

1 *Case report*

2 **Biomass-based innovations in Demand Driven** 3 **Research and Development Projects in Africa**

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7 **Abstract:** The case for demand-driven research and development has received important
8 considerations among governments, donors and programme implementing partners in
9 development planning and implementation. Addressing demand is believed to be a bottom-top
10 approach for designing and responding to development priorities and is good for achieving
11 development outcomes. In this paper, we discuss the concept and application of demand driven
12 research for development (DDR) in Africa. We use evidence of six projects implemented under
13 the BiomassWeb Project in Africa. We focus on parameters on level of engagement of stakeholders -
14 whose demand is being articulated, the processes for demand articulation, capacity building and
15 implementation processes, innovativeness of the project, reporting and sustainability of the project.
16 We find that the nature of the institutions involved in articulation and implementation of
17 demand-driven research and development projects and their partnerships influence the impact and
18 reporting of demand-driven projects.

19 **Keywords:** Demand driven research; Biomass; innovation; Ghana

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21 **1. Introduction**

22 There is an increasing recognition of the challenge that African agriculture faces - of grow more
23 food to feed its fast growing and urbanizing population in situations of greater uncertainty because
24 of climate change and global trade. Today, productivity (i.e. cereal yields) is estimated at 1.6 tons/ha
25 in Africa, compared to 6.6tons/ha in Europe and 3.9 tons/ha globally. The imperative is to deepen the
26 application of science, technology and innovation in all agricultural processes [1]. The ability of
27 science to lead to agricultural transformation depends to a considerable extent on what science is to
28 be applied and for which constituency. This has a bearing on the use of research evidence, policy
29 action, adoption rates as well as sustainable of project and programme outcomes. This brings to the
30 fore the concept and application of Demand-driven Research and Development (DDR). The
31 argument of demand- driven research is based on a realization that when research is close to the
32 needs of the end users, it can easily be adapted, adopted and used [2]. Demand-driven and
33 locally-driven national agricultural research system are believed to better supports overall
34 institutional capacities, linkages among partners in the sector as well as sustainability of outcomes.
35 However, the inadequate funding to research often means that donors are the main drivers of
36 research in Africa. Service delivery therefore remain largely supply-driven and organizations fail to
37 effectively contribute to the real goal of providing more efficient and effective quality services for
38 farmers to enhance rural development. These arguments are also similar to that of demand driven
39 development programmes promoted by donor and development partners. For example, World
40 Bank's lending to demand-driven project was estimated to rise from \$325 million in 1996 to \$2 billion
41 in 2003 [2]. Demand driven interventions are regarded as a mechanism for enhancing sustainability,
42 making development more inclusive and empowering end users. However, demand-driven
43 intervention in some areas also suffer from challenges of elite capture, misapplication of funds, low
44 capacity etc. These issues are applicable to research funding much as they are to mainstream
45 development interventions. This study is significant to the extent that it shows that the processes for

46 demand articulation, the stakeholders involved in the process (articulation and implementation) and
 47 their linkages to end users are important in ensuring impact and sustainability of the project
 48 outcomes.

49 In 2016, the Forum for Agricultural Research in Africa and the Centre for Development
 50 Research initiated Demand Driven Research and Development under the Biomass Web Project. The
 51 demand driven research was to provide an opportunity for partner organizations within the
 52 BiomassWeb project to carry out further research and development activities to reinforce the
 53 possible outcomes of on-going BiomassWeb activities, and in addition to use the knowledge gained
 54 to improve the livelihoods of the people. The DDRD activity aimed to increase stakeholders'
 55 participation and contribute to research and development activities of BiomassWeb project. The
 56 DDRD activities must be driven by need or demand from potential beneficiaries. Grants were
 57 provided to successful partner organizations. On the basis of evidence on demand, innovativeness,
 58 ease of adoption and potential impact, Forum for agricultural research in Africa (FARA) and Center
 59 for Development Research (ZEF) shortlisted thirteen projects . The thirteen shortlisted projects were
 60 then submitted to external evaluators for their review. Following the external evaluators' review and
 61 recommendations, six proposals were selected for funding (see Table 1). Using evidence on the
 62 emerging results of six projects implemented in Ghana, Nigeria and Ethiopis, this paper examines
 63 the following questions;

- 64 1. Are demand driven project easily adoptable or upscaled?
- 65 2. Does the implementation of demand-driven projects necessarily ensure higher impact and
 66 sustainability of outcomes?

67 **Table 1.** Selected demand driven research for development (DDRD) sub projects under the
 68 Biomassweb project.

Applicant affiliation	Title of the project	Country	Crop
Ministry of Food and Agriculture, Accra, Ghana	Using cassava peels for mushroom cultivation	Ghana	cassava
Council for Scientific and Industrial Research (CSIR) Food Research Institute, Accra, Ghana	Developing biomass-based value chain of plantain and reduce post-harvest losses of plantain through the development of value added products for small scale farmers and processors in two regions in Ghana	Ghana	plantain
Department of Agroforestry, Kwame Nkrumah University of Science and Technology, Kumasi, Ghana	Exploring the potential of bamboo leave fodder for livestock production in Ghana	Ghana	bamboo
Department of Agricultural and Environmental Engineering, University of Ibadan, Nigeria	Production of bio-plastics and bio-gels from agricultural waste to promote their biomassweb values	Nigeria	cassava, maize, banana
Department of Agricultural and Environmental Engineering, Federal University of Technology, Akure, Ondo State, Nigeria	Mass and energy balance analysis of pneumatic dryers for cassava and development of optimization models to increase competitiveness	Nigeria	cassava
YOM Institute of Economic Development, Ethiopia	Exploring potentials of the bamboo sector for employment and food security in Ethiopia: An institutional analysis of bamboo-based valuweweb	Ethiopia	bamboo

70 2. Materials and Methods

71 One highly acclaimed Forum for Agricultural Research in Africa (FARA) product is “FARA
72 bio-economy innovation-to-impact framework” for developing, testing and refining models for
73 generation, uptake, out-scaling and commercialisation of innovations [3]. The key selling point is
74 about ensuring technology adaption for increased livelihood outcomes through the use of
75 multi-stakeholder innovation platforms for articulation of demand-driven research and for
76 technology development and outreach.

77 To answer the questions indicated above, our analysis is guided by the framework described
78 below (Fig. 1). We review the DDRDs based on FARA’s Innovation to Impact model. To
79 operationalise the model our framework is built on three key parameters:

- 80 • Partner engagement in project design
- 81 • Quality of the research results/outputs

82 Sustainability of project outcomes The use of these parameters allowed us to assess the quality
83 of the deliverables and engagement of partners at each of the project processes from identification of
84 the project activities to communication of research outputs. Under partner engagement, we consider
85 the type of partner e.g., research institutions, NGOs’ and the kind of partnership arrangement and
86 funding mechanism. Also, who or which institution is demanding the research and whose demand
87 is the project responding to? -e.g. research need, community need, industry need, environmental
88 need, etc.-. The process of implementation and the level of participation of different stakeholders
89 especially women is also assessed.

