably, except for images with very large dimensions. Nevertheless, the run-time is
452 still much less than the algorithms by He et al, Zhu et al and Ren et al. The revised scheme is also
453 much easier to implement in FPGA hardware than the earlier version due to absence of global
454 statistical computation.

455 Additionally, we present the relative average gradient (RAG) values for the available algorithm
456 implementations compared with the proposed approach in Table 2. Results indicate improvements
457 using the proposed approach and the RAG values are the highest for the PA, followed by PDE-GOC-
458 SSR-CLAHE, He et al and Ren, et al. Thus, such RAG values indicate maximum edge enhancement
459 corresponding to increased visibility and haze removal. The value of the Canon image yields the
460 highest RAG value and the image result (not shown) depicted drastic edge and detail enhancement.

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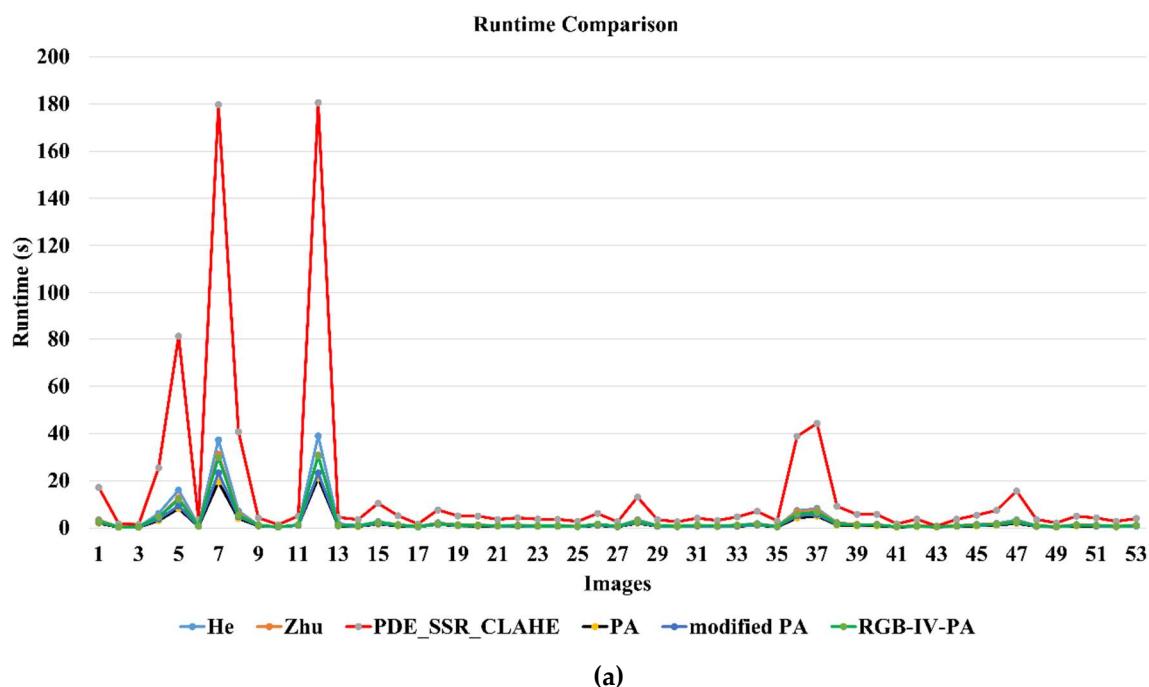
468
469**Table 2.** RAG, ratio of visible edges and saturation parameter values for images processed with He et al [32], Zhu, et al [69], Ren, et al, PDE-GOC-SSR-CLAHE [52], PDE-IRCES [53] and PA

