

Article

Surface Rust Detection Using Ultrasonic Waves in a Cylindrical Geometry by Finite Element Simulation

Qixiang Tang¹, Cong Du², Jie Hu¹, Xingwei Wang² and Tzuyang Yu^{1,*}

¹ Department of Civil and Environmental Engineering, One University Avenue, Lowell, MA 01854, U.S.A.

² Department of Electric and Computer Engineering, One University Avenue, Lowell, MA 01854, U.S.A.

* Correspondence: tzuyang_yu@uml.edu; Tel.: +1-978-934-2288

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Abstract: Detection of early stage corrosion on slender steel members is crucial for preventing buckling failures of steel structures. An active photoacoustic fiber optic sensors (FOS) system has been reported for early stage steel corrosion detection of steel plates and rebars using surface ultrasonic waves. The objective of this paper is to investigate the surface corrosion/rust detection problem on steel rods using numerically simulated surface ultrasonic waves. The finite element method (FEM) is applied in simulating the propagation of ultrasonic waves on steel rod models. Transmission mode of damage detection is adopted, in which one source (transmitter) and one sensor (receiver) are considered. In this research, radial displacements at the receiver were simulated and analyzed by short-time Fourier transform (STFT) for detecting, locating, and quantifying a surface rust located between the transmitter and the receiver. From our time domain and frequency domain analyses, it is found that the presence, location, and dimensions (length, width, and depth) of surface rust can be estimated by ultrasonic waves propagating through the surface rust.

Keywords: finite element method (FEM); damage detection; surface rust; ultrasonic testing; short-time Fourier transform

1. Introduction

Slender steel members such as steel rods and bars are widely used structural components in civil infrastructure (e.g., steel truss bridges, temporary support structures, traffic signs). Unlike other construction materials such as Portland cement concrete, steel is vulnerable to corrosion. Steel corrosion can take place when suitable environmental conditions (e.g., temperature, pH, oxygen, moisture, chloride ions) are met. As a result, premature failures of steel structures can occur if one or many critical members is corroded. Corrosion of steel members will reduce the effective cross sectional area of the member by replacing steel (ferrite) with rust (ferrite oxides). Consequently, structural stiffness and bearing capacity of corroded steel members will be reduced. Furthermore, corrosion of steel members can also increase the likelihood of instability (buckling) for slender steel members, due to the change of boundary condition at the support or within each member.

Detection of early stage corrosion on slender steel members is crucial for preventing their premature failures. Various nondestructive evaluation/testing (NDE/T) and structural health monitoring (SHM) techniques have been applied to steel structures [1]. Example techniques include visual testing [2], modal analysis [3], Eddy current testing [4], thermal infrared testing [5], and ultrasonic testing [6,7]. Among these techniques, fiber optic sensors (FOS) represent a popular approach for long-term monitoring of steel structures [8,9]. While FOS have been applied to many steel structures in the past, most of the damage detection algorithms are based on passive response of FOS. In other words, either corrosion-induced cracking or loading-induced dynamic response must be generated from monitored steel members such that FOS can passively detect the presence of corrosion. Recently, an active photoacoustic FOS system has been reported for early stage steel corrosion detection of steel plates and rebars [10]. Different from traditional passive FOS techniques, active FOS can generate acoustic/ultrasonic waves to probe monitored steel members for early-stage corrosion detection.

38 Meanwhile, installed FOS allow engineers to assess the conditions (e.g., temperature) of structures
 39 without the use of couplant and "adapters" [11–13]. With a minimized size, active FOS can be installed
 40 onto irregular/curved surface of structures.

41 In this paper, our objective is to investigate the surface rust detection problem in a cylindrical
 42 geometry (slender steel rod) using ultrasonic waves in transmission mode and to develop a surface
 43 rust detection algorithm, as a basis for the practical application of an active photoacoustic FOS system.
 44 Steel rods are chosen as an example of slender steel members. The finite element method (FEM) is
 45 applied in simulating the propagation of ultrasonic waves at 1MHz on steel rod models. Surface rust is
 46 simulated by a rectangular prism which is characterized by its location (s_3), length (d), width (w), and
 47 depth (h). Transmission mode of damage detection is adopted, in which one source (transmitter) and
 48 one sensor (receiver) are considered. In this research, radial displacements ($u(t)$) at the receiver were
 49 simulated and analyzed by short-time Fourier transform (STFT) for detecting, locating, and quantifying
 50 a surface rust located between the transmitter and the receiver. Time domain and frequency domain
 51 analyses are conducted for developing a damage detection algorithm. In what follows, the detail of
 52 finite element (FE) simulation is first provided.

53 2. Finite element simulation

54 In the past, FEM had been applied for simulating ultrasonic wave propagation for damage
 55 detection [14–16]. Among various signal processing techniques, short-time Fourier transform (STFT)
 56 has been demonstrated as an applicable approach for analyzing the transient response of ultrasonic
 57 wave propagation in the time-frequency domain [17]. In this research, cylindrical geometry was
 58 numerically modeled by six steel rod models (one intact and five corroded) in a commercially
 59 available finite element package (ABAQUS 2016) [18]. 705, 600 linear hexahedral elements (C3D8)
 60 were used in all six models. Five corroded steel rod models were created by introducing a rectangular
 61 prism/anomaly to the surface of intact steel rod model. Transmission mode of damage detection was
 62 applied for data collection by using one transmitter (source or T) and one receiver (R) in each model,
 63 as shown in Fig. 1. Time domain radial displacement ($u(t)$) at the receiver was collected for all six
 64 models. Design of intact and corroded FE models are described in the following sections.

65 2.1. Intact steel rod model

66 An intact steel rod model (denoted by IM) was created by using a cylinder with 12.7-mm diameter
 67 (D) and 50-mm length, as shown in Fig. 1. Materials properties of steel used in the intact steel rod
 68 model was provided in Table 1. A transmitter (T) was located at mid-span and a receiver (R) was
 69 located 10 mm away from T along the longitudinal axis (z -axis) of the model. The distance between T
 70 and R was denoted as s_1 . The intact steel rod model was fixed at both ends. To suppress unnecessary
 71 reflections from both ends, ten absorbing layers [19] were used at each end of the model such that
 72 ultrasonic waves propagating into the absorbing layers can be damped out. As shown in Fig. 2), a
 73 sinusoidal pulse was introduced at T , and time domain radial displacement ($u(t)$) was collected at R .

Table 1. Material's properties

Material	Density (kg/m ³)	Young's Modulus (MPa)	Poisson's Ratio
Steel	7,850	210,000	0.3
Rust	2,610	500	0.3

74 2.2. Corroded steel rod models

75 Five corroded steel rod models (denoted by CM) were generated by replacing the material
 76 properties at a corroded region from steel to rust in order to simulate the introduction of surface rust to
 77 the intact steel rod model, as shown in Table 2. Four attributes were used to characterize the corroded

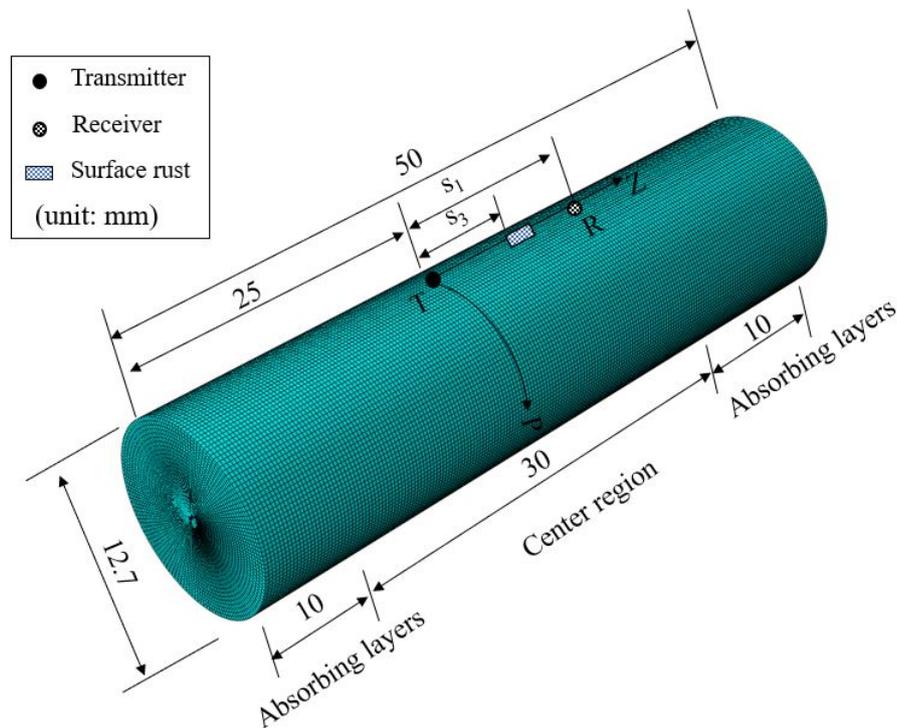


Figure 1. Intact steel rod model

78 region (surface rust): location (s_3), length (d), width (w) and thickness (h). Two values were considered
79 for each attribute.