90 The DDRD projects are evaluated based on the demand for the project to address specific
91 socio-economic and environmental challenges through the innovative use of biomass to generate
92 and develop bio-based services and products. The results of the DDRD projects are to be easily
93 up-scaled, adapted, adopted and used. Communication of research output/outcomes and capacity
94 building of actors is considered key to ensure adoption, upscaling and sustainability.

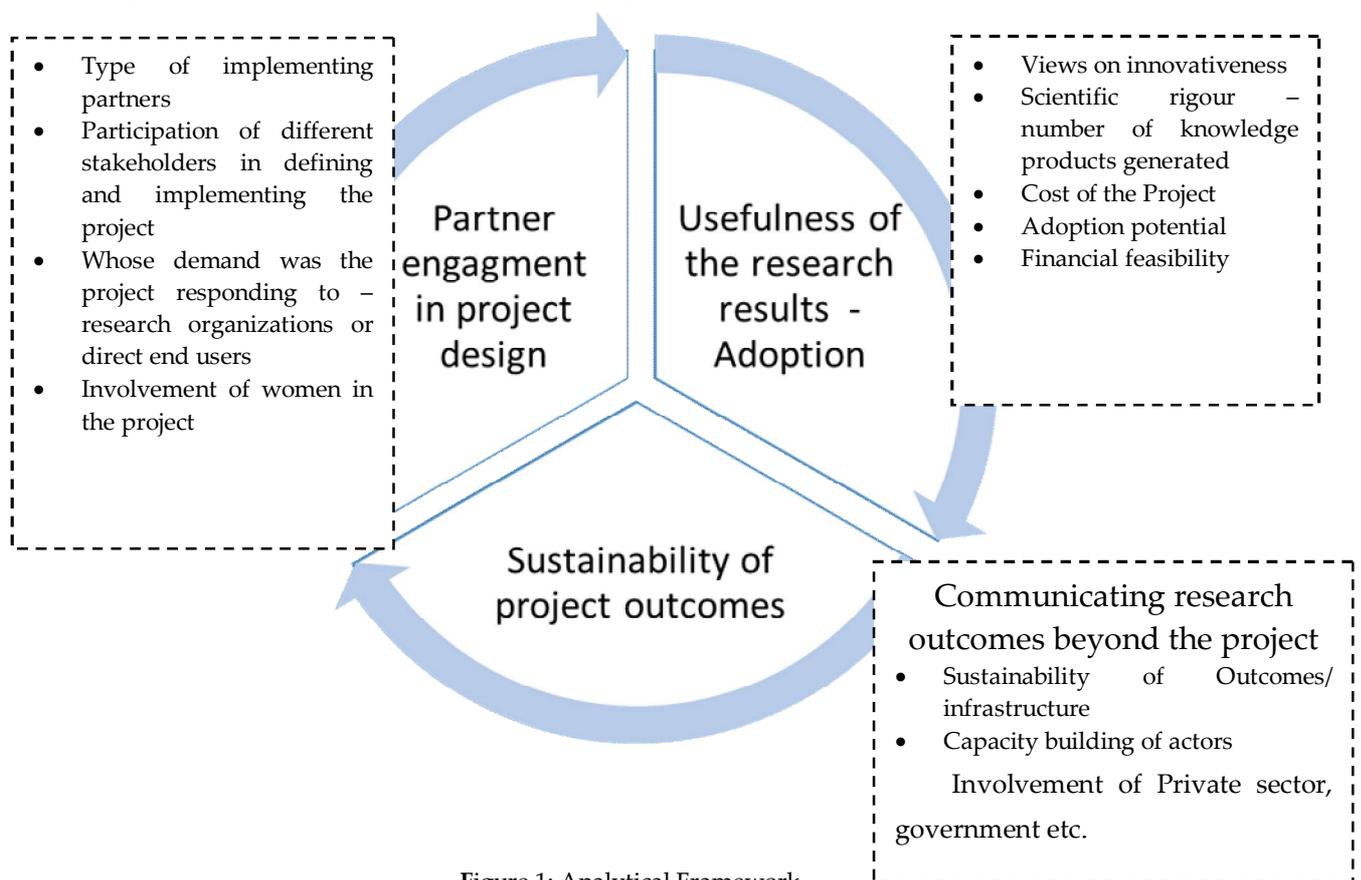


Figure 1: Analytical Framework

98 For this study, we use qualitative methodology for the data collection and analysis. Project
99 documents and reports on six implemented DDRD projects were reviewed and content analyzed.
100 We focused on the parameters described in the conceptual framework. This included the partner
101 engagement, demand for project, project goal, implementation process, innovativeness of the
102 project, sustainability and scalability of project, project end-users, capacity building, delivery and
103 adoption of innovative technologies. Focus group discussions and personal interviews were
104 conducted with some of the project beneficiaries. Also key informant interviews were conducted
105 with project managers and principal researchers of the BiomassWeb DDRD projects.

106 3. Results

107 The following sections provides information on the innovation processes in each of the three
108 projects and the relation between end-users and researchers in the generation and adoption of new
109 technologies; in addressing postharvest losses of plantain, innovative use of cassava biomass for the
110 production of mushroom and use of bamboo biomass for feeding livestock. Discussions are centred
111 on BiomassWeb DDRD project planning, innovations in the use of biomass, capacity
112 building/demonstrations, delivery and adoption of innovative technologies.

113 Using cassava peels for mushroom production

114 *Demand Driven Research Planning*

115 The goal of the project was to use cassava peels which are waste, generated in the production of
116 Gari/cassava chips, as substrate for the production of mushroom to generate income, improve
117 household nutrition security and minimize environmental degradation. Three major actors are
118 involved in this Demand Driven Research and Development (DDRD) project. The funder – Forum
119 for Agricultural Research in Africa (FARA/BiomassWeb project) and Centre for Development
120 Research (ZEF), the facilitators / implementers (Women in Agricultural Development), and the
121 beneficiaries (extension agents, and farm families of Gomoa). A key observation to note in this
122 DDRD is the fact that the innovation was generated by the researchers (or facilitators/implementers)
123 and supplied or transferred to the local community with funding from the development partner. In
124 this case the donor agency (FARA/ZEF) demands the knowledge and innovation on behalf of the
125 end-users and goes a step further to demand the empowerment of these end-users. Local farm
126 families and the youth of Gomoa were trained on cassava peel composting, bagging the substrates,
127 inoculating the substrate with spores, daily culture of the inoculated substrate/growing mushrooms,
128 harvesting the matured mushrooms, drying and packaging for the market, as well as the marketing
129 of either the mushrooms or the substrate. A mushroom house was constructed near a major Gari
130 processing centre where cassava peels had accumulated and polluted the environment.

