

1 Article

## 2 Metformin Hydrochloride-PLGA Nanoparticles in 3 Diabetic Rats in A Periodontal Disease Experimental 4 Model

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28

29 **Abstract:** The aim of this study was synthesize and evaluate the effects of Poly (D, L-Lactide-co-  
30 glycolide) (PLGA) Nanoparticles (NPs) of metformin (PLGA+ Met) on inflammation, and bone loss  
31 in a ligature-induced periodontitis rat model. The prepared NPs were characterized by mean  
32 diameter, size particle, polydispensity index and encapsulation efficiency by Atomic force  
33 microscopy (AFM). Male albino Wistar rats were randomly divided into four groups of 20 rats in  
34 each group, and given the following treatments for 10 days to evaluate *in vivo* activity: (1) Sham: no  
35 ligature + water; (2) Positive control: ligature + water (with Periodontal disease and Diabetes); (3)  
36 ligature + PLGA+ 10 mg/kg Met (With Periodontal disease and Diabetes); and (4) ligature + PLGA+  
37 100 mg/kg Met (with Periodontal disease and Diabetes). Water or PLGA + Met was administered  
38 orally by gavage. Maxillae were fixed and scanned using Micro-computed Tomography ( $\mu$ CT) to  
39 quantify linear of bone loss. Histopathological characteristics were assessed through  
40 immunohistochemical staining for Osteocalcin, Cathepsyn K, RANKL/RANK/OPG pathway. IL-1 $\beta$   
41 and TNF- $\alpha$  from gingival tissues were analysed by Elisa immunoassay. Quantitative RT-PCR  
42 reaction was used to evaluate gene expression of AMPK, NF- $\kappa$ B p-65, Hmgb1 and TAK-1 from  
43 gingival tissues. Statistical analysis was performed using one-way ANOVA at 5% significance. The  
44 mean diameter of MET-loaded PLGA nanoparticles was in a range of  $457.1 \pm 48.9$  nm with a  
45 polydispersity index of 0.285, zeta potential:  $8.16 \pm 1.1$  mV and entrapment efficiency (EE) was 70%.  
46 The results suggest that the addition of MET in the core slightly affected the particle sizes. Treatment  
47 with PLGA+ 10 mg/kg Met showed low inflammatory cells, decreased bone loss and integrity  
48 cement and levels of IL-1 $\beta$ , and TNF- $\alpha$  ( $p < 0.05$ ) were significantly reduced. Additionally, weak

49 staining was shown by RANKL, Cathepsin K, OPG, and osteocalcin. Radiographically, linear  
50 measurements showed a statistically significant reduction in bone loss after treatment with PLGA+ 10 mg/kg  
51 Met compared to the positive control ( $p < 0.05$ ). RT-PCR showed increased *AMPK* expression ( $p < 0.05$ )  
52 and decreased expression of *NF- $\kappa$ B P65*, *HMGB1* and *TAK-1* after PLGA+ 10 mg/kg Met ( $p < 0.05$ ).  
53 The PLGA nanoparticle + 10 mg/kg Met decreased glucose levels and also decreased the inflammatory  
54 response, and bone loss in ligature-induced periodontitis in rats.

55 **Keywords:** nanoparticles; poly lactic-co-glycolic acid; metformin; periodontal disease;  
56 inflammation  
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## 58 1. Introduction

59 Polymeric nanoparticles are particles with a diameter between 1 and 1000nm [1]. In recent years,  
60 nanoparticulate drug release systems using biodegradable polymers have been extensively studied  
61 for various applications [2,3]. Nanoparticles may offer advantages such as: increased therapeutic  
62 efficacy, prolonged and controlled release of the drug, decreased toxicity, as well as stability and  
63 lower drug decomposition [4,5].

64 Among the polymers studied for nanoparticle preparation, poly lactic-co-glycolic acid (PLGA)  
65 has been widely used because it is a biocompatible and biodegradable synthetic polymer that has  
66 been approved by the United States Food and Drug Administration (USFDA) [6].

67 Polymer composition is the most important factor to determine the hydrophilicity and  
68 degradation rate of a delivery matrix. The amount of glycolic acid is a critical parameter in tuning the  
69 hydrophilicity of the matrix and therefore the degradation and drug release rate [7].

70 Biguanides are an important class of oral hypoglycemic agents and act by inhibiting  
71 gluconeogenesis in the liver, increasing the density of low and high affinity receptors for insulin and  
72 decreasing resistance to the peripheral effects of insulin [8].

73 Currently, Metformin is the most commonly used oral hypoglycemic agent for treating type 2  
74 diabetes and is generally accepted as first-line treatment for this disease [9]. This treatment in  
75 diabetes patients has shown that there is reduced TNF- $\alpha$  expression [10], with confirmed anti-  
76 inflammatory activity [11].

77 The effect of metformin on periodontal disease was previously confirmed by our group, where  
78 the best bone loss results were found when metformin was administered at a dose of 50 mg/kg. The  
79 therapeutic dose of metformin in humans occurs in a range of 1700mg-3000mg/day. The dose of 50  
80 mg/kg in rats is below the therapeutic dose (approximately 567 mg/day). However, it is important to  
81 consider that the animals in this study were not diabetic, since our objective was to verify the  
82 pleiotropic effect of Metformin in periodontal disease [12]. For this study, our investigation objective  
83 is the effect of Metformin hydrochloride-PLGA nanoparticles on diabetic rats in a periodontal disease  
84 experimental model.

## 85 2. Results

### 86 2.1. Characterization of Met-Loaded Plga Nanoparticles

87 The well-defined spherical morphology and smooth surface of free-drug PLGA nanoparticles  
88 and MET-loaded PLGA nanoparticles can be directly observed in AFM image (Figure. 1). Table 1  
89 showed the mean diameter of MET-loaded PLGA nanoparticles was in a range of  $457.1 \pm 48.9$ nm with  
90 a polydispersity index of 0.285, zeta potential  $8.16 \pm 1.1$  mV and entrapment efficiency (EE) was  
91 70% (Table 1). These results suggest that the addition of MET in the core slightly affected the particle  
92 sizes ( $p > 0.05$ ). The mean particle size of MET-loaded PLGA nanoparticles was a bit larger than that  
93 of pure empty PLGA nanoparticles, indicating the presence of MET in the hydrophilic core of the  
94 nanoparticles (2,3).