Table 2. Five corroded steel rod models

Model	Surface rust location s_3 (mm)	Surface rust length d (mm)	Surface rust width w (mm)	Surface rust thickness h (mm)
CM1	4	2	2.2	1
CM2	6	2	2.2	1
CM3	4	4	2.2	1
CM4	4	2	4.4	1
CM5	4	2	2.2	0.5

80 3. Research hypotheses and approach

81 3.1. Hypotheses of ultrasonic waves propagation in intact and corroded rod models

82 Five hypotheses on ultrasonic waves propagation in intact and corroded steel rod models were
83 made for the damage detection problem in this paper. A Mercator projection of cylindrical geometry
84 for steel rod models is provided in Fig. 3 to better illustrate these hypotheses.

- 85 1. In model IM, time domain radial displacement $u(t)$ is collected at R . The first ultrasonic wave
86 packet is the one propagating along the \vec{s}_1 path at a velocity of c_1 and arriving at time t_1 . The
87 second ultrasonic wave packet propagates along the \vec{s}_2 path and arriving at time t_2 with a velocity
88 of c_2 .
- 89 2. In corroded steel rod models (CM1 CM5), the ultrasonic waves propagating along the \vec{s}_1 path
90 are affected by the presence of surface rust. As shown in Fig. 3 (side view), part of the ultrasonic

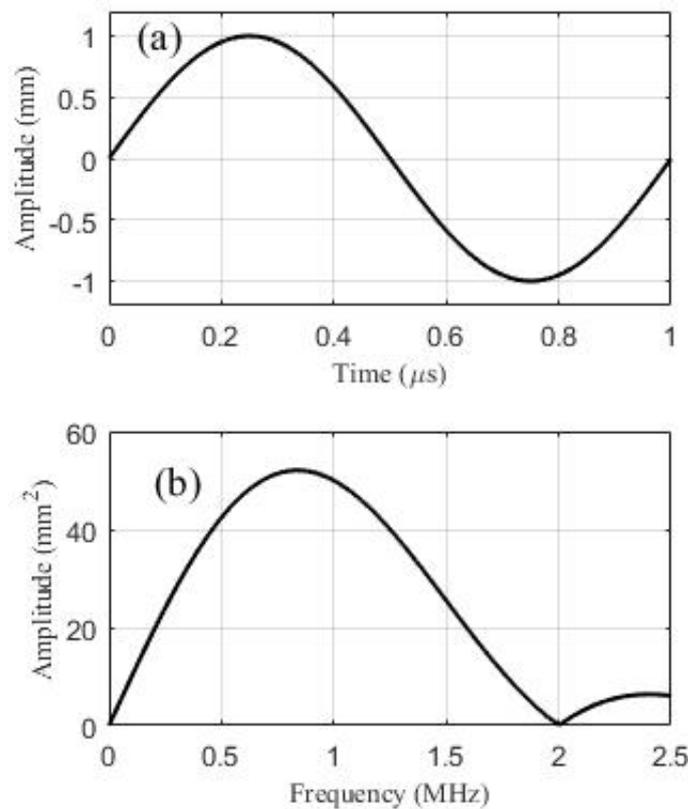


Figure 2. Designed loading function

- 91 waves propagates through the surface rust and arrives at time t'_1 (i.e., $t'_1 > t_1$ since the ultrasonic
 92 wave velocity in rust is slower than the one in steel).
- 93 3. Part of the ultrasonic waves is scattered from the surface rust and propagates along the \vec{s}_4 path.
 94 Time t'_2 is the total time of flight (TOF) of scattered ultrasonic wave propagating along path
 95 (\vec{s}_3, \vec{s}_4) ($t'_2 = t_3 + t_4$). Propagation velocities of ultrasonic waves on path \vec{s}_1 and path (\vec{s}_3, \vec{s}_4) are
 96 respectively c'_1 and c'_2 .
- 97 4. In Fig. 3 (top view), path \vec{s}_8 is the path of ultrasonic waves diffracted by the surface rust ($\vec{s}_8 =$
 98 $\vec{s}_6 + d + \vec{s}_7$). TOF of these ultrasonic waves is t_8 (i.e., $t_8 = t_6 + t_d + t_7$).
- 99 5. Higher frequencies are affected more than lower frequencies by the presence of surface rust.
 100 This is because that the effective depth of each frequency is approximately its wavelength [20].
 101 With 'shallow' effective depth, higher frequencies interact with the surface rust more than lower
 102 frequencies.

103 3.2. Damage detection algorithm

104 Based on the aforementioned five hypotheses, surface rust detection, localization and
 105 quantification are carried by the following approach.

106 3.2.1. Damage detection

107 In this paper, detection of surface rust can be accomplished by determining the reduction of
 108 centroid frequency (Δf_c) in the spectrogram of $u(t)$ by using STFT. The steps of obtaining f_c are
 109 reported in the following.

- 110 1. Generate/introduce ultrasonic waves at transmitter T of model IM.

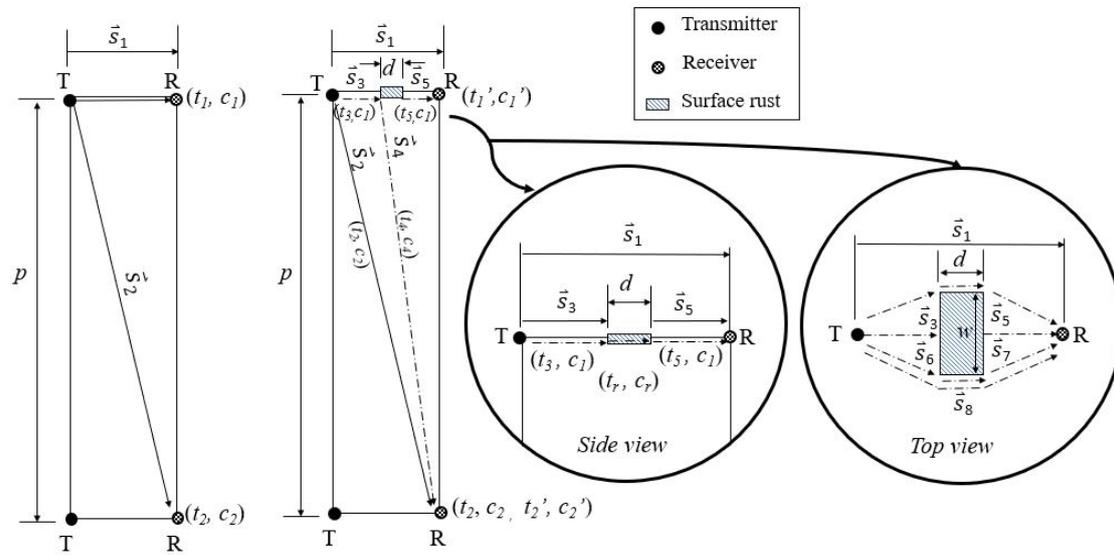


Figure 3. Mercator projection of intact and corroded surface for ultrasonic wave propagation paths

- 111 2. Collect time domain radial displacement $u(t)$ at receiver R .
- 112 3. Apply short-time Fourier transform (STFT) to $u(t)$ in order to convert it to its spectrogram
- 113 $U(t, f)$.
- 114 4. In the spectrogram $U(t, f)$, show the half-power contour at -3 dB from the maximum amplitude
- 115 of the first wave packet.
- 116 5. Determine the centroid of the half-power contour for the first wave packet by finding its
- 117 coordinates (f_c, t_c) in the spectrogram $U(t, f)$.
- 118 6. The centroid frequency f_c of this FE simulation is found. For intact model (IM), $f_c = f_{c,i}$.
- 119 7. Repeat the steps for an artificially corroded model. For corroded models, $f_c = f_{c,c}$.