131 *Innovation in the use of biomass*

132 The idea and know-how to convert cassava peel waste into income generating opportunity and
133 improve environmental quality was the innovative contribution of this DDRD. To operationalize the
134 idea, cassava peels was composted and subsequently used as substrate for the cultivation of
135 mushrooms. Mushroom is an important food in diet of Ghanaians. It is a valuable source of high
136 quality proteins (21-40%) dry weight, vitamins (B1, B2, B6, B12, C, D) and rich in vitamins [14, 15,13].
137 Both the substrate and the mushroom produced could be sold for money. This could be sufficient to
138 keep farm families and the youth in business assuming there is ready market and the substrate as
139 well as mushrooms are produced in large quantities. Through this intervention, the area is cleared of
140 filth and the air cleansed of the stench that would have been produced as a result of the
141 decomposing cassava peel waste. Figure 2 shows the framework for converting cassava peels, which
142 is a by-product or waste from the Gari/cassava chips processing activity, into a substrate for
143 mushroom production, and the waste substrate used as manure for crop production. The peels can
144 also fed to livestock.

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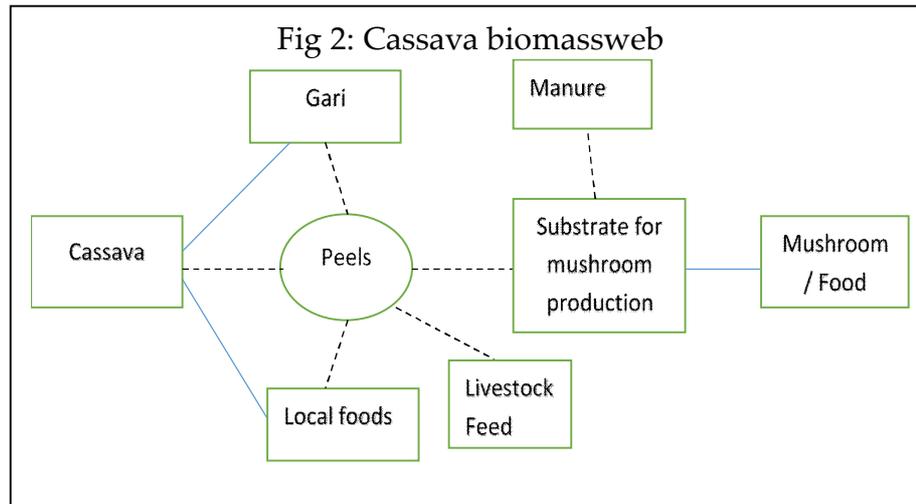
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Figure 2. The flowchart illustrating conversion of cassava peel waste into substrate for mushroom cultivation and manure for agricultural production. Cassava peel waste also serves as livestock feed.

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Capacity building

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For this project, about thirty (30) local women from a gari/cassava chips processing group and the youth of Gomoa community were trained on how to undertake mushroom cultivation. The training covered compost preparation leading to the generation of substrate, bagging, inoculation with spores (seed), incubation and harvesting of the mushroom. A mushroom house was constructed, and a solar dryer provided. According to the project managers there was the need to construct a structure for the mushroom production to be able to control the temperature which should not exceed 30 degrees Celsius, and also keep the room humid. This is consistent with other studies that have found an increase in temperature of 40-60 degree celcius likely to kill the mycelium in less than 24 hours [12, 16].

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Trainees were also trained on how and where to market mushrooms. Since mushroom is currently produced on a small scale, the local markets and interested individual mushroom consumers were the main market options explored. Training was conducted by a consultant who was hired by the implementers Women in Agricultural Development (WIAD).

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Delivery of the project and adoption

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The concept of converting cassava peel waste to mushrooms for food and income is theoretically easy to adopt. Interactions with participants who were trained revealed they had understood how the concept is operationalized. However, many had the notion that a mushroom house is needed to operationalize this innovation. In which case, they felt financially handicapped to truly benefit from this innovation. To ensure the sustainability of the innovation, there should be 1) small-scale production of substrate from cassava peels using simple home-based materials and subsequently following up with the rest of the processes, and 2) private sector investments in constructing a mushroom house for the mass production of substrate for sale to small-scale mushroom producers.

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Development of plantain biomass into composite flour for traditional foods and bakery products

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Demand Driven Research Planning

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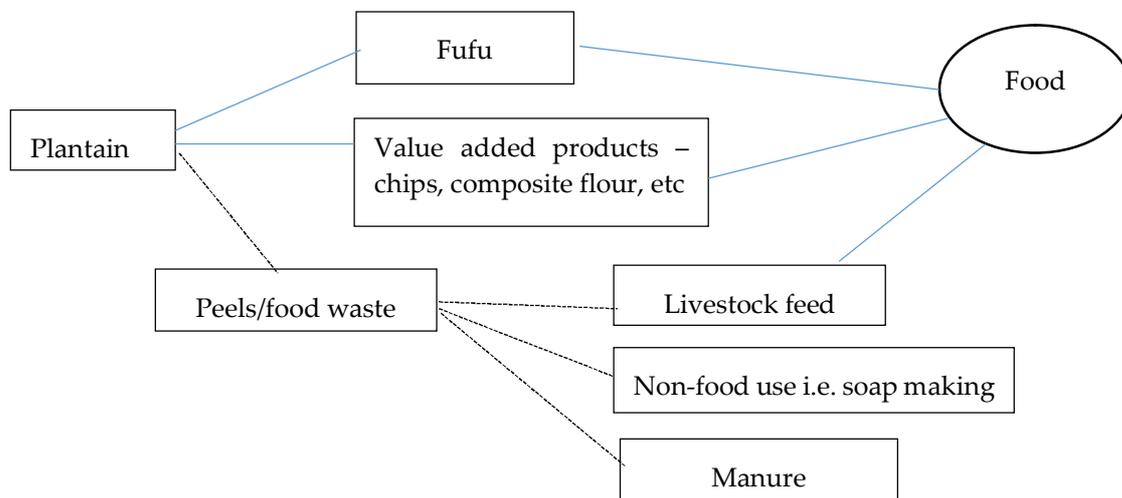
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Researchers from the Food Research Institute of the Centre for Scientific and Industrial Research (CSIR-FRI) Ghana, identified the need for this project in response to high postharvest losses of plantain and the need to convert plantain biomass into composite flour which could

187 substitute wheat in the production of local foods and provide highly nutritious foods. Local food
 188 processors were trained in plantain processing technologies of converting plantain into composite
 189 flour for various traditional foods such as plantain fufu and value-added products for making of
 190 bakery products such as plantain chips, cakes, pies, bread and doughnuts.

191 *Innovation in the use of biomass*

192 Plantain is highly nutritious, provide rich dietary energy, and contains micronutrients such as
 193 carotenes, ascorbic acid, as well as minerals such as iron, potassium, zinc, calcium, and phosphorus.
 194 Addition of plantain to traditional foods will provide good quality diets [4]. Processing plantain into
 195 composite flour during plantain peak season when it is readily available on the market and prices
 196 are moderate will help reduce postharvest losses which is estimated at 20% at production level and
 197 15% at consumption level [4]. The composite flour can be stored for up to a year, hence can serve as a
 198 convenient raw material for making bakery products. The composite flour can serve as a substitute
 199 for wheat flour which is a major import commodity in Ghana. Currently Ghana imports about
 200 700,000MT of wheat annually which leaves a hefty bill for government. Usage of plantain composite
 201 flour in bakery products will reduce importation of wheat flour. The plantain peels can serve as food
 202 for feeding livestock and as raw material for local soap industries (Fig 3).