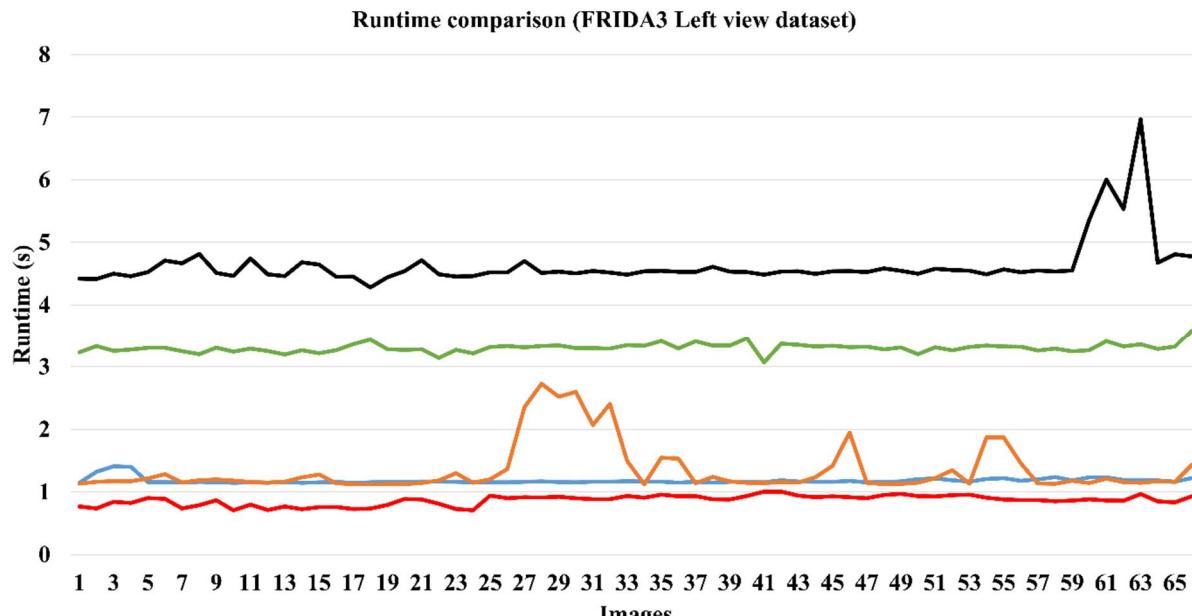
Algos Images	He, et al [32]	Zhu, et al [69]	Ren et al [46]	PDE-GOC- SSR-CLAHE [52] $\Delta t=0.25$; $k_{sat}=1.5$	PDE- IRCES [53] $\Delta t=0.25$	PA
	$\beta=0.95, 1;$ $(\Omega = 0.95, w = 15, A = 240, r = 24)$	$\theta_0=0.1893;$ $\theta_1=1.0267;$ $\theta_2=-1.2966;$ Guided filter: $r=60;$ $t_0=0.05; t_1=1;$ $\varepsilon=0.001$	$\gamma=1.3$ (<i>canyon</i> image) $0.8 \leq \gamma \leq 1.5$ (others)			
<i>Tiananmen</i>	1.8455 /0.9606 /0.1879	1.1866 /1.0041 /0.0814	1.5649 /0.8734 /0.1288	2.8225 /1.0386 /0.0625	2.3219 /1.1614 /0	4.4410 /1.4514 /0.1688
<i>Cones</i>	1.4977 /1.1478 /0.3878	0.9704 /1.0873 /0.2499	1.3818 /1.1042 /0.2956	2.7516 /1.1999 /0.2733	2.5881 /1.2064 /0	4.9702 /1.4620 /0.3142
<i>City1</i>	1.1914 /1.0332 /0.1336	0.9303 /1.0075 /0.2002	1.2989 /1.0232 /0.2002	1.7762 /1.1164 /0.0562	2.4080 /1.3458 /0.00375	3.8282 /1.4898 /0.1712
<i>Canyon</i>	1.7481 /1.1057 /0.3796	1.2880 /1.0679 /0.2412	1.4564 /1.0319 /0.0446	2.5408 /1.2070 /0.3103	2.5224 /1.19684 /0.00019	3.9892 /1.7903 /0.2412
<i>Canon</i>	3.2903 /1.0857 /0.3947	1.7127 /0.9089 /0.3198	2.6871 /1.0832 /0.3831	2.8059 /1.1188 /0.3947	2.8783 /1.3450 /4.4E-05	8.0224 /1.5785 /0.3942
<i>Mountain</i>	1.7105 /0.9348 /0.0787	1.2092 /0.9307 /0.0984	1.6005 /0.9784 /0.0074	2.7275 /1.0202 /0.0074	2.9827 /1.2977 /7.1E-05	6.5399 /1.5503 /0.0244
<i>Brickhouse</i>	1.2006 /0.9747 /0.1172	0.8597 /1.1395 /0.0730	1.2118 /1.0030 /0.1288	1.0836 /1.1135 /0.1021	1.4105 /1.2789 /0	3.0014 /1.3563 /0.0983
<i>Pumpkins</i>	1.5927 /0.9501 /0.1581	0.9311 /0.6726 /0.1333	1.4753 /0.9511 /0.1764	2.4539 /1.0361 /0.1516	2.2777 /1.1626 /7.1E-05	3.3553 /1.6469 /0.2329
<i>Train</i>	1.5206 /1.0090 /0.1664	0.9797 /1.0509 /0.3265	1.2036 /1.0203 /0.2412	1.5190 /1.1106 /0.3005	2.2569 /1.3589 /0.0038	4.3014 /1.5151 /0.2594
<i>Toys</i>	2.2566 /0.9712 /0.3840	1.6711 /1.0117 /0.2865	2.1568 /0.9576 /0.2827	2.9813 /1.1095 /0.3379	2.1367 /1.2887 /2.8E-05	4.2837 /1.5937 /0.3736