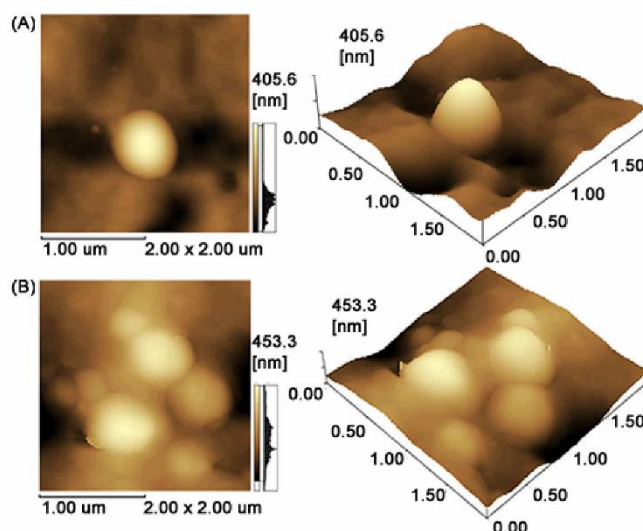


Figure 1.

**Table 1.** Loading efficiency of Metformin-loaded PLGA nanoparticles by double emulsification.

Formulation	Particle Size (nm)	PDI	Zeta Potential (mV)	EE (%)
NP Empty	406.3 ± 14.5	0.187 ± 0.01	-1.51 ± 3.2	-
NP + MET	457.1 ± 48.9	0.285 ± 0.12	8.16 ± 1.1	66.7 ± 3.73

Notes: PLGA, poly (lactic-co-glycolic acid); NP, nanoparticles; PDI, Polydispersity Index; MET, Metformin; EE, Encapsulation efficiency. The results are expressed as mean ± SD (n = 3).

## 2.2. Glucose Dosing

Induction of diabetes occurred in the control groups (DM and Positive control) and also in all treated experimental groups, and diabetes was confirmed for values greater than 300 mg/dl of blood glucose. Only treatment with PLGA+10mg/kg Met significantly reduced glucose levels in the animals (Table 2).

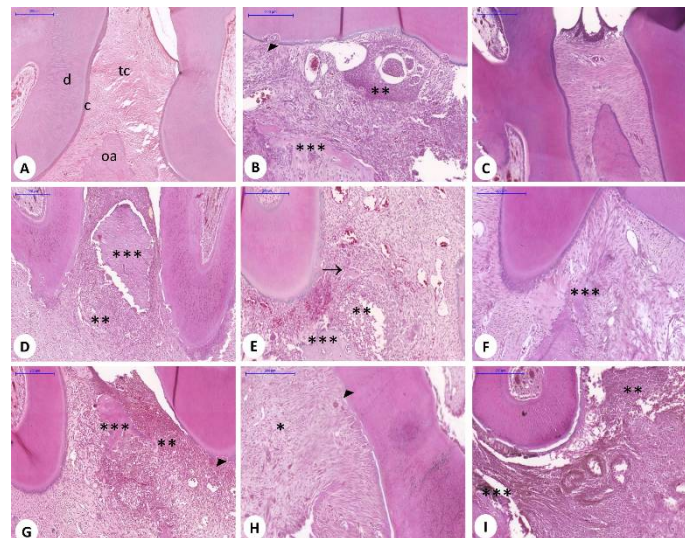
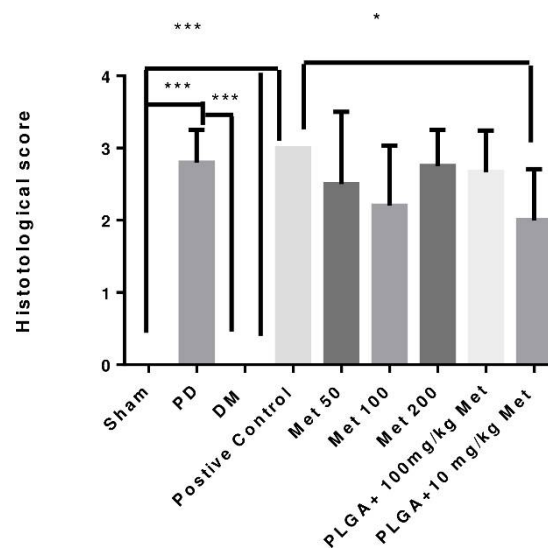
**Table 2.** Glucose levels: Sham group (unbound group), PD (bound), DM (diabetic group without ligation), DM + PD (diabetic group and with ligation), Met 50 (group bound and treated with MET 50 mg/kg), Met100 (bound and treated group with MET 100 mg/kg) and Met 200 (bound and treated group with MET 200 mg/kg), PLGA + 100 mg/kg Met 100 mg/kg + PLGA) PLGA + 10mg/kg Met (group bound and treated with MET 10mg/kg + PLGA).

Groups	Glucose mg/dL (Mean + Standard deviation)
Sham	115.7 ± 18.86 <sup>a***, b***</sup>
PD	176.7 ± 90.4 <sup>a***, b***</sup>
DM	605 ± 52.16
Positive control/PD+DM	529.9 ± 76.78
Met 50	523.2 ± 31.74
Met 100	522.0 ± 78.32
Met 200	454.3 ± 59.9
PLGA + 10mg/kg Met	286.5 ± 129.6
PLGA + 100mg/kg Met	440 ± 59.9 <sup>a***, b***</sup>

a= Difference among groups and DM, b= difference among groups and Positive control/DP+DM, \*\*\*p<0.001.

