

1 Article

# 2 Association between anemia in children 6 to 23 3 months old and child, mother, household and 4 feeding indicators

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12 **Abstract:** In Low and Lower-Middle-Income countries, the prevalence of anemia in infancy remains  
13 high. In early childhood anemia cause irreversible cognitive deficits and represents a higher risk of  
14 child mortality. The consequences of anemia in infancy are a major barrier to overcome poverty  
15 traps. The aim of this study was to analyze based on a multi-level approach, different factors  
16 associated with anemia in children 6-23m old based on recent available Standard Demographic  
17 Health Surveys (S-DHS). We identified 52 S-DHS that had complete information in all covariates of  
18 interest in our analysis between 2005 and 2015. We performed traditional logistic regressions and  
19 multilevel logistic regression analyses to study the association between hemoglobin concentrations  
20 and household, child, maternal, socio-demographic variables. In our sample, 70 % of the 6-23m old  
21 children were anemic. Child anemia was strongly associated with maternal anemia, household  
22 wealth, maternal education and low birth weight. Children fed with fortified foods, potatoes and  
23 other tubers had significantly lower rates of anemia. Improving overall household living conditions,  
24 increasing maternal education, delaying childbearing and introducing iron rich foods at six months  
25 of age may reduce the likelihood of anemia at in toddlerhood.

26 **Keywords:** Anemia; infancy and toddlerhood; low and middle-income countries; Demographic and  
27 Health survey; infant feeding; multilevel regression.

28

## 29 1. Introduction

30 The World Health Organization (WHO) reported that in 2011 the prevalence of anemia among  
31 6-59 month-old children was 42.6% globally (1). The highest prevalence rates were observed in  
32 African (62.3%), South-East-Asian (53.8%) and the Eastern-Mediterranean (48.6%) regions. The  
33 adverse health consequences of anemia among pre-school children are well documented, some  
34 having an impact over a lifetime (2, 3). These include impaired cognitive development and growth,  
35 increased susceptibility to infections, fatigue and lower physical activity.

36 The social costs associated with anemia in early childhood are vast and largely affecting children  
37 born in lower income groups. Plessow et al. estimated that over one percent of the Indian Gross  
38 Domestic Product (GDP) is lost every year due to Iron Deficiency Anemia among 6-59-month-old  
39 children. In addition, it causes over 8 million Disability Adjusted Life Years (DALYs) lost and affects  
40 disproportionately younger infants from lower wealth households (4). Over 95 % of this economic and  
41 80% of the health burden was linked to children 6 to 23 months of age.

42 The most frequent factors associated with anemia among children are malnutrition and  
43 infections (5). According to the WHO globally approximately half of the anemia is linked to iron  
44 deficiency, although this proportion varies by geography and population groups (1, 6). Other

45 conditions can also lead to anemia, such as a deficiency of other micronutrients, phytate rich diets,  
46 acute and chronic infections including malaria, HIV and tuberculosis, as well as hemoglobinopathies  
47 (7-9).

48 In addition maternal, household and community factors have been reported to increase the risk  
49 of being anemic in early childhood. The complexity of these factors and their interaction require  
50 multi-faceted strategies to address anemia globally. However, it is difficult to draft optimal policies  
51 without untangling the relative contribution of these factors associated with increased risk of anemia  
52 and their inter-relation.

53 Anemia among infants is determined by a large number of factors. For example, poverty per se  
54 leads to increased food insecurity and lower sanitation facilities, but could only be considered as the  
55 cause of anemia indirectly. It acts through inter-related determinants (intermediate variables). These  
56 intermediate determinants can be further divided into hierarchically or parallel inter-related sub-  
57 groups. Victora et al. proposed the use of conceptual frameworks in epidemiological multivariate  
58 analysis (10). They postulate that multivariate analysis is most often used to determine the effect of  
59 an assumed risk factor on the investigated outcome after controlling for confounding factors to explore  
60 whether the effect is direct or mediated by other factors. Researchers often combine multi-level  
61 modeling with epidemiological conceptual frameworks to analyze confounders at different levels of  
62 data clustering. Uthman and Ngnie-Teta et al. used both multi-level modeling and conceptual  
63 frameworks. The first to analyze factors associated with child malnutrition in Nigeria, and the second  
64 to analyze the individual and community variables associated with the severity of anemia in children  
65 in Mali and Benin (6, 11). Merlo et al. had extensively discussed the advantages and limitations of  
66 multi-level analysis in social epidemiology in dichotomous dependent variables (12, 13). Among the  
67 advantages of using multilevel regression discussed by Merlo are that this technique provides  
68 additional understanding of the distribution and determinants of geographical, social, and individual  
69 disparities in health status.

70 In our analysis, we adopt this multi-level approach to explore the key risk factors, malnutrition  
71 and infections, in childhood anemia globally adding a detailed analysis on the role of the  
72 consumption of different food groups.

## 73 **2. Materials and Methods**

### 74 *2.1. Study question*

75 The primary objectives of this analysis is to understand the relative importance of the countries'  
76 human development, the geographical regions, community, household, maternal, child and  
77 nutritional variables in relation to the prevalence of anemia between the age of 6 and 23 months. We  
78 have a special interest to explore how anemia can be associated to the intake of different food groups  
79 as, both Global Alliance for Improved Nutrition (GAIN) and the Scaling Up Nutrition (SUN)  
80 framework acknowledge the importance of iron fortification of foods in reducing the risk of anemia  
81 (14-16).

### 82 *2.2. Data and study population*

83 We used publicly available data from the Standard Demographic Health Survey (DHS) [USAID  
84 <http://dhsprogram.com/>] that was collected from 2005 to 2016 in Asia, North-Africa, the Middle East,  
85 Sub-Saharan Africa and Latin America. We originally identified 104 accessible standard DHS in the  
86 period of interest. However, we only kept the surveys that had information on hemoglobin and the  
87 covariates of interest. The final sample consisted of 52 surveys from 41 countries with over 136  
88 thousand children from six to 23 months of age. The surveys included in this analysis are listed in the  
89 Appendix Table A1. DHS are national representative household surveys with primary focus on  
90 women 15 to 49 years old and children under 5 years old. The surveys had information about the  
91 health of each woman and her children as well as demographics and socioeconomics. Importantly,  
92 the DHS also includes a section on all foods that the woman's youngest child was given in the past  
93 24 h.