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214 Fig 3: Plantain biomass value web

215 *Capacity building*

216 About 117 local food processors were trained in converting plantain into composite flour and
 217 adding value to plantain products and developing better market linkages for plantain products. The
 218 technology is easy to adopt as it involved locally sourced materials and processing was by stages of
 219 boiling, drying and milling which could be easily performed at home. It doesn't require a huge
 220 start-up capital hence can be started on a small-scale. For this project, initial start-up package for the
 221 beneficiaries to encourage uptake of the technology was 1kg of composite supplied to each
 222 beneficiary. Adoption of new technologies is likely to occur when individuals perceive some
 223 relevant advantage over an existing innovation or status quo, and such an innovation is compatible
 224 with existing practices and not too complex as well as offering observable results [9]. Beneficiaries
 225 reported uptake of the technology to have resulted in higher incomes as the addition of the plantain
 226 flour increased volumes of products and thereby increased their profits. Also, created jobs for some
 227 women who otherwise had no source of income. It was not immediately apparent what the level of
 228 adoption of the plantain value addition techniques and marketing strategies acquired from the
 229 CSIR-FRI team is. It appears too soon to notice measurable levels of adoption of the techniques.
 230 Adoption happens over time depending on the individual's decision to take up innovation [11], and
 231 the availability of resources, which in this case is seasonal with peak and minor seasons.

232 *Delivery of the project and adoption*

233 Widespread adoption and production of plantain composite flour is however hindered by the
234 lack of access to milling machines and mechanized or solar dryer units to commercialize production.
235 Current production level is on small-scale using corn milling machines and sun-drying which is
236 challenged during rainy season. Innovative ways to assist in increasing adoption of the technology
237 and commercializing the plantain composite flour production is therefore essential. This has to do
238 with addressing the underlying challenge of acquiring milling machinery purposely for processing
239 plantain into composite flour. Also, providing solar or mechanical drying units for efficiently drying
240 the flour especially during the raining season which is also the peak season for plantain production.
241 The beneficiaries can be organized into groups to mobilize funds for the purchase of milling machine
242 and mechanical or solar drying unit. The group could go into commercial plantain flour production
243 which members could purchase for their bakery production or food preparations. A private investor
244 could also profit greatly by introducing milling machines and a solar dryers in the peak plantain
245 growing areas. These aside, some easy to adopt strategies such as plantain chips production are
246 already being utilized widely in major urban centres across the country.

247 **Bamboo leaf as fodder for livestock production**

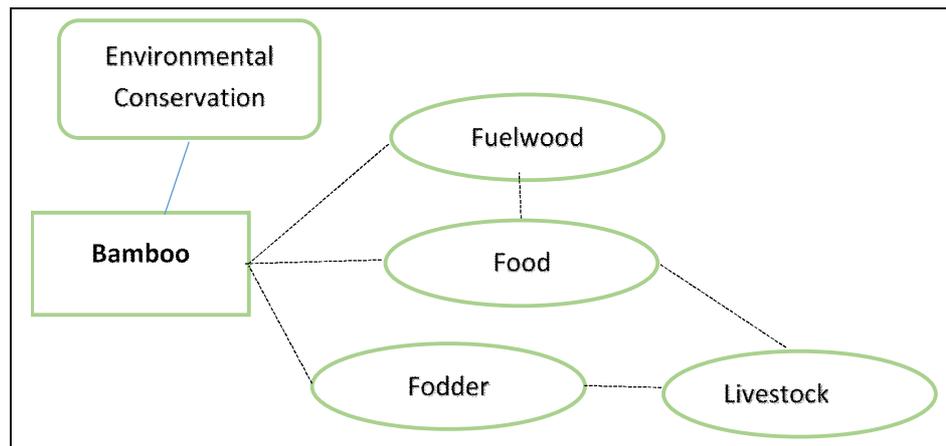
248 *Demand Driven Research Planning*

249 The idea of introducing bamboo as an alternative fodder for livestock feeding was developed
250 by the International Rattan and Bamboo Network, with financial support from FARA and ZEF
251 within the BiomassWeb project. Livestock production in Ghana is limited by access to sustainable
252 feed supply especially during the dry season. The evergreen nature of bamboo and its high nutritive
253 content makes it an ideal fodder especially during the dry season when tree leaves and grasses dry
254 up and swept through by bushfires. The International Network for Bamboo and Rattan (INBAR)
255 piloted a bamboo-based agroforestry model in the dry semi-deciduous zone of Ghana to promote
256 the integration of bamboo into indigenous cropping systems to meet socioeconomic needs and
257 provide fodder for livestock. Experimental bamboo feeding trials was set up to explore the
258 consumption patterns and digestibility of bamboo fodder and evaluate growth and health of
259 livestock fed with bamboo leaves either as sole feed or feed supplement.

260 *Innovation in the use of biomass*

261 In Ghana, bamboo use as fodder is largely unknown. There is not sufficient awareness of
262 bamboo utilization as fodder throughout Ghana. Partey et al. [5] report 26% awareness on use of
263 bamboo leaves as fodder. However, the evergreen nature of bamboo and its high nutritive content
264 -i.e. rich crude protein (9 – 19%) and low in crude fibre (18-34%) - makes it an ideal fodder especially
265 during the dry season when tree leaves, and grasses dry up and swept through by fire [6]. Successful
266 introduction of bamboo as an alternative feed stock will ensure that livestock have fresh fodder all
267 year round thereby encouraging livestock production, the bamboo stems will provide fuelwood,
268 while the young regenerated shoots can be consumed as food. Bamboo can also be used in alley
269 cropping systems to boost food production while at the same time stabilizing the soils and
270 minimizing environmental impacts including mitigation and adaptation to climate change (Fig 4).
271 INBAR has introduced two bamboo species from India (*Bambusa balcooa* or beamer bamboo) and
272 Ethiopia (*Oxythenanthera abyssinica*). Both species are drought and fire tolerant. Fire and droughts
273 are typical of the forest-savannah transition belt and the savannah zone of Ghana.