120 Fig. 4 illustrates the parameters defined in the steps for damage detection, using model CM1 as an
 121 example. Eq. (1) shows the damage detection criterion for detecting the presence of surface rust.

$$\Delta f_c = f_{c,i} - f_{c,c} \begin{cases} = 0 & \text{intact} \\ \neq 0 & \text{corroded} \end{cases} \quad (1)$$

122 where Δf_c = difference in the centroid frequency between intact and corroded steel rod models (in
 123 MHz), $f_{c,i}$ = centroid frequency of model IM (in MHz), and $f_{c,c}$ = centroid frequency of corroded steel
 124 rod models (in MHz). In this research, a steel rod model is considered intact (no damage) if there is no
 125 reduction of centroid frequency or $\Delta f_c = 0$ and vice versa.

126 3.2.2. Damage localization

127 To locate surface rust, TOF (time-of-flight) of scattered ultrasonic waves is used. In this research,
 128 the location of surface rust is defined by the length of path \vec{s}_3 or $s_3 = |\vec{s}_3|$. The value of s_3 indicates the
 129 location of surface rust.

130 TOF of the scattered wave (t'_2) traveling through path \vec{s}_3 and \vec{s}_4 is defined by

$$t'_2 = t_3 + t_4 \quad (2)$$

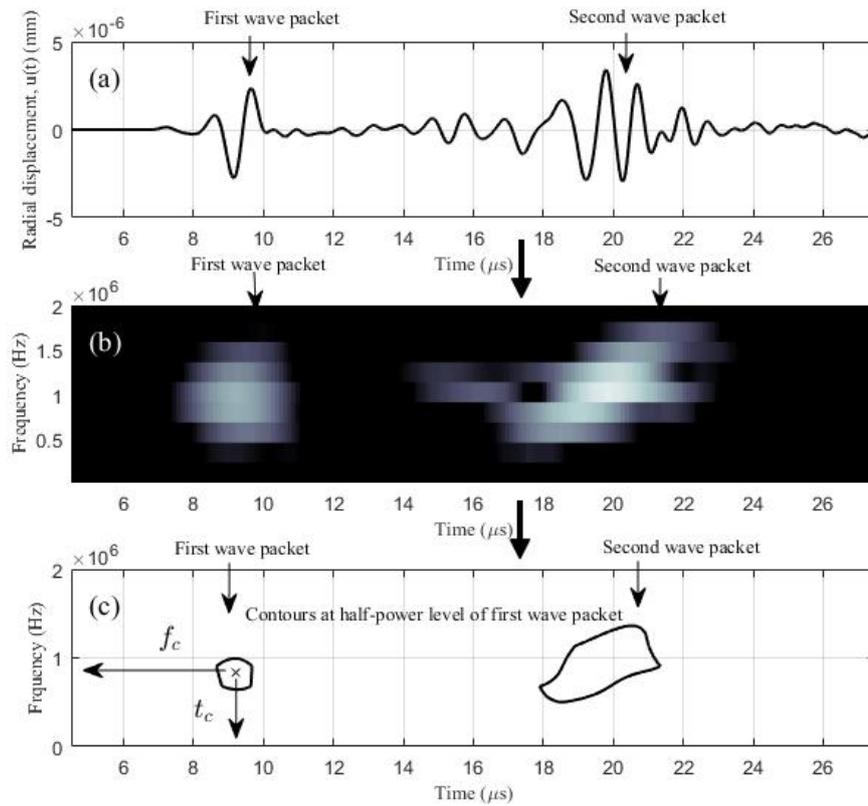


Figure 4. Procedure of obtaining f_c value

131 where t_3 and t_4 = TOF of ultrasonic waves propagating on paths \vec{s}_3 and \vec{s}_4 (μs), respectively.
 132 Equivalently,

$$t'_2(s_3, s_4) = \frac{s_3}{c_1} + \frac{s_4}{c_4} \quad (3)$$

133 where s_3 = length of path \vec{s}_3 (mm), $s_4(s_3)$ = length of path \vec{s}_4 (mm) = $\sqrt{(s_1 - s_3)^2 + p^2}$, p = perimeter
 134 of the rod model (mm), c_1 = propagation velocity on path \vec{s}_1 (mm/ μs), and c_4 = propagation velocity
 135 on path \vec{s}_4 (mm/ μs). From our previous study, a propagation velocity model (Eq. (4)) based on the
 136 length of path on a cylindrical geometry was reported c_4 [17].

$$c_4(s_4) = a + b \left(\frac{p}{s_4} \right) \quad (4)$$

137 where a and b = model parameters. By substituting Eq. (4) and re-arranging terms, we have

$$\left[(s_1 - s_3)^2 + p^2 \right] c_1 - (t'_2 - s_3 a) \sqrt{(s_1 - s_3)^2 + p^2} - t'_2 c_1 b p + s_3 b p = 0 \quad (5)$$

138 where s_1 = length of path \vec{s}_1 (mm). In Eq. (5), s_1 , p , and c_1 must be provided. Parameters a and b
 139 are from reported literature [17]. Time t'_2 is measured from a corroded model. Once time t'_2 is measured,
 140 Eq. (5) can be solved by the graphic method. Eq. (5) also represents the damage localization criterion
 141 in our algorithm. Finding the value of s_3 locates the surface rust in this research.

142 3.2.3. Damage quantification

143 For damage quantification, dimensions of surface rust (length d , width w , and thickness h) are to
 144 be found. In finding the length d of surface rust, TOF (t'_1) of ultrasonic waves propagating through
 145 surface rust and arriving at receiver R is used. Time t'_1 denotes the total propagation time along path
 146 \vec{s}_1 which consists of path \vec{s}_3 , surface rust (length d), and path \vec{s}_5 . In other words,

$$t'_1 = t_3 + t_5 + t_r \quad (6)$$

147 where t'_1 = total TOF of ultrasonic wave propagating on path \vec{s}_1 (μs), t_3 = TOF of ultrasonic wave
 148 traveling on path \vec{s}_3 (μs), t_5 = TOF of ultrasonic wave traveling on path \vec{s}_5 (μs), and t_r = TOF of
 149 ultrasonic wave traveling within surface rust (μs). Since $s_1 = s_3 + d + s_5$, we have

$$t'_1(d) = \frac{s_1 - d}{c_1} + \frac{d}{c_r} \quad (7)$$

150 where c_r = propagation velocity on z-axis in rust ($\text{mm}/\mu\text{s}$). By re-arranging Eq. (7), surface rust length
 151 d can be directly determined by

$$d(t'_1) = \frac{c_r s_1 - c_r c_1 t'_1}{c_r - c_1} \quad (8)$$

152 Eq. (7) represents the **length estimation** criterion in our algorithm.

153 Once s_3 (from damage localization, Eq. (5)) and d (from Eq. (8)) are determined, the width of
 154 surface rust (w) can be obtained by using the delayed arrival time of first wave packet (t_8), as shown in
 155 Fig. 3 (top view). Eq. (9) describes the relationship between t_8 and w .

$$t_8 c_1 - \sqrt{s_3^2 + (w/2)^2} - d - \sqrt{s_5^2 + (w/2)^2} = 0 \quad (9)$$

156 where $s_5 = s_1 - d - s_3$, t_8 = TOF of ultrasonic wave propagating on path \vec{s}_8 and

$$\vec{s}_8 = \vec{s}_6 + d + \vec{s}_7 \quad (10)$$

157 in a corroded steel rod model (μs), s_6 = length of path \vec{s}_6 (mm) and s_7 = length of path \vec{s}_7 (mm). Eq. (9)
 158 represents the **width estimation** criterion in our algorithm.