94

## 95 2.3. Study variables

96 The outcome variable was anemia defined by hemoglobin concentration adjusted by altitude  
 97 using the anemia threshold proposed by WHO for infants that is below 110 g/L (17). We decided to  
 98 use anemia as a dichotomous variable instead of using a continuous hemoglobin concentration  
 99 because odds ratios are directly interpretable. DHS collects hemoglobin data using the HemoCue  
 100 system, which consists of a device that estimate hemoglobin concentrations from blood samples  
 101 obtained in the field using finger prick (or heel prick), and yields results comparable to those obtained  
 102 from other test systems (18).

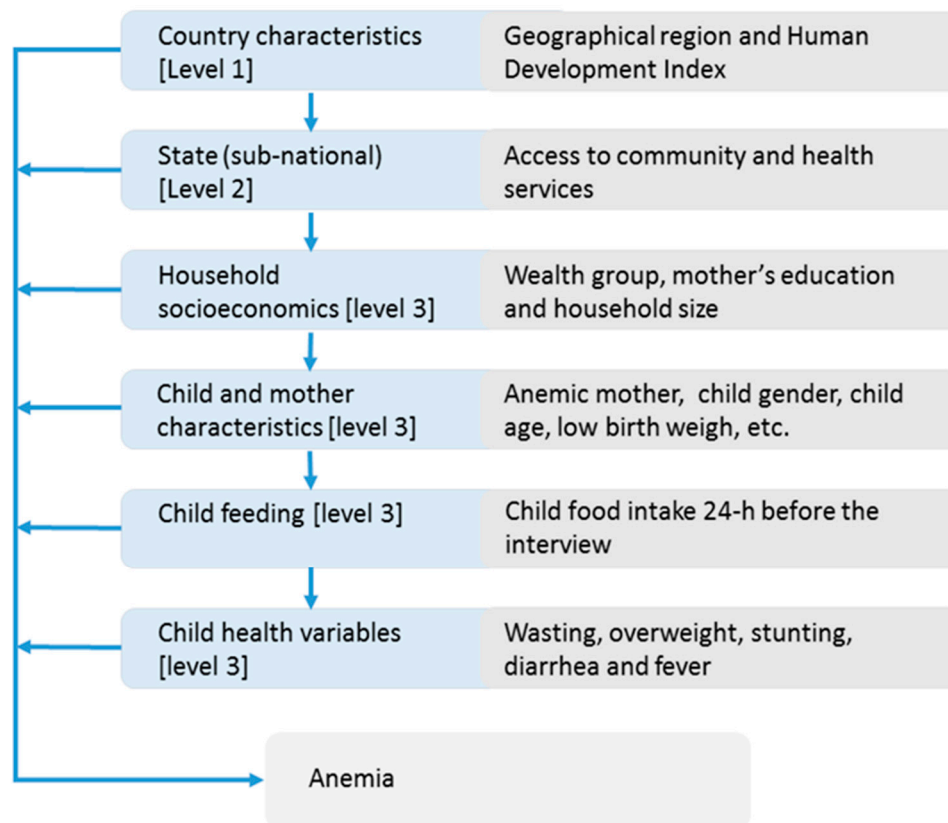
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104 Figure 1 presents the conceptual framework used in the analysis to describe the hierarchical and  
 105 inter-related nature of the various determinants contributing to infant anemia. The framework  
 106 proposed here extends the one used by Ngnie-Teta et al. The framework considers the development  
 107 level of the geographic region because of the global nature of the analysis, as well as a better  
 108 understanding of the potential role of the food intake (6). Food intake from cross-sectional data can  
 109 only be analyzed in relation to health outcomes with large sample sizes, as there are many limitations  
 110 in a cross-sectional data structure that are only partially overcome with larger samples. DHS only  
 111 provides food intake information from a 24-hour feeding questionnaire addressing a limited number  
 112 of food groups. The arrows indicate in a simplified way how a group of determinants can influence  
 113 other group variables.

114

115 Figure 1. The hierarchy of the determinants of infant anemia - conceptual framework for the  
 116 multilevel analysis

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120 We considered a three level hierarchical model in our analysis. The first level corresponds to  
121 country characteristics that include the Human Development Index (HDI) classification at the time  
122 of the survey and the world region. Level 2 encompasses variables that classify sub-national entities  
123 (e.g. state or province) within a country based on the percentage of urban population and availability  
124 of health and community services. We measure accessibility to health care services as the percentage  
125 of infants' mothers that had at least one antenatal visit during pregnancy and infants who had  
126 undergone a medical check-up before the 2 months of age. Accessibility to community services based  
127 on the percentage of household with electricity and piped water.

128

129 The Level 3, individual variables were classified into four groups: "household socioeconomic  
130 variables", "infant and mother individual variables", "Food intake variables" and "infant's health  
131 status variables".

