

1 Article

# 2 Towards the Verbal Decision Analysis Paradigm for 3 Prioritization of Software Requirements 4 Implementable

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11 **Abstract:** The activity of prioritizing software requirements should be done as efficiently as possible.  
12 Selecting the most stable requirements for the most important customers for the development  
13 company can be a positive factor when we consider that the available resource does not always  
14 encompass the implementation of all requirements. Quantitative methods for reaching software  
15 prioritization in releases are many in the field of Search-Based Software Engineering (SBSE).  
16 However, we show that it is possible to use qualitative Verbal Decision Analysis (VDA) methods to  
17 solve this same type of problem. Moreover, we will use the ZAPROS III-*i* methods to prioritize  
18 requirements considering the opinion of the decision-maker, who will participate in this process.  
19 Finally, the results obtained in the VDA structured methods were quite satisfactory when compared  
20 to the methods using SBSE. A comparison of results between quantitative and qualitative methods  
21 will be made and discussed later.

22 **Keywords:** verbal decision analysis; multi-objective optimization; software release planning;  
23 ZAPROS III-*i*  
24

## 25 1. Introduction

26 Among the actions of a software manager, we find the decision-making process. It is the role of  
27 the decision maker (DM) to recognize, evaluate and select the best alternatives taking into account  
28 technical and human factors (experience, perceptions). The preferences for the alternatives and  
29 criteria available should lead the decision to an expected and satisfactory goal. Software Engineering  
30 has a branch of activity linked to the manufacture of tools and methods that facilitate the decision-  
31 making activity of the software manager. One of these areas that produce automatic, semi-automatic,  
32 interactive, and others. Methods are known by Search-Based Software Engineering (SBSE), the name  
33 given to a body of work in which Search-Based Optimisation is applied to Software Engineering. This  
34 approach to Software Engineering has proved to be very successful and generic. It has been a subfield  
35 of software engineering for ten years [1]. Moreover, SBSE seeks to reformulate Software Engineering  
36 problems as 'search problems' [1,2]. Moreover, this is not to be confused with textual or  
37 hypertextual searching. Instead, for Search-Based Software Engineering, a search problem is one in  
38 which optimal or near-optimal solutions are sought in a search space of candidate solutions, guided  
39 by a fitness function that distinguishes between better and worse solutions [3].

40 The techniques found in Search Engineered Software Engineering can solve many optimization  
41 problems related to the area of software engineering as well as help in support of the decision-maker.  
42 Algorithms called metaheuristics can be a solution to find satisfactory solutions in a set of data.  
43 Metaheuristics can easily incorporate new constraints and explore regions of a set in an attempt to

44 overcome local optimality. Although they can not guarantee global optimality, they can identify  
45 numerous points of great locations.

46 On the other hand, problems of software engineering often involve conflicting constraints,  
47 ambiguous and imprecise information within a broad set of choices or decisions. Solving these  
48 problems is a complex task considering that there is no optimal solution [4]. If we take into account  
49 a set of requirements for composing multiple releases, the sequences of input requirements for this  
50 problem may be too numerous. Besides, the solutions' compositions must comply with constraints  
51 such as customer satisfaction, time, cost, among others. A critical aspect to the success of a software  
52 project based on iterative and incremental lifecycle is the planning of which requirements are going  
53 to be delivered in each release of the software [5].

54 The releases model, derived from incremental software development, allows customers to  
55 receive portions of the software in advance [6]. A problem faced by companies developing and  
56 maintaining large and complex software systems developed for large and diverse customers is to  
57 determine what requirements will be implemented in the next software release [7]. The more complex  
58 the software, the higher the time to arrive at a satisfactory result concerning the planning of Releases  
59 [8].

60 It is essential that the task of selecting and prioritizing requirements to take effect in the most  
61 efficient way possible. Requirements changes are often the primary factor in increasing time and cost  
62 in software development projects. Therefore, selecting and prioritizing requirements taking into  
63 account their degree of stability can increase the effectiveness of the entire software development  
64 process. Volatile requirements are considered as a factor that can cause significant difficulties during  
65 software development. Furthermore, this is because these requirements may change throughout the  
66 project implementation. In this way, changes in features are expected, which can cause problems for  
67 the software development company [9].

68 As seen, software requirements planning problems are already solved by the SBSE with  
69 extensive coverage in the literature. Deciding what requirements will be implemented first is a  
70 decision problem for the software manager who can use metaheuristics, and their quantitative  
71 structures, to solve it. However, the task of prioritizing also has a subjective bias related to the  
72 decision-makers experience. In this scenario, Verbal Decision Analysis (VDA) may appear as an  
73 alternate option to work around these issues. It is emphasized that Verbal Decision Analysis is a  
74 subjective method widely used to solve qualitative problems inherent to personal options.

75 The requirements planning problems are without their multiobjective majority, and therefore,  
76 the solution is almost always composed of a family of solutions located in front of Pareto and must  
77 be considered equivalent [10]. The evaluated multiobjective approach consists of treating the human's  
78 preferences as another object to be maximized, as well as maximizing the overall client satisfaction  
79 and minimizing the project risk [11]. Multiobjective optimization addresses optimization problems  
80 that have multiple objective functions to be simultaneously maximized or minimized. The  
81 methodology VDA is structured on the assurance that most decision-making problems can be  
82 qualitatively described. The Verbal Decision Analysis supports the decision making the process by  
83 the verbal representation of problems. Although the decision-maker ability to choose is very  
84 dependent on the occasion and the interest's stakeholders, the methods of decision-making support  
85 are universal [12]. There are in the literature several methods structured in VDA that help the  
86 decision-maker to choose from within multicriteria set alternatives that best meet their personal  
87 preferences [12].