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285 **Figure 4.** Bamboo agroforestry and bamboo fodder for livestock production

286 *Experimental Set-up*

287 The bamboo feeding trial experiment was set as a completely randomized design
288 experiment with two replications. Plant fodder for goats was the main treatment and consisted of
289 three feed types 1) Bamboo leaves, 2) Grass – sugar cane grass or *Saccharum* spp, 3) Leaves of
290 *Millittia* species and *Gmelina arborea*. Six goats are used in the experiment with two assigned to
291 each of the fodder types above. The data was collected on weekly weights of goats, faecal matter,
292 urine and blood samples. The study revealed that bamboo is acceptable to the sheep and were
293 completely consumed when offered ad libitum and therefore using it as feed supplement can
294 increase feed intake of the basal diets by 40% and increase the weight of sheep by 2.31kg [17]. The
295 Bamboo crude protein (CP) of 124g/kg; ash – 80g/kg ; neutral detergent fibre (NDF) – 464g/kg
296 compares well with cowpea haulm, a leguminous haulm, with a CP, ash, NDF and gas production
297 (GP) of 124 – 268; 89; 419g/kg respectively except the gas production (GP) which is 2 times lower
298 than cowpea haulm [18]. The study however finds that the bamboo used as supplement recorded a
299 reduction in the blood parameters measured at the end of the experiment, the RBC (5.8-8.0 L-1), total
300 protein (63-70 g dL-1) and Albumin (23-28 g dL-1) compared well RBC (6.4-9.9 L-1), total protein
301 (63-71g dL-1) when sheep were fed with sorghum stover and dried poultry droppings except the
302 albumin which was 1.5 times lower than those reported by the researchers [17]. Despite the
303 comparably higher CP, GP, ash and the positive influence on growth performance, bamboo should
304 be fed alongside with leguminous forages in an attempt to meet the energy-protein requirement of
305 the animals and also improve the health status of the animals through the supply of the minerals and
306 protein [17]

307 *Delivery of the project and adoption*

308 For bamboo leaves to be adopted and used as fodder by local subsistence and commercial
309 livestock farmers, there is the need for massive awareness creation and public education at the
310 grassroots level where the need for such fodder will be most required. Key to this process will be
311 the sustainable availability of the bamboo resources. INBAR has undertaken efforts to ensure
312 sufficient bamboo material is available for the provisioning of leaves as fodder by establishing
313 bamboo plantations in selected communities. There are plans to expand the bamboo plantation
314 cover in the country. Hence, there is significant promise that sufficient bamboo fodder will be made
315 available for large scale livestock production in the future. So far more than 50% of farmers in
316 bamboo growing areas in Ghana have demonstrated their willingness accept bamboo as a livestock
317 feed [5]. The bamboo fodder should however be supplemented by a leguminous fodder to supply both
318 energy, protein and minerals for the animals to meet their nutrient requirement [17].

319 **Production of bio-plastics and bio-gels from agricultural waste to promote their biomassweb values**320 *Demand Driven Research Planning*

321 The project idea was conceptualized through collaborative effort by researchers from different
 322 institutions both national and international to address the challenge of environmental pollution from
 323 plastic waste in Africa using waste from agricultural products such as cassava, banana, maize to
 324 produce bio-gels and bio-plastics that are biodegradable. The institutions involved in the demand
 325 driven research are the Federal Institute of Industrial Research Oshodi (FIIRO), International
 326 Institute for Tropical Agriculture (IITA), and University of Ibadan. The collaboration among the
 327 institutions ensured that different partners pursued various aspect of the research and analysis.
 328 Funding for the research was provided by Forum for Agricultural Research in Africa (FARA) and
 329 Centre for Development Research (ZEF) under the BiomassWeb project.

330 *Innovation in the use of biomass*

331 Plastic waste disposal is a huge environmental burden in Africa and the world polluting land,
 332 water and air. Plastics made from synthetic polymer from crude oil cannot be readily degrade
 333 naturally by microbes in the soil except by pyrolysis [19]. The alternative to synthetic plastic is
 334 bio-degradable plastics which is degradable by microorganisms and enzymes such as bacteria, algae
 335 and fungi. Such biodegradable plastics can reduce plastic waste in the environment. The research
 336 team in this DDRD project explored the use of various agricultural waste products to develop
 337 biodegradable plastics and bio-fuels (Fig.5).

338 The research team was successful in developing a protocol for the production of bio-plastics
 339 from starches obtained from cassava peels, acetic acid and glycerol (200:20:10) to form a good
 340 bioplastic resin [19]. The bio-plastics produced are highly degradable. Degradation of the
 341 bio-plastics at day 3 was approximately 14% after burying in soil and by day 12, approximately 86
 342 percent of the bio-plastic are degraded [19]. The team also successfully produced bioethanol using
 343 starch extracted from cassava peel and cellulose from corn cobs using acid hydrolysis and yeast
 344 fermentation. The average yield of bioethanol is 757.33ml for cassava peel and 595.56 for corn cobs
 345 from 3.55L and 3.35L of filtrates respectively [20]. The properties of bio-ethanol produced from the
 346 two samples compared favorably with the commercial ethanol [20].

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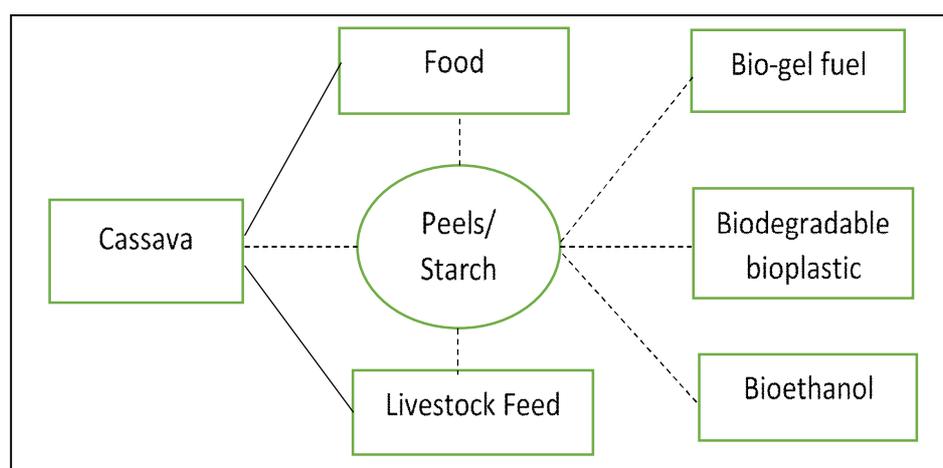
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356 **Figure 5.** The flowchart illustrating conversion of cassava waste into production bio-plastics,
 357 bio-ethanol and bio-gels

358 *Capacity building*

359 The research team presented their research findings at scientific conferences and workshops to
360 disseminate research findings. A project dissemination workshop was organized to inform policy
361 makers, manufacturers from the plastic industry and other researchers of the novel findings of the
362 study. Two masters' students from the University of Ibadan were involved in the research study and
363 trained on performing the experimental setup.

364 *Delivery of the project and adoption / upscaling*

365 The research findings shows evidence of the potential of using waste from agricultural products to
366 address environment pollution. Scaling up bioplastic production will first needs an economic
367 feasibility of such production using a pilot scale. Such study will give real life situation before
368 commercial production [20]. In case of ethanol gel, plants utilizing starch crop like maize, cassava
369 and cellulose for ethanol are already in existence, however, most of them are large scale. There is
370 need to set-up micro/small scale plants for bio-gel production to ensure lower cost of production and
371 even distribution at affordable price [20].