132

133 Household socioeconomic variables included wealth index based on housing conditions and  
134 household's assets, the mother's level of education, and household size parameters. We created  
135 dichotomous variables to distinguish households where there were six or more residents and another  
136 variable to identify households with three children or more under the age of five. Mother and child  
137 indicators included mother's age at first birth (young mother first pregnancy at age < 18 years yes/no),  
138 maternal anemia, mother's short stature (<150 cm) (19), child age, split into three-monthly sub-groups  
139 as 6-8, 9-11, 12-14, 15-17, 18-20, 21-23 months, low birth weight (yes/no) and gender (female). Child  
140 feeding variables corresponds to the assessment of the consumption of 11 food groups by the child  
141 in the 24 hours before the interview. The 11 food-groups in the analysis are "breast milk", "fortified  
142 milks", "other milks", "fortified baby food", "foods made out of grains", "potatoes & other tubers",  
143 "fruits & vegetables", "meat, poultry, fish, eggs", "dried beans, peas, lentils, nuts", "other dairy  
144 products" and "other solid-semisolid food". The food groups correspond to the food categories asked  
145 in the standard DHS questioner in rounds 5 and 6. In some surveys, there are more granularity on  
146 the food grouping. However, we collapsed the food groups to be consistent to surveys with a more  
147 generic food grouping in the sample. The child health and nutritional indicators are: growth  
148 retardation defined as height for age z-score (HAZ) lower than minus two standard deviation (<-  
149 2SD), wasting defined as weight for height z-score (WHZ) <-2SD and overweight defined as  
150 WHZ>+2SD(20). In addition, number of fever and diarrhea cases during the two weeks previous to  
151 survey interview were included.

151

#### 152 2.4. Data analysis method

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154 The inclusion criteria for the explanatory variables in the analysis were based on previous  
155 studies on child anemia and the availability of the variables in the DHS surveys. To verify the  
156 statistical relevance of the selected variables before inclusion in the multivariate models we ran  
157 univariate regression. Variables that were not significantly associated with anemia or were not  
158 reported in a comparable way across surveys were excluded from the subsequent regression analysis.

159

160 In this global analysis, we estimated the odds ratio between anemia in children 6 to 23 months  
161 old and the explanatory variables from three different procedures. First, from independent simple  
162 logistic regressions between anemia and each explanatory variable. Second, from a multivariate  
163 traditional logistic regression with all individual level variables and using fixed effects for upper level  
164 factors. Third, from a multi-level logistic regression model. The multi-level model incorporated the  
165 explanatory variables grouped at the different levels of the hierarchy in line with the conceptual  
166 framework (Figure 1). For all statistical estimations, we used Stata 13 (StataCorp. 2013. Stata Statistical  
167 Software: Release 13. College Station, TX: StataCorp LP.).

167

168 The random effect multi-level analysis allowed us to estimate variance partition coefficients  
169 (VPC) from which we estimated the inter class correlation (ICC). The ICC allows us to estimate how  
170 much of the variation is explained by clustering the data (21). A high ICC would reflect a high  
clustering of anemia prevalence at the national and subnational levels. We ran seven models to

171 compare the changes on the ICC from adding additional groups of variables. The first model, the  
172 model 0 or null model, we only model the variance level structure specifying the levels without  
173 including any type of explanatory variables. In each subsequent model, from model 1 to model 6, we  
174 added gradually an additional group of explanatory variables as described above. Additional, we  
175 estimated the incremental explanatory power added to the regression based on log-likelihood  
176 function to estimate the contribution relative contribution of the individual level group of variables.

### 177 3. Results

178 The prevalence of anemia in our sample of 136,024 children 6 to 23 months old was 70%, with  
179 the highest prevalence of 76% in the Sub-Saharan Africa and the lowest prevalence of 45% in the  
180 North Africa and Middle East surveys. The Latin American surveys registered an anemia prevalence  
181 of 59% and the Asian surveys 70%. We present further descriptive data of our sample in Appendix  
182 Table A2.

#### 183 3.1. Multivariate and multilevel models

184 In Table 1, we display estimated odds ratios with a 95% confidence intervals (CI) and p-values  
185 from traditional logistic regression (TLR, multivariate) and the multi-level logistic regression (MLR).  
186 We could not include national and subnational variables in the TRL estimation due to collinearity as  
187 country and at state (subnational administrative divisions), heterogeneity is modeled with fixed  
188 effects. In contrast, in the MLR estimation, we were able to include country and state variables  
189 because the clustering effect is assumed to be random. We described in the following text the results  
190 of the MLR model. In the next section, we discuss the difference between both estimates.

191 At the country level variables, we found that children living in countries with higher HDI (above  
192 the 0.55 threshold for lower-middle class) had 29% lower odds of being anemic than those living in  
193 less developed countries and remains statistically significant. By region, no significant difference was  
194 found once we controlled for all covariates based on 52 groups (surveys). Subnational level variables  
195 urbanity, access to health care services and access to community services failed to have a statistical  
196 significant estimator once considering all covariates.

197 Most of the individual level variables remained significant after taking into account all  
198 covariates. In the household socioeconomic variables group, wealth index was a strongly associated  
199 with child anemia. Children born in households at the fifth wealth quintile had a 27% lower odds of  
200 being anemic compared with the peers in the first quintile (OR 0.73 95%CI: 0.69 - 0.76). In the same  
201 direction, children from mother mothers with secondary education or higher (OR 0.82, 95%CI: 0.78 -  
202 0.85) and living in household with three or less children (OR 1.07, 95%CI: 1.03 - 1.11) were  
203 significantly less likely to be anemic. For mother and infant variables, we found that maternal anemia  
204 (OR 1.69, 95%CI: 1.65 - 1.74) and child low birth weight (OR 1.16, 95%CI: 1.12 - 1.19) were associated  
205 with lower anemia rates. Girls (OR 0.89, 95%CI: 0.86 - 0.91) were less likely to be anemic than boys.  
206 Anemia and age had an inverted U pattern from six to 23 months of age. Anemia was significantly  
207 higher in children from 12 to 14 months old (OR 1.07, 95%CI: 1.02 - 1.11) while significantly lower at  
208 21 to 23 months of age (OR 0.74, 95%CI: 0.70 - 0.77) compared to the base line group from six to eight  
209 months of age. In the feeding variables groups, only fortified milks (OR 0.86, 95%CI: 0.82 - 0.90),  
210 fortified baby food (OR 0.90, 95%CI: 0.87 - 0.94) and tubers (OR 0.96, 95%CI: 0.93 - 0.98) were  
211 significantly associated with lower anemia rates. Consumption of foods made from grains, mainly in  
212 form of homemade porridge, bread or noodles was associated with higher anemia rates (OR 1.09,  
213 95%CI: 1.05 - 1.12). Breastmilk was associated with higher anemia rates (OR 1.07, 95%CI: 1.04 - 1.11)  
214 in the multilevel regression but it was not significant in the traditional logistic regression. Child's  
215 health variables were significantly associated with higher anemia rates: wasting (OR 1.08, 95%CI: 1.04  
216 - 1.12), stunting (OR 1.20, 95%CI: 1.16 - 1.23), diarrhea (OR 1.05, 95%CI: 1.01 - 1.08) and fever (OR  
217 1.09, 95%CI: 1.06 - 1.13).