159 From our fifth hypothesis (Section 3.1), lower frequency ultrasonic waves have 'deeper' effective
 160 depths. It suggests that more frequencies in the STFT spectrogram will be affected when increasing the
 161 thickness h of surface rust. This phenomenon is illustrated by the reduction of spectrograms' curvature
 162 or $\frac{\partial^2 U_1}{\partial f^2}$ and modeled by an empirical equation as shown in Eq. (11).

$$h \left(\frac{\partial^2 U_1}{\partial f^2} \right) = e \frac{\partial^2 U_1}{\partial f^2} + g \quad (11)$$

163 where h = thickness of surface rust (mm), $\frac{\partial^2 U_1}{\partial f^2}$ = second-order partial derivative of the first wave
 164 packet's frequency domain projection, and e and g = model parameters. $\frac{\partial^2 U_1}{\partial f^2}$ approximates the
 165 curvature of the first wave packet. Eq. (11) represents the **thickness estimation** criterion in our
 166 algorithm.

167 Eqs. (8), (9) and (11) represent our damage quantification approach in this paper. Surface rust
 168 length d , width w , and thickness h can be estimated from the STFT spectrogram of radial displacement
 169 $u(t)$ measured at receiver R . In the following section, FE simulation results are reported.

170 4. Simulation results and findings

171 Time domain radial displacement ($u(t)$) of each model at receiver R was collected from six FE
 172 simulation cases (one for intact model and five for corroded models). Spectrogram ($U(f, t)$) of each
 173 $u(t)$ was obtained by STFT. Comparison of $u(t)$ and $U(f, t)$ between intact and corroded steel rod
 174 models was made to study the effects of surface rust on $u(t)$ and $U(f, t)$.

175 4.1. Time domain response

176 In each model, radial displacement $u(t)$ at receiver R was collected as shown in Fig. 5. As
 177 predicted by the first hypothesis, two wave packets were observed. The first wave packet was the
 178 ultrasonic wave propagating along the longitudinal direction (z -axis). The second wave packet was the
 179 ultrasonic wave propagating along the helical direction (i.e., \vec{s}_2 in Fig. 3). The waveform of the second
 180 wave packet is more complicated than the one of the first wave packet in the spectrogram, owing to the
 geometric dispersion (in the second wave packet) caused by the cylindrical geometry of FE models.

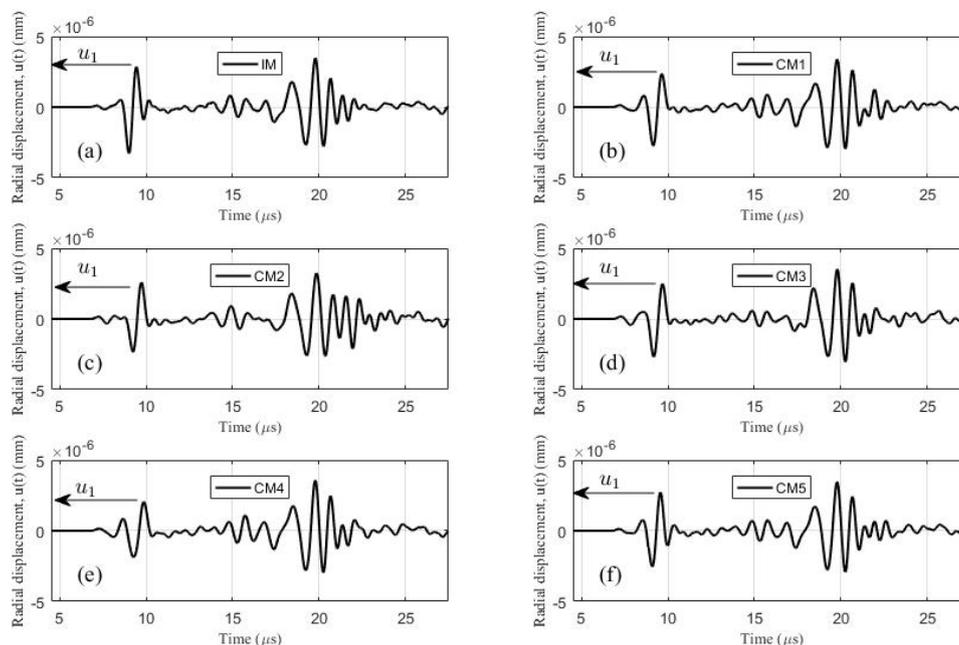


Figure 5. Time domain radial displacement in intact and corroded steel rod models

181 In corroded steel rod models (CM1 - CM5), the first peak amplitude (u_1) was reduced after
 182 interacting with surface rust and propagating on path \vec{u}_1 . While the presence of surface rust can
 183 be detected by the reduction of u_1 , quantification of surface rust using u_1 can be very difficult due
 184 to the geometric dispersion effect on $u(t)$. In reality, peak amplitudes can also be contaminated by
 185 background noise (e.g., ambient vibration). Therefore, frequency domain analysis of $u(t)$ is applied
 186 and described in the next section.

188 4.2. Time-frequency domain response

189 By applying STFT to $u(t)$, frequency change in $u(T)$ over time was shown on its spectrograms.
 190 Frequency range on the STFT spectrogram between 0.1 MHz and 2 MHz was determined, since this
 191 frequency range included most of the kinetic energy of transmitted ultrasonic waves.

192 Fig. 6 shows the STFT spectrogram of $u(t)$ at transmitter T of model IM. In Fig. 6, the first wave
 193 packet (white-colored vertical shape) represented the transmitted ultrasonic wave traveling in the
 194 longitudinal direction or path \vec{s}_1 (without geometric dispersion), whose amplitudes confirm our choice

195 on frequency range. The second wave packet (gray-colored tilted shape) represented the transmitted
 196 ultrasonic wave traveling in the helical direction (with geometric dispersion) and coming back to
 197 transmitter T . Due to the geometric dispersion in this FE simulation, ultrasonic waves at lower
 198 frequencies ($f < 1$ MHz) travel faster than the ones at higher frequencies ($f > 1$ MHz). This explains
 199 the tilted shape of the second wave packet.