## 113 2.3. Histopathological Analysis

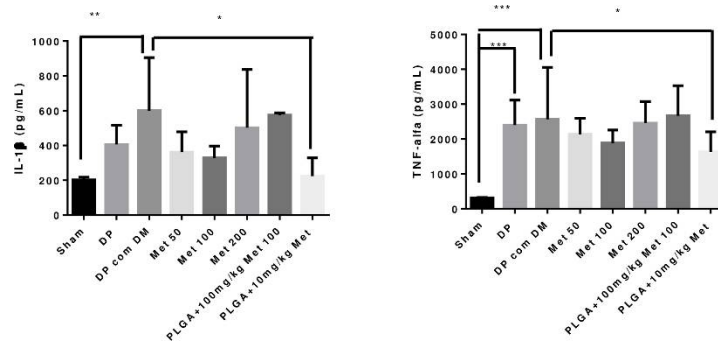
114 Histopathological data for the Sham and DM control groups showed that infiltration of  
 115 inflammatory cells was absent or scarce and was restricted to the marginal gingival region, and that  
 116 the alveolar bone and cement were preserved with scores 0 (0-0) for both groups; the difference being  
 117 significant when compared to the PD and Positive control groups ( $p < 0.001$ ), figure 2 and 3. The PD  
 118 and positive control groups presented scores of 2.8 (2.5-3.0) and 3 (3-3), respectively, with presence  
 119 of marked infiltration of inflammatory cells in the gingiva and periodontal ligament, marked  
 120 degradation of the alveolar bone, and partial to severe destruction of dental cement, figure 2 and 3.  
 121 The experimental groups Met 50, score: 3 (1.5-3), Met 200, Score: 3 (2.25-3) and PLGA + 100mg/kg  
 122 Met, Score 3 (2-3) showed a marked inflammatory infiltrate in the gingiva and periodontal ligament,  
 123 marked degradation of the alveolar process and partial to severe destruction of the cement. In turn,  
 124 the experimental groups Met 100, score: 2 (1.5-3) indicated marked cellular infiltration in the gingiva  
 125 and periodontal ligament, moderate degradation of the alveolar process and low cementation, figure  
 126 2 and 3. On the other hand, the PLGA + 10mg/kg Met, score 2 (1.5-2.5) group indicated moderate  
 127 inflammatory cellular infiltrate throughout the gingival insertion, light alveolar resorption, and intact  
 128 cement, with a significant reduction in bone loss when compared to the positive control group ( $p$   
 129  $< 0.05$ ), figure 2 and 3.

130  
131 Figure 2.132  
133 Figure 3.



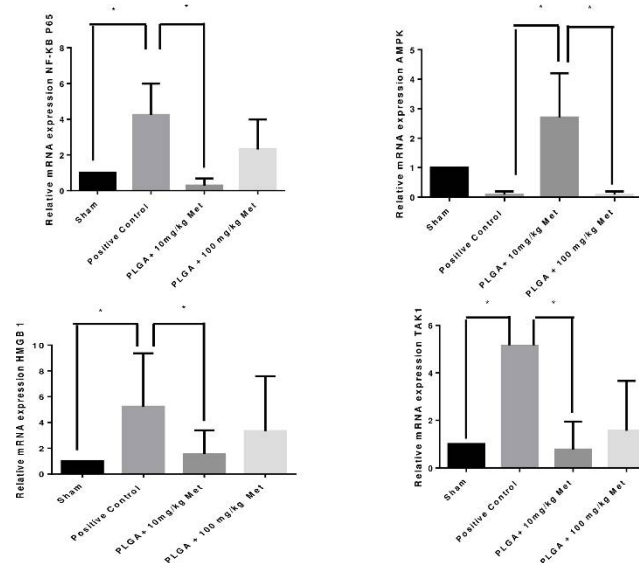
## 134 2.4. Cytokines

135 The quantification of inflammatory cytokines showed a significant reduction of IL-1 beta and  
 136 TNF-alpha in the Sham group when compared to the positive control group ( $p < 0.001$ ). The treatment  
 137 PLGA + 10mg/kg Met significantly reduced the IL-1 Beta and TNF-alpha levels ( $p < 0.05$ ), figure 4.

138  
139 **Figure 4.**

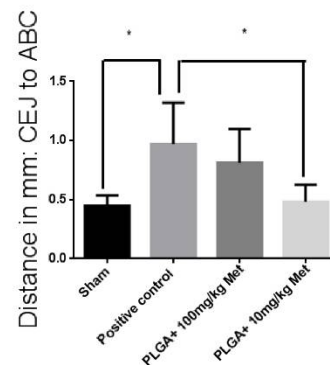
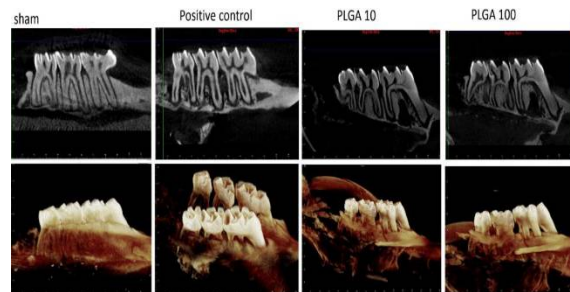
## 140 2.5. RT-PCR

141 Quantification of gene expression for the inflammatory NFKB p65 transcription factor showed  
 142 a significant reduction for the Sham and PLGA + 10mg/kg Met groups ( $p < 0.05$ ), figure 5. A  
 143 transcription factor directly related to the action mechanism of metformin is activated protein kinase  
 144 (AMPK). AMPK provides a target capable of mediating the beneficial metabolic effects of metformin.  
 145 AMPK is a key for metabolic enzymes such as 3-hydroxy-3-methylglutaryl CoA reductase (HMGCoA  
 146 reductase). Quantification of the gene expression of AMPK showed a significant increase in this  
 147 transcription factor for diabetic animals and periodontal disease treated with PLGA + 10mg/kg Met  
 148 when compared to the positive and PLGA + 100mg/kg Met ( $p < 0.05$ ) groups, figure 5. HMGB1, a  
 149 nuclear protein released from activated macrophages or injured cells, displays pro-inflammatory  
 150 cytokine-like properties once it enters the extracellular space and HMGB1-induced  
 151 osteoclastogenesis. Quantification of the HMGB1 gene expression showed a significant reduction for  
 152 the Sham and PLGA + 10mg/kg Met groups ( $p < 0.05$ ) when compared to the positive control, figure  
 153 5. TAK1 is indispensable to RANKL-induced osteoclastogenesis. The quantification of gene  
 154 expression showed a significant reduction for the Sham and PLGA + 10mg/kg Met groups ( $p < 0.05$ )  
 155 when compared to the positive control, figure 5.