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220 **Table 1.** Estimated odds ratios traditional logistic and multilevel logistic regressions on anemia in children 6 to  
 221 23 months old.

222

|                                     | Adjusted TLR OR<br>(95% CI) | <i>p</i> | Adjusted MLR OR<br>(95% CI) | <i>p</i> |
|-------------------------------------|-----------------------------|----------|-----------------------------|----------|
| <b>Country level factors</b>        |                             |          |                             |          |
| HDI at the time of the survey       |                             |          |                             |          |
| <i>Low</i>                          |                             |          | 1                           |          |
| <i>Lower middle/ middle</i>         |                             |          | 0.71 (0.50 - 0.99)          | 0.045    |
| World region                        |                             |          |                             |          |
| <i>Asia</i>                         |                             |          | 1                           |          |
| <i>North Africa and Middle East</i> |                             |          | 0.52 (0.25 - 1.11)          | 0.090    |
| <i>Sub-Saharan Africa</i>           |                             |          | 1.35 (0.94 - 1.93)          | 0.099    |
| <i>Latin America</i>                |                             |          | 0.89 (0.55 - 1.42)          | 0.620    |
| <b>Sub-national level factors</b>   |                             |          |                             |          |
| Type of residence                   |                             |          |                             |          |
| <i>Rural</i>                        |                             |          | 1                           |          |
| <i>Urban</i>                        |                             |          | 1.15 (0.96 - 1.38)          | 0.122    |
| Access to health services           |                             |          |                             |          |
| <i>Low</i>                          |                             |          | 1                           |          |
| <i>High</i>                         |                             |          | 0.94 (0.83 - 1.07)          | 0.368    |
| Access to community services        |                             |          |                             |          |
| <i>Low</i>                          |                             |          | 1                           |          |
| <i>High</i>                         |                             |          | 0.92 (0.80 - 1.05)          | 0.222    |
| <b>Socio-economic variables</b>     |                             |          |                             |          |
| Wealth quintile                     |                             |          |                             |          |
| <i>Lowest</i>                       | 1                           |          | 1                           |          |
| <i>Lower</i>                        | 0.92 (0.86 - 0.99)          | 0.019    | 0.95 (0.92 - 0.99)          | 0.011    |
| <i>Middle</i>                       | 0.91 (0.84 - 0.99)          | 0.027    | 0.91 (0.88 - 0.95)          | <0.001   |
| <i>High</i>                         | 0.88 (0.79 - 0.98)          | 0.016    | 0.85 (0.81 - 0.89)          | <0.001   |
| <i>Highest</i>                      | 0.80 (0.70 - 0.91)          | <0.001   | 0.73 (0.69 - 0.76)          | <0.001   |
| Household size                      |                             |          |                             |          |
| <i>Less than 6 members</i>          | 1                           |          | 1                           |          |
| <i>6 or more members</i>            | 1.03 (1.00 - 1.06)          | 0.042    | 1.00 (0.97 - 1.02)          | 0.826    |
| Children under 5                    |                             |          |                             |          |
| <i>3 or less</i>                    | 1                           |          | 1                           |          |
| <i>More than 3</i>                  | 1.09 (1.05 - 1.14)          | <0.001   | 1.07 (1.03 - 1.11)          | <0.001   |
| Mother education                    |                             |          |                             |          |
| <i>None</i>                         | 1                           |          | 1                           |          |
| <i>Primary</i>                      | 0.86 (0.82 - 0.91)          | <0.001   | 0.91 (0.88 - 0.95)          | <0.001   |
| <i>Secondary and above</i>          | 0.74 (0.70 - 0.80)          | <0.001   | 0.82 (0.78 - 0.85)          | <0.001   |

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**Table 1** (cont.). Estimated odds ratios traditional logistic and multilevel logistic regressions.

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|  | Adjusted TLR OR<br>(95% CI) | <i>p</i> | Adjusted MLR OR<br>(95% CI) | <i>p</i> |
|--|-----------------------------|----------|-----------------------------|----------|
| <b><i>Mother and child variables</i></b> |                             |          |                             |          |
| Mother's age at first birth              |                             |          |                             |          |
| <i>18 years old and older</i>            | 1                           |          | 1                           |          |
| <i>Younger than 18 years old</i>         | 1.05 (1.01 - 1.10)          | 0.021    | 1.06 (1.02 - 1.10)          | <0.001   |
| Mother with anemia                       |                             |          |                             |          |
| <i>No</i>                                | 1                           |          | 1                           |          |
| <i>Yes</i>                               | 1.78 (1.68 - 1.89)          | <0.001   | 1.69 (1.65 - 1.74)          | <0.001   |
| Stunted mother                           |                             |          |                             |          |
| <i>No</i>                                | 1                           |          | 1                           |          |
| <i>Yes</i>                               | 1.00 (0.96 - 1.04)          | 0.897    | 1.02 (0.99 - 1.05)          | 0.147    |
| Child's low birth weight                 |                             |          |                             |          |
| <i>No</i>                                | 1                           |          | 1                           |          |
| <i>Yes</i>                               | 1.20 (1.15 - 1.26)          | <0.001   | 1.16 (1.12 - 1.19)          | <0.001   |
| Child's gender                           |                             |          |                             |          |
| <i>Boy</i>                               | 1                           |          | 1                           |          |
| <i>Girl</i>                              | 0.89 (0.86 - 0.92)          | <0.001   | 0.89 (0.86 - 0.91)          | <0.001   |
| Child's age                              |                             |          |                             |          |
| <i>6 to 8 months</i>                     | 1                           |          | 1                           |          |
| <i>9 to 11 months</i>                    | 1.06 (1.00 - 1.12)          | 0.061    | 1.06 (1.01 - 1.10)          | 0.015    |
| <i>12 to 14 months</i>                   | 1.06 (0.99 - 1.14)          | 0.075    | 1.07 (1.02 - 1.11)          | 0.005    |
| <i>15 to 17 months</i>                   | 0.98 (0.88 - 1.10)          | 0.778    | 0.99 (0.94 - 1.03)          | 0.563    |
| <i>18 to 20 months</i>                   | 0.88 (0.78 - 1.00)          | 0.045    | 0.87 (0.83 - 0.91)          | <0.001   |
| <i>21 to 23 months</i>                   | 0.74 (0.64 - 0.86)          | <0.001   | 0.74 (0.70 - 0.77)          | <0.001   |
| Child's birth order                      |                             |          |                             |          |
| <i>Second or later</i>                   | 1                           |          | 1                           |          |
| <i>First child</i>                       | 1.03 (0.99 - 1.06)          | 0.149    | 1.02 (0.99 - 1.05)          | 0.231    |