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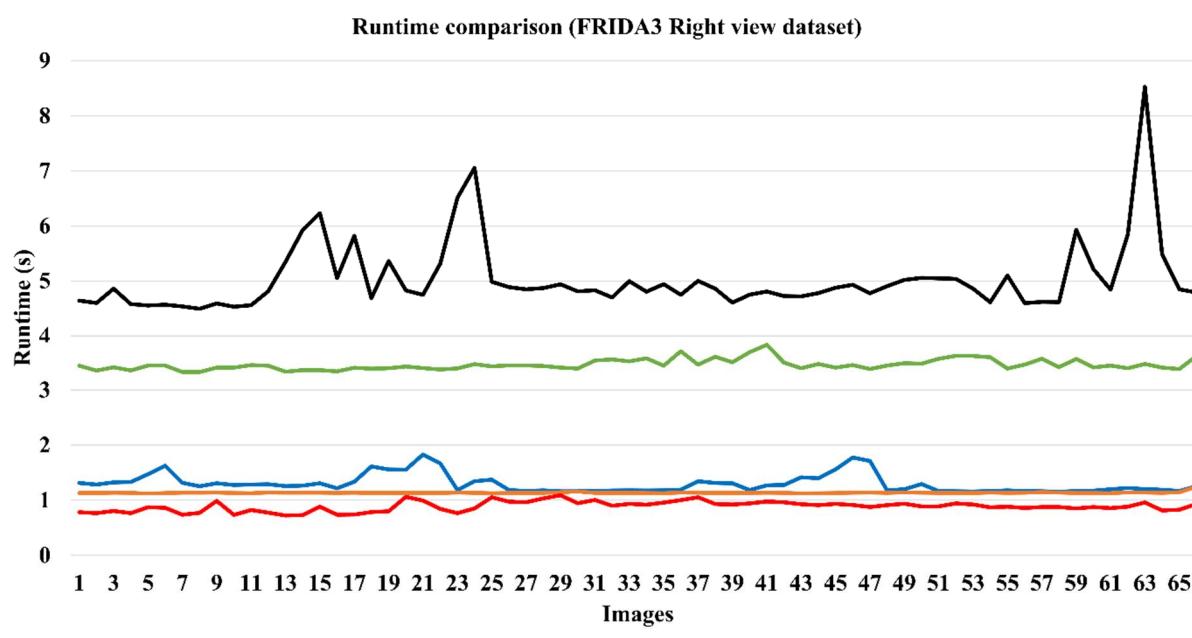
472
473**Table 3.** Runtimes for hazy images processed with He et al [32], Zhu, et al [69], Ren, et al, AMEF, PDE-GOC-SSR-CLAHE [52], PDE-IRCES [53] and PA