372 **Mass and energy balance analysis of pneumatic dryers for cassava and development of** 373 **optimization models to increase competitiveness**

374 *Demand Driven Research Planning*

375 This DDRD project implemented by Federal University of Technology Akure (FUTA)
376 addressed the inefficiencies in locally manufactured pneumatic flash dryer for processing of cassava
377 into High Quality Cassava Flour (HQCF), and further identified new ways to improve drying
378 performance of the dryers. The project had the objectives of evaluating the performance of
379 pneumatic flash dryer models operated by cassava processors in Nigeria using mass and energy
380 balance analysis, and further modify the flash dryer models to improve the drying efficiency and to
381 develop a detailed engineering design of an efficient flash dryer model. The research team was
382 composed of researchers from FUTA, Federal Institute of Industrial Research Oshodi (FIIRO),
383 International Institute of Tropical Agriculture (IITA), and Kwara State University Ilorin who
384 collaboratively embarked on the study to provide a solution to the inefficiencies in existing dryers
385 for local manufacturers. Funding for the research was provided by Forum for Agricultural Research
386 in Africa (FARA) and Centre for Development Research (ZEF) under the BiomassWeb project.

387 *Innovation in the project*

388 The research team evaluated four design models of pneumatic flash dryers based on energy
389 efficiency, specific heat consumption, thermal efficiency, heat losses to the ambient and heat losses
390 via air outlets. The results of the study showed that existing models had a combination of both
391 efficient and inefficient component parts which results in heat loss and low heat energy utilization.
392 The inefficiencies identified on the existing dryers included the absence of insulation on the drying
393 duct which facilitated greater loss, absence of feeder on some of the flash dryer models, improper
394 design of the multiple cyclone which affect proper separation of product from the exhaust air and
395 absence of heat control system on the burners [21].

396 An improved engineering design of pneumatic flash dryer was designed by the researchers to
397 regulate the heat loss and improve on the quality of HQCF produced. The modification included the
398 introduction of insulation for reduction of heat losses, instrumentation circuit diagram for heat
399 control system, and an efficient two passes heat exchanger system for maximum heat utilization. A
400 prototype model design of the improved pneumatic flash dryer was developed and fabricated by the
401 research team.

402 *Capacity building*

403 Dissemination and training workshop was organized by the research team to disseminate
404 information on the improved efficient pneumatic flash dryer for processing of High Quality Cassava
405 Flour (HQCF). Fifty fabricators and engineers from South-West Nigeria participated in the
406 workshop. Participants at the workshop were informed of the improved model design and the
407 needed improvement on the existing dryers to improve drying efficiency.

408 *Delivery of the project and adoption / upscaling*

409 To transfer the knowledge on manufacturing efficient pneumatic flash dryers to equipment
410 manufacturers, trainings in the development of detailed engineering design and fabrication of the
411 prototype improved flash dryer needs to be done. The trainings should include conduction of
412 hands-on practical session for manufacturers on how to fabricate the improved pneumatic flash
413 dryer model.

414 **Exploring potentials of the bamboo sector for employment and food security in Ethiopia: An** 415 **institutional analysis of bamboo-based valueweb**

416 *Demand Driven Research Planning*

417 The idea for implementation of the DDRD project was conceptualized by researchers from the
418 YOM institute of economic development, Ethiopia and the University of Hohenheim, Germany. The
419 primary objective of the research project was to provide holistic insights into the current status and
420 future potentials of the bamboo sector in Ethiopia in order to enhance sustainable livelihoods and
421 employment generation. The researchers surveyed 468 households from two major bamboo growing
422 regional states in Ethiopia – Amhara and Benishangul Gumuz. The research findings are to inform
423 policy decision makers on the potential benefits of promoting the bamboo sector for socio-economic
424 benefits. This research was researcher led, incorporating local people perceptions. The funding for
425 the research study was provided by provided by Forum for Agricultural Research in Africa (FARA)
426 and Centre for Development Research (ZEF) under the BiomassWeb project.

427 *Innovation in the project*

428 Ethiopian rural households earn a significant part of their livelihood from natural resources
429 mostly forest products [22]. Bamboo is one of such natural resource which serves as a source of
430 energy, fodder, food, construction material and handicrafts. Ethiopia's natural bamboo forest, the
431 largest in the African continent, is estimated to be around one million hectares, of which 850,000
432 hectares are lowland and 350,000 hectares are highland bamboo varieties [22, 23, 24]. Even though
433 Bamboo has a significant benefits, the bamboo sector has received little attention and its potential
434 contribution to the economy has been under exploited. This situation is similar to that of many
435 African countries including Ghana [25, 26, 5] which calls for the need creation of awareness among
436 the local people and policy makers to promote the bamboo sector to enhance livelihoods and
437 enhance sustainability of the environment. This DDRD research project was setup to contribute to
438 this agenda.

439 The findings from the study [22] revealed that poor rural families preferred to engage in
440 bamboo production requiring few resources. Also, market prices of bamboo culms significantly
441 increase the probability of employment in bamboo sector, and the probability of the variation in the
442 income from bamboo of rural households. Bamboo has the potential to ensure food security through
443 provision of higher incomes and better food security of poor rural smallholder farmers. Further the
444 rapid growth of bamboo and short growing cycle makes bamboo a suitable option as income source
445 during food shortages.

446 *Capacity building*

447 This research study findings seeks to create awareness on the potential benefit of the bamboo in
448 addressing food security, creating employment which will lead to an increase in income and
449 wellbeing in the livelihood of people in communities where bamboo grows.

450 *Delivery of the project and adoption / upscaling*

451 To tap into the full potential of bamboo, a collective action of action of actor in the bamboo
452 biomassweb is required. This includes the engagement of governments, NGOs, local farmers,
453 processors and private sector. There is the need to create awareness on the diverse benefit of
454 bamboo, improved methods of growing and extracting culms, and adoption of improved
455 technologies.

456 **4. Discussion**

457 Demand driven research process involves several actors and beneficiaries at each stage of the
458 process, with the ultimate benefit of enhancing ownership and increasing applicability of research
459 [7]. Practical operationalization of demand driven research planning process is limited by the level
460 of perspective of actors on the innovation and capacity to fully participate and operationalize the
461 demand driven research [7]. For the case studies presented in this article, researchers identified the
462 need for the innovation to address identified challenges and supplied or transferred knowledge and
463 innovation to the end-users. The identification of the challenge was done through surveys, or
464 consultations with the end-users. This was followed by developing proposals to source funds from
465 funding agencies who prioritized the identified research area/ innovation as key to engendering
466 development. Thus, the funding agency (FARA/ZEF) demands the knowledge and innovation on
467 behalf of the end-users.