156  
157 **Figure 5.**

## 158 2.6. Radiographic Assessment of Alveolar Bone Loss

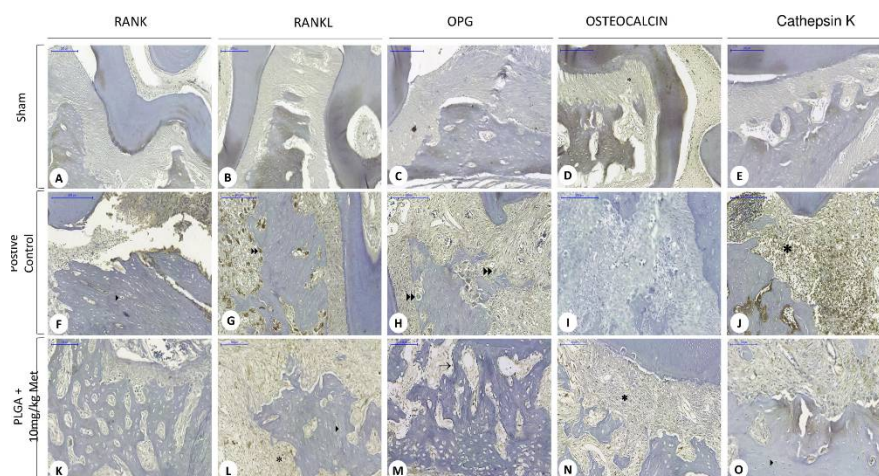
159 Rats with PD + DM (Positive control) ( $0.97\text{mm} \pm 0.35\text{mm}$ ), showed statistically significant more  
 160 linear bone loss compared to Sham ( $0.45\text{ mm} \pm 0.08\text{mm}$ ),  $p < 0.05$ . However, when comparing Positive  
 161 control ( $0.97\text{mm} \pm 0.35\text{mm}$ ) to PLGA 10 mg/kg Met ( $0.48 \pm 0.14\text{mm}$ ) treatment, bone loss was reduced.  
 162 PLGA + 100 mg/kg Met showed bone loss ( $0.81\text{ mm} \pm 0.28\text{mm}$ ) (Figure 6).



163  
164 **Figure 6.**

## 165 2.7. Immunohistochemistry

166 The sham group showed immunostaining absence for RANK, RANKL, OPG and cathepsin, and  
 167 low staining for osteocalcin. The positive control group showed intense immunostaining for RANKL  
 168 and Cathepsin, more significantly than Sham group ( $p < 0.001$ ) and the PLGA+ 10 mg/kg group  
 169 ( $p < 0.05$ ). The PLGA+ 10 mg/kg Met treatment resulted in low staining of RANKL, OPG,  
 170 Osteocalcin, Cathepsin and osteocalcin (Figure 7 and 8).



171  
172 **Figure 7.**

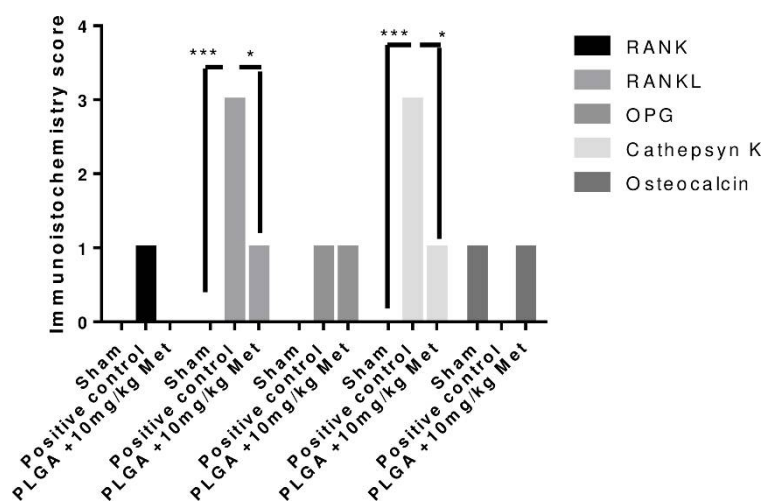


Figure 8.

173

174

### 175 3. Discussion

176 Poly lactic acid contains an asymmetric  $\alpha$ -carbon which is typically described as the D or L form  
 177 in classical stereochemical terms. The enantiomeric forms of the polymer PLA are poly D-lactic acid  
 178 (PDLA) and poly L-lactic acid (PLLA). PLGA is generally an acronym for poly D,L-lactic-co-glycolic  
 179 acid, where D- and L- lactic acid forms are in equal ratio [7]. PLGA can be processed into almost any  
 180 shape and size, and can encapsulate molecules of virtually any size. The mechanical strength of PLGA  
 181 is affected by physical properties such as molecular weight and polydispersity index [7]. These  
 182 properties also affect the ability to be formulated as a drug delivery device and may control the device  
 183 degradation rate and hydrolysis. When co-polymerized with PLA, crystalline PGA reduces the  
 184 crystallinity degree of PLGA and as a result increases the hydration and hydrolysis rates. As a rule,  
 185 higher PGA content leads to quicker degradation rates with an exception of a 50:50 ratio of PLA/PGA,  
 186 which exhibits the fastest degradation with higher PGA content leading to an increased degradation  
 187 interval below 50 [13]. In this study, MET-loaded PLGA nanoparticles with a 50:50 ratio was in the  
 188 range of  $457.1 \pm 48.9$ nm with a polydispersity index of 0.285, zeta potential of  $8.16 \pm 1.1$  mV and  
 189 entrapment efficiency (EE) was 70%. These results suggest that the addition of MET in the core  
 190 slightly affected the particle sizes.