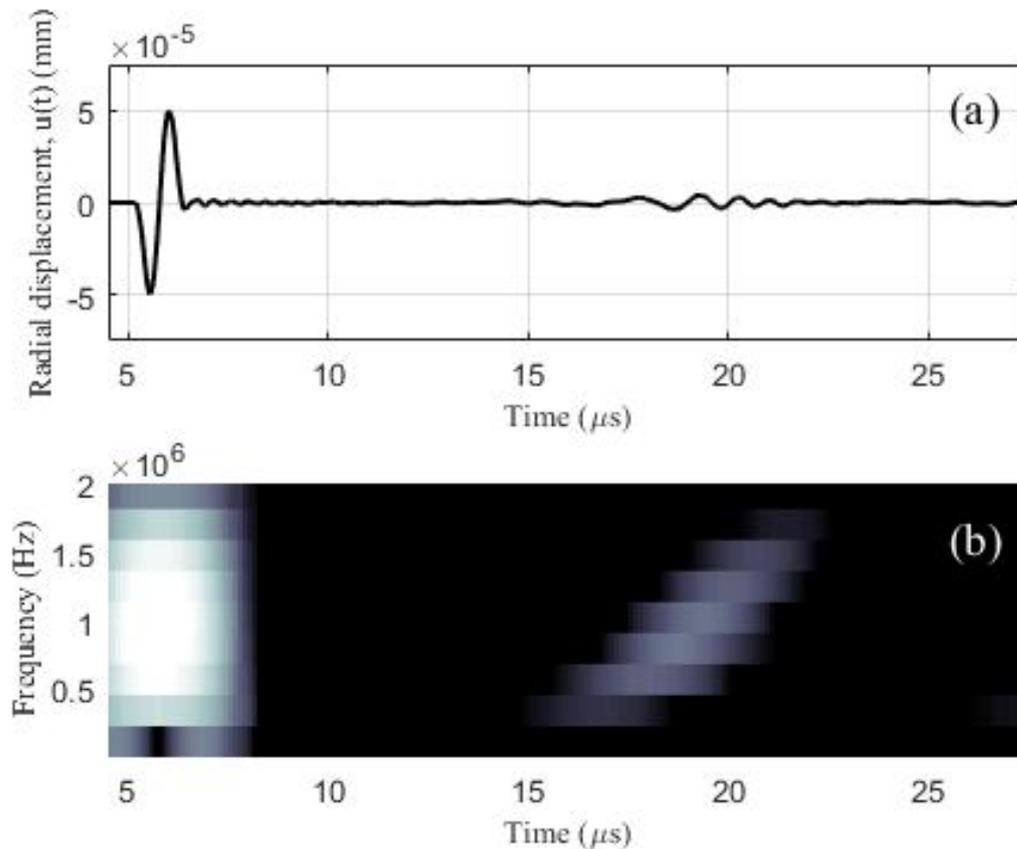


Figure 6. Radial displacement and spectrogram of the intact steel rod model at transmitter T

200 Fig. 7 shows the STFT spectrograms of $u(t)$ at receiver R of all six models. In Fig. 7, two wave
 201 packets were observed within the time window of 4.5 - 27.5 μ s. The first wave packet centering at 8 μ s
 202 represented the ultrasonic wave (vertical shape) propagating from transmitter T to receiver R along
 203 the longitudinal direction (z -axis). The second wave packet (tilted shape) represented the ultrasonic
 204 wave propagating along the helical direction or path \vec{s}_2 . Fig. 8 shows the contours at the half-power
 205 level of the first wave packet in each spectrogram. In corroded steel rod models (CM1 - CM5), higher
 206 frequencies in the first wave packet were reduced due to smaller effective depth. In addition, shape of
 207 the second wave packet changed due to the size change of surface rust, as shown in Fig. 8.

208 4.3. Surface rust detection

209 Detection of surface rust in a corroded steel rod model was accomplished by comparing its
 210 centroid frequency f_c with the one of an intact model (IM). Fig. 9 compares the half-power contours
 211 of intact (model IM) and corroded (models CM1 - CM5) FE models in individual STFT spectrogram at
 212 receiver R . Center of the half-power contour of model IM was denoted by centroid frequency $f_{c,i}$. For
 213 five other corroded models, their centroid frequency was denoted by $f_{c,c}$ with different values. After
 214 finding $f_{c,i}$ and $f_{c,c}$, their difference Δf_c was calculated and reported in Table 3. Based on Eq. (1), the
 215 presence of surface rust in these models were detected.

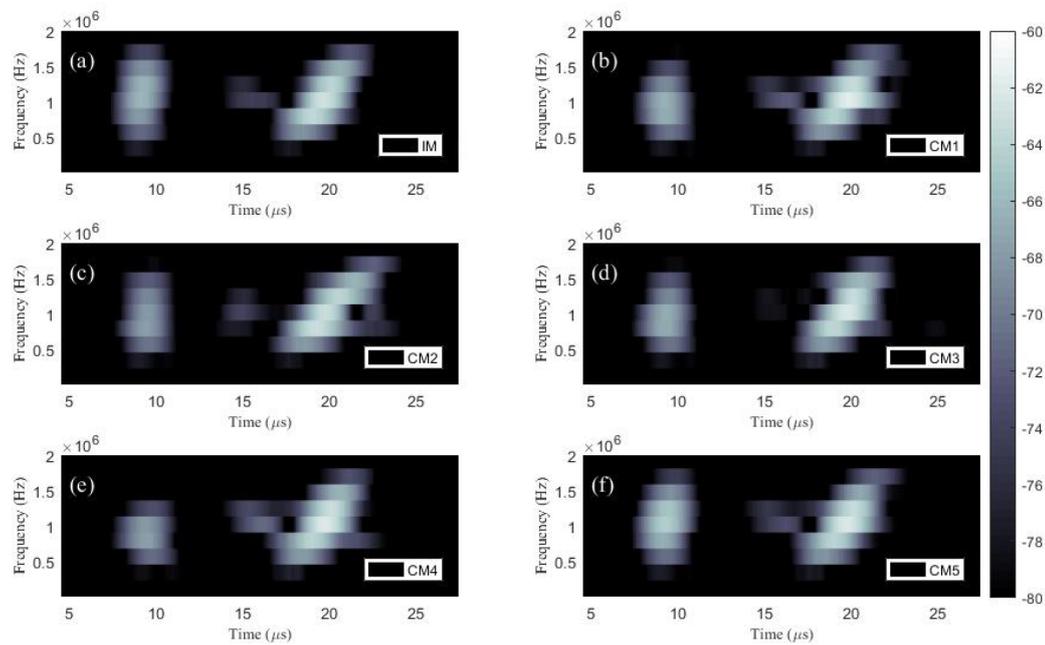


Figure 7. Spectrogram of intact and corroded steel rod models at receiver *R*

Table 3. Centroid frequency (f_c) of half-power contour for all models

Model	f_c (MHz)	Δf_c (MHz)
IM	1.00	0
CM1	0.83	0.17
CM2	0.83	0.17
CM3	0.82	0.18
CM4	0.82	0.18
CM5	0.80	0.20

216 4.4. Surface rust localization

217 Eq. (3) was used to locate surface rust in our simulations. In view of the presence of geometric
 218 dispersion in $u(t)$, measuring TOF in the time domain became challenging. To avoid the problem of
 219 chasing multiple frequencies at a time, the center frequency of transmitted ultrasonic wave in the STFT
 220 spectrogram (i.e., 1 MHz in this paper) was chosen.

221 Fig. 10 shows the STFT spectrogram (at 1MHz) of model IM at receiver *R* to demonstrate how to
 222 calculate the TOF of the scattered wave (t'_2) traveling through paths \vec{s}_3 and \vec{s}_4 . In Fig. 10 (a), the 1-MHz
 223 curves on the STFT spectrogram of model IM and model CM1 were extracted. Time t_1 denoted the
 224 TOF of the first wave packet and time t_2 the second wave packet for model IM. In Fig. 10, t_1 and t_2
 225 were measured from the time t_0 when the ultrasonic wave was introduced at transmitter *T*; in this
 226 paper, $t_0 = 6.13\mu s$. Since the peak amplitude of the first wave packet was $9.01\mu s$, $t_1 = (9.01 - 6.13)\mu s$
 227 $= 2.88\mu s$. With traveling distance s_1 being 10 mm, the wave velocity c_1 can be calculated by

$$c_1 = \frac{s_1}{t_1} \quad (12)$$

$$\Rightarrow c_1 = \frac{10}{2.88} = 3.47 \text{ mm}/\mu s, \quad (13)$$

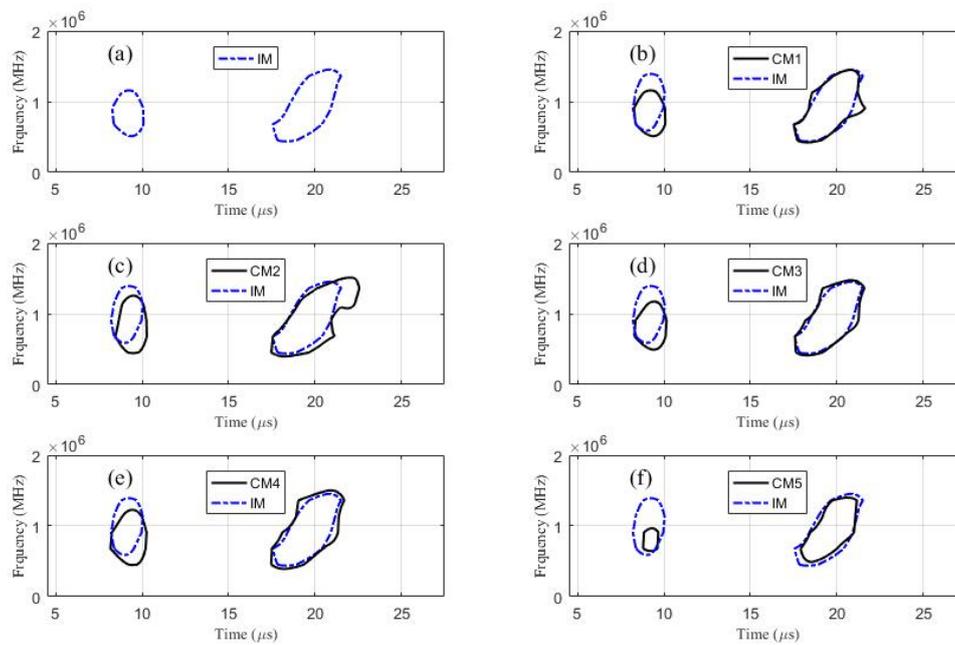


Figure 8. Half-power contours in STFT spectrogram for six models at receiver *R*

228 This wave velocity can be compared with the theoretical surface wave velocity c_t . c_t can be
 229 approximated by [21]