Algos \ Images	He, et al [32]	Zhu, et al [69]	Ren et al [46]	AMEF [54]	PDE-GOC-SSR-CLAHE [52]	PDE-IRCES [53]	PA
<i>Tiananmen (450×600)</i>	1.253494	0.991586	2.362754	1.4088	3.530989	2.330879	0.480659
<i>Cones (384×465)</i>	0.850155	0.661314	1.651447	1.0506	2.381621	1.555098	0.268909
<i>City1 (600×400)</i>	1.094910	0.875287	2.070620	1.2709	3.203117	2.183417	0.283372
<i>Canyon (600×450)</i>	1.237655	0.972741	2.529734	1.5066	3.821395	2.306129	0.309343
<i>Canon (525×600)</i>	1.431257	1.135376	2.890541	1.6958	4.187972	2.717652	0.374638
<i>Mountain (400×600)</i>	1.129231	0.880835	2.358143	1.2985	3.158335	2.055685	0.360240
<i>Brickhouse (711×693)</i>	2.230871	1.667610	5.234674	2.3618	6.395965	4.385789	1.102332
<i>Pumpkins (400×600)</i>	1.125475	0.901815	2.253179	1.5018	3.152969	2.143529	0.407310
<i>Train (400×600)</i>	1.105757	0.849072	2.075004	1.2935	3.178277	1.995436	0.365481
<i>Toys (360×500)</i>	0.844945	0.657376	1.578068	1.0387	2.429651	1.545031	0.260878

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(b)

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(c)

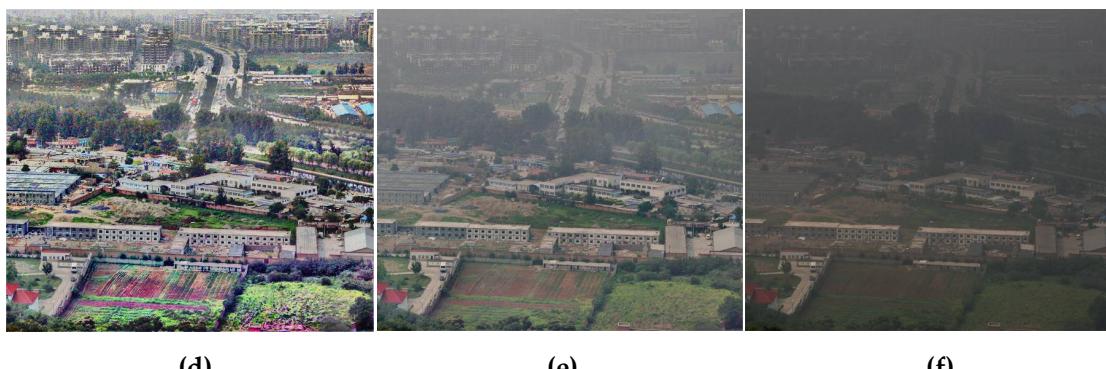
482 **Figure 7.** Runtime comparison of various algorithms using (a) 53 real and 66 synthetic (b) left and (c)
483 right view hazy images

484 **5 Visual comparison of AMEF and PA and Discussion**

485 The key components of the enhancement capability of AMEF are the CLAHE and Gamma
486 Correction (GC) algorithm. Unlike the PDE-GOC-CLAHE, which included the CLAHE and
487 minimized its negative effects [52], the AMEF does not possess such features. We directly compare
488 and present a sample of visual results of the state-of-the-art AMEF with PA in Figs. 8 to 10. Based on
489 visual observation, AMEF generally yields poor results without constant tuning of the clip limit, c .

490 Fig. 8(c) shows that PA can replicate the results of the AMEF by utilizing a high-boost filter with
 491 slightly better contrast than AMEF without CLAHE. Adding CLAHE to PA yields better results than
 492 AMEF with CLAHE.