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235**Table 1** (cont.). Estimated odds ratios traditional logistic and multilevel logistic regressions.

|                                | Adjusted TLR OR<br>(95% CI) | <i>p</i> | Adjusted MLR OR<br>(95% CI) | <i>p</i> |
|--------------------------------|-----------------------------|----------|-----------------------------|----------|
| <i>Child feeding variables</i> |                             |          |                             |          |
| Breast milk                    |                             |          |                             |          |
| No                             | 1                           |          | 1                           |          |
| Yes                            | 1.05 (0.98 - 1.12)          | 0.170    | 1.07 (1.04 - 1.11)          | <0.001   |
| Fortified milks                |                             |          |                             |          |
| No                             | 1                           |          | 1                           |          |
| Yes                            | 0.83 (0.78 - 0.89)          | <0.001   | 0.86 (0.82 - 0.90)          | <0.001   |
| Other milks                    |                             |          |                             |          |
| No                             | 1                           |          | 1                           |          |
| Yes                            | 1.09 (1.03 - 1.16)          | 0.003    | 1.00 (0.97 - 1.03)          | 0.965    |
| Fortified baby food            |                             |          |                             |          |
| No                             | 1                           |          | 1                           |          |
| Yes                            | 0.86 (0.81 - 0.92)          | <0.001   | 0.90 (0.87 - 0.94)          | <0.001   |
| Foods made from grains         |                             |          |                             |          |
| No                             | 1                           |          | 1                           |          |
| Yes                            | 1.10 (1.05 - 1.16)          | <0.001   | 1.09 (1.05 - 1.12)          | <0.001   |
| Potatoes & other tubers        |                             |          |                             |          |
| No                             | 1                           |          | 1                           |          |
| Yes                            | 0.94 (0.90 - 0.99)          | 0.009    | 0.96 (0.93 - 0.98)          | 0.002    |
| Meat, poultry, fish, eggs      |                             |          |                             |          |
| No                             | 1                           |          | 1                           |          |
| Yes                            | 0.91 (0.84 - 0.98)          | 0.011    | 0.99 (0.96 - 1.03)          | 0.756    |
| Fruits and vegetables          |                             |          |                             |          |
| No                             | 1                           |          | 1                           |          |
| Yes                            | 0.97 (0.93 - 1.00)          | 0.077    | 1.00 (0.97 - 1.03)          | 0.825    |
| Dried beans, peas and nuts     |                             |          |                             |          |
| No                             | 1                           |          | 1                           |          |
| Yes                            | 0.97 (0.92 - 1.01)          | 0.148    | 1.02 (0.98 - 1.05)          | 0.410    |
| Other dairy products           |                             |          |                             |          |
| No                             | 1                           |          | 1                           |          |
| Yes                            | 1.08 (1.00 - 1.15)          | 0.040    | 1.02 (0.98 - 1.05)          | 0.410    |
| Other solid-semisolids foods   |                             |          |                             |          |
| No                             | 1                           |          | 1                           |          |
| Yes                            | 0.92 (0.88 - 0.96)          | <0.001   | 0.99 (0.96 - 1.02)          | 0.688    |

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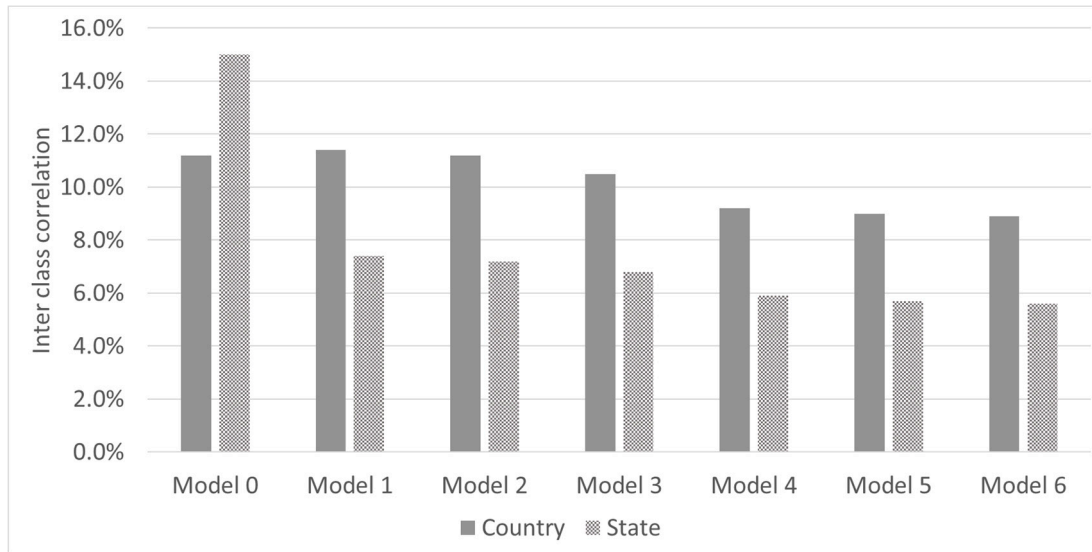
**Table 1** (cont.). Estimated odds ratios traditional logistic and multilevel logistic regressions.