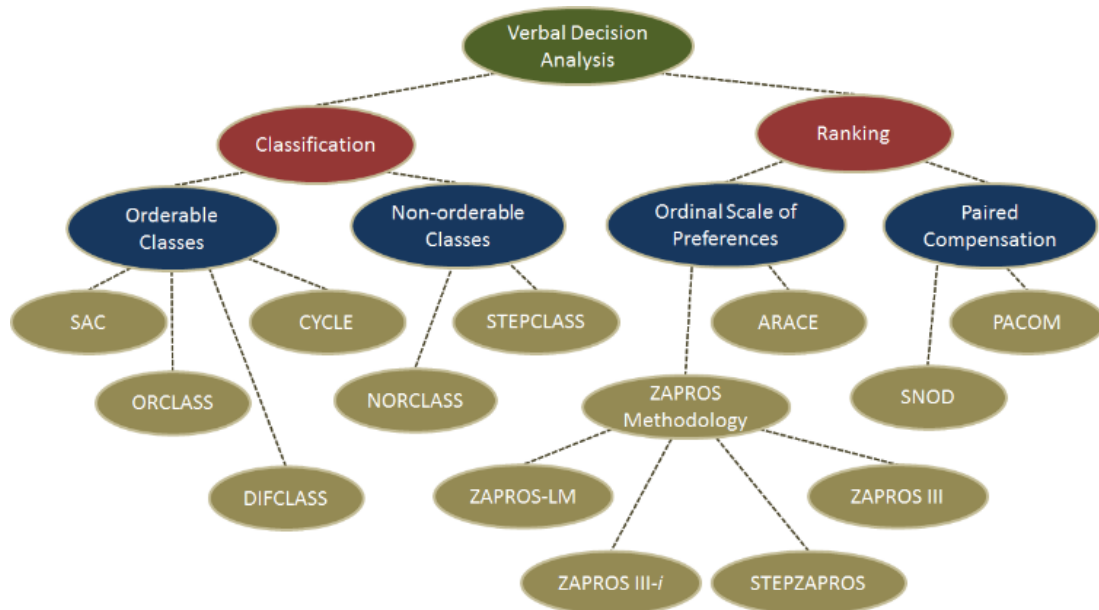
88 Therefore, in the context of planning software releases, the objective of this work is to compare,  
89 taking into account requirements ordering in solved software releases, the results obtained by the  
90 metaheuristics (with SBSE quantitative methodology) with the results obtained by methods of Verbal  
91 Decision Analysis (with qualitative methodologies). Finally, we will make a study of this comparison  
92 and propose a new methodology to solve requirements prioritization problems in software releases  
93 using VDA methods.

94 This new methodology gives the decision-maker of a software development project an  
95 alternative to a software release planning problem, indicating the best order of implementation of

96 software requirements taking into account technical aspects (implementation cost, technical  
97 precedence between requirements) and human aspects.

## 98 2. Verbal Decision Analysis

99 Verbal Decision Analysis (VDA) proposes a systematic analysis and support of decision-based  
100 on verbal factors, as opposed to the quantitative methods generally used, as it uses a method of  
101 qualitative analysis of the attributes. Therefore, no numerical conversions are performed. VDA  
102 comprises a set of several methods for classifying and ordering alternatives, which consider multiple  
103 criteria in solving problems [13]. Figure 1 shows the VDA methods for classification and ordering.



104  
105

Figure 1. VDA Methods for Classification and Ordering [18].

106 Furthermore, Verbal Decision Analysis has excellent applicability in problems that present a  
107 considerable number of alternatives and a relatively small set of criteria and their values. The  
108 methods that make up the VDA framework have many features and benefits [14], among which we  
109 highlight the following:

- 110 (i) Its purpose is to describe the problems, VDA methods use language that is natural for the  
111 decision-maker;
- 112 (ii) methods use the verbal information to induce preferences, which allows them to implement  
113 psychologically valid measures from the decision-maker viewpoint;
- 114 (iii) methods include steps to process inconsistent entries in the decision-maker preferences,  
115 such as consistency checks and criteria independence;
- 116 (iv) methods use transparent procedures from the decision-maker viewpoint;
- 117 (v) they allow us to review the preferences that were given and how they generated the result,  
118 providing explanations about the results generated.

119

120 The application of these methods, in particular, the ZAPROS method, to a given problem  
121 presents a significant amount of solution possibilities. However, this is due to the numerous  
122 combinations of criteria values to generate situations to be analyzed, which, at the end of the process,  
123 refer to the decision rule. This high number of combinations can leave the stages of preference  
124 elicitation and comparison of alternatives so sophisticated that it would be impossible to perform  
125 them manually.

126 The estimation of the number of unparalleled alternatives (and consequently of the decision  
127 power of the method) can be done by calculating the general number of alternative pairs  $Q =$   
128  $0.5n^N(n^N - 1)$ , where  $N$  is the number of criteria,  $n$  is the number of criteria values) and the subset

129 that will be related by Pareto dominance ( $D$ ). From the difference between  $Q$  and  $D$ , we have the set  
 130 of alternatives that depends directly on the Scale of Preferences obtained by the answers of the  
 131 decision-maker, this is the set that is more likely to contain opposite pairs of alternatives. After that,  
 132 we will have the decision power index of a method by means of the calculation:  $P = 1 - S/B$ , where  
 133  $B$  is the difference between  $Q$  and  $D$ ,  $S$  is the number of alternatives that cannot be compared based  
 134 on the preference scale of the decision-maker, or which represent incomparable alternatives [15].