$$c_t \approx \frac{0.87 + 1.2\nu}{1 + \nu} \sqrt{\frac{E}{2\rho(1 + \nu)}} \quad (14)$$

230 With $E = 210,000$ MPa, $\rho = 7,850$ kg/m³, and $\nu = 0.3$, approximated theoretical c_t value was found to be
 231 3.03 mm/ μ s. Consequently, theoretical TOF t_t for the first wave packet was found to be

$$t_t = \frac{s_1 - z_l}{c_t} \quad (15)$$

$$\Rightarrow t_t = 3.14 \mu\text{s} \quad (16)$$

232 where s_1 = distance from center of transmitter *T* to receiver *R* (mm) (= 10 mm) and z_l = distance
 233 from center of transmitter *T* to the edge of loading area at *T* (mm) (= 0.5 mm). An error of 8.2% was
 234 obtained between the approximated theoretical c_t value and numerical c_1 value.

235

236 A subtracted/differential 1-MHz curve (subtract model IM from model CM1) was generated
 237 and shown in Fig. 10 (b) from where differential TOF values of the first wave packet t'_1 and of the
 238 second packet t'_2 were determined to be $15.16 - t_0 = 9.03 \mu\text{s}$ and $22.28 - t_0 = 22.28 - 6.13 = 16.15 \mu\text{s}$,
 239 respectively. In our algorithm, differential TOF of the second wave packet t'_2 was used for surface rust
 240 localization.

241 From the differential 1-MHz curve in Fig. 10 (b), a propagation velocity model from literature
 242 [17] for elastic waves on a cylindrical geometry was used.

$$c_4(s_4) = 3.47 - 0.8348 \left(\frac{p}{s_4} \right) \quad (17)$$

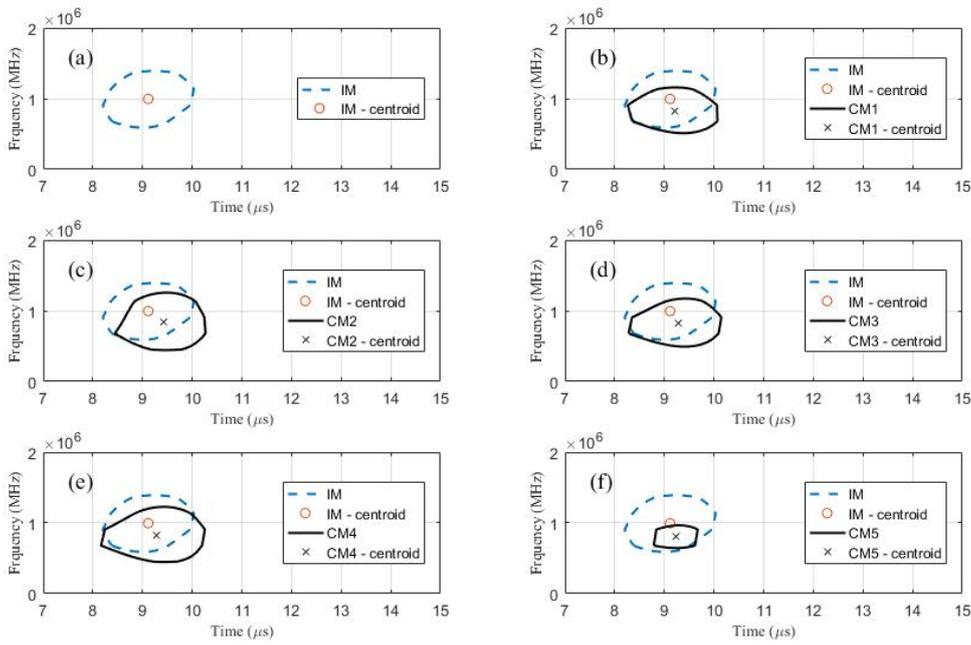


Figure 9. Half-power contours of the first wave packet at receiver R

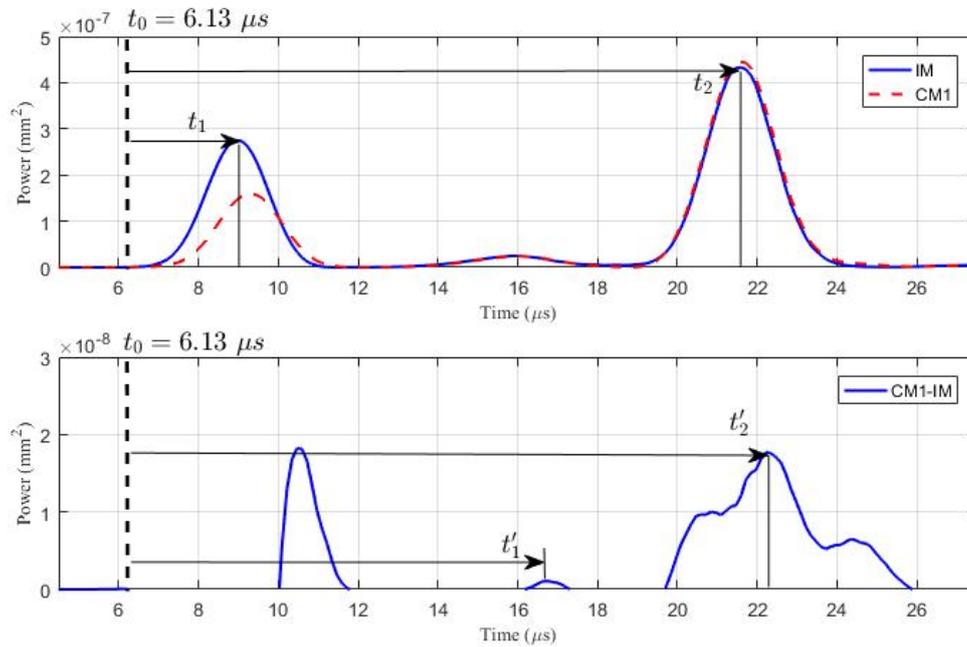


Figure 10. Spectrograms of model IM and model CM1 at 1 MHz

243 In all six FE models, $p = 12.7\pi = 39.9$ mm. From the Mercator projection shown in Fig. 3, it is clear that

$$s_4 = \sqrt{(d + s_5)^2 + p^2} \tag{18}$$

$$\Rightarrow s_4 = \sqrt{(s_1 - s_3)^2 + p^2} \tag{19}$$

244 With $s_1 = 10$ mm, $p = 39.9$ mm, $c_1 = 3.47$ mm/ μ s, $a = 3.47$, $b = -0.8348$, Eq. (5) could be written as

$$3.47 \left[(10 - s_3)^2 + 39.9^2 \right] - (t'_2 - 3.47s_3) \sqrt{(10 - s_3)^2 + 39.9^2} + 155.58t'_2 - 33.31s_3 = 0 \quad (20)$$

$$\Rightarrow 5871.27 - \left(102.71 - \sqrt{20371.8 - 240.8s_3 + 12.04s_3^2} \right) s_3 + 3.47s_3^2 + \left(155.58 - \sqrt{1692.01 - 20s_3 + s_3^2} \right) t'_2 = 0 \quad (21)$$