|                                 | Adjusted TLR OR<br>(95% CI) | <i>p</i> | Adjusted MLR OR<br>(95% CI) | <i>p</i> |
|---------------------------------|-----------------------------|----------|-----------------------------|----------|
| <i>Child's health variables</i> |                             |          |                             |          |
| Wasting (WHZ<-2SD)              |                             |          |                             |          |
| No                              | 1                           |          | 1                           |          |
| Yes                             | 1.15 (1.09 - 1.22)          | <0.001   | 1.08 (1.04 - 1.12)          | <0.001   |
| Overweight (WHZ>+2SD)           |                             |          |                             |          |
| No                              | 1                           |          | 1                           |          |
| Yes                             | 0.83 (0.77 - 0.90)          | <0.001   | 0.84 (0.78 - 0.90)          | <0.001   |
| Stunting (HAZ<-2SD)             |                             |          |                             |          |
| No                              | 1                           |          | 1                           |          |
| Yes                             | 1.23 (1.18 - 1.28)          | <0.001   | 1.20 (1.16 - 1.23)          | <0.001   |
| Diarrhea in last two weeks      |                             |          |                             |          |
| No                              | 1                           |          | 1                           |          |
| Yes                             | 1.08 (1.03 - 1.13)          | 0.001    | 1.05 (1.01 - 1.08)          | 0.007    |
| Fever in last two weeks         |                             |          |                             |          |
| No                              | 1                           |          | 1                           |          |
| Yes                             | 1.11 (1.07 - 1.16)          | <0.001   | 1.09 (1.06 - 1.13)          | <0.001   |

243

### 244 3.2. Interclass correlation and explained variance

245 In the multi-level analysis, we estimated the interclass correlation, and the within country  
246 variability (community) as we introduce the different levels in the multivariate analysis.  
247 Figure 2 shows that 11% and 15% of the variability is attributable to differences between  
248 countries and states (or other subnational administrative division among countries). The  
249 interclass correlations decreases as additional groups of variables are introduced into the  
250 model. In the full model, the interclass correlation stands at 9% and 5.7% percent for the  
251 national and subnational level respectively



252

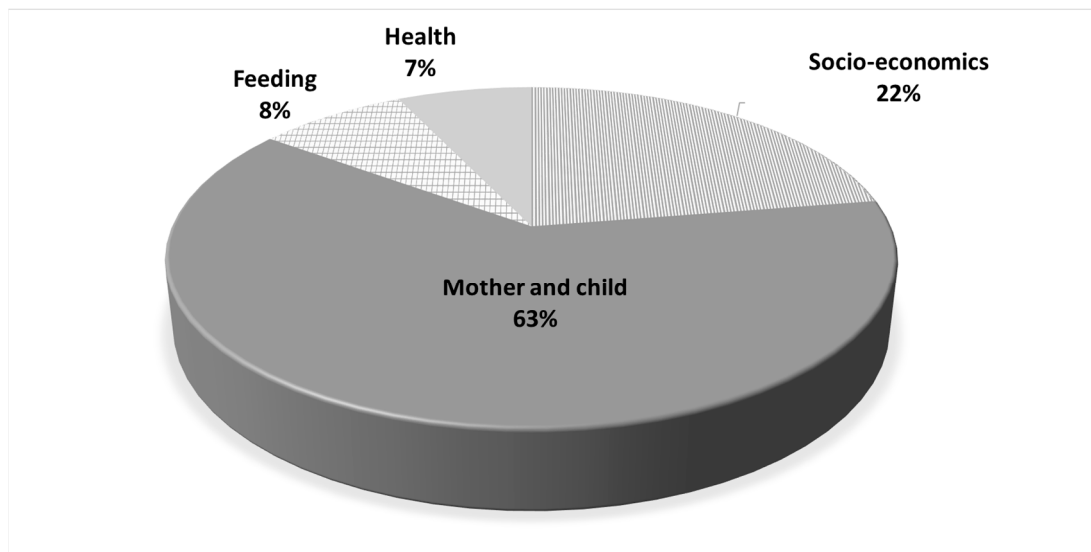
253 **Figure 2.** Inter class correlation by models. Model 0: Null model only variance level cluster; Model 1: adds  
 254 national level variables; Model 2: adds state (subnational) level variables; Model 3: adds household  
 255 socioeconomic variables; Model 4: adds child and mother characteristics; Model 5: adds feeding variables; Model  
 256 6: adds child health variables.

257

258 In Figure 3, we present the contribution of individual level variables to the total explanatory  
 259 power of the model. The mother and child variable groups contributed 63% of the  
 260 incremental pseudo R<sup>2</sup>. Socioeconomic variables accounted for 22% of the incremental  
 261 explanatory power of the model whereas feeding variables and health variables contributed  
 262 8% and 7% respectively.

263 **Figure 3.** Contribution of individual level variables group to the incremental explanatory power of the  
 264 regression (Pseudo R<sup>2</sup>).

265



266

267

#### 268 4. Discussion

269 This research pools data from DHS undertaken in Asia, Middle East, North Africa, Sub-Saharan  
270 Africa and Latin America. This is the first study that combines over 50 national surveys in low-and-  
271 lower-middle-income countries with a sample size surpassing 100 thousand children to shed some  
272 light on the association between anemia and related factors using MLR and TRL regression  
273 techniques. We found that the estimations with both approaches were very similar for all individual  
274 level variables except for the feeding variables group. In the feeding variables group some of the  
275 coefficients that were significant under the MLR were not significant at the TRL or vice versa,  
276 although the associations were consistently in the same direction. Ngnie-Teta et al. did a similar  
277 comparison on MLR and TRL using DHS from Benin and Mali and did not found major differences  
278 among the estimated coefficients using either approach (6). One possible explanation for these  
279 differences could be due to the nature of these variables. The effect of food types could partially be  
280 indirect (intermediate variables). Our estimates of the interclass correlations, once we control by full  
281 covariates, were relatively low, 9% at national level and 5.7% at subnational level.