### 135 3. The ZAPROS III-*i* Method

136 The project manager has among his activities the role of making decisions. To come up with an  
 137 alternative, he has a set of his choice. Each alternative has its own or similar criteria. The use of  
 138 methodologies that support the decision-maker can minimize possible negative impacts caused by  
 139 wrong decisions [16]. The VDA walks precisely in this direction to present to the alternative decision-  
 140 maker in friendly language and as human as possible. There are many methods in VDA that work  
 141 with this, among them the ZAPROS III method [16] that make the process of eliciting preferences less  
 142 inconsistent with previous methods [16]. It is structured in the elicitation of preferences of values that  
 143 represent the distances between the evaluations of two criteria, denominated Quality Variations  
 144 (QV). Besides, it uses the Formal Quality Index (FIQ) to order the established alternatives to minimize  
 145 the number of pairs of alternatives to be compared to obtain the result of the problem [17]. Some  
 146 alternatives may be unmatched, and this leads to unsatisfactory results in decision-making models.  
 147 Thus, the ZAPROS III-*i* method originated, very similar to ZAPROS III, but presents modifications  
 148 mainly in the process of comparing alternatives to improve the decision method [17]. In this way, the  
 149 use of the ZAPROS III-*i* methodology as a means to solve problems of ordering software  
 150 requirements can be promising, since this method takes into account, in addition to the factors  
 151 described in the previous item, the opinion of the project manager.

152 ZAPROS III-*i* consists of a VDA method that aims at sorting alternatives in scenarios involving  
 153 a reduced set of criteria and values and a large number of alternatives. The method relies on obtaining  
 154 preferences around values that represent the distances between two criteria judgments. A preference  
 155 scale can be structured, allowing the comparison of alternatives [18].

156 As explained in [18], the ZAPROS III-*i* method is structured in three stages: Problem  
 157 Formulation, Elicitation of Preferences and Comparison of Alternatives. In the first step, we obtain  
 158 the criteria and their values relevant to the decision-making process. In the second step, we generate  
 159 the preference scale based on the preference of the decision-maker. The process occurs in two stages:  
 160 (i) elicitation of preferences for quality variation of the same criterion, and (ii) preference elicitation  
 161 between pairs of criteria. In the last step, the method performs the comparison between the  
 162 alternatives based on the preferences of the decision-maker. For details on the procedure, see [11].

163 The method follows the same formal statement of the problem proposed in [15,16]:

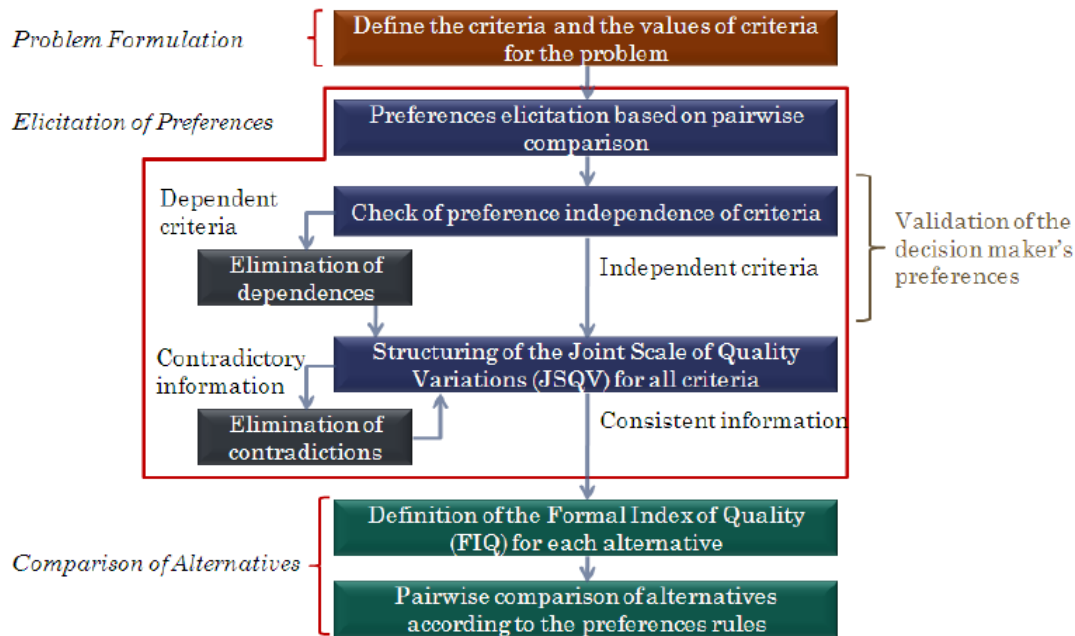
164 Given:

- 165 1.  $K = 1, 2, \dots, N$ , representing a set of  $N$  criteria;
- 166 2.  $n_q$  represents the number of possible values on the scale of  $q$ -th criterion, ( $q \in K$ ); for ill-  
 167 structured problems, as in this case, usually  $n_q \leq 4$ ;
- 168 3.  $X_q = \{x_{iq}\}$  represents a set of values to the  $q$ -th criterion, and this set is the scale of this criterion;  
 169  $|X_q| = n_q$  ( $q \in K$ ), where the values of the scale are ranked from best to worst, and this order does not  
 170 depend on the values of other scales;
- 171 4.  $Y = X_1 * X_2 * \dots * X_n$  represents a set of vectors  $y_i$  (every possible alternative: hypothetical  
 172 alternatives + real alternatives) in such a way that:  $y_i = (y_{i1}; y_{i2}; \dots; y_{iQ})$ , and  $y_i \in Y$ ,  $y_{iq} \in X_q$  and  $Q =$   
 173  $|Y|$ , such that  $|Y| = \prod_{q=1}^Q n_q$
- 174 5.  $A = \{a_i\} \in Y$ ,  $i = 1, 2, \dots, t$  such that the set of  $t$  vectors represents the description of the real  
 175 alternatives.