191 Our data showed that the association of metformin with PLGA was able to reduce glucose levels  
 192 of  $529.9$  dl/ml<sup>3</sup> (positive control group) to  $286.5$  dl/ml<sup>3</sup> (MET 10 + PLGA group), although used in rats  
 193 at a 10X lower dose to the dose considered within the range used in humans [12], demonstrating that  
 194 the incorporation of drugs into nanoparticles may have a low polydispersion index [14]. A low  
 195 polydispersion coefficient guarantees greater control in the drug release, in addition to being more  
 196 stable during storage in biological fluids [7]. These results indicate that incorporation of the drug into  
 197 the nanoparticle has systemic benefits, thus favoring glycemia control with a dose reduction of  
 198 metformin, which reduces the adverse effects.

199 PLGA is the most successful and most featured polymer for drug-controlled release systems. It  
 200 is favored because of its biocompatibility, biodegradability and mechanical strength, and is still being  
 201 used to develop new controlled release systems [15]. The effects of the combination of metformin and  
 202 PLGA can be observed in both the inflammatory process and in the reduction of bone loss in  
 203 periodontal disease. The histopathological findings show a reduction of the inflammatory infiltrate,  
 204 little destruction of the periodontal ligament and absence of dental cement impairment in the group  
 205 treated with metformin at the dose of 10 mg/kg + PLGA.

206 This association showed excellent results in periodontal disease in diabetic animals; clinical and  
 207 histopathological data corroborate the findings that elucidate the main cellular and molecular  
 208 mechanisms involved in controlling inflammation and bone loss in periodontal disease.

209 In general, drugs are small enough molecules to cross the endothelium in almost all regions of  
 210 the body after systemic administration, and can reach both target regions and other healthy regions

211 not affected by any disease, thus causing associated side effects of medication. The use of colloidal  
212 nanoparticle systems helps to control these adverse effects and improves therapeutic efficacy. These  
213 drugs are encapsulated within nanoparticles of 50-800nm, which are not able to cross the vessel wall  
214 of healthy regions of the body (the space between these cells is only 15-30nm) [16]. Nanoformulation  
215 also demonstrates increased anti-inflammatory effects and drug retention at the action site [17]. The  
216 present study showed that all groups treated with metformin significantly reduced the inflammatory  
217 markers (IL-1 beta and TNF-alpha) with a prominence of MET 10 mg/kg + PLGA.

218 The RANKL, RANK and OPG system represents the key molecular regulation for bone  
219 remodeling [18]. Studies have shown a favorable effect of Metformin on bone formation. There are  
220 two action mechanisms suggested for the osteogenic effect of Metformin, which are: increased  
221 osteoblast proliferation and decreased osteoclast activity. Studies indicate that the proliferation of  
222 Metformin is increased after its absorption by osteoblasts [19]. This drug negatively regulates RANKL  
223 production and positively regulates osteoprotegerin (OPG) production from osteoblasts [20]. Thus,  
224 there is a decrease in osteoclast activity through this decrease in the RANKL/OPG ratio, aiding in  
225 inducing bone formation and inhibiting resorption [21]. In our study it was found *in vivo* that  
226 metformin at the dose of 10mg/kg + PLGA reduced bone loss with increased osteocalcin  
227 immunoblotting and reduced RANKL, indicating an increase in mature osteoblasts and a reduction  
228 in the number of osteoclasts.

229 AMP-activated protein kinase (AMPK) has emerged as a detection mechanism in regulating  
230 cellular energy homeostasis and is an essential mediator of the central and peripheral effects of many  
231 hormones in glucose metabolism [22]. It is a key molecule in controlling metabolic diseases such as  
232 type 2 diabetes and obesity, and is activated by antidiabetic drugs such as metformin and  
233 thiazolidinediones [23]. Most isoforms of AMPK subunits are expressed in bone cells and bone tissue.  
234 It was observed *in vitro* that metformin (50  $\mu$ M) significantly increased the expression of osteocalcin,  
235 stimulated alkaline phosphatase activity and increased cell mineralization, yet significantly activated  
236 AMPK in dose and time-dependent forms [24]. AMPK plays a critical role as a negative feedback  
237 regulator of RANKL's osteoclast formation promoting action [25]. Araújo et al. (2017) [12]  
238 demonstrated that a low dose of metformin reduced bone loss, decreased RANKL and increased  
239 relative expression of AMPK mRNA. Osteocalcin is specifically expressed in osteoblasts, secreted in  
240 circulation, and can regulate glucose homeostasis. Metformin stimulates the expression of osteocalcin  
241 and the differentiation of osteoblasts via AMPK activation [24]. In our study it was observed that  
242 MET 10mg/kg + PLGA activated AMPK gene expression which led to a stimulus of osteocalcin  
243 expression and consequently osteoblast deposition and bone formation.

244 Lee et al. 2010 [25] demonstrated that AMPK acts via CaMKK and TAK1 activation to serve as a  
245 negative feedback regulator of RANKL-induced osteoclast formation. Mizukami et al. [26] also  
246 reported that RANKL stimulation facilitates the formation of a complex containing RANK, TRAF6,  
247 TAB2 and TAK1, leading to the activation of TAK1. RANKL also acts through TRAF6 to activate  
248 TAK1, promoting osteoclastogenesis via NF- $\kappa$ B activation. More interestingly, CaMKK and TAK1  
249 can be activated by RANKL in osteoclast precursors.

250 HMGB1 acts by stimulating the differentiation of osteoclast precursors in the presence of  
251 RANKL and has similar proinflammatory properties to cytokines once it enters the extracellular  
252 space [27]. Thus, in our study we were able to observe a reduction in HMGB1 at the dose of MET 10  
253 mg/kg, thus showing its relation with inflammation control and in bone loss, since it contributed to  
254 decrease the NFK beta and RANKL levels.

255 This study showed that the PLGA + 10mg/kg Met association had better results, as it managed  
256 to control blood glucose levels below what is considered as diabetes, and so this nanotechnology  
257 product guaranteed rational release of the drug at the inflammation site, thereby controlling  
258 inflammation and bone loss in the experimental periodontal disease model.

#### 259 4. Material and Methods

260 Metformin hydrochloride was purchased from Companhia da Fórmula D, L-PLGA 50:50  
261 (inherent viscosity of 0.63 dL g<sup>-1</sup> at 30°C) was purchased from Birmingham Polymers Inc. (USA),



262 Polyvinyl alcohol (PVA) was purchased from Sigma-Aldrich Co. (St. Louis, MO, USA), and  
263 dichloromethane (DCM) from QHEMIS® (Brazil). Purified water ( $1.3 \mu\text{S}\cdot\text{cm}^{-1}$ ) was prepared from  
264 reverse osmosis purification equipment, (OS50 LX Gehaka, São Paulo, Brazil). All other reagents were  
265 of analytical grade.