468 In contrast, DDRD in the advanced economies are spearheaded by the end-user, in this respect
469 the farmer. The end-users fundamentally identify a research problem, mobilize funds from among
470 end-users, private enterprises/beneficiaries or the public sector (Government) to solve the problem
471 and contracts a researcher or an agency to implement and/or solve the problem. End-users in this
472 case have the opportunity to contribute knowledge and innovations into the DDRD, although more
473 often the final outcome of the DDRD program does not adequately reflect end-users' (farmers) needs
474 due to influence by several actors in the DDRD planning process [8]. This implies that even in the
475 developed economies where DDRDs are end-user demanded in theory, in practice, the influence of
476 other stakeholders in the planning compromise the power of end-users. Active participatory
477 methods must be pursued if end-user power in DDRDs are to be greater priority.

478 Implementation was done together with the end-users through trainings, hands-on
479 experiences, experimental set-up and surveys. For the innovation generated to be transferred, it
480 should respond to the needs of the beneficiaries or end-users. As Rogers [9] indicates, new
481 innovations are likely to occur in response to economic opportunity or scarcity.

482 In the case studies presented in this paper (Table 2), the innovations in the use of biomass of
483 plantain responded to reducing postharvest losses, substituting wheat which is imported in the
484 preparation of bakery products, and also creating jobs and increasing incomes [4]. The use of
485 drought tolerant and fire resistant bamboo biomass highlights the multiple uses of the bamboo in
486 feeding livestock, especially in the dry season, inter-alley cropping to boost food production and
487 stabilizing the soils used as fuelwoods because of its potential for energy recovery to be used as
488 energy source compared to other woody biomass [10]. The bamboo shoot served as a food source for
489 locals. However, there is low awareness among end-users even though there is evidence of bamboo
490 contributing to improving food security. This calls for the need to create awareness on the benefit of
491 bamboo and to involve policy makers and other stakeholders in the bamboo valuenet [5, 22]. The
492 innovation in the use of cassava biomass showed the conversion of cassava peels which are
493 generated waste from cassava processing into substrate for cultivation of mushroom which were
494 sold to raise income and included in household food.

DDRD Project	Implementing Institution	Planning and Scope of Project How was the process planned or conceptualized?	Innovation in the use of biomass What is innovative about the process? Number of research products generated	Capacity building / Experimental setup How was training / experimental set up done?	Delivery of project and adoption How was innovation uptake? Sustainability?
Using cassava peels for mushroom production	Ministry of Food and Agriculture, Women in Agricultural Development, (WIAD) Ghana. WIAD is a department of the Ministry of Food and Agriculture. It has National, Regional and District offices across Ghana. It is very active at local level	Researchers identified innovation and facilitated implementation of process for end users (local women and youth)	Conversion of cassava peels which are waste from processing of cassava chips into substrate for production of mushroom to improve household food security, generate income, minimize environmental degradation A manual on production of mushroom A video used in farmer training	Researchers trained beneficiaries on usage of innovative technology of using cassava peels in compost as a substrate for mushroom production. Constructing of low-cost building for mushroom with local materials.	Adoption low. A mushroom house needed to be constructed to operationalize this innovation, which comes as a cost. There is the need for more cost-effective approaches using a group based approach with access to microfinance.
Development of plantain biomass into composite flour for traditional foods and bakery products	Centre for Scientific and Industrial Research – Food Research Institution (CSIR-FRI), Ghana. This is an institute of the National Agricultural Research Institute. Its mandate is mainly of research. It works through other units (like WIAD) for technology outreach and adoption.	Researchers identified need to process plantain into composite flour and trained local processors and women in use of the technology.	Plantain processed into composite flour to reduce on postharvest losses and a potential substitute for wheat in bakery products. Seven knowledge products were generated, three peer reviewed articles, manuals, posters	Researchers trained beneficiaries on converting plantain biomass into composite flour, adding value to plantain products, linkage to markets.	Uptake of technology low. Hindered by the lack of access to milling machines and mechanized and solar dryer units. Locally fabricated milling machines specifically for milling of plantain should be developed and also solar drying units. Processors could access and use the facility at a fee. WIAD could mobilize the women into groups to acquire and manage the milling and drying units.
Bamboo leaf as fodder for livestock feeding	International Bamboo and Rattan Organization (INBAR), Ghana. INBAR is an Intergovernmental organization working in Agroforestry – focus on Bamboo and Rattan. Its regional office in West Africa is in Ghana. It	Researcher led survey and experimental set-up.	Drought tolerant and fire-resistant bamboo used for feeding livestock in the dry season; inter-alley cropping to boost food production and stabilizing the soils, used as fuelwood. A peer reviewed article, a study report	Bamboo feeding trial experiment set as a completely randomized design experiment with two replications	Experimental trials show bamboo is a viable feed supplement for livestock feeding Need to supplement bamboo feed by a leguminous fodder to supply both energy, protein and minerals for the animals to meet their nutrient requirement There is the need for education

	works with research organizations, local NGOs and government at the frontline				and sensitization on the locals on uses of bamboo.
Production of bio-plastics and bio-gels from agricultural waste to promote their biomassweb values	Federal Institute of Industrial Research Oshodi (FIIRO), International Institute for Tropical Agriculture (IITA), and University of Ibadan. All three institutions are located in Nigeria	Researchers responded to the need to address the challenge of environmental pollution from plastic waste in Africa using waste from agricultural products	Development of a protocol from cassava peel starch for the production of bio-gel fuel and biodegradable plastic. Study reports and peer reviewed articles	Dissemination workshops to share findings with policy makers, manufacturers and researchers. Training of research scientist.	Scaling up bioplastic production will first needs an economic feasibility of such production using a pilot scale. There is need to set-up micro/small scale plants for bio-gel production to ensure lower cost of production and even distribution at affordable price
Mass and energy balance analysis of pneumatic dryers for cassava and development of optimization models to increase competitiveness	Federal University of Technology Akure (FUTA), Nigeria	Researchers identified the need to design an efficient pneumatic flash dryer to address inefficiencies in existing models.	An improved engineering design of pneumatic flash dryer was designed by the researchers to regulate the heat loss and improve on the quality of HQCF produced. The modification included the introduction of insulation for reduction of heat losses, instrumentation circuit diagram for heat control system, and an efficient two passes heat exchanger system for maximum heat utilization. Study reports and peer reviewed articles are output of the project.	Fifty fabricators and engineers from South-West Nigeria were trained on the design of the improved efficient pneumatic flash dryer.	Uptake of the technology will require hands-on practical session for manufacturers on how to fabricate the improved pneumatic flash dryer model.
Exploring potentials of the bamboo sector for employment and food security in Ethiopia: An institutional analysis of bamboo-based valueweb	YOM Institute of Economic Development (YIED), Ethiopia	Researcher-led survey	A study reports and peer reviewed articles are outputs of the research study.	Survey of 468 households from two major bamboo growing regional states in Ethiopia – Amhara and Benishangul Gumuz.	Need to create awareness on the diverse benefit of bamboo, improved methods of growing and extracting culms, and adoption of improved technologies.