176 Required: The ranks of multi-criteria alternatives based on the decision-maker preferences.

177 The flowchart with steps to apply the ZAPROS III-*i* method procedure to rank order a set of  
 178 alternatives was presented in [18] and is shown in Figure 2. In the first stage, Problem Formulation,

179 the relevant criteria, and their values are obtained through the decision-making process. In the second  
 180 stage, Elicitation of Preferences, the preference scale is generated based on the decision-maker  
 181 preference. As mentioned, this stage occurs in two steps: (i) elicitation of preferences for quality  
 182 variation of the same criterion, and (ii) elicitation of preferences between pairs of criteria. In the last  
 183 stage, Comparison of Alternatives, the alternatives are compared based on the decision-maker  
 184 preferences.



185

186

Figure 2. Procedure to apply ZAPROS III-i Method [18].

187 In the elicitation of preferences stage, decision-maker responses allow ranking of all quality  
 188 variations (QV) from the scales of two criteria. This ranking is called the Joint Scale of Quality  
 189 Variation (JSQV) for two criteria. All criteria are submitted to the same process. In the end, the scale  
 190 of preferences for quality variations (JSQV) for all criteria is constructed [18].

191 To facilitate the decision-making process and to carry it out consistently, a tool called ARANAÚ  
 192 was developed [19]. The tool was first developed to support the ZAPROS III method. In this work,  
 193 we use an updated version of the ZAPROS III-i method.

#### 194 4. Prioritize Software Requirements

195 Bagnall [7] deals with the determination of the requirements that must be executed for the next  
 196 release of the software. The author predicts that customers have different levels of importance to the  
 197 company and point out the requirements that have prerequisites and that must be performed in a  
 198 previous or parallel release that is being implemented. The algorithms applied in this strategy show  
 199 the obtaining of quick solutions to small problems.

200 Greer [20] state that defining which release to deliver the requirement is a decision that depends  
 201 on several variables that are complexly related. They deal with different stakeholder perspectives  
 202 and release planning, including effort restraint.

203 In allocating requirements, it is important to note that we must take into account the resources  
 204 that will implement those requirements.

205 It is difficult to meet all the requirements identified for a system, mainly due to time and budget  
 206 constraints. Requirements are usually developed in stages and prioritization helps to define which  
 207 ones should be implemented first [21].

208 According to Karlsson [22], the requirements must be allocated in different versions of the  
 209 software and, for Berander [23], the "correct" selection of the requirements that will be part of each

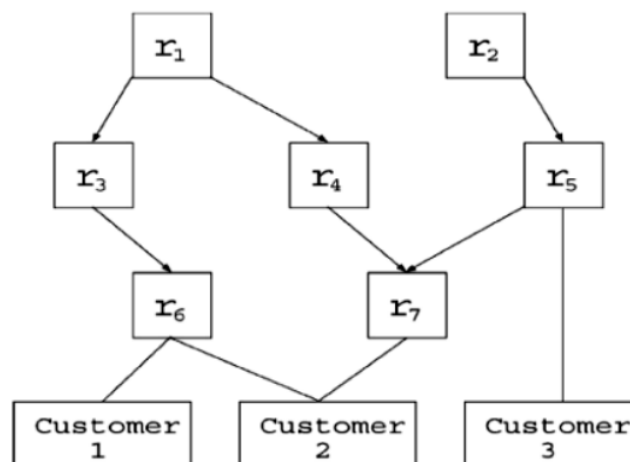


210 version is the primary step towards the success of a project or product. Therefore, it is necessary to  
211 distinguish those that will have the most significant impact on user satisfaction.

212 In addition to the factors already seen, we can find other aspects, such as the volatility that  
213 impacts the prioritization of requirements. Considerable effort is required to select and prioritize  
214 volatile requirements. This type of requirement is generally considered an undesirable problem.  
215 Previous studies have already identified that their characteristics may produce adverse impacts on  
216 software development processes [24]. For example, a study by Curtis [25] indicates that the volatile  
217 requirements correspond to a significant portion of the problems faced by software development  
218 companies.

219 Nurmuliani [24] conducted a real study in a software development company to identify the  
220 causes of volatility in requirements and the impact of this on company projects. In descending order,  
221 the author considered that the most significant changes in requirements are due to: a) inclusion of  
222 new requirements in the system, b) exclusion of requirements and c) modification of the  
223 characteristics of the requirements.

224 Bagnall [7] proposed a work called *the next release problem*, where the author, pioneer in this field  
225 of research, presents the problem as a search of which characteristics should be chosen concerning  
226 the variables, dependencies between requirements and priority of requirements. Next, Figure 3  
227 shows that the requirements  $r(n)$ , where  $n$  represents the requirement identification, are associated  
228 with clients  $(n)$ , where  $n$  represents the customer's identification.



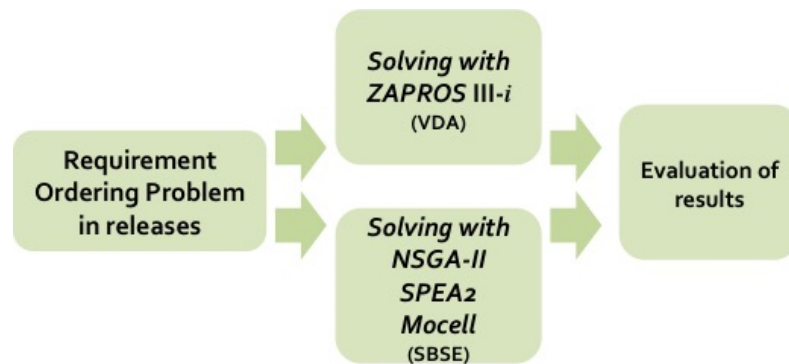
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Figure 3. Representation of the requirements associated with customers [7].