#### 266 4.1. Experimental Design and Preparation of MET-loaded PLGA Nanoparticles

267 PLGA nanoparticles for metformin encapsulation were fabricated by adapting the double  
268 emulsion solvent diffusion technique [28-30] with some modifications: 50 mg of PLGA was  
269 dissolved in 6 ml of *Dichloromethane* (DCM). Metformin (272 mg/mL) was dissolved in an aqueous  
270 phase containing 0.1% polyvinyl alcohol. The aqueous phase with the drug (600  $\mu\text{L}$ ) was added into  
271 3 mL of organic phase containing PLGA. The mixture was emulsified with a probe-tip sonicator  
272 (probe-tip diameter: 1.3 cm, Sonics & Materials Inc., Danbury, CT, USA) operating at 50% amplitude  
273 intensity for 1 minute. This first mixture was then added into 6 mL of water containing 1.0% of PVA  
274 and the mixture was emulsified with a probe-tip sonicator for 1 minute. This emulsion was added  
275 into 8 mL of water containing 1.0% PVA under magnetic stirring, leading to the formation of a  
276 W/O/W emulsion with MET-loaded PLGA nanoparticles. The organic solvent was allowed to  
277 evaporate overnight by stirring over a magnetic stir plate. Free-drug nanoparticles were prepared  
278 using the same procedure but excluding the drug.

#### 279 4.2. Physicochemical Aspects

280 Mean diameter and particle size distribution were carried out by dynamic light scattering in a  
281 ZetaPlus device (Brookhaven Instruments Co., USA) equipped with a 90Plus/BI-MAS apparatus at a  
282 wavelength of 659 nm with a scattering angle of  $90^\circ$ . Zeta potential of the particles was measured by  
283 laser Doppler anemometry using the same equipment. All analyses were performed at  $25^\circ\text{C}$ .  
284 Experimental values were given as the mean  $\pm$  SD for the experiments and carried out in triplicate  
285 for each sample. The shape and surface of drug-free and MET-loaded nanoparticles were observed  
286 using AFM images. The dispersions were freshly diluted with purified water at a ratio of 1:25 (v/v)  
287 and dropped in a cover slip, dried under a desiccator for 24 h and then analyzed in a Shimadzu SPM-  
288 9700 AFM (Tokyo, Japan) at room temperature with a cantilever in non-contact mode at 1Hz  
289 scanning. Samples were prepared by using one drop of dispersion, which was placed on a washed  
290 microscope slide and dried under a desiccator for 24 h and then analyzed at  $25^\circ\text{C}$  in a cantilever in  
291 non-contact mode.

#### 292 4.3. Drug Loading Efficiency

293 PLGA nanoparticles were used in this experiment to obtain an efficient drug loading  
294 corresponding to 6mg/mL. MET loading was assessed by indirect method in which dispersions were  
295 centrifuged at 16,900 RCF (g) per 60 min at  $4^\circ\text{C}$  using an ultra-centrifugal filter (Sartorius®, Vivaspin  
296 2, Ultra-15 MWCO 10 kDa). The supernatant was removed and diluted in purified water 1:20 (v/v)  
297 and the measurements were carried out in a UV Thermo Fisher Scientific 60S Evolution  
298 Spectrophotometer (USA), using previously validated UV spectrophotometry at 232 nm. Entrapment  
299 efficiency (EE) was calculated using the following equation:  $\text{EE}\% = (\text{total drug} - \text{drug determined in}$   
300  $\text{the supernatant})/\text{total drug} \times 100$ .

#### 301 4.4. In Vivo Experimental Study (Periodontal Disease Experimental Model)

302 The experiments were performed on male Wistar rats (180–220 g) housed under standard  
303 conditions (12 h light/dark,  $22 \pm 0.1^\circ\text{C}$ ), with ad libitum access to food and water. All animal protocols  
304 were approved by the Animal Ethics Committee of the Federal University of Rio Grande do Norte,  
305 Brazil. The anesthesia used to induce periodontal disease by intraperitoneal administration was  
306 Ketamine 10% (70 mg/kg, Vetnil, São Paulo, Brazil) and 2% xylazine (10 mg/kg, São Paulo, Brazil).  
307 The animals were euthanized with 80 mg/kg thiopental, followed by cervical dislocation (Cristália,  
308 São Paulo, Brazil).

#### 309 4.5. Control and Experimental Groups

##### 310 **Control Groups:**

311 20 animals without diabetes and without periodontal disease (Sham)

312 20 animals with periodontal disease and without diabetes (PD)

313 20 animals with diabetes and without periodontal disease (DM)

314 20 animals with diabetes and with periodontal disease (positive Control/PD + DM)

##### 315 **Experimental groups:** Metformin hydrochloride

316 20 animals with diabetes and with periodontal disease and Metformin 50 mg/kg/day DOSE (Met  
317 50)

318 20 animals with diabetes and periodontal disease and Metformin 100 mg/kg/day DOSE (Met  
319 100)

320 20 animals with diabetes and periodontal disease and Metformin 200 mg/kg/day DOSE (Met  
321 200)

##### 322 **Experimental groups:** Metformin hydrochloride-PLGA nanoparticles

323 From the best histopathological results, 02 experimental groups were defined for Metformin  
324 hydrochloride-PLGA nanoparticle association (with periodontal disease and diabetes). The best anti-  
325 inflammatory dose of Metformin was used and a lower 10X dose of metformin was used.