282 As expected, higher level of HDI were significant associated with lower prevalence of anemia  
283 even after controlling for other variables. State level variables were not statistically significant after  
284 controlling for the full variables of the model. This may indicate that community variables probably  
285 are also reflected in socioeconomic and other individual variables thus their statistical power is  
286 diluted. Household socioeconomic variables are good predictors of the likelihood of anemia in  
287 children at this age in line with previous literature (22). Child and mother variables had the highest  
288 incremental explanatory power with maternal anemia coefficient being the greatest in magnitude.  
289 The strong association between maternal and child anemia could be due to many factors that  
290 determine anemia that are share between mother and child (22, 23). Age groups coefficients were  
291 statistically significant suggesting an inverted-U-pattern between the prevalence of anemia and age  
292 in months. (24-26).

293 Few coefficients from the feeding variable groups were statistically significant. Nonetheless, it  
294 is not surprisingly that among the food groups fortified milks and fortified baby foods had the  
295 strongest significant association with lower anemia rates as there is a biochemical pathway supported  
296 by several clinical trials (15, 16). Cross-association between feeding groups and health outcomes such  
297 anemia may reflect a causal relationship between nutrient density content of the foods and the  
298 specific impact on health outcome (27). Nonetheless, because food consumption was assessed a day  
299 before that the anemia measurement was taken, a significant association could be pointing at  
300 persistent feeding habits. Randomized Clinical Trials (RCTs) have shown a positive effect of iron  
301 fortified products on hemoglobin after 4 to 6 months of regular consumption. Therefore, a  
302 contemporaneous association between feeding habits and hemoglobin or anemia requires that  
303 current and past consumption patterns to be highly correlated to potentially reflect the possible  
304 causal path on nutrient density of the foods and health outcomes. Food made out of grains, including  
305 homemade porridges (no fortified), bread and noodles, had a statistical significantly association with  
306 anemia. This association could arise because these foods could replace other iron-rich foods in the  
307 diet or the presence of phytic acids that reduce the absorption of iron (28). Breastmilk had no clear  
308 association with anemia, in the TLR the association was not significant while it was negatively  
309 associated with anemia in MLR. Breastmilk contains very little amounts of iron and if the mothers  
310 diet is not diversified breastmilk would not contribute enough to meet the infants daily iron  
311 requirements (29-31). However, on the other hand breastmilk prevents infections that could cause  
312 anemia (32).

313 The associations between anemia and health variables are in the expected direction according to the  
314 previous literature (5, 22). Wasting and stunting were significantly associated with higher anemia  
315 prevalence. Similar to anemia, wasting and stunting are cause by caused by long-term insufficient  
316 nutrient intake and frequent infections. The inclusion of wasting and stunting may reduce the  
317 omitted variable bias on other parameters as we take indirectly into consideration environmental  
318 factors and dietary diversity in the past. Fever and diarrhea result frequently from infectious diseases

319 such as malaria. In the absence of malaria controlling variables in this study such as bed nets and  
320 malaria testing these variables could improve the estimates.

321 This study has a number of limitations. First, using cross sectional data prevented us to do any  
322 causal inference. As discussed above, some associations reflect a causal pathway but we do not have  
323 the appropriate data structure to support this. Second, our data are from various geographies,  
324 therefore our estimates represent an average of our sample that may differ between countries in the  
325 sample. However, our estimates are aligned with the previous findings in the literature and highlight  
326 future areas of interest for additional studies. Increasing our sample by increasing the total number  
327 of surveys came at a cost of limiting the number of variables analyzed such as excluding measures of  
328 bed nets or prevalence of malaria. Although few DHS have information on this, most DHS focus more  
329 on other child and maternal aspects.

## 330 5. Conclusions

331 The prevalence of anemia during the complementary feeding in low and lower-middle-income  
332 countries remains high. This is a critical period in life as the anemia during this age could lead to  
333 improper child neurodevelopment. Around two thirds of the children at six month of age in our  
334 sample were anemic, early life interventions should be prioritize to reduce the likelihood of being  
335 anemic at that age. Improving overall household living conditions as well as increasing maternal  
336 education, delaying and spacing childbearing and introducing iron rich foods in weaning (33)  
337 could also have a positive impact on reducing anemia in infancy. Public health program should target  
338 infants from anemic mothers and infants in deprived households as they are at higher risk of anemia.  
339 Given the association between fever and diarrhea with anemia, reducing infection diseases, through  
340 sanitation, vaccination and malaria prevention could enhance hemoglobin concentrations.

341 **Author Contributions:** APP conceptualized the framework analysis, ran the data analysis, drafted and revised  
342 the paper; PRD provided inputs for the data analysis, drafted and revised the paper; KVH and ZH, provided  
343 inputs for the analysis, drafted and revised the paper.

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347 Center. Klazine Van Der Host previously has worked for Nestlé research.  
348

349

350 **Appendix A**351 **Table A1.** Countries and years in sample.