231 The problems faced by the Search-Based Software Engineering (SBSE) are usually solved  
232 through metaheuristics. According to Becceneri [26], metaheuristic is a general algorithmic tool,  
233 which can be applied to different optimization problems, with relatively small modifications, to make  
234 them adaptable to a specific problem. Thus, we can consider metaheuristics as heuristic procedures  
235 that have generic strategies for escaping from good locations. Metaheuristics can easily incorporate  
236 new constraints and explore regions of a set in an attempt to overcome local optimality. Although  
237 they cannot guarantee global optimality, they can identify numerous points of great locations. Our  
238 work proposes to prioritize software requirements in the order in which they will be implemented  
239 using a VDA method. On the other hand, the characteristics of a family of methods [14], here we will  
240 use the method ZAPROS III-*i* [13].

241 The results will be compared with those obtained when using the metaheuristics  
242 (quantitative methods) MoeCell [27], NSGA-II [28] and SPEA2 [29]. The choice of multicriteria  
243 resolution methods among those available owes the characteristics of the problem in question. To  
244 help validate the resulting information, let's insert a random search algorithm, which does not offer  
245 any specific search methodology.

246 The methodology adopted in this work is represented in Figure 4. We will emphasize in  
 247 detail the methodology used by VDA to solve the proposed problem. Later we will comment on the  
 248 results of the solutions obtained by the metaheuristics of SBSE.



249  
 250

Figure 4. The methodology adopted in this work

## 251 5. Problem Generation

252 In this work, we are dealing with empirical problems of prioritization of requirements.  
 253 Therefore, we seek to get as close to the scenario faced by companies that develop software. The  
 254 mathematical formulation for the elaboration of the strategy to be studied was elaborated as follows.

$$\text{Max } f_{\text{VALUE}}(y) = \sum_{i=1}^N \text{score}_i \cdot y_i, \quad (1)$$

$$\text{Min } f_{\text{VOLATILITY}}(x^{\text{Pos}}) = \sum_{i=1}^N (\text{stability}_i \cdot x^{\text{Pos}}_i) \cdot y_i, \quad (2)$$

Subject to:

$$\sum_{i=1}^N \text{cost}_i \cdot y_i \leq \text{resourceProject} \quad (3)$$

$$x^{\text{Pos}}_i < x^{\text{Pos}}_j, \quad \text{se } Dr_i, r_j = T1 \text{ (Technical Precedence: } r_i \text{ precedes technically } r_j) \quad (4)$$

255 The variable  $x^{\text{Pos}}_i$  points out the position of the  $r_i$ , being able to assume a  $\{0, 1, 2, \dots, N\}$ , in the  
 256 order of implementation established by the prioritization, for  $i = 1, 2, \dots, N$ .

257 The variable  $y_i$  indicates whether the requirement  $r_i$  will be implemented ( $y_i = 1$ ) or not  
 258 ( $y_i = 0$ ), para  $i = 1, 2, \dots, N$ .

259 Function I - Demonstrates the degree of satisfaction of the stakeholders in the implementation  
 260 of a set of requirements, where the  $\text{score}_i = \sum_{m=1}^M w_m \cdot \text{Value}(m, i)$  expresses the business value to  
 261 the requirement  $r_i$ . In this way, and considering the importance of the client, the function adds more  
 262 value as more requirements are selected.

263 Function II - Represents the degree of stability of the project requirements, through the advanced  
 264 implementation of the requirements considered more stable. This function calculates the product  
 265 between the stability of the requirement and the position to which it was allocated. Thus, a smaller  
 266 value of the function indicates that the requirements with greater stability were prioritized.

267 Finally, the strategy constraints are presented in III and IV, where III is the cost constraint of  
 268 implementing the requirements to the available budget and IV represents the constraint of  
 269 precedence between the requirements. If a requirement  $r_i$  precedes a requirement  $r_j$ , then  $r_i$  must  
 270 be implemented before  $r_j$  ( $x^{\text{Pos}}_i < x^{\text{Pos}}_j$ ).

271 We consider that each problem generated has 20 software requirements. Besides, we consider as  
 272 seven the number of customers who are interested in the project. Among these seven clients, we have  
 273 each one of them has an importance for the technology company software developer. Some clients

274 (managers, CEO) may be more important than others. This is taken into account. We also consider  
 275 that each of these clients may have a preference for a set of requirements that, for example, are  
 276 inherent to their professional activities in the client company. To generate a more realistic problem  
 277 make the process challenging, we consider that the amount of resources available is between 70%  
 278 and 80% of the total value needed to implement the 20 requirements, where each of these 20 has an  
 279 estimated individual value. Logically the sum of these values corresponds to the estimated overall  
 280 project execution value. With a tight budget, need to implement those more stable requirements.  
 281 Furthermore, this is a small guarantee that the more stable, the less prone to change it will be  
 282 throughout the implementation process, thus ensuring more efficient resource spending. Therefore,  
 283 implementing stable requirements first appears as an advantage to the IT company, and we consider  
 284 this stability as a sorting criterion. As is known, requirements also have technical precedence between  
 285 them. This characteristic was considered in this work. The representation of the simulations  
 286 generated for these situations is shown in table 1.

File description	No. of Requirements	No. of Clients	Percentage of technical precedence between the requirement	Budget available for the project
A.20.7.10.70	20	7	10 %	70 %
A.20.7.10.80	20	7	10 %	80 %
A.20.7.20.70	20	7	20 %	70 %
A.20.7.20.80	20	7	20 %	80 %

287 **Table 1.** Representation of the variants for the problems generated

## 288 6. Use of the methodology VDA

289 Whereas the purpose of applying the decision-making procedure, a shadowing tool was used  
 290 by ARANAÚ. This tool gives graphical support to the use of the ZAPROS III-*i* methodology  
 291 throughout the completion of the project data required by this method to work.

292 To arrive at a useful classification using ARANAÚ, we follow some steps. These are a)  
 293 Identification of the Alternatives, b) Definition of the Criteria and the Criteria Values, c) The  
 294 ARANAÚ tool Application.