326 20 animals with diabetes and periodontal disease and 100 mg/kg/day of Metformin + PLGA  
327 (PLGA + 100 mg/kg Met)

328 20 animals with diabetes and with periodontal disease and 10 mg/kg/day DOSE of Metformin +  
329 PLGA (PLGA + 10 mg/kg Met)

#### 330 4.6. Diabetes Induction

331 Diabetes was induced by administration of Streptozotocin/STZ (Sigma Aldrich) (40 mg/kg, ip)  
332 through the penile vein, dissolved in Sodium Citrate buffer (0.01M, pH 4.5) at the concentration of  
333 40mg/kg body weight under general anesthesia with 3% isoflurane inhalation. After one week of STZ  
334 administration, glucose was measured by a glycosometer (One touch select simple). Upon reaching  
335 plasma glucose stability of  $\geq 300$  mg/dL, the animals were considered diabetic and selected for later  
336 Periodontal Disease studies. A puncture was made in the initial portion of the animal's tail using a  
337 sterile needle and the blood was collected on a reagent strip for glucose determination.

#### 338 4.7. Periodontal Disease Induction

339 After diabetes confirmation, Periodontal Disease induction was performed by placing a 3.0  
340 nylon wire on the second left molar of male Wistar rats with the animals under i.p. ketamine  
341 (80mg/kg) and xylazine (10mg/kg) anesthesia. Oral treatments were performed by gavage 30 minutes  
342 prior to periodontal disease induction, which continued until the 10<sup>th</sup> day. Euthanasia by thiopental  
343 (80 mg/kg) was performed on the 11<sup>th</sup> day. After sacrificing the animals, the gingival and maxillary  
344 tissue samples were sent for analysis.

#### 345 4.8. Histopathological Analysis (Decalcified Tissue)

346 Histological analyzes were independently performed by two calibrated pathologists. All groups  
347 (controls and experimental) were analyzed by histopathology. This step selected the best results that  
348 were used for the subsequent analyzes. The sectioning was performed in the morphology laboratory  
349 of the UFRN, and the slides were analyzed by light microscopy in the Department of Morphology.  
350 Five jaws were used per group. Alveolar bone specimens were collected, fixed in 10% buffered  
351 neutral formol, and demineralized in 5% nitric acid. The samples were then dehydrated, embedded  
352 in paraffin and sectioned along the molars in the mesiodistal plane for hematoxylin and eosin.  
353 Sections (4  $\mu$ m) corresponded to the area between the first and second maxillary molars where  
354 ligation was placed for analysis by light microscopy (40  $\times$  magnification). Influx of inflammatory cells  
355 and alveolar bone integrity and cement were analyzed. A score of 0 indicated that infiltration of  
356 inflammatory cells was absent or scarce and was restricted to the marginal gingival region, and that

357 the alveolar process and cement were preserved; a score of 1 indicated moderate cell infiltration  
358 throughout the gingival insert, minor alveolar resorption, and intact cement; a score of 2 indicated  
359 marked cellular infiltration in the gingiva and periodontal ligament, marked degradation of the  
360 alveolar process and partial destruction of the cement; and 3 indicated marked cellular infiltration,  
361 complete reabsorption of the alveolar process and severe destruction of the cement.

#### 362 4.9. Elisa immunoassay for Detection of IL-1 $\beta$ and TNF- $\alpha$

363 Gingival tissues (n = 5) of the control (Sham, PD and Positive control) and experimental groups  
364 were stored at -70°C. IL-1 $\beta$  levels (detection range: 62.5-4000 pg/mL; lower detection limit: 12.5 ng /  
365 ml of recombinant mouse IL-1 $\beta$ ) and TNF- $\alpha$  (detection range: 62.5-4000 pg/ml; lower limit of  
366 detection: 50 ng / ml mouse TNF- $\alpha$  recombinant) were determined using commercial ELISA kits (R  
367 & D Systems, Minneapolis, MN, USA) as previously described [15]. All samples were measured at  
368 490 nm.

369 First, microtiter plates were coated overnight at 4°C with rat antibodies against TNF- $\alpha$ , IL-1 $\beta$ ,  
370 and IL-10. Plates were then blocked, samples and standards added in several dilutions, in duplicate  
371 and incubated at 4°C for 24 h. The plates were washed three times with buffer and the antibodies  
372 added to the wells (anti-TNF- $\alpha$ , anti-IL-1 $\beta$ , or anti-IL-10 sheep biotinylated polypropylene, diluted  
373 1000 with 1% BSA assay buffer). Plates were incubated at room temperature for 1 hour, washed, and  
374 50  $\mu$ l of avidin-HRP (1 5000) were added. Then o-phenylenediamine reagent coloring (50 mL) was  
375 added 15 min later, and the plates were incubated in the dark at 37 °C for 15-20 min. The enzymatic  
376 reaction was reduced with H<sub>2</sub>SO<sub>4</sub> and the absorbance measured at 490 nm. Values were expressed in  
377 pg/mL.

#### 378 4.9.1. Genetic Marker Rt-Pcr Analysis for Periodontal Disease in Diabetic Animals

379 The control (sham and positive control) and experimental groups (PLGA + 100mg / kg Met and  
380 PLGA + 10mg / kg met) were included in the quantification of expression by RT-PCR. Total RNA  
381 from the gingival tissues of the treated groups were extracted using the Trizol reagent (Invitrogen,  
382 Carlsbad, CA, USA) according to the manufacturer's guidelines and stored at -70 °C.