245 Eq. (21) provides the condition between s_3 and t'_2 . With differential TOF t'_2 , surface rust location s_3
 246 can be found from Eq. (21). Since Eq. (21) cannot be solved analytically, the graphic method was
 247 applied with its result shown in Fig. 11. Eq. (21) represents a model for locating the surface rust in our
 algorithm. Following the same procedure, 1-MHz curves of models CM2 and CM3 were generated

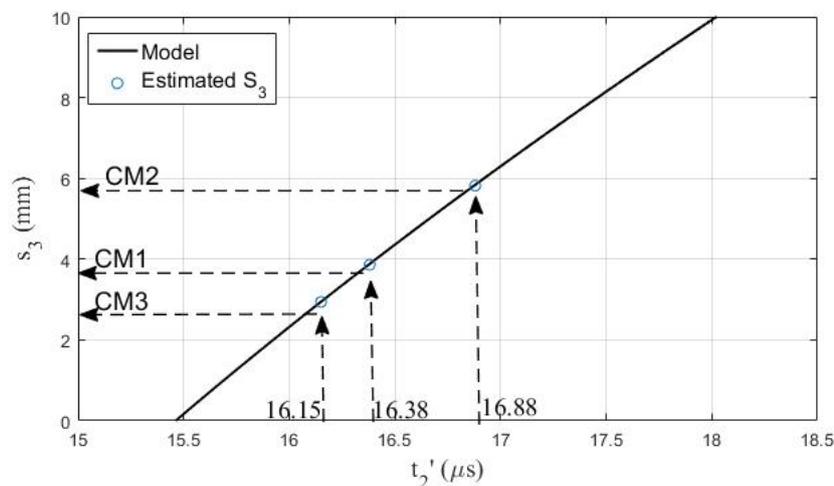


Figure 11. Relationship between s_3 and t'_2

248 (similar to Fig. 10 (b) for model CM1) in order to determine different TOF for models CM2 and CM3.
 249 For model CM2, t'_2 was found by $22.51 - t_0 = 22.51 - 6.13 = 16.38\mu$ s. For model CM3, t'_2 was found
 250 by $23.01 - t_0 = 23.01 - 6.13 = 16.88\mu$ s. Once t'_2 was found, Eq. (21) can be used for finding surface
 251 rust location s_3 .
 252

253 Estimated surface rust locations (s_3) in corroded steel rod models was reported in Table 4.

Table 4. Comparison between predicted and actual location and dimensions

	Model	Predicted (mm)	Actual (mm)	Error(%)
Location, s_3	CM1	3.86	4	3.5
	CM2	5.91	6	1.5
	CM3	2.92	3	2.6
Length, d	CM1	1.97	2	1.5
	CM2	3.69	4	7.75
Width, w	CM1	2.36	2.2	7.27
	CM4	4.2	4.4	4.54
Thickness, h	CM1	0.98	1	2
	CM5	0.53	0.5	6

254 4.5. Surface rust quantification

255 For surface rust quantification, Eq. (8) was used to determine surface rust length d for models
 256 CM1 and CM2 by using measured time t'_1 . Eq. (9) was applied to determine surface rust width w
 257 for models CM1 and CM4 by using measured time t'_8 . Eq. (11) was utilized to determine surface rust
 258 depth h for models CM1 and CM5 by using measured curvature $\left(\frac{d^2U_1}{df^2}\right)$.

259 For determining surface rust length d by using Eq. (8), $s_1 = 10$ mm and propagation velocity in steel
 260 $c_1 = 3.47$ mm/ μ s (from Eq. (13)). Propagation velocity in rust c_r was calculated by $0.08454c_t = 0.257$
 261 mm/ μ s from [20]. Therefore, Eq. (8) became

$$d(t'_1) = \frac{0.257(10) - 0.257(3.47)t'_1}{3.47 - 0.257} \quad (22)$$

$$\Rightarrow d(t'_1) = \frac{2.57 - 0.892t'_1}{3.213} \quad (23)$$

262 For model CM1, t'_1 was found by $16.10 - t_0 = 16.10 - 6.13 = 9.97\mu$ s. For model CM2, t'_1 was found by
 263 $22.31 - t_0 = 22.31 - 6.13 = 16.18\mu$ s. With Eq. (23), estimated surface rust length d for models CM1
 264 and CM2 were reported 1.97 mm and 3.69 mm, respectively.

265 was applied to determine surface rust length d for models CM1 and CM2. With s_3 (from surface
 266 rust localization) and d found, surface rust width w values for models CM1 and CM4 were determined
 267 by solving Eq. (9) with measured t_8 (TOF of the first wave packet as shown in Fig. 12).

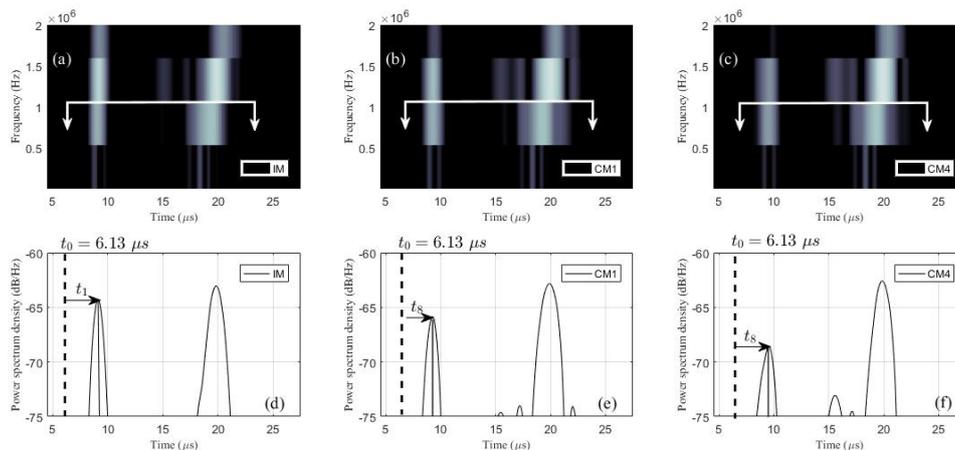


Figure 12. Time delay of the first wave packet

268 For surface rust width w quantification, estimated s_3 and d were substituted into Eq. (9). For
 269 example, in model CM1, Eq. (9) became

$$t_8 3.47 - \sqrt{3.86^2 + (w/2)^2} - 1.97 - \sqrt{(10 - 3.86 - 1.97)^2 + (w/2)^2} = 0 \quad (24)$$

270 where t_8 was found by $9.11 - t_0 = 9.11 - 6.13 = 2.98\mu$ s. Surface rust width w values for model CM1
 271 was hence determined to be 2.36 mm. Similarly,

$$t_8 3.47 - \sqrt{3.91^2 + (w/2)^2} - 1.98 - \sqrt{(10 - 3.91 - 1.97)^2 + (w/2)^2} = 0 \quad (25)$$

272 was obtained for models CM4. t_8 was found by $9.31 - t_0 = 9.31 - 6.13 = 3.18\mu$ s in CM4. Predicted
 273 w is 4.2 mm as shown in Table 4.

At last, curvature values of the first wave packet for models IM ($\frac{\partial^2 U_1}{\partial f^2} = -4.22 \times 10^5$), CM1 ($\frac{\partial^2 U_1}{\partial f^2} = -5.12 \times 10^5$), and CM5 ($\frac{\partial^2 U_1}{\partial f^2} = -4.72 \times 10^5$) were calculated from Fig. 13 (a). These curvature values were modeled with surface rust depth h by Eq. (11) to obtain model parameters $e = -1.1053 \times 10^5$ and $g = -4.6818$ ($R^2 = 0.996$). Therefore, Eq. (11) was written as

$$h \left(\frac{\partial^2 U_1}{\partial f^2} \right) = -1.1053 \times 10^5 \times \frac{\partial^2 U_1}{\partial f^2} - 4.6818 \quad (26)$$

Performance of proposed algorithm (Eqs. (23), (24), (25) and (26)) for surface rust quantification was summarized in Table 4.