### 295 6.1. Alternatives

296 Initially, we considered for the set of alternatives, the 20 requirements of the software project  
 297 generated by Table 1. Note that this table generated four variants. We will use them all in essays  
 298 separately.

### 299 6.2. Definition of the Criteria and the Criteria Values

300 Since the generation of alternatives occurred in a quantitative format, we have numerical values  
 301 ranging from a minimum to a maximum. For example, for the cost of a requirement, we have values  
 302 between 10 and 20, where 10 represent the minimum cost added to a requirement and 20 the  
 303 maximum value. Thus, it is necessary to convert these numerical values to a format that goes from  
 304 the term 'low cost' to 'high cost,' as shown in Table 2. In this way, we can define and evaluate the  
 305 criteria to be used in ARANAÚ.

306  
307  
308  
309  
310  
311

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Criteria

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Criteria values

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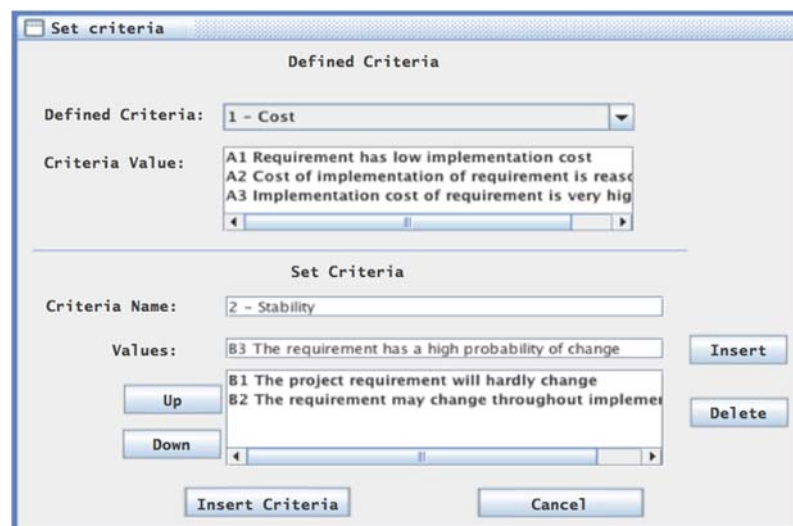


1 Cost	1.1 Requirement has low cost 1.2 Cost of the requirement is reasonable 1.3 Cost of the requirement is high
2 Stability	2.1 The requirement will hardly change 2.2 The requirement may change 2.3 The requirement will change
3 Stakeholders	3.1 The stakeholder is significant 3.2 The stakeholder has partial and isolated importance 3.3 The stakeholder is of little importance
4 Customer requirement value	4.1 The requirement is of great value to the customer 4.2 The requirement is of low importance to the customer

312 **Table 2.** Criteria and values of criteria adopted

### 313 6.3. The ARANAÚ tool Application

314 With all the values of the files, represented in Table 1, defined and converted to the criteria  
 315 presented in Table 2, we can make use of the ARANAÚ tool. Each professional was invited to answer  
 316 the questions related to the prioritization of requirements taking into account their skill and  
 317 experience in this type of choice. As this is a multicriteria problem, some conflict issues have been  
 318 presented to these professionals to indicate the most feasible solution. The questions were elaborated  
 319 by the tool itself taking into account the data-informed about the project and requirements. Figure 5  
 320 shows the format of the ARANAÚ application.  
 321



322 **Figure 5.** ARANAÚ Tool

324 Here the decision-maker decides what his preferences are when asked by the tool that  
 325 presents solutions available in a context. As mentioned, the decision-maker is now dealing with a set  
 326 of criteria and alternatives in natural language and no longer with a set of often indecipherable  
 327 numbers.

## 328 7. Use of the methodology SBSE

329 The metaheuristics NSGA-II, Moeckl, and SPEA-2, respectively presented previously, were  
 330 applied to find solutions to the problem. Besides these, in this work, we also used, as a reference, the

331 algorithms of the random search. Also, this is due to the fact of the possibility of comparison and  
 332 legitimation between the results obtained by these algorithms and the metaheuristics.

333 According to Harman [30], in the SBSE a metaheuristic must surpass a random algorithm so that  
 334 it can be considered adequate.

335 The parameters of the algorithms were conceived along the tests of the approaches in the search  
 336 for the best solution to the problem. For this, the parameters described in Table 3 were defined, as  
 337 follows.  
 338

Algorithm	Parameters used
NSGA-II SPEA-2	<ul style="list-style-type: none"> <li>Initial population size: 250 individuals;</li> <li>Maximum number of evaluations: 100,000 (resulting in 400 generations);</li> <li>The Probability of crossing: 0.9 (TwoPointsCrossover operator);</li> <li>The probability of mutation: 1.0 (SwapMutation operator);</li> <li>Selection using the binary turner method.</li> </ul>
MOCcell	<ul style="list-style-type: none"> <li>Initial population size: 256 individuals;</li> <li>External file size: 256;</li> <li>Maximum number of evaluations: 102,400 (resulting in 400 generations);</li> <li>Feedback mechanism: 20;</li> <li>Crossing rate: 0.9 (TwoPointsCrossover operator);</li> <li>Mutation rate: 1.0 (SwapMutation operator);</li> <li>Selection using the binary turner method.</li> </ul>
Random Search	<ul style="list-style-type: none"> <li>The maximum number of evaluations: 100,000.</li> </ul>