352

| <b>World region</b>                       | <b>Country and survey year in parenthesis</b>  |
|---|--|
| Asia (11 surveys)                         | Azerbaijan (2006); Bangladesh (2011); Cambodia (2010, 2014); India (2005, 2015); Kyrgyzstan (2012); Myanmar (2015); Nepal (2006, 2011); Timor-Leste (2009)   |
| North-Africa & Middle-East<br>(2 surveys) | Egypt (2014); Jordan (2012)  |
| Sub-Saharan Africa<br>(33 surveys)        | Benin (2006, 2011); Burkina Faso (2010); Burundi (2010); Cameroon (2011); Congo (2011); Dem. Rep. Congo (2013); Cote d'Ivoire (2011); Ethiopia (2011); Gabon (2012); Gambia (2013); Ghana (2014); Guinea (2012); Lesotho (2014); Malawi (2010, 2015); Mali (2012); Mozambique (2011); Namibia (2013); Niger (2012); Rwanda (2010, 2014); São Tomé and Príncipe (2008); Senegal (2010); Sierra Leone (2008, 2013); Swaziland (2006); Tanzania (2015); Togo (2013); Uganda (2006); Zimbabwe (2005, 2010, 2015) |
| Latin-America<br>(6 surveys)              | Guatemala (2014); Guyana (2009); Haiti (2005, 2012); Honduras (2005, 2011)   |

353

354 **Table 2.** Descriptive statistics of the sample.

355

|  | <i>Asia</i> | <i>North<br/>Africa and<br/>Middle<br/>East</i> | <i>Sub-<br/>Saharan<br/>Africa</i> | <i>Latin<br/>America</i> | <i>Total</i> |
|--|-------------|---|------------------------------------|--------------------------|--------------|
| <b>Dependent variable</b>              |             |   |                                    |                          |              |
| Anemia                                 | 70.1%       | 44.9%   | 75.6%                              | 58.6%                    | 70.2%        |
| <b>Country and community variables</b> |             |   |                                    |                          |              |
| HDI at the time of the survey          | 81.9%       | 100.0%  | 9.7%                               | 81.9%                    | 61.5%        |
| Mostly urban state                     | 3.9%        | 74.6%   | 12.0%                              | 21.1%                    | 9.4%         |
| Access to community services           | 53.7%       | 100.0%  | 6.3%                               | 71.6%                    | 42.6%        |
| Access to health care                  | 41.9%       | 100.0%  | 77.8%                              | 92.7%                    | 57.9%        |

356

357

358

359  
360  
361**Table A2** (cont.). Descriptive statistics of the sample.

|   | Asia   | North<br>Africa and<br>Middle<br>East | Sub-<br>Saharan<br>Africa | Latin<br>America | Total   |
|---|--------|---------------------------------------|---------------------------|------------------|---------|
| <b><i>Household, mother and child variables</i></b> |        |                                       |                           |                  |         |
| Household size                                      |        |                                       |                           |                  |         |
| 6 or more members                                   | 57.9%  | 43.8%                                 | 58.0%                     | 54.2%            | 57.3%   |
| More than 3 children U5                             | 16.4%  | 18.3%                                 | 24.8%                     | 15.5%            | 18.8%   |
| Maternal education                                  |        |                                       |                           |                  |         |
| No education  | 28.8%  | 7.9%                                  | 36.3%                     | 13.6%            | 29.2%   |
| Primary education                                   | 15.5%  | 6.9%                                  | 37.5%                     | 57.0%            | 25.2%   |
| Secondary or higher                                 | 55.7%  | 85.2%                                 | 26.2%                     | 29.4%            | 45.7%   |
| Mother younger than 18 years old                    | 12.8%  | 8.0%                                  | 33.0%                     | 33.4%            | 20.3%   |
| Mother with anemia                                  | 55.6%  | 30.6%                                 | 38.3%                     | 21.9%            | 47.2%   |
| Low birth weight child                              | 31.4%  | 20.9%                                 | 17.3%                     | 19.5%            | 26.1%   |
| Female child  | 47.9%  | 47.4%                                 | 50.0%                     | 48.6%            | 48.5%   |
| Frist born child                                    | 36.3%  | 24.9%                                 | 22.4%                     | 31.9%            | 31.7%   |
| <b><i>Child feeding variables</i></b>               |        |                                       |                           |                  |         |
| Breast milk   | 84.8%  | 52.8%                                 | 82.1%                     | 74.1%            | 82.3%   |
| Fortified milks                                     | 9.8%   | 5.7%                                  | 5.7%                      | 9.5%             | 8.5%    |
| Other milks   | 39.7%  | 49.5%                                 | 15.6%                     | 33.8%            | 32.5%   |
| Fortified baby food                                 | 14.4%  | 7.6%                                  | 9.5%                      | 27.8%            | 13.9%   |
| Foods made from grains                              | 68.3%  | 73.9%                                 | 65.5%                     | 81.8%            | 68.8%   |
| Potatoes & other tubers                             | 32.0%  | 44.9%                                 | 32.6%                     | 46.0%            | 33.6%   |
| Meat, poultry, fish, eggs                           | 20.7%  | 57.6%                                 | 41.7%                     | 66.2%            | 31.5%   |
| Fruits and vegetables                               | 43.3%  | 58.9%                                 | 49.3%                     | 55.4%            | 46.4%   |
| Dried beans, peas and nuts                          | 15.1%  | 18.2%                                 | 21.9%                     | 58.1%            | 20.8%   |
| Other dairy products                                | 15.5%  | 67.3%                                 | 9.1%                      | 34.9%            | 16.5%   |
| Other solid-semisolids foods                        | 25.0%  | 45.5%                                 | 42.7%                     | 54.3%            | 33.0%   |
| <b><i>Child's health variables</i></b>              |        |                                       |                           |                  |         |
| Wasting (WHZ<-2SD)                                  | 21.8%  | 7.2%                                  | 11.2%                     | 3.3%             | 16.9%   |
| Overweight (WHZ>+2SD)                               | 6.3%   | 19.3%                                 | 11.7%                     | 14.7%            | 8.9%    |
| Stunting (HAZ<-2SD)                                 | 34.4%  | 12.5%                                 | 29.6%                     | 27.7%            | 31.9%   |
| Diarrhea in last two weeks                          | 15.1%  | 24.5%                                 | 25.8%                     | 29.9%            | 19.6%   |
| Fever in last two weeks                             | 18.8%  | 27.0%                                 | 27.4%                     | 29.7%            | 22.4%   |
| <i>Number of observations</i>                       | 82 343 | 3 231                                 | 39 440                    | 11 529           | 136 543 |

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