383 RNA concentration was determined from the optical density at a wavelength of 260 nm (using  
384 an OD<sub>260</sub> unit equivalent to 40  $\mu$ g/ml RNA). Five micrograms of isolated total RNA were reverse  
385 transcribed to cDNA in a reaction mixture containing 4  $\mu$ l 5X reaction buffer, 2  $\mu$ l dNTP mixture (10  
386 mM), 20 units of RNase inhibitor, 200 units of avian-myeloblastosis virus (AMV) reverse  
387 transcriptase, and 0.5  $\mu$ g oligo(dT) primer (High-Capacity cDNA Reverse Transcription Kit, Foster  
388 City, USA) in a total volume of 20  $\mu$ l. The reaction mixture was incubated at 42 °C for 60 min, and the  
389 reaction was terminated by heating at 70 °C for 10 min. The cDNA was stored at -80 °C until further  
390 use. Gene expression was evaluated by PCR amplification using primer pairs based on published rat  
391 sequences (GADPH- *Rattus norvegicus*: Forward primer: AACTTGGCATCGTGGAAGG, Reverse  
392 Primer: GTGGATGCAGGGATGATGTTT; AMPK-*Rattus norvegicus* protein kinase, AMP-activated,  
393 alpha 2 catalytic subunit (Prkaa2), mRNA: Forward primer: AGCTCGCAGTGGCTTATCAT, Reverse  
394 Primer: GGGGCTGTCTGCTATGAGAG; NF- $\kappa$ B-*Rattus norvegicus* v-rel avian reticuloendotheliosis  
395 viral oncogene homolog A (Rela), mRNA Forward primer: TCTGCTTCCAGGTGACAGTG, Reverse  
396 Primer: ATCTTGAGCTCGGCAGTGTT; Hmgb1-*Rattus norvegicus* high mobility group box 1  
397 (Hmgb1), mRNA: Forward primer: GAGTACCGCCCAAAAATCAA, Reverse Primer:  
398 TTCATCCTCCTCGTCTCTT; TAK-1 Forward primer:: GTCATCCAGCCCTAGTGTCAGATT,  
399 Reverse Primer: TTCTTTGGAGTTTGGGCACG. Transforming Growth Factor  $\beta$ -activated Kinase 1  
400 TAK1- *Mus musculus*, mRNA: Forward primer: GTC ATC CAG CCC TAG TGT CAG AAT, Reverse  
401 Primer: TTC TTT GGA GTT TGG GCA CG-3'

402 Quantitative RT-PCR was performed using Power SYBR Green master mix (Applied Biosystems,  
403 USA), and a Step One Plus thermocycler (Applied Biosystems, USA), according to the manufacturer's  
404 instructions. For the 1X PCR master mix, 2.5  $\mu$ l of each cDNA was added in a final volume of 20  $\mu$ l.  
405 The PCR conditions were as follows: 95 °C for 5 min, 40 cycles of 30 s at 95 °C, 30 s at 52–60 °C (based  
406 on the target), and 60 s at 72 °C. The relative quantitative fold change compared with the control

407 (Sham) was calculated using the comparative Ct method, where Ct is the cycle number at which  
408 fluorescence first exceeds the threshold. The Ct values from each sample were obtained by  
409 subtracting the values for GAPDH Ct from the target gene Ct value. The specificity of resulting PCR  
410 products was confirmed by melting curves.

#### 411 4.10. Radiographic Micro-Computed Tomography (Microct) Measurement of Abl

412 In this stage, the control groups (Sham and positive control) and experimental groups (PLGA +  
413 100mg/kg Met and PLGA + 10mg/kg met) were included. Animals were euthanized at the end of the  
414 experiment (10 days after addition of the ligature and first drug treatments); maxillae were dissected  
415 and fixed in 10% buffered formalin for 24 hours and stored in 70% alcohol. Rat maxillae were  
416 scanned using micro-computed tomography ( $\mu$ CT, micro-CT) (Model 1172; SkyScan, Kontich,  
417 Belgium) at 20 micrometers resolution. Micro-CT files were converted to Digital Imaging and  
418 Communications in Medicine (DICOM) files and imported into Dolphin® software for linear bone  
419 height analysis. Linear bone height analysis was performed by positioning the second molar  
420 cemento-enamel junctions (CEJ) parallel to each other in the coronal plane. In the axial plane, the  
421 middle of the crown was identified and linear bone distances were recorded on the mesial aspect of  
422 the second molar on the sagittal image. Additional measurements were taken 0.3mm palatal from the  
423 middle of the crown, again recording the mesial aspect of the second molar on the sagittal image. The  
424 linear measurements were recorded from the CEJ to the alveolar crest (AC). Each second molar  
425 received a total of 2 measurements, and these values were averaged for each group. Samples were  
426 positioned using DataViewer (V.1.5.2 Bruker, Billerica, MA) such that the CEJ's of the second molar  
427 were parallel to each other in the sagittal and coronal planes in order to assess volumetric bone  
428 volume/tissue volume (BV/TV) changes. The axial plane showed the first, second, and third crowns  
429 of each molar. The images were analyzed using CTAn (V.1.16 Bruker, Billerica, MA). A 40-slice  
430 volume set at a threshold of 75 in the bifurcation area of the second molar was used as a region of  
431 interest for analysis ( $n \geq 3$ /group for all  $\mu$ CT analysis).

#### 432 4.11. Immunohistochemistry

433 Only controls (Sham and positive control) and experimental group (PLGA + 10 mg/kg met) were  
434 included in this step. Fine sections of periodontal tissue (4  $\mu$ m) (3 mandibles per group) were  
435 produced using a microtome and transferred onto gelatin coated slides. Each section was  
436 deparaffinized and rehydrated. Gingival and periodontal tissues were washed with 0.3% Triton X-  
437 100 in phosphate buffer, then extinguished with peroxidase (3% hydrogen peroxide) and incubated  
438 with the following primary antibodies (Santa Cruz Biotechnology, Interprize, Brazil) overnight at  
439 4°C: RANKL, 1400; OPG, 1400; cathepsin K, 1400; and osteocalcin, 1400, which were washed with  
440 phosphate buffer and incubated with streptavidin-HRP-conjugated secondary antibodies (Biocare  
441 physicians, Concord, CA, USA) for 30 minutes, and immunoreactivity for RANK, RANK-L, OPG,  
442 cathepsin K and osteocalcin were visualized using a colorimetric detection kit following the  
443 manufacturer's instructions (TrekAvidin-HRP Label + Kit, Biocare, Dako, USA).

#### 444 Statistical analysis

445 Using the nanoparticle characterization, pairwise comparisons of the analytical data were  
446 performed using the Student's t-test. One-way analysis of variance (ANOVA) was applied for  
447 multiple comparisons, followed by Tukey's post hoc test.  $P < 0.05$  was considered statistically  
448 significant. Data for the *in vivo* experiments were analyzed using descriptive and analytical statistics.  
449 Parametric tests such as ANOVA, followed by Bonferroni's post-test and non-parametric Kruskal-  
450 wallis test were used. A significance level of 5% was considered.

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## 469 Abbreviations

PLGA	Poly (D, L-Lactide-co-glycolide)
NPs	Nanoparticles
Met	Metformin
AFM	Atomic force microscopy
μCT	Micro-computed Tomography

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