339 **Table 3.** Definition of metaheuristic parameters

340 The results for SBSE metaheuristics will be discussed in the next session.

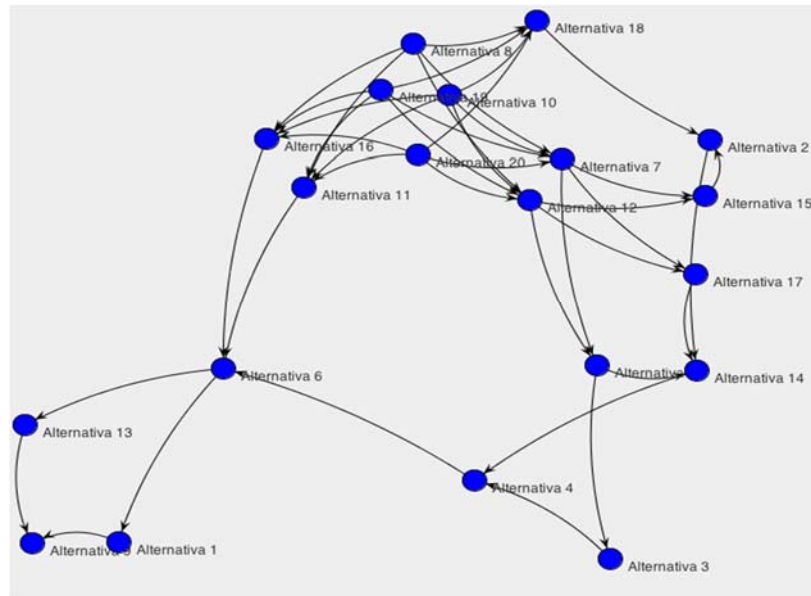
## 341 8. Results and Discussion

342 The ARANAÚ tool resulted in a set of requirements ordered according to the order of  
 343 implementation, respecting the criteria for each requirement and the choices made by the decision-  
 344 maker. Table 4 shows the ranking of requirements generated by the ARANAÚ tool, where  
 345 requirement 8, for example, will be the first to be implemented and requirement nine will be the 20th  
 346 if there is a resource available for such implementation.  
 347

	2	3	4	5	6	7	8	9	1	1	1	1	1	1	1	1	1	2		
<b>Ranking</b>	1								0	1	2	3	4	5	6	7	8	9	0	
<b>Requiremen</b>	8	1	1	2	7	1	1	1	5	1	2	1	3	4	1	1	1	1	6	9
<b>t</b>		0	9	0		2	5	8		4		7			1	6		3		

348 **Table 4.** Ranking generated for the problem file A.20.7.10.80

349 Figure 6 shows a graph of dominance among the alternatives of the set of prioritized  
 350 requirements.

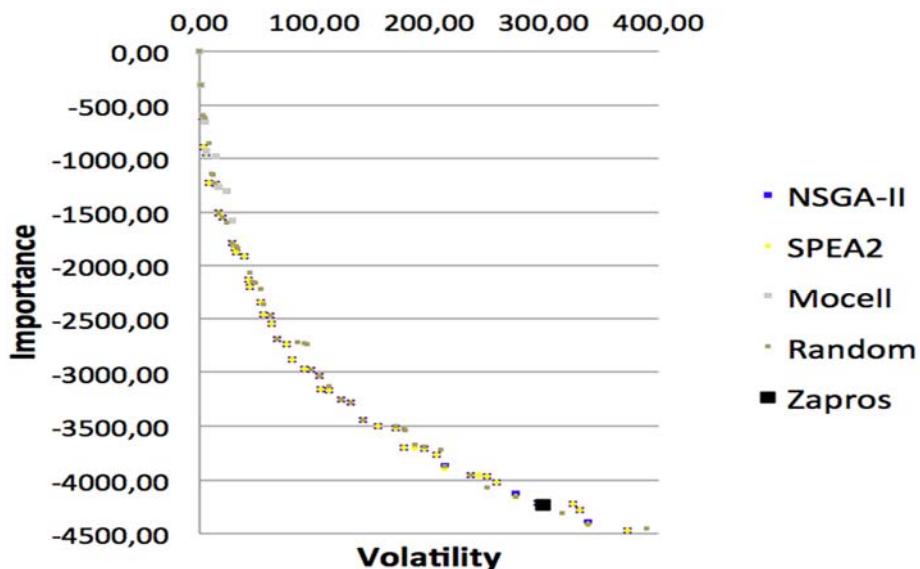


351 **Figure 6.** Graph of dominance for the file A.20.7.10.80

352 The results obtained from the four problems executed by the methods were extracted, tabulated.  
 353 Finding the set of non-dominated solutions is one of the premises of multiobjective optimization. This  
 354 set can be called the front of Pareto.

355 With this set, the decision-maker to choose which of the solutions best meets their needs in the  
 356 context of the project.

357 We can see in Figures 7 and 8, the results for two of these problems. The figures show the  
 358 executions of NSGA-II, SPEA2, MoeCell, ZAPROS III-i and Random search algorithm.  
 359



360  
 361 **Figure 7.** The graph for file A.20.7.10.80

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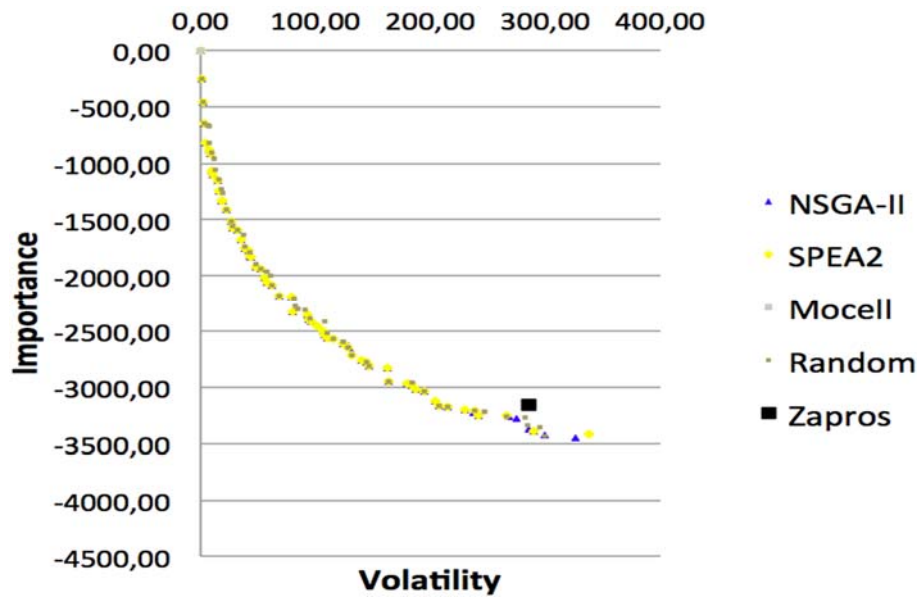