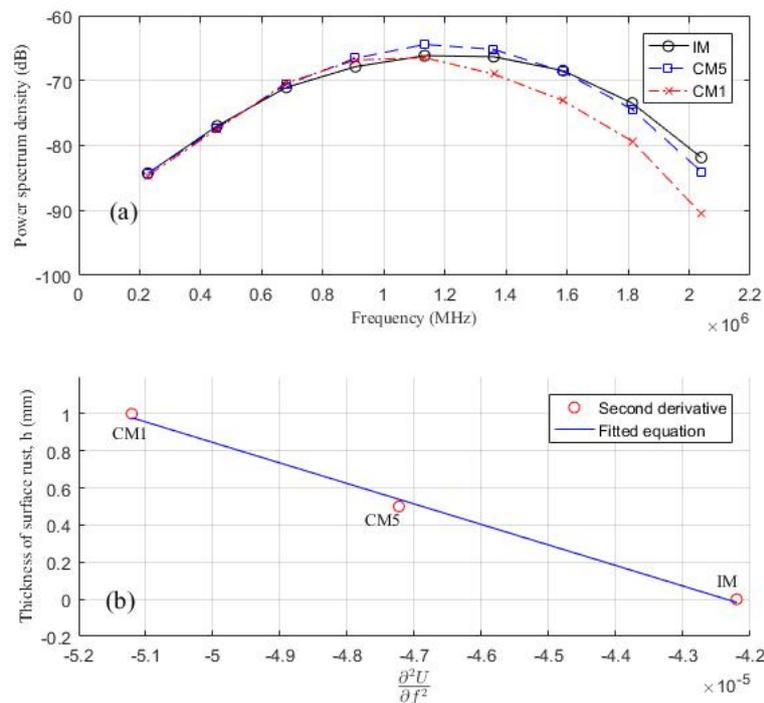


Figure 13. Ridge of the first wave packets and their second-order derivatives

279

280 4.6. Findings

281 By conducting the FE analysis of ultrasonic wave propagation in intact and corroded steel rod
 282 models, following research findings are obtained: (1) in the time domain, the first peak amplitude
 283 (u_1) is reduced due to the presence of a surface rust; (2) in the STFT spectrogram, shape of the second
 284 wave packet in the spectrogram is tilted due to the geometric dispersion in ultrasonic waves; (3) the
 285 first wave packet in corroded steel rod models suffered from high frequency components loss. This is
 286 because that higher frequencies have smaller effective depths and are affected by surface rust more
 287 than lower frequencies. As a result, non-zero centroid frequency reduction Δf_c occurs to corroded steel
 288 rod models; (4) when measuring TOF from dispersive ultrasonic waves, single frequency is used on
 289 the STFT spectrogram (e.g., 1 MHz in this paper); (5) ultrasonic wave propagation velocity on different
 290 curved paths can be estimated by an empirical model described in Eq. (4); (6) six empirical equations
 291 are proposed for detecting (Eq. (1)), locating (Eq. (21)) and quantifying (Eqs. (23), (24), (25) and (26))
 292 surface rust on a steel rod model. Based on aforementioned findings, a surface rust detection algorithm
 293 is proposed, as summarized in Fig. 14.

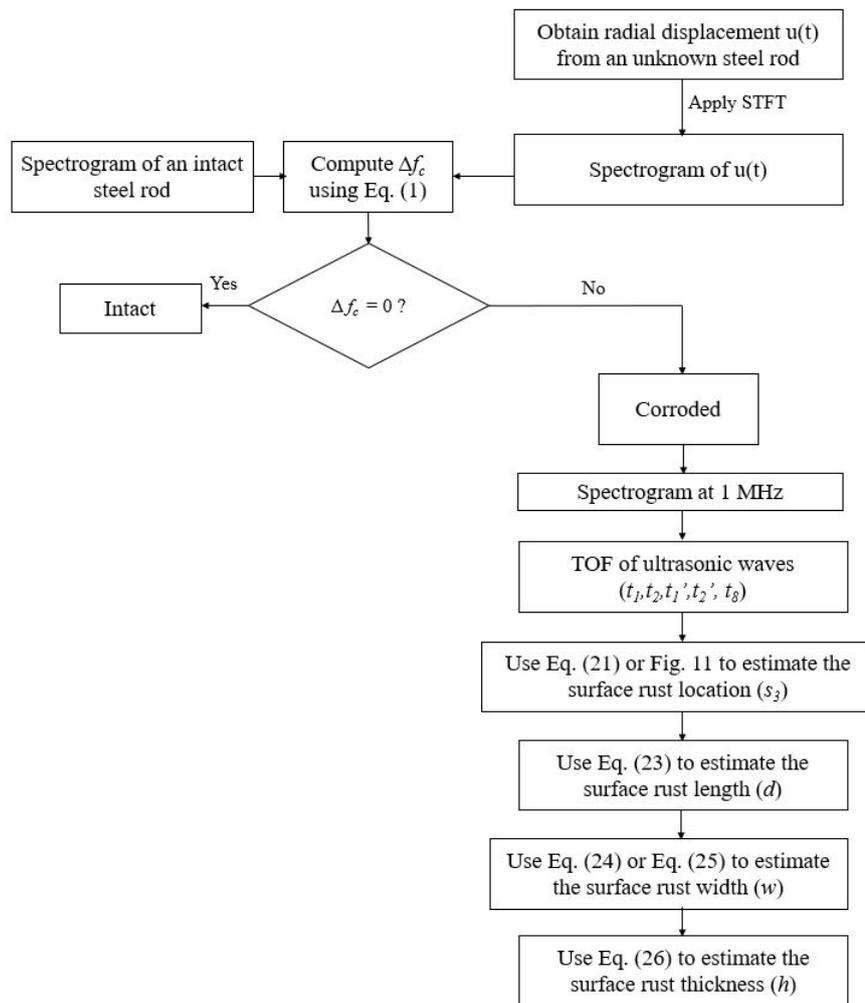


Figure 14. Surface rust detection algorithm

294 5. Conclusion

295 This paper reports a finite element study of utilizing point-source generated ultrasonic waves for
 296 detecting surface rust in steel rod models. Methods of detecting, locating and quantifying the surface
 297 rust are achieved by using the STFT (short time Fourier transform) spectrogram of radial displacement
 298 collected on the surface of corroded steel rod models. We have concluded the following.

- 299 • Presence of surface rust can be detected by the reduction of centroid frequency of the first wave
 300 packet in the STFT spectrogram of corroded steel rod models.
- 301 • Location of surface rust is estimated by finding the difference in arrival time (TOF) between
 302 helically propagating ultrasonic waves and scattered ultrasonic waves (due to surface rust).
- 303 • Length of surface rust can be predicted by calculating the difference in TOF between longitudinally
 304 propagating ultrasonic waves of intact and corroded steel rod models. This difference in TOF is
 305 related to the longitudinal dimension (length) of surface rust.
- 306 • Width of surface rust can be determined by calculating the difference in TOF of the first wave
 307 packet between intact and corroded steel rods in the STFT spectrogram at a fixed frequency (e.g., 1
 308 MHz in this paper).
- 309 • Thickness of surface rust can be estimated by utilizing the second-order derivative of the first wave
 310 packet of corroded steel rod models.

311 In conclusion, this paper presents our finite element analysis of ultrasonic waves on intact and corroded
 312 steel rod models for detecting, locating, and quantifying surface rust in a systematically approach.
 313 While research result is obtained in several empirical equations, it is believed that our proposed
 314 damage detection algorithm can be applied to other corrosion detection problems using distributed
 315 photoacoustic fiber optic sensors on steel rods or steel rebars.

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 320 Hu and Cong Du analyzed the data; Xingwei Wang and Tzuyang Yu contributed analysis tools; Qixiang Tang
 321 drafted the manuscript.

322 **Conflicts of Interest:** The authors declare no conflict of interest.

323

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