495

Table 2: Innovation in Demand Driven Research and Development Project and implementation Modalities

496



497 There is the need for more cost-effective approaches using a group based approach with access to
498 microfinance to scale up the adoption of the using cassava peels for mushroom production. Production of
499 bioplastic and bio-fuels from cassava peel starch is innovative and address a serious environmental problem of
500 plastic pollution. For this innovation to be up-scaled, there is the need for an economic feasibility of such
501 production using a pilot scale. Also, there is the need to set-up micro/small scale plants for bio-gel production to
502 ensure lower cost of production and even distribution at affordable prices. The research team from FUTA
503 developed an improved engineering design of pneumatic flash dryer to regulate the heat loss and improve on
504 the quality of HQCF produced. The modification included the introduction of insulation for reduction of heat
505 losses, instrumentation circuit diagram for heat control system, and an efficient two passes heat exchanger
506 system for maximum heat utilization. Uptake of the technology will require hands-on practical session for
507 manufacturers on how to fabricate the improved pneumatic flash dryer model.

508 These innovations even though identified by researchers addressed needs of the end-users
509 which necessitated the buy-in of the end-users. It must however be noted that even though there was
510 a need for the innovation to address a pressing challenge of the end-users, this was not sufficient.
511 The adoption and uptake of the innovation depended on the technological and technical support
512 received by the end-users and in some of the project the reliable supply of biomass (plantain,
513 bamboo, cassava). For instance, in the case of bamboo biomass, there is the need to expand bamboo
514 plantation cover in the area and across the livestock growing regions in the country. In the use of
515 plantain biomass, operationalization of the innovation was hindered by the availability of
516 technology and machinery. The end-users needed to have local milling machines and solar drying
517 unit to effectively take up the technology and upscale production of the plantain composite flour.
518 The case of use of cassava biomass required end-users to have a housing structure for the mushroom
519 production (Table 2). In designing of demand-driven research and development projects, the uptake
520 by the end-users is crucial and such technology and infrastructural needs should have been factored
521 into the implementation and funding plan for the full uptake of the technology.

522 Technical support in the uptake of innovations is also essential in the supply-side of the
523 demand driven research. This was fulfilled by the researchers providing training or education to
524 end-users on the operationalization and processes of the innovations as well as the relevance and
525 benefit of the innovation. However, the decision to take up an innovation largely depends on the
526 innovation itself addressing an economic opportunity or scarcity, knowledge and understanding of
527 the end-user, the end-users decision to adopt and the end-user actually confirming and
528 implementing the innovation. Time is a key factor in adoption [11]. Adoption of innovation happens
529 over time. The immediate results on level of uptake of innovation of the demand driven research
530 could therefore not be fully assessed as the projects implementation phase lasted between three to
531 nine months.

532 Lessons learnt from the DDRD projects;

- 533 1. Innovative thinking such as in the WIAD, University of Ibadan bioplastic and
534 biofuel projects can lead to improved environmental conditions by reducing environmental
535 pollution.
- 536 2. Waste should not be allowed to stay idle. Instead we can carefully process it to reduce the
537 environmental externalities, reduce the land area required for its disposal, and add money
538 to our pockets.
- 539 3. Adding value to agricultural produce such as plantain can extend the shelf-life of the
540 products, minimize postharvest losses and alleviate poverty.
- 541 4. Bamboo has the potential to serve as an alternative feed for livestock farmers especially in
542 the lean season when feed is scarce. This could boost meat production, increase food and
543 nutritional security and alleviate poverty.
- 544 5. Uptake of the technology such as improved design of pneumatic flash dryers by the research
545 team from FUTA will require hands-on practical session for manufacturers on how to
546 fabricate the improved pneumatic flash dryer model.
- 547 6. Training and dissemination of research findings and output is essential to generate the
548 desired impact and outcome of the projects.

549 7. DDRD projects have a demonstrated capacity to stimulate national development as they
550 provide solutions to critical environmental and socioeconomic challenges of societies. More
551 of such research is required and better adoption and upscaling mechanisms devised to
552 sustain economic growth in deprived societies of developing countries.

553 Conclusion

554 The type of the beneficiary organisation (research organisation and government technical unit)
555 is an important variable to ensuring stakeholder involvement and reporting. The experience
556 implementing the Demand Driven projects (i.e. small grants) generated several research outcomes,
557 perhaps much more than the value of the investments. We observe some significant differences in
558 the level of participation of communities and end-users in the various projects. In the case of WAID,
559 we observe strong community participation (albeit low involvement of women) as well as
560 community/district level extension agencies. In the other DDRD projects (INBAR, FUTA, UI YIED,
561 CSIR), we observe relatively less participation of end users in actual implementation of the project.
562 These projects were mainly research based enquiry, implemented by researchers from the
563 institutions. Trainings, surveys and dissemination workshops to some extent provided some level of
564 participation for the end users.

565 There were significant differences in the knowledge products produced from the DDRD projects,
566 more from the research organisations (CSIR, YIED, FUTA, UI and INBAR) compared to the
567 department of the Ministry of Agriculture (WIAD). It is also observed that research institutions often
568 collaborated with other research institutions in implementing the research project. All the project
569 embarked on finding innovative ways of addressing pressing socio-economic and environmental
570 challenges exploring the use of biomass.

571 In summary,

- 572 1) Innovations around the use of biomass was researcher led, funded by donor who demanded
573 on behalf of end-users to address challenges and create opportunity around the use of
574 biomass.
- 575 2) Innovation opportunities generated around the use of biomass in addressing challenges of
576 postharvest losses of plantain, income generation from conversion of cassava peels into
577 mushroom production, use of bamboo for livestock feeding in dry season and for promoting
578 food security, production of bioplastics and bio-fuels from cassava peels, and development
579 of improved efficient model of pneumatic flash dryers.
- 580 3) However, these innovations were not sufficiently adopted. The adoption/uptake and
581 operationalization depended on the availability of reliable supply of biomass, technological
582 and technical support. Adoption of the innovation around the biomass in the end depends
583 on the end-users understanding the innovation, decision to adopt and actually adopting the
584 innovation.

585 **Author Contributions:** All authors contributed equally in the conceptualization and writing of manuscript.

586 **Funding:** This research was funded by German Federal Ministry of Education and Research (BMBF) through
587 the collaborative project "Improving food security in Africa through increased system productivity of
588 biomass-based value webs." This project is part of the GlobE—Research for the Global Food Supply programme
589 (Grant No. 031A258H).

590 **Acknowledgments:** This article presents three demand driven research projects implemented in Ghana
591 within the BiomassWeb project which is coordinated by Forum for Agricultural Research in Africa (FARA),
592 Ghana and the Centre for Development Research (ZEF), Germany. We acknowledge the financial support from
593 the German Federal Ministry of Education and Research (BMBF) supported with funds from the German
594 Federal Ministry for Economic Cooperation and Development. We also acknowledge support of all project
595 partners.

596 **Conflicts of Interest:** The authors declare no conflict of interest.

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