Figure 8. The graph for file A.20.7.20.80

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365 Due to the high disparity and the difference of scales between the results obtained concerning  
366 the Pareto front, a normalization of the values of the solutions was applied to match the results.

367 Also, we can observe in the figures above five fronts of Pareto superimposed and differentiated  
368 by colors according to the legend. The graphs take into account the requirements importance criteria  
369 and the stability of the requirement. As already stated, the more stable requirements are implemented  
370 first, the better for the developer company, which will make the most of the available resource.  
371 However, an unstable (more volatile) requirement may be of great importance to a valued customer  
372 to the developer company. That way we have a different situation. Figures 7 and 8 represent a  
373 problem with twenty requirements, seven customers interested in this requirement and only 80%  
374 resource available to implement the software project. Figure 7 presents 10% of technical precedence  
375 between requirements while figure 8 presents 20%.

376 The results obtained by metaheuristics are already expected by the long way that these methods  
377 run throughout the literature. Many are the methods to solve this same type of problem, and the  
378 solutions are always similar. Now, we see that the result obtained ZAPROS III-i is represented by a  
379 single black dot in the graph. This point represents the only solution available to the decision-maker  
380 through the ARANAÚ tool. The other algorithms do not provide a single solution, but a set of them.

381 When we question the effectiveness of the results of metaheuristics being superior to those  
382 pointed out by the decision-maker, it is evident what the work of [31] says when it can prove in  
383 experiments of this class, this fact. However, although metaheuristics may present better results, they  
384 do not represent the expressed will of the decision-maker as a whole. The black dot shown in Figures  
385 7 and 8 shows that this is the best choice for him, or the best solution to the problem, given his  
386 particularities and experience.

387 At the end of the execution and obtained the solution of ordered requirements, the decision-  
388 maker was asked to provide a note regarding its evaluation for the solution generated by ARANAÚ,  
389 where 0 is the worst score and 100 the best score. On average we have 87 points as an overall rating.  
390 However, this is entirely satisfactory when we consider that research in this field is taking its first  
391 steps. Satisfactory evaluation of humans the solution generated by ZAPROS III-i is of great  
392 importance for this research. We can verify the scores corresponding to each decision-maker and the  
393 average obtained in Figure 9.

394

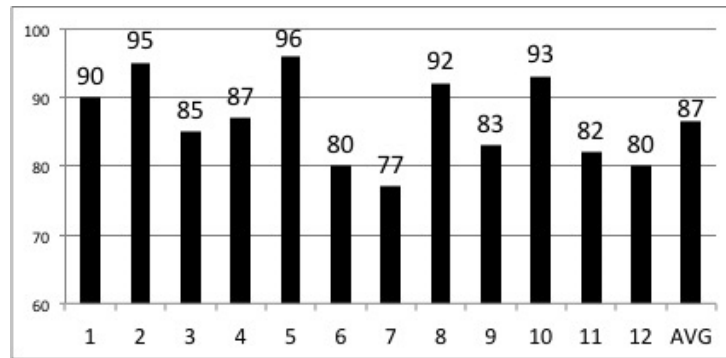


Figure 9. The score of each decision-maker for the solution generated by ZAPROS III-i

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397 Moreover, this can significantly increase the time of enterprise production if we consider that  
398 the project manager will not need to look at the other options to decide on the best one. Relying on  
399 the criteria informed by himself and his professional experience, the solution presented by ZAPROS  
400 III-i is the one that best applies to the business.

## 401 9. Conclusions

402 In the process of incremental software development, we have that software release planning is  
403 one of the most complex activities [32]. This article demonstrates a new methodology for solving  
404 software release planning problems. This problem was developed for multiobjective search, where  
405 the objectives are to maximize the satisfaction of stakeholders and to minimize problems of  
406 reimplementation of volatile requirements, increasing the efficiency of the resource expense since the  
407 more stable requirements will be implemented first. By using this methodology, project managers  
408 have a range of options to increasingly customize the solutions generated to slow down the wrong  
409 decision-making.

410 In Barbosa [33, 34] corroborates the results of this research. When we observed the number of  
411 requirements that were tested in both approaches, the author used ten requirements and five clients  
412 interested in the project. The results obtained were very similar to those seen in this study, which  
413 used 20 software requirements and seven interested customers. Also, this demonstrates that while  
414 increasing the number of requirements, and consequently the difficulty of finding a satisfactory  
415 solution, the efficiency of this method remains.

416 Automated methods generate excellent solutions[35], but it is essential for the software release  
417 planning process to take into account the opinion of the decision maker and thus provide a solution  
418 in which it participates and contributes to the process of comparing alternatives.

419 Besides, the significant contribution we can make is that qualitative methods structured in  
420 Verbal Decision Analysis can generate solutions to solve Software Requirements Allocation Problems  
421 already faced by SBSE quantitative solutions. This fact opens precedents for further research in this  
422 field, hitherto little discussed in the literature.

423

## 424 Conflicts of Interest

425 The authors declare that there are no conflicts of interest regarding the publication of this paper.

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