493 The AMEF de-hazing algorithm yields images with halos and colour distortion similar to or
 494 worse than the CLAHE- or Retinex-based de-hazing algorithms as seen in the *Brickhouse* image in Fig.
 495 9(b). The AMEF is mainly suited to images with thick haze as seen in the *Train* image in Fig. 9(b),
 496 though there is colour fading. The *Horses* images was processed using $c = 0.03$ for AMEF and PA was
 497 processed using both filter settings. This is one of the images where AMEF performs adequately,
 498 though any slight increase in c leads to heavy colour distortion. Increasing the clip limit of the CLAHE
 499 in the AMF leads to increased colour distortion. Additionally, the AMEF algorithm is neither
 500 optimized nor adaptive and requires constant tuning of this clip limit parameter to obtain the best
 501 results for each hazy image. This makes the AMEF algorithm impractical for effective batch or real-
 502 time image de-hazing processing as these issues were consistently observed using several benchmark
 503 hazy images. Ultimately, PA is much faster than the AMEF algorithm while yielding good
 504 enhancement results without halos, colour degradation or the need to constantly adjust parameters.
 505 Also, the AMEF is unable to enhance underwater images, while PA effortlessly performs this
 506 operation as seen in Fig. 11.



507
 508 **Figure 8.** (a) PA (b) without GOCS (c) using high-boost filter setting (d) AMEF ($c = 0.1$) (e) AMEF ($c =$
 509 510 0.01) (f) AMEF without CLAHE

511
 512
 513



514

515

(a)

(b)

(c)

516

517

(d)

(e)

(f)

518

Figure 9. (a) PA (high-boost) (b) & (c) PA (d) AMEF ($c=0.03$) (e) & (f) AMEF ($c = 0.1$)

519



520

521

(a)

(b)



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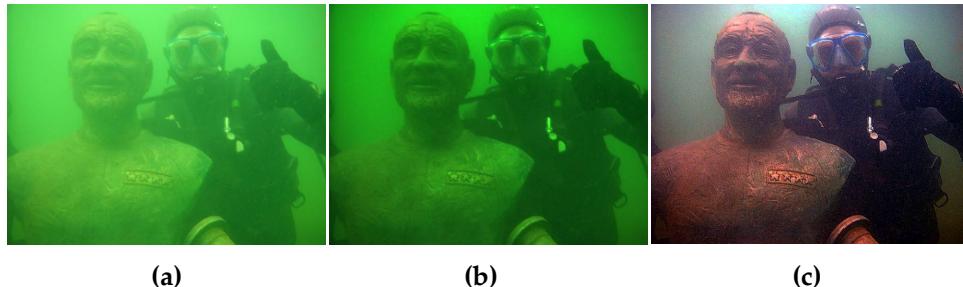
(c)

(d)

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Figure 10. (a) PA (b) with high-boost filter setting (c) AMEF ($c = 0.03$) (d) AMEF without CLAHE



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Figure 11. (a) Original underwater image processed with (b) AMEF (c=0.1) (c) PA

529 6. Conclusions

530 A fast, adaptive and versatile multi-scale, fractional order-based hazy and underwater image
531 enhancement algorithm with a relatively simplified structure suitable for hardware implementation
532 has been proposed and developed. The earlier problems of the algorithm were addressed by
533 automated balanced weighting of the filtered images used in the fusion process. The adherence to
534 image entropy and standard deviation features coupled with global and local contrast enhancement
535 ensures that visibility is greatly improved in the final result. Furthermore, comparisons with a recent
536 state-of-the-art multi-scale algorithm shows that the proposed approach is unmatched in several
537 aspects such as speed, consistency, versatility, adaptability and flexibility. Results show that the
538 proposed scheme achieves the stated objectives and can be easily realized in hardware systems for
539 fast image processing in challenging imaging environments.

540

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542 **Conflicts of Interest:** The authors declare no conflict of interest.

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